

Inhibiting Mimicry Affects Authenticity Perception in Vocal Emotions

Ricardo F. Vilaverde¹, Oleksandr V. Horchak¹, Ana P. Pinheiro², Sophie K. Scott³,
Sebastian Korb^{4,5}, & César F. Lima^{1,3}

¹ Instituto Universitário de Lisboa (ISCTE-IUL), Lisboa, Portugal

² Faculdade de Psicologia da Universidade de Lisboa, Lisboa, Portugal

³ Institute of Cognitive Neuroscience, University College London, UK

⁴ Department of Psychology, University of Essex, Colchester, UK

⁵ Department of Cognition, Emotion, and Methods in Psychology, Faculty of Psychology,
University of Vienna, Vienna, Austria

Word count (Introduction + Results + Discussion): 2999

Author note

Funded by a grant from the Portuguese Foundation for Science and Technology (FCT) awarded to R.F.V. (UI/BD/150994/2021).

The preregistration (<https://osf.io/gv76z>), data, materials, and code used for analyses are available in https://osf.io/rhv5x/?view_only=c13c3e9c32f144a490063d74c12e244e

Correspondence concerning this article should be addressed to César F. Lima, Instituto Universitário de Lisboa (ISCTE-IUL), Avenida das Forças Armadas, 1649-026 Lisboa, Portugal. E-mail: cesar.lima@iscte-iul.pt. We have no conflicts of interest to disclose.

Abstract

Although emotional mimicry is ubiquitous in social interactions, its mechanisms and roles remain disputed. A prevalent view is that imitating others' expressions facilitates emotional understanding, but the evidence is mixed and almost entirely based on facial emotions. In a pre-registered study, we asked whether inhibiting orofacial mimicry affects authenticity perception in vocal emotions. Participants listened to authentic and posed laughs and cries, while holding a pen between the teeth and lips to inhibit orofacial responses ($n = 75$), or while responding freely without a pen ($n = 75$). They made authenticity judgments and rated how much they felt the conveyed emotions (emotional contagion). Inhibiting mimicry decreased the accuracy of authenticity perception in laughter and crying, and in posed and authentic vocalizations. It did not affect contagion ratings, however, nor performance in a control cognitive task, ruling out the effort of holding the pen as an explanation for the decrements in authenticity perception. Laughter was more contagious than crying, and authentic vocalizations were more contagious than posed ones, regardless of whether mimicry was inhibited or not. These findings confirm the role of mimicry in emotional understanding and extend it to auditory emotions. They also imply that perceived emotional contagion can be unrelated to mimicry.

Keywords: mimicry; laughter; crying; emotional authenticity; contagion

Inhibiting Mimicry Affects Authenticity Perception in Vocal Emotions

When we see a facial expression, say someone smiling, we tend to activate the mechanisms involved in producing that expression. Motor and somatosensory brain systems are engaged (Moore & Franz, 2017), often resulting in facial movements, so-called emotional mimicry (Rymarczyk et al., 2018). Why we imitate others' behavior remains debated. Some theories view mimicry as a tool for affiliation and social regulation (Hess, 2021; Hess & Fischer, 2022), whereas others view it as a sensorimotor simulation mechanism for emotional understanding (Niedenthal, 2007; Wood et al., 2016). Simulation accounts posit that recreating others' expressions generates bodily and affective information that facilitates inferences about the meaning of those expressions (Goldman & Sripada, 2005; Niedenthal, 2007; Ross & Atkinson, 2020; Wood et al. 2016).

Research on whether sensorimotor mechanisms support emotional understanding focuses primarily on faces. Electromyography (EMG) studies indicate that mimicry correlates with emotion recognition performance (Oberman et al., 2007) and with evaluations of valence (Davis et al., 2015), intensity (Schneider et al., 2013), and authenticity (Korb et al., 2014). Causal evidence comes from studies showing that transcranial magnetic stimulation (TMS) applied to sensorimotor networks reduces facial mimicry (Korb et al., 2015) and impairs facial emotion (Pitcher et al., 2008) and smile authenticity perception (Paracampo et al., 2017). Furthermore, injecting botulinum toxin to paralyse facial muscles involved in frowning impairs anger detection in morphed expressions (Bulnes et al., 2019). Mimicry manipulations requiring holding a pen in the mouth also delay the detection of emotional changes in morphed facial expressions (Niedenthal & Brauer, 2001), slow down emotion recognition (Lydon & Nixon, 2014), impair happiness recognition (Borgomaneri et al., 2020; Oberman et al., 2007), and decrease smile authenticity detection (Maringer et al., 2011).

Although these data support sensorimotor simulation accounts, the evidence is mixed. In congenital facial palsy (Moebius syndrome), the absence of mimicry does not affect facial emotion recognition (Bogart & Matsumoto, 2010). Furthermore, associations between mimicry and emotion recognition are not always replicated (e.g., Hess & Blair, 2001). A meta-analysis of EMG evidence (Holland et al., 2020) indicates that mimicry correlates with empathy, but not with facial emotion recognition. Only nine studies were included, however, and the findings were heterogeneous. It remains unresolved, then, whether mimicry provides a reliable route for emotional understanding.

Here, we asked whether reducing orofacial mimicry affects evaluations of emotional vocalizations. Examining auditory emotions is important to clarify previous findings and to develop theorizing on sensorimotor contributions to emotion processing. Is sensorimotor simulation a face-specific or a domain-general mechanism? Is mimicry confined to seen actions, or does it extend to unseen ones? The notion of mirroring facial movements is intuitive, but although we do not ‘see’ voices, they reflect actions that can be mirrored. Vocal sounds are inherently linked to orofacial movements, such that auditory-motor mapping is central for audition and speech perception (Lima et al., 2016; Scott et al., 2009). Laughter involves the mouth and the face, for example, and listening to laughs activates motor and somatosensory systems that produce the corresponding orofacial gestures (Lima et al., 2015; McGettigan et al., 2015; O’Nions et al., 2017; Warren et al., 2006). Audio-visual integration of motor and affective systems is therefore to be expected (Arias et al., 2018, 2020; Hawk et al., 2012).

Sensorimotor responses correlate with vocal emotion perception. Activity in the corrugator supercilii and orbicularis oculi muscles during listening to authentic and posed laughs predicts subsequent authenticity ratings (Lima et al., 2021). Individuals showing stronger sensorimotor brain responses to laughter (McGettigan et al., 2015) and higher trait

resonance with others' emotions (trait contagion; Neves et al., 2018) are better at perceiving laughter authenticity. Additionally, an fMRI study showed that a stronger engagement of sensorimotor systems correlates with improved recognition of emotional speech (Correia et al., 2019). These correlations preclude causal inferences, though. In one TMS study, suppressing activity in somatosensory and premotor cortices disrupted emotion recognition in vocalizations (Banissy et al., 2010). A pen-holding study showed a similar effect (Hawk et al., 2012), but other did not: reducing mimicry made the vocalizations sound more positive, but left emotion recognition accuracy intact (Wołoszyn et al., 2022).

