

1 **Metabolic implications and safety of dolutegravir use in pregnancy**

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24 **Summary**

25 Dolutegravir (DTG) is recommended for all people living with HIV because of its efficacy,
26 high barrier to resistance, favourable safety and tolerance profile, and affordability. DTG has
27 also been found to have the highest rates of viral suppression in pregnancy, therefore
28 preventing perinatal HIV transmission. In view of these benefits, particularly for pregnant
29 women, it is important to ask whether DTG is safe in pregnancy. DTG has been associated
30 with metabolic complications including weight gain and rare events of hyperglycemia that
31 could affect maternal, fetal, and postnatal health. Here we review the current clinical and
32 experimentally-based literature on the implications of DTG usage for the pregnant female and
33 for the developing embryo and fetus. Possible effects on folate status, energy metabolism,
34 adipogenesis, and oxidative stress are considered. In many instances, insufficient data are
35 available, pointing to the need for additional research in this important area of HIV treatment.

36 **Search strategy and selection criteria**

37 References for this review were identified through PubMed searches, authors' general
38 knowledge of the field, and research papers from presenting authors at HIV conferences. Only
39 papers written in English were included. PubMed searches were the following. Search 1:
40 "dolutegravir.tw" AND ("pregnan*.ti" OR "conception.ti"). Search 2: "dolutegravir.tw" AND
41 ("hyperglyc*.ti" OR "diabet*.ti"). Search was performed for all papers up to October 2022.

42 **1. Introduction**

43 Infection with the Human Immunodeficiency Virus (HIV) poses a severe disease burden,
44 having claimed 36.3 million lives and currently affecting nearly 40 million people around the
45 world.¹ Reducing HIV viral load (VL) in people living with HIV (PLWH) to undetectable and
46 therefore untransmissible (U=U) levels remains the most effective approach at reducing the
47 incidence of HIV infection. The UNAIDS and WHO 95-95-95 goal aims for 95% of PLWH to
48 be aware of their infection status, 95% of people diagnosed with HIV to receive treatment, and
49 95% of PLWH receiving treatment to have undetectable VLs.² Perinatal transmission of HIV
50 remains a serious concern for women of childbearing age living with HIV. The risk of
51 transmission is highest at delivery and during breastfeeding, especially with detectable viremia
52 in pregnancy, preterm delivery, and late initiation of treatment in pregnancy.^{3,4} Combination
53 antiretroviral therapy (ART) remains the most reliable treatment option for HIV infection and
54 has been shown to effectively suppress VL, prevent the development of AIDS, and minimize
55 the risk of HIV transmission.³⁻⁵ Pregnant women initiating ART before conception had a
56 0.03% rate of vertical HIV transmission; the rate drops to 0.0% in the women that additionally
57 had an undetectable VL at conception.⁴ As ART has transformed HIV infection into a
58 manageable chronic illness, increased attention has been directed towards optimizing current
59 regimens and understanding chronic HIV-related comorbidities associated with HIV infection
60 and ART, with the goal of improving quality of life for PLWH over the long term.⁶

61 Dolutegravir (DTG)-containing regimens with varied nucleoside reverse-transcriptase
62 inhibitor (NRTI) backbones have recently become the preferred regimens worldwide and are

63 the WHO-recommended first-line therapy for all PLWH.^{3,5,7,8} DTG-based regimens are
64 significantly more affordable compared to other first-line ART regimens, making them
65 favourable in low-middle income countries.⁵ DTG also has a high barrier to HIV resistance as
66 DTG-resistant mutations have been shown to reduce HIV fitness.⁹ Significantly lower rates of
67 viral resistance have been reported with DTG use and DTG is successfully used as salvage
68 treatment in virological failures.^{9,10} In clinical trials, DTG-based regimens had the same or
69 improved efficacy as protease inhibitors (PIs), non-nucleoside reverse transcriptase inhibitors
70 (NNRTIs), and other integrase strand transfer inhibitors (INSTIs).¹¹⁻¹⁵

71 In the context of pregnancy, the Safety and Pharmacokinetics of Dolutegravir in Pregnant HIV
72 Mothers and Their Neonates (DOLPHIN-1,-2), and International Maternal Pediatric Adolescent
73 AIDS Clinical Trials (IMPAACT) network P2010 protocol (VESTED) randomized controlled
74 trials (RCT), showed that DTG was associated with more rapid and effective viral
75 suppression, compared to efavirenz (EFV), making DTG especially useful in pregnancy
76 wherein rapid viral suppression is essential in preventing perinatal transmission.¹⁶⁻¹⁸ The
77 Pediatric HIV/AIDS Cohort Study Surveillance and Monitoring for ART Toxicities
78 (SMARTT) reported better viral suppression in women receiving DTG-based ART compared
79 to non-DTG ART regimens, without any differences in fetal outcomes.¹⁹

80 DTG-based treatments are associated with lower toxicity, fewer drug-drug interactions than
81 other ARV classes (PI and NNRTI), and a good tolerability profile, all of which improve the
82 quality of life and regimen adherence among PLWH.^{11,20} However, DTG use has been
83 associated with metabolic complications in non-pregnant adults, such as weight gain and rare

84 events of hyperglycemia.^{21,22} In pregnancy, DTG appears to be generally well tolerated;
85 however there are limited studies available that examine metabolic parameters or post-natal
86 outcomes.^{16,17,23–26} Here we review available data on DTG safety in pregnancy, as well as
87 clinical and experimentally-derived data of the metabolic effects of DTG. We further discuss
88 how these DTG metabolic effects could impact fetal and maternal health.

