

**Sex-specific effects of chronic paternal stress on offspring  
development are partially mediated via mothers.**

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## **Abstract**

Paternal stress exposure is known to impact the development of stress-related behaviors in offspring. Previous work has highlighted the importance of sperm mediated factors, such as RNAs, in transmitting the effects of parental stress. However, a key unanswered question is whether mothers' behavior could drive or modulate the transmission of paternal stress effects on offspring development. Here we investigate how chronic variable stress in Balb/C mice influences the sex-specific development of anxiety- and depression-like neural and behavioral development in offspring. Moreover, we examined how stressed fathers influenced maternal investment towards their offspring and how this may modulate the transmission of paternal stress effects on offspring. We show that paternal stress leads to sex-specific effects on offspring behavior. Males that are chronically stressed sire female offspring that show increased anxiety and depression-like behaviors. However, male offspring of stressed fathers show reductions in anxiety- and depression-behaviors and are generally more exploratory. Moreover, we show that females mated with stressed males gain less weight during pregnancy and provide less care towards their offspring which additionally influenced offspring development. These data indicate that paternal stress can influence offspring development both directly and indirectly via changes in mothers, with implications for sex-specific offspring development.

## 1 **Introduction**

2           It is well-acknowledged that the life-histories and experiences of parents prior to  
3 conception have a significant influence on behavioral and physiological development with  
4 effects that can persist for a number of generations (1,2). This phenomenon has been reported  
5 to occur in response to a broad range of physiological and social challenges, including but not  
6 limited to, drug/chemical exposures (3), dietary/immune challenges (4–6) and psychological  
7 stressors (7–9). For example, studies in laboratory rodents indicate that both maternal and  
8 paternal stress lead to alterations in the hormonal and behavioral response to stress of  
9 offspring (8,10–12). These findings highlight the importance of environmental factors in  
10 conferring disease risk and/or resilience. While the phenomenon of these parental effects is  
11 well supported, the mechanisms that account for these effects is a topic of speculation within  
12 the scientific literature and likely involves a complex interplay of molecular, physiological  
13 and behavioral factors.

14           In mammals, the intergenerational influence of mothers is likely to involve pre- and  
15 postnatal maternal interactions that have developmental programming effects on offspring.  
16 However, the phenomenon of paternal effects, particularly in species in which there is limited  
17 or no interactions following conception between fathers and offspring is suggestive of a  
18 germline mechanism of inheritance. Indeed, there has been a significant focus on sperm-  
19 mediated mechanisms (*e.g.*, sperm RNAs and DNA methylation) in driving the effects of  
20 paternal stress (8,11–14). Our previous work, using embryo transfer, highlights the role of  
21 sperm-mediated factors and suggests that paternal pre-conceptual stress can predict offspring  
22 neurobiological and behavioral phenotypes. However, this work also highlights how pre- and  
23 postnatal maternal interactions may influence these outcomes in addition to any epigenetic  
24 effects in the sperm (4).

25           A role for maternal effects in mediating or moderating the impact of fathers on  
26 offspring is not typically considered within molecular studies of paternal transgenerational  
27 effects. However, the concept that females may dynamically adjust the provision of pre- and  
28 postnatal care towards offspring based on the quality of male mates has been well-  
29 acknowledged in the behavioral ecology literature and described across several non-  
30 mammalian species [*e.g.*, birds, insects, fish *etc.* (15–20)]. For example, females can increase  
31 investment in offspring sired by attractive and/or high-quality mates [or decrease investment  
32 in less desirable mates; termed differential allocation; (15,20)]. Alternatively, females could  
33 compensate by increasing investment in offspring of low quality mates [termed reproductive  
34 compensation;(16–18)]. It remains unclear what factors predict whether differential

35 allocation or reproductive compensation will occur, though it likely involves a combination  
36 of male phenotypic features and female reproductive and energetic states (18,20,21). In mice,  
37 these maternal contributions could come in the form of prenatal investment (*e.g.*, increased  
38 feeding), maternal care (licking/grooming and nursing) and even from mothers' microbiomes  
39 (4,22,23).