In the current study, we used a pen-holding manipulation to reduce orofacial responses (e.g., Maringer et al., 2011; Niedenthal et al., 2009; Niedenthal & Brauer, 2001), and focused on participants' ability to tell authentic from posed emotional vocalizations. Authentic laughter and crying differ from their posed counterparts acoustically, perceptually, and in their neural correlates (Anikin & Lima, 2018; Bryant & Aktipis, 2014; Conde et al., 2022; Lima et al., 2021; Pinheiro et al., 2021). If reducing sensorimotor responses impairs authenticity detection in vocalizations, that would imply that simulation operates across modalities, and inform current debates on the roles of mimicry.

Reported associations between sensorimotor mechanisms and authenticity perception in vocalizations (Lima et al., 2021; McGettigan et al., 2015; Neves et al., 2018) motivated our main prediction: compared to participants who can mimic freely, those holding a pen between the teeth and lips will be worse at inferring authenticity in laughter and crying. Because sensorimotor responses are stronger for positive than negative vocalizations (Lima et al., 2021; Warren et al., 2006), mimicry inhibition could affect laughter more than crying perception. Importantly, we included a non-emotional control task (abstract reasoning). If potential pen-holding effects reflect mimicry inhibition as intended, and not effort related to holding a pen (Rychlowska et al., 2014), they should not be observed for this task. Two more

exploratory aspects were also considered. First, participants also rated emotional contagion for each vocalization. Because mimicry is sometimes conflated with contagion (Hess & Fischer, 2022), a tentative prediction is that inhibiting mimicry reduces contagion responses. Second, we explored whether scores on questionnaires of trait contagion and empathy correlate with authenticity and contagion evaluations (Neves et al., 2018), and whether such correlations vary across mimicry conditions. The study preregistration is available on the Open Science Framework (<https://osf.io/gv76z>).

Methods

Participants

We tested 150 adults, 101 women and 49 men. They were 26.97 years of age on average ($SD = 8.68$, range = 18-56) and had 14.60 years of education ($SD = 2.55$, range = 12–26). All participants reported normal hearing, normal or corrected-to-normal vision, no history of neurological or psychiatric disorders, and were Portuguese native speakers. They were recruited on campus or from local communities and received partial course credits or a voucher to take part. Written informed consent was obtained from all participants.

Sample size was estimated based on previous related research ($N = 119$ in Neves et al., 2018; $N = 100$ in Lima et al., 2021). We aimed for a somewhat higher N , however, because the study had exploratory components and the testing was conducted online, which could result in more variability compared to using a standardized laboratory setting.

Materials

Voices. The same vocal stimuli were used for authenticity and contagion evaluations, and they were auditory-only stimuli. They consisted of 120 nonverbal vocalizations, 30 unique ones per each of the four conditions: posed laughter, authentic laughter, posed crying, and authentic crying (half of the stimuli in each condition were produced by women).

Vocalizations were selected from a larger stimulus set that has been used in behavioral and

neuroimaging studies (Lima et al., 2021; O’Nions et al., 2017; Pinheiro et al., 2021). They were generated within a sound-proof anechoic chamber by six speakers (three women), aged 24 to 48 years, who had experience recording vocal materials but were not professional actors. To elicit authentic laughter, an amusement induction procedure was used in a social-interactive setting: the speakers watched short clips that they had identified as amusing beforehand, and the experimenters interacted with them during the recording session to ensure the naturalness of the laughs. For authentic crying, speakers recalled upsetting/sad past life events to self-induce a genuine experience of sadness. All of them confirmed that they were able to cry spontaneously and reported having felt genuine sadness throughout the recording. The same speakers were asked to produce posed laughter and posed crying, and to try to make them sound credible and natural, as per the typical procedure used to record acted vocal stimuli (Lima et al., 2013). The duration of the stimuli was 2450 ms on average ($SD = 410$), and the best possible match was ensured between the duration of authentic ($M = 2560$ ms) and posed ones ($M = 2330$ ms).

Abstract Reasoning. The Matrix Reasoning Item Bank (MaRs-IB; (Chierchia et al., 2019) is an 8-min nonverbal reasoning test modeled after Raven’s matrices tests. On each trial, participants are shown a 3X3 matrix, corresponding to eight cells containing abstract shapes, and a ninth empty cell. The task consists of selecting one of four options for the empty cell, which requires identifying the rules that govern the pattern of the other eight cells. Participants are told that they have up to 30 s to complete each trial, after which the next one is presented. The test includes 80 matrices, and if participants complete all of them in less than eight min, they are presented again until time is up. Following the test’s developers, performance corresponds to the proportion of correct responses (i.e., number of correct responses/number of completed trials), computed for each participant after excluding

responses provided in less than 250 ms. Proportions were logit-transformed for statistical analyses.

Questionnaires. The Emotional Contagion scale, ECS (Doherty, 1997; Rueff-lopés & Caetano, 2012), includes 15 items and assesses the tendency to resonate with others' emotions as a trait. Items are rated on a five-point scale, from 1 ('never') to 5 ('always'), and cover five emotions: happiness (e.g., 'When someone smiles warmly at me, I smile back and feel warm inside'); love (e.g., 'I melt when the one I love holds me close'); fear (e.g., 'I notice myself getting tense when I'm around people who are stressed out'); anger (e.g., 'It irritates me to be around angry people') and sadness (e.g., 'I cry at sad movies'). Item scores are averaged to produce a total individual score.

The Questionnaire of Cognitive and Affective Empathy, QCAE (Queirós et al., 2018; Reniers et al., 2011), includes 31 items: 19 focused on cognitive and 11 on affective empathy. Examples of items include 'I can tell if someone is masking their true emotion, or 'Before criticizing somebody, I try to imagine how I would feel if I was in their place'. Items are rated on a four-point scale from 1 ('strongly disagree') to 4 ('strongly agree'), and scores are summed to produce cognitive and affective trait empathy scores. Only the cognitive subscale was used because the affective one measures resonance with others' emotions, which the ECS already covers.

Procedure

The tasks and questionnaires were implemented in Gorilla Experiment Builder (Anwyl-Irvine et al., 2020), an online platform for psychological research. Participants took part in one online session lasting around 50 minutes, supervised by an experimenter via Zoom (www.zoom.us). They were in an audiovisual virtual meeting throughout the session, and the experimenter ensured that they followed the instructions, could ask questions, were in a quiet place, and wore headphones during the voice task.

