1 Preclinical pharmacokinetics in tumors and normal tissues of the antigene PNA oligonucleo-

2 tide MYCN-inhibitor BGA002

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25 ABSTRACT

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Although MYCN has been considered an undruggable target, MYCN alterations confer poor prog-26 nosis in many pediatric and adult cancers. The novel MYCN-specific inhibitor BGA002 is an anti-27 gene PNA oligonucleotide covalently bound to a nuclear-localization-signal peptide. In the present 28 study, we characterized the pharmacokinetics of BGA002 by the setting up of a novel specific ELI-29 30 SA assay. Afterafter single and repeated administration to mice, using a novel specific ELISA assay. BGA002 concentrations in plasma showed linear pharmacokinetics, with dose proportional in-31 32 crease across the tested dose levels, and similar exposure between male and female, and between intravenous and subcutaneous route of administration. Repeated dosing resulted in no accumulation 33 34 in plasma. Biodistribution up to seven days after single subcutaneous administration of $[^{14}C]$ radiolabeled BGA002, showed broad tissues and organ distribution (suggesting a potential capabil-35 ity to reach primary tumor and metastasis in several body sites), with high concentrations in kidney, 36 liver, spleen, lymph nodes, adrenals, and bone marrow. Remarkably, we demonstrated that BGA002 37 38 concentrates in tumors after repeated systemic administrations in three mouse models with MYCNamplification (neuroblastoma, rhabdomyosarcoma and small-cell-lung-cancer), leading to a signifi-39 cant reduction in tumor weight. Taking into account the available safety profile of BGA002, these 40 41 data support further evaluation of BGA002 in patients with MYCN-positive tumors.

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43 INTRODUCTION

MYCN is a member of the MYC family of oncogenes, and is an oncogenic driver in many types of 44 cancers¹. Deregulation of MYCN occurs in both pediatric and adult cancers. MYCN-amplification 45 (MNA) and/or overexpression have been found in pediatric cancers including neuroblastoma (NB), 46 rhabdomyosarcoma (RMS), medulloblastoma, Wilms tumor and retinoblastoma. In particular, NB 47 48 is one of the deadliest cancers that occur in early childhood and represents 7% of pediatric malignancies¹⁻³. About 25% of patients with NB present MNA which is linked to a poor prognosis, me-49 tastasis, and advanced stage disease¹⁻³. RMS is the most common pediatric soft tissue sarcoma, and 50 a major cause of cancer death in children. MNA is present in about 25% of cases and MYCN over-51 52 expression occurs in 55%. It is associated with adverse prognosis and is a feature of the more aggressive alveolar subtype (ARMS)⁴. Similarly, MNA is also present in adult cancers, and occurs in 53 small cell lung cancers $(SCLC)^1 (15-20\%)^{5,6}_{4,6}$, in neuroendocrine prostate cancers (40%), in prostate 54 55 adenocarcinomas $(5\%)^7$, and in basal cell carcinomas $(17.5\%)^1$, while overexpression of MYCN is present in a subset of T-cell acute lymphoblastic leukemias, glioblastoma multiforme and breast 56 57 cancer¹. Importantly, the amplification or overexpression of MYCN in the majority of these adult cancers is also associated with a poor prognosis¹. Normally 58 59 From an physiological perspective, MYCN expression is restricted during embryogenesis and has a 60 very limited pattern of expression in normal cells after birth⁸. Given its crucial role in MYCNpositive tumors, one should consider this as a promising target¹. However, N-Myc protein is 61 deemed an undruggable target and drug discovery approaches aimed at blocking N-Myc protein 62 have largely failed⁹. While indirect strategies have been proposed, none of these has proven to be 63

64 effective in standard clinical practice 1,3,10 .

We have previously demonstrated that an alternative approach consists in the specific gene expression inhibition at the level of chromosomal DNA through MYCN-specific antigene peptide nucleic acid (PNA) oligonucleotide covalently linked to a nuclear localization signal (NLS) peptide^{2,4,11–14}. The antigene oligonucleotide approach (via persistent and specific block at the level of the target

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69	gene transcription) has shown advantages compared to the block of mRNA translation by antisense
70	PNA oligonucleotide strategies ^{2,4,11–15} . In particular, BGA002 is a MYCN specific antigene PNA
71	that inhibits MYCN gene expression, yielding a potent and specific anti-tumor activity both in vitro
72	and in vivo ^{2,13,14} . PNAs are oligonucleotidemimetics, in which the anionic sugar-phosphate back-
73	bone of the nucleic acids is replaced with an achiral, uncharged polyamide backbone ¹⁶ . PNAs
74	showed promising results in diagnostic or therapeutic applications as antisense or antigene drugs,
75	due to their extraordinary stability against enzymatic degradation by proteases and nucleases and
76	their ability to potently and specifically bind with high affinity their target complementary sequenc-
77	es in DNA or RNA ^{2,4,11,15} . PNAs have distinctive characteristics, which allow them to be used for
78	antigene application because their neutral backbone avoids the electrostatic repulsion normally en-
79	countered between the negatively charged double strand DNA ^{2,4,11,17} . While PNAs are difficult to
80	synthesize with high efficiency, adding biological function is a more reliable process-, in. In fact, a
81	straightforward approach to improve their pharmacological properties lies in the conjugation of
82	PNA to short synthetic carrier peptides $\frac{18.19}{2}$. Different type of peptides have been evaluated $\frac{2.4.11,18.20}{2.4.11,18.20}$.
83	²⁵ , with the aim to improve the Different type of peptides have been evaluated with the aim to im-
84	prove limited solubility, low cellular uptake, poor biodistribution and rapid excretion of naked PNA
85	oligonucleotides $\frac{262,4.11,18,20-26}{4}$, which has prevented their broad application as oligonucleotide thera-
86	peutics.
87	In an attempt to explore the pharmacokinetic-pharmacodynamic relationship of BGA002, and pre-
88	dict the exposure required for anti-tumor activity in humans, as well as establish the dosing re-
89	quirements for a first-time-in-human (FTIH) study, we investigated the pharmacokineticspharma-
90	cokinetic (PK) and pharmacodynamicspharmacodynamic (PD) profiles of BGA002 in pediatric and
91	adult MYCN-positive tumor mouse models after single and repeated systemic administration of
92	BGA002doses ^{27–31} . The characterization of the pharmacokinetics in plasma was complemented by
93	the evaluation of the biodistribution in healthy tissues, organs, and blood, taking into accounts the

94 potential effect of route of administration, sex, and accumulation. In addition, to overcome the lack 4

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95	of a standardized methodology, here we report the development and application of a novel hybridi-
96	zation-based ELISA approach for the quantification of the MYCN-inhibitor BGA002 PNA-peptide
97	in biofluids, as well as in murine tissues and tumors. We anticipate that, together with information
98	on the safety profile of BGA002, these results provide the basis for the design of oligonucleotide-
99	based cancer therapeutics in a prospective first time in human FTIH study. Characterization of the
100	pharmacokinetics and pharmacodynamics of BGA002 may also give a benchmark for the develop-
101	ment of other PNA-peptide inhibitors.

103 MATERIALS AND METHODS

104

105 Chemicals and dose preparation

106 BGA002 was produced by Biogenera produced BGA002.SpA(Bologna, Italy). PNA-peptide was 107 either available, from stored at <u>20°C</u>, and quantities ready for use, or freshly produced by the chem-108 istry department and delivered after purification and dilution to the biology department for non-GLP 109 studies or to the CROs (GLPLife Test, Wil Research, Aptuit and Selcia) for for both GLP and non-GLP studies. A solution of BGA002 was quantified through spectrophotometer (λ_{260} =170300 L 110 mol⁻¹ cm⁻¹) and adjusted to reach 3 mg/mL, then stored at +°4C. Biogenera synthesized an acetylat-111 ed form of BGA002. The radio labeled [14C]-Ac-BGA002 (Batch No. 8249DCP006-6, radiochemi-112 113 cal purity 99.8%) was supplied synthesized and supplied by Selcia LimitedLtd (UK) as a solution in acetic acid: sodium acetate: HCl (75 mM: 25 mM: 3.6 mM) with 2.5% mannitol at a concentration 114 of 1.16 MBq/mL (3.35 mg [14C]-Ac-BGA002 /mL). The solution was stored in a fridge set to main-115 tain a temperature of +4°C. 116

