

1 Distal Ventricular Pacing for Drug-Refractory Mid-Cavity Obstructive Hypertrophic  
2 Cardiomyopathy: A Randomized, Placebo-Controlled Trial of Personalized Pacing.

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25 **Short title:** Pacing for mid-cavity hypertrophic cardiomyopathy.

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36

37 **Abstract**

38 **Background:** Patients with refractory symptomatic left ventricular (LV) mid-cavity  
39 obstructive (LVMCO) hypertrophic cardiomyopathy (HCM) have few therapeutic  
40 options. Right ventricular (RV) pacing is associated with modest hemodynamic and  
41 symptomatic improvement, and LV pacing pilot data suggest therapeutic potential.  
42 We hypothesized site-specific-pacing would reduce LVMCO gradients and improve  
43 symptoms.

44 **Methods:** Patients with symptomatic-drug-refractory LVMCO were recruited for a  
45 randomized blinded trial of personalized prescription of pacing (PPoP). Multiple LV  
46 and apical RV pacing sites were assessed during invasive hemodynamic study of  
47 multisite pacing. Patient-specific pacing-site and atrioventricular (AV) delays,  
48 defining PPoP, were selected on basis of LVMCO gradient reduction and acceptable  
49 pacing parameters. Patients were randomized to 6 months of active PPoP or back-  
50 up pacing in cross-over design. The primary outcome examined invasive gradient  
51 change with best-site pacing. Secondary outcomes assessed quality of life and  
52 exercise following randomization to PPoP.

53 **Results:** A total of 17 patients were recruited; 16 met primary endpoints. Baseline  
54 NYHA was  $3\pm 0.6$  despite medical therapy. Hemodynamic effects were assessed  
55 during pacing at RV apex and at a mean of 8 LV sites. The gradients in all 16  
56 patients fell with pacing, with maximum gradient reduction achieved via LV pacing in  
57 14 (88%) patients and RV apex in 2. Mean baseline gradient  $80\pm 29$  mmHg, fell to  
58  $31\pm 21$  mmHg with best-site pacing, a 60% reduction ( $p<0.0001$ ).  
59 One cardiac vein perforation occurred in one case, and 15 subjects entered cross-  
60 over; 2 withdrawals occurred during cross-over (myocardial infarction, persistent  
61 atrial fibrillation). Of the 13 completing cross-over, 9 (69%) chose active pacing in

62 PPop configuration as preferred setting. PPop was associated with improved 6-  
63 minute walking test performance ( $328.5 \pm 99.9$  vs  $285.8 \pm 105.5$  meters,  $p=0.018$ );  
64 other outcome measures also indicated benefit with PPop.

65 **Conclusions:** In a randomized placebo-controlled trial, LV pacing reduces  
66 obstruction and improves exercise performance in severely symptomatic LVMCO  
67 patients.

68 **Registration:** NCT03450252.

69

70 **Keywords:**

71 Hypertrophic cardiomyopathy, pacemaker, mid-cavity obstruction

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75 **Non-standard Abbreviations and Acronyms**

76	AE	Adverse Event
77	ASA	Alcohol septal ablation
78	AF	Atrial fibrillation
79	AV	Atrioventricular
80	CPET	Cardiopulmonary exercise test
81	CI	Confidence intervals
82	CMR	Cardiac magnetic resonance
83	CVCTU	Cardiovascular Clinical Trials Unit
84	ECG	Electrocardiogram
85	eGFR	Estimated glomerular filtration rate
86	ESC	European Society of Cardiology
87	GA	General anesthesia
88	HCM	Hypertrophic cardiomyopathy
89	HTN	Hypertension
90	ICD	Implantable cardioverter defibrillator
91	IQR	Interquartile range
92	ISRCTN	International Standard Randomized Controlled Trials Number
93	KCCQ	Kansas City Cardiomyopathy Questionnaire
94	LA	Left atrial
95	LGE	Late gadolinium enhancement

96	LV	Left ventricle
97	LVAA	Left ventricular apical aneurysm
98	LVEF	Left ventricular ejection fraction
99	LVMCO	Left ventricular mid cavity obstruction
100	LVOTO	Left ventricular outflow tract obstruction
101	LVWT	Left ventricular wall thickness
102	MCV	Middle cardiac vein
103	MI	Myocardial infarction
104	NIHR	National Institute of Health and Care Research
105	NSVT	Non-sustained ventricular tachycardia
106	NT-proBNP	N-terminal pro B-type natriuretic peptide
107	NYHA	New York Heart Association
108	PPM	Permanent pacemaker
109	PPoP	Personalized prescription of pacing
110	RV	Right ventricular
111	SCD	Sudden cardiac death risk
112	SD	Standard deviation
113	SF-36	Short form 36 questionnaire
114	6MWT	6-minute walk test
115		

116 **Clinical Perspective:**

117 **What is Known?**

- 118 • Patients with refractory, symptomatic LVMCO present a significant challenge  
119 for clinical management, with very few treatment options.
- 120 • Data on use of right ventricular (RV) pacing in patients with refractory,  
121 symptomatic LVMCO indicate suboptimal therapeutic responses whilst pilot  
122 data indicated a potential therapeutic role for LV pacing.