89

90 **2. Safety of DTG use in pregnancy**

91 **2.1. Clinical study findings on DTG and pregnancy and birth outcomes**

92 Initial clinical surveillance studies, did not report an association between DTG-based ART and
93 adverse birth outcomes (See Table 1 for a summary of studies).^{25,26} In the DolPHIN-2 open-
94 label RCT, recruiting from South Africa and Uganda,, DTG was associated with greater
95 pregnancy and postpartum (puerperium) adverse events compared to the EFV arm, however
96 this was not replicated in other studies (Table 1).^{17,18,27} Analysis of data obtained in the
97 Tsepamo study did not show differences in severe pregnancy outcomes, such as preterm birth,
98 small for gestational age, or fetal demise, but an increased occurrence of maternal
99 hypertension and increased intrapartum weight gain in women receiving a DTG versus EFV-
100 based regimen was reported.^{28,29} Furthermore, DTG was associated with fewer severe adverse
101 birth outcomes in women with lower BMI.²⁸ In the VESTED trial DTG was associated with
102 improved gestational weight gain and either similar or lower levels of adverse birth outcomes
103 compared to EFV.¹⁷

104

105 **2.2. Clinical study findings on DTG and NTDs**

106 In 2018 the Tsepamo surveillance study in Botswana reported four newborns with NTDs
107 among offspring of 426 women starting DTG at conception (0.94% [95%CI 0.37, 2.4]),
108 compared to a 0.12% [0.07, 0.21] incidence with non-DTG based ART treatment and 0.09%
109 [0.07, 0.12] incidence among offspring of women without HIV.³⁰ A 2019 follow-up to the
110 Tsepamo study reported a decrease from the initial report in NTDs prevalence amongst DTG
111 treated pregnant women to 0.3% [0.13, 0.69] of 1683 deliveries.³¹ More anterior body wall
112 defects (omphalocele and gastroschisis) were also reported in those receiving a DTG-based
113 regimen from conception.³¹ In a further update in 2022, 10 NTDs were reported in 9,460
114 women taking a DTG-based regimen from conception, for a rate of 0.11% [0.06, 0.19],
115 compared to 0.08% [0.04, 0.14] on EFV and 0.07% [0.05, 0.08] HIV-negative pregnancies.
116 This brought the rate of NTDs in the DTG group to the same level that of other ARVs and
117 women without HIV.³²

118 Additional studies have reported on DTG and NTDs. Although none of these studies have the
119 sample size of the Tsepamo study, all reported no significant differences in rates of congenital
120 defects (including NTDs) between DTG and other ARVs.³³⁻³⁶ A summary of their findings
121 can be found in Table 1.

122 Despite the disappearance of the initial NTD signal, the etiology of the increased rates of
123 NTDs is unknown. The initial signal could have been a matter of chance due to small sample
124 size. Alternatively, there may have been other risk factors present during the 2018-2019 years

125 that had a combined effect with DTG on the emergence of NTDs, such as lower population
126 folate levels or other environmental exposures. However, this remains speculative. In light of
127 the most recent data from Botswana, DTG remains a preferred regimen for its superior
128 efficacy in preventing HIV related mortality and transmission in women of childbearing
129 potential.^{37,38}

130

131 **2.3. DTG effects in animal and in vitro reproductive studies**

132 In pregnancy, DTG crosses the placenta and fetal exposure can be significant due to slow fetal
133 metabolism of the drug.^{16,26} In reproductive toxicology studies performed in rats and rabbits,
134 supratherapeutic DTG was not associated with fetotoxicity or higher risk for congenital
135 defects (see Table 2).³⁹ However, in a large fetotoxicity study performed in C57BL/6J mice
136 fed a folate-sufficient diet, a small (was 0.47% (N=150 litters)) but significant increase in the
137 incidence of NTDs was observed at the therapeutic DTG dose but surprisingly not at the
138 supratherapeutic DTG dose (both delivered with therapeutic tenofovir (TDF)/emtricitabine
139 (FTC) backbone).⁴⁰ Mice receiving the therapeutic DTG regimen also had increased rates of
140 microphthalmia, bleeding defects, and edema.⁴⁰ Supratherapeutic DTG-only exposure from
141 conception in C3H/HeJ mice resulted in one NTD (exencephaly) in 109 embryos from 17
142 litters, along with evidence of neuronal damage and neuroinflammation in the pups of DTG-
143 treated dams (Table 2).⁴¹ DTG-exposure of rat embryos cultured through the period of
144 neurogenesis did not demonstrate teratogenicity, although the design of the study was brought
145 into question, particularly the sample size, DTG penetrance of the embryo, and potential for

146 DTG metabolite teratogenicity (see Table 3).^{42,43} In a cell culture model, DTG has been shown
147 to affect morphogenesis and survival of murine pluripotent and human embryonic stem cells,
148 along with transcript changes of developmental regulator genes (Table 3).^{41,44,45} From these
149 studies, it appears that DTG is essentially safe for use in human pregnancy although it may
150 have the potential to affect some aspects of embryonic development. Although adverse
151 developmental effects were observed in cell culture, they were rarer and milder in the in vivo
152 models and largely absent in clinical studies, potentially due to compensation by whole
153 organism homeostatic mechanisms.

