

1 **Cognitive Rehabilitation and Aerobic Exercise for cognitive impairment in people with**  
2 **Progressive Multiple Sclerosis (CogEx): A Multi-Arm, Randomized, Blinded, Sham-**  
3 **Controlled Trial**

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81 **Summary**

82 Background: Cognitive dysfunction in people with relapsing-remitting MS can improve with  
83 cognitive rehabilitation or exercise. Similar effects have not been clearly shown in people with  
84 progressive MS. We aimed to investigate whether cognitive rehabilitation plus exercise would be  
85 more beneficial for processing speed than cognitive rehabilitation plus sham exercise, exercise  
86 plus sham cognitive rehabilitation, and sham exercise plus sham cognitive rehabilitation.

87  
88 Methods: CogEx was a multi-arm, randomized, blinded, sham-controlled trial completed in 11  
89 centres (hospital clinics, university/ rehabilitation centres) in Canada, USA, UK, Italy, Belgium,  
90 and Denmark. Participants were between 26 to 65 years of age with a median EDSS of 6. All had  
91 impaired processing speed defined as a performance of  $\geq 1.282$  SD below normative data on the  
92 Symbol Digit modalities Tests (SDMT). failure of the SDMT Participants were randomized  
93 (1:1:1:1) using an interactive web-response system accessed online from each centre. The study  
94 statistician created the randomisation sequence, which was stratified by cent. Participants,  
95 outcome assessors, and investigators were blinded to group membership. The study statistician  
96 was masked to treatment during analysis only. Interventions were conducted twice weekly for 12  
97 weeks: cognitive rehabilitation utilized an individualized RehaCom program, a computer based  
98 incremental approach to improve processing speed.; sham cognitive rehabilitation consisted of  
99 internet training provided individually, onsite by Research Assistants; the exercise intervention  
100 involved individualized aerobic training using a recumbent arm-leg stepper; and the sham  
101 exercise involved stretching and balance tasks without inducing cardiovascular strain. The  
102 primary outcome measure was processing speed measured by Symbol Digit Modalities Test  
103 (SDMT) at 12 weeks; least squares mean differences were compared between groups using  
104 linear mixed model in all participants who had a 12-week assessment. The trial is registered with  
105 ClinicalTrials.gov (NCT03679468) and is completed.

106  
107 Findings: Between December 14, 2018 and April 2, 2022, 311 people with progressive MS were  
108 enrolled and 284 (91%) completed the 12 week assessment (39% male, 61% female). Least  
109 squares mean [95%CI] group differences in SDMT at 12-weeks compared with the sham  
110 cognitive rehabilitation and sham exercise group (n=67): cognitive rehabilitation plus exercise  
111 (n=70), -1.3 [-3.75, 1.16]; sham cognitive rehabilitation plus exercise (n=71), -2.8 [-5.23,-  
112 0.33]; and cognitive rehabilitation plus sham exercise (n=76), - 0.7 [-3.11, 1.70]. Eleven adverse  
113 events possibly related to the interventions occurred, six in the exercise plus sham cognitive  
114 rehabilitation group (pain, dizziness falls), two in the cognitive rehabilitation plus sham exercise  
115 group (headache, pain), two in the cognitive rehabilitation and exercise group (increased fatigue,  
116 pain) and one in the dual sham group (fall).

117  
118 Interpretation: Combined cognitive rehabilitation plus exercise is not more effective than either  
119 intervention alone in improving processing speed in people with progressive MS.

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161 **Research in context**

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163 **Evidence before the study**

164 Cognitive dysfunction affects up to 80% of people with progressive MS and can have profound  
165 effects on maintaining employment, sustaining relationships and completing basic activities of  
166 daily living. The most common cognitive deficit is slowed processing speed. A National Library  
167 of Medicine database search spanning January 1, 1990 – December 31, 2017 with keywords  
168 multiple sclerosis, cognitive rehabilitation, exercise and cognition, exercise and cognitive  
169 rehabilitation was completed and the findings critically reviewed by the CogEx investigators in  
170 preparing the study protocol. The findings revealed that treating impaired cognition in people  
171 with MS has proved challenging with most studies heavily weighted towards people with  
172 relapsing-remitting disease (RRMS). Cognitive benefits in RRMS have been reported with  
173 cognitive rehabilitation using a miscellany of interventions, including computerised programs  
174 such as RehaCom. The findings with respect to exercise for cognitive deficits in people with  
175 relapsing-remitting multiple sclerosis are equivocal. The very few interventional studies for  
176 processing speed deficits utilizing cognitive rehabilitation or exercise that have focused on  
177 progressive MS have significant methodological problems such as cognition as a secondary  
178 outcome and small sample size. It is therefore not known whether cognition and processing  
179 speed in particular in progressive MS can improve in response to cognitive rehabilitation,  
180 exercise, or a combination of the two interventions.

181

182 **Added value of this study**

183 Our study (CogEx) focuses exclusively on people with progressive MS. In doing so it addresses  
184 one of the top research priorities of the Progressive MS Alliance, a global collaboration of 19  
185 MS organisations, that has highlighted the dearth of adequate treatment data for cognitively  
186 impaired people with progressive MS. CogEx overcomes many of the methodological limitations  
187 that hinder interpreting the few available studies in the area, for example by assessing cognition  
188 (processing speed deficits) as the primary outcome measure, enrolling only people who had  
189 impaired processing speed, including a large enough sample size (n=311) to ensure adequate  
190 statistical power, being a multinational study, with the potential to demonstrate the wide  
191 applicability of our conclusions; using a four-arm approach, and including a 6-month post  
192 intervention assessment to determine whether the benefits of interventions endure.