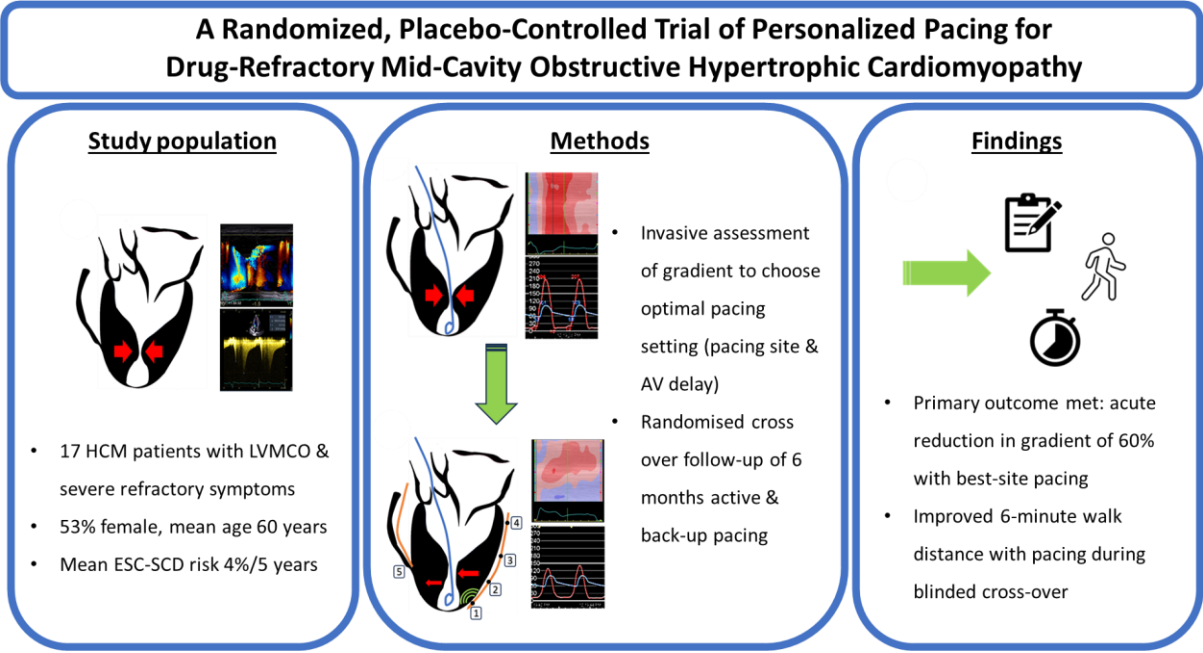
123 **What the Study Adds?**

- 124 • Personalized prescription of pacing (PPoP) therapy guided by invasive  
125 hemodynamics significantly reduced LVMCO gradients and improved  
126 exercise performance in the first randomized, placebo-controlled trial in  
127 symptomatic LVMCO.
- 128 • This study provides the basis for a multicenter trial of PPoP for LVMCO and  
129 for the use of site-specific pacing in managing other forms of HCM.

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131 **Graphical Abstract:**

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133



134 **1. Introduction**

135 Hypertrophic cardiomyopathy (HCM) is the most common inherited heart disease,  
136 affecting around 1 in 500 people.<sup>1</sup> HCM is characterized by abnormal myocardial  
137 thickening and hypercontractility, with obstruction to blood flow within the left  
138 ventricle (LV) frequently seen at the level of the outflow tract, associated with  
139 morbidity and prognosis.<sup>2, 3</sup> LV mid-cavity obstruction (LVMCO) is a less commonly  
140 recognized phenotypic form of HCM in which obstruction during LV ejection occurs  
141 due to partial or complete obliteration and division of the LV cavity into two distinct  
142 areas (basal and apical). Here, hyperdynamic muscular contraction forms a  
143 constricting muscular neck at the point of cavity division.<sup>4 5</sup> High pressure gradients  
144 form across the point of obstruction, often associated with the development of  
145 discrete LV apical aneurysms (LVAA),<sup>6</sup> a risk factor for adverse events.<sup>7</sup>

146 Patients with LVMCO are often symptomatic,<sup>8</sup> and first-line pharmacologic therapies  
147 (including betablockers, calcium channel antagonists, and disopyramide) aim to  
148 reduce LV inotropy and/or increase filling time. Those with symptoms refractory to  
149 medical therapy have severely limited therapeutic options. A few studies have  
150 indicated that pacing may reduce obstructive gradients and improve symptoms in  
151 LVMCO.<sup>9, 10</sup> Notably, as we and others have shown,<sup>4, 11, 12</sup> Doppler derived  
152 assessments often severely underestimate LVMCO gradient magnitude; invasive  
153 methods are needed for accurate measurement.

154 Our group has pioneered the use of invasive hemodynamic assessments made  
155 during a multi-site pacing study to determine optimal pacing configurations. Initial  
156 data, obtained in severely symptomatic LVMCO patients with conventional  
157 indications for device therapy demonstrated that this personalized prescription of

158 pacing (PPoP) approach reduced LVMCO gradients, and was associated with  
159 symptomatic improvement in an unrandomized cohort of 16 patients.<sup>13</sup> These  
160 observational data informed the design of this randomized, placebo controlled cross-  
161 over trial of PPOp. Here, we hypothesized that PPOp as guided by invasive  
162 hemodynamic measurements of arterial and LV pressures to accurately define  
163 obstructive gradients<sup>4</sup> would reliably reduce LVMCO gradient severity (primary aim)  
164 and improve functional status (secondary aims).

165

166 **2. Methods**

167 **Study design and participants**

168 This was a single-center, prospective study of distal ventricular pacing for gradient  
169 reduction and symptomatic relief in HCM patients with isolated LVMCO. Ethical  
170 approval was granted by the National Research Ethics Service, City Road and  
171 Hampstead, London (Reference: 17/LO/1725). The trial was performed in agreement  
172 with the Declaration of Helsinki and registered with the following public registries:  
173 <http://clinicaltrials.gov> (NCT03450252) and International Standard Randomized  
174 Controlled Trials Number (ISRCTN): ISRCTN82621856. The study was funded by  
175 the National Institute for Health and Care Research (NIHR) in the United Kingdom.

176 Only LVMCO patients with severe drug-refractory symptoms were included. Eligible  
177 HCM patients were  $\geq 18$  years with LVMCO gradient  $\geq 30$  mmHg demonstrated  
178 initially by echocardiography, and confirmed by cardiac catheterization at rest or with  
179 isoprenaline provocation, referred for pacemaker (PPM) +/- implantable cardioverter  
180 defibrillator (ICD) implantation for either primary prevention of sudden cardiac death  
181 or other indications such as heart block or obstructive physiology; patients were  
182 taking maximum tolerated doses of beta blockers or verapamil +/- disopyramide.

183 Exclusion criteria included multi-level obstruction (i.e. across the mid-cavity and  
184 outflow tract if the latter was determined to be the dominant lesion); moderate or  
185 severe primary valvular disease; untreated symptomatic coronary disease; atrial  
186 fibrillation at the time of device implantation; pregnancy; eGFR  $< 20$  mL/min; and  
187 patients unable to provide informed consent. Inclusion and exclusion criteria are fully  
188 listed in Table 1.

189 **Study design overview**

190 Baseline evaluation of symptom and performance (secondary outcome measures)  
191 included NYHA class, Short Form-36 (SF-36) questionnaire scores, Kansas City  
192 Cardiomyopathy Questionnaire (KCCQ) scores, 6-minute walk test (6MWT)  
193 distance, cardiopulmonary exercise testing (CPET) with stress echocardiography,  
194 and serum concentration of N-terminal pro B-type natriuretic peptide (NT-proBNP).

