

1 **Comparison between perceived and felt emotions in the soundscape evaluation of urban**  
2 **open spaces**

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10 This paper is part of a special issue on Advances in Soundscape: Emerging Trends and Challenges in  
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12  
13 Abstract: In the current study on soundscape, the distinction between felt emotion and perceived  
14 emotion in soundscape measurement has not been addressed as much as that in music studies. This  
15 research was conducted to investigate perceived and felt emotions associated with soundscape  
16 evaluation in urban open spaces, through a laboratory audio-visual experiment using photographs  
17 and binaural recordings of 16 urban open locations across Harbin, China. In total, 46 participants  
18 were required to assess both the “perceived emotion” and “felt emotion” of the soundscapes using a  
19 questionnaire (in Chinese). First, five felt emotions and seven perceived emotions associated with  
20 the soundscape were identified, among which the dominant factors were *enjoyment* and *excitement* for  
21 felt emotions and *comfortable* and *festive* for perceived emotions. Second, when comparing perceived  
22 and felt emotions, the holistic soundscape descriptor “preference” is more suitable for predicting

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23 through felt emotion, while the holistic soundscape descriptor “appropriateness” is more suitable for  
24 predicting through perceived emotion. Third, preference is a more stringent soundscape descriptor  
25 than appropriateness, indicating a higher level of requirement in its definition. Meanwhile,  
26 preference is a more emotional soundscape descriptor than appropriateness. It may be inferred that  
27 for evaluating soundscapes, the more emotional the descriptor, the greater its stringency.

28 Keywords: felt emotion; perceived emotion; semantic differential analysis; urban open space

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## 35 I. INTRODUCTION

36 Soundscapes are defined by the International Organization for Standardization<sup>1</sup> as “[the]  
37 acoustic environment as perceived or experienced and/or understood by a person or people, in  
38 context.” Thus, “soundscape” refers to not only a perceptual construct but also how people actually  
39 experience acoustic environments.<sup>2,3</sup> The effect of cognitive and psychophysiological aspects of  
40 users on the soundscape evaluation of urban open spaces has also been an important  
41 consideration.<sup>4-6</sup> Better evaluation of soundscapes needs more explicit soundscape descriptors, terms  
42 which are used to describe the perception of any acoustic environment.<sup>7</sup> Based on the concept of  
43 soundscape, a soundscape descriptor must provide a measure of at least one aspect of how people  
44 perceive, experience or understand the acoustic environment.<sup>8</sup> This leads to a paradigm shift in the  
45 research methods from physical to linguistic and psychological.<sup>9-11</sup> The recent development of new  
46 soundscape descriptors for more in-depth measurement, evaluation, and design of soundscapes is  
47 still in progress.<sup>2</sup>

48 Based on the original philosophy behind soundscape studies,<sup>12</sup> advancements in the field of  
49 soundscapes, including its descriptors, can potentially benefit from the research progress made in  
50 the domain of music. Felt and perceived emotions have been widely studied in the domain of music.  
51 These two emotions can be distinguished according to the experimental instructions given by the  
52 experimenter to the participants before the experiment. An emotion is classified as a felt emotion if  
53 the instructions state that the participants should focus on their own emotional experiences or the  
54 emotions that the music induces or arouses in them. This notion is in contrast to perceived  
55 emotional instructions that emphasize the perception and recognition of emotions represented or  
56 expressed by music *per se*.<sup>13,14</sup> In 2002, Gabrielsson<sup>15</sup> first commented upon the distinction between  
57 perceived emotion and felt emotion in music. In relation to this topic, Scherer<sup>16</sup> formulated that it is

58 often unclear whether a valence judgment (pleasant or unpleasant) concerns the appraisal of the  
59 nature of the stimulus object or event or rather the feeling induced by it. Similarly, arousal or  
60 activation ratings may refer to perceived activation in a situation (or image) or to the proprioceptive  
61 feeling of physiological arousal induced by the stimulus event.

62 Several consequent empirical studies also addressed this distinction.<sup>17-19</sup> For instance, Juslin and  
63 Laukka<sup>17</sup> conducted a questionnaire study on listening to music every day, in which the participants  
64 were asked to respond to the question: “If you perceive that the music expresses an emotion, do you  
65 also feel that emotion?” There are also some works that provide strong evidence for the distinction  
66 between the two emotions from a physiological perspective. In 2015, using functional magnetic  
67 resonance imaging, Tabei<sup>20</sup> found that the underlying neural basis of perceived and felt emotions  
68 during listening to music was not entirely consistent. The author stated that activity in the inferior  
69 frontal gyrus increased more during the perceived emotion task than during a passive listening task.  
70 In addition, the precuneus showed greater activity during the felt emotion task than during a passive  
71 listening task.<sup>20</sup> In view of the aforementioned findings from music research, it is promising to  
72 investigate the distinction between felt emotion and perceived emotion in the field of soundscape.

73 When “emotion” about soundscape was mentioned in past studies, whether it refers to felt  
74 emotion or perceived emotion is not clear. Both Axelsson *et al.*<sup>21</sup> and Cain *et al.*<sup>22</sup> investigated the  
75 relationship between soundscape and emotion, but neither of them distinguished felt emotion or  
76 perceived emotion. The emotion in Axelsson *et al.*<sup>21</sup> refers to perceived emotion in the proposed  
77 model of perceived affective quality, while the emotion that Cain *et al.*<sup>22</sup> focused on how a person  
78 feels when hearing a soundscape, i.e., felt emotion. Watts and Pheasant<sup>23</sup> attempted to investigate the  
79 relationship between perception and feeling of soundscape. They asked participants to perceive how  
80 wild and tranquil each soundscape is and to respond to how pleasant and excited the soundscape  
81 made them feel. However, the only two perceived attributes, wild and tranquil, were not about

82 emotion; and the felt emotions included in their study are general emotion indices that are not  
83 specifically developed for soundscapes.

84 Affective and emotional processing of environmental stimuli is important to the assessment of  
85 soundscape quality.<sup>24</sup> Aletta *et al.*<sup>8</sup> noted that a majority of soundscape descriptors are inclined  
86 toward emotional evaluation. Axelsson *et al.*<sup>21</sup> and Axelsson<sup>25</sup> found that the two components  
87 (pleasantness and eventfulness) of perceived affective quality seem to summarize most of the  
88 relevant information of soundscapes. This means that “measures of perceived affective quality  
89 provide the same information as a measure of overall soundscape quality,” as stated by Aletta *et al.*<sup>8</sup>

90 The development of more detailed metrics for measuring emotions has the potential to account  
91 for more soundscape information, such as “appropriateness,” a holistic soundscape descriptor to  
92 assess whether or not a soundscape is appropriate for a place. A soundscape descriptor may either  
93 refer to a singular underlying dimension of soundscape (e.g., Pleasantness) or to soundscape  
94 holistically (e.g., “soundscape quality”).<sup>8</sup> The latter is called holistic soundscape descriptors. The  
95 principal component analysis results of various soundscape variables, including suitable activity  
96 types, sound source kinds, good-bad evaluation, perceived affective quality, and appropriateness,  
97 show that appropriateness is the third principal component independent of pleasantness and  
98 eventfulness. Axelsson<sup>25</sup> claimed that “appropriateness” is independent of perceived affective quality  
99 and regarded it as a potential third dimension for characterizing soundscapes. Further investigations  
100 should be put on "appropriateness" for a better understanding of soundscape from emotional  
101 perspectives.

102 Past studies have addressed the issue of how important “appropriateness” is when evaluating  
103 soundscape. In two review papers, Brown *et al.*<sup>26</sup> and Brown<sup>27</sup> argued that it is central to assessing  
104 whether a soundscape is appropriate for a place in urban planning and design practices. After it was  
105 revealed through an experiment that a soundscape might be appropriate even if it is poor, Axelsson<sup>25</sup>

106 raised a question: “Which information should then have priority: the appropriateness of the  
107 soundscape or how good or bad it is?” Therefore, this paper proposes to view descriptors from the  
108 perspective of “stringency,” that is, the strictness and exaction of a descriptor’s requirements.