- 117
- 118 Synthesis of BGA002 compound

119	BGA002 is synthesized by standard Solid Phase Peptide Synthesissolid phase peptide synthesis us-	
120	ing an automated synthesizer (CEM liberty blueLiberty Blue instrument with Microwavemicrowave	
121	equipment).	
122	_Solid support is a ChemMatrix resin type and the strategy employed is Fmoc/Boc. Building blocks	
123	employed are protected aminoacids (Fmoc and Boc/Pbf) and peptide nucleic acid monomers (pro-	
124	tected as Fmoc/Bhoc). Activation strategy employs 4eq of AA/PNA and HATU [445460_Sigma Al-	
125	drich]/diisopropilethylamine/2,6-lutidine in dimethylformamide (for AA) or N-methylpyrrolidone	
126	(for PNA). Loading of first aminoacid is performed in molar defect respect to resin loading with	
127	capping (by standard acetic anhydride solution) of the remaining active sites. In this way resin load-	
128	ing is lowered to $\sim 0.2 \text{ mmol/g}$ (to minimize intra-chain interactions during synthesis).	
129	_After loading of the first aminoacid, like in SPPS, the elongation of the oligomer continues by per-	
130	forming iterative synthetic routines for every building block added to the main chain, consisting of:	
131		
132	• Deprotection of Fmoc group, by reaction with excess 20% piperidine in DMF 2 times for 5min.	
133		
134	• Coupling (single for AA, double for PNA monomers) with the next building block, DIPEA/2,6-	
135	lutidine solution and HATU as activator at 90°C 170W for 15sec and 50W for 110sec for 1hour.	
136		
137	• Capping by standard Ac ₂ O/2,6-lutidine solution for 15min.	
138		
139	At the end of the coupling cycles the compound is then cleaved from the resin as Fmoc derivative	
140	by reaction with a 8:2 TFA: m-cresol solution and after 3 hour the desiderated crude will be ob-	
141	tained after precipitation in diethylether.	
142	_Fmoc-ON purification is required to remove guanidilated compounds. The crude is purified by RP-	
143	HPLC using a C18 column and standard eluents (water, acetonitrile, TFA 0.1%). Unfortunately, the	
144	synthesizer cannot perform a preactivationpre-activation reaction between monomer, base and acti- 6	

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vator because only one vessel is present in the instrument. The activator reacts faster with the terminal amine than the monomers and can produce the <u>Guanidilateguanidilate</u> derivative that block the sequence. The purified Fmoc compound is dissolved in DMF and a solution of diluted piperidine is slowly added for the deprotection reaction. The <u>corresponding</u> crude <u>material</u> obtained is purified again using the same instrument and conditions, obtaining the BGA002 as a TFA salt.

Final steps of BGA002 production consist in counter-ion exchange (trifluoroacetate to chloride) and
lyophilization. TFA counterion could be exchanged both by basic precipitation and aqueous HCl
dissolution or by chromatography. Yield of the whole process is ~5%.

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154 Labeling Ac-BGA002 with carbon-14

The labeling of [14C]-Ac-BGA002 has been performed in accordance with Selcia Quality Program 155 by the Radiochemistry group at Selcia Ltd.. 2g of resin bound PNA oligomer Fmoc protected was 156 157 placed into SPPS reactor, the resin was rinsed with dimethylformamide then covered with dimethyl-158 formamide and left to swell for 2h. The remaining DMF was flushed away with nitrogen and the 159 resin was exposed to the deprotection solution (20% of piperidine in DMF) then shakedshook for 20min at room temperature for two times. A Kaiser Testtest was performed on a small amount of 160 161 resin to confirm that deprotection of the amino functionality had occurred. [1-14C]sodium acetate was azeotroped with toluene and suspended in DMF and lutidine and DIPEA were added. The re-162 163 sulting mixture was stirred at room temperature, under nitrogen until a clear solution was obtained (around 10 minutes). The resulting orange solution-were, containing the preformed mixture was 164 165 then added to the SPPS reactor containing the performed on a small amount of resinand showed that the sampling had not gone to completion. The solution was flushed away using nitrogen and the res-166 in was rinsed with DMF. Another equivalent of $[1-^{14}C]$ sodium acetate was activated using HATU, 167 168 2,6-lutidine, DIPEA in DMF. The activated mixture was added to SPPS reactor and shaken overnight at room temperature. A, unfortunately the Kaiser Test performed on a small amount of resin-169 170 test showed that the coupling had not gone to completion therefore the mixture was given more time 7 171 to react and was stirred at room temperature for another 5 days. A Kaiser test has been performed 172 on a small amount of resin showed that the end of each coupling had not gone reaction to check the completion but had progresses significantly of reaction itself. Another equivalent of [1-14C]-173 sodium acetate was activated using HATU 2,6-lutidinide, DIPEA in DMF and the resulting mixture 174 175 was transferred into the SPPS reactors. The mixture was shaken overnight at room temperature. 176 AThe Kaiser Test performed on a small amount of resin showed that the coupling had not gone . 177 to completion therefore the mixture was given more time to react and was stirred at room tempera-178 ture for another 24h.-A Kaiser Test performed on a small amount of resin showed that the coupling 179 had gone to completion. The solution was flushed away using nitrogen and the resin was rinsed with DMF followed by DCM and diethyl ether. This rinsing sequence was repeated another 3 times. 180 The resin was covered with the cleaving solution and the SPPS reactors were placed on the shaker 181 for 3h. The reaction mixtures were discharged in falcon tubes containing ethyl ether. This caused a 182 183 precipitate to form. The resin was washed with TFA and the rinsing were collected into a falcon 184 tube as well. The tubes were stored at -15°C for 2 h then centrifuged at 4000 rpm for 15 min. The 185 supernatant was removed and the resulting solid deposit was further washed with diethyl ether using the centrifuge. The falcon tubes were stored in fridge at -4°C overnight. The solid residues at the 186 187 bottom of the falcon tubes were dried under a stream on nitrogen for 2h then over a phosphorus 188 pentoxide in dessicator for another 2h. The crude is purified by RP-HPLC using a C18 column and standard eluents (water, acetonitrile, TFA 0.1%). 189

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191 Animals: mice

NOD/SCID CB17 mice for PK analysis in tumor and liver and for efficacy studies were born in the
animal facility of the Department of Veterinary Medical Science of the University of Bologna (Italy. All experiments were approved by the Scientific Ethical Committee of Bologna University according to protocol no. 7/73/2012 and authorization 564/2018-PR. Pharmacokinetics plasma analysis was performed in juvenile mice (CD1-Swiss, 5 weeks old) by Glp Life Test- (Bologna, Italy).

197	Aptuit (Verona, Italy) and Farefarma (Novara, Italy) performed respectively ELISA analysis and
198	pharmacokinetics analysis. Biodistribution analysis in mice (CD1-Swiss, 5 weeks of age) was per-
199	formed by Charles River- (Tranent, Edinburg). Animals were maintainedhandled according to each
200	CRO internal standard operating procedures. PK and biodistribution studies in mice were performed
201	under GLP compliance.

203 In-vivo PK/TK experiments in mouse plasma

- 204 The studies were conducted with the support of the following CRO:
- 205 In-vivo phase: Glp Life Test, Via Saliceto 3, 40010 Bentivoglio, Bologna, Italy
- 206 Bioanalysis: Aptuit Verona Srl, Via Alessandro Fleming, 4 37135 Verona, Italy
- 207 Pharmacokinetic Analysis: Farefarma Srl, Via Ferrari, 9 28045 Invorio, Italy

The animals used for the studies include Swiss mice (CD1) (5 weeks old at start of treatment) from the Charles River Laboratories Italy Srl with a health certificate according to Legislative Decree

210 24/20142.

211 The rationale for the dose selection of the single dose PK study in mice was based on feasibility 212 studies performed inby Biogenera lab that, which showed good level of quantificationdetectable 213 concentrations at 15 mg/kg dose in tumor and liver, thus this dose and the 3-fold lower dose 5 214 mg/kg were selected to obtain data in plasma. Rational The rationale for the dose selection of select-215 ed for the repeated dose PK studies in mice was based on the above mentioned-results from the single dose PK study; since the two tested. Given that doses of 5 and 15 mg/kg gave well separated ki-216 217 netic curves resulted in distinct concentration vs. time profiles, the following doses were selected for the repeated dose PKPK/TK study-included doses of 2.5, 7.5, and 25 mg/kg/day, under the as-218 219 sumption linearity across the dose range. 220 For the single dose study, 24 male and 24 female mice for each dose of BGA002, 5 or 15

mg/kg,level and each route of administration, singlei.e., intravenous (bolus) injection (IV)
 inthrough the caudal vein or by a single subcutaneous injection (SC) on the back; were treated with

a volume of 100 μL solution containing BGA002-at the desired concentration.; in In addition, for
each route of administration a <u>control</u> group (control) of 4 males and 4 females was treated with 100
μL of vehicle. A<u>In</u> total-of, 104 mice were administered IV, received BGA intravenously and a total
of 104 mice were administered SC-received the drug subcutaneously. The animals were given a single administration and subsequently were sacrificed at 6 different time points <u>after dose</u> for blood
collection (time points IV: 5-15-30-60-240-480 minutes; time points SC: 10-30-60-90-180-480
minutes).