154

155 **3. DTG metabolic effects and implications for DTG safety in pregnancy**

156 Inadequate nutrition and poor metabolic health increase the risk of adverse pregnancy
157 outcomes and congenital defects, poor maternal health outcomes, and contribute to metabolic
158 programming that increases the lifelong risk of poor metabolic health in neonates.^{46,47} Studies
159 conducted to characterize DTG's effects on metabolic pathways are summarized below, and
160 implications for maternal–fetal health and avenues for future studies are discussed.

161

162 **3.1. DTG-associated changes to folate metabolism**

163 Interest in investigating the association between DTG and folate increased following the
164 original report of a higher prevalence of NTDs.³⁰ A comparison of serum folate levels in
165 women participating in the ADVANCE trial found that folate levels increased in non-pregnant

166 women taking DTG administered with TAF/FTC over 12 weeks, folate levels remained stable
167 in women taking TDF/FTC/DTG, and decreased in women taking TDF/FTC/EFV.⁴⁸ In the 26
168 women who became pregnant during the study, folate levels increased slightly in those taking
169 TAF/FTC/DTG or TDF/FTC/DTG and decreased slightly in those taking TDF/FTC/EFV for
170 24 weeks, however, the pregnancy cohort was severely limited in sample size.⁴⁸

171 Animal and in vitro studies examining the effects on dietary folate and folate transport indicate
172 mild effects of DTG at therapeutic plasma levels (see Figure 1).⁴⁹⁻⁵¹ DTG has been shown to
173 be a partial antagonist of folate receptor 1 (FOLR1/FR α) in placental cell lines.⁴⁹ In the same
174 study folic acid supplementation was able to rescue early DTG-related (100 μ M) toxicity in
175 zebrafish.⁴⁹ Data from the Zamek-Glisczynski M et al. study support in vitro DTG
176 antagonism of FOLR1, however, extrapolating quantified in vitro DTG inhibition to in vivo
177 conditions, this effect was deemed not clinically relevant at therapeutic dosage of DTG.⁵⁰ In
178 DTG-treated placental explants and placenta cell lines, and in placentas isolated from DTG-
179 treated mice, variable changes to the gene and protein expression of the folate transport and
180 metabolism pathway were observed. DTG treatment of placental cell lines was associated with
181 a modest reduction in expression of reduced folate carrier (RFC) and proton-coupled folate
182 transporter (PCFT), along with a decrease in their transport function.⁵¹ In the Mohan H et al.
183 fetotoxicity study, supratherapeutic DTG treatment was associated with lower rates of fetal
184 anomalies than the therapeutic DTG dose, and concurrently higher levels of fetal folate (fetal
185 folate levels in the therapeutic dose were similar to control), suggesting either potential
186 compensation by increased folate uptake or biphasic effects of DTG on a system interacting

187 with or affecting the folate pathway.⁴⁰

188 It remains unclear whether the dysregulation of folate transport or metabolism by DTG has a
189 clinical impact on human pregnancies. Neither clinical or animal data suggests that DTG
190 reduces folate levels, but there is some evidence suggesting a diminished response to folate
191 through FOLR1 inhibition and reduced folate transport across the placenta following DTG
192 treatment. Therefore, folate insufficiency in pregnancy may exaggerate the effects of DTG and
193 proper folate supplementation should have a protective effect against adverse events. Although
194 fetal folate levels and active placental folate transport cannot be quantified in human
195 pregnancies, studies examining the interaction of maternal folate levels and placental nutrient
196 transporters in women receiving DTG-based ART may provide insight into the etiology of
197 adverse perinatal events.

198

199 **3.2. Metabolic effects of DTG use in clinical studies**

200 Maternal obesity, hyperglycemia, prediabetes/type 2 diabetes mellitus (T2DM), and metabolic
201 syndrome (defined as having three or more of increased waist circumference, blood pressure,
202 plasma triglycerides, fasted blood glucose, and decreased HDL-cholesterol), increase the risk
203 for adverse events in pregnancy and contribute to fetal metabolic programming towards
204 increased risk for poor metabolic health.^{46,47}

205 DTG has been associated with weight gain, rare new-onset hyperglycemia, and some studies
206 report an increased risk for diabetes mellitus and metabolic syndrome, described in more detail

207 below.^{21,22,52,53} However, few studies have addressed DTG metabolic effects in pregnancy,
208 and results of clinical trials generally suggest improved pregnancy outcomes for DTG
209 compared to other ARVs, primarily EFV.^{23,26} Larger-scale studies are needed to corroborate
210 these results. In addition, studies comparing DTG metabolic effects in pregnancy to those of
211 people without HIV are lacking. DTG-associated transient changes to fasted blood glucose in
212 non-pregnant female mice have been reported.⁵⁴ However animal studies investigating
213 maternal glucose homeostasis in pregnancy are yet to be carried out.