40 We have previously shown that differential allocation can occur in Balb/C mice  
41 mated with socially enriched males (23). Further, we have demonstrated that female mice  
42 mated with food restricted males show increased maternal investment in offspring (*e.g.*  
43 prenatal weight gain, postnatal maternal behavior) which buffered against the negative  
44 consequences predicted by paternal food restriction (4). Thus, paternal effects could be  
45 exacerbated or buffered depending on how mothers are impacted by the phenotype of fathers.  
46 Despite an expanding literature on the non-genetic transmission of paternal effects, we still  
47 do not have a clear understanding of the extent to which paternally-induced maternal effects  
48 occur across qualitatively different pre-conceptual exposures. In the current study, we further  
49 explore this phenomenon by examining the impact of variable and chronic pre-conceptual  
50 stress of adult Balb/C male mice to parse out direct (paternal) and indirect (maternal) effects  
51 on the subsequent neural and behavioural development of stress-related pathways in  
52 offspring. We include analyses of the expression of genes (*Crh* & *Bdnf*) within the  
53 hypothalamus that are involved in stress pathways and that we have previously assessed  
54 within studies of maternal modulation of paternal effects (4).

55

## 56 **Methods**

### 57 *Animals, Husbandry & Breeding*

58 Adult male and female Balb/C mice (F0; approximately 3 months of age) purchased from  
59 Charles River were used to generate offspring for these studies. Mice were housed on a 12-  
60 hour dark-light cycle at the Department of Psychology at Columbia University, with lights on  
61 at 22:00 and off at 10:00. All animals were given ad libitum access to food (mouse chow) and  
62 water. Adult male and female mice were housed in same-sex quads in 35 x 21 x 14cm  
63 Plexiglas cages in the animal facility for 2 weeks prior to mating. All procedures were  
64 conducted in accordance with animal care standards and approval of the Columbia University  
65 Institutional Animal Care and Use Committee (IACUC).

66

### 67 *Paternal Chronic Stress Paradigm*

68 Adult male mice (N=12/group) were chronically stressed (stressed; PS) for a 6-week period

69 during which each mouse was either exposed daily to a 1-h restraint stressor or a 6-min  
70 forced swim. The timing of the stressor was varied each day with a rest day interspersed  
71 every 4-5 days. Male mice were tested for anxiety- and depression-like behavior in the open-  
72 field and forced swim tests, respectively, exactly one week after the last stress exposure.  
73 Control mice were left undisturbed except for weekly cage changes (control; PC).

74

### 75 ***Mating***

76 A single male was placed in a mating group with 3 adult (6-8 week old) Balb/C female mice  
77 for approximately 2 weeks. After the mating period, males were removed and once females  
78 reached late pregnancy they were separated and singly housed prior to parturition (N=72).  
79 Changes in pre- and postnatal maternal investment were measured across gestation and  
80 during the first postnatal week for all litters (described below). At birth, pups were weighed  
81 and counted but otherwise left undisturbed during the postnatal period. All litters were  
82 observed from PN1-6 to determine postnatal levels of maternal care (frequency of  
83 licking/grooming, nursing). Following the final maternal observation on PN6, litters were  
84 weighed and counted but otherwise left undisturbed with the exception of weekly cage  
85 cleaning until weaning (PN28). At weaning, individual pups were weighed and placed into  
86 same-sex groups of four. From each litter, a maximum of 2 male and female offspring were  
87 selected for behavioral testing for a total of N=15/group/sex.

88

89 *Prenatal Maternal Investment* As a proxy measure for prenatal investment (*e.g.*, food  
90 consumption during gestation) (24,25), female mice that mated with PS or PC males were  
91 weighed daily across gestation as previously described in (4). The day of birth was  
92 considered postnatal day 0 (PN0) and therefore, assuming an average gestation time of 19  
93 days, percent weight gain was calculated for the last 20 weight observations. Given that there  
94 is individual variation in body weight, percent weight gain for each gestational day (*gd*) was  
95 calculated by subtracting current weight from initial weight ( $w_0$ ) and dividing by initial  
96 weight and multiplied by 100.