Participants first provided informed consent and completed a background questionnaire that asked for demographic information. They were then assigned randomly to the *inhibited* or *free* face conditions, such that there were 75 participants in each condition. Those in the inhibited condition were asked to keep their face as still as possible and to hold a pen sideways in the mouth while evaluating the vocalizations, lightly using their teeth and lips (Figure 1; for a similar procedure, e.g., Borgomaneri et al., 2020; Niedenthal et al., 2009; Niedenthal & Brauer, 2001; Oberman et al., 2007). Those in the free condition did not receive any instructions regarding orofacial movements during the voice tasks. They were instead asked to hold the pen in the mouth during the abstract reasoning test. Thus, all participants underwent the same pen-holding manipulation during the experiment, but on different moments, and none during the voice tasks *and* reasoning test. All were told that the pen-holding manipulation was meant to test how people perform more than one task simultaneously.

Authenticity and contagion evaluations were provided in different blocks, as separate tasks, completed at the start and end of the experimental session in a counterbalanced order. The abstract reasoning test and the questionnaires were completed between the two voice tasks (see Figure 1C). In the authenticity task, participants made two-alternative forced choice judgments, classifying each vocalization as posed or authentic. In the contagion task, they rated their emotional response to each vocalization on a seven-point scale from 1 ('it does not make me feel a similar emotion') to 7 ('it makes me feel a similar emotion'). The number of trials (120) and their structure was similar across the voice tasks: fixation cross for 2 s, stimulus presentation for ≈ 3 s, and prompt for participants' response (see Figure 1). The next trial started right after the response, and no feedback was given. The tasks were preceded by four practice trials, and participants could take a break half-way through each task.

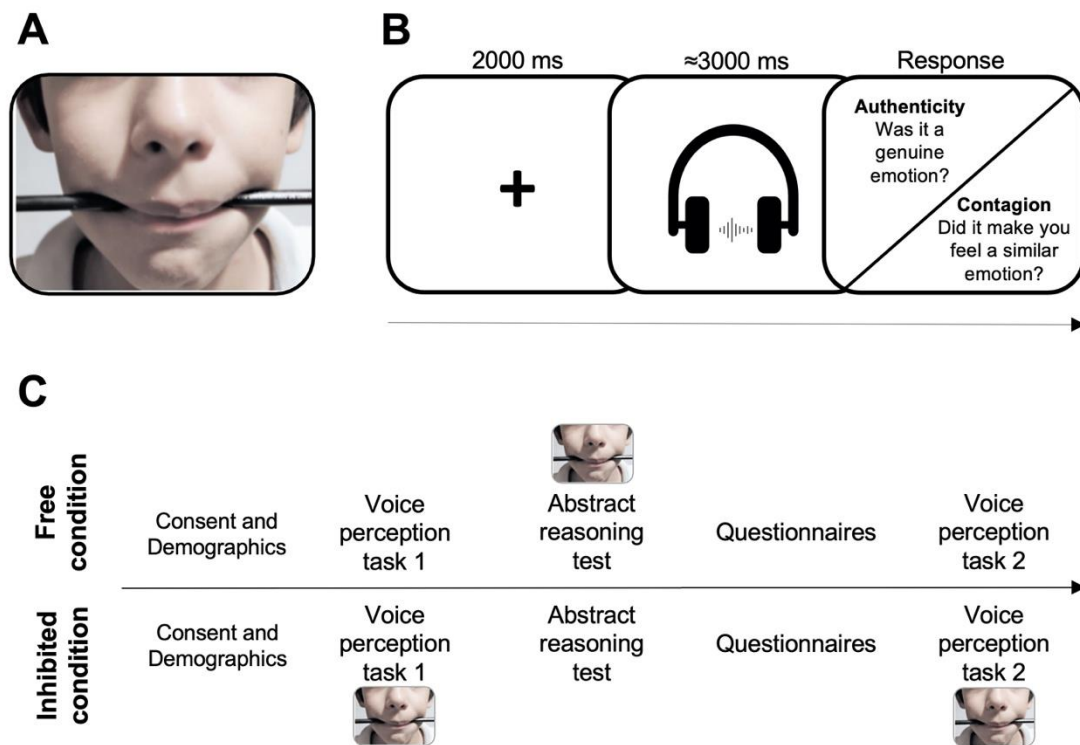


Figure 1. Illustration of the pen-holding manipulation (A), structure of the experimental trials in the voice perception tasks (B), and structure of the experimental session in the free and inhibited conditions (C). Authenticity and contagion evaluations were provided separately, in two voice perception tasks, whose order was counterbalanced across participants.

Data Analysis

Statistical analyses were performed using mixed-effects modelling as implemented in the R package *lme4* (Bates et al., 2021). The fixed effects predictors were sum-coded (-1, 1). Separate models were used for the authenticity and contagion tasks, and significance was tested using the Satterthwaite degrees of freedom approach, as implemented in the R package *lmerTest* (Kuznetsova et al., 2015). If a significant interaction was obtained, we performed

follow-up analyses using the *emmeans* package (Lenth et al., 2021), and selected the holm method for controlling for family wise error rate.

For the authenticity task, we modelled accuracy data (correct/incorrect responses) with mixed-effects logistic regression. For the contagion task, we modelled 1-7 ratings with mixed-effects ordinal logistic regression. The full models included face condition (inhibited, free), vocalization type (laughter, crying), authenticity (posed, authentic), and their interaction as fixed factors, as well as by-participant and by-item random intercepts. The models also included by-participant random slopes for vocalization type, authenticity, and the interaction term. The model of authenticity data was of the form:

$$\text{AuthenticityEvaluation} \sim \text{FaceCondition} * \text{VocalizationType} * \text{Authenticity} + (1 + \text{VocalizationType} * \text{Authenticity} | \text{Participant}) + (1 | \text{Stimuli})$$

For the contagion data, the model was similar, but with 1-7 ratings instead of binary responses.

Demographic data (sex and age) and questionnaire scores (ECS and QCAE scores) were added to these models as covariates to check if they influenced the results. Age and questionnaire scores were mean-centered.

An independent samples t-test examined whether inhibiting facial responses affected the proportion of correct responses in the cognitive test.

When null results were obtained, we complemented frequentist statistics with Bayesian inference for a substantiated interpretation. Specifically, Bayes Factors (BFs) were used to determine the likelihood of the null compared to the alternative hypothesis, where a $\text{BF}_{10} < .333$ provides substantial or stronger evidence for the null hypothesis, according to Jeffreys' guidelines (Jarosz & Wiley, 2014).

Results

Figure 2 shows descriptive statistics for authenticity and contagion evaluations (see also Table S1). Authenticity perception accuracy was 68.11% on average, and performance

was above chance across conditions. Contagion ratings were 3.30 on average (scale 1-7), and authentic laughs (4.46) were particularly contagious (all other conditions, ≤ 3.21).

