

1 **The Role of Aberrant Neural Oscillations in the Hippocampal-Medial Prefrontal Cortex**
2 **Circuit in Neurodevelopmental and Neurological Disorders**

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11
12 **Abstract**

13 The hippocampus (HPC) and medial prefrontal cortex (mPFC) have well-established roles in
14 cognition, emotion, and sensory processing. In recent years, interests have shifted towards
15 developing a deeper understanding of the mechanisms underlying interactions between the
16 HPC and mPFC in achieving these functions. Considerable research supports the idea that
17 synchronized activity between the HPC and the mPFC is a general mechanism by which
18 brain functions are regulated. In this review, we summarize current knowledge on the
19 hippocampal-medial prefrontal cortex (HPC-mPFC) circuit in normal brain function with a
20 focus on oscillations and highlight several neurodevelopmental and neurological disorders
21 associated with aberrant HPC-mPFC circuitry. We further discuss oscillatory dynamics
22 across the HPC-mPFC circuit as potentially useful biomarkers to assess interventions for
23 neurodevelopmental and neurological disorders. Finally, advancements in brain stimulation,
24 gene therapy and pharmacotherapy are explored as promising therapies for disorders with
25 aberrant HPC-mPFC circuit dynamics.

26
27 **Keywords:** hippocampus; medial prefrontal cortex; neural oscillations; neurological disorders;
28 neurodevelopmental disorders; therapy

39 **Introduction**

40 It is well established that the HPC and mPFC are important regions that facilitate cognition,
41 emotion, and sensory processes (Jin and Maren et al., 2015; Ruggiero et al., 2021). A growing
42 body of evidence suggests that information sharing between the HPC and mPFC is required
43 for cognitive processes and successful execution of behaviours (Harris and Gordon, 2015;
44 Negrón-Oyarzo et al., 2018; Preston and Eichenbaum, 2013; Salimi et al., 2021; Tang et al.,
45 2021; Wirt and Hyman, 2017). Recent evidence further highlights the importance of
46 communication between the HPC and mPFC during learning and memory processes (Dickson
47 et al., 2022; Morici et al., 2022). Efforts to understand the pathophysiology of various disorders
48 have focused on identifying abnormalities in regions of the HPC and mPFC underlying
49 symptoms of these disorders. It is becoming increasingly clear that neurodevelopmental and
50 neurological disorders are not only due to a circumscribed deficit in the HPC and/or mPFC,
51 but also represent a distributed impairment involving HPC-mPFC connectivity (Bast et al.,
52 2017; Calabro et al., 2020; Colgin, 2011; Godsil et al., 2013; Jones and Wilson, 2005; Li et al.,
53 2015; Sigurdsson and Duvarci, 2016).

54 Neural oscillations are the fundamental mechanism to enable coordinated activity during
55 normal brain functioning (Buzsáki and Draguhn, 2004; Singer, 1999). There is abundant
56 evidence for a close relationship between the occurrence of oscillations and cognitive and
57 behavioural responses (Fries et al., 2001; Uhlhaas and Singer, 2010). Neural oscillations and
58 synchronization reflect regional and interregional communication between cortical areas. In
59 general, there is a correlation between the distance over which synchronization is observed
60 and the frequency of the synchronized oscillations. Short-distance synchronization tends to
61 occur at higher frequencies (>30 Hz), and long-distance synchronization often manifests in
62 the low-frequency range (<20 Hz) (von Stein and Sarnthein, 2000). Recent studies further
63 suggest that cross-frequency modulation across brain areas may serve a functional role in
64 neuronal computation and communication (Womelsdorf et al., 2010). While high-frequency
65 brain activity reflects local domains of cortical processing, low-frequency brain rhythms are
66 dynamically entrained across distributed brain regions by both external sensory input and
67 internal cognitive events. Therefore, cross-frequency modulation may serve as a mechanism
68 to transfer information from large-scale brain networks operating at behavioural timescales to
69 fast, local cortical processing required for effective computation and synaptic modification,
70 thus integrating functional systems across multiple spatiotemporal scales (Canolty and Knight,
71 2010).

72 In this review, we present recent evidence that shows both anatomical and synchronous
73 activity between the HPC and mPFC. We detail work revealing that the HPC-mPFC circuitry
74 is essential for cognitive, emotional, and sensory processes. Based on anatomical and
75 electrophysiological evidence, we further examine the possible neurobiological causes of
76 impaired HPC-mPFC oscillations and the involvement of aberrant HPC-mPFC oscillatory
77 activity underlying neurodevelopmental and neurological disorders. Finally, advancements in
78 deep brain stimulation, gene therapy, and pharmacotherapy are explored as useful
79 interventions for various disorders associated with aberrant HPC-mPFC circuitry.

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85 **Animal Models in Neuroscience Research**

86 Animal research has formed vital contributions to understanding neural mechanisms and
87 disorders. Non-human primates have been at the forefront of research efforts, and rodents
88 have been the most widely used models in neuroscience research. Despite major differences
89 in anatomical organization of brains and a 17,000-fold variability in brain volume across
90 mammalian species, the temporal dynamics within and across brain networks remain
91 remarkably preserved (Buzsáki et al., 2013; van Heukelum et al., 2020; Laubach et al., 2018).
92 Furthermore, despite a small variability of individual oscillations across species, frequency
93 ranges within species and their cross-frequency interactions are supported by the same
94 fundamental mechanisms and can be adequately characterized across species (Buzsáki et
95 al., 2013). Therefore, valuable insight from studies involving non-human primates and rodents
96 help with incorporating findings across species into an integrated field of HPC-mPFC research.

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98 **Anatomical Organization of the HPC and mPFC**

99 The HPC, located deep in the medial temporal lobe, can be classified by several subregions
100 (subiculum, dentate gyrus, cornu ammonis regions CA1-CA3) (Fogwe et al., 2022; Nuñez and
101 Buño, 2021) and compartments in rodents (ventral/dorsal) and primates (anterior/posterior)
102 (Fanselow and Dong, 2010). Broadly, the mPFC refers to the cortical region anterior to the
103 premotor cortex (Xu et al., 2019a). The precise homologies of prefrontal areas between
104 rodents and primates remain elusive, but considerable evidence demonstrates similar patterns
105 of interactions between hippocampal and prefrontal areas (Euston et al., 2012; Seamans et
106 al., 2008). Anatomically, the HPC and medial PFC (mPFC) are linked by several direct
107 (monosynaptic) and indirect (polysynaptic) pathways. Here, we provide a brief overview of the
108 anatomy of HPC-mPFC connections, summarized in Fig. 1.

109 The mPFC receives monosynaptic projections from the ventral CA1 HPC (vHPC) and
110 subiculum (Phillips et al., 2019; Sigurdsson and Duvarci, 2016). Ventral hippocampal neurons
111 directly innervate three major GABAergic neurons in the mPFC (parvalbumin-expressing,
112 somatostatin-expressing, and vasoactive intestinal peptide-expressing interneurons) to
113 support contextual and spatial information (Jin and Maren, 2015). The dorsal CA3/CA1 HPC
114 also receives a monosynaptic projection from the mPFC (predominantly anterior cingulate)
115 (Rajasekharan et al., 2015).

116 Several indirect pathways involving the thalamus, lateral entorhinal cortex (LEC) and
117 amygdala further connect the HPC and mPFC. The thalamic nucleus reuniens (NR) is
118 bidirectionally connected to both the mPFC and HPC, and this pathway is associated with
119 global synchronization and associative learning (Griffin, 2015; Roy et al., 2017). Regarding
120 cortical pathways, the LEC is bidirectionally connected to both the mPFC and HPC (Agster
121 and Burwell, 2009; Eichenbaum, 2017; Isomura et al., 2006; Salimi et al., 2021), and this
122 pathway involving the LEC is implicated in memory encoding and retrieval (Eichenbaum, 2017;
123 Takehara-Nishiuchi, 2020). There are also bidirectional projections between the amygdala
124 and the vHPC and the mPFC (Guirado et al., 2016; Hübner et al., 2014; Khastkhodaei et al.,
125 2021), and some studies suggest that interactions between the HPC-mPFC regulate emotion
126 and social behaviours through the basolateral amygdala (BLA) (Felix-Ortiz and Tye, 2014;
127 Felix-Ortiz et al., 2013; Qi et al., 2018). Recent evidence further suggests that the mPFC
128 supports the HPC in reconsolidating inhibitory avoidance memory through the amygdala
129 (Fukushima et al., 2021).