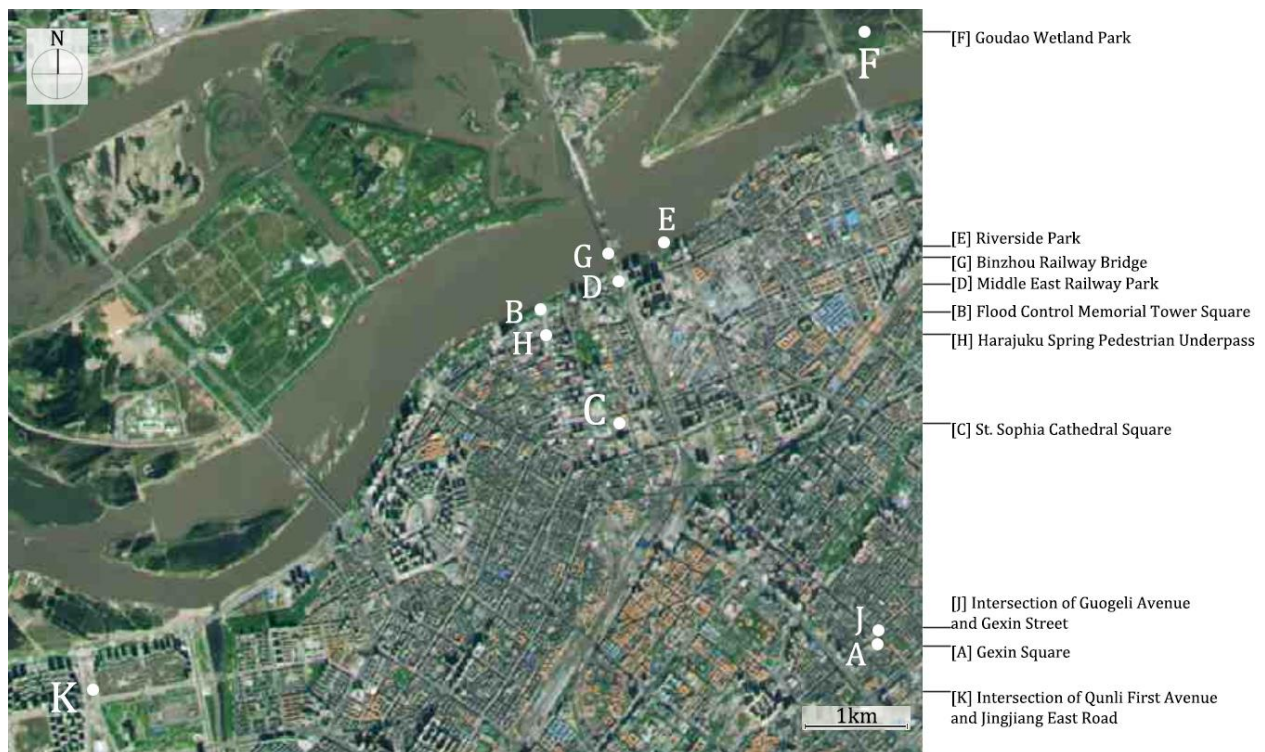
109 This study aimed to investigate the relationship between emotion and soundscape evaluation in  
110 urban open spaces. Specifically, the research was conducted from three perspectives. The first  
111 involved defining the felt emotion and perceived emotion in the soundscape and identifying  
112 measurement dimensions for the soundscape in urban open spaces. The second involved  
113 quantifying the feasibility of evaluating soundscapes by felt and perceived emotions through existing  
114 holistic soundscape descriptors in terms of preference and appropriateness. Third, on this basis, we  
115 further compared the stringency of the holistic descriptors in the evaluation of soundscapes and  
116 explored the mechanism. We also compared and discussed the emotional dimensions of  
117 soundscapes in the Chinese and Western contexts. In this study, 16 audio-visual materials of urban  
118 open spaces were replayed in a controlled laboratory setting, and the participants’ two emotions and  
119 their holistic evaluation of the soundscape were investigated through a questionnaire to explore the  
120 effect of emotion on soundscape evaluation.

## 121 **II. METHOD**

### 122 **A. Audio-visual materials**

123 It took approximately 10 min to answer each questionnaire in this study. Audio-visual materials  
124 can be played repeatedly in the laboratory to provide a stable audio-visual environment for the entire  
125 questionnaire answering process. Therefore, a laboratory-based experiment was conducted in this  
126 study. Overall, ten urban open spaces across Harbin were selected to collect audio-visual materials,  
127 including three squares, three parks, and four traffic areas. Figure 1 presents the distribution of the  
128 urban open spaces in the city. As the perceived acoustic environment varied greatly in different

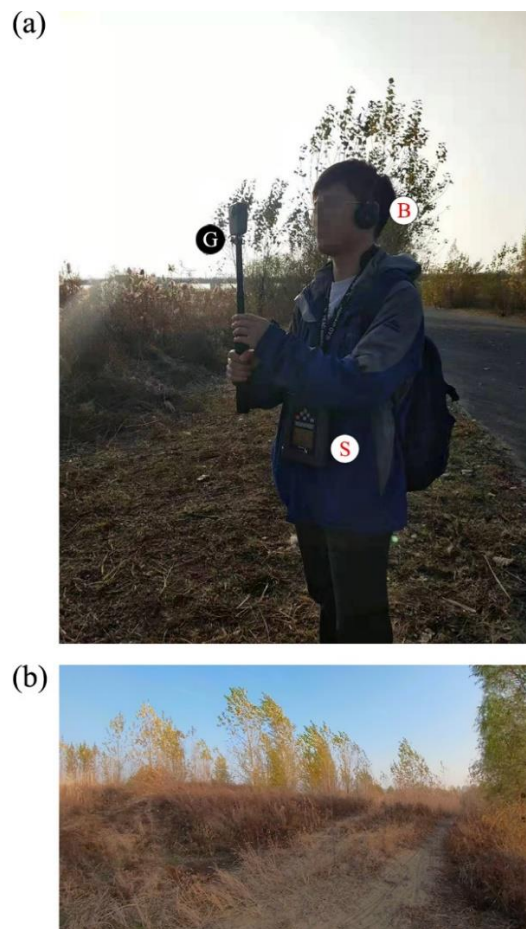
129 locations of the squares and parks with large areas, more than one piece of audio-visual material  
 130 were collected in these squares and parks. Notably, 16 audio-visual materials from 16 different  
 131 locations were collected in this study. The selected city was a large provincial capital city in northern  
 132 China. The sites were selected from those well-known urban open spaces to the city residents.<sup>28, 29</sup> In  
 133 addition, different types of spaces, including squares (3), parks (3), and traffic areas (4), were  
 134 balanced to keep the variety of context. The binaural recording was carried out when the  
 135 soundscape was typical in relation to the location. Hence, the audio-visual materials utilized in this  
 136 experiment represent the typical acoustic environment of urban open spaces.



137  
 138 FIG. 1. (Color online) Distribution of the urban open spaces (white dots) in Harbin where the recordings  
 139 took place and the code assigned to each of the urban open spaces (e.g., B).

140 To simulate highly complex situations in the field, the materials played in the laboratory  
 141 included two parts: audio and visual. The materials were captured using audio (SQuadriga II with  
 142 BHS I) and video (GoPro Fusion) recording, as shown in Fig. 2a. Considering that a majority of  
 143 physiological indicators exhibit a distinct inflection point at the 60 s mark of the soundscape

144 stimulus,<sup>30, 31</sup> an excerpt lasting for 60 s was cut from a binaural recording at each location.  
145 Importantly, to be accepted as a soundscape excerpt, the cut excerpt had to include both a sound  
146 event and ambiance. Additionally, to center the participant's attention on the soundtrack, visual  
147 information was delivered in a static form (photographs) rather than a dynamic form (videos).<sup>25</sup> A  
148 field of view photograph corresponding to the direction where the audio was recorded was cropped  
149 from a 360-degree panoramic photograph at each location. Figure 2(b) illustrates the static  
150 photograph presented to the participants. Table I shows information on the ten urban open spaces  
151 from which the 16 soundscape excerpts came. For each soundscape excerpt, a description of the  
152 sound composition is provided.