214

215 **3.2.1. DTG-associated weight gain**

216 DTG-based regimens are associated with greater weight gain and in the long-term may
217 contribute to other metabolic complications.^{21,53} In both naive and ART-experienced patients,
218 DTG-based regimens are associated with greater weight gain than NNRTI-, PI-, and some
219 other INSTI-based regimens.^{15,21,53,55–63} The degree of weight gain varies dramatically in
220 relation to the backbone formulation, demographics, and baseline characteristics of the study
221 participants, with TAF-containing NRTI backbones, female sex, older age, and black race
222 being independently associated with greater risk for treatment-associated weight gain.^{15,21,58,64–}
223 ⁶⁶ In PLWH with advanced viremia and immune suppression (CD4+ T-cell count <200
224 cells/mm³), initiation of ART leads to weight gain as part of the ‘return-to-health’
225 phenomenon. Indeed, weight gain among treatment-naive individuals initiating DTG-based
226 therapy is greater than in treatment-experienced PLWH switching to a DTG-based
227 regimen.^{56,60,62,64,66–69} Furthermore, poor virological control, adverse events, and slower rate of

228 viral suppression in PI and NNRTI drugs are often cited as reasons for smaller magnitude and
229 rate of weight gain in comparison to DTG-based regimens. However, although some NNRTIs
230 are associated with a slower rate of viral suppression in select studies, the INSTI elvitegravir
231 (EVG) exhibits a similar viral suppression rate to DTG and is associated with similar weight
232 gain to NNRTIs.⁵⁹ Furthermore, increased weight gain continues to be an issue in the long-
233 term, as shown in 5 year follow-up studies by Ando N et al. and Bourgi K et al.^{58,63} In most
234 retrospective studies, the inclusion criteria include successful viral suppression and high CD4+
235 cell count prior to INSTI-treatment as a way to control for the return-to-health effect.
236 Randomized ART-switch and double-blind RCTs corroborate the weight-gain effects of
237 DTG.^{21,61}

238 In pregnancy, women receiving DTG-based ART experienced greater intrapartum weight gain
239 than EFV-based ART, however it was still below the recommended weekly weight for a
240 healthy pregnancy.^{17,27-29} Sufficient weight gain during pregnancy reduces the risk of preterm
241 birth, and small, and very small for gestational age neonates; DTG-based regimens therefore
242 appear more favorable.⁷⁰

243

244 **3.2.2. DTG-associated hyperglycemia, T2DM, and metabolic syndrome**

245 Currently, only short-term prospective, case-report, and cross-sectional study data exist on the
246 effects of DTG on metabolic health, partly due to the recent implementation of DTG as a first-
247 line treatment. There is also a significant degree of discrepancy between various studies, with
248 some citing DTG-associated improvement to metabolic parameters^{71,72} while others report

249 increased risk for T2DM, metabolic syndrome, and hyperglycemia.^{22,52} In an observational
250 prospective study interrogating changes to insulin sensitivity and circulating lipids following a
251 switch from ritonavir-boosted PI to a DTG-containing regimen in patients with stable
252 virological control, DTG was associated with lower IL-6, triglycerides, LDL and total
253 cholesterol, leptin, insulin, and HOMA-IR index.⁷¹ In ART-naive patients, initiating a DTG-
254 based regimen was associated with lower rates of new-onset diabetes at 0·91%, in comparison
255 to those starting a NNRTI- or a PI-containing regimen, 1·37% and 1·50% respectively.⁷² Hsu
256 R et al. reported no increased risk of prediabetes or diabetes mellitus in ART naive and
257 experienced patients on different INSTIs, however being on ART was associated with higher
258 incidence of T2DM than the general population, 9–13 versus 6·7 per 1000 person-years.⁷³ A
259 cross-sectional study examining risk factors (including ART regimen, NRTI backbone, VL,
260 BMI, sex, and lymphocyte count) for developing metabolic syndrome in PLWH receiving
261 ART for ≥ 6 months in Zambia, reported that DTG-based regimens, compared to PI- and
262 NNRTI-based therapy, were independently associated with doubling of the risk for metabolic
263 syndrome.⁵² A national survey of HIV clinicians' perspectives on DTG use for PLWH in
264 Uganda in terms of tolerability and effectiveness, reported favorable outcomes for patients
265 initiating or switching to DTG. However, it was noted that hyperglycemia, insomnia, and
266 decreased libido were some of the side-effects associated with DTG treatment.⁷⁴ Case reports
267 of hyperglycemia following initiation of DTG have appeared throughout the literature, where
268 hyperglycemia occurred in patients with normal BMI, experiencing weight gain or loss, and
269 without prior history of insulin resistance.^{75–77} Discontinuation of INSTI-based therapy
270 normalized glycemic control in the presented cases and the patients no longer needed anti-

271 diabetic medication.^{75,78} A large-scale surveillance study performed in Uganda reported a
272 greater incidence of new-onset hyperglycemia in PLWH switching to, or initiating, DTG-
273 based regimens than in patients receiving non-DTG-based regimens, 0·47% vs. 0·03%
274 respectively.²² Furthermore, no association of hyperglycemia with weight gain was observed,
275 as in most cases of hyperglycemia the patients had lost weight.²² A caveat to the study was
276 that a greater proportion of individuals in the DTG arm were male, older, and on ART for
277 more than 5 years, all of which are risk factors for hyperglycemia.²² A recent study
278 interrogating adverse-drug events in treatment-experienced and naive patients observed
279 hyperglycemia with an incidence of 2·4% within 13 to 62 weeks of DTG-based regimen
280 initiation.⁷⁹ Furthermore, the SPRING-1, SPRING-2, SAILING, SINGLE, and FLAMINGO
281 clinical trials, which assessed the efficacy of DTG, reported hyperglycemia among its adverse
282 drug events; hyperglycemia also appears as an adverse drug event leading to DTG-
283 discontinuation.^{79–84}