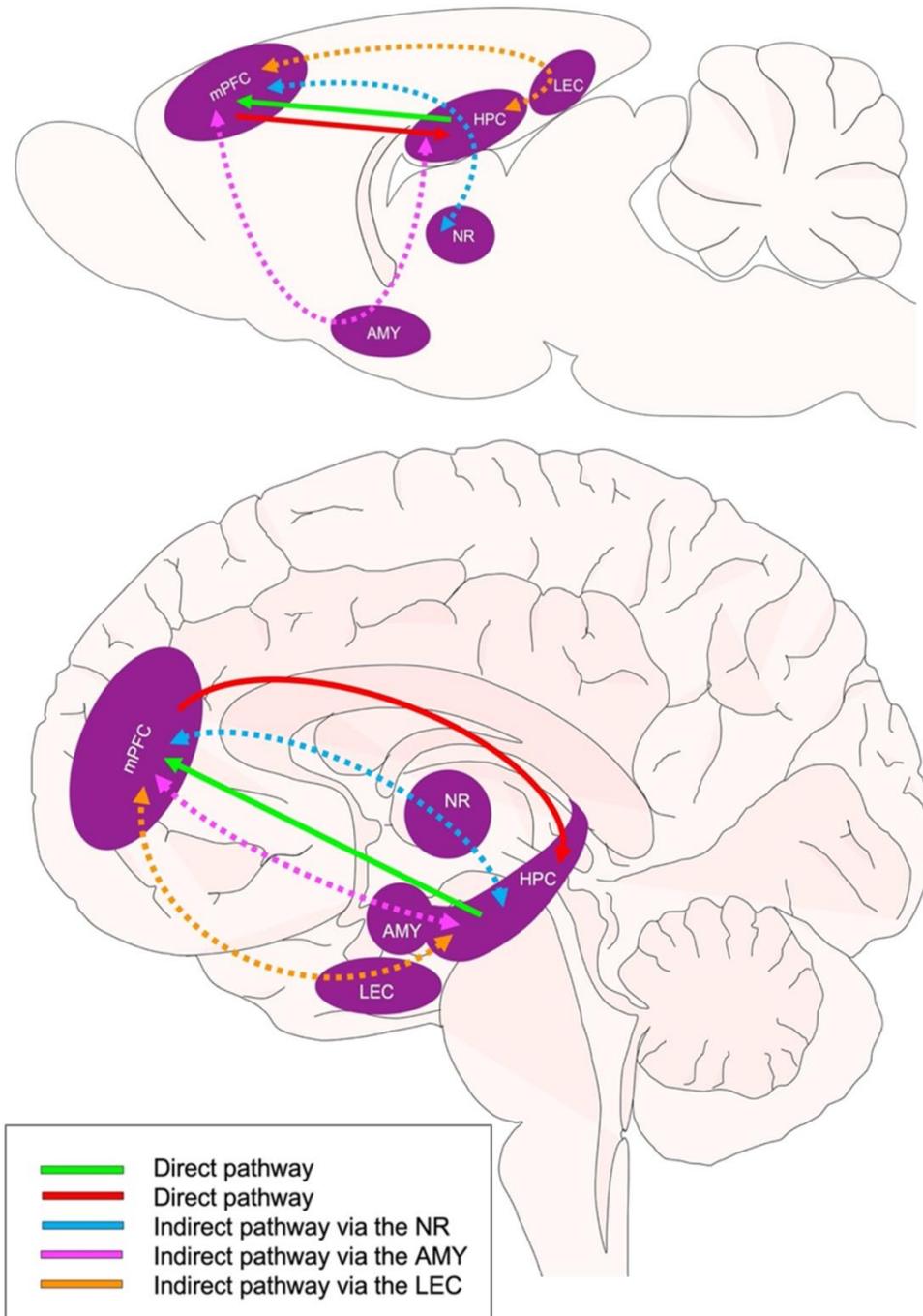


Figure 1 General schematic of direct and indirect pathways between the hippocampus (HPC) and medial prefrontal cortex (mPFC). Insight from rodent models (top) and primates (bottom) demonstrate that the HPC and mPFC are anatomically connected via direct and indirect (bidirectional) pathways. Arrows indicate direction of projections. Direct pathways involve monosynaptic projections from the CA1 ventral HPC (anterior HPC in primates) to the mPFC, and monosynaptic projections from the mPFC (predominantly anterior cingulate) to the dorsal CA3/CA1 HPC (posterior HPC in primates). Indirect HPC-mPFC pathways involve bidirectional projections between the HPC and mPFC through intermediary regions: the thalamic nucleus reuniens (NR), lateral entorhinal cortex (LEC) and amygdala (AMY). For details and supporting references, see main text.

131 **Oscillatory Synchrony in the HPC and mPFC**

132 Oscillations are one of the prominent features of brain activity and play a crucial role in regional
133 neural integration and inter-regional interactions in the brain. Oscillatory activity in groups of
134 neurons generally arises from feedback connections between the neurons that result in the
135 synchronization of their firing patterns. The interaction between neurons can give rise to
136 oscillations at a different frequency than the firing frequency of individual neurons. These
137 oscillations typically include Delta (δ , 2-4 Hz), Theta (θ , 5-7 Hz), Alpha (α , 8-12 Hz), Beta (β ,
138 15-29 Hz) and Gamma (γ , low: 30-60 Hz and high: 60-100 Hz) (Cole and Voytek, 2017; Thut
139 et al., 2012). Oscillations have been observed in brain regions including the HPC (Goyal et
140 al., 2020), visual cortical areas (Galuske et al., 2019), and olfactory cortex (Salimi et al., 2021).
141 Inter-regional oscillation coupling could modulate effective connectivity in a given behavioural
142 period, such as while undertaking cognitive tasks, attentional selection and decision making
143 (Berger et al., 2019; Doesburg et al., 2012; Gordon, 2011; Guise and Shapiro, 2017).
144 Considerable evidence (Buzsáki and Draguhn, 2004; Goodman et al., 2018; Wirt et al., 2021)
145 shows that indirect connectivity through HPC-mPFC oscillatory coupling plays a significant
146 role across different cognitive domains, such as goal-directed behaviour (Womelsdorf et al.,
147 2010), emotion (Jin and Maren, 2015), context-guided memory (Place et al., 2016), decision-
148 making (Tamura et al., 2017) and spatial/episodic memory (Brincat and Miller, 2015; Igarashi,
149 2015; Spellman et al., 2015). Synchrony in different frequency bands may play functionally
150 different roles in neural communication (Fries, 2005; Buzsáki and Draguhn, 2004). See **Table**
151 **1**.

152 **(1) HPC-mPFC δ oscillation:** δ -frequency network activity is commonly associated with
153 sleep, but data from awake-behaving animals show δ -dominated network modes (HPC-mPFC
154 coupling). Significantly elevated δ power can be observed in stationary animals during brief
155 pauses between running bouts, whereas synchronization in the delta frequency band was
156 minimal during locomotion. These findings suggest that HPC-mPFC δ oscillation represents
157 functionally distinct circuit dynamics that are temporally and behaviourally alternated among
158 θ -dominated oscillations during navigation. This oscillation is vital to coordinating encoding
159 and retrieval mechanisms or decision-making processes at a timescale that segments event
160 sequences within behavioural episodes (Schultheiss et al., 2020).

161 **(2) HPC-mPFC θ oscillation:** Modulation of mPFC and HPC oscillatory θ coupling by
162 mnemonic demands of a working memory task correlated with behavioural performance both
163 in animals (Brincat and Miller, 2015; Siapas et al., 2005) and in humans (Anderson et al.,
164 2010; Kaplan et al., 2014; Backus et al., 2016), and θ -modulated rhythmic excitability is
165 essential for long-term synaptic potentiation (Capocchi et al., 1992) and important for gating
166 information flow and guiding plastic changes (Siapas et al., 2005). In addition, considerable
167 evidence demonstrates HPC-mPFC θ coupling during spatial navigation when novel
168 information was encoded and stored information was retrieved (Kaplan et al., 2014). An
169 increase in HPC-mPFC θ coupling also occurs during active choice decision making (Guitart-
170 Masip et al., 2013) and other memory tasks (Simons and Spiers, 2003).

171 **(3) HPC-mPFC α/β oscillation:** A study from macaques demonstrated that α/β -band
172 synchrony driven by the HPC increased with learning, leading to the hypothesis that rapid
173 object associative learning occurs in the PFC, whereas the HPC guides neocortical plasticity
174 via oscillatory synchrony in α/β (success) or θ (failure) bands (Brincat and Miller, 2015).

175 **(4) HPC-mPFC γ oscillation:** γ rhythms have received a great deal of attention due to their
176 relationship to higher brain functions (Buzsáki and Wang, 2012; Csicsvari et al., 2003).
177 However, the role of HP-mPFC in synchronous γ activity is less explored. γ coupling between
178 the HPC and mPFC was reported in relation to working memory (Sigurdsson et al., 2010) and

179 exploratory behaviour during anxiety (Adhikari et al., 2010). As mPFC fast γ oscillations may
180 be coherent with fast γ in both the HPC and the entorhinal cortex (Colgin et al., 2009), the
181 entorhinal–hippocampal–mPFC network could therefore coordinate information flow across
182 these three regions during processing of information related to the external environment
183 (Colgin, 2011).

184 **(5) HPC-mPFC ripples:** Ripples, discrete bouts of fast oscillations that are strongly associated
185 with underlying bursts of spiking activity (Buzsáki, 2015), have been implicated in memory
186 formation, consolidation, and retrieval (Buzsáki, 2015; Joo and Frank, 2018). The identification
187 of HPC-mPFC ripples coupling with extensive cortico-cortical connections (Khodagholy et al.,
188 2017), reflected either a direct hippocampal–entorhinal cortex–neocortex excitation
189 (Logothetis et al., 2012; Peyrache et al., 2011) and/or an indirect common drive by cortical
190 slow oscillations (Isomura et al., 2006; Sirota et al., 2008). HPC-mPFC ripple association
191 areas support roles in memory consolidation and links to navigational planning (Khodagholy
192 et al., 2017).

193 **(6) HPC-mPFC cross frequency:** The cross-frequency coupling of distinct neural oscillations
194 act as a mechanism for the dynamic co-ordination of brain activity over multiple spatial scales,
195 with high-frequency activity within local ensembles coupled to large-scale patterns of low-
196 frequency phase synchrony (Bonnefond et al., 2017).

