

1 **Direct Oral Anticoagulants versus Vitamin-K Antagonists during Cardiac Rhythm**
2 **Device Surgery: A Multicentre Propensity-matched Study**

3
4 Antonio Creta^{1,2} PhD, Nicoletta Ventrella³ MBBS, Mark J Earley¹ MD, Malcolm Finlay¹
5 PhD, Simon Sporton¹ MD, Edward Maclean¹ MBBS, Vijayabharathy Kanthasamy¹ MBBS,
6 Bruna Costa Lemos Silva Di Nubila¹ MBBS, Danilo Ricciardi³ MBBS, Vito Calabrese³
7 MBBS, Francesco Picarelli³ MBBS, Ross J Hunter¹ PhD, Pier D Lambiase¹ PhD FHRS,
8 Richard J Schilling¹ MD, Francesco Grigioni³ PhD, Christopher Monkhouse¹ BSc, Amal
9 Muthumala¹ MD, Philip Moore¹ PhD, Rui Providencia^{1,2*} PhD, Anthony Chow^{1*} MD

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11
12 1. Barts Heart Centre, St. Bartholomew's Hospital, London, United Kingdom
13 2. Institute of Health Informatics, University College London, United Kingdom
14 3. Fondazione Policlinico Universitario Campus Bio-Medico, Rome, Italy

15
16 * Joint last authors

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18 **Running title:** Oral anticoagulants and device surgery

19 **Manuscript word count:** 4040 words

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21 **Corresponding author:**

22
23 Dr Antonio Creta

24 Barts Heart Centre, St. Bartholomew's Hospital

25 West Smithfield

26 London EC1A 7BE

27 Email: a.creta@nhs.net

28
29 **Funding:** PDL is supported by UCLH Biomedicine NIHR and Barts BRC funding, London (UK).

30 **Disclosure:** AC has received speaker fees from Boston Scientific. RJS has had research agreements and speaker
31 fees from Abbott, Medtronic, Boston Scientific and Biosense Webster, and is a Shareholder of AI Rhythm. PDL
32 has received educational grants from Medtronic and Boston Scientific, and is supported by UCLH Biomedicine
33 NIHR and Barts BRC funding. MF has received research support and speaker fees from Abbott Ltd, Medtronic
34 Ltd and Biosense Webster; is Chief Medical Officer, Founder and Shareholder of Echopoint Medical Ltd;
35 Director, Founder and Shareholder of Rhythm AI and Founder and Shareholder of Epicardio Ltd, and receives
36 research funding from NIHR Barts BRC funding. All other authors have reported that they have no relationships
37 relevant to the contents of this paper to disclose.

38 **Abstract**

39 **Introduction:** There is a paucity of data comparing vitamin-K antagonists (VKAs) to non-
40 vitamin K anticoagulants (NOACs) at the time of cardiac implantable electronic devices
41 (CIEDs) surgery. Furthermore, the best management of NOACs (interruption vs. continuation)
42 is yet to be determined.

43 **Objective:** This study aimed to compare the incidence of device-related bleeds and thrombotic
44 events based on anticoagulant type (NOAC vs. VKA) and regimen (interrupted vs.
45 uninterrupted).

46 **Methods:** Observational multicentre study. We included patients on chronic oral
47 anticoagulation undergoing CIEDs surgery. Patients were matched using propensity scoring.

48 **Results:** We included 1975 patients (age 73.8±12.4). Among 1326 patients on NOAC, this was
49 interrupted pre-surgery in 78.2% (1039) and continued in 21.8% (287). There were 649 patients
50 on continued VKA. The matched population included 861 patients. The rate of any major
51 bleeding was higher with continued NOAC (5.2%) compared to interrupted NOAC (1.7%) and
52 continued VKA (2.1%) – p=0.03. The rate of perioperative thromboembolism was 1.4% with
53 interrupted NOAC, while no thromboembolic events occurred with NOAC or VKA
54 continuation (p=0.04). Use of dual antiplatelet therapy, NOAC continuation and male gender
55 were independent predictor of major bleedings on a multivariable analysis.

56 **Conclusion:** In this large real-world cohort, a continued NOAC strategy was associated with a
57 higher bleeding risk compared to NOAC interruption or VKA continuation in patients
58 undergoing CIEDs surgery. However, NOAC interruption was associated with non-negligible
59 thromboembolic risk. Concomitant dual antiplatelet therapy should be avoided whenever
60 clinically possible. A bespoke approach is necessary, with a strategy of minimal NOAC
61 interruption likely to represent the best compromise.

62 **Key words:** pacemaker; implantable defibrillator; anticoagulation; haematoma; warfarin; non-
63 vitamin K oral anticoagulants.