195 Subjects then underwent an invasive hemodynamic assessment of multi-site pacing  
196 study to guide LV and RV lead positioning and device implantation. Primary outcome  
197 data were collected during the hemodynamic pacing study and comprised of the  
198 acute invasively determined changes in LVMCO gradient at the optimal pacing site  
199 when compared to sinus rhythm. Quadripolar LV lead position was determined  
200 according to a pragmatic synthesis of pacing-site-specific hemodynamic data and  
201 appropriate pacing parameters at that site (including thresholds and  
202 diaphragmatic/phrenic capture).

203 On the day following device implant, participants were randomized to either active or  
204 back up pacing for the first phase of follow-up (6 months), before crossover to the  
205 alternate setting for the second 6-month period. Secondary outcome data were again  
206 assessed at the end of each follow-up phase. Participant and Principal Investigator  
207 were blinded to pacing status, and the subject's stated preference of either 1<sup>st</sup> or 2<sup>nd</sup>  
208 crossover phase was a prespecified secondary outcome measure.

### 209 **Hemodynamic pacing study**

210 We have previously described the technique for hemodynamic pacing procedure in  
211 detail.<sup>13</sup> Briefly, all hemodynamic pacing studies and device implants were performed  
212 in a single procedure under general anesthesia (GA). Arterial access was achieved  
213 via the right femoral artery using the Seldinger technique (operator 1) with central

214 venous access similarly achieved via the left sub-clavian or cephalic veins (operator  
215 2).

216 Operator 2 advanced the right atrial and RV leads to the heart via the superior vena  
217 cava, where they were implanted using standard techniques for active leads  
218 (manufacturer dependent). The coronary sinus was intubated using a LV lead  
219 delivery guiding catheter and the coronary venous anatomy defined by simultaneous  
220 balloon occlusion coronary sinus venography and LV angiography. The coronary  
221 vein of interest was intubated with a deflectable quadripolar catheter.

222 During the hemodynamic pacing protocol, pressures were transduced  
223 simultaneously from the LV apex using a specially manufactured end-hole pigtail  
224 catheter (Cordis™) and femoral artery via a 7French side arm sheath. Baseline  
225 peak-to-peak obstructive gradients were calculated in sinus rhythm; if no resting  
226 obstructive gradient was present under GA conditions, steady state isoprenaline  
227 infusion was used in provocation: this began at 1 microgram/minute and, if required,  
228 was gradually uptitrated to a maximum of 4 micrograms/minute.<sup>14 15</sup>

229 To select the optimal sensed AV delay, an initial AV delay (60 ms) was lengthened  
230 until evidence of QRS fusion was seen on the surface electrocardiogram (ECG)  
231 and/or when acute gradient reduction was lost. For each of several pacing  
232 configurations (quadripolar LV catheter, RV apex; in unipolar and bi-polar) the best  
233 ventricular pacing location (primary outcome) was identified solely according to the  
234 greatest acute reduction in obstructive gradient. Each pacing site was systematically  
235 tested by turning pacing 'on' for a period of 30 seconds to allow stabilization of  
236 hemodynamics, before averaging invasively defined LVMCO gradients over 3  
237 consecutive cardiac cycles. When pacing was turned 'off' at an individual site,

238 another 30 second period was initiated to allow for stabilization of intracardiac  
239 hemodynamics. When selecting the pragmatic pacing site for PPop, other pacing  
240 related factors (including capture threshold, r-wave sensitivity, diaphragmatic  
241 capture, change in surface ECG QRS morphology, lead stability) and hemodynamic  
242 responses (the maintenance or improvement in systolic arterial pressure) were also  
243 considered by the Principal Investigators (operators 1 and 2).

244 The assessment of obstructive gradients during various pacing configurations was  
245 obtained sequentially beginning with single site pacing from the RV apex, followed  
246 by multi-site LV pacing from a quadripolar lead in the middle cardiac or other cardiac  
247 vein (venous anatomy dependent). Hemodynamic consequences of multi-site LV  
248 pacing were assessed sequentially from distal to proximal poles 1-4. Repositioning  
249 of the quadripolar lead in the same or alternative cardiac veins was performed when  
250 sub-optimal hemodynamic results were obtained, and/or when pacing parameters or  
251 lead stability were unsatisfactory. After final pacing parameters were identified,  
252 careful pull-back of the pigtail catheter confirmed site and magnitude of resting and  
253 pacing obstruction within the LV.

## 254 **Statistical analysis**

### 255 **Sample size calculation**

256 Effect size was calculated using our retrospective LVMCO pacing data,<sup>13</sup> where  
257 mean acute reduction in mid-cavity gradient with distal ventricular pacing was  $60 \pm 26$   
258 mmHg. A conventional significant obstructive gradient is 30 mmHg,<sup>16</sup> and using a  
259 conservative approach, we aimed to be able to detect a reduction of 25 mmHg. With  
260 two-sided alpha level set at 0.05 a priori, and a power of 90%, the calculated sample  
261 size using a paired sample t-test was 15.

262 **Randomization**

263 Patients were randomized to either active or back-up pacing one day after device  
264 implantation using a 1:1 ratio. A master randomization list was generated in an  
265 appropriate statistical package (STATA, using the ralloc command) to active or back-  
266 up pacing with block size varied randomly.

267 **Analysis of primary endpoint**

268 All patients completing the initial hemodynamic pacing study were eligible for primary  
269 analysis. Comparison of the gradients during ventricular pacing and sinus rhythm  
270 was made using a paired t-test. The effect size is presented as the mean difference  
271 (optimum pacing minus sinus rhythm gradients) and 95% confidence interval. In  
272 addition, the mean values and standard deviations (SD) for gradients during both  
273 sinus rhythm and pacing are presented.

274 **Analysis of secondary endpoints**

275 The proportions completing each assessment and the proportion withdrawing were  
276 used to assess feasibility of the study. Secondary outcomes were compared  
277 between baseline and pacing settings using repeated measures analyses (ANOVA  
278 or Friedman tests depending on normality of distribution using the D'Agostino &  
279 Pearson test) with multiple comparisons tests (Tukey's or Dunn's depending on  
280 normality) providing a direct comparison between active and back-up pacing  
281 settings. Changes between pacing settings during randomized follow-up in each  
282 variable were calculated as the value for active pacing minus the value for back-up  
283 pacing for each patient. Data are presented as mean $\pm$ SD or median and interquartile  
284 range (IQR) as appropriate.