97

98 *Postnatal Maternal Investment* Following parturition, dams were observed to determine  
99 whether mating condition results in variation in postnatal maternal behaviors. The procedure  
100 for assessing maternal behavior in mice has been described previously (26). Each dam was  
101 observed for four 1h periods per day by an observer blind to paternal condition from PN1-6,  
102 resulting in a total of 480 observations of each litter. The frequency of the following

103 behaviors was scored: mother in contact with pups, mother in nursing posture over pups and  
104 mother licking and grooming any pups (N=21 and N=34 for PS and PC mated, respectively).

105

### 106 ***Behavioral Testing of Offspring***

107 Males exposed to stress or control conditions and male and female offspring (starting at  
108 PN55) from the four groups (N=15/group/sex) underwent testing in the open-field and forced  
109 swim test.

110 *Open Field Test* The open field apparatus used was a 60 x 60 x 40cm Plexiglas box with  
111 black walls and a white floor. On the day of testing, the mouse was removed from its home  
112 cage and placed directly into one corner of the open field. After a 10-min session, the mouse  
113 was returned to its home cage. All testing was conducted under red lighting conditions.

114 Behavior in the apparatus was video recorded. Behaviors scored using Ethovision (Noldus)  
115 included: (1) center area exploration, defined as the time spent in the inner (30 x 30cm) area,  
116 (2) latency to enter the center area, and (3) total distance travelled.

117 *Forced-Swim Test* Depression-like behavior was measured during a brief forced-swim test.  
118 All forced-swim tests were conducted during the dark cycle in white light illuminated room.  
119 Mice were placed into a 2L glass beaker filled with water at room temperature  
120 (approximately  $25 \pm 2^\circ\text{C}$ ). All tests were video recorded for later scoring by an observer blind  
121 to condition. The behaviors scored were active struggling (vigorous swimming), and  
122 immobility (passive swimming, little to no active movement).

123

### 124 ***Quantitative Real-Time PCR Analysis***

125 RNA was isolated from the PN6 and adult hypothalamus of male and female PS and PC  
126 offspring using the AllPrep DNA/RNA Mini Kit (Qiagen) and reverse transcribed to cDNA  
127 using the SuperScript III First-Strand Synthesis System for RT-PCR applications  
128 (Invitrogen). Quantitative RT-PCR was performed with 1 $\mu$ l of cDNA using an ABI 7500 Fast  
129 Thermal Cycler and the Fast SYBR Green Master Mix reagent (Applied Biosystems). Primer  
130 probes (Sigma-Aldrich) were designed to span exon boundaries ensuring amplification of  
131 only mRNA (see **Table S1**). For each gene,  $C_T$  values were normalized to cyclophilin A  
132 (endogenous control). Relative expression values were obtained by the  $\Delta\Delta C_T$  method  
133 calculated relative to control group. Genes were chosen for their involvement in  
134 hypothalamic pituitary adrenal (HPA) axis function (corticotropin-releasing factor, *Crf*) and  
135 brain function/plasticity (brain-derived neurotrophic factor, total *Bdnf*) (27,28).

136

## 137 *Statistical Analyses*

138 All statistical methods were performed using custom scripts written in R version 3.5.1 (29).  
139 Data wrangling and visualization was performed using a combination of base functions and  
140 the ‘tidyverse’ suite of R packages (30). Analysis of male behavior in response to chronic  
141 stress was performed using the base stats package in R. To account for the multilevel  
142 structure of the data (*i.e.*, male mice mated with multiple females and sired multiple litters  
143 and multiple offspring from the same father) linear multilevel mixed regression models were  
144 used where appropriate with either male and/or female ID included in the model as a random  
145 effect. These analyses were performed using the lme4 and lmerTest R packages (31,32).  
146 Bootstrapped mediation analyses were performed using the ‘mediation’ package in R which  
147 provides standardised effect sizes in its report of parameter estimates and their 95%  
148 confidence intervals (33). Effect sizes (Cohen’s  $d$  and  $\eta^2$ ) for generalised linear and mixed  
149 effects models were calculated using the ‘emmeans’ and ‘effectsize’ packages in R (34).