197 Cross-frequency coupling is present during a range of cognitive functions and likely affects
198 the organization of brain rhythms. Current data demonstrate its crucial role in long-range
199 cross-frequency coupling in HPC–prefrontal circuit function. Hippocampal θ oscillations
200 modulate mPFC assembly patterns by rhythmically biasing synchrony of local γ oscillations in
201 behaving rats and mice (Sirota et al., 2008; Tamura et al., 2017), suggesting that oscillations
202 mediate information flow from the HPC to the PFC. In addition, θ - δ coupling mediates
203 information transfer from the PFC to the HPC via a relay mechanism through the thalamic NR
204 (Roy et al., 2017). However, this result has been challenged in light of the possibility that δ
205 oscillations has been attributed to respiratory-entrained oscillations in both structures
206 (Lockmann and Tort, 2018).

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219 **Table 1** The physiological roles of oscillatory synchrony between the hippocampus (HPC) and
 220 medial prefrontal cortex (mPFC). Relevant studies with recordings from generalized regions
 221 of the prefrontal cortex and medial temporal lobe are also included in this table. (LFP=local
 222 field potentials; iEEG= intracranial EEG; MEG=Magnetoencephalography)

Oscillation	Region	Methods Used	Species	Frequency Range	Function	Reference
Delta (δ)	HPC-mPFC	LFPs	Rat	1-4 Hz	Decision-making	Schultheiss et al., 2020
Theta (θ)	vHPC-mPFC	LFPs	Mice	4-12 Hz	Anxiety	Adhikari, 2011
	dHPC-mPFC	LFPs	Mice	6-12 Hz	Decision-making	Chang, 2020
	vHPC-mPFC-dHPC	LFPs	Mice	4-2 Hz	Spatial working memory	O'Neill, 2013
	dHPC-mPFC	LFPs	Rat	4-12 Hz	Decision-making	Jones, 2005
	dHPC-mPFC	LFPs	Rat	4-10 Hz	Storage of information	Siapas et al., 2005
	HPC-PFC	LFPs	Rhesus macaques	~2-6 Hz	Working memory	Brincat & Miller, 2015
	MTL-PFC	iEEG	Human	4-8 Hz	Memory	K. L. Anderson et al., 2010
	HPC-mPFC	MEG	Human	3-7 Hz	Integrated memory	Backus et al., 2016
	mPFC-MTL	MEG	Human	4-8 Hz	Spatial memory retrieval	Kaplan et al., 2014
	mPFC-MTL	MEG	Human	4-7 Hz	Dynamic spatial imagery	Kaplan et al., 2017
PFC-MTL	MEG	Human	4-8 Hz	Decision-making	Guitart-Masip et al., 2013	
Alpha/Beta (α/β)	PFC-HPC	LFPs	Rhesus macaques	9-16 Hz	Learning	Brincat & Miller, 2015
Gamma (γ)	vHPC-mPFC	LFPs	Mice	30-100 Hz	Anxiety	Adhikari, 2011
	dHPC-mPFC	LFPs	Mice	30-80 Hz	Spatial memory	Sigurdsson et al., 2010
Ripples	HPC-mPFC	LFPs	Rat	100-150 Hz	Navigation planning	Khodagholy et al., 2017
Cross-frequency	θ (dHPC) - γ (mPFC)	LFPs	Rats and Mice	θ (3-5 Hz); γ (30-150 Hz)	Information flow	Sirota et al., 2008
	θ (vHPC) - γ (mPFC)	LFPs	Mice	θ (4-12 Hz); γ (30-120 Hz)	Working memory	Tamura et al., 2017
	δ (mPFC) - θ (dHPC and vHPC)	LFPs	Rat	δ (2-5 Hz); θ (4-8 Hz)	Unknown	Roy, 2017

224 **The HPC-mPFC Circuit in Cognition, Emotion and Sensory Processing**

225 ***Cognition: Memory and Learning***

226 Important interactions between the HPC and mPFC support the encoding and retrieval of
227 episodic memories (Eichenbaum, 2017; Jin and Maren, 2015; Kennedy and Shapiro, 2004;
228 Weilbacher and Gluth, 2017). Considerable evidence demonstrates that in these interactions,
229 the HPC organizes contextual memory and the mPFC facilitates retrieval of contextual
230 memories through suppressing inappropriate memories from differing contexts (Eichenbaum,
231 2017; Preston and Eichenbaum, 2013). Recent functional MRI (fMRI) studies also
232 demonstrate that persistent HPC-mPFC interactions promote long-term memory through
233 context-based differentiation (Dugré et al., 2021; Ezzyat et al., 2018). Evidence from rodents
234 involving paradigms such as the water maze (Vorhees and Williams, 2006), the T-maze
235 (Deacon and Rawlins, 2006) and spatial win-shift on the radial arm maze (Taylor et al., 2003)
236 further support the critical role of HPC-mPFC interactions in facilitating the successful
237 execution of working memory (Liu et al., 2018; Salimi et al., 2022; Sigurdsson and Duvarci,
238 2016; Wirt et al., 2021). This is further observed in human studies. Increased HPC-mPFC θ
239 coherence was predictive of successful memory integration in participants performing an
240 inference task (Backus et al., 2016), and higher HPC-mPFC θ phase synchronization during
241 encoding of contextually unexpected information was predictive of later memory performance
242 in epileptic patients (Gruber et al., 2018).

243 Evidence from rodents demonstrate that the HPC-mPFC circuit is crucial for learning. Bilateral
244 or crossed inactivation of the HPC (dorsal or ventral) or mPFC impaired flexible spatial
245 learning (Avigan et al., 2020), and increased θ -band synchrony between HPC and mPFC
246 pathways were observed during the transition from retrospective to prospective encoding
247 (Myroshnychenko et al., 2017). It has also been shown that novel experiences alter vHPC θ
248 oscillations and vHPC–mPFC connectivity, subsequently contributing to the modulation of
249 learning-associated plasticity (Park et al., 2021). This implicates the crucial role of the HPC-
250 mPFC circuitry in learning-associated circuit plasticity, where it can be primed for subsequent
251 learning through novelty-induced changes to its circuit connectivity. It has also been shown in
252 rhesus monkeys that frequency-specific interactions and oscillatory synchrony underlie
253 relevant points during associative learning, suggesting that oscillatory signals from the HPC
254 guides neocortical plasticity in the PFC during associative learning (Brincat and Miller, 2015).
255 Studies in human further suggest that the HPC-mPFC circuit is not only activated and engaged
256 in interactions with various brain regions to integrate information during new learning, but also
257 play an important role in higher-level cognition, such as the acquisition of hierarchical concepts
258 in category learning (Schlichting and Preston, 2016; Theves et al., 2021). Therefore, the HPC-
259 mPFC circuit plays a crucial role in supporting cognitive processes involving memory and
260 learning.

261

262 ***Emotion***

263 The HPC and mPFC are critically implicated in the neurocircuitry of emotion involving the
264 contextual modulation of fear (Hartley and Phelps, 2010; Ji and Maren, 2007; Kjelstrup et al.,
265 2002), emotional judgment (Perry et al., 2011) and emotional memory (Engen and Anderson,
266 2018; Holland and Kensinger, 2010; Lovett-Barron et al., 2014; Richter-Levin and Akirav,
267 2000). The mPFC is implicated in the appraisal and expression of negative emotion (dorsal-
268 caudal mPFC), and regulates limbic regions that facilitate emotional responses (ventral-rostral
269 mPFC) (Etkin et al., 2011). Increasing evidence suggests that hippocampal-cortical pathways
270 facilitate the emotional regulation of fear and emotional processing through oscillations (Jin

271 and Maren, 2015; Vertes, 2006). Enhanced ripple- δ -spindle coupling across the HPC-mPFC
272 circuit is observed in mice exposed to exogenous acute stress, providing evidence that
273 emotional encoding is supported by oscillations across this circuit (Lv et al., 2022). These
274 findings support evidence from human studies that demonstrate the association between
275 HPC-mPFC θ synchronization and anxiety-like behaviour (Khemka et al., 2017; Korn et al.,
276 2017).

277 There is evidence to suggest that indirect HPC-mPFC pathways modulate emotional
278 processes such as fear extinction and emotion regulation through circuits involving the
279 amygdala (Hartley and Phelps, 2010; Jin and Maren, 2015; Ramanathan et al., 2018). The
280 amygdala is a key structure in fear-conditioning and eliciting emotional states, assigning
281 emotional dimensions to sensory stimuli through constant evaluation and integration of
282 arousal states (Kim and Cho, 2020; Ressler and Maren, 2019; Šimić et al., 2021). Insight from
283 studies using projection tracers and optogenetics in rodents have demonstrated that the
284 amygdala is anatomically connected to the HPC and mPFC (Hintiryan et al., 2021; Orsini et
285 al., 2011; Yang and Wang, 2017) and oscillatory synchrony between these regions are
286 implicated in supporting emotional arousal and consolidation of emotional memories
287 (Hermans et al., 2014; Paré et al., 2002). Further studies have found increased θ
288 synchronization across the vHPC-BLA-mPFC circuit during heightened anxiety and learned
289 fear expression, suggesting that oscillatory rhythms across this circuit are engaged during
290 emotional states (Adhikari et al., 2010; Çalışkan and Stork, 2019). These findings are
291 supported by studies in humans, providing evidence for unidirectional θ and α oscillations in
292 the amygdala that modulate hippocampal γ activity during fear processing (Zheng et al., 2017),
293 and synchronization of θ oscillations in the amygdala and mPFC to facilitate fear learning
294 (Chen et al., 2021). Altogether, considerable evidence suggests a neurocircuitry of emotion
295 regulation that involves the HPC-mPFC circuit via the amygdala (Hartley and Phelps, 2010;
296 Jin and Maren, 2015; Richter-Levin and Akirav, 2000; Yang and Wang, 2017).