285 Data was examined for the presence of carry-over period effects. No carry-over  
286 effect was found. There was minor evidence of a period effect influencing the KCCQ  
287 results which was not deemed to substantially affect the results presented.  
288 All analyses were performed in R™ version 2022.27.1, and figures created in  
289 Graphpad Prism™ version 9.5.1.

290

### 291 **Study Overview and Data Monitoring**

292 Study overview was provided by the Cardiovascular Clinical Trials Unit (CVCTU) at  
293 the William Harvey Research Institute, London. Trial safety data was reviewed  
294 routinely by an independent Data Safety Monitoring Committee, and oversight  
295 provided by independent Trial Steering Committee. All trial data was held in a secure  
296 database (REDCAP™), source data verification undertaken by CVCTU monitors,  
297 and statistical analyses performed by CVCTU statistician.

298

## 299 **3. Results**

### 300 **General characteristics of population**

301 Between February 2018 and March 2022, 17 patients were recruited to the trial. 29  
302 patients were pre-screened for eligibility during work-up for potential invasive therapy  
303 for LVMCO and refractory symptoms in a specialist heart muscle / electrophysiology  
304 clinic after referral from their primary clinician (Figure 1). Of these 29, 12 patients  
305 were excluded after not meeting symptomatic inclusion criteria (n=11), and 1 clinical  
306 event prior to trial recruitment taking place (symptomatic ventricular arrhythmia and  
307 urgent dual chamber ICD implant).

### 308 **Baseline characteristics**



309 The study population consisted of 17 patients on maximal tolerated medical therapy  
310 with LVMCO. Of these, 53% were female, and mean age at recruitment  $55.9\pm 10.3$   
311 years (Table 2). One patient was withdrawn before the implant procedure due to  
312 comorbidities. Of the 16 who underwent hemodynamic pacing study and had devices  
313 implanted, 15 (94%) patients received an ICD and one patient received a pacemaker  
314 device. At baseline assessment, 17 (100%) patients reported exertional dyspnea, 15  
315 (88%) reported exertional chest pain, 16 (94%) reported palpitations, and 14 (82%)  
316 reported presyncope. Seven patients (41%) reported prior unexplained complete  
317 loss of consciousness.

### 318 **Primary end point: acute change in invasive gradient**

319 Primary end point assessment was completed in 16 patients. Hemodynamic effects  
320 of distal ventricular pacing were assessed from the RV apex in all patients, and  
321 additionally in a mean of 8 LV sites (range 4-16). LV pacing was achieved via the  
322 middle cardiac vein in 10, and another cardiac vein in four. All LVMCO gradients fell  
323 with pacing.

324 Mean LVMCO gradient at baseline was  $80\pm 29$  mmHg (range 40-139), falling to  
325  $31\pm 21$  mmHg (range 0-80) when paced from the optimal ventricular site. This  
326 represents a mean fall in LVMCO gradient of -49 mmHg (95% CI -62 to -36 mmHg,  
327  $p<0.0001$ ) (Figure 2). Alternatively, this can be expressed as a mean 60% reduction  
328 (range 14-100%). The greatest reductions in LVMCO were from a pacing site in the  
329 LV in 14 (87.5%) patients, and from the RV apex in 2.

### 330 **Secondary endpoints**

331 Pre-implant assessment and both pacing settings during follow-up were completed  
332 by 13 patients (with the order of active or back-up pacing randomized) who were

333 eligible for comparison of secondary endpoints between the three time points.

334 Reasons for drop-out are discussed under adverse events below.

335 **Participant choice of favored pacing setting:** At the end of the final study visit,  
336 whilst still masked to treatment allocation, subjects were asked in which phase, if  
337 any, they felt better. Nine of 13 (69%) subjects chose the active pacing phase as  
338 their preferred setting. The remaining 4 (31%) patients reported no difference in  
339 symptoms between the two phases.

340 **NYHA class:** Subjects self-reported NYHA class on 'good' and 'bad' days (Figure 3  
341 panels A and B). Median NYHA class on a good day was 3 pre implant, 2 in the  
342 active pacing arm, and 3 in the back-up pacing arm (IQRs all 2 to 3,  $p=0.26$ ). The  
343 median difference in NYHA class on a good day between active and back-up pacing  
344 was zero ( $p>0.99$ ). Median NYHA class on a bad day was 3 (IQRs 3 to 4) in pre  
345 implant, 3 (IQRs 3 to 3) during active and 3 (IQRs 3 to 4) during back-up pacing  
346 ( $p=0.013$ ). The median difference in NYHA class on a bad day between active and  
347 back-up pacing was zero ( $p=0.42$ ). Subjects were also asked to report the ratio of  
348 good to bad days throughout the week as: more good days than bad, more bad days  
349 than good, or equal good and bad days. More good than bad days were reported  
350 with active pacing, and more bad than good days were reported during backup  
351 pacing (supplementary Figure S1).

352 **SF36 score:** Detailed SF36 results are in Supplementary Figure S2. The mean  
353 General Health Score was  $26\pm 18$  pre-implant,  $33\pm 30$  during active pacing, and  
354  $25\pm 21$  during back-up pacing ( $p=0.18$ ). Mean increase in General Health Score with  
355 active compared to back-up pacing was 3 (95% CIs -3 to 9,  $p=0.42$ ) (Figure 3 panel  
356 C).

357 **KCCQ score:** Mean overall KCCQ score was 33±22 pre-implant, 42±22 during  
358 active pacing, and 34±16 during back-up pacing (p=0.22). Mean clinical KCCQ score  
359 was 36±18 pre-implant, 42±22 during active pacing, and 36±18 during back-up  
360 pacing (p=0.34). Mean increase in overall KCCQ score with active compared to  
361 back-up pacing was 7±20 (95% CIs 19 to -5, p=0.44) (Figure 3 panel D). Mean  
362 increase in clinical KCCQ score with active compared to back-up pacing was 6±19  
363 (95% CIs 18 to -5, p=0.50) (Figure 3 panel E).