153

154 FIG. 2. (Color online) (a) Photograph of the recording setup. S, B, and G indicate, respectively, positions  
155 of the SQadriga II, BHS I, and GoPro Fusion. The height of the BHS I is 1.65 meters. (b) example of the



156 photo of a soundscape excerpt (No. F2) taken with a spherical panoramic camera (GoPro Fusion) and  
 157 transformed to a human eye view.

158 TABLE I. Information for the ten urban open spaces from which the 16 soundscape excerpts  
 159 came. For each soundscape excerpt, a description of the sound composition is provided.

Category	Code	Name	Coordinates	Number of the Soundscape Excerpt	Time (Beijing Time)	Sound Composition
Square	A	Gexin Square	45.751898°, 126.659766°	A1	October 22, 2018, 1:14 PM	Car traffic, ventilation noise
	B	Flood Control Memorial Tower Square	45.780751°, 126.617225°	B1	November 01, 2018, 8:30 AM	Human voices, hawking, music, loudspeaker advertisements
				B2	November 01, 2018, 8:41 AM	Music, human voices
				B3	November 01, 2018, 8:54 AM	Loudspeaker advertisements, human voices, car traffic
B4				November 01, 2018, 9:07 AM	Human voices, footsteps	
C	St. Sophia Cathedral Square	45.770382°, 126.627102°	C1	November 03, 2018, 1:43 PM	Human voices, car traffic, vehicle horns, construction works, music	
			C2	November 03, 2018,	Human voices,	

					1:53 PM	footsteps, bird twittering
Park	D	Middle East	45.783078°,	D1	October 21, 2018,	Technological sound,
		Railway Park	126.626945°		9:52 AM	spraying water
				D2	October 21, 2018,	Human voices, train
					10:14 AM	traffic, wind
	E	Riverside Park	45.786201°,	E1	October 21, 2018,	River water hitting the
			126.632657°		12:41 PM	shore, human voices, car
						traffic
	F	Goudao Wetland	45.805212°,	F1	October 21, 2018,	Bird twittering, car
		Park	126.658414°		2:53 PM	traffic
				F2	October 21, 2018,	Rustling trees, wind
					3:01 PM	
Traffic area	G	Binzhou Railway	45.784839°,	G1	October 21, 2018,	Human voices, bicycle,
		Bridge	126.625721°		9:12 AM	footsteps, wind
	H	Harajuku Spring	45.778477°,	H1	October 21, 2018,	Human voices, footsteps
		Pedestrian	126.617984°		11:25 AM	
		Underpass				
	J	Intersection of	45.752057°,	J1	October 22, 2018,	Car traffic, human
		Guogeli Avenue	126.660008°		1:24 PM	voices, loudspeaker
		and Gexin Street				advertisements
	K	Intersection of	45.746582°,	K1	October 22, 2018,	Technological sound
		Qunli First	126.560335°		4:45 PM	
		Avenue and				

Jingjiang East

Road

160

161 As shown in Table II, a set of acoustic and psychoacoustic measures was calculated for all 16

162 soundscape excerpts, referring to:

163 (a) overall loudness:  $L_{Aeq, 60s}$  [dB] and  $N_{10}$  [sone];164 (b) temporal variability:  $L_{A10}-L_{A90}$  [dB] and  $N_{10}-N_{90}$  [sone]; Fluctuation Strength (FS) [vacil] and

165 Roughness (R) [asper];

166 (c) spectral content of sound:  $L_{Ceq, 60s}-L_{Aeq, 60s}$  [dB], and Sharpness (S) [acum].

167 TABLE II. Category of dominant sounds and acoustic and psychoacoustic measures calculated

168 for all 16 soundscape excerpts.

Category of Dominant Sounds	Number of the Soundscape Excerpt	Acoustic Characterization							
		$L_{Aeq, 60s}$ (dB)	$N_{10}$ (sone)	$L_{A10}-L_{A90}$ (dB)	$N_{10}-N_{90}$ (sone)	$L_{Ceq, 60s}-L_{Aeq, 60s}$ (dB)	FS (vacil)	R <sup>a</sup> (asper)	S <sup>b</sup> (acum)
Natural sound	E1	58.50	13.90	5.93	4.29	12.40	0.011	0.298	1.19
	F1	51.60	7.10	5.16	2.52	11.90	0.005	0.288	1.04
	F2	49.30	8.54	5.18	2.68	21.20	0.011	0.180	1.55
Music sound, human sound	B2	68.20	21.60	10.63	8.30	4.50	0.035	0.214	1.22
Human sound, music sound	B1	64.50	17.50	8.48	6.30	5.20	0.027	0.240	1.06
Human sound	H1	76.10	35.40	3.30	6.90	1.50	0.014	0.212	1.33
	B4	71.50	17.80	12.05	7.00	3.30	0.045	0.268	1.32

	C2	61.40	17.20	6.17	5.10	10.60	0.026	0.237	1.34
Human sound,	G1	58.20	14.50	8.39	6.55	17.60	0.033	0.384	1.11
technological	D2	65.60	24.50	9.07	10.40	13.10	0.017	0.287	1.21
sound	B3	64.20	19.10	7.05	5.70	7.50	0.040	0.246	1.21
	C1	61.60	17.70	4.00	3.30	11.00	0.014	0.121	1.44
Technological	J1	68.90	25.70	5.13	6.60	11.30	0.020	0.236	1.31
sound, human									
sound									
Technological	D1	78.80	53.00	2.47	7.50	5.20	0.011	0.844	1.87
sound	A1	72.90	31.20	2.19	5.00	10.60	0.011	0.254	1.19
	K1	86.00	91.60	17.31	59.00	4.90	0.042	0.435	1.21

169 <sup>a</sup>Roughness standard: ECMA-418-2 (1st Edition).

170 <sup>b</sup>Sharpness method: DIN 45 692.

171 The 16 soundscape excerpts include a wide range of soundscapes with a large variation in  
 172 overall sound-pressure level (49.3–86.0 dB  $L_{Aeq, 60s}$ ) and a great diversity of sounds, including sounds  
 173 of music, technology, humans, and nature. Furthermore, the analysis of psychoacoustic parameters  
 174 also contributed to the selection of a rich sample of soundscapes, considering the significance of the  
 175 differences in psychoacoustic parameters for clustering soundscapes.<sup>32</sup> Sharpness, a sensation of  
 176 timbre,<sup>33</sup> increases with increasing high frequency content.<sup>34</sup> Roughness is related to the beating  
 177 phenomenon or relatively quick temporal changes of sound (maximum at 70 Hz).<sup>34</sup> Fluctuation  
 178 strength, similar to roughness but related to slower changes (maximum at 4 Hz),<sup>34</sup> refers to the  
 179 sound quality perceived when the individual loudness fluctuations are audible.<sup>35</sup> The sharpness and  
 180 roughness of the soundscape excerpt No. D1, which features strong technological sounds and  
 181 spraying water, are the highest. The fluctuation strength of the three excerpts dominated by natural

182 sounds is the lowest. The soundscape excerpt No. B4, characterized by human voices and footsteps  
183 of many people, has the highest fluctuation strength.

184 According to the minimum differences in these metrics which are subjectively perceived: just  
185 noticeable differences (JND),<sup>36</sup> the increase in sharpness from the lowest to the highest exceeds 20  
186 times the JNDs of 0.04 acum.<sup>36–38</sup> A just noticeable difference level in both roughness and  
187 fluctuation strength is estimated to be 17%.<sup>37,39</sup> For roughness in this study, the highest is about  
188 seven times the lowest. It is worth noting that it is perceptually “no longer rough” at 0.1 asper.<sup>38</sup> The  
189 minimum roughness value of the soundscape samples used in this study is 0.121 asper. For  
190 fluctuation strength in this study, the highest is nine times the lowest. Therefore, there is sufficient  
191 variation in the psychoacoustic parameters in the sampled acoustic environments.