284 To date, only one study reports on the incidence of gestational diabetes in patients receiving
285 DTG-based treatment, wherein DTG-based ART was associated with a lower risk for
286 gestational diabetes compared to EFV-based ART.²³ No change to insulin sensitivity was
287 observed in exposed uninfected infants born to women receiving a DTG- versus an EFV-based
288 ART.²⁴ In the follow-up to the IMPAACT 2010 VESTED study, no differences in maternal or
289 fetal HbA1c between FTC/TDF/DTG, FTC/TAF/DTG, or FTC/TDF/EFV were found.⁸⁵

290 Taken together, these studies show that DTG is associated with metabolic changes in non-
291 pregnant adults. There remains a gap in knowledge of whether the observed effects in non-

292 pregnant individuals are replicated in pregnancy and relevant to perinatal outcomes.

293

294 **3.3. Animal and in vitro studies examining DTG-associated metabolic changes**

295 DTG-associated weight gain and hyperglycemia observed in clinical studies may result from

296 drug induced changes to energy homeostasis at the hypothalamic, tissue, and/or cellular levels.

297 Animal models and in vitro studies using human samples have shown distinct alterations to

298 adipose tissue function and insulin sensitivity, as well as changes to mitochondrial function

299 and oxidative metabolism associated with DTG.⁸⁶⁻⁹⁰ Studies conducted to characterize DTG's

300 effects on these pathways are summarized below and shown in Figure 1.

301

302 **3.3.1. DTG effect on the melanocortin system**

303 DTG-associated weight gain and metabolic perturbations may be a symptom of a change to

304 energy homeostasis regulation by the hypothalamus. Many hormones are involved in the

305 regulation of satiety/hunger and energy expenditure and deviations from the physiological

306 baseline in pregnancy may lead to fetal programming affecting metabolic health.

307 In the Tivicay (DTG) product monograph, DTG was shown to reduce alpha-melanocortin

308 stimulating hormone (α -MSH) binding of melanocortin 4 receptor (MC4R) by 65% at the

309 clinical DTG C_{max}. This may shift the anorexigenic/orexigenic balance towards increased

310 orexigenic neural tone, thereby increasing appetite and reducing post-prandial satiety resulting

311 in increased food intake without altering energy expenditure – leading to weight gain.⁹¹ A

312 potential role of MC4R in development has been sparsely documented, and has not yet been
313 thoroughly studied.⁹² Analysis of MC4R binding by various INSTIs revealed a capacity for
314 MC4R antagonism by bicitgravir (BIC), cabotegravir (CAB), EVG, raltegravir (RAL), and
315 DTG, with EC50 >100 fold beyond the unbound plasma Cmax for each individual drug.⁹¹ It
316 would be ideal to conduct further studies examining DTG effects on hormones involved in
317 regulation of satiety/hunger and energy expenditure, such as α -MSH, thyroid hormones,
318 cortisol, and leptin.

319

320 **3.3.2. DTG effects on adipocytes**

321 Data from in vitro and animal studies suggest the DTG is associated with adipose tissue
322 changes that could contribute to a mechanistic understanding of the clinically observed weight
323 gain. White adipose tissue (WAT) has roles in both energy storage and endocrine signaling
324 through adipokine secretion, whereas brown adipose tissue (BAT) contributes to energy
325 consumption through oxidizing free fatty acids and generating non-shivering thermogenesis.⁸⁹
326 White adipocytes secrete leptin, an anorexigenic pro-satiety peptide, and adiponectin, which
327 improves insulin sensitivity. In perturbed metabolic states, such as insulin resistance, WAT
328 tends towards hypertrophy and fibrosis, alongside plasma hyperlipidemia.⁸⁷ Cold exposure,
329 fasting, and beta-adrenergic stimulation promote BAT activation and WAT beiging, which are
330 associated with better metabolic outcomes.⁸⁹

331 Treatment with DTG has been shown to cause changes to adipose tissue composition,
332 function, and signaling.^{87,89,90} In simian noninfected subcutaneous and visceral adipose tissue,