364 **Doppler defined LVMCO gradient:** Mean Doppler defined gradient was 42±32  
365 mmHg pre-implant, 24±17 mmHg during active pacing, and 46±28 mmHg during  
366 back-up pacing (p=0.004). The mean reduction in gradient with active compared to  
367 back-up pacing was 21±14 mmHg (95% CIs 11.5 to 29.9, p=0.002) (Figure 3 panel  
368 F).

369 **6MWT:** Mean 6MWT distance was 296±88 meters pre-implant, 329±100 meters  
370 during active pacing, and 286±106 meters during back-up pacing (p=0.038). The  
371 mean increase in 6MWT distance with active compared to back-up pacing was  
372 43±47 meters (95% CIs 71 to 14, p=0.018) (Figure 3 panel G).

373 **NT-proBNP:** Median NT-proBNP concentration was 483 (IQR 243 to 928) ng/L/L  
374 pre-implant, 549 (IQR 286 to 1014) ng/L during active pacing, and 422 (IQR 301 to  
375 818) ng/L during back-up pacing (p=0.039). Median increase in NT-proBNP  
376 concentration during active compared to back-up pacing was 63 ng/L (IQR 136 ng/L,  
377 p=0.12) (Figure 3 panel H).

378 **VO2 max:** Mean VO2 max was 13.3±2.4 mL/min/kg pre-implant, 14.4±4.4 mL/min/kg  
379 during active pacing, and 12.4±3.4 mL/min/kg during back-up pacing (p=0.24). Mean  
380 increase in VO2 max during active compared to back-up pacing was 1.9±4.4  
381 mL/min/kg (95% CIs 1.0 to 4.9, p=0.34) (Figure 3 panel I).

382

383 **Safety**

384 **Hemodynamic pacing study and device implant:** 16 subjects underwent  
385 successful device implantation, of whom 15 (94%) had uncomplicated procedures.  
386 Cardiac vein perforation and subsequent pericardial effusion treated with  
387 pericardiocentesis occurred in 1 case. In this subject the LV lead was not implanted,  
388 and they received a dual chamber ICD without further complication. This subject was  
389 withdrawn from the study after the implant procedure.

390 **Adverse events during follow-up:** There were two patient withdrawals during  
391 follow-up due to adverse events unrelated to the study. One patient suffered an  
392 acute myocardial infarction, and another went into persistent fast atrial fibrillation in  
393 the first follow-up period.

394

395 **4. Discussion**

396 Patients with drug-refractory symptomatic LVMCO have very few therapeutic  
397 options.<sup>9, 16</sup> For the first time, we demonstrate that ventricular pacing tailored to  
398 individual patient characteristics is safe, technically feasible, and improves LV  
399 intracavity hemodynamics. Furthermore, we present data indicating improved patient  
400 functional status, and provide the context and justification for a larger multicenter trial  
401 powered for symptom and functional measures.

402 Other therapeutic options for symptomatic LVMCO include surgical myectomy,<sup>17</sup>  
403 alcohol septal ablation (ASA),<sup>18</sup> and cardiac transplant.<sup>19</sup> The novel pharmacological  
404 class of myosin inhibitors are not currently licensed for this indication. Prevalence of  
405 LVMCO has been reported to be as high as 9-13% of HCM patients.<sup>20, 21</sup> Notably, as  
406 many patients with LVMCO have primary or secondary indications for transvenous  
407 ICDs, a trial of PPOC therapy may have a key role earlier in the management of  
408 these patients, with progression to other therapies if this fails.

409 **Surgical Myectomy:** Much of the published data on surgery for LVMCO comes from  
410 a single center of surgical excellence.<sup>17, 22, 23</sup> Results indicate similar levels of  
411 absolute gradient reduction compared to PPOp. Symptoms were significantly  
412 improved with myectomy in a cohort of patients with a less severe phenotype than  
413 included in our trial (lower baseline functional limitation and prevalence of LVAA).<sup>17</sup>  
414 Notably, high levels of early complications were reported,<sup>23</sup> and the surgical  
415 expertise required for such specialist procedures is not widely available. By contrast,  
416 most centers that implant devices for heart failure already have the experience,  
417 expertise and resources required for PPOp. Additionally, as at least half of the  
418 published surgical cohorts had pacemaker / ICDs prior to surgery,<sup>17</sup> a trial of PPOp

419 can be considered before surgery. Finally, unlike for PPOp, no randomized  
420 prospective trials of myectomy has been completed.

421 **Alcohol septal ablation (ASA):** Data on the use of ASA in the treatment of LVMCO  
422 is even more limited. ASA reduced obstructive gradients and improved symptoms in  
423 a cohort 22 patients.<sup>18</sup> However, severely elevated residual LVMCO gradients were  
424 twice as common when compared to our PPOp cohort (23% vs 12.5%). Furthermore,  
425 ASA was associated with intra-procedural complete heart block in a third, and one  
426 patient developed ventricular fibrillation.<sup>18</sup> Once again, there have been no  
427 prospective trials of ASA for this indication, and a trial of PPOp prior to ASA may be  
428 warranted in the context of baseline ICD indications and the high risk of ASA-related  
429 conduction disease.<sup>24</sup>

430 **Cardiac Myosin Inhibitors:** Recent trials of myosin inhibitors, a novel class of  
431 agents, report reductions in LV outflow tract obstruction (LVOTO) gradients and  
432 improved functional outcomes in patients with 'classic' obstructive HCM.<sup>25</sup> However,  
433 perhaps as many as two thirds of HCM patients in EXPLORER-HCM did not achieve  
434 primary outcomes, and patients with LVMCO were excluded from the trial. A trial of  
435 myosin-inhibitors in symptomatic LVMCO patients is warranted; PPOp may continue  
436 to have a role in the management of patients refractory to that treatment, and in  
437 those that have indications for transvenous ICDs.

#### 438 **Future work**

439 Our trial provides the basis for a larger multicenter trial of PPOp for refractory  
440 LVMCO by providing positive signals of safety and clinical benefit, and data required  
441 for a study powered to detect clinically meaningful improvements in symptoms and  
442 functional status.

443 While technology developed for resynchronization pacing has enabled this study,  
444 there is a need for equipment and techniques developed specifically for this  
445 indication. Broadly, these will address challenges that include: predicting optimal  
446 lead positioning prior to the invasive procedure; selective intubation of, and  
447 attainment of lead stability in the cardiac vein of choice; mitigating effects of  
448 myocardial fibrosis on pacing thresholds; and avoiding phrenic nerve capture. Most  
449 notably, even small differences in pacing site location can result in strikingly different  
450 hemodynamic effects (Figure 4); pre-implant techniques that predict where pacing is  
451 likely to have greatest beneficial hemodynamic effects, and an ability to pace beyond  
452 anatomical restrictions imposed by cardiac venous anatomy will be key  
453 developments.