64

65 **Introduction**

66 Many patients undergoing pacemaker or defibrillator surgery are on chronic oral anticoagulant
67 therapy [1]. Several studies have explored different strategies to minimise bleeding risk in this
68 clinical scenario. After the publication of the BRUISE CONTROL-1 (BC-1) trial, a strategy of
69 uninterrupted vitamin K antagonists (VKAs) has become part of the routine clinical practice
70 during cardiac implantable electronic devices (CIEDs) procedures, given its superiority
71 compared to VKAs interruption and heparin bridging [1] in reducing clinically significant
72 device-pocket haematoma. More recently, non-vitamin K oral anticoagulants (NOACs) have
73 emerged as a valid and more practical alternative to warfarin, and their widespread use has
74 rapidly increased worldwide. The BRUISE CONTROL-2 (BC-2) trial has suggested that a
75 strategy of continuing NOACs at the time of device surgery might be comparable to NOACs
76 interruption [2] in terms of risk of clinically significant haematoma. However, no definite
77 conclusion could be drawn as the trial was stopped prematurely due to a lower event rate than
78 anticipated. A recent meta-analysis has suggested that NOAC continuation may be associated
79 with an increased risk of bleeding at the time of CIEDs surgery [3]. However, data on this topic
80 remain sparse. Furthermore, there is a paucity of data and no randomised trials comparing
81 NOAC vs. VKA in this population. NOACs have been shown to be not only at least effective
82 as VKAs in thromboembolic prophylaxis, but also to have a better safety profile in terms of
83 bleeding [4]; whether this applies to patients undergoing CIEDs surgery is yet to be determined.
84 The aim of this study was to compare the incidence of device-related bleeds and thrombotic
85 events based on anticoagulant type (NOAC vs. VKA) and regimen (interrupted vs.
86 uninterrupted).

87 **Methods**

88 In this observational non-randomised study, consecutive patients on chronic oral
89 anticoagulation undergoing cardiac rhythm device surgery were included in two centres (Barts
90 Heart Centre, London, UK; Campus Bio-Medico University of Rome, Rome, Italy), between
91 January 2018 and February 2021. Inclusion criteria were age > 18 years, de novo implantation,
92 generator replacement or upgrade/revision of pacemaker (PPM), implantable cardioverter
93 defibrillator (ICD) or cardiac resynchronisation therapy (CRT), and chronic treatment with
94 either a VKA or NOAC agent. Patients with mechanical prosthetic valve or heparin bridging
95 were excluded. The study complied with the Declaration of Helsinki and the protocol was
96 approved and endorsed by the Barts Health Clinical Effectiveness Unit.

97

98 *Aim*

99 We aimed to compare the efficacy and safety of 1) NOAC vs. continued VKA; 2) interrupted
100 vs. continued NOAC strategy.

101

102 *Management of oral anticoagulation peri-procedure*

103 In the VKA group, anticoagulation was continued peri-surgery as per usual regimen and INR
104 was checked out before surgery. In the NOAC group, oral anticoagulation was interrupted or
105 uninterrupted based on operator discretion (Figure 1). In the interrupted NOAC group,
106 rivaroxaban, apixaban or edoxaban were held for 12-48 hours pre-procedure, while dabigatran
107 was held for 24-48 hours based on glomerular filtration rate. All NOACs were restarted at least
108 12 hours post procedure. In the uninterrupted group, NOACs were continued as per usual
109 regimen and administered on the day of the procedure.

110

111 *Procedure details*

112 The procedures were performed under local anaesthesia and sedation or general anaesthesia.
113 Diathermy was routinely used. Venous access was obtained via cephalic, subclavian or axillary
114 vein, based on operator preference. Use of pressure dressing postoperatively was at operator
115 discretion.

116 *Bleeding and thromboembolic events*

117 The following endpoints were assessed:

- 118 1. *Major bleeding*, which was defined as combination of clinically significant device-
119 pocket haematoma, and/or cardiac tamponade, and/or haemothorax, and/or any other
120 bleeding requiring surgical intervention or blood transfusion.
- 121 2. *Device-pocket haematoma*, which was defined as any palpable swelling anteriorly or
122 laterally to the pulse generator
- 123 3. *Clinically significant device-pocket haematoma*, which was defined as any hematoma
124 requiring further surgery, and/or resulting in prolongation of hospitalization or
125 requiring rehospitalization for at least 24 hours after index surgery and/or requiring
126 interruption of the anticoagulant therapy for at least 24 hours. A hematoma requiring
127 further surgery was defined as a hematoma continuing to expand or causing imminent
128 skin necrosis/perforation [1, 2, 5]
- 129 4. *Cardiac tamponade*, which was defined as any new pericardial effusion requiring
130 pericardiocentesis within 30-day post procedure
- 131 5. *Haemothorax or any other bleeding* requiring surgical intervention or blood transfusion
132 within 30-day post procedure [1, 2, 5]
- 133 6. *Pericardial effusion*, which was defined as any new pericardial effusion (> 0.5 cm) not
134 requiring pericardiocentesis within 30-day post procedure

135 7. *Thromboembolism*, which was defined as a composite of stroke, transient ischemic
136 attack (TIA), myocardial infarction, pulmonary embolism, peripheral embolism, or
137 deep vein thrombosis in the 30-day period post procedure [1, 2, 5]

138 The definition of major bleeding was largely based on the recommendation from the
139 *International Society on Thrombosis and Haemostasis* [9] but adapted for CIEDs surgery.