193

194 **Implications of the available evidence**

195 In CogEx, cognitive rehabilitation in combination with aerobic exercise offered no additional  
196 benefits in processing speed over either intervention alone in people with progressive MS A post-  
197 hoc analysis revealed that approximately two thirds of our participants showed a clinically  
198 significant improvement in processing speed after 12 weeks of therapy compared with baseline,  
199 with this percentage remaining at almost 50% by six months post interventions. While these  
200 improvements, seen across all four treatment arms, suggest that cognitive rehabilitation and

201 exercise alone might be effective in addressing processing speed deficits, confirmation is needed  
202 by comparing results to a non-intervention group. The potential benefits of enhancing cognitive  
203 reserve through intellectual, physical, and social activities might also play a role. While CogEx  
204 did not demonstrate the superiority of combined cognitive rehabilitation and exercise, our findings  
205 suggest that improvements in processing speed might be attainable in people with progressive MS.  
206

207

## 208 **Introduction**

209 Cognitive dysfunction affects 40-80% of people with multiple sclerosis (MS) with the highest  
210 rates in people with primary and secondary progressive MS. It is associated with widespread  
211 functional limitations.<sup>1</sup>  
212

213

213 The most common cognitive difficulty across all disease types is slower information processing  
214 speed, which occurs in around half of all people with MS. Other common deficits are in learning  
215 and memory, executive function and visual-spatial abilities.<sup>2</sup> Treating these deficits has proved  
216 challenging, with most existing studies heavily weighted towards people with relapsing-remitting  
217 MS irrespective of treatment modality.<sup>3</sup> Cognitive benefits have been reported with cognitive  
218 rehabilitation using various interventions, including computerised programs such as RehaCom.<sup>4</sup>  
219 In other clinical populations e.g. mild cognitive impairment,<sup>5</sup> exercise has shown short-term  
220 cognitive benefits, although findings in MS are less clear.<sup>6</sup>  
221

222

222 Few interventional studies have evaluated the cognitive benefits of cognitive rehabilitation,<sup>7</sup>  
223 exercise,<sup>8</sup> and disease modifying treatment<sup>9</sup> in people with progressive MS, and they have  
224 methodological problems, including small sample sizes, single-centre involvement, inclusion of  
225 participants without cognitive impairment, the absence of additional longitudinal assessment  
226 after interventions have completed, and cognition being a secondary outcome rather than primary  
227 measure. Furthermore, only one previous study, included people with RRMS and to progressive  
228 MS, explored the putative synergistic effects of cognitive rehabilitation and aerobic exercise on  
229 cognition. In this pilot study with a small sample size, greater cognitive benefits were reported in  
230 the combined intervention compared with aerobic exercise alone.<sup>10</sup>  
231

232

232 The dearth of adequate treatment data for cognitively impaired people with progressive MS has  
233 been identified by the Progressive MS Alliance, a global collaboration of 19 MS organisations,  
234 as one of their top research priorities.<sup>11</sup> Whether cognitive dysfunction can improve in the more  
235 advanced stages of a degenerative condition like progressive MS is unknown, and it is also  
236 unclear what are the best putative treatment modalities with which to try to answer this question.  
237 To that end, an international group of interdisciplinary researchers came together with the aim of  
238 determining whether cognitive rehabilitation and exercise are efficacious treatments for cognitive  
239 deficits in people with progressive MS, and to assess whether cognitive rehabilitation and  
240 exercise in combination have synergistic effects in the treatment of these deficits.

241 **Method**

242 *Study design*

243 The methodology of our multi-arm, randomized, rater-blinded, sham-controlled trial (CogEx,  
244 NCT03679468) has been described previously.<sup>12</sup> Participants were screened for eligibility,  
245 followed by an in-person baseline examination, and then randomization (1:1:1:1) into one of four  
246 treatment arms: cognitive rehabilitation plus exercise, cognitive rehabilitation plus sham  
247 exercise, exercise plus sham cognitive rehabilitation, and sham cognitive rehabilitation plus  
248 sham exercise. Following randomization, participants attended 12 weeks of their assigned  
249 intervention. Assessments were conducted immediately following the 12-week intervention  
250 (primary endpoint) and at 6 months post-intervention. A multidisciplinary team (with expertise  
251 in neurology, neuropsychology, neuropsychiatry, neurophysiotherapy, kinesiology, physiatry,  
252 exercise physiology, and statistics) from 11 hospital clinics and university and rehabilitation  
253 centres in six countries (Canada, USA, Italy, England, Denmark, Belgium) completed the  
254 assessments.. Ethics approval was obtained at each of the 11 study centres.

255  
256 *Participants*

257 Key eligibility criteria were a neurologist-confirmed diagnosis of primary or secondary MS, ages  
258 25-65 years, an EDSS < 7.0 and failure on a test of processing speed, the Symbol Digit  
259 Modalities Test (SDMT), defined as a score of  $\geq 1.282$  SD below published normative data (10<sup>th</sup>  
260 percentile) specific for each country taking part. The full list of eligibility criteria appear in the  
261 supplementary file, see page 1. Written informed consent was obtained from participants at  
262 enrollment.