#### 454 **Limitations**

455 This study was not powered to detect functional or symptomatic benefit and included  
456 only the most severely symptomatic patients. Despite this, we demonstrate  
457 significant improvements in the 6-minute walking test, and the overwhelming majority  
458 of other functional parameters indicate trends for symptom benefit. Further, to avoid  
459 exposing participants to the risks of repeat invasive procedure during follow-up, we  
460 relied on Doppler echocardiography to report relative changes in LVMCO gradient,  
461 with known shortcomings in this population.<sup>4</sup> Nonetheless, the significantly lower  
462 Doppler-derived gradients during active pacing indicate that the beneficial  
463 hemodynamic effect is sustained. Although not significant, mean NT-proBNP was  
464 greater following pacing, and was the only secondary outcome not to show a trend  
465 towards benefit. Altered atrio-ventricular coupling and/or contractile desynchrony  
466 may affect the production of NT-proBNP independently to the magnitude of mid-  
467 cavity obstruction; further investigation is warranted.

468        **5. Conclusions**

469    In the first randomized placebo-controlled trial of therapy for symptomatic mid-cavity  
470    obstructive HCM, we demonstrate that PPop is a safe and effective therapeutic  
471    option. Personalized approaches to pacing most commonly identifies pacing from a  
472    site in the LV as the most effective place from which to obtain gradient reduction.  
473    Future work will include trials designed to detect symptom and physical performance  
474    benefit and attempt to determine how pacing contributes to LVMCO management  
475    algorithms that include myosin inhibitors and other invasive therapeutic options.

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477



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490 **Disclosures**

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493

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**Inclusion Criteria**

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- a) Male or female, >18 years.
- b) Referred for PPM +/- ICD implantation for either primary prevention of sudden cardiac death or other indications such as heart block or obstructive physiology.
- c) HCM patients with evidence of mid-cavity gradient demonstrated by echocardiography and gradient  $\geq 30$  mmHg confirmed by cardiac catheterization at rest or with isoprenaline provocation.
- d) All patients should be taking maximum tolerated doses of beta blockers or verapamil with or without disopyramide.
- e) Symptoms refractory to optimum medical therapy as above, for example breathlessness, chest pain, dizziness, or syncope.

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**Exclusion Criteria**

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- a) Patients with multi-level obstruction, i.e. across the mid-cavity and outflow tract.
- b) Patients with moderate or severe valvular stenosis or regurgitation due to primary valvular disease.
- c) Patients with untreated symptomatic coronary disease.
- d) Patients in atrial fibrillation at the time of implantation.
- e) Pregnancy.
- f) Renal failure with eGFR <20mL/min.
- g) Any patient not suitable in the clinician's opinion.

h) Any patient who is for whatever reason is not expected to survive for more than one year.

i) Patients unable to provide informed consent.

586

587 **Table 2: Baseline characteristics**

<b>Demographics and symptoms</b>	<b>n=17</b>
Age at recruitment (years)	55.9 ± 10.3
Male, n (%)	9 (53)
Family history inherited heart disease, n (%)	10 (59)
HTN, n (%)	11 (65)
Chest pain, n (%)	15 (88)
Dyspnea, n (%)	17 (100)
Palpitations, n (%)	16 (94)
Presyncope, n (%)	14 (82)
<b>SCD risk profile</b>	<b>n=17</b>
Family history of SCD, n (%)	1 (6)
Unexplained syncope, n (%)	7 (41)
Prior NSVT on Holter / ICD (out of 16), n (%)	09/16 (56)
Maximum LV wall thickness ≥30 mm, n (%)	0 (0)
LVAA, n (%)	14 (82)
LVOTO gradient ≥30 mmHg, n (%)	0 (0)
ESC SCD risk score (% 5-year mortality)	3.7 ± 2.1
≥ intermediate risk score, n (%)	6 (35)
SCD risk factors (0/1/2/3 risk factors), n (%)	2 (12) / 9 (53) / 5 (29) / 1 (6)
<b>Medications</b>	<b>n=17</b>
β-Blockers, n (%)	9 (53)
Calcium channel blockers, n (%)	13 (76)

Disopyramide, n (%)	6 (35)
Anticoagulation, n (%)	7 (41)
Number on 1/2/3 medical therapies, n (%)	9/7/1 (53/41/6)
<b>Echocardiography</b>	n=17
Max LVWT (mm)	20 ± 4
Resting Doppler LVMCO gradient (mmHg)	32 ± 21
Post exercise Doppler LVMCO gradient (mmHg)	50 ± 35
LA diameter (mm)	40 ± 5
LVAAs, n (%)	14 (82)
Paradoxical apical diastolic flow, n (%)	13 (76)
<b>CMR</b>	n=12
LVEF (%)	69 ± 9
Max LVWT (mm)	20 ± 3
LV mass (g)	167 ± 37
LVAAs, n (%)	9 (75)
Presence of LGE, n (%)	12 (100)
Apical LGE, n (%)	12 (100)
Circumferential perfusion defect (out of 9), n (%)	8/9 (89)
Apical thrombus, n (%)	0 (0)