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For the repeated dose study, 36 female mice for each dose of BGA002, 2.5 mg/kg, 7.5 mg/kg or 25 mg/kg, were treated by SC injection on the back with BGA002 solutions at a constant injection volume of 5 mL/kg/day.

<u>BGA002.</u> The volume of solution administered was calculated weekly using the last mean body
weight for group. Eighteen animals per group were treated with a single injection while another
eighteen animals per group were treated for 28 days with a once-a-day SC injection (for a. In total
of, 108 female mice andwere allocated to six different groups of treatment groups). Animals in each
group of treatment were then sacrificed at six (6) different time-points after dose (10-30-60-90-180480 minutes) for blood collection (for a total of, with three animals per time point).

In both studies, the blood was collected from the *vena cava* before sacrifice of the animal that was anesthetized<u>under anesthesia</u> by inhalation of a mixture of CO₂/O₂ (70% and 30% respectively). A total of 0.3 mL of whole blood was drawn from each of the 3 animals<u>animal</u> per time point and, due to technical <u>sample limitationlimitations</u> in juvenile animals (5 weeks old at start of treatment), the samples were pooled in a single tube containing enough-lithium-heparin to treat 1 mL of blood volume (APTACA company-mod 2400/1). The plasma collected from each tube was frozen at about -20°C at least-overnight and shipped on dry ice to the Test Site (Aptuit) for the analyses<u>analysis</u>.

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248 Hybridization-based ELISA in plasma and organs (tumor/liver)

249	A hybridization-based ELISA assay has been developed and validated to measure the concentration
250	of BGA002 present in plasma samples and in tumor/liver samples. This assay is an attractive bioan-
251	alytical tool owing to its ultra-sensitivity, micro scale sample volume, and ease of use with little or
252	no sample cleanup, which make it a suitable tool to study the TK/PK profiles of therapeutic oligo-
253	nucleotides to support preclinical and clinical development programs.

254 Neutravidin coated plates were incubated with a capture probe, biotin-labeled and partially com-255 plementscomplementary to BGA002. After incubation and washing, plasma samples were added to 256 the plate where the complex is immobilized by the neutravidin-biotin binding. After washing, a de-257 tection probe, digoxigenin-labeled and detection probe complementary to the other part of BGA002 was added. Then a detection solution containing anti-digoxigenin-peroxidase (anti-DIG-POD) was 258 259 added, making possible visualization by addition of tetramethylbenzidine (TMB) that), which pro-260 duces a colored product. The amount of color correlates to the amount of BGA002 present. More in 261 detail, plates were washed 3 times with 300 μ L 5X SSCT buffer; 100 μ L of 0.2 μ M capture probe 262 solution in 5X SSCT buffer is added and incubated at RT for 30 minutes. Plates were washed 3 times with 300 μ L 2X SSCT buffer; 100 μ L of plasma samples/CS/QC were added and incubated at 263 37°C for 60 minutes. Plates were washed 4 times with 300 µL 2X SSCT buffer; 100 µL of 0.2 µM 264 265 of detection probe solution in 5X SSCT buffer was added and incubated at RT for 60 minutes. Plates were washed 3 times with 300 μ L 2X SSCT buffer and 3 times with 300 μ L of purified wa-266 ter; 100 µL of Anti-DIG-POD Fab fragments (1:8000) in PBST and incubated at RT for 60 minutes. 267 Plates were washed 3 times with 300 µL 2X SSCT buffer; 100 µL of substrate solution (1-step Ul-268 tra TMB ELISA) and incubated at RT for a period of 15 minutes. Reaction was stopped by adding 269 100 µL of 0.5 M sulfuric acid. Reading is performed on Spectra Max 250 at 450 nm with reference 270 271 at 750 nm.

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273 Validation of hybridization-based ELISA

274 The hybridization-based ELISA described above has been validated in mouse tumor and liver for 275 GLP-like use, and in mouse plasma and rabbit plasma for GLP use as follows: 276 Validation in mouse tumor and liver homogenate has been performed over the range 200 to 3200 277 ng/mL of BGA002 and in mouse liver homogenate over the range 100 to 3200 ng/mL of BGA002. 278 respectively. Calibration standards were prepared from a set of working solutions at 10 concentra-279 tions of the test item plus blank in the appropriate matrix which gave a concentration range of, vielding concentrations between 15.63 toand 8000 ng/mL-of BGA002 (Figure 1 b). Validation sam-280 281 ples to establish the accuracy and precision of the method were prepared from test item aliquot to 282 giveat nominal concentrations of BGA002 of 50, 100, 200, 400, 800, 1600 and 3200 ng/mL BGA002. The concentration for the dilution quality control (DQC) tested in the linearity section lin-283 ear range was 80000, 40000, 20000 and 10000 ng/mL. 284 Validation in mouse plasma has been performed over the range 20 to 200 ng/mL. Calibration stand-285 286 ards were prepared from a set of working solutions at 8 concentrations of the test item plus blank in 287 the appropriate matrix-which gave a concentration range of 3.91 to 500 ng/mL for BGA002 in mouse plasma. Validation samples to establish the accuracy and precision of the method were pre-288 pared from test item aliquot to give nominal concentrations of BGA002 in mouse plasma at 20, 50, 289 290 100, 150, 200 ng/mL. The plasma concentration for the dilution quality control (DQC) tested in the 291 linearity section was 20000 ng/mL. Validation in rabbit plasma has been performed over the range 20 to 200 ng/mL. Calibration stand-292 293 ards were prepared from a set of working solutions at 8 concentrations of the test item plus blank 294 which gave a concentration range of 3.91 to 500 ng/mL for BGA002 in rabbit plasma., yielding concentrations between 3.91 to 500 ng/mL BGA002. Validation samples to establish the accuracy 295 296 and precision of the method were prepared from test item aliquot to giveat nominal concentrations

- 297 of BGA002 in rabbit plasma at 20, 50, 100, 150, 200 ng/mL BGA002. The plasma concentration
- 298 for the dilution quality control (DQC) tested in the linear range was 20000 ng/mL.

For each validation, the <u>The</u> following parameters were calculated: LLOQ, ULOQ, precision (%CV)
intra-assay, precision (%CV) inter-assay, accuracy (%bias), selectivity interference with the analyte
from individual lots of plasma, specificity, stability (at room temperature, +4°C, -20°C), freezethaw stability, prozone effect.

303

304 Pharmacokinetic analysis

Pharmacokinetics analysis was performed using a noncompartmental approach in Phoenix Win-Nonlin 6.3 (Pharsight Corporation, USA). All concentration data points were weighted by the inverse square of the fitted value. The following parameters were evaluated: time to reach maximum concentration (T_{max}), <u>highestpeak</u> concentration (C_{max}), area under <u>the concentration vs time</u> curve (AUC), elimination half-<u>timelife</u> ($t_{1/2}$ elim), volume of distribution (V_d), total clearance (Cl_b), bioavailability (F%).

311 Peak concentration (C_{max}) and the time to reach C_{max} (T_{max}) after administration of the BGA002 312 were determined from the observed data. The area under curve (AUC) was calculated using the lin-313 ear trapezoidal rule (calculation method linear trapezoidal with linear interpolation). The first order 314 constant (λ_z) associated with the terminal (log-linear) portion of the curve was estimated by linear 315 regression of the time vs. log concentration and the terminal half-life was calculated as $\ln(2)/\lambda_z$. The 316 volume of distribution (V_d) was calculated based on the terminal phase as Dose/ λ_z .AUCINFAUCinf_obs (where AUCINFAUCinf_obs is the AUC from the time of dosing extrapo-B17 lated to infinity). The total clearance (Cl_b) was calculated as Dose/AUCINFAUCinf_obs. The bioa-318 319 vailability (F) after subcutaneous administration was calculated as the ratio of the AUC after subcu-320 taneous (AUCsc) and intravenous (AUCiv) administration as (AUCsc/AUCiv) multiplied by 100.

321

322 Biodistribution of BGA002 in mice (QWBA-Charles River/Selcia)

The [¹⁴C]-Ac-BGA002 (Batch No. 8249DCP006-6, radiochemical purity 99.8%) was supplied by Selcia LimitedLtd (UK) as a solution in acetic acid: sodium acetate: HCl (75 mM: 25 mM: 3.6 mM) 13

with 2.5% mannitol at a concentration of 1.16 MBq/mL (3.35 mg [14C]-Ac-BGA002 /mL). The so-325 326 lution), and was stored in a fridge set to maintain a temperature of at +4°C. CD-1 mice (28-35 days of age) were supplied by Charles River, UK and acclimatized to the experimental unit for 7 days 327 328 prior to use onin the study. The [14C]-Ac-BGA002 formulation was administered by injection into the nape of the neck (single subcutaneous administration) of 6 male and 6 female mice at a target 329 330 volume of 4.5 mL/kg, to achieve a nominal dose level of 15 mg/kg (target radioactive dose of 5 331 MBq/kg). One male and one female mouse were humanely killedeuthanized by CO₂ narcosis at 332 each of after 1, 4, 8, 24, 48 and 168 h post dose.