## 192 **B. Questionnaire design**

### 193 ***1. Soundscape emotion measurement***

194 To obtain a tool to measure soundscape emotion, that is, semantic differential scales, a series of  
195 “semantic” items need to be achieved first. Accordingly, the following four steps are required.<sup>21, 40</sup>

196 First, 92 candidate emotional-descriptive terms related to soundscapes were collected from five  
197 studies.<sup>4, 21, 22, 26, 40</sup>

198 Second, 34 university students (11 women, 23 men; mean age: 21.7 years, range: 18–27 years)  
199 were asked to assess the suitability of 92 terms, presented in a random order, for the acoustic  
200 environment of urban open spaces in a broad sense. The participants were recruited via an  
201 experimental information platform at the Harbin Institute of Technology; and the gender bias in the  
202 sample is reflective of the gender ratio at the institute, which is significantly skewed towards males.  
203 They self-reported having normal hearing and either regular or corrected-to-normal vision. The 92  
204 terms used in the item selection had been translated into Chinese, as all participants were Chinese.  
205 Moreover, the final questionnaire of this study was entirely in Chinese. These participants were given

206 a guided soundwalk to experience the acoustic environment in urban open spaces prior to the  
207 current experiment. Participants were requested to rate each term according to the following  
208 criterion: “According to you, does this adjective describe an affective state with a specific affective  
209 ‘color,’ so that, to describe this state, you would choose to use this adjective over another one?” This  
210 line of questioning originates from the study on emotions in the field of music, specifically referring  
211 to the research conducted by Zentner *et al.*<sup>40</sup> Terms that received “yes” as the answer from >50% of  
212 the participants were retained.

213 Third, according to the dictionaries, the synonyms and antonyms of a word were divided into a  
214 group of words. Only the most frequently used word was retained in one group. Accordingly, 63  
215 terms were retained. The frequency here refers to the frequency of being evaluated “yes” mentioned  
216 above.

217 Fourth, a questionnaire was developed for the experiment. Under the topic “How does this  
218 sound environment make you feel?” the terms were changed into expressions like “I feel...,” and  
219 the 63 terms were reduced to 42 grammatically correct indices. Thus, the questionnaire scales for felt  
220 emotions have been set up. Under the topic “For each scale, to what extent do you agree or disagree  
221 that the present surrounding sound environment is...,” “xx” (an adjective) was used as an index,  
222 thereby reducing the 63 terms to 51. Thus, the questionnaire scales for perceived emotions have also  
223 been set up.

224 In this way, we established two semantic differential scales to measure felt and perceived  
225 emotions in soundscapes. Each item was rated on a five-point Likert scale (1 = “strongly disagree,”  
226 2 = “disagree,” 3 = “neither agree, nor disagree,” 4 = “agree,” and 5 = “strongly agree”). All items  
227 in each scale (i.e., the 42 items in the felt emotion topic and the 51 items in the perceived emotion  
228 topic) were presented in a questionnaire in a prepared random order to avoid scaling order effects.<sup>41</sup>  
229 Thus no questionnaire with items was presented in the same order.

230 Through the questionnaire design, each participant evaluated felt emotion before perceived  
231 emotion in the evaluation of each soundscape excerpt. It was considered important that the  
232 participants rate felt emotions first (i.e., being a more subjective measure, it may dilute faster than  
233 the more objective evaluation of the perceived emotion). Another reason is that the felt emotion  
234 may have included physiological responses that decrease as a function of time. Hence, it is  
235 imperative to evaluate the emotions felt immediately after listening to the excerpt.<sup>19</sup>

## 236 ***2. Holistic evaluation of soundscape***

237 In this study, we selected two descriptors, namely, preference for the soundscape and  
238 appropriateness of the soundscape to the place, to holistically evaluate the soundscapes because  
239 these two descriptors have a common ground that “the evaluation of a certain sound environment  
240 depends on the context.”<sup>25,26</sup> The former assesses whether an overall soundscape is liked, while the  
241 latter evaluates whether a soundscape is appropriate for a place. Preference was measured on a five-  
242 point scale (1 = “strongly dislike,” 2 = “dislike,” 3 = “neither like nor dislike,” 4 = “like,” and 5 =  
243 “strongly like”), and appropriateness was also supplied on a five-point scale (1 = “not at all,” 2 =  
244 “slightly,” 3 = “moderately,” 4 = “very,” and 5 = “perfectly”).

## 245 **C. Experimental design**

246 For the 48 subsets, each of them consisted of six soundscape excerpts, which were randomly  
247 selected from the collection of the 16 excerpts in a counter-balanced manner. For the 48  
248 participants, each of them was randomly assigned one subset of soundscape excerpts (six excerpts).  
249 In other words, each one of the 16 soundscape excerpts was evaluated by 18 participants for one  
250 time, i.e., 18 evaluations in total to ensure a balance of data:  $(6 \times 48 \times 1)/16 = 18$ .

251 The experiment was conducted in an audio-visual laboratory at the Harbin Institute of  
252 Technology with background sounds lower than 11 dB(A). The 16 audio files were stored as HEAD  
253 Data files and binaurally replayed in headphones (BHS I) from the original recording system

254 (SQuadriga II) at an authentic sound pressure level.

255 The distinction between felt emotion and perceived emotion should be clearly explained to the  
256 participants, whether in within-subjects or between-subjects design.<sup>15</sup> Therefore, before the  
257 commencement of this experiment, the experimenter fully explained the distinction to the  
258 participants. The content of the explanation of the meaning of felt and perceived emotions to the  
259 participants is the same as the definition of felt and perceived emotions in the second paragraph of  
260 Sec. I.

261 It took approximately 10 min to complete each questionnaire in this study. Each participant  
262 scaled six soundscape excerpts. Six alternative versions of the questionnaire were presented to each  
263 participant in order to eliminate order effects on the items of the questionnaire. On average, it took  
264 approximately 60 min for each participant to finish all six blocks. Each participant received a small  
265 monetary compensation for their voluntary participation afterward.

#### 266 **D. Selection of participants**

267 Based on the selection criteria of previous studies,<sup>6</sup> people with normal hearing and regular or  
268 corrected-to-normal vision were selected as study participants. The valid participants were 21 female  
269 and 25 male university students (19 undergraduates and 27 postgraduates) from the Harbin Institute  
270 of Technology, aged 17–34 years [ $M_{\text{age}} = 22.6$  years, standard deviation (SD) = 3.9]. They were a  
271 completely different set of students from the 34 students who participated in the item selection.  
272 Twelve questionnaires were not completed because participants quit midway, and thus they were  
273 excluded. Eventually, there were 264 ( $6 \times 46 - 12$ ) completed questionnaires for further processing.  
274 The participants were recruited via adverts at the school. They received a small monetary  
275 compensation for their voluntary participation. This study was approved by the Institutional Review  
276 Board (IRB Number: HIT-2023027).



277 **E. Data analysis**

278 ArtemiS SUITE 13.5 (a modular software platform; Head Acoustics GmbH) was used to  
279 calculate a set of acoustic and psychoacoustic measures. The acoustic and psychoacoustic measures  
280 were based on the left or right channels of the binaurally recorded soundscape excerpts with the  
281 maximum value of both ears. Sharpness is calculated according to DIN 45692 standard.<sup>42</sup> Roughness  
282 is calculated based on ECMA-418-2 (1st Edition) standard.<sup>43</sup> These soundscape excerpts are sorted  
283 in Table II by the category of dominant sounds (natural sounds, music sounds, human sounds,  
284 and/or technological sounds), and this was assessed by the participants.

285 IBM SPSS software was used to create a database of results. The expectation-maximization  
286 algorithm is suggested as an appropriate method of inputting a small number of missing values in  
287 the data.<sup>44</sup> In our study, the percentage of missing values was 0.59%. Accordingly, the reliability and  
288 validity of the questionnaire with a total of 103 items were examined.

289 The reliability of the questionnaire was characterized by the McDonald's omega coefficient, and  
290 the Kaiser-Meyer-Olkin (KMO) test was utilized to evaluate its construct validity. The overall  
291 reliability of the questionnaire was high (as indicated by McDonald's omega coefficient of 0.933),  
292 and its construct validity was also high, corresponding to a KMO criterion of 0.940. The significance  
293 level of Bartlett's test of sphericity was 0.000.