140

141 *Statistical analysis*

142 The chi-square and one-way ANOVA test were used for categorical and continuous variables,
143 respectively. Fisher's exact test was adopted instead of chi-square when the number of
144 observations was < 5 for more than 20% of the cells. Levene's test was used to check the
145 homogeneity of variance and in the absence of normal distribution Kruskal-Wallis H Test was
146 used instead of one-way ANOVA. Independent predictors of any major bleeding were assessed
147 with binary logistic regression Variables with $p < 0.10$ in the unadjusted analysis were included
148 in the multivariable model. Patients in the VKA and interrupted NOAC group were matched
149 1:1 with those on continued NOAC using propensity score. A nearest neighbour matching with
150 replacement was adopted. The following independent variables were included in the propensity
151 score: age, gender, type of device (PPM vs ICD, and CRT), type of procedure (new
152 implant/upgrade vs. generator replacement), hypertension, single or dual antiplatelet therapy,
153 chronic kidney disease, and congestive heart failure.

154 The results with $p < 0.05$ were regarded as significant. SPSS version 27.0 was used for
155 statistical analysis.

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159

160 **Results**

161 We included a total of 1975 unmatched patients (mean age 76.0 ± 9.0 , 68% male), of whom
162 95.0% (1877) were on oral anticoagulation for atrial fibrillation/atrial flutter, 2.7% (53) for left
163 ventricular thrombus and 2.3% (45) for deep vein thrombosis (Supplementary Table 1).

164 As many as 649 patients were on uninterrupted VKA, with a mean INR at the time of surgery
165 of 2.4 ± 0.5 (median 2.4, interquartile range 2.0-2.7). Among 1326 patients on NOAC, this was
166 interrupted pre-surgery in 78.4% (1039) and uninterrupted in the remaining 21.6% (287). In
167 the interrupted NOAC group, the median time from last pre-operative NOAC dose to surgery
168 and from surgery to NOAC resumption were 24 and 48 hours (interquartile range 20.5-48 and
169 24-72), respectively; the median time from last pre-operative dose to first post-operative dose
170 was 96 hours (interquartile range 48-120). A total of 8.8% (175) and 2.0% (39) of the
171 participants were on single or dual antiplatelet therapy, respectively. Pressure dressing was
172 used post procedure in 59.9% of the participants (1183) with no significant difference among
173 the anticoagulation regimens ($p = 0.24$). Baseline population characteristics in the unmatched
174 cohort are reported in Table 1. Figure 2 and Supplementary Table 2 show the distribution of
175 anticoagulation type and regimen.

176 The matched population included a total of 861 patients (287 patients per group; mean age
177 74.8 ± 12.1 , 64.7% male). There were no significant differences across the three groups.
178 Baseline population characteristics in the matched population are reported in Table 2. Group
179 comparison before and after propensity score matching is reported in Supplementary Table 3.

180

181 *Bleeding events in the matched cohort*

182 A total of 26 patients (3.0%) met the endpoint of major bleeding events, with 23 patients (2.7%)
183 experiencing a clinically significant haematoma, 2 (0.2%) cardiac tamponade and one (0.1%)
184 haemothorax requiring surgery. The incidence of major bleeding was significantly higher in

185 the continued NOAC group (5.2%; n = 15) compared to interrupted NOAC (1.7%, n = 5) and
186 VKA (2.1%; n = 6) (p = 0.03). This difference was mainly driven by a higher rate of clinically
187 significant haematoma with continued NOAC (4.5%; n = 13) vs. interrupted NOAC and
188 continued VKA (1.7%; n = 5 in both groups; p=0.06). These results are shown in Table 2.
189 Of the 26 major bleeding events in the matched cohort, 3 (11.5%) occurred in patients on
190 antiplatelet therapy. None of the major bleeds in the continued NOAC group occurred in
191 subjects on concomitant antiplatelet therapy.

192

193 *Thromboembolic events*

194 As many as 11 thromboembolic events (0.6%) occurred in the overall unmatched population,
195 including 6 strokes, 2 TIAs, 1 acute spinal infarction and 2 deep vein thromboses (Table 4).
196 One patient with acute spinal infarction and one patient with stroke died within few days after
197 the procedure. All the thromboembolic events occurred in the interrupted NOAC cohorts.
198 There were 4 thromboembolic events in the matched interrupted NOAC cohort (0.5%) and the
199 difference was statistically significant compared to VKA and continued NOAC (p = 0.04). The
200 rate of thromboembolic event in the matched population was 1.4%.

201

202 *Subgroup analysis: NOAC regimen*

203 On a subgroup analysis of matched patients on NOAC, there was no significant difference in
204 the rate of any major bleeding between once-daily (rivaroxaban and edoxaban) vs. twice-daily
205 (apixaban and dabigatran) NOAC in both interruption (1.6% vs. 1.9%, respectively; p = 1.0)
206 or continuation regimen (5.9% vs. 4.6%, p = 0.64). The rate of thromboembolic events was
207 also comparable (0.4% for twice-daily vs. 0.9% for once-daily NOACs, p = 0.63).