150

## 151 **Results**

152

### 153 *Effects of chronic stress on male behavior*

154 *Open-Field Test.* Stressed adult male mice showed increased anxiety-like behavior when  
155 tested in an open-field. Stressed male mice (PS-F0) had a longer latency to enter the center  
156 ( $F(1,22)=7.999$ ,  $p=0.00979$ ,  $d=0.074$ ), made less frequent entries into the center  
157 ( $F(1,22)=6.54$ ,  $p=0.018$ ;  $d=1.04$ ) and spent less overall time in the center of the arena  
158 ( $F(1,22)=4.378$ ,  $p=0.048$ ;  $d=0.854$ ; **Figure 1a**). There were no significant differences in  
159 number of fecal boli deposits ( $F(1, 22) = 1.042$ ,  $p=0.318$ ) or in the total distance travelled  
160 ( $F(1, 22)=1.678$ ,  $p=0.209$ ) between the two groups.

161 *Forced-Swim Test.* Though there was a trend for stressed males (PC-F0) to have an increased  
162 latency to immobility ( $t(21)=1.742$ ,  $p=0.09$ ;  $d=-0.711$ ; **Figure 1b**), there were no significant  
163 differences in forced-swim behavior between PS-F0 and PC-F0 males, including duration of  
164 time spent immobile during the last 4m of the test ( $t(21)= -1.397$ ,  $p=0.1770$ ).

165

### 166 *Maternal investment of females mated with stressed males*

167 There was a non-significant trend for females that mated with stressed males to be  
168 less likely to become pregnant or maintain a successful pregnancy with only 36% of PS-  
169 mated females giving birth compared to 62% of PC-mated females (Survival Analysis,  
170  $p=0.09$ ; **Figure 2a**). Among females that became pregnant and successfully gave birth, we  
171 found that females mated with a PS male gained less weight in the final days of gestation.

172 Among females that became pregnant and gave birth, there were significant differences in  
173 weight gain across the last 3 days of gestation between females mated with PS-F0 and PC-F0  
174 males (beta = -5.1413,  $t(94)=-2.136$ ,  $p=0.0353$ ;  $d=0.439$ ; **Figure 2b**). These effects were  
175 present after controlling for the effect of litter size on gestational weight gain, which also  
176 influenced maternal weight gain throughout pregnancy (beta=4.8920,  $t(94)=11.978$ ,  $p<2.2e-$   
177 16). There were no significant differences between females mated with PS-F0 and PC-F0  
178 males in terms of frequency of maternal licking ( $t(182)=-0.543$ ,  $p=0.588$ ; **Figure 2c**).  
179 However, females that mated with stressed males showed reduced frequency of nursing  
180 during the first postnatal week (main effect of PS: Beta=-0.06,  $t(183)=-2.227$ ,  $p=0.0272$ ;  
181  $d=0.331$ ; **Figure 2d**). These effects were driven by a reduction of nursing during postnatal  
182 days 4-5 (post-hoc tests: beta=-0.129,  $t(34)=-2.445$ ,  $p=0.02$ ,  $d=0.671$  and beta=-0.10,  $t(34)=-$   
183 2.011,  $p=0.05$ ,  $d=0.82$ , respectively).

#### 184 *Offspring phenotype*

186 *Body Weight.* Paternal stress had no effect on litter weight on the day of birth (Beta=-0.453,  
187  $t(39)=-1.470$ ,  $p=0.161$ ) or body weight at weaning for females (Beta=-0.469,  $t(49)=-0.880$ ,  
188  $p=0.395$ ) or males (Beta=0.221,  $t(51)=0.504$ ,  $p=0.621$ ) even after controlling for litter size.

189 *Open-Field Test.* The effects of paternal stress on offspring open-field behavior were sex-  
190 specific. When males and females were analyzed separately we find that paternal stress  
191 resulted in male offspring that spent more time (beta=50.42,  $t(29)=2.141$ ,  $p=0.041$ ; **Figure**  
192 **3a**) and travelled a greater distance (beta=2.1642,  $t(29)=2.261$ ,  $p=0.031$ ) in the center of the  
193 open-field arena. There were no effects of paternal stress on female offspring in the open-  
194 field test.