333 The carcass of each animal was then frozen by immersion in a mixture of solid CO_2 in hexane for 334 ca 15 min. The frozen carcass was then and embedded in a block of carboxymethylcellulose, which 335 was frozen in the same way, After equilibration at -20° C, sagittal sections (30 µm thick) were taken through each animal using a whole body cryomicrotome (Leica Instruments GmbH). The sec-336 337 tions were freeze dried prior to storage at ambient temperature. All samples prepared in scintillation 338 fluid were subjected to liquid scintillation counting (LSC) for 5 min, together with representative 339 blank samples, using a Liquid Scintillation Analyzerliquid scintillation analyzer with automatic quench correction by an external method. Where possible, samples were analyzed in duplicate and 340 341 allowed to heat and light stabilize prior to analysis. Prior to calculation of each result, a background 342 count rate was determined and subtracted from each sample count rate. For scintillation counting, a 343 limit of reliable measurement (LRM) of 30 c.p.m. above background has been instituted introduced 344 in these laboratories. Where results have arisen from data below the LRM, the fact iswas noted. The 345 radioactivity present in various organs and tissues in whole body sections was determined by 346 QWBA using a Typhoon FLA7000 scanner and AIDA image analysis software (version 4.06, ray-347 test isotopenmeßgerate GmbH, Germany). For analysis, representative whole-body sections were 348 placed into close contact with phosphor screens and left for a period of 7 days. On each phosphor screen, a set of external standards was also exposed. These standards were prepared from blood 349 spiked with a serial dilution of a $[^{14}C]$ -labeled reference solution, which was dispensed into holes 350 14 351 drilled into a block of carboxymethylcellulose, frozen and then sectioned in the same way as the animal samples. After the phosphor screen was scanned, an image of the radioactivity in the sample 352 was stored digitally. For quantitative analysis, six background areas were defined on each storage 353 phosphor screen image. The software automatically calculated the mean background and subse-354 355 quently subtracted this from all standards and tissues analyzed. A regression coefficient was derived 356 by comparing the response of each standard with the nominal concentration over the range of radio-357 active concentrations used and forcing the response curve through the origin. The concentrations of 358 the standards used were in the range of 0.05 to 258.48 μ g equiv/g. The response curve iswas linear 359 over these concentrations and was assumed to be linear to the limit of reliable determination. Each 360 organ or tissue of interest was then identified and integrated, and the software automatically calcu-361 lated the concentration (μg equiv/g) using the regression equation derived from the standards.

The limit of reliable measurement for each storage screen was calculated from the assessment of the mean background of the plate and defined as 3 times the standard deviation—of the mean above background. At the specific activity used in this study, the limit of reliable measurement was in the range of 0.01 to 0.04 μ g equiv/g.

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367 Xenograft mouse models

Luminescent cells (Kelly-Luc, H69AR-Luc, RMZ-Luc) and xenograft ectopic mouse models (Neu-368 roblastoma, Small Cell Lung Cancer, Rhabdomyosarcomaneuroblastoma, small cell lung cancer, 369 rhabdomyosarcoma) were prepared according to previously published studies². Kelly cell line was 870 371 originally established from a 1 year old female patient with a stage IV NB with MYCN amplification-and it has been, purchased from DSMZ (DSMZ Cat# ACC-355, RRID:CVCL_2092). The Al-372 373 veolar Rhabdomyosarcomaalveolar rhabdomyosarcoma cell line RMZ was kindly provided by Prof. 374 Lollini (Department of Experimental Pathology-at, University of Bologna), and is characterized by MYCN amplification³². The Small Cell Lung Cancersmall cell lung cancer H69AR cell line has 875 376 been originally established from the pleural fluid of a 55 year old Caucasian male with small cell 15

377	carcinoma of the lung-and it has been, purchased from ATCC (CRL-11351). The H69AR cell line is
378	a multiple drug resistant cell line as compared to the parental NCI-H69 cell line. The H69AR cell
379	line presents MYCN gene amplification, and high expression of MYCN mRNA and protein ^{33,34} .
380	

Pharmacokinetics evaluation of BGA002 in tumor and liver of xenograft NB, RMS and SCLC xenograft mouse models

NB, SCLC and RMS mouse models were established as described above. In each mouse model, 383 384 systemic treatment with BGA002 was administered subcutaneously and started when specific bio-385 luminescent value (in NB and SCLC mouse model), or tumor volume (in RMS mouse model) was 386 reached. When the starting point was reached, NB-mouse model was NB mice were treated with 387 BGA002 daily at 10 mg/kg/day (tumor n=4; liver n=7) or vehicle (n=5) for 15 consecutively days, 388 SCLC wasmice were treated with BGA002 at 15 mg/kg/day (tumor n=13; liver n=13) or vehicle 389 (n=7) for 9 consecutive days, while RMS wasmice were treated with BGA002 at 15 mg/kg/day 390 (tumor n=3; liver n=3) or vehicle (n=5) for 15 days. Tumors and livers were extracted immediately 391 after animal euthanasia, weighted on a precision scale and homogenized with a probe sonicator in 392 RIPA extraction buffer at concentration of 10 mg/mL. Concentrations of BGA002 in tumor and liv-393 er were evaluated by the hybridization-based ELISA assay as described above. Samples were stored 894 frozen-at -20°C.

395

896 Pharmacodynamics evaluation of BGA002 in RMS and SCLC mouse models

BGA002 or vehicle were administered subcutaneously to mice in the SCLC _and RMS mousetumor models were established as described above, respectively at doses of 15 mg/kg/day for (n=4)/vehicle (n=5) or 5 mg/kg/day (n=4)/ vehicle (n=3) for 14 consecutive days. In both cases, treatment was administered subcutaneously and started when specifica predefined tumor volume (in RMS mouse model), or bioluminescent value (in SCLC mouse model) was reached.-RMS mouse model was treated with BGA002 at the dose of 15 mg/kg/day for (n=4) or vehicle (n=5) for 14 con-16 secutive days, while SCLC mouse model was treated with BGA002 at 5 mg/kg/day (n=4), 15
 mg/kg/day (n=3), or vehicle (n=14) for 14 consecutive days. Twenty-four hours after the last injection, animals were euthanized and necropsy was performed.

Tumors were extracted and weighted on a precision scale and all organs collected for subsequent 406 407 analysis and studies. Western blot Production of N-Myc protein was assessed in total protein ex-408 tracts. Sample was removed from animal as quickly as possible after animal sacrifice and homogenized with a probe sonicator on ice in sample lysing solution added with a Halt protease inhibitor 409 410 cocktail (Cat# 78429, Thermofisher) in a 1:100 rate. Sample lysing solution volume mustshould be 411 at least 200 uLuL and at maximummost 2 mL and adjusted to a concentration of 100 mg/mL₇. Total protein extract was quantified with BCA method at NanoDrop ND-1000 spectrophotometer against 412 a standard curve of BSA in sample lysing solution. Proteins were separated by one-dimensional 413 precast polyacrylamide gel [Bolt Bis-Tris Plus Gels 10%], that provides a neutral pH environment 414 415 with the aim to minimize protein modifications, under denaturing conditions. Samples containing proteins were denatured by incubation at 95°C for 10 min after the addition of 4X Sample buffer, 416 10X Reducing Agent and water to a final volume of 30 μ L. In each lane were loaded 30 μ g of pro-417 418 tein and run at 200 V for about 15 min in electrophoresis buffer [Bolt MES SDS Running buffer] with the molecular weight marker SeeBlue Plus2 Pre-Stained Standard. They were transferred from 419 gel to PVDF membrane [IBlot Transfer Stack] using the IBlot Gel Transfer Device, a dry system 420 that transfers proteins in 7 minutes [all materials and reagent were purchased from Life Technolo-421 gies]. The membrane was subjected to overnight incubation at 4°C with blocking solution (5% milk 422 423 in PBS-Tween 0.1%). The day after it was incubated 1 hour with primary antibody, a mouse monoclonal anti-N-Myc antibody [SC-53993_Santa Cruz] diluted 1:200 in 3.5% BSA in PBS-Tween 424 425 0.1%. After three washes in PBS-Tween 0.1%, the membrane was incubated one hour with the cor-426 responding secondary antibody, a sheep anti-mouse IgG-HRP [Amersham Biosciences] diluted 1:10,000 in 3.5% BSA in PBS-Tween 0.1%. The membrane was washed 3 times in PBS-Tween 427 428 0.1% for 5 min before detection. N-Myc chemiluminescent signal was measured adding the ECL 17