208

209 *Subgroup analysis: patients on anticoagulation for deep vein thrombosis/pulmonary embolism*
210 *or left ventricular thrombus*

211 We conducted a subgroup analysis including 99 unmatched patients (5.0%) with no history of
212 atrial arrhythmias. Among 45 participants on anticoagulation due to DVT/pulmonary
213 embolism, 19 (40.4%) underwent CIED procedure on interrupted NOAC, 13 (27.6%) on
214 continued NOAC and the remaining 13 (29.8%) on continued VKA. Of the 53 patients on
215 anticoagulation due to left ventricular thrombus, 9 (17.0%) were on interrupted NOAC, 10
216 (18.9%) on continued NOAC and 34 (64.1%) on continued VKA. One patient was on
217 continued VKA due to previous endocardial left ventricular lead implant. Baseline population
218 characteristics of this subgroup are reported in Supplementary Table 4. Patients on VKA
219 underwent more frequently generator replacement compared to interrupted and continued
220 NOAC (25.0% vs. 0% vs. 8.7%, respectively; $p = 0.003$) and also had more frequently ICD
221 implantation (83.3% vs. 57.1% vs. 43.4%; $p = 0.02$). Furthermore, use of SAPT was more
222 frequent with VKA (31.2%) compared to interrupted NOAC (0%) and continued NOAC (13%)
223 - $p = 0.02$. Overall, four patients (4%) in this subgroup met the endpoint of any major bleeding.
224 The rate of major bleeds was significantly higher with a continued NOAC regimen (13.0%)
225 compared to interrupted NOAC (3.6%) and warfarin (0%) - $p = 0.03$. There were no
226 thromboembolic events.

227

228 *Predictors of bleeding events*

229 On a multivariable analysis, male gender (OR 2.3, 90% CI 1.19-4.44, $p=0.04$), NOAC
230 continuation (OR 2.32, 90% CI 1.33-4.03, $p = 0.001$) and dual antiplatelet therapy (OR 3.76,
231 90% CI; 1.13-10.77; $p = 0.04$) were the only independent predictors of major bleeding events.
232 These results are shown in Table 5.

233

234 **Discussion**

235 In this multicentre study, we present a large cohort of patients undergoing CIEDs surgery on
236 chronic oral anticoagulation. The main findings are: (i) NOAC continuation is associated with
237 a significantly higher rate of bleeds, whereas NOAC interruption is associated with a non-
238 negligible rate of thromboembolism; (ii) NOAC interruption and VKA continuation have a
239 similar safety profile in terms of bleeding; and (iii) NOAC continuation along with dual
240 antiplatelet therapy and male gender are independent predictors of major bleeding.

241 In the last decade, NOACs have been increasingly used as alternative to VKAs. However, the
242 optimal management of NOACs in patients undergoing CIEDs surgery is yet to be determined.
243 Recent guidelines from the European Society of Cardiology do not provide specific
244 recommendation on this regard, leaving at operator discretion the decision to continue or
245 interrupt NOAC [7]. The 2017 Expert Consensus of the American College of Cardiology
246 suggests interrupting NOAC for low-bleed risk procedures [8]. According to the 2021
247 European Heart Rhythm Association practical guide on use of NOACs [9], PPM or ICD
248 implantation (excluding complex procedures) are considered minor risk procedures which can
249 be performed with NOAC “at trough level (i.e., 12 or 24 hours after last intake)”. Evidences
250 behind such recommendations are mainly based on the BC-2 trial [2], which randomised 662
251 patients to either withhold or continue NOAC at the time of CIEDs surgery; no difference was
252 found between the two cohorts, with clinically significant haematoma occurring in only 2.1%
253 of the population in both arms. However, due to a much lower event rate than originally
254 expected the trial was interrupted prematurely and as such it remains largely underpowered. A
255 recent metaanalysis of randomised and non-randomised studies has shown that NOAC
256 continuation during CIEDs surgery may be associated with increased bleeding risk compared
257 to interruption, although the certainty of the evidence was low due to inclusion of several small
258 observational single-centre studies [3]. In keeping with this finding, the present study shows in

259 a large “real-world” cohort that NOAC continuation is associated with a higher bleeding risk -
260 particularly development of device-pocket haematoma - compared to NOAC interruption. To
261 the best of our knowledge, this is the largest cohort of patients undergoing CIEDs surgery on
262 NOAC. Interestingly, in our series the rate of clinically significant haematoma was similar to
263 the previous literature for interrupted NOAC [2, 3], while it was more than double for continued
264 NOAC. This difference was also confirmed after adjusting for the baseline population
265 characteristics (including use of antiplatelet therapy) with propensity matching.