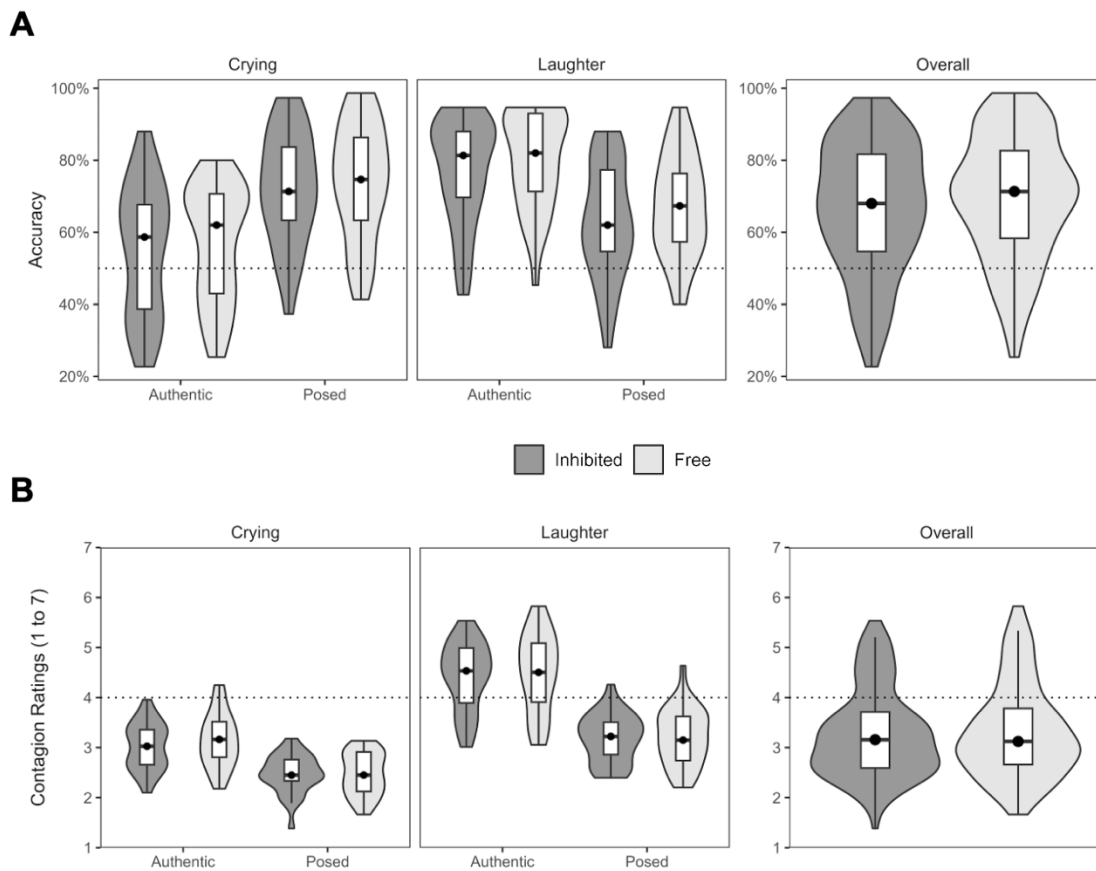


Figure 2. Accuracy of authenticity perception (A) and contagion ratings (B) for each condition (Overall corresponds to the average of all conditions of vocalizations). The middle horizontal lines show the medians, the boxes indicate the 25th and 75th percentiles, and the violin plots show the distribution of mean observed values. The dashed lines indicate chance level in A (50%) and the mid-point of the scale in B (4)

Authenticity. Our main analysis confirmed that inhibiting orofacial responses decreased authenticity perception (66.66% vs. 69.57% in the inhibited and free conditions, respectively), as shown by a main effect of face condition, $estimate = -0.09$, $SE = 0.04$, $z = -2.43$, $p = .015$, 95% CI [-0.16, -0.02]. This effect did not interact with vocalization type, $estimate = 0.04$, $SE = 0.02$, $z = 1.59$, $p = .111$, 95% CI [-0.01, 0.09], or authenticity, $estimate$

= 0.00, $SE = 0.05$, $z = 0.03$, $p = .980$, 95% CI [-0.10, 0.11]. There was also no three-way interaction between face condition, vocalization type, and authenticity, $estimate = 0.00$, $SE = 0.04$, $z = -0.10$, $p = .922$, 95% CI [-0.08, 0.08].

There was a main effect of vocalization type, $estimate = -0.21$, $SE = 0.08$, $z = -2.49$, $p = .013$, 95% CI [-0.37, -0.04], and an interaction between vocalization type and authenticity, $estimate = -0.45$, $SE = 0.09$, $z = -5.05$, $p < .001$, 95% CI [-0.63, -0.28]. Replicating previous findings (Pinheiro et al., 2021), authenticity perception was more accurate for laughter (78.44%) than for crying (55.89%) when vocalizations were authentic, $estimate = -1.33$, $SE = 0.24$, $z = -5.52$, $p < .001$, 95% CI [-1.80, -0.86], and more accurate for crying (72.96%) than for laughter (65.16%) when they were posed, $estimate = 0.49$, $SE = 0.25$, $z = 1.96$, $p = .050$, 95% CI [0.00, 0.99].

Effects of listener's age and sex did not interact with any of our variables of interest ($ps > .070$). We found a main effect of age, though, with older adults being more accurate than younger ones, $estimate = 0.01$, $SE = 0.00$, $z = 2.44$, $p = .015$, 95% CI [1.00, 1.02]. Sex effects were not significant, $estimate = 0.05$, $SE = 0.04$, $z = 1.44$, $p = .150$, 95% CI [-0.02, 0.13].

Contagion. Inhibiting orofacial responses did not affect contagion ratings, as shown by a non-significant main effect of face condition, $estimate = -0.07$, $SE = 0.14$, $z = -0.50$, $p = .616$, 95% CI [-0.34, 0.20]. Face condition also did not interact with vocalization type, $estimate = -0.02$, $SE = 0.05$, $z = -0.27$, $p = .781$, 95% CI [-0.12, 0.09], or authenticity, $estimate = -0.02$, $SE = 0.04$, $z = -0.59$, $p = .552$, 95% CI [-0.10, 0.05], and the three-way interaction between face condition, vocalization type, and authenticity was also not significant, $estimate = -0.02$, $SE = 0.02$, $z = -1.01$, $p = .313$, 95% CI [-0.07, 0.02]. Bayesian analyses provided decisive evidence for the null hypothesis, $BF_{10} < 0.01$ (i.e., for a model without vs. with a face condition effect).

As expected (Lima et al., 2021; Neves et al., 2018), laughter was more contagious (3.83) than crying (2.79), $estimate = -0.81$, $SE = 0.09$, $z = -8.92$, $p < .001$, 95% CI [-0.99, -0.63], and authentic vocalizations (3.78) more contagious than posed ones (2.84), $estimate = 0.71$, $SE = 0.08$, $z = 8.55$, $p < .001$, 95% CI [0.54, 0.87]. Effects of authenticity on contagion were larger for laughter (authentic: 4.46, posed: 3.21) than for crying (authentic: 3.11, posed: 2.48), as indicated by the interaction between vocalization type and authenticity, $estimate = -0.23$, $SE = 0.08$, $z = -3.04$, $p = .002$, 95% CI [-0.38, -0.08].