429	Select Western Blotting solution [GE Healthcare] at ChemiDoc-It [UVP] to the membrane. The
430	signal quantification was carried out with Alliance software [Uvitec] and was performed following
431	colloidal staining with Coomassie brilliant blue dyes. All N-Myc protein signals were normalized
432	using the Coomassie brilliant blue signal for each lane.
433	Immunohistochemistry analysis was performed on formaldehyde fixed and paraffin embedded sam-
434	ples, cut on microtome. Antigen retrieval was performed with EDTA-NaOH pH 8. Antibody used
435	were Anti-MYCN primary antibody (OP13 Calbiochem) and HRP conjugated secondary antibody
436	(Dako). Staining was performed with the DAKO kit for HRP Colorimetric revelation using DAB
437	and Haematoxylinhaematoxylin contrast.
438	
439	Statistical analyses
440	All statistical analysis were performed using GraphPad Prism software (GraphPad Software Inc.)
441	version 6. Data from distinct groups were compared using the Mann-Whitney test. PStatistical sig-
442	<u>nificance level was set at p < 0.05 was considered significant</u> .
443	
444	RESULTS
445	Validation of the hybridization-based ELISA approach for the quantification of the MYCN-
446	inhibitor BGA002 PNA-peptide in biofluids and tissues. For the evaluation of BGA002 in organ
447	and tissue Biogenera has developed a new specific hybridization based ELISA assay to allow fast
448	and precise quantification of BGA002 itself. This assay is an attractive bioanalytical tool owing to
449	its ultra sensitivity, micro scale sample volume, and ease of use with little or no sample cleanup,
450	which make it a suitable tool to study the TK/PK profiles of therapeutic oligonucleotides to support
451	preclinical and clinical development programs. Hybridization-based ELISA have been used or vali-
452	dated
453	Hybridization-based ELISA have been used for the determination of different kinds of ODN, in-
ı 454	cluding phosphorothioate ASO ³⁵⁻³⁷ , mRNA ³⁸ , modified oligonucleotides including PNA and 2'-O-

MOE PS³⁹, siRNA and unmodified DNA ODN³⁵. We set up the method based on the assay devel-455 oped by Straarup et al³⁸ having a sandwich structure with the BGA002 able to link that links togeth-456 er the capture and detection probe, specifically binding this two key elements (Figure 1 a). The gen-457 eral sensitivity of the assay is very high, LLOQ in plasma reaches the value of 20 ng/mL and of 200 458 459 ng/mL in tissue homogenate (Figure 1 b and Table 1). Also precision is very high, considering that 460 the inter assay and intra assay precision are always under about 10%CV and only the rabbit plasma assay shows values reaching 17.0 and 17.1% CV respectively (Figure 1 b and Table 1). Differently 461 462 On the other hand, accuracy shows higherlarger differences between the validations performed and 463 reaches its higher value on mouse liver liver tissue and tumor, with bias ranging between -29.0 toand 19.6 % bias and its lower in the for mouse tumor at liver and between-15.7 toand 2.4 % bias 464 for mouse tumor (Figure 1 b and Table 1). For GLP study only, we also evaluated the stability of 465 the assay. In mouse plasma we found highSystem stability at -20°C (nominal), where) was high, 466 467 with the system maintainsmaintaining its characteristics up to 3 weeks. Interestingly, in rabbit at the 468 same condition, the system is more stable, maintaining its feasibility up to 5 weeks. At room tem-469 perature the stability is at least 4 hours-in both cases. Also the freeze-thaw stability is the same and is settled for at least 3 cycles from -20°C (nominal) to room temperature. No prozone effect has 470 471 been observed. Overall, our results demonstrated that this method is specific for the intended ana-472 lyte, BGA002, and is able to quantify it in different complex matrices, including plasma of different animal species and organs. This confirms. These results confirm that the hybridization-based ELI-473 SA assay is an appropriate tool to obtain oligonucleotides TK/PK profiles in preclinical and clinical 474 475 drug development. 476 477

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478 Pharmacokinetics of BGA002 in mice.

The pharmacokinetic profile after single and repeated administration profiles of BGA002-to-mice
 was evaluated with a new specific hybridization based ELISA assay of plasma. The concentration 19

481	vs. time profiles were comparable after single dose and after 28 days of repeated SC administration,
482	as demonstrated by both C_{max} and $AUC_{\text{(0-t)}}$ values. Absorption following SC administration was
483	fast, with T_{max} being achieved after 10 min. Systemic exposure was similar between male and fe-
484	male, irrespective of the dose level, with comparable exposure between intravenous (IV) and subcu-
485	taneous (SC) route of administrations (Figure 2 a, b, c, S1, S2 and S3). similar exposure between IV
486	and SC administration (Figure 2 a, b, c, S1, S2 and S3). In addition, exposure increased with in-
487	creasing doses, despite the lack of evidence for dose proportionality BGA002 was quantifiable up
488	to eight hours in plasma (Figure 2 a, b and e, Supplementary Table S1 and S2), after which drug
489	levels were below the limited of detection. Despite a certain grade of variability in the first 90
490	minutes between concentrations of BGA002 at specific timepoints after single or repeated admin-
491	istration (daily for 28 days), none of the doses showed accumulation after repeated dosing (Figure 2
492	d, Supplementary Table S3). This conclusion is based on AUC and C _{max} values which did not show
493	notable (greater than 2 fold) differences in exposure. In addition, with both route of administration,
494	the exposure increased with increasing of the dose, despite it was not possible to identify a dose-
495	proportional effect ⁴⁰ (Figure 2 e, Supplementary Table S3). <u>BGA002 was quantifiable up to eight</u>
496	hours in plasma (Figure 2 a, b and c, Supplementary Table S1 and S2), after which drug levels were
497	below the limited of detection. Despite interindividual variability, none of the doses showed accu-
498	mulation after repeated dosing (Figure 2 d, Supplementary Table S3). The AUC _(0-t) after single SC
499	administration of 2.5, 7.5 and 25 mg/kg dose were 478.1, 1331.1 and 3433.6 h*ng/mL, respectively.
500	No accumulation was observed following repeated dosing, which resulted in A similar exposure
501	range (was observed after repeated dosing, namely, 428.8, 1208.7 and 2801.5 h*ng/mL after 2.5,
502	7.5 and 25 mg/kg dose, respectively) (Table 2). Likewise, Cmax also increased in a dose-dependent
503	manner after SC administration (198, 410, 1031 ng/mL after 2.5, 7.5 and 25 mg/kg dose, respective-
504	ly). Similar findings were observed between sexes (Table 2).
505	Volume of distribution (V_d) values suggest a high distribution ininto tissues. Nevertheless, our

506 pharmacokinetics data overall show a tendency of the BGA002 to accumulate when administered 20

507	subcutaneously, with increasing doses (see V _d values). This effect of potential tissue uptake and ac-
508	cumulation-is counterbalanced by an increase of the elimination process as indicated by the system-
509	ie body clearance (Ch _b) values, which are high and show a tendency to increase with higher doses.
510	This phenomenon could explain the progressive reduction of the half-life observed at higher doses.
511	even though plasma levels remain comparable after repeated dosing. The elimination half-life $(t_{1/2})$
512	was in fact generally short, with a tendency of becoming shorter at higher doses. We did not find
513	significant differences between males and females, for both IV and SC administration, except for
514	the dose 5 mg/kg administered SC where a notable (greater than 2 fold) difference was observed
515	between males and females (Table 2). Nevertheless, we believe that the female value could be relat-
516	ed to some sort of technical issue because is not in-in line with the trend we described above. Sys-
517	temic body clearance (Cl _b) estimates showed high clearance, even though results were not affected
518	by induction or inhibition mechanisms (Table 2of systemic clearance (Clb). The median of relative
519	bioavailability (F) for the subcutaneous route, independently from the dose was calculated as 98.41
520	in male and 83.67 in female (Table 2). PK in rabbits showed a higher (up to ten fold) exposure
521	compared to mice (Supp Fig. S5). Volume of distribution was higher in mice after SC administra-
522	tion (35218.41 mL/kg) than in rabbits after IV infusion (3086.70 mL/kg) at the same dose of 2.5
523	mg/kg (this could indicate a higher distribution in tissue in mice than in rabbits) (supp Table S5).
524	Clearance was higher in mice after SC administration (3261.74 mL/h/kg) than in rabbits after IV in-
525	fusion (694.43 mL/h/kg) (this indicates a higher elimination rate in mice compared to rabbits) (supp
526	Table S5).4% in male and 83.6% in female mice (Table 2)