333 TDF/FTC/DTG treatment induced adipose tissue fibrosis and hypertrophy, with increased
334 mRNA expression of the adipogenic peroxisome proliferator-activated receptor gamma
335 (PPAR- γ) and CCAAT/enhancer-binding protein alpha (CEBP α), and decreased mRNA
336 expression of adiponectin.⁸⁷ In obese PLWH, increased adipose tissue fibrosis was seen in
337 those treated with INSTI-based rather than non-INSTI-based treatment.⁸⁷ In cultured
338 proliferating human adipocyte stem cells and mature adipocytes, standalone DTG treatment at
339 Cmax was associated with mitochondrial dysfunction, increased fibrotic markers, lipid
340 accumulation, and lipogenesis, and decreased leptin and adiponectin secretion, and insulin
341 sensitivity.⁸⁷ These findings were replicated by Pickering R et al., wherein DTG reduced leptin
342 and adiponectin signaling in cultured subcutaneous adipocytes, while increasing pro-
343 adipogenic and pro-fibrotic PPAR- γ and collagen-6 transcripts without altering total
344 triacylglycerol (TAG) content in both subcutaneous and visceral cultured adipocytes.⁹³ Long-
345 term 2-year treatment with TDF/FTC/DTG of SIV-infected macaques was associated with a
346 maintained pro-fibrotic, adipogenic phenotype of subcutaneous and visceral WAT.⁹⁰
347 Interestingly, the emergent WAT phenotype of increased lipogenesis, decreased lipolysis, and
348 insulin resistance seen in the SIV-infected macaques treated with DTG does not co-occur
349 under healthy conditions or under the typical progression of obesity, T2DM, and metabolic
350 syndrome.⁸⁷ Characterization of oxidative BAT in cell culture and in vivo models with short-
351 term (<2 weeks) DTG exposure demonstrates a reduction in thermogenesis, adipogenesis,
352 BAT-specific markers, uncoupling protein 1 (UCP1) expression, and insulin sensitivity.^{89,90}
353 If the DTG-associated adipose tissue changes discussed lead to clinically observable changes

354 to circulating adipokines like leptin, body-composition, and whole-body energy expenditure,
355 this may in part explain the weight gain. Therefore, it would be useful to monitor these
356 parameters in patients receiving DTG-based ART. It will be also important to study DTG
357 effects on leptin levels in the context of pregnancy, as leptin is produced by the placenta, and
358 its production is altered in several pathologic conditions including preeclampsia and
359 gestational diabetes.^{94,95}

360

361 **3.3.3. Oxidative stress and metal ion chelating associated with DTG exposure**

362 At a cellular level, the decreases in BAT oxidative capacity and WAT insulin sensitivity may
363 stem from altered cellular metabolism – initiating or resulting in oxidative stress. Oxidative
364 stress in the context of pregnancy can negatively impact fetal development, and oxidative
365 stress is common in many pathways leading to congenital defects.⁹⁶

366 George JW et al. report a reduction to mitochondrial REDOX reactions and ATP production,
367 alongside increased glycolysis, in HeLa cells after 24-hour DTG exposure.⁸⁸ In erythrocytes,
368 48-hour DTG incubation increased reactive oxygen species (ROS) production, surface
369 ceramide and phosphatidyl serine, and cytosolic [Ca²⁺], indicating cellular oxidative stress.⁸⁶

370 DTG's inhibitory action on the viral integrase is in part due to cation chelation, which is
371 hypothesized to interfere with the host's own enzymes.^{41,49,97} In the Bade AN et al. study,
372 DTG was found to be a broad-spectrum MMP inhibitor by binding the Zn⁺⁺ ion bound by this
373 class of enzymes.⁴¹ MMPs have essential roles in neural crest migration, synapse

374 development, axonal guidance, and angiogenesis in the embryo and contribute to uterine
375 vascular remodeling by the cytotrophoblasts in the development of the placenta.^{41,98}
376 The cation chelating property of DTG may extend to other metal-binding enzymes such as
377 superoxide dismutases (Mn-, Zn-, and Cu-SOD), resulting in increased cellular ROS, although
378 these effects have not yet been tested. Oxidative stress at the level of the placenta may result in
379 lower fetal weight as reported in the Mohan H et al. study, however this effect was not
380 observed clinically.^{40,99} To test whether these molecular effects have a systemic effect on
381 development, experimental studies on placental function correlated to fetal outcomes ought to
382 be conducted. The metal ion chelating property of DTG is an interesting mechanism to
383 consider further, as it would affect a broad spectrum of pathways that could contribute to the
384 variety of effects observed with DTG in in vitro and model studies. Further the degree of such
385 insult would be modified by dietary factors and could explain clinically observed outcomes.
386 Well-designed studies would be needed to assess this clinically.

387

388 **4. Conclusions**

389 The global HIV pandemic presents a severe healthcare burden, which can be successfully
390 managed by ART. DTG-based ART is a preferred treatment option in both resource-rich and
391 resource-limited settings because of its efficacy, high barrier to resistance, favourable safety
392 and tolerance profile, and affordability. DTG-associated changes to maternal physiology such
393 as weight change, hyperglycemia, and folate metabolism, along with changes to adipose
394 tissue, oxidative stress, and potential interference with metal-binding enzymes may affect fetal

395 development and influence metabolic health in the child. However, it remains unclear the
396 degree to which the reported cellular changes impact physiology and whether targeting these
397 pathways in treatment would improve the DTG-specific side effects observed clinically.
398 Furthermore, despite increasing evidence of DTG-associated metabolic changes in non-
399 pregnant adults, there have not been similar reports in pregnancy, and their connection to fetal
400 development has not yet been studied. Studies investigating maternal metabolic health, such as
401 weight and adipose change, plasma lipid profile, adipokine levels, glucose homeostasis
402 correlating to pregnancy outcomes and long-term fetal health are warranted.