263  
264 *Randomization and masking*

265 The 1:1:1:1 randomization utilized a computerized random number generator created using SAS  
266 v9.4 (SAS Institute, Cary, NC) statistical software and was prepared by the study statistician  
267 (AS), who had no contact with participants. Randomization parameters consisted of a block  
268 design stratified by site with block sizes of 8. Each site had at least one blinded and unblinded  
269 research assistant. A blinded research assistant conducted the baseline and follow-up evaluations  
270 and a different, unblinded research assistant randomized the participant and did the intervention  
271 sessions. Participants were blinded to assigned interventions.

272  
273 *Procedures*

274 Cognitive rehabilitation was provided by the computerized RehaCom program (Hasomed,  
275 Germany: [www.hasomed.de](http://www.hasomed.de)), which was available in all the study's languages.. To assess  
276 processing speed, we administered five RehaCom modules that appear under "divided attention 1  
277 & 2", "attention and concentration," "vigilance 2," and "sustained attention." Details of the  
278 cognitive rehabilitation intervention can be found in the supplementary file, see page 2.

279

280 Sham cognitive rehabilitation consisted of internet training, based closely on the internet control  
281 group in a previous computer-mediated cognitive rehabilitation study.<sup>13</sup> Each session was  
282 designed match the cognitive rehabilitation group on the time spent in contact with study  
283 personal and using a computer. These training procedures have been shown not to impact  
284 processing speed in a normal aging sample with an age range of 62 to 94 years.<sup>13</sup> See  
285 Supplementary file page 2.

286  
287 The exercise intervention involved an aerobic mode of training performed on a recumbent arm-  
288 leg stepper (NuStep T5XR, Ann Arbor, MI, USA). The intervention consisted of two sessions  
289 each week, one involving continuous exercise, and the other high-intensity interval training  
290 (HIIT). The continuous session progressed from 10 minutes of exercise at a work rate associated  
291 with 50-60% of VO<sub>2</sub>peak in week one towards 30 minutes of exercise at a work rate associated  
292 with 70-80% of VO<sub>2</sub>peak in week 12. The HIIT session progressed from 5, 1-minute intervals at  
293 a work rate associated with 80-90% VO<sub>2</sub>peak, with 1 minute rest between intervals in week one  
294 towards 10, 2-minute intervals at a work rate associated with 90% of VO<sub>2</sub>peak, with 2 minutes  
295 rest between intervals, in week 12. This ensured variation in the training stimulus and its  
296 parameters between the two weekly sessions for minimizing boredom as well as providing a  
297 greater volume of high intensity exercise during HIIT than would be possible if continuous  
298 training only was performed. The HIIT further allowed for a stronger stimulus that approached  
299 VO<sub>2</sub> peak for yielding adaptations over the 12-week period. The full exercise protocol is found in  
300 the supplementary file, see pages 3 to 4.

301  
302 The sham exercise intervention was adapted from Barrett et al.<sup>14</sup> It was designed so that there  
303 was no strain on the cardiovascular system and focused on balance and stretching. It  
304 intentionally did not contain cognitive-motor dual tasking (to avoid potentially providing  
305 cognitive training) or complex exercises requiring substantial working memory or vigilance. We  
306 minimised progression of the exercises, so that there was a restriction on the number of  
307 repetitions that could be increased per session. We needed to ensure that exercises were kept at a  
308 low heart rate. Therefore, if heart rate increased by greater than 40% at the end of each exercise,  
309 participants were asked to rest until it lowered to within 20% of resting heart rate. We also  
310 constantly monitored perceived exertion throughout the sham intervention, ensuring that the  
311 person only worked at a light level. The duration matched the exercise sessions. See the  
312 supplementary file pages 5 to 6.

313  
314 All participants had the cognitive rehabilitation, exercise, and sham treatments in a set order  
315 twice weekly, onsite under individual supervision for 12 weeks. There was at least one day rest  
316 between sessions.

317  
318 *Outcomes*



319 There were three data points: baseline, 12 weeks and six months post interventions. The primary  
320 outcome measure was the 12-week SDMT oral version with the number of correct responses  
321 compared between the four groups. Additionally, prespecified sensitivity analyses for the  
322 primary outcome included adjusting for site, using z-scores based on the country-specific norms,  
323 and dichotomizing change in the SDMT according to improvement of  $\geq 4$  points, which is  
324 considered clinically relevant for group data, and 8 points, which is considered clinically relevant  
325 for individual data.<sup>15,16</sup> Serial versions of the SDMT were used.

326

327 The numerous secondary endpoints are summarized in the supplementary file page 7 and are  
328 divided as follows:

329

- 330 1. Cognition: Verbal and visual memory measured by the California Verbal Learning Test-  
331 II (CVLT) and the Brief Visuospatial Memory Test (BVRT-R). All tests were available  
332 in the languages represented within our study sample: English, Italian, French, Dutch,  
333 and Danish. Serial versions of tests were used.
- 334 2. Physical: The IET (synonymous with CPET (cardiopulmonary exercise test) generates  
335 V02peak, heart rate (HR) and peak watts), 6 minute walk test (6MWT), and  
336 accelerometer (synonymous with actigraph) data. We also measured cognitive-motor  
337 interference (CMI) with the dual task cost (DTC).
- 338 3. Neurobehavioral measures: A number of patient reported outcome measures were  
339 completed for anxiety and depression (Hospital Anxiety and Depression Scale), fatigue  
340 (Modified Fatigue Impact Scale (MFIS), quality of life (EQ-5D-5L), subjective cognitive  
341 deficits (Perceived Deficits Questionnaire-20), subjective impact of walking (Multiple  
342 Sclerosis Walking Scale (MSWS-12), Impact of Multiple Sclerosis (Multiple Sclerosis  
343 Impact Scale (MSIS-29-V2) and the Assessment of Global Function (Functional  
344 Assessment of MS(FAMS)).
- 345 4. Magnetic Resonance Imaging (the structural and functional MRI data are still to be  
346 analyzed and will be reported later).