528 BGA002 has a broad biodistribution in organs and tissues in mice

In order to examine the tissue biodistribution of BGA002 after single subcutaneous administration, radioactive BGA002 containing a [¹⁴C]-acetylated at the C-terminus ([¹⁴C]-Ac-BGA002) was injected into male and female CD-1 mice at a target dose of 15 mg/kg. Total radioactivity in selected tissues was investigated by quantitative whole-body autoradiography (QWBA) at several time-21 Formatted: Font color: Auto

533 points (1, 4, 8, 24, 48 and 168 h post dose) (Figure 3). The concentrations of total radioactivity in 534 selected organs and tissues of male CD-1 mice are presented in Figure 3 a and b with a representative example of the autoradiography shown in Figure 3 c. The results indicate a wide distribution of 535 BGA002 into several tissues and organs, with the kidney, liver, spleen, bone marrow, lymph nodes 536 537 and adrenals (Figure 3 a and b) exposed to the highest levels of radioactivity. The results also con-538 firmed fast clearance from blood, with the highest concentrations of BGA002 detected at the first 539 sampling time point (i.e., 1 h post dose, 1.20 µg equiv/g) and less than half the quantitylevels at 4 h 540 post dose, with further decrease in the subsequent time points. The majority of the tissues showed 541 high concentration between 4-24 h post dose, but it was not possible to identify a clear T_{max} . In both sexes, diffusion and biodistribution from the dose injection site was very slow, with radioactivity 542 still visible at the injection site and in the surrounding areas at 168 h post dose (last time point) 543 (Figure 3 a and b). Radioactivity remained well above the limit of detection in most tissues at this 544 545 time point. The elimination half-life could not be estimated due to the high level of radioactivity 546 still present at the last time point. Concentrations of radioactivityRadioactivity levels in the spinal 547 cord and brain in both male and female animals were low, indicating limited penetration through the 548 blood-brain barrier (Figure 3 a, b and c).

549

550 BGA002 highly concentrates in tumors and correlates with efficacy in different xenograft 551 mouse models

The pharmacokinetic (PK) profile of BGA002 in tumors was investigated in three tumor xenograft mouse models with MNA and MYCN-expression (NB, RMS and SCLC). BGA002 was administered subcutaneously (SC) for 15 days (15 mg/kg/day for RMS and 10 mg/kg/day for NB) or 9 days (5 mg/kg/day and 15 mg/kg/day for SCLC), and its concentration in the tumor was compared with the liver (a healthy organ showing the highest BGA002 concentration in biodistribution studies). TheseOur results reveal that BGA002 can be found into the tumors in all the three mouse models (Figure 4 and table S6). In particular, in NB tumors BGA002 reached a concentration 3-fold higher 22 Formatted: Justified

559 compared to the liver (Figure 4 and table S7). The anti-tumor activity of BGA002 was evaluated in vivo in the same three xenograft murine models. In the RMS model, treatment with BGA002 (SC 560 administration of 15 mg/kg/day for 15 days) resulted in tumor weight decrease of by more than 70% 561 (Figure 5 b). In addition, BGA002 (SC administration for 9 days) at of 5 and 15 mg/kg/day for 9 562 days) induced tumor weight decrease of 15% and 59% (p<0.05), respectively in the multidrug re-563 564 sistant SCLC mouse model of 15% and 59% (p < 0.05), respectively (Figure 5 b)), highlighting a trend of reduction as expected considering our agreement with previous data¹³, Similarly, reduction 565 566 of the N-Myc protein was observed in the RMS model and multidrug resistant SCLC mouse (Fig-567 ure 5 a and c). In this regarding, we intentionally decided to use immunohistochemistry (IHC) analysis for qualitative evaluation, only about of the effect of BGA002 on protein in SCLC mouse mod-568 el (Figure 5 a)., Interestingly, this trend of reduction is maintained observed in western Western blot 569 in both SCLC and RMS model (Figure 5 b). Unfortunately, However, statistical significance ap-570 571 pears only in RMS (p < 0.05). While this observation are not fully supported by statistical analysis, 572 we prefer to keep this information-considering its value as qualitative result and considering that the focus of the paper lies in pharmacokinetics, rather than pharmacodynamics of BGA002, Finally, a 573 574 similar pattern of response was observed in a histological analysis of tumor vascularization, leading 575 to tumor vessels elimination after treatment at 15 mg/kg/day (Figure S4).

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DISCUSSION 577

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578 It is well established that MYCN is a key oncogenic driver in many types of pediatric and adult 579 cancers¹. MYCN drives cancer cells towards a stem like phenotype that affects many different es, including induction of proliferation, cell growth, immune escape, invasion, metastass 580 pro alteration of metabolism, and inhibition of apoptosis⁴. In particular, MNA and/or overexpression is 581 582 a key feature of highly aggressive and deadliest cancer subtypes, and the majority of these tumors is associated with a poor prognosis[‡]. Considering the differences in the pattern of expression in 583 normal cells and its role in MYCN-positive tumors, MYCN can be considered tumor specific and a 584 23

585	promising target for therapeutic interventions ⁸ . We have previously reported an alternative approach
586	based on a selective MYCN gene expression inhibition at the level of chromosomal DNA, through a
587	potent specific antigene PNA oligonucleotide named BGA002 ^{2,4,11–14} . The BGA002 sequence is
588	complementary to a sequence of MYCN gene in murine and in human genomes, showing
589	uniqueness in the latter. In particular, compared to the first lead anti MYCN antigene PNA
590	BGA001 ^{4,11,12} , BGA002 demonstrated a more potent and specific inhibition of MYCN
591	expression ^{2,13,14} . BGA002 caused the block of different MYCN related tumorigenic elements that
592	contribute to cell deregulations, a dose dependent tumor growth inhibition and the induction of
593	apoptosis in MYCN-positive NB and SCLC cells-2.14; in vivo systemic treatment with BGA002
594	leads to potent anti-tumor activity in MNA-NB and MNA-SCLC ^{2,14} .
595	In previous studies, the antigene PNA oligonucleotide approach (via persistent and specific block at

the level of the target gene transcription) showed pharmacological advantages compared to the 596 block of mRNA translation by antisense PNA oligonucleotide strategies^{2,4,11,15}. PNAs have unique 597 characteristics for antigene application, as their neutral backbone avoids the electrostatic repulsion 598 normally encountered between the negatively charged double strand DNA. However, naked PNA 599 oligonucleotides showed limited solubility, low cellular uptake, poor biodistribution, tend to exhibit 600 low plasma protein binding and are rapidly excreted in the urine^{26,41}, that prevented their broad 601 application as therapeutics. Because PNA oligonucleotides have a peptide backbone, the most 602 straightforward approach to overcome these limitations and improve its physicochemical and 603 biological properties is the conjugation of PNA to short synthetic carrier peptides. Different types of 604 605 peptides have been evaluated, that conferred improved pharmacological properties, ranging from 606 cell penetrating peptides focused to optimize the cellular delivery, to peptides that showed improvement to the PK and biodistribution^{18,19}. 607 Regarding the anti MYCN BGA002, because of its pharmacological mechanism of action as an 608

antigene PNA oligonucleotide, delivery in the cell nucleus is a key point for selection of an optimal
 peptide. Therefore, BGA002 contains a covalently linked NLS peptide that we previously selected
 24

611	after experimental evaluation of different peptides. The NLS peptide sequence was previously
612	reported by us for the anti-MYCN antigene PNA BGA0014.11 and by others for an anti-MYC
613	antigene PNA ^{20,21} . Unlike other reported NLS peptides linked to antigene PNAs ^{4,11,20,21} , the NLS
614	peptide in BGA002 is made by D amino acids to improve its stability and limit degradation by
615	proteases ^{2,13,14} . Our previous data showed that BGA002 has an efficient cellular and nuclear
616	accessibility in cancer cells ² . We previously showed that NLS peptide is important also to improve
617	the pharmacodynamics properties for the target DNA sequence of the MYCN gene ⁴² .

Here we report for the first time the development of a novel specific hybridization-based ELISA
approach for the characterization of the <u>PK-pharmacokinetic</u> profile of the MYCN-inhibitor
BGA002, and its quantification in-mouse and rabbit biofluids, as well as in the organs of healthy
mice and tumor xenografts.

623 **PK**

618

Pharmacokinetic analysis in plasma after single administration of BGA002 in mice showed dose in-624 625 dependent, apparently linear pharmacokinetics across the dose range tested, with no evidence of sex 626 differences between male and female animals. Results also show good bioavailability, as minor dif-627 ferences were observed between IV and SC route of administration. The similarity in the concentration range achieved after IV and SC-is relevant because it provides evidence that SC administration 628 629 does not contribute to interindividual variability in exposure. It also supports the use of SC route for further evaluation of efficacy, overcoming potential technical problems of daily IV administrations 630 631 through tail vein in mice. Moreover, the relatively high bioavailability of BGA002 following SC administration also opens the possibility to use SC as a route of BGA002 administration in human 632 633 clinical studies. This would ensure better adherence to treatment and lower the burden of treatment 634 due to the requirements for IV administration.