403 Specifically, addressing the following questions would provide great insight: Does DTG affect
404 maternal metabolic health? Do maternal metabolic health changes resulting from DTG
405 treatment affect pregnancy outcomes and fetal metabolic health? How does maternal
406 nutritional status interact with DTG in influencing birth outcomes? In clinical practice, it is
407 pertinent to increase focus on monitoring maternal health and metabolic alterations occurring
408 as a result of DTG treatment. Further, given the scale at which ART is being used in
409 pregnancy it is important that systematic monitoring of adverse events and pregnancy/birth
410 outcomes is implemented, as even small changes in risk have the potential to translate into
411 many pregnancies and babies affected. In the absence of a mechanistic understanding,
412 adequate nutrition and folic acid supplementation should be encouraged.

413

414 **Conflict of Interest Statement**

415 The authors declare no conflicts of interest.

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421

422 **Contributors**

423 VD and LS conceptualized the manuscript with input from all co-authors. VD generated the
424 original draft with input from LS. HM, CB, JJ, NDEG, AJC, and RZ reviewed and edited the
425 manuscript. All authors approved the final draft.

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Table 1: Summary of clinical pregnancy studies including a DTG-based regimen

Study Type, Location, Date	Regimen	N	Outcomes
Surveillance study, Botswana, Aug 2014 – May 2018 ³⁰	DTG-based ART from conception	426	DTG from conception group had four cases (0·94%) of NTDs: encephalocele, myelomeningocele, iniencephaly, and anencephaly. NTD rates were similar between the HIV-negative (0·09%) and other ART groups (0·12%)
	DTG-based ART started in pregnancy	2812	
	Other ART	11,300	
	HIV-negative	66,057	
Surveillance study, Brazil, Jan 2015 – May 2018 ³⁴	DTG-based ART	382	No increased risk for adverse peripartum outcomes associated with DTG exposure reported. 2/490 (0·18%) of NTDs in DTG treated women reported in study update Feb 2019.
	EFV-based ART	1,045	
Surveillance study, Botswana, Oct 2018 – Mar 2019 ³³	DTG-based ART	152	One NTD in DTG from conception arm (0·66%). Two in HIV-negative pregnancies (0·09%).
	Other ART	544	
	HIV-negative	2328	
Surveillance study, United States, Puerto Rico, Swiss cohort, 2007 – Jan 2020 ¹⁹	DTG-based ART	120	DTG-based regimen was associated with a mildly higher risk of preterm births associated. 1 case of syndactyly, 2 cases of polydactyly in DTG group.
	Atazanavir/r-based ART	464	
	Darunavir/r-based ART	185	
	Rilpivirine-based ART	243	
	RAL-based ART	86	
	Elvitegravir/c-based ART	159	
Database analysis, Antiretroviral pregnancy registry, Jan 1989 – Jul 2022, APR 2022. ³⁵	DTG-based ART	1362	The reported rates of congenital anomalies associated with DTG were 3·45% in APR, with one NTD case of anencephaly.
Surveillance study, Botswana,	DTG-based ART	1729	No increased risk of adverse birth outcomes on DTG was reported.

Aug 2014 – Aug 2016 ²⁵	EFV-based ART	4593	
Surveillance study, Botswana, Aug 2014-Apr 2020 ²⁸	DTG-based ART	2,450*	Surveillance study showed that DTG regimen had the same or better peripartum outcomes as compared to other ART regimens in all maternal weight classes.
	EFV-based ART	7,459*	
	Other ART	6,492*	
	NVP-based ART	4,695*	
	LPV/r-based ART	841*	
Open-label RCT, South Africa, Uganda, Jan 2018 – Aug 2018 ²⁷	TDF/FTC/DTG	135	DTG group showed slightly higher (24%) severe adverse events than EFV (18%) group.
	TDF/XTC/EFV	133	
Open-label RCT (72-week follow-up) South Africa, Uganda, Jan 2018 – Aug 2018 ¹⁸	TDF/FTC/DTG	135	Greater proportion of adverse pregnancy events were found in DTG (22%) than EFV (11%) arm.
	TDF/FTC/EFV or 3TC	133	
Open-label RCT, Zimbabwe, South Africa, Uganda, Brazil, Botswana, Tanzania, Thailand, United States, India, Jan 2018 – Feb 2019 ¹⁷	TDF/FTC/DTG	204	DTG group had lower rates of preterm birth (6%) compared to EFV group (12%). TAF/FTC/DTG had higher gestational weight gain (0·378kg/week) versus TDF/FTC/DTG (0·319kg/week) and TDF/FTC/EFV (0·291kg/week).
	TAF/FTC/DTG	201	
	TDF/FTC/EFV	200	
Surveillance study, Botswana, Aug 2014 – Mar 2019 ²⁹	DTG (TDF/FTC 98.8%)	621	DTG group had 0·35 kg/week weight gain over 18-36 weeks gestation. EFV group had 0·31 and HIV-negative group had 0·44 kg/week weight gain.
	EFV (TDF/FTC 99.8%)	757	
	HIV-negative	11,280	
RCT, Botswana, Aug 2016 – May 2019 ²⁴	Mothers receiving TDF/XTC/DTG	182	No difference in insulin sensitivity in exposed uninfected infants born to women taking DTG versus those taking EFV in pregnancy.
	Mothers receiving TDF/XTC/DTG	124	
Prospective surveillance study, Gaborone,	DTG-based ART	197	Lower rates of GDM were observed in DTG-treated (6·1%) vs EFV-treated
	EFV-based ART	126	

Botswana, Aug 2016 – May 2019 ²³			(13·5%) women. Both rates were comparable to HIV-negative group (7·4%).
	HIV-negative	163	
Database analysis, France, 2012 – 2016 ¹⁰⁰	DTG-based ART	49	Higher birth defect rates in DTG arm at 4·1% versus RAL (1·3%) and Elvitegravir (1·4%).
	RAL-based ART	240	
	Elvitegravir-based ART	70	
Retrospective analysis, United States, 2015-2018 ¹⁰¹	DTG-based ART	66	No side effects on DTG treatment were reported, with 2 cases of birth defects: a congenital heart abnormality and a nonimmune hydrops fetalis.
Retrospective analysis, Sweden, 2014 – Aug 2017 ¹⁰²	DTG-based ART	36	DTG-based regimen showed no difference in adverse pregnancy events from that of general population.