Effects of listener's age and sex did not interact with any of our variables of interest ($ps > .107$), and the main effect of age was not significant, $estimate = -0.00$, $SE = 0.01$, $z = -0.33$, $p = .740$, 95% CI [-0.04, 0.03]. The only finding was that women reported higher contagion for authentic vocalizations than men, $estimate = 0.13$, $SE = 0.03$, $z = 3.16$, $p = .002$, 95% CI [0.05, 0.20].

Abstract Reasoning. Accuracy in the reasoning test was similar in the inhibited (59.64%) and free (61.00%) conditions, $t(145.85) = 0.61$, $p = .541$, equal variances assumed, Levene's test, $p = .520$. Bayesian analyses provided substantial evidence for the null hypothesis, $BF_{10} = 0.20$.

Face condition also did not affect the absolute number of correct responses, i.e., regardless of how many trials were completed. Averages were 23.77 and 24.96 in the inhibited and free conditions, respectively, $t(148) = 1.13$, $p = .258$, equal variances assumed, Levene's test, $p = .277$, $BF_{10} = 0.32$.

Questionnaires. Trait contagion (ECS) scores were similar to previous findings (Table S1; Doherty, 1997). The effect of trait contagion on authenticity perception was positive as expected, but not significant, $estimate = 0.10$, $SE = 0.08$, $z = 1.22$, $p = .221$, 95% CI [-0.06, 0.26]. Similarly, trait contagion did not interact with any of the variables of interest, $ps > .162$. In contrast, ECS scores predicted higher contagion responses to the

vocalizations, $estimate = 1.09$, $SE = 0.28$, $z = 3.88$, $p < .001$, 95% CI [0.54, 1.64]. This effect did not interact with any other variable, $ps > .063$, except for sex, $estimate = -0.77$, $SE = 0.31$, $z = -2.49$, $p = .012$, 95% CI [-1.37, -0.16]. ECS predicted contagion responses for men, $estimate = 2.25$, $SE = .048$, $z = 4.63$, $p < .001$, 95% CI [1.28, 3.16], but only marginally for women, $estimate = 0.71$, $SE = 0.39$, $z = 1.87$, $p = .061$, 95% CI [0.00, 1.49].

Average cognitive empathy scores (QCAE) were also similar to previous findings (Reniers et al., 2011; Vilaverde et al., 2020). Associations with authenticity perception depended on face condition and stimulus authenticity, as indicated by a three-way interaction between empathy, vocalization type, and authenticity, $estimate = 0.02$, $SE = 0.00$, $z = 2.86$, $p = .004$, 95% CI [0.01, 0.04] (the main effect and other interactions were not significant, $ps > .158$). In the inhibited condition, higher cognitive empathy predicted improved authenticity detection in authentic vocalizations, $estimate = 0.02$, $SE = 0.01$, $z = 2.00$, $p = .045$, 95% CI [0.00, 0.05] (posed vocalizations, $p = .758$). In the free condition, higher empathy predicted improved authenticity detection in posed vocalizations, $estimate = 0.02$, $SE = 0.01$, $z = 2.47$, $p = .014$, 95% CI [0.00, 0.05] (authentic vocalizations, $p = .059$). Higher empathy scores also predicted higher contagion responses to the vocalizations, but only in the inhibited condition, $estimate = 0.07$, $SE = 0.03$, $z = 2.55$, $p = .010$, 95% CI [0.02, 0.13] (free condition, $p = .600$; interaction between empathy and face condition, $estimate = 0.04$, $SE = 0.01$, $z = 2.26$, $p = .024$, 95% CI [0.01, 0.08]; the main effect and other interactions were not significant, $ps > .104$).

Discussion

We asked whether inhibiting orofacial mimicry affected evaluations of emotional vocalizations. Compared to participants who could mimic, those holding a pen between their teeth and lips were worse at perceiving laughter and crying authenticity, despite experiencing intact contagion. The pen-holding manipulation did not affect abstract reasoning, suggesting

that it inhibited mimicry without having cognitive costs. Trait emotional contagion predicted contagion responses to the vocalizations, but not authenticity perception. Cognitive empathy predicted contagion responses and authenticity perception, but only in some conditions.

The main finding was that inhibiting mimicry decreased participants' ability to tell authentic from posed vocalizations. Previous studies documented that listening to vocalizations evokes sensorimotor responses (Lima et al., 2015; Lima et al., 2021; McGettigan et al., 2015; Warren et al., 2006), and that such responses predict emotional evaluations (Lima et al., 2021; McGettigan et al., 2015). But there was no evidence for a causal implication of mimicry in authenticity perception, which is crucial for arguments that mimicry supports emotional understanding, and that emotion recognition involves simulation (Niedenthal, 2007; Ross & Atkinson, 2020; Wood et al., 2016). Effects were similar across vocalization types, and not stronger for laughter as predicted. Thus, although positive vocalizations evoke larger sensorimotor responses (Lima et al., 2021; Warren et al., 2006), contributions of mimicry to emotional understanding are independent of valence. Indeed, Banissy et al. (2010) and Hawk et al. (2012) found that inhibiting sensorimotor activity affected emotion recognition in positive and negative vocalizations similarly.

A stronger effect for laughter could additionally be expected because our pen-hold manipulation inhibits smiling, but not muscles associated with negative emotions (e.g., corrugator, Lundqvist & Dimberg, 1995). Nevertheless, while in faces distinct muscles relate to distinct valences, in vocalizations both laughter and crying require movements of the mouth and articulators, which could explain the lack of emotion-specific effects.

How can our findings be interpreted? Effort involved in holding a pen is an unlikely explanation, because contagion and cognitive performance remained intact. Instead, activating motor representations related to sounds arguably enables sensory and affective predictions that optimize perception. When sensorimotor activity is prevented, perceptual

inferences are suboptimal. This explanation is aligned with models of auditory perception (Lima et al., 2016; Correia et al., 2019) and simulation accounts of facial emotion recognition (Wood et al., 2016). Our findings suggest that simulation is a supra-modal mechanism, and that mimicry is not restricted to observed movements. We do not ‘see’ voices, but they are paired with faces in naturalistic interactions, and vocalizations require orofacial movements. Additionally, we mimic partially occluded faces (Davis et al., 2022), which is further evidence of imitation of unseen actions.