266 An important factor which may have contributed to the high rate of bleeds in our continued
267 NOAC cohort is that patients were allowed to take the morning dose of once-daily regimen
268 NOACs (rivaroxaban or edoxaban) on the day of procedure, while for example in the BC-2
269 trial [2] patients on rivaroxaban (edoxaban was not evaluated) were asked to take the dose in
270 the evening; as such, while most of the patients on rivaroxaban in the BC-2 trial underwent
271 their procedure at trough level (median time from last dose to surgery was 16 hours), in our
272 series it is likely that a proportion of the participants on rivaroxaban or edoxaban had their
273 surgery at a peak level. Nonetheless, on a subgroup analysis we did not identify any significant
274 difference in the rate of bleeding between patients on once-daily vs. twice-daily NOAC
275 regimen. It should also be highlighted that CIEDs surgery in the BC-2, compared to our study,
276 involved more generator replacements (35.4% vs. 24.7%) and fewer ICDs implants (18.8% vs.
277 25.8%). Risk of haematoma is lower in patients undergoing generator replacements vs. new
278 implants/upgrades [10], as well as in those receiving PPMs vs. ICDs [11].

279 Another important finding of this study is that the bleeding risk with continued NOAC was
280 higher compared to continued VKA, while we found no significant difference between
281 interrupted NOAC and continued VKA regimens. These results are of interest given the paucity
282 of data comparing NOAC vs. VKA during CIEDs surgery. To the best of our knowledge, the
283 only large study exploring this topic is a combined post-hoc analysis of the BC-1 and BC-2

284 trials [12]; a total of 1343 patients were included, and the authors did not find any difference
285 in the rate of clinically significant haematoma between NOAC (either interrupted or continued)
286 vs. continued VKA. Such discrepancy compared to our results is driven by the fact that in our
287 series the rate of significant haematoma was higher in the continued NOAC group compared
288 to the BC-2 trial (4.9% vs. 2.5%) while it was lower in the continued VKA group compared to
289 the BC-1 (1.7% vs. 3.5%). This difference is interesting and appears to reinforce the concept
290 that a continued NOAC regimen independently increased bleeding events in our population. It
291 may be hypothesised that the pharmacodynamic profile of NOACs – with a maximal
292 anticoagulant effect achieved a few hours post administration [13] – may promote perioperative
293 bleeds when a continued NOAC regimen is adopted. This effect may not be observed with a
294 continued VKA regimen because of a steadier anticoagulant effect. It should be highlighted
295 that the low rate of bleeds in our VKA group may be explained by the fact that we excluded
296 patients with mechanical prosthetic valves; in contrast, almost one third of the participants in
297 the BC-1 had mechanical prostheses. Patients with mechanical valves are likely to have a
298 greater bleeding risk due to the higher INR target.

299 The rate of thromboembolic events with NOAC interruption was higher in our study compared
300 to previous reports. In the BC-2 trial [2], the rate of thromboembolism was as low as 0.3% in
301 both arms; however, the study had no power to draw any definite conclusion on this regard. In
302 the PAUSE study [14], which included 3007 AF patients undergoing any surgery on interrupted
303 NOAC, the rate of arterial thromboembolism was lower compared to our series (ranging from
304 0.16% in patients on apixaban to 0.60% in those on dabigatran) despite a similar mean
305 CHA₂DS₂VASC score across the two populations. However, only one third of the participants
306 in the PAUSE study underwent cardiothoracic procedures (such as CIEDs surgery) which are
307 known to involve a higher thromboembolic risk [14]. It should be noted that the median time
308 off anticoagulation in our cohort was higher compared to the BC-2 and PAUSE study (96 vs.

309 72 and 39.3-64.4 hours, respectively). This was driven by a longer interval from surgery to
310 NOAC resumption in our series, with the first-operative dose being administered after a median
311 of 48 hours vs. 31/24 hours in the BC-2 and PAUSE study. It is conceivable that the longer
312 time to NOAC resumption in the present study may have contributed to the higher rate of
313 thromboembolic events compared to previous reports. Indeed, there is evidence that patients
314 undergoing CIEDs insertion have a particularly increased thromboembolic risk in the post-
315 operative period [15]. The majority of thromboembolic events in our cohort occurred in an
316 early stage after surgery (day 0 or day 1) and this highlights the importance not only of a short
317 interruption pre procedure but also of a rapid reintroduction of NOAC. It is well recognised
318 that intracardiac thrombi can develop in very short period of time during episodes of AF, due
319 to processes promoting local hypercoagulability such as inflammation, endothelial
320 dysfunction, and increased level of haemostatic grow factors [16-18]. Of note, one quarter of
321 the arterial thromboembolic events in our series occurred in patients who were in normal sinus
322 rhythm during the procedure. Overall, our results raise concerns on the practice of routinely
323 interrupting NOAC before PPM/ICD surgery for prolonged periods of time and particularly
324 delaying the resumption of NOAC for more than 24 hours post-surgery.

325 In accordance with previous literature [12, 20], concomitant dual antiplatelet therapy was
326 independently associated with almost a 4-fold increased risk of bleeds in our series. We also
327 found a significantly increased bleeding risk in patients on single antiplatelet therapy, although
328 the latter was not an independent predictor of bleeds in our multivariable analysis. Overall, one
329 quarter of the major bleeding events in our unmatched cohort occurred in participants on either
330 single or dual antiplatelet therapy. A careful risk-benefit analysis should be made in subjects
331 on dual or triple antithrombotic therapy and deferring non-urgent CIEDs procedures should be
332 considered until antiplatelet therapy can be safely discontinued.