428 *varied N for different outcomes

429 Abbreviations: ART, antiretroviral therapy; DTG, dolutegravir; EFV, efavirenz; FTC, emtricitabine;

430 LPV, lopinavir; RAL, raltegravir; TAF, tenofovir alafenamide; TDF, tenofovir disoproxil fumarate;

431 XTC, either 3TC (lamivudine) or FTC; /c, cobicistat booster; /r, ritonavir boosted.

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Table 2: Summary of in vivo reproductive studies with DTG

Animal model, study	Regimen	Dosage (mg/kg)	Treatment start	N (Litters)	Results
C57BL/6J female mice ⁴⁰	1xDTG (DTG/FTC/TDF) equivalent to human therapeutic drug levels	2.5/33.3/50	GD 0.5	150	Five cases of NTDs were observed in the therapeutic 1xDTG dosage only. Two had exencephaly, two had spina bifida, and one had potential anencephaly.
	5xDTG (DTG/FTC/TDF) reaching suprathreshold levels	12.5/33.3/50		111	
	Control, water	-	91		
C3H/HeJ female mice ⁴¹	DTG	50	GD 0.5	17	Exencephaly in one fetus in DTG arm but N insufficient for statistical power.
	Control, vehicle	-		9	
Sprague-Dawley female rats ³⁹	DTG	5	GD 6	22	No significant differences in external abnormalities. Meningocele/absent eye bulge at 1000mg/kg dose but N insufficient for statistical power.
	DTG	50		21	
	DTG	100		27	
	DTG	300		27	
	DTG	1000		47	
	Control	-		49	
Japanese white female rabbits ³⁹	DTG	40	GD 6	19	No significant differences in external abnormalities observed. One cranioschisis at 40mg/kg dose but N insufficient for statistical power.
	DTG	100		3	
	DTG	200		18	
	DTG	300		5	
	DTG	1000		24	
	Control	-		24	

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Abbreviations: DTG, dolutegravir; FTC, emtricitabine; GD, gestational day; TDF, tenofovir disoproxil fumarate.

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Table 3: Summary of In vitro developmental toxicology studies with DTG

Culture model, study	DTG dosage (μM) [*]	N	Results
Murine P19C5 pluripotent stem cells and human embryonic stem cells H9 ⁴⁵	0.25, 0.5, 1, 2, 4	46-48 aggregates per condition for morphogenesis	DTG was associated with impaired stem cell morphogenesis and changes to developmental regulator genes in a dose-dependent manner in both P19C5 and H9 cells.
CA1S human embryonic stem cells ⁴⁶	8.32	5 replicates	DTG was associated with reduced expression of pluripotency markers in CA1S cells.
H9 human embryonic stem cells ⁴⁶	8.32	6 replicates	DTG was associated with increased rates of apoptosis in H9 cells.
Sprague Dawley GD9 embryo culture ⁴³	12.6	16 embryos	DTG did not affect embryo lethality, visceral yolk sac, somite number, or embryo size.
	22.2	16 embryos	
Zebrafish embryo culture ⁵²	100	2-4 experimental replicates	DTG was associated with developmental toxicity post-fertilization.

^{*}Cmax for DTG in non-pregnant adults is reported as 701–11.56 μM .¹⁰³

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442 **Figure Legends**

443 **Figure 1.** Summary of the observed effects associated with DTG from animal and *in vitro*
444 studies. DTG effects on folate metabolism^{40,49-51}, cellular energy homeostasis^{86,88}, adipocyte
445 function^{86,89,90,93}, and matrix metalloproteinases⁴¹ are shown (anticlockwise from top left).
446 Dotted line indicates variable effects reported. Red arrows indicated increase/higher; blue
447 arrows indicate decrease/lower, black arrow indicates unchanged. FRa, folate receptor 1;
448 RFC, reduced folate carrier; PCFT; proton-coupled folate transporter; MMP, matrix
449 metalloproteinases; PPAR γ , peroxisome proliferator-activated receptor gamma; CEBP α ,
450 CCAAT/enhancer-binding protein alpha; UCP1, uncoupling protein 1; ROS, reactive oxygen
451 species.

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629 [agenda/Agenda/AgendaItemDetail?id=4275e976-13d1-227f-8f54-39fefc85e70d](https://seatoskymeeting.eventsair.com/QuickEventWebsitePortal/31st-annual-canadian-conference-on-hivaids-research-cahr-2022/cahr-2022-virtual-agenda/Agenda/AgendaItemDetail?id=4275e976-13d1-227f-8f54-39fefc85e70d)
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