297

298 ***Sensory Processing***

299 Sensory processing (SP) plays an important role in daily life as it synthesizes information from
300 multiple sensory channels in response to the external environment into coherent behavioural
301 and emotional patterns. Sensory processing involves a large network of brain areas that
302 include the sensory cortices, motor cortices and associative areas (Le Merre et al., 2018;
303 Martin-Cortecero and Nuñez, 2016; Zucchella et al., 2018). With extended studies, it is well
304 documented that both the HPC and mPFC are involved in multisensory integration and
305 sensory discrimination (Engel et al., 2012; Grion et al., 2016; Martin-Cortecero and Nuñez,
306 2016; Pereira Antonio et al., 2007). Moreover, synchronous linkage between these two areas
307 have been shown to be sensitive to sensory, behavioural, and environmental changes (Hyman
308 et al., 2005).

309 The influence of HPC-mPFC pathways on SP is further highlighted in studies where sensory
310 signals are evaluated for learned motor output. In a study, mice were trained for a whisker-
311 dependent detection task, and correct “licks” following whisker stimulation correlated with
312 increased sensory-evoked signals in the dorsal CA1 HPC and mPFC (Le Merre et al., 2018).
313 Inactivation of neural activity in the HPC and mPFC further impaired behavioural performance,
314 corroborating studies in contextual learning that demonstrate the crucial role of HPC-mPFC
315 interactions in translating sensory signals to relevant motor behaviour (Martin-Cortecero and
316 Nuñez, 2016; Ong et al., 2019), and that HPC-mPFC oscillatory synchrony underlie sensory
317 gating deficits (Dickerson et al., 2010). In addition, studies have shown that HPC-mPFC
318 oscillatory synchrony at various frequencies including increased θ coherence support auditory

319 predictive processing and multisensory attention in humans (Friese et al., 2016; Grunwald et
320 al., 2003; Recasens et al., 2018). HPC-mPFC interactions are further crucial for supporting
321 SP during postnatal development, as the HPC provides excitatory signals to drive functional
322 mPFC maturation during the sensitive period of tactile development (Xu et al., 2020). These
323 include HPC θ oscillations that boost prefrontal oscillations in the neonatal mouse, and the
324 emergence of θ - γ oscillations during maturation across the hippocampal-prefrontal network
325 (Ahlbeck et al., 2018; Bitzenhofer et al., 2017; Brockmann et al., 2011; Xu et al., 2020). Thus,
326 oscillations across the HPC-mPFC circuitry are not only important for cognition and emotional
327 processes, but also facilitates normal SP.

328

329 **The Impact of Abnormal HPC-mPFC Circuit Dynamics in Neurodevelopmental and** 330 **Neurological Disorders**

331 The HPC-mPFC circuit supports cognition, emotion, and sensory processing. These regions
332 are anatomically and functionally intertwined, and oscillations regulate communication and
333 information flow to support cognitive and behavioural processes. In this section, we discuss
334 relevant disorders involving dysfunctional neural dynamics with a focus on the HPC-mPFC
335 circuit. **See Table 2.**

336

337 ***Abnormal HPC-mPFC Circuit Dynamics in Neurodevelopmental Disorders***

338 Abnormal brain development affects the structural and functional connectivity across the HPC-
339 mPFC circuit, resulting in alteration at different spatial scales from cellular levels to network
340 level. Neurodevelopmental disorders have been associated with maladaptive formation of
341 cortical networks and faulty programming of synaptic connections, as neural oscillations and
342 synchrony may have crucial roles in synaptic modifications (Galuske et al., 2019; Zarnadze et
343 al., 2016). In this section, we highlight aberrant oscillations within and across the HPC-mPFC
344 network associated with a variety of cognitive and behavioural deficits in several
345 neurodevelopmental disorders.

346

347 Autism Spectrum Disorder

348 Autism spectrum disorder (ASD) is a complex neurodevelopmental disorder characterized by
349 impairments in memory, executive function, and social skills (Hodges et al., 2020). Disruptions
350 in oscillatory synchronization are core deficits in ASD, occurring at frequencies involving long
351 range (δ , θ , α , β) and short range (β , γ) connectivity (Simon and Wallace, 2016). Altered neural
352 circuitries in numerous brain regions including the orbitofrontal and sensory-motor networks
353 are observed in ASD individuals, suggesting that cortical asynchronization during sensory and
354 perceptual processing is a pathological hallmark of ASD (Hull et al., 2017; Oldehinkel et al.,
355 2019; Xu et al., 2019b).

356 To date, only a few studies have focused on HPC-mPFC pathways in ASD. Cytoskeleton
357 anomalies including fewer dendrites, smaller dendritic processes, and shorter dendritic
358 processes in pyramidal neurons of the HPC and mPFC are associated with ASD (Barón-
359 Mendoza et al., 2018). These morphological changes implicate altered synaptic connections,
360 aberrant HPC-mPFC connectivity and contribute to autistic-like behaviours including impaired
361 social behaviour (Barón-Mendoza et al., 2019). In addition, they affect pyramidal-mediated
362 excitatory transmission and disturb the balance of excitation/inhibition (E/I) signals that
363 support social behaviour. A study found reduced θ synchronization between the vHPC-mPFC

364 and loss of excitatory signalling from the vHPC to prefrontal GABAergic interneurons in mice
365 heterozygous for *Pogz* (high confidence autism gene) with anxiety-related avoidance
366 behaviour (Cunniff et al., 2020). This corroborates evidence for the crucial role of vHPC-mPFC
367 in aberrant social behaviour (Sun et al., 2020), where dysfunctional interactions across this
368 circuit may alter GABAergic circuits and impair long-range communication between the HPC
369 and mPFC in the pathophysiology of ASD (Nelson and Valakh, 2015; Sohal and Rubenstein,
370 2019; Zhao et al., 2022).

371 In addition, social deficits associated with hyperactivity of the vHPC-mPFC signalling were
372 observed and long-term inhibition of mPFC pyramidal neurons rescued social memory deficits
373 in a mouse model of Rett syndrome (classified as an ASD disorder) (Phillips et al., 2019).
374 Another study found that there were monosynaptic connections from HPC pyramidal neurons
375 to mPFC GABAergic neurons, and inhibition of this pathway negatively impacted social
376 behaviour in mice (Sun et al., 2020). Importantly, activation of mPFC parvalbumin-positive
377 (PV+) neurons rescued social memory impairments caused by inhibition of vHPC (Sun et al.,
378 2020). Deficits in hippocampal PV+ interneurons, circuit changes (altered γ oscillations, sharp
379 wave-ripples, and θ - γ coupling), and impaired spatial discrimination were further found in a
380 mouse model of ASD, *Cntnap2* mice (Paterno et al., 2021). Altered oscillatory θ and α activity
381 associated with increased memory load have also been demonstrated in individuals with ASD
382 (Larrain-Valenzuela et al., 2017). In addition, studies have shown substantially reduced
383 hippocampal functional connectivity with frontal regions during episodic memory retrieval
384 (Cooper et al., 2017), as well as rest-associated functional abnormalities in the mPFC
385 correlating with social impairment in individuals with ASD (Kennedy et al., 2006).

386 These findings from animal models and ASD individuals suggest that ASD phenotypes may
387 result from HPC cellular and circuit changes that disrupt proper HPC-mPFC communication
388 during cognitive and behavioural processes (Schmidt and Redish, 2021). Future research
389 investigating HPC-mPFC interactions will provide insight into the mechanistic links between
390 aberrant oscillations across the HPC-mPFC network and ASD-associated behaviours.

391

392 Fragile X Syndrome

393 Aberrant HPC-mPFC connectivity is characteristic of Fragile X Syndrome (FXS), the most
394 common form of inherited disability and leading cause of ASD. FXS develops from a mutation
395 to the Fragile X mental retardation-1 gene (*FMR1*) located on the X chromosome, resulting in
396 loss or heavy reduction in the Fragile X Mental Retardation Protein (FMRP). The absence of
397 FMRP is concurrent with characteristic social impairments, learning disabilities and cognitive
398 dysfunction including memory dysfunction and abnormal sensory processing (Berzhanskaya
399 et al., 2016; Ciaccio et al., 2017; Huddleston et al., 2014; Razak et al., 2020). These
400 impairments have been linked to changes in synaptic plasticity and circuitry involving
401 excitatory and inhibitory activity in *Fmr1-KO* mice (Gibson et al., 2008; Morin-Parent et al.,
402 2019; Sidorov et al., 2013; Contractor et al., 2015). Evidence from rodents and humans
403 suggest that abnormal HPC-mPFC oscillatory dynamics are associated with FXS. Major
404 electrophysiological observations from recordings in the HPC CA1 pyramidal cell layer
405 included abnormally greater power of θ oscillations associated with increased slow γ , and
406 decreased spike-count correlations of interneurons hyper-synchronized with θ and slow γ
407 oscillations in the FXS mouse model (*Fmr1-KO*) during free exploration (Arbab et al., 2018).
408 In FXS patients, abnormal oscillatory dynamics including enhanced global θ connectivity and
409 reduced α and β connectivity between wider network have been characterized (Molen et al.,
410 2014). Deficits in social and sensory processing in FXS patients were further correlated with
411 abnormal oscillatory activity, including increased γ power and θ - γ coupling (Wang et al., 2017).