333 Another interesting finding of the present study is that male gender was an independent
334 predictor of bleeding events in our multivariable analysis. This is in contrast with previous
335 literature suggesting that females have a higher risk of complication after CIEDs surgery [21].
336 However, to the best of our knowledge there are no studies specifically designed to investigate
337 the impact of gender on bleeding complications in patients on oral anticoagulation undergoing
338 CIEDs surgery. A meta-analysis by Pancholy et al [22] found that male gender was associated
339 with a higher bleeding risk among patients on NOAC for atrial fibrillation. Further studies are
340 required to clarify gender differences in the outcomes of CIEDs surgery, particularly in subjects
341 on chronic oral anticoagulation.

342 To the best of our knowledge, this is the first study investigating the management of oral
343 anticoagulation at the time CIEDs surgery in patients with DVT/pulmonary embolism or left
344 ventricular thrombus and no history of atrial arrhythmias. There were no thromboembolic
345 events in this subgroup, which also included 29 patients in the interrupted NOAC cohort. These
346 preliminary data are of interest, although further research is required to explore the safety of
347 NOAC interruption at the time of CIEDs procedure in this population.

348 All in all, the present study has important clinical implications. Firstly, our findings raise
349 concerns on the routine practice of performing CIEDs procedure on continued NOAC due to a
350 high bleeding risk. On the flip side, the non-negligible rate of thromboembolic events with
351 NOAC interruption highlights the importance of minimising the time off anticoagulation.
352 Future research should investigate whether a personalised approach based not only on
353 individual thromboembolic/bleeding risk but also on pharmacokinetics-pharmacodynamic-
354 guided adjustment of NOAC dose [23] or time of interruption may be helpful in this setting.

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358 **Limitations**

359 The main limitation of this study is its non-randomised and retrospective design. Although
360 propensity score matching was used to account for confounding variables, the absence of
361 randomisation should be taken into account in the interpretation of results. Furthermore,
362 operators as well as clinicians who classified the bleeding and thromboembolic events were
363 not blinded to NOAC perioperative strategy. Similarly, the decision of interrupting
364 anticoagulation post procedure or prolonging hospitalisation was performed by clinicians
365 unblinded to the initial NOAC strategy. This should be taken into account as the primary
366 outcome of the study was mainly driven by haematoma requiring interruption of
367 anticoagulation or prolonged hospitalisation. Despite a careful analysis of patients' electronic
368 notes and a systematic and standardised collection of data, the retrospective design remains
369 prone to potential bias due to underestimation of adverse events or misclassification. The
370 probability of rejecting the null hypothesis for the outcome clinically significant haematoma
371 was 5.7% ($p=0.057$) and as such this can only be interpreted as a trend rather than a statistically
372 significant difference. No data were available regarding the interval time from last pre-
373 operative NOAC dose to surgery in the continued NOAC group; as such, we were unable to
374 ascertain whether procedures were performed with NOAC at the peak level (particularly for
375 once-daily NOACs). In contrast with previous studies, we excluded patients with mechanical
376 valves and as such the rate of bleeding events in the VKA group may not be comparable to
377 previous literature. However, our goal was to compare VKA vs. NOACs and therefore
378 including patients with mechanical valves would have been a major confounding factor as
379 NOACs are contraindicated in this subgroup.

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Conclusion

In this large real-world cohort of patients undergoing CIEDs surgery, a continued NOAC strategy was associated with a higher bleeding risk compared to NOAC interruption or VKA continuation. Bleeding complications were similar with an interrupted NOAC or continued VKA strategy. However, NOAC interruption was associated with a non-negligible thromboembolic risk. Concomitant dual antiplatelet therapy and male gender were identified as independent predictors of major bleeding. A bespoke approach for each patient is necessary, with a strategy of minimal NOAC interruption likely to represent the best compromise.

Clinical Perspectives

- Uninterrupted NOAC strategy is associated with an increased risk of bleeding at the time of cardiac rhythm device procedures
- Prolonged peri-procedure interruption of NOAC (> 24 hours) exposes patients to a significant risk of thromboembolic events
- VKA continuation is not associated with a significant increase of bleeding risk compared to NOAC interruption
- A minimally interrupted NOAC strategy is likely to represent the best compromise

408 **Acknowledgements:** AC has received speaker fees from Boston Scientific. RJS has had
409 research agreements and speaker fees from Abbott, Medtronic, Boston Scientific and Biosense
410 Webster, and is a Shareholder of AI Rhythm. PDL has received educational grants from
411 Medtronic and Boston Scientific, and is supported by UCLH Biomedicine NIHR and Barts
412 BRC funding. MF has received research support and speaker fees from Abbott Ltd, Medtronic
413 Ltd and Biosense Webster; is Chief Medical Officer, Founder and Shareholder of Echopoint
414 Medical Ltd; Director, Founder and Shareholder of Rhythm AI and Founder and Shareholder
415 of Epicardio Ltd, and receives research funding from NIHR Barts BRC funding. All other
416 authors have reported that they have no relationships relevant to the contents of this paper to
417 disclose.