347

348 Adverse events were recorded at each intervention session using a standardized list of potential  
349 adverse events derived by consensus amongst the investigators when designing the study. A data  
350 and safety monitoring board comprising three individuals not affiliated with CogEx (two  
351 physicians, one statistician) met every six months to monitor the occurrence of adverse events.

352

353 Protocol deviations were recorded throughout the study. They were classified into the following  
354 types: consent procedures, eligibility criteria, study procedures, adverse device effects, visit  
355 schedule, and other.

356

357 The first COVID lockdown from February to September 2020 interrupted recruitment and the  
358 interventions in 36 participants for an average of 82.9 (24.3) days. When it came to restarting the

359 interventions, a consensus agreement amongst the principal investigators was for participants to  
360 resume two sessions back from where they had left off. If these two sessions did not return  
361 participants to the cognitive and physical metrics achieved prior to interruption, additional  
362 sessions were provided to reach that point. Sensitivity analyses were pre-planned and excluding  
363 these 36 participants showed results consistent with the primary analyses.

364

### 365 *Statistical analysis*

366 We estimated our sample size using a one-factor analysis of variance approach with a Type I  
367 error set at 5%. We computed the sample size necessary to achieve 80% power for such a design  
368 to identify conservative changes among the four groups. For simplicity we used 4 points on the  
369 SDMT for the combined treatments (cognitive rehabilitation and exercise), to demonstrate a  
370 clinically meaningful difference on average and that the two interventions are additive.

371 Additionally, we assumed a change of 2 points for each of the single intervention groups  
372 (cognitive rehabilitation plus sham exercise and exercise plus sham cognitive rehabilitation plus)  
373 and 0 for the double sham group. The sample size required to detect these differences (4,2,2,0)  
374 with 80% power was 90 participants per intervention group assuming an 8 point standard  
375 deviation of the change and the overall Type I error of 0.05. See protocol paper for more detail.<sup>12</sup>

376

377 Descriptive statistics were used to summarize the demographic and clinical characteristics  
378 among the four intervention groups. Means (standard deviation [SD]) and median (interquartile  
379 range [IQR]) were used for continuous variables and frequency (percentage) were used for  
380 categorical variables. The analysis population includes participants with an outcome measure at  
381 12 weeks or 6 months. According to intention-to-treat principles, participants were included in  
382 the analysis according to their randomized treatment allocation. Statistical analyses were  
383 conducted in SAS v9.4 (Cary, NC).

384

385 Differences in SDMT number correct at 12-weeks (primary outcome) and 6-months between the  
386 interventions were evaluated using a linear mixed model to include all possible data in analyses.  
387 The model included SDMT number correct as the outcome and independent variables included  
388 the baseline SDMT number correct, randomized intervention group assigned (4 levels), time (12-  
389 weeks, 6-months) and an intervention by time interaction. Pairwise contrasts to evaluate  
390 hypotheses were conducted if the overall test for interventions achieved statistical significance.  
391 Pairwise comparisons evaluated absolute differences in least squares means and Dunnett's test  
392 was used to preserve the Type I error rate (control=double sham). Model assumptions were  
393 verified visually using residual plots and other regression diagnostics. The absolute difference in  
394 least squares mean at 12-weeks and 6-months and their standard errors (SE) for the intervention  
395 comparisons are reported. The significance level was set at 0.05. Secondary outcomes were  
396 analyzed similarly. However, as the primary outcome did not reach statistical significance, the  
397 secondary outcomes report all pairwise comparisons as post-hoc comparisons with no multiple  
398 comparison correction (Dunnett's) as indicated in the protocol.

399 Sensitivity analyses were performed using the same model described above including site as a  
400 covariate, using SDMT z-scores (based on the country-specific regression-based normative  
401 values) and logistic regression for the dichotomous change threshold models to evaluate  
402 differences between the interventions controlling for site. Additionally, a factorial design  
403 analysis was conducted as a sensitivity analysis where the outcome for each main effect,  
404 cognitive rehabilitation and exercise, was compared in all participants who received cognitive  
405 rehabilitation (n=156) vs sham cognitive rehabilitation (n=155) regardless of the exercise  
406 assigned and in all participants receiving the exercise intervention EX (n=157) vs sham exercise  
407 (n=154) regardless of the cognitive rehabilitation assigned. The interaction between the main  
408 effects was tested and if non-significant, the main effects were evaluated using the similar  
409 ANCOVA model described above. Multiple imputation analyses were not conducted given the  
410 primary analyses results.

411

#### 412 *Role of the Funding Source*

413 The study was funded by the MS Society of Canada with ancillary support from the Consortium  
414 of MS Centres, Danish MS Society and US National MS Society. The funders had no role in  
415 design of the study, data collection, data analysis, data interpretation, writing of the manuscript  
416 and decision to submit.