The PK tissue biodistribution in mice after single subcutaneous administration of [¹⁴C]-Ac-BGA002
 at several time points from 1 h up to 7 days, showed a broad biodistribution in several tissues and 25

637 organs, with a principal exposure observeddrug levels detected in the kidney, liver, spleen, bone marrow, lymph nodes and adrenals. This is in line with previous pharmacokinetic studies¹⁹, which 638 indicated that PNA conjugated to short synthetic peptide carriers were rapidly cleared from circula-639 tion and distributed to a variety of tissues, with highest concentration in liver, kidney, spleen, mes-640 641 enteric lymph nodes and adipose tissue (a very similar set of organs to the one we found for 642 BGA002, except to the adipose tissue which in our study is not one of the main organs where BGA002 accumulates). Overall, these data show that peptide-conjugated PNAs overcome the 643 644 common issues associated with unmodified PNAs, which include poor biodistribution, and they 645 represent a synthetically feasible approach to improve their physicochemical and biological proper-646 ties.

The broad biodistribution of BGA002 in several organs and tissues could suggest its potential enpa-647 bility to reach primary tumor and metastasis in several different sites in the body. In this respect, it 648 649 is relevant worth mentioning that BGA002 localized was also detected in the adrenal medulla (that 650 isi.e., a preferential primary site of origin for NB), and in the bone marrow (that isi.e., a preferential site of metastasis for NB and SCLC). Moreover, the broadobserved biodistribution of BGA002 to 651 several organs and tissues, suggestprofile suggests a wider consideration that application of the 652 653 class of the-PNAs oligonucleotides covalently bond to the positively charged NLS peptide, which 654 could alsobe used to treat other tumors in many different body sites, and even non-oncological dis-655 eases affecting these different body sites.

The On the other hand, the low biodistribution of BGA002 in the spinal cord and brain; indicate that the blood-brain barrier limits its access to the central nervous system (CNS), most probably because the NLS delivery peptide is positively charged (contain lysine and arginine amino acids). If required, for therapeutic indications requiring CNS biodistribution, naked PNA oligonucleotides for their neutral characteristics can cross the blood brain barrier and reach the CNS⁴³.

- 661 In the present work, we investigated the PK profiles of BGA002 in different MNA and MYCN-
- expressing tumors. We selected NB and RMS (as relevant examples for poor prognosis MYCN-

663 positive pediatric tumors), and multidrug resistant SCLC (among the poor prognosis MYCN-664 positive adult tumors). This specific choice was addressed by the model characteristics themselves, 665 considering their aggressiveness and pathology affinities. In particular, event free survival (EFS) commonly shows an endpoint within 60 days^{2,13}. While this value tends to be higher in SCLC mod-666 el, where we also found evidence of high vascularization, NB model usually reaches endpoint value 667 668 under 30 days, highlighting how muchrelevant MNA may be a strong elementis for poor progno-669 sis. Tumor growth rate behaves in a similar way, reaching early relevant volume (500 mm³ within the first 2 week) with an exponential growth following^{2,13}. Positive staining to Ki67 and MYCN 670 protein are also characteristics normally well retained in our models-transversally and allow to 671 properly evaluating, allowing proper evaluation of tumor evolution under treatment^{2,4,13}. 672

Variability iswas also high in our experimental protocols, but the pharmacological response always 674 showed effects were dose-dependency-and exposure-dependent^{2,4,13}, highlighting the feasibility of 675 this model for study involving both PD and PKfurther evaluation of pharmacokinetics and pharma-676 677 codynamics. In fact, we previously reported the in vivo efficacy of BGA002 in a MNA-NB murine model², where we found that SC administration for 15 days of 2.5, 5 or 10 mg/kg/day resulted in a 678 679 potent dose-response-dependent tumor growth inhibition, that reached tumor elimination after 680 treatment with 10 mg/kg/day. In this MNA-NB mouse model, treatment with BGA002 at 5 mg/kg/day yielded a consistent reduction of the N-Myc protein (evaluated by IHC) and of the tumor 681 vessels². 682

683

673

Remarkably, <u>data on</u> the <u>tumor PKpharmacokinetic</u> profile <u>showed that repeated systemic admin-</u> istration of BGA002 <u>resulted in its localization in tumor across</u> all the three highly aggressive MNA tumor mouse models <u>showed drug presence in significant concentrations</u>, with a remarkable 3-fold higher concentration in NB tumor compared to liver. Moreover, the concentration of BGA002 in tumors was associated to an anti-tumor PD activity in all the three MNA tumors. <u>In-particular</u>, 27

689	among the three tumorsIn fact, the highest PK-concentration found in NB was also associated to the
690	highest anti-tumor activity ² , indicating a correlation of BGA002 PK with efficacy in these tumors.
691	
692	In summary, MYCN plays a central role in driving tumor evolution, orchestrating several aggres-
693	sive oncogenic features. Among them, it is well defined the role of MYCN in neovasculariza-
694	tion ^{44,45} , has been established. Therefore, evidence from our findings aboutexperimental protocols
695	showing that BGA002 concentration indistributes into MYCN-expressing tumor might be also
696	linked byto the MYCN role in promoting the neovascularization (and thus accessibility) of the tu-
697	mors, In this respect, it is relevant worth emphasizing that treatment with BGA002 in theof multi-
698	drug resistant SCLC modeltumors with BGA002 led to elimination of tumor vascularization, simi-
699	larly to our previous findings in NB and SCLC ^{2,13} .
700	As
701	From a clinical perspective, it should be highlighted that MNA tumors are characterized by a very
702	high amount of N-Myc protein, and high-risk and metastatic conditions, we evaluated a daily .
703	Therefore, the treatment schedule in MNA mice model to face this dramatic scenarioused here was
704	based on daily administration of BGA002. For the same reasons, in human clinical trials we this
705	reason, we would propose frequent administration, while considering the lower PK also in human
706	compared to mice.
707	Preclinical toxicology studies of repeated administration of BGA002 (4 weeks daily) performed in
708	healthy mice under GLP conditions by using concentrations higher than those reported in the pre-
709	sent work, showed that it is well tolerated (data not shown).
710	clinical trials. BGA002 could be proposed in clinical trialsadministered as a monotherapy or in
711	combination with selected anti-cancer drugs. In this contextfact, BGA002 already showedwas
712	shown to act in synergy with retinoic acid (RA) in MYCN expressing NB, by overcoming RA re-
713	sistance and restoring RA efficacy ¹⁴ -

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714	There are only a limited number of preclinical works describing the PK behaviour of administered.	
715	This may be feasible as evidence from preclinical GLP toxicology studies following repeated ad-	
716	ministration of BGA002 (4 weeks daily) at a higher exposure range than what was described in the	
717	current investigation showed that treatment is well tolerated (data not shown).	
718		
719	There is limited preclinical research on the pharmacokinetics of oligonucleotides in tumors in vivo,	_
720	and none evaluating has evaluated the effect of systemic repeated dosedosing treatment ⁴⁶⁻⁴⁸ . Oligo-	
721	nucleotide therapeutics are emerging as promising anti-cancer precision medicines, especially for	\langle
722	the targeting of the large number of undruggable proteins (such as that codified by MYCN) and	
723	non-coding RNAs ⁴⁹ . Our new preclinical <u>The current</u> findings indicate that the MYCN-specific anti-	
724	gene PNA-peptide BGA002 highly concentrates in aggressive MYCN-related tumors and generates,	
725	resulting in significant anti-tumor efficacyactivity. Our data reinforce the potential role of oligonu-	
726	cleotides in cancer therapy and pose the basis for the first in human clinical trials of FTIH study	
727	with BGA002 in these highly aggressive MYCN-positive tumors. BGA002 already obtained orphan	
728	drug designation for NB by the Food and Drug Administration (FDA) (orphan register: DRU-2017-	
729	6085) and the European Medicines Agency (EMA) (orphan drug application: EMA/OD/020/12), for	
730	Soft Tissue Sarcomas (including RMS) by EMA (orphan drug application: EMA/OD/037/16) and	
731	for SCLC by FDA (orphan register: DRU-2018-6260).	_
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738 AUTHOR CONTRIBUTIONS

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739	A.S. carried out data curation, project administration and participated in the writing, in study con-
740	ceptualization and design. D.B. participated in study supervision, in the writing, validation, in for-
741	mal analysis and in investigation. L.M. participated in developing the methodology, data acquisi-
742	tion, validation and reviewed the manuscript. S.B. participated in the investigation, in validation, in
743	visualization and in the writing. S.A. and C.A. participated in administrative, technical and material
744	support. G.N. and L.C. developed the chemical synthesis of the compound. S.O. and O.DP. revised
745	the manuscript and participated in administrative, technical, and material support. P.H. participated
746	in funding acquisition, manuscript revision and study supervision. R.T. participated in study design
747	and conceptualization, funding acquisition, manuscript revision and study supervision.