418

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Legend to Figures

Figure 1. Strategies adopted in the study for management of NOAC at the time of cardiac rhythm device surgery.

Figure 2. Distribution of anticoagulation type

Figure 3. Incidence of major bleeding in the matched population

Figure 4. Proposed management of twice-daily (A) and once-daily regimen (B-1 and B-2) NOACs in patients undergoing cardiac rhythm device surgery.

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Table 1. Baseline characteristics in the unmatched population

	Total (n= 1975)	VKA (n= 649)	Interrupted NOAC (n= 1039)	Continued NOAC (n= 287)
Age	73.8±12.4	73.1±12.2	74.1±12.5	74.3±12.6
Female	673 (34.1%)	201 (31.0%)	367 (35.3%)	105 (36.6%)
PPM	1205 (60.8%)	350 (53.6%)	664 (63.9%)	191 (66.6%)
ICD	770 (39.2%)	299 (46.4%)	375 (36.1%)	96 (33.4%)
CRT	590 (29.9%)	234 (35.6%)	289 (27.8%)	67 (23.3%)
New implant/upgrade	1471 (74.7%)	415 (64.8%)	840 (80.8%)	216 (75.3%)
Box change	504 (25.3%)	234 (35.2%)	199 (19.2%)	71 (24.7%)
Subpectoral pocket	58 (3.0%)	21 (3.4%)	31 (3.0%)	6 (2.1%)
Pressure dressing	1183 (59.9%)	393 (59.7%)	607 (58.4%)	183 (63.8%)
SAPT	175 (8.8%)	69 (10.3%)	83 (8.0%)	23 (8.0%)
DAPT	39 (2.0%)	7 (1.1%)	30 (2.9%)	2 (0.7%)
HTN	1189 (59.9%)	354 (54.8%)	655 (63.3%)	170 (59.2%)
Diabetes mellitus	538 (27.3%)	195 (30.1%)	270 (26.1%)	73 (25.4%)
CKD (eGFR<60ml/min)	887 (45.0%)	324 (50.0%)	442 (42.6%)	121 (42.2%)
Anaemia (Hb <13 men, < 12 women)	712 (40.3%)	229 (41.4%)	388 (41.0%)	95 (35.6%)
Previous stroke/TIA/PE	317 (16.1%)	99 (15.3%)	163 (15.8%)	55 (19.2%)
Previous major bleeding	33 (1.7%)	11 (1.7%)	16 (1.5%)	6 (2.1%)
CHF	961 (51.0%)	359 (55.4%)	478 (46.2%)	124 (43.2%)
IHD	620 (31.5%)	211 (32.5%)	320 (30.9%)	89 (31.3%)
CHA2DS2VASc	3.6±1.5	3.5±1.5	3.6±1.5	3.6±1.6
HAS-BLED	1.7±0.9	1.6±1.0	1.7±0.9	1.8±0.9

Table . Baseline characteristics in the matched population

	Total (n= 861)	VKA (n=287)	Interrupted NOAC (n=287)	Continued NOAC (n=287)	p
Age	74.8±12.1	75.7±11.3	74.5±12.4	74.3±12.6	0.33
Female	304 (35.3%)	94 (32.8%)	105 (36.6%)	105 (36.6%)	0.54
PPM	594 (69.0%)	203 (70.7%)	200 (69.7%)	191(66.6%)	0.53
ICD	267 (31.0%)	84 (29.3%)	87 (30.3%)	96 (33.4%)	
CRT	188 (21.8%)	64 (22.3%)	57 (19.9%)	67 (23.3%)	0.58
New implant/upgrade	647 (75.1%)	205 (71.4%)	226 (78.7%)	216 (75.3%)	
Box change	214 (24.9%)	82 (28.6%)	61 (21.3%)	71 (24.7%)	0.13
Subpectoral pocket	23 (2.7%)	5 (1.7%)	12 (4.2%)	6 (2.1%)	0.15
Pressure dressing	519 (60.3%)	162 (56.4%)	174 (60.6%)	183 (63.8%)	0.19
SAPT	64 (7.4%)	27 (9.4%)	14 (4.9%)	23 (8.0%)	0.11
DAPT	8 (0.9%)	4 (1.4%)	2 (0.7%)	2 (0.7%)	0.60
HTN	511 (59.3%)	175 (61.0%)	166 (57.8%)	170 (59.2%)	0.75
Diabetes mellitus	224 (26.0%)	81 (28.2%)	70 (24.4%)	73 (25.4%)	0.56
Insulin	29 (3.4%)	15 (5.2%)	8 (2.8%)	6 (2.1%)	0.09
CKD	371 (43.1%)	128 (44.6%)	122 (42.5%)	121 (42.2%)	0.82
Anaemia (Hb <13 men, < 12 women)	315 (36.6%)	115 (40.1%)	105 (36.6%)	95 (33.1%)	0.22
Previous stroke/TIA/PE	141 (16.4%)	40 (13.9%)	46 (16.0%)	55 (19.2%)	0.23
Previous major bleeding	29 (3.4%)	15 (5.2%)	8 (2.8%)	6 (2.1%)	0.09
CHF	344 (40.0%)	113 (39.4%)	107 (37.3%)	124 (43.2%)	0.34
IHD	238 (27.7%)	71 (24.7%)	78 (27.2%)	89 (31.3%)	0.20
CHA2DS2VASc	3.5±1.5	3.5±1.5	3.4±1.5	3.6±1.6	0.33
HAS-BLED	1.7±0.9	1.8±0.9	1.7±0.9	1.8±0.9	0.23