Inhibiting orofacial activity could decrease emotional contagion, based on views that conflate mimicry with contagion, and that mimicry supports affiliation (Drimalla et al., 2019; Hess, 2021). We did not observe such decrements, though. Perhaps affiliative behaviors emerge primarily in interactions, not in response to isolated sounds. Indeed, contagion ratings were low, although sounds like laughter are highly contagious in everyday interactions (Scott et al., 2014). Measures of contagion other than self-reports, such as electrodermal responses (e.g., Lima et al., 2021), could also provide additional sensitivity. Nevertheless, dissociations between mimicry and emotional contagion have been observed before (Hess & Blairy, 2001; Lundqvist & Dimberg, 1995), highlighting the need to distinguish them. Mimicry is a motor behavior and contagion a feeling state (Hess & Fischer, 2022).

Higher trait contagion predicted stronger contagion responses to the vocalizations, which seems intuitive, but not improved authenticity detection, unlike reported by Neves et al. (2018). The association between trait contagion and authenticity detection might be context-dependent. The effect was also weak in a study of laughter and crying like the current one (Lima et al., 2021), whereas Neves et al. (2018) examined only laughter. Moreover, our task required binary judgments of authenticity, whereas previous studies required fine-grained ratings in seven-point scales. Cognitive empathy, on the other hand, had selective associations with contagion and authenticity responses. It predicted stronger contagion

responses in the inhibited condition, possibly because mentalizing provides a route for contagion when sensorimotor mechanisms are restricted (Lamm et al., 2011). Associations with authenticity detection were observed for authentic vocalizations in the inhibited condition, and for posed vocalizations in the free condition. That cognitive empathy predicts authenticity detection in posed vocalizations could be expected, because posed laughter engages mentalizing systems more strongly than authentic laughter (McGettigan et al., 2015). Not finding the same association in the inhibited condition, where it instead emerged for authentic vocalizations, was unexpected and should be confirmed.

A limitation of our study is that we used a single strategy to inhibit mimicry, such that future work will need to establish our findings across different methods (e.g., Wood et al., 2015). Although our pen-holding manipulation is widely used, and is optimal for online testing, we cannot be certain that it fully inhibited mimicry because we could not measure orofacial activity. Moreover, our task did not emphasize speed, just accuracy. Future studies could emphasize both, considering evidence that speed might be particularly sensitive to sensorimotor manipulations (Banissy et al., 2010).

To conclude, our study shows that inhibiting orofacial mimicry disrupts the ability to tell authentic from posed emotional vocalizations. This finding suggests a novel causal link between emotional mimicry and authenticity detection in the auditory modality, with implications for debates on the roles of mimicry and on the mechanisms underlying emotion recognition.

References

- Anikin, A., & Lima, C. F. (2018). Perceptual and acoustic differences between authentic and acted nonverbal emotional vocalizations. *Quarterly Journal of Experimental Psychology*, *71*(3), 622–641. <https://doi.org/10.1080/17470218.2016.1270976>
- Anwyl-Irvine, A. L., Massonnié, J., Flitton, A., Kirkham, N., & Evershed, J. K. (2020). Gorilla in our midst: An online behavioral experiment builder. *Behavior Research Methods*, *52*(1), 388–407. <https://doi.org/10.3758/s13428-019-01237-x>
- Arias, P., Belin, P., & Aucouturier, J.-J. (2018). Auditory smiles trigger unconscious facial imitation. *Current Biology: CB*, *28*(14), R782–R783. <https://doi.org/10.1016/j.cub.2018.05.084>
- Arias, P., Soladié, C., Bouafif, O., Roebel, A., Séguier, R., & Aucouturier, J.-J. (2020). Realistic Transformation of Facial and Vocal Smiles in Real-Time Audiovisual Streams. *IEEE Transactions on Affective Computing*, *11*(3), 507–518. <https://doi.org/10.1109/TAFFC.2018.2811465>
- Banissy, M. J., Sauter, D. A., Ward, J., Warren, J. E., Walsh, V., & Scott, S. K. (2010). Suppressing Sensorimotor Activity Modulates the Discrimination of Auditory Emotions But Not Speaker Identity. *The Journal of Neuroscience*, *30*(41), 13552–13557. <https://doi.org/10.1523/JNEUROSCI.0786-10.2010>
- Bates, D., Maechler, M., Bolker, B., Walker, S., Haubo, R., Christensen, B., Singmann, H., Dai, B., Scheipl, F., Grothendieck, G., Green, P., Fox, J., & Krivitsky, P. (2021). Package ‘lme4’. Linear mixed-effects models using S4 classes. *R Package Version*, *1*(6), Article 6.
- Bogart, K., & Matsumoto, D. (2010). Facial mimicry is not necessary to recognize emotion: Facial expression recognition by people with Moebius syndrome. *Social Neuroscience*, *5*(2), 241–251. <https://doi.org/10.1080/17470910903395692>

- Borgomaneri, S., Bolloni, C., Sessa, P., & Avenanti, A. (2020). Blocking facial mimicry affects recognition of facial and body expressions. *PLoS ONE*, *15*(2), Article 2. <https://doi.org/10.1371/journal.pone.0229364>
- Bryant, G. A., & Aktipis, C. A. (2014). The animal nature of spontaneous human laughter. *Evolution and Human Behavior*, *35*(4), Article 4. <https://doi.org/10.1016/j.evolhumbehav.2014.03.003>
- Bulnes, L. C., Mariën, P., Vandekerckhove, M., & Cleeremans, A. (2019). The effects of Botulinum toxin on the detection of gradual changes in facial emotion. *Scientific Reports*, *9*(1), Article 1. <https://doi.org/10.1038/s41598-019-48275-1>
- Chierchia, G., Fuhrmann, D., Knoll, L. J., Pi-Sunyer, B. P., Sakhardande, A. L., & Blakemore, S. J. (2019). The matrix reasoning item bank (MaRs-IB): Novel, open-access abstract reasoning items for adolescents and adults. *Royal Society Open Science*, *6*(10), Article 10. <https://doi.org/10.1098/rsos.190232>
- Conde, T., Correia, A. I., Roberto, M. S., Scott, S. K., Lima, C. F., & Pinheiro, A. P. (2022). The time course of emotional authenticity detection in nonverbal vocalizations. *Cortex*, *151*, 116–132. <https://doi.org/10.1016/j.cortex.2022.02.016>
- Correia, A. I., Branco, P., Martins, M., Reis, A. M., Martins, N., Castro, S. L., & Lima, C. F. (2019). Resting-state connectivity reveals a role for sensorimotor systems in vocal emotional processing in children. *NeuroImage*, *201*(November 2018), Article November 2018. <https://doi.org/10.1016/j.neuroimage.2019.116052>
- Davis, J. D., Coulson, S., Blaison, C., Hess, U., & Winkielman, P. (2022). Mimicry of partially occluded emotional faces: Do we mimic what we see or what we know? *Cognition and Emotion*, *0*(0), 1–21. <https://doi.org/10.1080/02699931.2022.2135490>
- Davis, J. D., Winkielman, P., & Coulson, S. (2015). Facial Action and Emotional Language: ERP Evidence that Blocking Facial Feedback Selectively Impairs Sentence

Comprehension. *Journal of Cognitive Neuroscience*, 27(11), Article 11.

https://doi.org/10.1162/jocn_a_00858

Doherty, R. W. (1997). The emotional contagion scale: A measure of individual differences.