294 The data were analyzed by the following methods. Factor analysis was used to identify factors  
295 that characterize the soundscape from the perspectives of felt and perceived emotions by integrating  
296 many items in a small number of fundamental dimensions. Then, in order to assess the relationship  
297 and difference between the two types of emotions, a correlation analysis was carried out between the  
298 felt emotion factors and the perceived emotion factors. Two sets of linear multiple regression  
299 analyses using the stepwise method were performed to quantify the prediction accuracy of felt  
300 emotion and perceived emotion on the holistic evaluation of the soundscape in terms of preference

301 and appropriateness. A scatterplot was drawn in order to analyze the relationship between the values  
302 of appropriateness and preference for each soundscape excerpt, and a simple linear regression model  
303 was fitted to the appropriateness-preference scatterplot.

### 304 **III. RESULTS AND DISCUSSION**

#### 305 **A. Semantic differential analysis of felt emotion and perceived emotion in the** 306 **soundscape**

307 Factor analysis was conducted on the felt and perceived emotions respectively using the data  
308 from all 264 valid questionnaires for the 16 soundscape excerpts. Promax rotated principal  
309 component analysis has been widely employed to extract oblique factors. As there is no reason to  
310 assume that emotions must be organized orthogonally,<sup>40</sup> we ran the same analyses ( $Kappa = 4$ ) on  
311 the 42 items of felt emotion and 51 items of perceived emotion. More information on what input  
312 items were used for the two sets of factor analyses is provided in the supplementary material.  
313 Consequently, a correlation still exists between these extracted factors after oblique rotation.

##### 314 ***1. Felt emotion factors of the soundscape***

315 With a criterion factor of the Kaiser's criterion (eigenvalue  $> 1$ )<sup>45</sup> and the visual inspection of  
316 the scree plot,<sup>46</sup> five factors of felt emotion in the soundscape of urban open spaces were  
317 determined. Before oblique rotation, these factors together accounted for 64% of the total variance,  
318 which is acceptable considering the complexity of urban outdoor soundscapes.<sup>9, 47, 48</sup> It also shows  
319 that these five factors covered the main aspects of felt emotions associated with the soundscape in  
320 urban open spaces.

321 Specifically, the pattern matrix (refer to the supplementary material) shows that factor 1 is best  
322 explained by (I feel...) peaceful, inspired, soothed, fascinated, refreshed, enjoying, and comforted,  
323 which we have labeled as *enjoyment*. The term “享受感” (xiǎng shòu gǎn; enjoyment; see the first

324 component in Table III) in the Chinese context tends to lean more towards the concept of  
 325 “enjoying oneself”. Factor 2 is best explained by happy, fiery, thrilled, and excited, and is therefore  
 326 labeled as *excitement*. Factor 3 is best explained by sad, emptiness, and lonely and is labeled as  
 327 *desolation*. Factor 4 is best explained by unusual, irritated, scared, and confused and is labeled as  
 328 *tension*, which has a negative valence as is evident from these main adjectives linked to this  
 329 dimension; and Factor 5 is labeled *familiarity*. Table III lists the percentage of the total variance  
 330 covered by each factor and the rotation sums of the squared loadings. The table shows that the first  
 331 two are the main factors.

332 TABLE III. Felt emotion factors of the soundscape.

Component	Chinese Phonetic Alphabets	English Translation	Extraction Sums of		Rotation Sums of
			Total	% of Variance	Squared Loadings <sup>a</sup> Total
1	享受感 xiǎng shòu gǎn	Enjoyment	18.230	43.405	17.981
2	兴奋感 xīng fèn gǎn	Excitement	3.686	8.776	6.719
3	失落感 shī luò gǎn	Desolation	2.561	6.097	4.687
4	紧张感 jǐn zhāng gǎn	Tension	1.482	3.528	4.342
5	熟悉感 shú xī gǎn	Familiarity	1.078	2.567	1.530

333 <sup>a</sup>When components are correlated, sums of squared loadings cannot be added to obtain a total  
 334 variance.

335 Reliability of the scales for felt emotions was analyzed using McDonald’s omega ( $\omega = 0.896$ ),  
 336 and it was acceptable. Meanwhile,  $KMO = 0.957 > 0.6$ , which meets the requirements for factor  
 337 analysis. Factor analysis requires a relatively large sample size. Kang and Zhang<sup>9</sup> indicated that a  
 338 sample size of 100–150 for factor analysis is generally acceptable for evaluating soundscapes in

339 urban open public spaces. Furthermore, factor analysis requires a sample size to be manyfold to the  
 340 number of variables. In the field of urban outdoor soundscape assessment, Axelsson *et al.*<sup>21</sup>  
 341 conducted a factor analysis on 116 attribute scales with a sample size of 500. As  $264/42 > 500/116$ ,  
 342 this analysis satisfied the minimum sample size requirement. These outcomes suggest that the results  
 343 can be considered reasonable and stable.

## 344 ***2. Perceived emotion factors of the soundscape***

345 Notably, seven factors of perceived emotion in the soundscape of urban open spaces were  
 346 determined with a criterion factor of the Kaiser's criterion (eigenvalue  $> 1$ )<sup>45</sup> and the visual  
 347 inspection of the scree plot.<sup>46</sup> Before oblique rotation, these factors together accounted for 66% of  
 348 the total variance, which is acceptable considering the complexity of urban outdoor soundscapes.<sup>9,47,</sup>  
 349 <sup>48</sup> It also shows that these seven factors covered the main aspects of perceived emotions associated  
 350 with the soundscape in urban open spaces.

351 Specifically, the pattern matrix (refer to the supplementary material) shows that factor 1 is best  
 352 explained by tranquil, soothing, comfortable, disturbing, refreshing, and pleasant, which we have  
 353 labeled as *comfortable*. Factor 2 is best explained by festive, fiery, exciting, invigorating, and full of life,  
 354 and is therefore labeled as *festive*. Factor 3 is best explained by empty, lonely, desolate, and gloomy  
 355 and is labeled as *desolate*. Factors 4–7 are labeled as *familiar*, *various*, *attention-grabbing*, and *nostalgic*,  
 356 respectively. Table IV lists the percentage of total variance covered by each factor and the rotation  
 357 sums of the squared loadings. The table shows that the first two are the main factors.

358 TABLE IV. Perceived emotion factors of the soundscape.

Component	Chinese Phonetic Alphabets	English Translation	Extraction Sums of		Rotation Sums of
			Squared Loadings		Squared Loadings <sup>a</sup>
			Total	% of	Total

				Variance		
1	舒适的	shū shì de	Comfortable	19.141	37.532	18.546
2	喜庆的	xǐ qìng de	Festive	5.788	11.349	9.118
3	荒芜的	huāng wú de	Desolate	3.199	6.272	5.153
4	熟悉的	shú xī de	Familiar	2.025	3.971	3.410
5	多样的	duō yàng de	Various	1.391	2.727	3.692
6	吸引注意的	xī yǐn zhù yì de	Attention- grabbing	1.225	2.401	2.594
7	怀旧系	huái jiù xì	Nostalgic	1.064	2.087	2.389

359 <sup>a</sup>When components are correlated, sums of squared loadings cannot be added to obtain a total  
360 variance.

361 Reliability of the scales for perceived emotions was analyzed using McDonald's omega ( $\omega =$   
362 0.896), and it was acceptable. Meanwhile, KMO = 0.940 > 0.6, which meets the requirements for  
363 factor analysis. As mentioned above, because 264/51 > 500/116, this analysis met the minimum  
364 sample size requirement. These findings suggest that the results can be considered reasonable and  
365 stable.

### 366 ***3. Comparison of the two types of emotions***

367 In one study, both felt emotion factors and perceived emotion factors of soundscapes were  
368 obtained to define and compare the two types of emotions. Table V shows the correlation matrix  
369 between felt and perceived emotion factors. A certain correspondence between felt and perceived  
370 emotions is evident.

371 TABLE V. Pearson's coefficients of correlation between felt emotion factors and perceived  
372 emotion factors. \*  $p \leq 0.05$ , \*\*  $p \leq 0.01$ , and \*\*\*  $p \leq 0.001$ .