412 This suggests that altered oscillations such as changes to γ , are putative substrates for global
413 and HPC-mPFC circuit hyper-excitability underlying social deficits in FXS (Arbab et al., 2018;
414 Goswami et al., 2019; Kozono et al., 2020; Liu et al., 2022; Wang et al., 2017).

415 In *Fmr1*-KO mice, changes in mPFC GABAergic signalling were further observed during
416 crucial time points of postnatal development (Kramvis et al., 2020). At prepubescence, there
417 was increased inhibition of the mPFC with decreased inhibitory synaptic depression. This
418 contrasted prolonged synaptic kinetics with reduced inhibition of the mPFC at adolescence,
419 and dynamic changes to mPFC pathways in *Fmr1*-KO during development is functionally
420 relevant for downstream impairments (Kramvis et al., 2020). Since the regulation of social
421 behaviour relies on long-range GABAergic projections from regions such as the vHPC and
422 basolateral amygdala (BLA) to the mPFC (Yang et al., 2021), these abnormalities reflect an
423 imbalance in GABAergic signalling persisting throughout development with consequential
424 phenotypes in FSX (Van der Aa and Kooy, 2020). D'Hulst et al. (D'Hulst et al., 2015)
425 demonstrated an average of 10% reduction in GABA_A receptor availability and binding
426 potential throughout the brain in FXS patients. Using FXS human pluripotent stem cells
427 (hPSCs), Zhang et al., (Zhang et al., 2022) further found delayed maturation of human
428 GABAergic neurogenesis in hPSCs, and at later stages of GABAergic neurogenesis, including
429 (1) increased neuronal networks activity, (2) increased proliferation of neuroblast progenitors
430 and (3) a downregulation of gene expression associated with neuronal GABAergic maturation.
431 Thus, a delay in GABAergic neuron differentiation may contribute to recognized deficits in the
432 GABAergic system in FXS patients (Van der Aa and Kooy, 2020), resulting in altered inhibitory
433 signals and abnormal homeostatic development of excitatory/inhibitory circuits (Paluszkiwicz
434 et al., 2011). Consequently, altered local and long-range GABA-dependent HPC-mPFC
435 interactions expressed in the θ and γ ranges (Molen et al., 2014; Wulff et al., 2009; Contractor
436 et al., 2015) may further lead to impairments in learning (Gao et al., 2018), social behavior
437 (Black et al., 2021), fear expression (Yang et al., 2021) and working memory (Lanfranchi et
438 al., 2009). Future work exploring how GABAergic circuit impairments influence oscillations at
439 various frequency bands across the HPC-mPFC network will provide insight into mechanisms
440 linking circuit level to behavioural changes in FSX.

441

442 Down Syndrome

443 Down syndrome (DS) is a complex genetic disorder characterized by altered HPC and mPFC
444 neural dynamics associated with cognitive deficits in rodent models (Cramer and Galdzicki,
445 2012; Witton et al., 2015; Zorrilla de San Martin et al., 2020). We have previously
446 demonstrated in DS mouse models atypical neural circuitry involving altered θ frequency,
447 altered hippocampal phase-amplitude coupling, modulation of hippocampal high γ , and altered
448 HPC-mPFC θ coherence (Chang et al., 2020). These abnormalities were segregated with
449 behavioural changes associated with impaired spatial working memory and prolonged
450 decision-making (Chang et al., 2020). Recent evidence further demonstrates increased
451 hypersynchrony, altered θ oscillations, altered cross-frequency coupling, and reduced HPC
452 SPW-Rs in the Ts65Dn mouse model of DS (Alemany-González et al., 2020). As HPC SPW-
453 Rs are coupled to cortical networks including the mPFC to facilitate cognitive processes
454 (Buzsáki, 2015; Schmidt and Redish, 2021), a reduction in HPC SPW-Rs potentially disrupts
455 proper communication between the HPC and mPFC to mediate memory impairments and
456 intellectual disabilities (Martin-Cortecero and Nuñez, 2016). These findings suggest that
457 atypical neural circuitries associated with aberrant HPC-mPFC pathways are important
458 mechanisms in the pathophysiology of DS (Chang et al., 2020).

459 Abnormal brain synchrony is well established in people with DS. Notably, enhanced
460 synchronization between adjacent brain regions and widespread alterations in default mode
461 network (DMN) connectivity including weakened long range connections are largely
462 characterized (Anderson et al., 2013; Rosas et al., 2021; Wilson et al., 2019). Recently,
463 reduced long-range DMN connectivity associated with cognitive decline were found in DS
464 individuals, providing evidence that altered connectivity between the HPC and prefrontal
465 cortices underlie cognitive impairments in DS (DiProspero et al., 2022). In addition, the
466 attenuation of early exploratory behaviour associated with developmental delays in DS (Fidler
467 et al., 2019) may be the consequence of abnormal HPC-mPFC interactions. A recent study
468 demonstrated that direct long-range GABAergic projections from the PFC regulate
469 disinhibitory HPC microcircuits to facilitate object-related spatial encoding and exploratory
470 behaviours (Malik et al., 2022). Long-range GABAergic projections promoted network
471 oscillations that facilitate object exploration such as increased PFC-HPC low- γ synchrony and
472 greater high- γ and θ power (Malik et al., 2022). These findings implicate that dysfunctional
473 GABAergic innervation may alter HPC-mPFC oscillatory synchrony and mediate cognitive and
474 behavioural deficits in DS (Alemany-González et al., 2020; Chang et al., 2020). Therefore,
475 aberrant HPC-mPFC connectivity may be a potential biomarker predicting clinical conversion
476 to Alzheimer's Disease (AD) in people with DS (DiProspero et al., 2022; Koenig et al., 2021;
477 Liang et al., 2020).

478

479 ***Abnormal HPC-mPFC Circuit Dynamics in Neurological Disorders***

480 Aging is associated with alterations in cognitive processing and brain neurophysiology.
481 Studies demonstrate that physiological aging represent a global alteration in oscillation and
482 disruption of brain functional connectivity (Murty et al., 2020; Rondina et al., 2016).
483 Pathological changes of synaptic integrity and coordinated network activity has been
484 associated with neurodegenerative and age-related neural disorders. Recent research further
485 suggests that altered oscillatory activity in the brain may be an early warning sign of age-
486 related neurological diseases (Murty et al., 2021). As the HPC and mPFC have well-
487 established roles in cognitive and memory functions, we discuss relevant age-related
488 neurological disorders that have aberrant HPC-mPFC circuitry.

489

490 **Alzheimer's Disease**

491 Alzheimer's Disease is a progressive neurodegenerative disorder with widely characterized
492 abnormalities in neural oscillations and cognitive deficits (Byron et al., 2021; Hamm et al.,
493 2015; Isla et al., 2021; Kitchigina, 2018). It has been shown that prominent neural HPC-mPFC
494 oscillations, particularly slow-frequency θ and fast-frequency γ , are significantly altered in
495 mouse models of AD (Kitchigina, 2018; Mehak et al., 2022) and in patients with early and late
496 stage AD (Başar et al., 2017; Goodman et al., 2018; McDermott et al., 2018). Additionally,
497 abnormal oscillations across the HPC-mPFC circuit are associated with AD pathology such
498 as extracellular insoluble β -amyloid ($A\beta$) plaques, intracellular neurofibrillary tangles (NFTs),
499 and tau aggregation (Ahnaou et al., 2017). A study found that $A\beta$ significantly reduces synaptic
500 inputs of hippocampal fibres to the PFC at different frequencies (5–50 Hz) measured by mean
501 amplitudes of field excitatory postsynaptic potentials (fEPSPs) in vitro (Flores-Martínez and
502 Peña-Ortega, 2017). Intracranial recordings from the HPC and mPFC of TgF344-AD rats
503 reveal impaired HPC-mPFC θ - γ coherence and attenuated phase-amplitude coupling
504 concomitant to $A\beta$ deposition and NFTs (Bazzigaluppi et al., 2018). In tau-expressing rats,
505 Tanninen and colleagues revealed a significant attenuation of inter-region θ and γ phase-

506 phase and amplitude-amplitude oscillatory coupling between the HPC and prelimbic mPFC
507 during associative learning (Tanninen et al., 2017). Notably, these changes in neural
508 oscillations were observed prior to cognitive deficits, implicating oscillatory changes detectable
509 in preclinical AD. Further evidence from rodents reveal the crucial role of mPFC spindle-band
510 coupling with hippocampal ripples (Maingret et al., 2016; Zhurakovskaya et al., 2019).

511 The significance of HPC-mPFC in AD is further understood through studies of memory.
512 Episodic memory is one of the first systems to decline in AD, and affected individuals show
513 deficits in object and spatial recognition memory consolidation (Tromp et al., 2015). These
514 processes rely on concurrent activity in the dHPC and mPFC, and chemogenetic inactivation
515 of these regions impairs memory consolidation in mice (Tuscher et al., 2018). Recent work
516 demonstrates that CA1 and mPFC θ sequences are temporally coordinated to support
517 memory-guided decision-making processes in rats (Tang et al., 2021), and synchronization of
518 θ and γ oscillations regulate HPC-mPFC communication during cognitive processes
519 particularly learning and memory (Colgin, 2011; Hyman et al., 2005; Wirt et al., 2021; Buzsáki
520 and Draguhn, 2004). Low levels of θ - γ coupling associated with working memory deficits are
521 further reported in patients with mild cognitive impairment (MCI) and AD (Abubaker et al.,
522 2021; Goodman et al., 2018; Kitchigina, 2018). Although it is well established that aberrant
523 HPC-mPFC circuit dynamics are found in AD, it remains unclear whether oscillatory
524 abnormalities cause cognitive deficits or are a by-product of cellular changes. Nevertheless,
525 pathological circuits in AD include abnormal θ and γ oscillatory activity across the HPC-mPFC
526 circuit that leads to impairments in cognition and memory (Mably and Colgin, 2018).