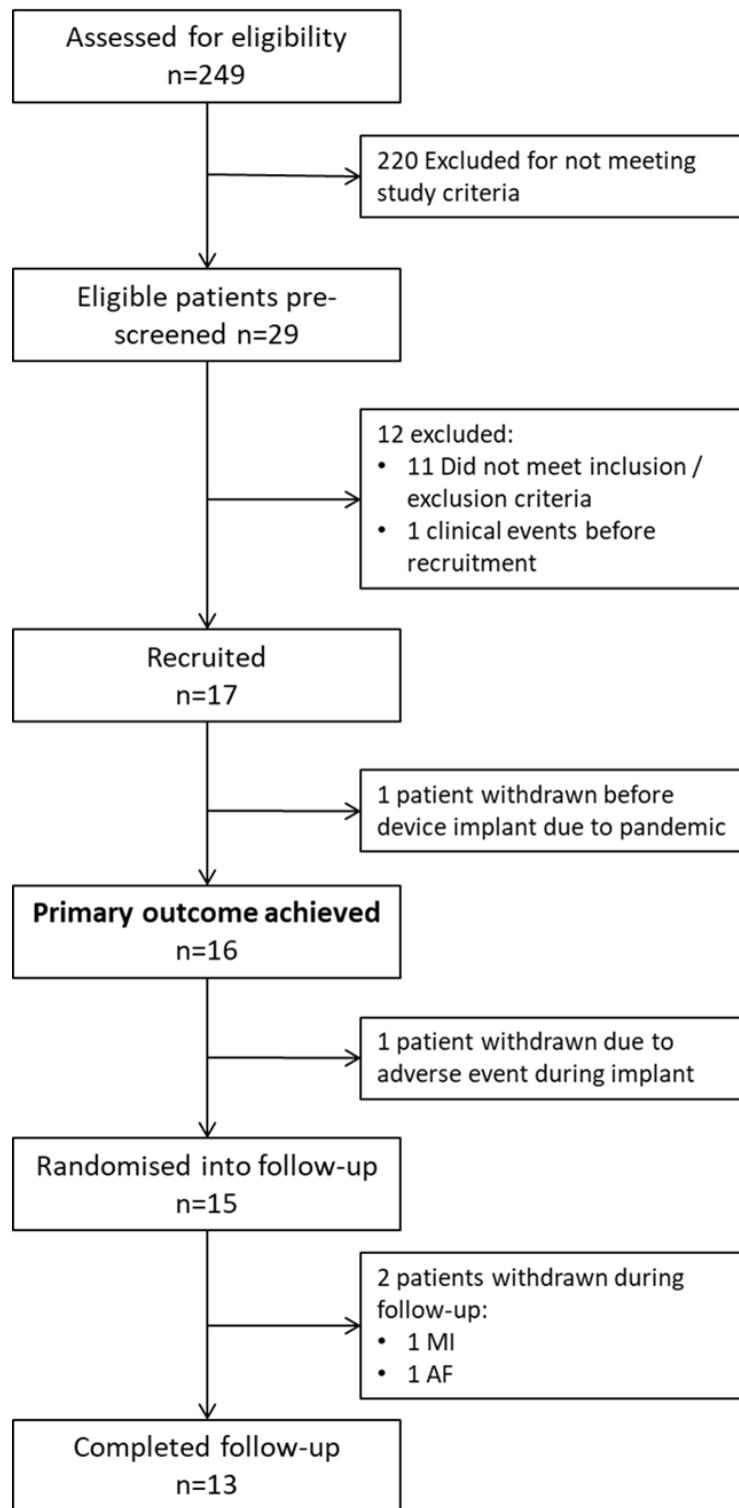
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Data are represented as mean ± SD or n (%). HTN, hypertension; SCD, Sudden Cardiac Death Risk; NSVT, non-sustained ventricular tachycardia; ICD, implantable cardioverter defibrillator; LV, left ventricular; LVAAs, left ventricular apical aneurysm; LVOTO, left ventricular outflow tract obstruction; ESC, European Society of Cardiology; LVWT, LV wall thickness; LVMCO, left ventricular mid-cavity obstruction; LA, left atrial; CMR, cardiac magnetic resonance; LVEF, left ventricular ejection fraction; LGE, late gadolinium enhancement.

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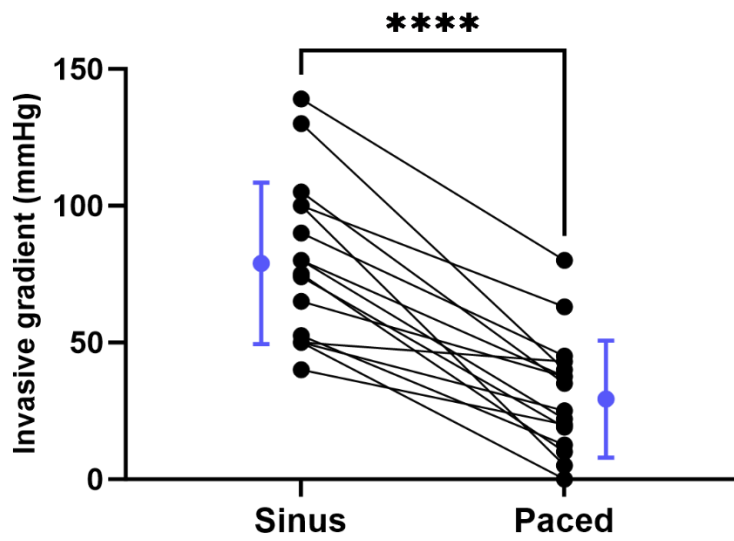


589 **Figures with Figure Legends**



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591 **Figure 1:** Trial consort diagram. (n, number; MI, myocardial infarction; AF, atrial  
592 fibrillation)



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594 **Figure 2:** Acute change in LVMCO gradient. Sinus, sinus rhythm; Paced, optimal  
 595 pacing setting. Error bars: mean±SD. \*\*\*\*=p<0.0001 in pairwise comparisons.