<b>Felt Emotions</b>	Enjoyment	Excitement	Desolation	Tension	Familiarity
<b>Perceived Emotions</b>					
Comfortable	0.929***	0.313***	-0.266***	-0.437***	-0.072
Festive	0.418***	0.777***	-0.339***	-0.123*	0.177**
Desolate	-0.069	-0.466***	0.570***	0.492***	-0.062
Familiar	0.016	0.196**	-0.156*	-0.314***	0.602***
Various	-0.002	0.384***	-0.225***	-0.248***	0.336***
Attention-grabbing	0.123*	0.021	0.029	0.628***	-0.082
Nostalgic	0.141*	0.327***	0.158*	-0.135*	-0.039

#### 4. Discussion

The following two studies are typical, explicit, and complete dimension studies of soundscape emotions:

1. Axelsson *et al.*<sup>21</sup> conducted a laboratory experiment to establish the dimensions of the perceived affective quality of soundscapes. The Swedish participants measured the excerpts of binaural recordings of urban outdoor soundscapes on 116 attribute scales (in Swedish),<sup>49</sup> resulting in two dimensions: pleasantness and eventfulness.
2. Cain *et al.*<sup>22</sup> performed a laboratory experiment to establish the felt emotional dimensions of soundscapes. The British participants measured the excerpts of binaural recordings of typical urban setting soundscapes in five dimensions into which the researchers divided 45 English words, resulting in two dimensions: calmness and vibrancy.

In this study, a laboratory experiment was administered to establish the dimensions of perceived and felt emotions in soundscapes simultaneously. The Chinese participants measured the excerpts of binaural recordings of urban open space soundscapes on both 51 items of perceived

387 emotion and 42 items of felt emotion (in Chinese). The initial candidate term sources included the  
388 above two studies, and the resulting dimensions are as described above (refer to Secs. III A 1 and III  
389 A 2).

390 In terms of felt emotion, “calm” is not included in the 42 items in this study. The similar index  
391 “I feel peaceful” contributes almost entirely to the first common factor, *enjoyment*. The index “I feel  
392 vibrant” contributes roughly equally to the first common factor, *enjoyment*, and the second common  
393 factor, *excitement*.

394 In terms of perceived emotion, the index “pleasant” mostly contributes to the first common  
395 factor, *comfortable*. “Eventful” has no vocabulary to correspond to in the Chinese context. In the  
396 second step of obtaining semantic items in Sec. II B 1, “多事件的” (duō shì jiàn de; eventful) was  
397 included. It was then deleted because the frequency of being evaluated “yes” was only 32%. The  
398 term “多事件的” (duō shì jiàn de; eventful) is used to convey the sense of “various events  
399 happening”. It does not have the same natural usage or frequency in Chinese as “eventful” does in  
400 English.

401 The circumplex model<sup>50</sup> represents emotions as a mixture of two core dimensions (valence and  
402 arousal). Overall, the results of this study and those of Axelsson *et al.*<sup>21</sup> and Cain *et al.*<sup>22</sup> are all within  
403 the framework of Russell’s circumplex model,<sup>50</sup> as stated by Fiebig *et al.*<sup>24</sup> in a review paper. From  
404 the results of this study, arousal can be felt by Chinese people, that is, Excitement. Meanwhile, the  
405 properties of soundscapes that induce feelings of arousal can be summarized as “Eventful” in  
406 English, but there is no such equivalent Chinese word. The same difficulty has also been  
407 encountered in languages other than English when translating “eventful,” for example, in Spanish  
408 there is no similar term either and it seems more accurate to use “lively.”<sup>51</sup> From the results of the  
409 current study, “喜庆的” (xǐ qìng de; festive; see the second component in Table IV) appears to be a

410 relatively accurate label in Chinese for the extracted factor of the second dimension of perceived  
411 emotion in the soundscape.

412 In conclusion, in the Chinese context, the core dimensions of soundscape emotion are in  
413 agreement with the two dimensions (valence and arousal). However, in the comparison between  
414 Chinese and Western contexts, the percentages of total variance in soundscape emotion covered by  
415 these two dimensions are different, as shown in Table VI. In particular, the second dimension of  
416 emotion (arousal) was relatively weak in the soundscape in the Chinese context.

417 TABLE VI. Comparison of soundscape emotion studies. This table presents the variance in  
418 soundscape emotion explained by core dimensions in both Chinese and Western contexts.

		% of Variance						
		Dimensions						
		1	2	3	4	5	6	7
Perceived emotion	Axelsson <i>et al.</i> <sup>a,b</sup>	50	18	6				
	Current study	38	11	6	4	3	2	2
Felt emotion	Cain <i>et al.</i> <sup>c</sup>	60	20					
	Current study	43	9	6	4	3		

419 <sup>a</sup>Reference 21.

420 <sup>b</sup>Apart from the first three components, another eight components satisfied Kaiser's criterion  
421 (eigenvalue > 1). Their contribution to the explained variance was marginal at 1%–3%, and they  
422 could not be meaningfully interpreted.

423 <sup>c</sup>Reference 22.

424 In this study, the accounted overall variance for felt and perceived emotions is only 64% and  
425 66%, respectively. These figures align with some results from previous urban outdoor soundscape  
426 studies.<sup>9, 47, 48</sup> The significant variations in the acoustic environment of urban open spaces could



427 potentially account for this level of accounted overall variance.

## 428 **B. Relationship between emotion and holistic evaluation of the soundscape**

429 To quantify the prediction accuracy of felt emotion and perceived emotion on the holistic  
430 evaluation of the soundscape (i.e., preference for the soundscape and appropriateness of the  
431 soundscape to the place), the questionnaire data were subjected to six sets of linear multiple  
432 regression analyses using the stepwise method. The criteria for selecting independent variables in the  
433 stepwise method were set to the default settings of the SPSS system (Probability-of-F-to-enter  $\leq$   
434 0.050, Probability-of-F-to-remove  $\geq$  0.100). It should be noted that the constants in the developed  
435 regression models were derived based on emotion scales ranging from one to five. The preference  
436 and appropriateness scales also ranged from one to five.

### 437 ***1. Felt emotion factors and holistic evaluation of soundscape***

438 Overall, five felt emotion factors of the soundscape were used as independent variables. Factor  
439 scores were calculated using the regression method.

440 In the first regression model, preference for the soundscape was utilized as the dependent  
441 variable. The relationship between the dependent and independent variables is shown in Eq. (1),

$$442 \quad \text{PREF} = 0.992 * \textit{enjoyment} - 0.256 * \textit{tension} + 2.932. \quad (1)$$

443 Preference for the soundscape (*PREF*) was best predicted by felt emotion factor 1, *enjoyment* ( $\beta$   
444 = 0.825,  $t = 30.626$ ,  $p < 0.001$ ), and felt emotion factor 4, *tension* ( $\beta = -0.213$ ,  $t = -7.906$ ,  $p < 0.001$ ).  
445 *Enjoyment* had a positive and significant effect, and *tension* had a negative influence. The negative  
446 loading of the later factor in Eq. (1) corroborates a negative valence of the fourth dimension of felt  
447 emotion. Together, they explained 82.5% (adjusted  $R^2$ ) of the variance in the preference for the  
448 soundscape ( $F = 620.67$ ,  $p < 0.001$ ). The regression model was statistically significant. The results  
449 showed that the accuracy of predicting preference for the soundscape by felt emotion was 82.5%.

450 In the second regression model, the appropriateness of the soundscape to the place was used

451 as the dependent variable. The relationship between the dependent and independent variables is  
452 shown in Eq. (2),

$$453 \quad \text{APPROPR} = 0.359 * \textit{enjoyment} + 0.233 * \textit{familiarity} - 3.485. \quad (2)$$

454 The appropriateness of the soundscape to the place ( $\text{APPROPR}$ ) was best predicted by felt  
455 emotion factor 1,  $\textit{enjoyment}$  ( $\beta = 0.325$ ,  $t = 5.669$ ,  $p < 0.001$ ), and felt emotion factor 5,  $\textit{familiarity}$  ( $\beta =$   
456  $0.210$ ,  $t = 3.670$ ,  $p < 0.001$ ). Both variables had a positive influence. Together, they explained only  
457 13.8% (adjusted  $R^2$ ) of the variance in the appropriateness of the soundscape to the place ( $F =$   
458  $21.98$ ,  $p < 0.001$ ). The regression model was statistically significant. The outcomes showed that the  
459 accuracy of predicting the appropriateness of the soundscape to the place by felt emotion was only  
460 13.8%.