417

#### 418 **Results**

419 Between December 14, 2018 and April 2, 2022, 698 people with progressive MS were screened  
420 in-person, of whom 311 met the inclusion criteria (figure 1). The trial closed recruitment at 86%  
421 of its pre-planned sample size due to COVID-19-related enforced delays and closures at all the  
422 study centres. CogEx was meant to run for four years, but the pandemic-related site closures  
423 meant we had to extend it for another year to try and reach the predetermined sample size. This  
424 extension was approved by the study's main funder without any additional budget. At the end of  
425 the one year extension, the budget was exhausted and the study closed. The sample breakdown  
426 according to countries was as follows: Canada (45), USA (25), Italy (154), United Kingdom  
427 (48), Denmark (19), Belgium (20). Of the 311 randomized participants, 77 were randomly  
428 assigned to cognitive rehabilitation plus exercise, 79 to cognitive rehabilitation plus sham  
429 exercise, 80 to exercise plus sham cognitive rehabilitation, and 75 to both sham interventions.  
430 Five participants did not begin the intervention and 22 withdrew from the study during the 12  
431 weeks of interventions (cognitive rehabilitation plus exercise, n=6; cognitive rehabilitation plus  
432 sham exercise, n=3; exercise plus sham cognitive rehabilitation, n=7; both sham interventions,  
433 n=6). A further 26 participants were lost by six months (CR+EX, n=5; CR+EX-S, n=8; CR-  
434 S+EX, n=6; CR-S and EX-S, n=7). Data for this analysis included the intent-to-treat population  
435 collected between December 14, 2018 and February 3, 2023.

436

437 The demographic and disease-related characteristics in the four groups are provided in Table 1.  
438 The mean (SD) baseline SDMT z-score was -2.1 (0.75).

439 Participants reaching the end of interventions had an average attendance of 91% to 93% for the  
440 cognitive rehabilitation and sham cognitive rehabilitation sessions and 88% to 91% for the  
441 exercise and sham exercise sessions, see supplementary file page 8. For cognitive rehabilitation,  
442 the mean duration of the sessions was 41.4 to 42.0 minutes for all groups, see supplementary file  
443 page 8. For the exercise plus sham cognitive rehabilitation and exercise plus cognitive  
444 rehabilitation groups, 92% and 89% of HIIT sessions and 85% and 83% of continuous sessions  
445 were completed, respectively. Actual work rate during both the continuous and HIIT sessions  
446 corresponded well with the target work rate, see supplementary figures, pages 9 and 10.

447  
448 There were a total of 76 protocol deviations (defined as an event that varied from the study  
449 protocol) reported with 1 (1%) for consent procedures, 2 (3%) related to eligibility criteria, 52  
450 (68%) study procedures, 3 (4%) adverse device effect, 12 (16%) visit schedule/interval, and 6  
451 (8%) other. The exercise plus sham cognitive rehabilitation group had the highest number of  
452 protocol deviations 25 (33%), the cognitive rehabilitation and sham exercise group had 21  
453 (28%), the cognitive rehabilitation plus exercise had 19 (25%), and the group with both sham  
454 interventions had 11 (15%).

455  
456 The mean differences in the number correct on the SDMT were not different between the four  
457 groups at 12-weeks (primary outcome,  $p=0.85$ ; Table 2). The absolute differences in the least  
458 squares mean [95%CI] for the SDMT at 12-weeks compared with the sham cognitive  
459 rehabilitation and sham exercise group ( $n=67$ ) were: cognitive rehabilitation and exercise group  
460 ( $n=70$ )  $-1.3$  [ $-3.75, 1.16$ ]; exercise plus sham cognitive rehabilitation group ( $n=71$ )  $-2.8$  [ $-5.23, -$   
461  $0.33$ ]; cognitive rehabilitation and sham exercise group ( $n=76$ )  $-0.7$  [ $-3.11, 1.70$ ]. Sensitivity  
462 analysis demonstrated similar results when adjusting for site and using SDMT z-scores. The  
463 absolute differences in the least squares mean [95%CI] for the SDMT at 6-months between  
464 groups compared with the sham cognitive rehabilitation and sham exercise group ( $n=60$ ) were:  
465 cognitive rehabilitation and sham exercise group ( $n=65$ )  $-0.8$  [ $-3.38, 1.76$ ]; compared exercise  
466 and sham cognitive rehabilitation group ( $n=65$ )  $-1.8$  [ $-4.40, 0.75$ ]; versus cognitive rehabilitation  
467 and sham exercise group ( $n=68$ ):  $-1.2$  [ $-3.76, 1.33$ ]).

468  
469 The sensitivity factorial analysis comparing the cognitive rehabilitation and sham cognitive  
470 rehabilitation groups revealed no differences in SDMT number correct at 12-weeks ( $-0.37$  [ $0.86$ ];  
471  $p=0.66$ ) and 6-months ( $0.15$  [ $0.90$ ];  $p=0.87$ ) and no differences between the exercise and sham  
472 exercise groups (12-weeks:  $1.48$  [ $0.86$ ],  $p=0.09$ ; 6-months:  $0.51$  [ $0.90$ ],  $p=0.57$ ). In a post-hoc  
473 analysis, of the 284 participants with both baseline and 12-week SDMT scores, overall 171 (60%)  
474 individuals demonstrated SDMT improvements  $\geq 4$  points and 106 (37%) individuals demonstrated  
475 improvement  $\geq 8$ -points compared to baseline. For the 6-month SDMT data, 119 (46%)  
476 participants showed a  $\geq 4$  points improvement and 68 (26%) participants a  $\geq 8$ -points improvement.  
477 In further post-hoc analysis, among the 119 individuals with a greater than 4-point SDMT  
478 improvement at 6-months, 100 met the same threshold at 12-weeks. The remaining 19 people

479 showed a delayed improvement. Of the 68 individuals with a greater than 8-point improvement at  
480 6-months, 52 met that threshold at 12-weeks and 16 had a delayed response.