Journal of Nonverbal Behavior, 21(2), 131–154.

<https://doi.org/10.1023/A:1024956003661>

Drimalla, H., Landwehr, N., Hess, U., & Dziobek, I. (2019). From face to face: The

contribution of facial mimicry to cognitive and emotional empathy. *Cognition and Emotion*, 33(8), Article 8. <https://doi.org/10.1080/02699931.2019.1596068>

Goldman, A. I., & Sripada, C. S. (2005). Simulationist models of face-based emotion

recognition. *Cognition*, 94(3), Article 3.

<https://doi.org/10.1016/j.cognition.2004.01.005>

Hawk, S. T., Fischer, A. H., & Kleef, G. A. V. (2012). Face the Noise: Embodied Responses

to Nonverbal Vocalizations of Discrete Emotions. *Journal of Personality and Social Psychology*, 102(4), Article 4. <https://doi.org/10.1037/a0026234>

Hess, U. (2021). Who to whom and why: The social nature of emotional mimicry.

Psychophysiology, 58(1), Article 1. <https://doi.org/10.1111/psyp.13675>

Hess, U., & Blairy, S. (2001). Facial mimicry and emotional contagion to dynamic emotional

facial expressions and their influence on decoding accuracy. *International Journal of Psychophysiology*, 40(2), Article 2. [https://doi.org/10.1016/S0167-8760\(00\)00161-6](https://doi.org/10.1016/S0167-8760(00)00161-6)

Hess, U., & Fischer, A. (2022). Emotional mimicry as social regulator: Theoretical

considerations. *Cognition and Emotion*, 36(5), 785–793.

<https://doi.org/10.1080/02699931.2022.2103522>

Holland, A. C., O’Connell, G., & Dziobek, I. (2020). Facial mimicry, empathy, and emotion

recognition: A meta-analysis of correlations. *Cognition and Emotion*, 0(0), Article 0.

<https://doi.org/10.1080/02699931.2020.1815655>

- Jarosz, A. F., & Wiley, J. (2014). What Are the Odds? A Practical Guide to Computing and Reporting Bayes Factors. *Journal of Problem Solving*, *7*, 2–9.
<https://doi.org/10.7771/1932-6246.1167>
- Korb, S., Malsert, J., Rochas, V., Rihs, T. A., Rieger, S. W., Schwab, S., Niedenthal, P. M., & Grandjean, D. (2015). Gender differences in the neural network of facial mimicry of smiles—An rTMS study. *Cortex*, *70*, 101–114.
<https://doi.org/10.1016/j.cortex.2015.06.025>
- Korb, S., With, S., Niedenthal, P., Kaiser, S., & Grandjean, D. (2014). The perception and mimicry of facial movements predict judgments of smile authenticity. *PLoS ONE*, *9*(6), Article 6. <https://doi.org/10.1371/journal.pone.0099194>
- Kuznetsova, A., Brockhoff, P. B., & Christensen, R. H. B. (2015). Package ‘lmerTest.’ *R Package Version 2.0*, *2*(0), Article 0.
- Lima, C. F., Arriaga, P., Anikin, A., Pires, A. R., Frade, S., Neves, L., & Scott, S. K. (2021). Authentic and posed emotional vocalizations trigger distinct facial responses. *Cortex*, *141*, 280–292. <https://doi.org/10.1016/j.cortex.2021.04.015>
- Lima, C. F., Garrett, C., & Castro, S. L. (2013). Not all sounds sound the same: Parkinson’s disease affects differently emotion processing in music and in speech prosody. *Journal of Clinical and Experimental Neuropsychology*, *35*(4), 373–392.
<https://doi.org/10.1080/13803395.2013.776518>
- Lima, C. F., Krishnan, S., & Scott, S. K. (2016). Roles of Supplementary Motor Areas in Auditory Processing and Auditory Imagery. *Trends in Neurosciences*, *39*(8), Article 8. <https://doi.org/10.1016/j.tins.2016.06.003>
- Lima, C. F., Lavan, N., Evans, S., Agnew, Z., Halpern, A. R., Shanmugalingam, P., Meekings, S., Boebinger, D., Ostarek, M., Mcgettigan, C., Warren, J. E., & Scott, S. K. (2015). Feel the Noise: Relating Individual Differences in Auditory Imagery to the

Structure and Function of Sensorimotor Systems. *Cerebral Cortex*, 1–13.

<https://doi.org/10.1093/cercor/bhv134>

Lundqvist, L.-O., & Dimberg, U. (1995). Facial expressions are contagious. *Journal of Psychophysiology*, 9(3), 203–211.

Lydon, D. M., & Nixon, E. (2014). Facial mimicry interference affects reaction time but not accuracy on an emotion recognition task in children, adolescents and adults. *The Irish Journal of Psychology*, 35(2–3), 106–120.

<https://doi.org/10.1080/03033910.2014.982145>

Maringer, M., Krumhuber, E. G., Fischer, A. H., & Niedenthal, P. M. (2011). Beyond Smile Dynamics: Mimicry and Beliefs in Judgments of Smiles. *Emotion*, 11(1), Article 1.

<https://doi.org/10.1037/a0022596>

McGettigan, C., Walsh, E., Jessop, R., Agnew, Z. K., Sauter, D. A., Warren, J. E., & Scott, S. K. (2015). Individual Differences in Laughter Perception Reveal Roles for Mentalizing and Sensorimotor Systems in the Evaluation of Emotional Authenticity. *Cerebral Cortex*, 25(1), Article 1. <https://doi.org/10.1093/cercor/bht227>

Moore, M. R., & Franz, E. A. (2017). Mu rhythm suppression is associated with the classification of emotion in faces. *Cognitive, Affective, & Behavioral Neuroscience*, 17(1), Article 1. <https://doi.org/10.3758/s13415-016-0476-6>

Neves, L., Cordeiro, C., Scott, S. K., Castro, S. L., & Lima, C. F. (2018a). High emotional contagion and empathy are associated with enhanced detection of emotional authenticity in laughter. *Quarterly Journal of Experimental Psychology*, 1–9.

<https://doi.org/10.1177/1747021817741800>

Neves, L., Cordeiro, C., Scott, S. K., Castro, S. L., & Lima, C. F. (2018b). High emotional contagion and empathy are associated with enhanced detection of emotional

authenticity in laughter. *Quarterly Journal of Experimental Psychology*, 1–9.