## 461 ***2. Perceived emotion factors and holistic evaluation of soundscape***

462 Furthermore, seven perceived emotion factors of the soundscape were employed as  
463 independent variables, and factor scores were calculated using the regression method.

464 In the third regression model, preference for the soundscape was used as the dependent  
465 variable. The relationship between the dependent and independent variables is shown in Eq. (3),

$$466 \quad \text{PREF} = 1.036 * \textit{comfortable} + 0.13 * \textit{nostalgic} + 2.932. \quad (3)$$

467  $\text{PREF}$  was best predicted by perceived emotion factor 1,  $\textit{comfortable}$  ( $\beta = 0.861$ ,  $t = 29.025$ ,  $p <$   
468  $0.001$ ), and perceived emotion factor 7,  $\textit{nostalgic}$  ( $\beta = 0.108$ ,  $t = 3.628$ ,  $p < 0.001$ ). Both variables had  
469 a positive influence, with  $\textit{comfortable}$  having a significant influence. Together, they explained 77.1%  
470 (adjusted  $R^2$ ) of the variance in the preference for the soundscape ( $F = 443.093$ ,  $p < 0.001$ ). The  
471 regression model was statistically significant. The results showed that the accuracy of predicting  
472 preference for the soundscape by perceived emotion was 77.1%, which is lower than that of  
473 predicting preference for the soundscape by felt emotion (82.5%).

474 In the fourth regression model, the appropriateness of the soundscape to the place was used as

475 the dependent variable. The relationship between the dependent and independent variables is shown  
476 in Eq. (4),

$$477 \quad APPROPR = 0.394*comfortable + 0.334*familiar + 0.170*desolate - 3.485. \quad (4)$$

478 The *APPROPR* was best predicted by perceived emotion factor 1, *comfortable* ( $\beta = 0.356$ ,  $t =$   
479  $6.447$ ,  $p < 0.001$ ), perceived emotion factor 4, *familiar* ( $\beta = 0.302$ ,  $t = 5.318$ ,  $p < 0.001$ ), and perceived  
480 emotion factor 3, *desolate* ( $\beta = 0.154$ ,  $t = 2.697$ ,  $p < 0.01$ ). All variables had a positive influence.  
481 Together, they explained 21.5% (adjusted  $R^2$ ) of the variance in the appropriateness of the  
482 soundscape to the place ( $F = 25.049$ ,  $p < 0.001$ ). The regression model was statistically significant,  
483 and the findings confirmed that the accuracy of predicting the appropriateness of the soundscape to  
484 the place by perceived emotion was 21.5%, which is higher than that of predicting the  
485 appropriateness of the soundscape to the place by felt emotion (13.8%).

### 486 ***3. Combination of both felt and perceived emotion factors***

487 To further illustrate, five felt emotion factors and seven perceived emotion factors of the  
488 soundscape were combined as independent variables. First, the appropriateness of the soundscape  
489 to the place was used as the dependent variable and fitted into a linear multiple regression analysis  
490 using the stepwise method. The criteria for selecting independent variables were the same as above.  
491 The results showed that the equation of the regression model was exactly the same as Eq. (4), with  
492 an adjusted  $R^2$  still at 21.5%.

493 Next, preference for the soundscape was used as the dependent variable and fitted into a linear  
494 multiple regression analysis using the stepwise method. The criteria for selecting independent  
495 variables were the same as above. The results showed that the equation of the regression model is as  
496 follows:

$$497 \quad PREF = 0.985*enjoyment - 0.250*tension + 0.063*nostalgic + 2.932. \quad (5)$$

498 Equation (5) includes perceived emotion factor 7, *nostalgic*, which is not included in Eq. (1).

499 With the inclusion of the new variable, the unstandardized coefficients of the original variables also  
500 changed. The three factors, felt emotion factor 1, *enjoyment* ( $\beta = 0.819$ ,  $t = 30.409$ ,  $p < 0.001$ ), felt  
501 emotion factor 4, *tension* ( $\beta = -0.208$ ,  $t = -7.711$ ,  $p < 0.001$ ), and perceived emotion factor 7, *nostalgic*  
502 ( $\beta = 0.052$ ,  $t = 1.998$ ,  $p < 0.05$ ), together explained 82.7% (adjusted  $R^2$ ) of the variance in the  
503 preference for the soundscape ( $F = 419.854$ ,  $p < 0.001$ ). Equation (5) explained 0.2% more of the  
504 variance than Eq. (1), and the regression model was statistically significant.

#### 505 **4. Discussion**

506 Axelsson<sup>25</sup> found that appropriateness is independent of perceived affective quality. This study  
507 attempted to explain the appropriateness of soundscapes, but neither perceived emotion nor felt  
508 emotion was found to be effective. However, it can be observed that perceived emotion is slightly  
509 stronger than felt emotion in explaining soundscape appropriateness.

510 As Sec. III B 2 shows, in the predictive model of perceived emotion for appropriateness, there  
511 is a significant positive correlation between appropriateness and the perceived emotion factor  
512 “familiar.” In other words, the more “familiar” the soundscape is perceived, the more “appropriate”  
513 it is considered.

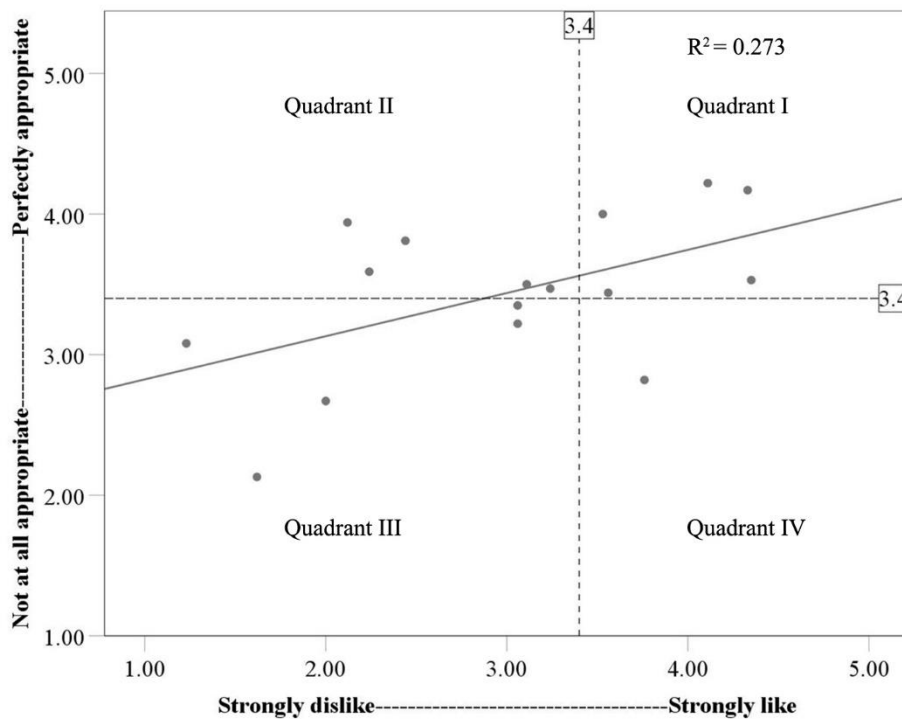
514 The combination of both felt and perceived emotion factors offered negligible improvement in  
515 the prediction accuracy of the holistic evaluation of the soundscape. This indicates that the part of  
516 the appropriateness of the soundscape to the place that can be explained by felt emotions is entirely  
517 contained within what can be explained by perceived emotions. A similar observation was also found  
518 for preference, as to appropriateness.

#### 519 **C. Importance of emotion viewed from the stringency of holistic soundscape** 520 **descriptors**

521 Through an experiment, Axelsson<sup>25</sup> highlighted that a soundscape might be appropriate even if  
522 it is poor. Hence, he asked, “Which information should then have priority, the appropriateness of

523 the soundscape or how good or bad it is?"