481

482 There were no between-group differences in the CVLT-II and BVMT-R (Table 2).

483

484 Overall, there were some differences between groups among physical measures for the peak heart  
485 rate and watts (Table 2). At 12 weeks, the cognitive rehabilitation plus exercise group had a higher  
486 peak heart rate compared to the cognitive rehabilitation plus sham exercise group (mean difference  
487 [SE]: 4.7[2.3],  $p=0.038$ ). the exercise plus sham cognitive rehabilitation group had a higher peak  
488 heart rate compared to the sham cognitive rehabilitation plus sham exercise group (mean difference  
489 [SE]: 7.0 [2.3],  $p=0.003$ ) and the cognitive rehabilitation plus and sham exercise group (8.0 [2.2],  
490  $p=0.0004$ ). These differences were lost by 6 months. A sensitivity analysis showed a higher peak  
491 heart rate in the exercise versus sham exercise groups: -5.8 [1.2],  $p=0.0004$  which attenuated by 6  
492 months (0.7 [1.8],  $p=0.71$ ). At 12 weeks the cognitive rehabilitation plus exercise group had a  
493 higher peak watts during the IET compared to the sham cognitive rehabilitation plus sham exercise  
494 group (mean difference [SE]: 14.2[3.2],  $p=0.0001$ ) and cognitive rehabilitation and sham exercise  
495 group (12.7 [3.1],  $p=0.0001$ ). The CR-S+EX group had a higher peak watts compared to CR-  
496 S+EX-S (15.1[3.1],  $p=0.0001$ ) and CR+EX-S (13.6[3.1],  $p = 0.0001$ ). A sensitivity analysis  
497 showed higher peak watts in the EX versus EX-S groups at 12-weeks (-13.9[2.2],  $p=0.0001$ ) and  
498 6-months (-4.7[2.5],  $p=0.0525$ ). There were no group differences in the 6MWT, CMI and  
499 accelerometer results at 12-weeks and 6 months (Table 2).

500

501 A post-hoc analysis of the physical measures related specifically to the exercise intervention was  
502 undertaken to examine differences between groups. At 12-weeks, the cognitive rehabilitation plus  
503 exercise group had higher  $VO_2$ -peak improvement compared to the cognitive rehabilitation plus  
504 sham exercise group (mean difference [SE]: 1.84 [0.67],  $p=0.007$ ) and the sham cognitive  
505 rehabilitation plus sham exercise group (1.67 [0.70],  $p=0.02$ ) which was lost by 6-months. A  
506 sensitivity analysis using a factorial design showed a mean improvement [SE] of 1.48 [0.49]  
507 ml/kg/min ( $p=0.003$ ) for the exercise compared to the sham exercise groups which was attenuated  
508 at 6-months (-0.73 [0.55],  $p=0.19$ ). For the heart rate in the exercise and sham exercise groups  
509 recorded over 12 weeks, see supplementary figures, pages 11 to 13

510

511 The 12-week and 6 month data for the HADS-D, HADS-A, and MFIS revealed no between-  
512 group differences. At 12-weeks, participants in the cognitive rehabilitation plus exercise group  
513 had worse scores on the physical and mental subscales of the MSIS-29 compared to some of the  
514 other groups as follows: For the physical subscale, the cognitive rehabilitation plus exercise  
515 group was 7.9 [2.6] points higher than the exercise plus sham cognitive rehabilitation group  
516 ( $p=0.003$ ) and 5.2 [2.6] points higher than the cognitive rehabilitation plus sham exercise group  
517 ( $p=0.04$ ) groups. For the mental subscale, the cognitive rehabilitation plus exercise group was  
518 7.5 [2.8] points higher than the exercise plus sham cognitive rehabilitation group ( $p=0.009$ ), and

519 7.5 [2.9] points higher than the sham cognitive rehabilitation plus sham exercise group  
520 (p=0.009) groups. These differences were lost at 6-months.

521  
522 There were 11 minor adverse events reported, six in the exercise plus sham cognitive  
523 rehabilitation group (pain, dizziness falls), two in the cognitive rehabilitation plus sham exercise  
524 group (headache, pain), two in the cognitive rehabilitation and exercise group (increased fatigue,  
525 pain) and one in the dual sham group (fall). Five serious adverse events, unrelated to CogEx,  
526 occurred, three in the cognitive rehabilitation plus sham exercise group (symptom exacerbation,  
527 surgery for knee prosthesis, fall at home) and one each in the cognitive rehabilitation plus  
528 exercise group (syncope and panic) and dual sham group (urinary tract infection). All participants  
529 required hospitalization. Further details on the adverse events appear in supplementary file, page  
530 14.