527

528 Epilepsy

529 Epilepsy is a common neurological disorder that is characterized by frequent seizures. It
530 affects nearly 1% of the population with substantial morbidity and mortality (Fiest et al., 2017)
531 There is increasing interest to study the pathophysiological mechanisms underpinning seizure
532 generation in epilepsy, particularly abnormal connectivity in certain brain regions (Engel et al.,
533 2013; Englot et al., 2016; Jiruska et al., 2013). Studies in patients with focal epilepsy showed
534 widespread network alterations that extend beyond the epileptogenic zone (Braakman et al.,
535 2013; Luo et al., 2012; Widjaja et al., 2015). In rodent and human studies, altered connectivity
536 between the HPC and mPFC has been correlated with epilepsy conditions (Englot et al., 2015;
537 Jin and Maren, 2015). Individuals with temporal lobe epilepsy (TLE) show HPC-mPFC
538 hypersynchrony and abnormally greater coherence in θ bands (Holmes, 2015), suggesting
539 that epileptiform events are facilitated by the slow oscillation state biasing hippocampal
540 pathways towards hyperexcitability and enhancing hypersynchrony across HPC and cortical
541 networks (Nazer and Dickson, 2009). In a rat model of TLE, coherence in θ band synchrony
542 between the dHPC and mPFC was further found to be increased in the pre-ictal period
543 preceding seizures, suggesting that altered HPC-mPFC connectivity may promote seizure
544 generation (Broggini et al., 2016).

545 Further evidence revealed that prolonged or recurrent seizures can cause or exacerbate
546 cognitive impairments (Blake et al., 2000; Butler and Zeman, 2008; Butler et al., 2009).
547 Numerous studies suggest that altered HPC-mPFC connectivity may be related to
548 neurocognitive deficits in patients with epilepsy (Doucet et al., 2013; Voets et al., 2014). One
549 study found fewer physiological hippocampal ripples, greater spontaneous HPC interictal
550 epileptiform discharges (IEDs), and impaired spatial memory consolidation associated with
551 strongly coupled HPC IEDs-mPFC spindles during sleep and awake states in a rat model of
552 TLE (Gelinias et al., 2016). In patients with focal epilepsy, the coupling of IEDs with spindles
553 in regions distinct from the epileptic network were further shown to alter spatiotemporal

554 oscillatory properties and mediate abnormal patterns of brain connectivity (Dahal et al., 2019).
555 It is becoming increasingly clear that precisely coordinated HPC IEDs-prefrontal cortex
556 spindles exacerbate aberrant HPC θ - γ coupling during rapid eye movement (REM) in the
557 epileptic brain (Jansen et al., 2021; Mendes et al., 2021). Consequently, the generation of
558 pathological HPC oscillations and IED-mediated abnormal coupling of oscillations may alter
559 HPC-mPFC network activity and disrupt normal HPC ripples-mPFC spindles coupling crucial
560 for supporting memory processes in the epileptic brain (Azimi et al., 2021; Mendes et al., 2021;
561 Siapas and Wilson, 1998; Xia et al., 2017). Overall, connectivity studies in epilepsy are critical
562 endeavours that may lead to improved strategies for localization epileptogenic area, aid
563 surgical intervention and outcome prediction in epilepsy.

564

565 **Therapeutic Strategies for Targeting HPC-mPFC Circuit Dynamics**

566 Medical treatments and neural substrates for therapeutic approaches can be guided by the
567 study of brain oscillations. Oscillotherapeutics is an exciting area of therapy that uses
568 oscillations as biomarkers or therapeutic targets to treat disorders with brain network
569 dysfunction (Takeuchi and Berényi, 2020). Here, we discuss advancements in brain
570 stimulation, gene therapy, and pharmacotherapy, highlighting evidence for the use of
571 oscillotherapeutics to treat disorders with aberrant HPC-mPFC circuit dynamics.

572

573 ***Brain Stimulation***

574 An emerging application in brain stimulation therapy is the use of neuromodulation to restore
575 network abnormalities in cognitive disorders such as AD (Chan et al., 2021a). Methods include
576 non-invasive and invasive approaches that stimulate the brain at targeted sites to restore
577 balance of neural circuits via manipulation of oscillatory activity in local and network-wide
578 activity. In this section, we highlight Non-invasive Gamma Entrainment Using Sensory
579 Stimulation (GENUS) and deep brain stimulation (DBS) as promising approaches in disorders
580 with aberrant neural oscillations.

581 Since γ brain activity has well-established roles in cognition, γ entrainment therapy has been
582 explored for neurological disorders such as AD (Adaikkan and Tsai, 2020; Traikapi and
583 Konstantinou, 2021). Visual GENUS at 40 Hz entrained γ oscillatory activity in the HPC and
584 prefrontal cortices and enhanced inter-regional γ oscillatory activity in mouse models of
585 neurodegeneration (Adaikkan and Tsai, 2020; Adaikkan et al., 2019). Auditory and audiovisual
586 GENUS at 40 Hz further reduced amyloid load in the HPC and mPFC respectively, and
587 hippocampal-dependent recognition and spatial memory tasks were also improved by auditory
588 GENUS at 40 Hz in the neurodegeneration mouse model, 5XFAD mice (Martorell et al., 2019).
589 These findings demonstrate the potential for GENUS to ameliorate AD pathology and improve
590 cognitive function (Iaccarino et al., 2016).

591 Preliminary data from human studies highlights its potential application in treatment for AD.
592 Chan et al. (Chan et al., 2021b) conducted a randomized, placebo-controlled trial in
593 participants with mild AD dementia and found that one-hour daily treatment of audio-visual
594 GENUS at 40 Hz delivered over 3 months improved memory performance and reduced brain
595 atrophy in the active group. Fatemi et al. (2022) employed simultaneous auditory and visual
596 stimulation in cognitive healthy participants and found significantly enhanced θ - γ phase-
597 amplitude coupling (PAC). This corroborates evidence for GENUS as a potential treatment for
598 AD, as it may be able to correct abnormal oscillations across the HPC-mPFC circuitry and

599 restore cognitive functions ([Belluscio et al., 2012](#); [Chan et al., 2021b](#); [Fatemi et al., 2021](#);
600 [Lisman & Jensen, 2013](#); [Tort et al., 2009](#)).

601 The application of DBS to target HPC-mPFC circuit dynamics is hypothesized in its ability to
602 modulate oscillations in these regions (Cervera-Ferri et al., 2016; Muthuraman et al., 2020;
603 Zhu et al., 2019). DBS therapy is a neurosurgical intervention where electrical activity is
604 constantly or intermittently delivered to the brain through electrodes. The ability for DBS to
605 modulate oscillatory rhythms is actively explored in diseases with pathological brain circuitries
606 (Herrington et al., 2016; Lozano et al., 2019). DBS of the subthalamic nucleus (STN) and
607 globus pallidus interna (GPi) was shown to effectively reduce pathological β band activity (13-
608 30 Hz) in the corticothalamic-basal ganglia network responsible for hallmark Parkinsonian
609 rhythms (Müller and Robinson, 2018). Central thalamus-DBS (CT-DBS) increased
610 hippocampal θ oscillations and improved SWM in SD rats (Chang et al., 2019), and ventral
611 internal capsule/ventral striatum DBS therapy increased mPFC θ oscillations and improved
612 cognitive control in human subjects with MDD Obsessive Compulsive Disorder (Widge et al.,
613 2019). Recent work further demonstrated that acute DBS in the mPFC with 130 Hz improved
614 mPFC-vHPC θ and γ coupling in a rat model of developmental schizophrenia (Lippmann et
615 al., 2021).

616 Insight from DBS for epilepsy further implicates its beneficial impact in treating disorders with
617 pathological neural circuitries (Laxpati et al., 2014; Wu et al., 2021). Recent evidence found
618 that DBS in the medial septum entrained the hippocampal θ rhythm to facilitate anti-seizure
619 effects in patients with temporal lobe epilepsy (TLE), (Wang et al., 2021). In another large,
620 prospective double-blind study, HPC-DBS significantly reduced seizures in patients with
621 refractory TLE, and 50% of these patients became seizure-free 8 months post-surgery (Cukiert
622 et al., 2017). Given that prominent oscillations regulate communication between the HPC and
623 mPFC, the ability for DBS to entrain oscillations in the HPC may restore normal HPC-mPFC
624 oscillatory coupling disturbed in neurological disorders with global network dysfunction such
625 as epilepsy. With increasing evidence that IED-spindle coupling is associated with aberrant
626 hippocampal-cortical connectivity in epilepsy, future work using DBS to restore physiological
627 HPC ripple-mPFC spindles may improve cognitive deficits found in patients with epilepsy.
628 Further studies examining the ability for DBS to alter HPC-mPFC oscillations at different
629 frequencies will significantly contribute to advancing progress in using DBS to treat
630 neurological disorders with aberrant HPC-mPFC circuitry.