524 To find a research approach to answering this question, we drew a scatterplot of 16 soundscape  
 525 samples. The arithmetic mean of the preference values for each soundscape excerpt was taken as the  
 526 abscissa. The arithmetic mean of the appropriateness values was used as the ordinate, as shown in  
 527 Fig. 3.



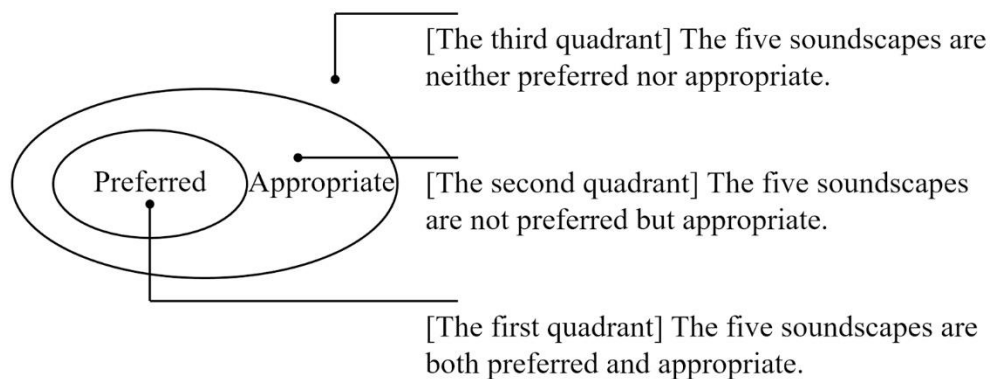
528  
 529 FIG. 3. Scatterplot presenting the extent to which a soundscape is preferred on the x-axis and how  
 530 appropriate a soundscape is to a place on the y-axis. The data points represent the 16 soundscape  
 531 excerpts.

532 A simple linear regression model was fitted to the appropriateness-preference scatterplot.

533 Although the correlation analysis identified a significant positive correlation between the two  
 534 variables, the determinate coefficient ( $R^2 = 0.273$ ) indicated that the relationship was not close.

535 The two-dimensional space defined by appropriateness and preference was divided into four  
 536 quadrants with a criterion of 6/4, as shown in Fig. 3. The first quadrant contained five soundscape  
 537 samples. The preference and appropriateness values of this kind of soundscape are both relatively

538 high, which means that this kind of soundscape is both preferred and appropriate. The second  
 539 quadrant contained five soundscape samples. The preference value of this kind of soundscape is  
 540 relatively low, but the appropriateness value is relatively high, denoting that this kind of soundscape  
 541 is not preferred but appropriate. The third quadrant contained five soundscape samples. The  
 542 preference and appropriateness values of this kind of soundscape are both relatively low, signifying  
 543 that this kind of soundscape is neither preferred nor appropriate. The relationship between  
 544 appropriateness and preference is illustrated in Fig. 4.



545  
 546 FIG. 4. The preferred soundscape excerpts are a subset of the appropriate soundscape excerpts.

547 Except for the soundscape sample in the fourth quadrant, all other samples conform to the rule  
 548 that preferred soundscape excerpts are a subset of appropriate soundscape excerpts. Axelsson<sup>25</sup> drew  
 549 a scatterplot of 25 soundscape samples, presenting the extent to which a soundscape is good on the  
 550 x-axis and how appropriate a soundscape is to a place on the y-axis. We still found that the good  
 551 soundscape excerpts are a subset of the appropriate soundscape excerpts. The soundscape sample in  
 552 the fourth quadrant is soundscape excerpt No. B2 in Tables I and II. This soundscape excerpt is  
 553 clearly an outlier, belonging to a square with considerable green spaces dominated by music sounds  
 554 and human sounds. The sound sources may not be in view, so excerpt No. B2 is exceptionally  
 555 inappropriate for the place, even though it is preferred.

556 It can be inferred that if a soundscape is preferred, it will be unconditionally considered to be

557 appropriate. However, an “appropriate” soundscape can be either preferred or not. Preference is a  
558 more stringent and rigorous soundscape descriptor than appropriateness.

559 The prediction accuracies of felt emotion and perceived emotion on preference for the  
560 soundscape were 82.5% and 77.1%, respectively. The prediction accuracies of felt emotion and  
561 perceived emotion on the appropriateness of the soundscape to the place were 13.8% and 21.5%,  
562 respectively. Hence, preference is a more emotional soundscape descriptor than appropriateness. It  
563 may be inferred that for evaluating soundscapes, the more emotional the descriptor, the greater its  
564 stringency and rigorousness.

#### 565 **IV. CONCLUSIONS**

566 The emotional evaluation mechanism of the soundscape in urban open spaces has been  
567 investigated through a laboratory experiment using a questionnaire (in Chinese). From this, the  
568 measurement dimensions in Chinese for the soundscape have been proposed. The main conclusions  
569 are as follows:

- 570 1. Although the soundscape in urban open spaces is rather complicated, it is still possible to  
571 identify five felt emotions and seven perceived emotions associated with the soundscape.  
572 According to the percentage of total variance covered by each factor, among the five felt  
573 emotions (enjoyment, excitement, desolation, tension, and familiarity), enjoyment (43%)  
574 and excitement (9%) are the two dominant factors; among the seven perceived emotions  
575 (comfortable, festive, desolate, familiar, various, attention-grabbing, and nostalgic),  
576 comfortable (38%) and festive (11%) are the two dominant factors. There is a certain  
577 correspondence between felt and perceived emotions. In the Chinese context, the core  
578 dimensions of soundscape emotion agree with the two dimensions (valence and arousal)  
579 proposed by Russell in 1980.<sup>50</sup> However, in the comparison between Chinese and

580 Western contexts, the percentages of total variance in soundscape emotion covered by  
581 these two dimensions are different.

- 582 2. When comparing perceived and felt emotions, the holistic soundscape descriptor  
583 “preference” is more suitable for predicting through felt emotion, while the holistic  
584 soundscape descriptor “appropriateness” is more suitable for predicting through  
585 perceived emotion. When explaining the appropriateness of soundscapes, perceived  
586 emotion is a slightly stronger factor than felt emotion, but neither is effective.
- 587 3. Preference is a more stringent and rigorous soundscape descriptor than appropriateness.  
588 Meanwhile, preference is a more emotional soundscape descriptor than appropriateness.  
589 It may be inferred that for evaluating soundscapes, the more emotional the descriptor,  
590 the greater its stringency and rigorousness.

591 This study examines soundscape emotions from the perspective of the Chinese context. The  
592 emotional-descriptive terms in Chinese are very different from those utilized in Western languages.  
593 This study also outlines that the percentages of total variance in soundscape emotion covered by  
594 emotional dimensions vary in different cultures. This underscores the necessity for further  
595 exploration of the differences between the Chinese and Western contexts. Future research should  
596 continue to form China’s overall framework. While the authors have endeavored to summarize the  
597 most accurate labels, using only labels to describe dimensions is difficult to convey the full picture.

598 This study makes a conjecture that “for evaluating soundscapes, the more emotional the  
599 descriptor, the greater its stringency and rigorousness.” This was based on the relationship between  
600 the two holistic soundscape descriptors, which can be further confirmed by more descriptors in  
601 future studies. This notion demonstrates the pivotal role of users’ perceived and felt emotions in the  
602 soundscape evaluation process.

### 603 **SUPPLEMENTARY MATERIAL**



604 See the supplementary material for the pattern matrices of factor analysis on perceived and felt  
605 emotions in the soundscape and the laboratory research questionnaire.

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## 613 **AUTHOR DECLARATIONS**

### 614 **Conflict of Interest**

615 There are no conflicts of interest to declare.

### 616 **Ethics Approval**

617 All study participants provided informed consent, and the study design was approved by the  
618 School of Architecture, Harbin Institute of Technology (HIT-2023027).

## 619 **DATA AVAILABILITY**

620 The data that support the findings of this study are available from the corresponding author  
621 upon reasonable request.

622

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