Table 3. Results in the matched population

	Total (n=861)	VKA (n= 287)	Interrupted NOAC (n= 287)	Continued NOAC (n= 287)	p
Any major bleeding	26 (3.0%)	6 (2.1%)	5 (1.7%)	15 (5.2%)	0.03
Any bleeding	67 (7.8%)	16 (5.6%)	18 (6.3%)	33 (11.5%)	0.01
Any haematoma	61 (7.1%)	15 (5.2%)	18 (6.3%)	28 (9.8%)	0.09
Clinically significant haematoma	23 (2.7%)	5 (1.7%)	5 (1.7%)	13 (4.5%)	0.057
Haematoma requiring surgery	5 (0.6%)	0 (0%)	3 (1.0%)	2 (0.7%)	0.38*
Haematoma requiring prolonged hospitalisation	12 (1.4%)	1 (0.3%)	4 (1.4%)	7 (2.4%)	0.11*
Haematoma requiring interruption of OAC	18 (2.1%)	4 (1.4%)	4 (1.4%)	10 (3.5%)	0.13
Cardiac tamponade	2 (0.2%)	0 (0%)	0 (0%)	2 (0.7%)	0.33*
Pericardial effusion not requiring drain	4 (0.5%)	0 (0%)	1 (0.3%)	3 (1.0%)	0.33*
Haemothorax	1 (0.1%)	1 (0.3%)	0 (0%)	0 (0.0%)	-
Non-pocket bleed	8 (0.9%)	1 (0.2%)	2 (0.2%)	5 (1.7%)	0.052*
Thromboembolism 30-day	4 (0.5%)	0 (0%)	4 (1.4%)	0 (0%)	0.04*
Death related to TE 30-day	0 (0%)	0 (0%)	0 (0%)	0 (0%)	-

Table 4. Thromboembolic events

TE event	Timing of TE post procedure	Age	Indication for OAC	CHA ₂ DS ₂ VASc	Previous stroke or TIA	Rhythm during procedure	NOAC	Time of interruption	Time of planned resumption
Stroke	Day 2	84	AF	5	1	AF	Apixaban	24	48
Stroke	Day 1	53	AF	2	1	AF	Rivaroxaban	48	72
Stroke	Day 1	76	AF	6	0	AF	Rivaroxaban	48	48
Stroke	Day 2	69	AF	4	1	AF	Dabigatran	48	48
Stroke	Day 1	81	AF	2	0	AF	Apixaban	NA	12
Stroke	Day 3	66	AF	3	0	SR	Apixaban	36	48
Stroke	Day 0	73	AF	2	0	SR	Rivaroxaban	24	12
TIA	Day 1	94	AF	6	0	AF	Apixaban	12	-
TIA	Day 3	81	AF	5	0	AF	Dabigatran	48	24
DVT	Day 1	61	AF	6	1	SR	Rivaroxaban	24	48
DVT	Day 1	61	AF	3	0	SR	Apixaban	24	120

Table 5. Unadjusted and adjusted logistic regression for any major bleeding

Variable						
	OR	90%CI	P	OR	90%CI	P
Age	1.00	0.98-1.02	0.77	-	-	-
Male gender	1.88	1.09-3.23	0.05	2.30	1.19-4.44	0.04
Device type (ICD vs PPM)	1.53	0.97-2.40	0.12	-	-	-
Generator replacement only	0.64	0.36-1.15	0.21	-	-	-
Biventricular device	1.59	1.00-2.51	0.10	-	-	0.27
Subpectoral pocket	1.25	0.38-4.19	0.76	-	-	-
Pressure dressing	1.65	0.92-2.98	0.09	-	-	0.21
Hypertension	1.28	0.73-2.24	0.40	-	-	-
Diabetes mellitus	1.00	0.60-1.66	0.99	-	-	-
Continued vs. interrupted NOAC	2.15	1.25-3.71	0.02	2.32	1.33-4.03	0.01
NOAC vs. VKA	1.45	0.86-2.42	0.24	-	-	-
SAPT	2.36	1.31-4.27	0.02	-	-	0.69
DAPT	3.02	1.09-8.33	0.07	3.76	1.31-10.77	0.04
CKD (eGFR <60ml/min)	1.06	0.67-1.67	0.84	-	-	-
Anaemia	1.09	0.68-1.73	0.77	-	-	-
Prev major bleeding	2.28	0.67-7.74	0.27	-	-	-
CHA2DS2VASC	1.18	1.01-1.36	0.07	-	-	0.27
HASBLED	1.36	1.07-1.72	0.04	-	-	0.47