631

632 **Gene Therapy**

633 The use of gene therapy to modulate HPC-mPFC circuit dynamic is a relatively new area of
634 research. However, preliminary findings from clinical trials suggest that gene therapy can
635 target diseases like AD that have aberrant neural circuitries. There are over 40 ongoing clinical
636 trials in treatment for neurodegenerative diseases (Sun and Roy, 2021) and for example,
637 currently, much optimism surrounds the Phase 1 clinical trial of the AAV2-Brain Derived Nerve
638 Growth Factor (BDNF) gene therapy to treat AD or MCI (National Institute of Health (NIH),
639 NCT05040217). Since BDNF regulates key memory circuits involving the HPC and mPFC
640 (Rosas-Vidal et al., 2014), AAV2-BDNF gene therapy represents a promising therapeutic
641 approach to treating neurodegenerative diseases like AD by targeting the modulation of
642 synaptic signalling (Gao et al., 2022); National Institute of Health (NIH), NCT05040217). A
643 recent study further demonstrated that SynCav1 gene therapy may also be a promising
644 therapy for AD. First, the authors demonstrated that PSAPP AD model mice at 9 and 11
645 months of age exhibited deficits in caveolin-1 (Cav-1), a protein essential for synaptic and
646 neuroplasticity and associated learning and memory impairments (Wang et al., 2021). Then,

647 they found that delivery of SynCav1 to the HPC at 3 months using adeno-associated virus
648 serotype 9 (AAV9) improved memory and improved morphological changes including a
649 greater number of CA1 dendritic spines and dendritic arborization which support important
650 rhythms like θ in the HPC-mPFC circuit (Nuñez and Buño, 2021; Wang et al., 2021).
651 Interestingly, these effects were seen without the reduction of amyloid deposits and implicates
652 the role of this novel gene therapy for later stages of neurodegeneration where there may be
653 high levels of amyloid deposition (Wang et al., 2021).

654 The application of gene therapy for neural circuit disorders is further highlighted in its potential
655 to treat developmental disorders with heritable components (Mirzayi et al., 2022; Sahin and
656 Sur, 2015; Sternson and Bleakman, 2020). There is increasing evidence that gene therapy
657 technologies including chemogenetics (Sternson and Bleakman, 2020), optogenetics (Mirzayi
658 et al., 2022) and CRISPR-based gene editing (Heidenreich and Zhang, 2016) are viable tools
659 for dissecting and restoring neuronal circuits fundamental to developmental and neurological
660 diseases. In a recent study, adeno-associated viruses (AAV)-mediated expression of human
661 FMRP isoform 17 orthologs corrected abnormal γ activity and autism-related behaviours in
662 *Fmr1* KO rodents (Hooper et al., 2021), and AAV-FMRP-injected mice demonstrated the ability
663 to restore cellular expression in hippocampal and cortical neurons to 50% WT levels 56 days
664 after injection (Gholizadeh et al., 2014). These findings implicate the potential for gene therapy
665 to restore cellular changes (e.g. GABAergic deficits) and correct circuit imbalances (neuronal
666 hyperexcitability) associated with learning disabilities, sensory hypersensitivities, and social
667 deficits in FXS and other neurodevelopmental disorders (Bülow et al., 2022; Contractor et al.,
668 2015). As of now, the efficacy of gene therapy in restoring abnormal HPC-mPFC circuitry
669 remains unclear and clinical trials are warranted. Future work to improve gene delivery and
670 increase understanding of post-transcriptional regulation systems will further optimize gene
671 therapy to correct aberrant HPC-mPFC circuitry associated with developmental and
672 neurological disorders (Ingusci et al., 2019).

673

674 **Pharmacotherapy**

675 In pharmacotherapy for AD, there is an emerging paradigm shift from solely targeting
676 pathological hallmarks like amyloid plaques to modulating neural circuitries. Considerable
677 evidence demonstrates that critical oscillatory rhythms (θ and γ) supporting memory
678 processes are altered from early stages of AD (Başar et al., 2016; Grunwald et al., 2001;
679 Traikapi and Konstantinou, 2021). Several AD drugs have been shown to modulate these
680 rhythms (Isla et al., 2021). Notably, the AChE inhibitor donepezil was found to increase
681 stimulation-induced hippocampal θ oscillation power, enhance θ phase to γ amplitude
682 coupling, reduce cortical hyperexcitability and reduce occurrences of high-voltage spindle
683 activity in a transgenic AD mouse model (Stoiljkovic et al., 2018). In addition, current drugs
684 approved for the symptomatic treatment of dementia (rivastigmine, tacrine, galantamine and
685 memantine) have been shown to enhance cortical slow θ (4.5-6 Hz) and γ (30.5-50 Hz)
686 oscillations (Ahnaou et al., 2014; Drinkenburg et al., 2015). Recently, the histone deacetylase
687 inhibitor (HDAC) suberoylanilide hydroxamic acid (SAHA), was found to rescue impairment of
688 hippocampal γ (20-40 Hz) oscillations and restore activity of fast spiking interneurons in basal
689 and active states in a model of AD (PSAPP transgenic mice) (Takasu et al., 2021). These
690 findings implicate the ability for SAHA to modulate hippocampal γ oscillations through its effect
691 on fast-spiking PV+ GABA-containing interneurons (Bartos et al., 2007). Since PV+
692 interneurons mediate crucial HPC-mPFC interactions underlying memory consolidation
693 (ripple-spindle oscillatory coupling) (Xia et al., 2017), SAHA represents the crucial role of

694 pharmacotherapies in targeting HPC-mPFC circuit dynamics for treating cognitive
695 impairments in AD.

696 The potential for pharmacotherapies to modulate aberrant HPC-mPFC circuit dynamics is
697 further implicated in treatment for schizophrenia, a complex disorder associated with
698 significant abnormal neuronal synchrony and impairments in spatial and temporal integration
699 of brain network activity (Başar et al., 2016; Orellana and Slachevsky, 2013; Rame et al.,
700 2017; Uhlhaas and Singer, 2010). The “pharmaco-EEG” approach has been used in
701 schizophrenia therapy to study and predict clinical efficacy of drugs through EEG parameters
702 (Drinkenburg et al., 2015; Galderisi, 2002). Recently, Cariprazine (United States: Vraylar;
703 Europe: Reagila), a third-generation antipsychotic approved for the treatment of schizophrenia
704 (Stępnicki et al., 2018), demonstrated evidence for stabilizing the aberrant increase and
705 accelerating the resynchronization of hippocampal γ oscillations in a rat model of acute first-
706 episode schizophrenia (MK-801) (Meier et al., 2020). Clozapine have also shown efficacy in
707 restoring hippocampal-prefrontal cortical synaptic plasticity and augmenting long-term
708 potentiation in the HPC-mPFC pathway via dopaminergic modulation in animal models of
709 schizophrenia (Matsumoto et al., 2008; Rame et al., 2017; Ruggiero et al., 2021). The
710 development of effective pharmacotherapies that restore aberrant neural dynamics is a
711 growing and important area of research. Abnormal neural synchrony significantly contributes
712 to various pathologies, and further advancements in pharmacotherapies should consider
713 targeting neural circuitries in treatment, particularly in diseases with prominent aberrant HPC-
714 mPFC circuit dynamics like AD and schizophrenia to restore normal function (Canter et al.,
715 2016).

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734 **Table 2** Overview of neurodevelopmental and neurological disorders associated with
735 abnormal hippocampus-medial prefrontal cortex circuit dynamics. For a more thorough
736 discussion, refer to text. (AD=Alzheimer's Disease; dHPC=dorsal hippocampus;
737 DMN=default mode network; HPC=hippocampus; HPC-mPFC=hippocampal-medial
738 prefrontal cortex; human pluripotent stem cells=hPSCs; interictal epileptiform
739 discharges=IEDs; MCI=mild cognitive impairment; mPFC=medial prefrontal cortex;
740 PV+=parvalbumin-positive; SPW-Rs=sharp wave-ripples; vHPC=ventral hippocampus)
741

Category	Disorder	Species	Relevant Findings	Reference
Neurodevelopmental Disorders	Autism Spectrum Disorder	Rodents	Dendritic changes in the HPC and mPFC pyramidal neurons.	(Barón-Mendoza et al., 2018, 2019)
		Rodents	(1) Reduced θ synchronization between the vHPC and mPFC. (2) Loss of excitatory signalling from the vHPC to prefrontal GABAergic interneurons.	(Cunniff et al., 2020)
		Rodents	Hyperactivity of vHPC to mPFC projections impaired social memory.	(Phillips et al., 2019)
		Rodents	Altered mPFC GABAergic innervation from vHPC negatively impacted social behaviour.	(Sun et al., 2020)
		Rodents and Humans	Dysfunctional sensory oscillations at frequency ranges associated with long range (δ , θ , α , β) and short range (β , γ) connectivity.	(Simon and Wallace, 2016)
		Rodents and Humans	Impaired θ and α oscillatory activity associated with working memory deficits.	(Larrain-Valenzuela et al., 2017)
		Humans	Altered short- and long-range (hippocampal-frontal cortices) connectivity.	(Hull et al., 2017; Oldehinkel et al., 2019)