<https://doi.org/10.1177/1747021817741800>

Niedenthal, P. M. (2007). Embodying emotion. *Science*, 316(5827), Article 5827.

<https://doi.org/10.1126/science.1136930>

Niedenthal, P. M., & Brauer, M. (2001). When did her smile drop? Facial mimicry and the influences of emotional state on the detection of change in emotional expression.

Cognition and Emotion, 15(6), Article 6. <https://doi.org/10.1080/02699930143000194>

Niedenthal, P., Winkielman, P., Mondillon, L., & Vermeulen, N. (2009). Embodiment of Emotion Concepts. *Journal of Personality and Social Psychology*, 96, 1120–1136.

<https://doi.org/10.1037/a0015574>

Oberman, L. M., Winkielman, P., Ramachandran, V. S., Oberman, L. M., Winkielman, P., & Ramachandran, V. S. (2007). Face to face: Blocking facial mimicry can selectively

impair recognition of emotional expressions. *Social Neuroscience*, 2(3–4), Article 3–4. <https://doi.org/10.1080/17470910701391943>

O’Nions, E., Lima, C. F., Scott, S. K., Roberts, R., McCrory, E. J., & Viding, E. (2017a). Reduced Laughter Contagion in Boys at Risk for Psychopathy. *Current Biology*,

27(19), Article 19. <https://doi.org/10.1016/j.cub.2017.08.062>

O’Nions, E., Lima, C. F., Scott, S. K., Roberts, R., McCrory, E. J., & Viding, E. (2017b). Reduced Laughter Contagion in Boys at Risk for Psychopathy. *Current Biology*,

27(19), 3049-3055.e4. <https://doi.org/10.1016/j.cub.2017.08.062>

Paracampo, R., Tidoni, E., Borgomaneri, S., Pellegrino, G., & Avenanti, A. (2017).

Sensorimotor Network Crucial for Inferring Amusement from Smiles. *Cerebral Cortex*, 27(November), Article November. <https://doi.org/10.1093/cercor/bhw294>

Pinheiro, A. P., Anikin, A., Conde, T., Sarzedas, J., Chen, S., Scott, S. K., & Lima, C. F.

(2021). Emotional authenticity modulates affective and social trait inferences from

- voices. *Philosophical Transactions of the Royal Society B: Biological Sciences*, 376(1840). <https://doi.org/10.1098/rstb.2020.0402>
- Pitcher, D., Garrido, L., Walsh, V., & Duchaine, B. C. (2008). Transcranial magnetic stimulation disrupts the perception and embodiment of facial expressions. *Journal of Neuroscience*, 28(36), Article 36. <https://doi.org/10.1523/JNEUROSCI.1450-08.2008>
- Queirós, A., Fernandes, E., Reniers, R., Sampaio, A., Coutinho, J., & Seara-Cardoso, A. (2018). Psychometric properties of the questionnaire of cognitive and affective empathy in a Portuguese sample. *PLoS ONE*, 13(6), Article 6. <https://doi.org/10.1371/journal.pone.0197755>
- Reniers, R. L. E. P., Corcoran, R., Drake, R., Shryane, N. M., & Völlm, B. A. (2011). The QCAE: A questionnaire of cognitive and affective empathy. *Journal of Personality Assessment*, 93(1), 84–95. <https://doi.org/10.1080/00223891.2010.528484>
- Ross, P., & Atkinson, A. P. (2020). Expanding Simulation Models of Emotional Understanding: The Case for Different Modalities, Body-State Simulation Prominence, and Developmental Trajectories. *Frontiers in Psychology*, 11. <https://www.frontiersin.org/articles/10.3389/fpsyg.2020.00309>
- Rueff-lobes, R., & Caetano, A. (2012). The emotional contagion scale: Factor structure and psychometric properties in a portuguese sample. *Psychological Reports*, 111(3), 898–904. <https://doi.org/10.2466/08.21.28.PR0.111.6.898-904>
- Rychlowska, M., Cañadas, E., Wood, A., Krumhuber, E. G., Fischer, A., & Niedenthal, P. M. (2014). Blocking mimicry makes true and false smiles look the same. *PLoS ONE*, 9(3), Article 3. <https://doi.org/10.1371/journal.pone.0090876>
- Rymarczyk, K., Zurawski, L., Jankowiak-Siuda, K., & Szatkowska, I. (2018). Neural correlates of facial mimicry: Simultaneous measurements of EMG and BOLD responses during perception of dynamic compared to static facial expressions.

Frontiers in Psychology, 9(FEB), Article FEB.

<https://doi.org/10.3389/fpsyg.2018.00052>

Schneider, K. G., Hempel, R. J., & Lynch, T. R. (2013). That “poker face” just might lose you the game! The impact of expressive suppression and mimicry on sensitivity to facial expressions of emotion. *Emotion*, 13(5), Article 5.

<https://doi.org/10.1037/a0032847>

Scott, S. K., Lavan, N., Chen, S., & McGettigan, C. (2014). The social life of laughter. *Trends in Cognitive Sciences*, 18(12), Article 12.

<https://doi.org/10.1016/j.tics.2014.09.002>

Scott, S. K., McGettigan, C., & Eisner, F. (2009). A little more conversation, a little less action—Candidate roles for the motor cortex in speech perception. *Nature Reviews Neuroscience*, 10(4), Article 4. <https://doi.org/10.1038/nrn2603>

Vilaverde, R. F., Correia, A. I., & Lima, C. F. (2020). Higher trait mindfulness is associated with empathy but not with emotion recognition abilities. *Royal Society Open Science*, 7.

Warren, J. E., Sauter, D. A., Eisner, F., Wiland, J., Dresner, M. A., Wise, R. J. S., Rosen, S., & Scott, S. K. (2006). Positive Emotions Preferentially Engage an Auditory – Motor “Mirror” System. *The Journal of Neuroscience*, 26(50), 13067–13075.

<https://doi.org/10.1523/JNEUROSCI.3907-06.2006>

Wołoszyn, K., Hohol, M., Kuniecki, M., & Winkielman, P. (2022). Restricting movements of lower face leaves recognition of emotional vocalizations intact but introduces a valence positivity bias. *Scientific Reports*, 12(1), Article 1.

<https://doi.org/10.1038/s41598-022-18888-0>

Wood, A., Lupyan, G., Sherrin, S., & Niedenthal, P. (2015). Altering sensorimotor feedback disrupts visual discrimination of facial expressions. *Psychonomic Bulletin & Review*, 23(4), 1150–1156. <https://doi.org/10.3758/s13423-015-0974-5>

Wood, A., Rychlowska, M., Korb, S., & Niedenthal, P. (2016). Fashioning the Face: Sensorimotor Simulation Contributes to Facial Expression Recognition. *Trends in Cognitive Sciences*, 20(3), Article 3. <https://doi.org/10.1016/j.tics.2015.12.010>