### 531 532 **Discussion**

533 In this multi-arm, randomized, blinded, sham-controlled trial of cognitive rehabilitation and and  
534 aerobic exercise in 311 people with progressive MS from six countries, our hypothesis was not  
535 upheld, that cognitive rehabilitation combined with exercise would act synergistically to bring  
536 about significant change in our primary outcome measure, processing speed. Similarly, neither  
537 cognitive rehabilitation nor aerobic exercise alone proved more effective than the combined  
538 sham interventions in improving processing speed at six months post interventions.

539  
540 To our knowledge, no previous study has assessed the efficacy of cognitive rehabilitation,  
541 exercise, or both combined in treating cognitive dysfunction as the primary outcome measure in  
542 people with progressive MS. In CogEx we: a) used cognition as the primary outcome measure;  
543 b) enrolled only participants with impaired processing speed who did not engage in physical  
544 training; c) administered the study in multiple centres to ensure the general applicability of our  
545 findings.

546  
547 Our findings add to a small, but growing literature, much of it published after CogEx began  
548 addressing the potential synergistic effects of cognitive rehabilitation and exercise on cognition  
549 in differing samples. Benefits from combined interventions versus single treatment modalities  
550 have been suggested for people with concussion<sup>17</sup> and stroke (in relation to executive function)<sup>18</sup>.  
551 The findings with respect to older adults with and without mild cognitive impairment is mixed,  
552 with negative findings<sup>19, 20</sup> and one positive result.<sup>21</sup> A systematic review concluded that the  
553 combined intervention was no better than cognitive training alone, even when cognitive training  
554 and exercise were given simultaneously, considered the most effective mode of administration.<sup>22</sup>  
555 Exercise in conjunction with cognitive training was nevertheless supported to maintain cognition  
556 and physical health in later life.<sup>22</sup> With respect to individuals with MS, an update literature  
557 search revealed three reports in small samples predominantly of people with relapsing-remitting  
558 MS. One study compared three interventions; cognitive training alone versus cognitive and

559 motor training versus motor training alone. The first group showed cognitive improvement, the  
560 last group showed motor improvement while the dual intervention group showed cognitive and  
561 motor improvement. The dual intervention did not, however, lead to greater cognitive benefits  
562 than cognitive intervention alone.<sup>23</sup> In a second MS study, greater cognitive benefits accrued  
563 from exercise plus cognitive training compared with exercise and sham cognitive training.<sup>24</sup> The  
564 third study is a more complete report of the pilot study referenced in the introduction.<sup>10</sup> The  
565 sample size was boosted but the result remained unchanged: cognitive rehabilitation plus  
566 exercise was more effective than exercise alone in improving cognition.<sup>25</sup> CogEx now adds to  
567 these findings by showing that in a much larger sample of people with more advanced  
568 progressive MS, a combined intervention is not more effective than either intervention alone in  
569 improving cognition, in particular processing speed.

570  
571 A closer look at the duration and intensities of our interventions is warranted in light of our  
572 findings. We administered RehaCom for two 45 minute sessions per week over 12 weeks for a  
573 total of 24 sessions. Two recent reviews of computerized cognitive training in predominantly  
574 relapsing-remitting MS show that RehaCom is the most frequently used program. Lampit et al  
575 cite<sup>4</sup> six studies, two of which exceeded the number and total duration of sessions administered  
576 in CogEx. Brochet<sup>26</sup> cites four studies all of which provided fewer sessions than CogEx. This  
577 suggests that, relative to others, CogEx provided a robust RehaCom intervention. Of note is that  
578 the reported effect size from 20 studies using RehaCom and other programs targeting attention  
579 and processing speed was 0.32,<sup>4</sup> lower than our a-priori estimate of 0.5 which is commensurate  
580 with a 4-point SDMT improvement from baseline. Our fealty to a 4-point SDMT change was  
581 driven by the recommendations of the Multiple Sclerosis Outcome Assessment Consortium to  
582 the Food and Drug Administration emphasizing the ecological validity of this change, an  
583 important consideration in linking laboratory findings to real world consequences of change.<sup>27</sup> In  
584 following this, however, we may have overestimated the effectiveness of our cognitive  
585 rehabilitation.

586  
587 The peak watts, peak heart rate, and VO<sub>2</sub> peak data at 12-weeks suggest a performance based  
588 improvement in the exercise compared to the sham exercise groups. The 10% VO<sub>2</sub> improvement  
589 at 12-weeks in the exercise group, while modest, is considered a reliable, but not necessarily  
590 meaningful, change in the MS literature.<sup>28</sup> We designed our sham exercise protocol to keep  
591 participants blinded to group membership while simultaneously avoiding interventions that  
592 would boost aerobic activity. Yet despite our strict adherence to this regime, the absence of  
593 between group differences in our primary outcome measure suggests our sham remained active  
594 in improving processing speed. As a systematic review of control group improvements in  
595 intervention trials reveals, factors other than the sham regime itself, such as pre-existing health  
596 status and the exclusion of active participants, both relevant to CogEx, may account for this.<sup>29</sup>  
597 Having the same research assistant provide the different interventions might also have  
598 inadvertently benefitted the sham participants because of parameter drift. All of which might

599 explain the improvement in 6MWT despite there being no specific gait or walking task in our  
600 sham exercise protocol. This in turn could have boosted processing speed.<sup>30</sup> The changes we  
601 found in walking endurance in the 6MWT were commensurate with 6MWT change scores in  
602 PwMS.<sup>31</sup>