Fragile X Syndrome	Rodents and Humans	Altered GABAergic signalling due to dysfunctional vHPC-mPFC long-range GABAergic projections crucial for regulating social behaviour.	(Kramvis et al., 2020; Van der Aa and Kooy, 2020; Yang et al., 2021)
	Rodents	Oscillatory changes in the HPC that potentially disrupts HPC-mPFC circuitry: (1) Abnormally greater power of θ associated with increased slow γ . (2) Decreased spike-count correlations of interneurons hyper-synchronized with θ and slow γ .	(Arbab et al., 2018)
	Humans	Evidence suggesting impaired GABAergic HPC-mPFC signalling in FXS patients: (1) A 10% reduction in GABA _A receptor availability. (2) Reduced GABA binding potential throughout the brain.	(D'Hulst et al., 2015)
	Humans	Evidence suggesting impaired HPC-mPFC local and long-range GABA-dependent interactions: (1) Delayed maturation of GABAergic neurogenesis in hPSCs (2) Increased neuronal networks activity. (3) Increased proliferation of	(Zhang et al., 2022)

			neuroblast progenitors. (4) Downregulation of proteins associated with GABAergic neuronal maturation.	
Down Syndrome	Rodents	Altered HPC-mPFC neural dynamics: (1) θ frequency (2) HPC phase-amplitude coupling (3) modulation of HPC high γ (4) θ coherence	(Chang et al., 2020)	
	Rodents	Reduced HPC SPW-Rs coupling with cortical networks and impaired working memory.	(Alemany-González et al., 2020)	
	Rodents	Altered GABAergic signalling; loss of fast-spiking phenotypic PV+ cells and increased excitability.	(Zorrilla de San Martin et al., 2020)	
	Rodents and Humans	Abnormal coordination of θ oscillatory activity across the HPC and mPFC.	(Goodman et al., 2018; Wirt et al., 2021)	
	Humans	Widespread alterations in DMN connectivity and weakened DMN-frontal cortices connectivity	(Anderson et al., 2013; Wilson et al., 2019)	
	Humans	Reduced long-range hippocampal-prefrontal connectivity associated with cognitive decline in people with DS converting to AD.	(DiProspero et al., 2022)	

Neurological Disorders	Alzheimer's Disease	Rodents and Humans	Abnormal mPFC spindle-band coupling with HPC ripples.	(Maingret et al., 2016; Zhurakovskaya et al., 2019)
		Rodents	Inactivation of the dHPC and mPFC impaired object and spatial recognition memory consolidation.	(Tuscher et al., 2018)
		Rodents	Altered CA1 HPC-mPFC θ temporal synchronization.	(Tang et al., 2021)
		Rodents	HPC-mPFC hypersynchrony associated with cognitive impairments.	(Holmes, 2015)
		Humans	Reduced θ - γ coupling associated with working memory deficits in patients with MCI and AD.	(Abubaker et al., 2021; Goodman et al., 2018; Kitchigina, 2018)
	Epilepsy	Rodents	Increased coherence at θ band synchrony between the dHPC and mPFC in pre-ictal seizure periods.	(Broggini et al., 2016)
		Rodents and Humans	Altered hippocampal-cortical coupling: (1) Aberrant HPC IEDs induce mPFC spindles. (2) Degree of HPC IEDs-mPFC spindles coupling correlated with memory impairments.	(Gelinas et al., 2016; Mendes et al., 2021)
		Rodents and Humans	Increased HPC-mPFC θ asynchrony and atypical γ oscillations associated with cognitive impairments.	(Bowie and Harvey, 2006; Chang et al., 2019; Choi et al., 2016; Skirzewski et al., 2018)

743 **Conclusion**

744 Considerable evidence from neuroanatomical and physiological studies demonstrates that the
745 HPC and mPFC are anatomically and functionally intertwined. The HPC-mPFC circuit includes
746 direct and indirect pathways that have well-established roles in supporting cognitive, emotional
747 and sensory processes. For example, critical HPC-mPFC oscillatory rhythms facilitate
748 episodic memory and spatial memory, persistent HPC-mPFC interactions promote long-term
749 memory through context-based differentiation, and emotional processes are closely
750 associated with oscillatory coupling of the HPC and BLA receiving direct projections from the
751 mPFC. In this review, we have highlighted several neurodevelopmental (ASD, DS, FXS) and
752 neurological disorders (AD, epilepsy) with altered HPC-mPFC circuit dynamics. Since
753 oscillations across the HPC-mPFC circuit are crucial for supporting cognitive and behavioural
754 functions, oscillotherapeutics that modulate pathological brain rhythms in neurodevelopmental
755 and neurological disorders should be thoroughly explored (Földi et al., 2021; Widge et al.,
756 2019; Traikapi and Konstantinou, 2021; Takeuchi and Berényi, 2020). However, the current
757 body of research on oscillotherapeutics for abnormal HPC-mPFC circuitry is limited by the
758 use of singular modalities (Liang and Mody, 2022). Since EEG and MEG presents with spatial
759 resolution limitations, it makes it difficult to pinpoint sources of abnormal neural circuitry.
760 Future research should employ multimodal imaging, combining EEG, MEG, and fMRI to better
761 integrate spatial and temporal information of aberrant circuitries underlying disorders such as
762 AD with cognitive and behavioural deficits. Furthermore, disorders such as ASD with
763 heterogeneous pathophysiology makes it difficult to assess the extent by which aberrant
764 oscillations contribute to cognitive/behavioural deficits. This can be improved by disease
765 stratification (genetics and behavioural) and breaking down heterogenous disorders into
766 smaller parts, making it easier to investigate oscillatory dynamics associated with specific
767 phenotypes. In conclusion, oscillatory dynamics across the HPC-mPFC circuit could be useful
768 biomarkers for assessing interventions in neurodevelopmental and neurological disorders,
769 and advancements in brain stimulation, gene therapy and pharmacotherapy will accelerate
770 effective treatments for various disorders with aberrant HPC-mPFC circuitry. For a graphical
771 summary, see **Figure 2**.

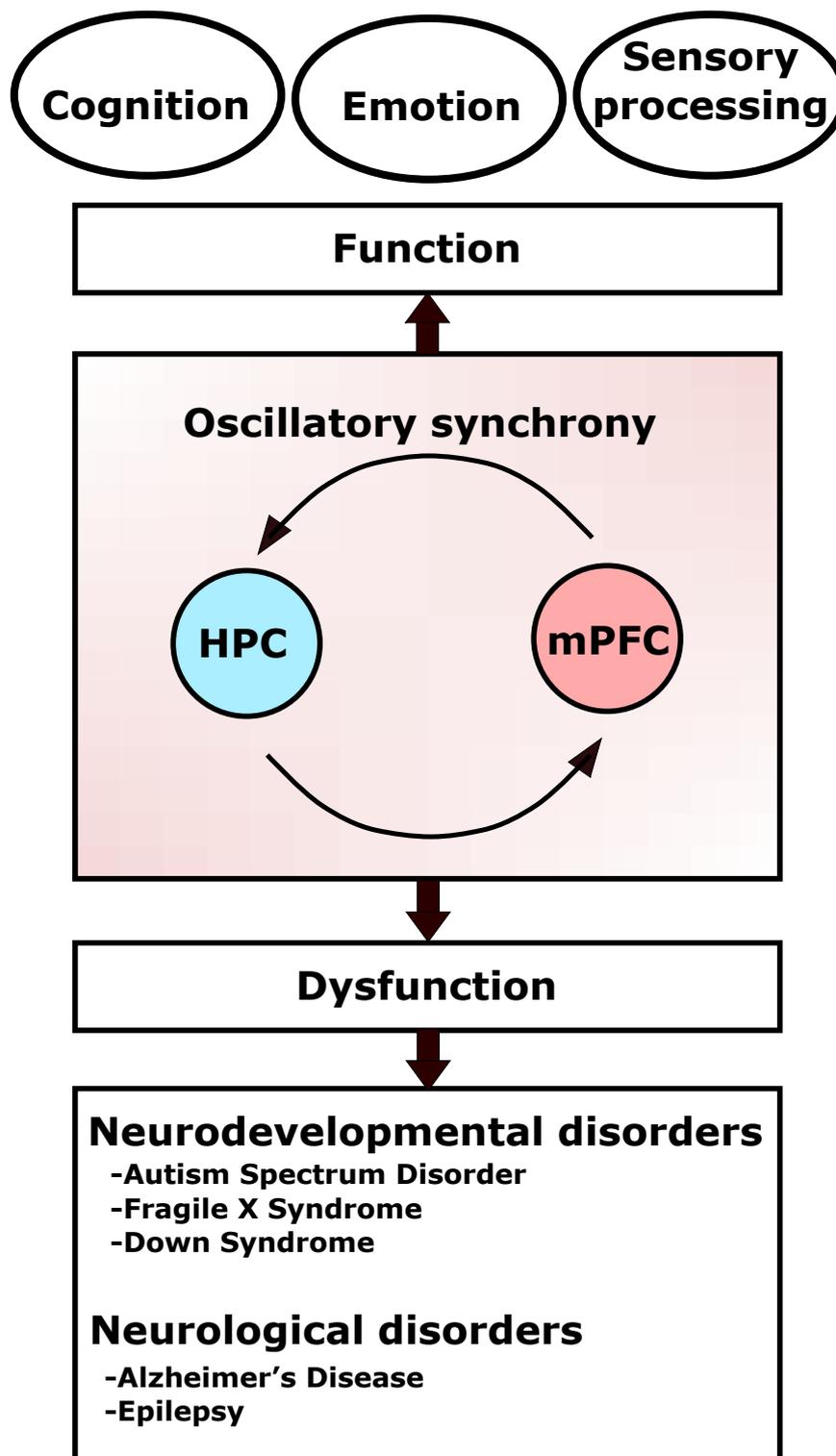


Figure 2 Graphical Summary. Oscillatory synchrony across the hippocampal-medial prefrontal cortex (HPC-mPFC) network facilitates normal brain function including cognition, emotion, and sensory processing. Aberrant oscillatory synchrony across the HPC-mPFC network contributes to brain dysfunction and facilitates a variety of neurodevelopmental and neurological disorders.

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779 **References**

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