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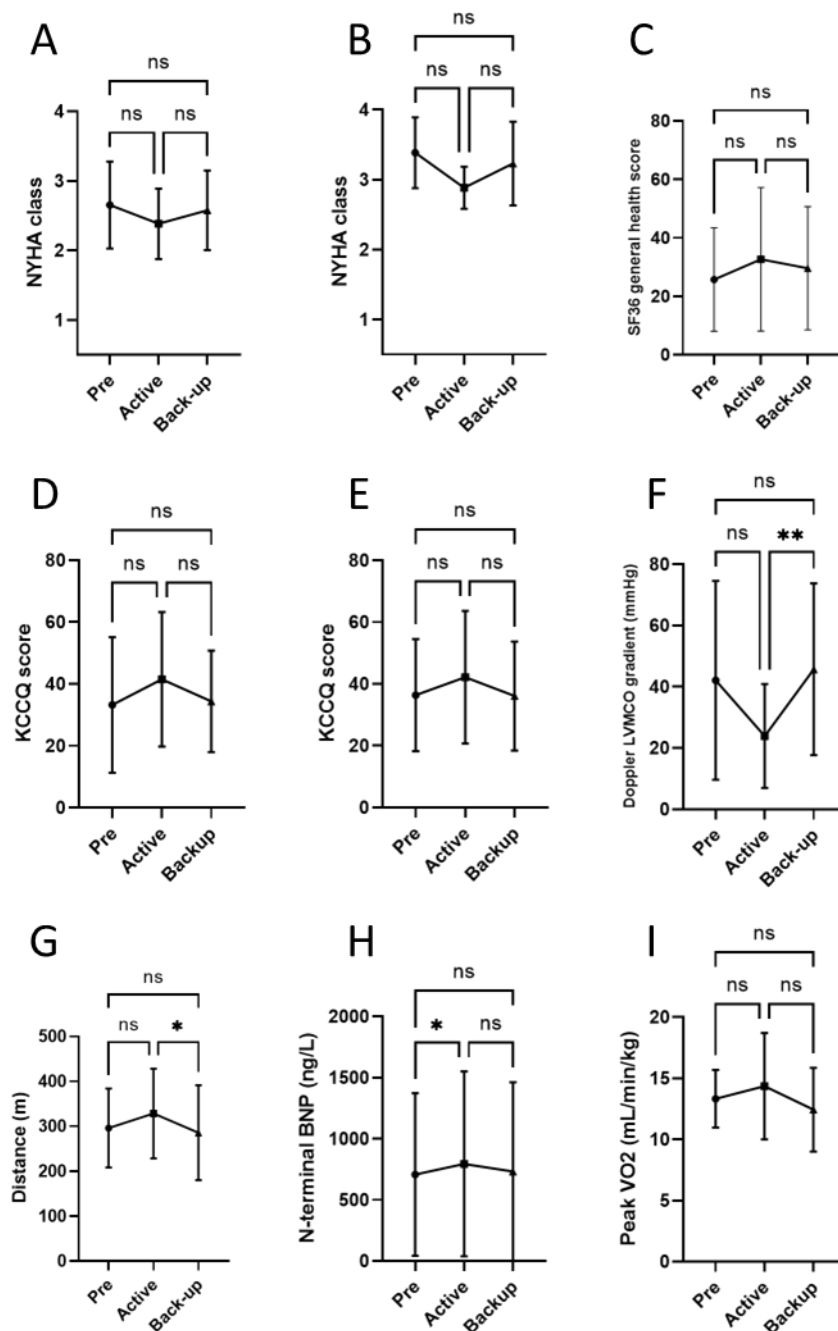
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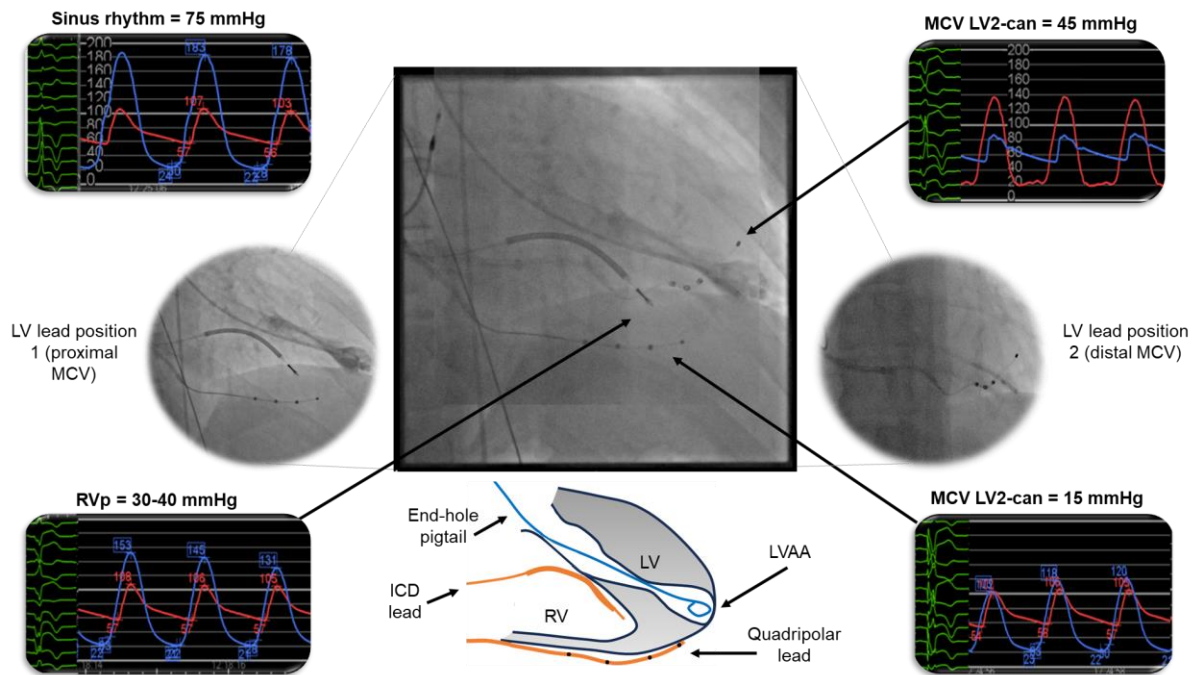
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613 **Figure 3:** Secondary outcome data across 3 time points: pre-implant, active pacing,  
 614 and back-up pacing (A-I) (order of active and back-up pacing was randomized). NYHA  
 615 class on a good and bad day (A & B); SF36 General Health Score (C), KCCQ overall  
 616 and clinical scores (D & E); Doppler LVMCO gradient (F); 6MWT distance (G); NT-  
 617 BNP level (H); and VO2 max (I). Error bars: mean±SD. Results of multiple  
 618 comparisons: ns= p>0.05, \*=p<0.05, \*\*=p<0.01 in multiple comparisons.

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621 **Figure 4:** Example pressure traces from hemodynamic pacing study, with overlaid  
 622 fluoroscopy of the LV quadripolar lead in two different loci within the MCV. Pacing from  
 623 the more distal position in the MCV produced an unsatisfactory reduction in LVMCO  
 624 gradient, whereas in this case, pacing from a more proximal location in the same vein  
 625 almost entirely abolished the obstructive gradient. A schematic representation of  
 626 pacing leads and catheter orientation relative to ventricular chambers can be seen at  
 627 the bottom of the figure. ICD, implantable cardioverter defibrillator; LV, left ventricular;  
 628 LVAA, left ventricular apical aneurysm; LV2, quadripolar lead pole 2; MCV, middle  
 629 cardiac vein; RA, right atrial; RVp, right ventricular pacing.

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