749 AUTHORS' DISCLOSURE

R. Tonelli is BIOGENERA shareholder. A.L. Scardovi, worked for BIOGENERA at the time of the
studies, she is currently working for Ritrova Therapeutics, Inc. D. Bartolucci, S. Bortolotti, S. Angelucci, C. Amadesi, G. Nieddu, and L. Cerisoli are working at BIOGENERA. No potential conflicts of interest were disclosed by the other authors.

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TABLES 909

910 Table 1 Hybridization-based ELISA assay parameters in mouse and rabbit tissue omogenate.

911 Table reporting complete hybridization-based ELISA assay parameters calculated in mice and rabbits tissue omogenate. In order are 912 913 reported data for the four different matrix used in the validation. The table cover both GLP-Like and GLP data. Abbreviation: lower

limit of quantification (LLOQ), upper limit of quantification (ULOQ).

		GLP-Lik	te study	GLP study			
Parameter	Unit	Mouse Tumor Mouse Liver		Mouse Plasma	Rabbit Plasma		
LLOQ ng/mL		200	200 100		20		
ULOQ ng/m		3200	3200	200	200		
Intra-assay precision	ecision %CV $\leq 7.$		≤5.0	≤8.9	≤17.0		
Inter-assay precision	%CV	≤8.7	≤11.6	≤6.2	≤17.1		
Accuracy %t		-15.7 to 2.4	-29.0 to 19.6	-8.7 to 26.1	-18.3 to 15.0		

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Table 2 Pharmacokinetics parameters in mouse and rabbit.

Table reporting complete PK parameters calculated in mice-and rabbits. In order are reported data after subcutaneous (SC) or intravenous (IV) single dose administration in mouse and single versus repeated subcutaneous (SC) administration in mouse. Each <u>valuessimate</u> is reported as mean of multiple single values. Abbreviation: time to reach maximum concentration (T_{max}), <u>highestpeak</u> concentration (C_{max}), area under the concentration vs time curve (AUC₀₄), AUC from the time of dosing extrapolated to infinity (AUC_{inf}), elimination half-time ($t_{1/2}$ elim), volume of distribution (V_d), to-tal body clearance (Cl_b), bioavailability (F).

		Mouse single administration					Mo	Mouse repeated administration (SC)			
BGA002 D	ose (mg/kg):		5.0 15.0		.0		2.5	7.5	25		
Parameter	Unit	Administration route	М	F	М	F	Day(s)	F	F	F	
T _{max}	h	SC	0.16	0.50	0.50	0.16		0.50	0.50	0.50	
C _{max}	ng/mL		259	296	487	558		198	410	1031	
AUC(0-t)	h*ng/mL		566.07	408.80	1527.29	1545.21	1 Day	478.17	1331.15	3433.62	
AUCinf	h*ng/mL		711.09	586.01	1775.22	1848.95		766.46	1601.45	3951.81	
t1/2 elim	h		4.10	1.88	3.06	3.33		7.48	3.28	2.76	
Vd	mL/kg		41621.48	23155.77	37342.78	38928.76		35218.41	22169.39	25217.22	
Cl _b	mg/h/kg		7031.42	8532.22	8449.65	8112.69		3261.74	4683.26	6326.21	
F	%	-	85.81	59.29	111.01	108.05					
T _{max}	h		0.25	0.08	0.50	0.08	28 Days	0.50	0.17	0.17	
C _{max}	ng/mL		520	571	492	557		182	356	1284	
AUC(0-t)	h*ng/mL	IV	659.69	689.55	1375.77	1430.13		428.83	1208.7	2801.54	
AUCinf	h*ng/mL		742.48	761.47	1643.21	1601.74		652.03	1512.09	3378.25	
t _{1/2 elim}	h		1.43	1.31	3.75	2.80		6.19	3.42	3.20	
Vd	mL/kg		13939.52	12363.09	49321.23	37815.86		34231.43	24468.71	34142.64	
Cl _b	mg/h/kg		6734.15	6566.21	9128.45	9364.78		3834.19	4960.02	7400.28	

FIGURE LEGENDS

Figure 1. Hybridization-based ELISA approach for the quantification of BGA002. (A) Schematic representation of the single probes and elements and assembled version of ELISA assay. (B) Calibration standard curves selected from research and/or GLP study. The R^2 for each curve follows: Mouse tumor $R^2 = 0.9959$; Mouse Liver $R^2 = 0.9983$; Mouse Plasma $R^2 = 0.9986$; Rabbit Plasma $R^2 = 0.9985$. Standard deviation are too low for graphical representation.

Figure 2. BGA002 pharmacokinetics in <u>murinemice</u>. (A) Plasma concentration-time profiles of BGA002 over 8 hours in male and female murine blood, after a single intravenous injection with BGA002 at 15 mg/kg. (B) Plasma concentration-time profiles of BGA002 over 8 hours in male and female murine blood, after a single subcutaneous injection with BGA002 at 15 mg/kg. (C) Comparison of plasma concentration-time profiles between IV and SC administration routes in murine blood, after single treatment with BGA002 at 15 mg/kg. (D) Comparison of plasma concentration-time profiles in murine blood obtained after one day and after 28 days of once-daily repeated SC administration of BGA002 at 2.5 mg/kg. (E) Plasma concentration-time profiles in murine blood over 8 hours after single SC administration with BGA002 at 2.5 mg/kg, 7.5 mg/kg and 25 mg/kg. In all the studies, due to technical sample limitation in juvenile animals, for each timepoint the samples from three different animals were pooled in a single tube and analyzed through hybridization-based ELISA.

Figure 3. BGA002 biodistribution in male and female mice after single SC administration. (A) Biodistribution in wild type mice up to seven days after SC administration of $[^{14}C]$ -Ac-BGA002 (15 mg/kg). Organs and tissues are divided in three groups. BGA002 concentration is reported as percentage of ug equiv/g normalized within each group. Male mice at 1 h and 48 h are out of analysis due to procedure issues (animals managed to reach the injection site and ingested some of the dose or the dose was partially administered intradermally, in both cases resulting in an anomalous radioactivity distribution profile). (B) Biodistribution of $[^{14}C]$ -Ac-BGA002 is reported as mean from male and female values for specific organ of interest. As expected, higher values can be found in kidney and liver, where oligonucleotides are commonly accumulated. Time points at 1h and 48h have one single measure due to procedure issues (for these time points only the female result is reported, thus standard deviations are missing). Red dots represent single values of female mice, blue dots represent single values of male mice. (C) Example of radiographic section image of biodistribution in mouse (female) after eight hours after single SC administration of [¹⁴C]-Ac-BGA002 (15 mg/kg).

Figure 4. BGA002 localizes is localized in different MNA-positive tumors. <u>PK-concentration_BGA</u> concentrations in tumor and liver in MNA-positive SCLC, NB and RMS mouse models; after systemic SC administration with <u>BGA002 atof</u> 15 mg/kg <u>BGA002</u> for 9 days (in-SCLC), 10 mg/kg for 15 days (in-NB) and 15 mg/kg for 15 days (in-RMS). Mean values for SCLC are respectively 120 µg/g and 273 µg/g (*p*-value = 0.0072); Mean values for NB are respectively 360.6 µg/g and 111.9 µg/g (*p*-value = 0.0424); Mean values for RMS are respectively 121.4 µg/g and 754.6 µg/g (*p*-value = 0.1). Mann-Whitney test was performed for statistical analysis (ns = *p*-value > 0.05; ** = *p*-value ≤ 0.01).

Figure 5. BGA002 induces reduction in tumor weight and N-Myc protein in MNA-positive tumor mouse models. (A) Evaluation by bioluminescenceBioluminescence and immunohistochemistry (reconstruction and magnification) evaluation of tumor growth after 15 days of once daily systemic (IV) administration of BGA002 at 5 or 15 mg/kg/days for 15 days in MNA-positive SCLC mouse model. Data are reported at Reported data refers to the end of the experiment end. (B). TumorSimilarly, tumor weight is reported at the end of experiment in SCLC and RMS, after 15 days of once daily-SC administration of BGA002 for 15 days. Mean values for SCLC are respectively 313.1 mg, 267.0 mg (*p*-value = 0.89) and 128.7 (*p*-value = 0.032); Mean values for RMS are respectively 303.6 mg and 90.8 mg (*p*-value = 0.06). (C) N-Myc protein levels are reported at the end of experiment in SCLC (*p*-value = 0.19 and 0.14) and RMS (*p*-value = 0.017), after treatment with BGA002. Mann-Whitney test was performed for statistical analysis (ns = p-value > 0.05; * = p-

value ≤ 0.05).

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