603  
604 Our findings were also notable for showing improvements across all four treatment groups in the  
605 SDMT that often exceeded 4 and 8 points, which are considered clinically significant in group  
606 and individual data, respectively.<sup>15-16</sup> A 4-point improvement, present in 60% of our sample at  
607 the primary endpoint of 12 weeks was consistent across 11 centres in six counties and in multiple  
608 languages. The magnitude of these changes could not fully be accounted for by regression to the  
609 mean or practice effects. The importance of the latter has been addressed in a longitudinal study  
610 of 219 healthy individuals who completed the SDMT at baseline, 6 months, and one year: group  
611 scores improved from 58.83 to 60.88 to 62.05 and were attributed to practice.<sup>16</sup> These changes  
612 are considerably less than those seen in our study. One important conclusion from this normative  
613 dataset was that a change of 8 points was considered meaningful at an individual level with an  
614 80% confidence interval.<sup>16</sup> This threshold was reached by 46% of our sample at the primary  
615 endpoint of 12 weeks.

616  
617 The most parsimonious explanation to account for the 4 and 8-point change in SDMT  
618 performance seen in so many participants is that both interventions are effective. To this may be  
619 added another possible reason. By the end of the study, anecdotal accounts from some  
620 participants informed us that the 3-month intervention period provided more physical,  
621 intellectual, and social activity (an enriched lifestyle) than they had experienced in the previous  
622 few years. This in turn may have boosted processing speed. This explanation is supported by a  
623 study of 248 people with MS (predominantly relapsing-remitting MS) that revealed an  
624 association between what the authors called a “positive lifestyle” (exercise, social/intellectual  
625 engagement, healthy nutritional choices) and processing speed.<sup>32</sup> The *moderating* effects of an  
626 enriched environment on cognitive decline in progressive MS were described in 2012.<sup>33</sup> Our data  
627 suggest that enhancing enrichment in multiple ways may offer additional *remedial* benefits,  
628 specific to processing speed in people with progressive MS. Our findings also reveal that  
629 pushing people with progressive MS too hard with taxing personalised interventions might have  
630 a temporary downside, reflected in worse scores on the MSIS-29, a self-report measure of the  
631 impact of MS.

632  
633 Our study has limitations. Given that our sham exercise was not inactive, incorporating a waitlist  
634 control would have controlled for the passage of time and practice effects on the outcome  
635 measures. The COVID-19 pandemic also hindered recruitment,<sup>34</sup> but this is unlikely to explain the  
636 fact that our results did not support our hypothesis. SDMT outcome scores between our four  
637 treatment arms were so similar that adding approximately 10 more participants to each arm would  
638 be unlikely to change the results. As for the SAGER guidelines, we had no prior data or rationale



639 to suggest sex-specific treatment effects might be present, hence no such analyses were performed.  
640 Finally, our results cannot be extrapolated to include all people with progressive MS, but instead  
641 should be viewed as applicable to people with advanced disability just short of needing a  
642 wheelchair.

643

644 In conclusion, our main hypothesis regarding the superiority of cognitive rehabilitation plus  
645 exercise in improving processing speed in people with progressive MS was not supported. Our  
646 sham exercise proved active and the improvements in processing speed in a proportion of  
647 participants might be attributed to either intervention alone with no significant benefits from  
648 combining them. The fact that processing speed can indeed improve in people with progressive  
649 MS, something we did not know before CogEx, emphasizes the importance of keeping  
650 individuals with advanced disability active across multiple domains.

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679 **Contributors**

680 All authors had access to the data. Amber Salter, Anthony Feinstein and Cecilia Meza verified  
681 the underlying data. All authors were responsible for submitting the manuscript including the  
682 revised versions.

683  
684 Author contributions AF: design and conceptualized study; major role in the acquisition of  
685 funding; acquisition of data; interpreted the data; literature search; drafted and revised the  
686 manuscript for intellectual content. MPA: design and conceptualized study; acquisition of data;  
687 interpreted the data; drafted and revised the manuscript for intellectual content. GB: design and  
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689 for intellectual content. JC: design and conceptualized study; major role in the acquisition of  
690 funding; acquisition of data; interpreted the data; drafted and revised the manuscript for  
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698 drafted and revised the manuscript for intellectual content. RF: design and conceptualized study;  
699 acquisition of data; interpreted the data; drafted and revised the manuscript for intellectual  
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701 and revised the manuscript for intellectual content. MF: design and conceptualized study;  
702 acquisition of data; interpreted the data; drafted and revised the manuscript for intellectual  
703 content. JF: design and conceptualized study; acquisition of data; interpreted the data; drafted  
704 and revised the manuscript for intellectual content. MI: design and conceptualized study;  
705 acquisition of data; interpreted the data; drafted and revised the manuscript for intellectual  
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707 drafted and revised the manuscript for intellectual content. RM: design and conceptualized study;  
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713 conceptualized study; major role, performed statistical analysis; acquisition of data; interpreted  
714 the data; drafted and revised the manuscript for intellectual content.

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719 **Declaration of interests**

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808

809 **Data Sharing Statement**

810 To promote data transparency, anonymized data will be available one year after the publication  
811 of the primary paper, upon reasonable request. Please make the request to the corresponding  
812 author, AF. A CogEx Committee will then review the request for approval. A data sharing  
813 agreement will be put in place before any data are shared.

814

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