195 *Forced-Swim Test.* The effects of paternal stress on offspring force-swim behavior were also  
196 sex-specific. Female offspring of stressed males spent less time swimming passively (beta=-  
197 26.52,  $t(30)=-2.183$ ,  $p=0.037$ ;  $d=0.772$ ) whereas males spent more time swimming passively  
198 (beta=58.67,  $t(30)=-3.291$ ,  $p=0.0027$ ;  $d=-1.2$ ). Male offspring of paternally stressed males  
199 also spent more time actively swimming/struggling (beta=3.8288,  $t(28)=2.671$ ,  $p=0.013$ ;  $d=-$   
200 0.977) whereas no such difference were found within female offspring (beta=-0.4875,  $t(30)=-$   
201 1.297,  $p=0.20$ ).

202 Moreover, female offspring of paternally-stressed males show increased immobility  
203 (beta=28.67,  $t(28)=2.523$ ,  $p=0.02$ ;  $d=0.772$ ), whereas male offspring immobility was reduced  
204 (beta=-67.67,  $t(28)=-3.298$ ,  $p=0.01$ ;  $d=1.2$ ) during the forced-swim test (**Figure 3b**).

205 Interestingly, there was a positive correlation between the duration of time spent immobile by



206 father's and daughters, which in addition to the paternal stress condition, independently and  
207 positively influenced female offspring ( $\beta=0.2835$ ,  $t(28)=2.330$ ,  $p=0.03$ ; partial  $\eta^2=0.16$ ).  
208 There was no such influence of fathers' immobility on immobility in male offspring (**Figure**  
209 **3c**).

### 210 *Offspring gene expression*

212 Paternal condition had significant interactive effects on brain-derived neurotrophic factor  
213 (*Bdnf*) and corticotropin releasing hormone (*Crh*) expression in the developing  
214 hypothalamus. Hypothalamic *Crh* mRNA levels on postnatal day 6 were increased in female  
215 ( $\beta=0.3282$ ,  $t(14)=2.257$ ,  $p=0.04$ ;  $d=-1.14$ ) but not male ( $\beta=0.21$ ,  $t(14)=1.585$ ,  $p=0.135$ )  
216 offspring sired by PS fathers (**Figure 4a**). Hypothalamic *Bdnf* levels, however, were  
217 significantly reduced in male ( $\beta=-0.23$ ,  $t(14)=-2.056$ ,  $p=0.05$ ;  $d=-0.799$ ) but not female  
218 ( $\beta=0.11$ ,  $t(14)=1.443$ ,  $p=0.17$ ; **Figure 4b**) PS offspring at the same time point. These  
219 effects did not persist into adulthood as there were no differences in either *Crh* or *Bdnf*  
220 expression in the adult hypothalamus of offspring of either stressed or control fathers of  
221 either sex, nor was there any interaction [full model for *Crh*: ( $F(3,28)=1.599$ ,  $p=0.92$ ), full  
222 model for *Bdnf*: ( $F(3,28)=1.051$ ,  $p=0.385$ )].

### 223 *Mediation of paternal effects by mothers*

225 Given that paternal stress altered both maternal behaviors of mates as well as offspring  
226 outcomes, we tested if paternal effects were mediated, at least in part, by changes in maternal  
227 behavior. As described above, paternal stress condition was a significant predictor of  
228 offspring immobility in the FST in both sexes (Total effect). Both prenatal weight gain ( $\beta$   
229 = 21.19 (-.48 – 57.52),  $p=0.05$ ) and postnatal nursing ( $\beta= 19.96$  (-4.26 – 44.98),  $p=0.05$ )  
230 were significant partial mediators of this effect in male offspring (Indirect effect; **Figure 5**).  
231 No such mediating relationship was found in female offspring (**Figure 5**; see **Table S2**).

### 232 **Discussion**

234 The current study adds to the growing literature showing that the effects of paternal  
235 stress on offspring development are sex-specific. Our study shows that although mating with  
236 stressed males compromises successful pregnancy outcomes to a small degree, offspring that  
237 do complete development can still exhibit the behavioural consequences of being born to  
238 stressed fathers. Males that are chronically stressed sire female offspring that show increased  
239 anxiety and increased depression-like behaviors. This paternal effect was associated with

240 increased expression of *Crh* mRNA in the developing hypothalamus. Paradoxically, male  
241 offspring of stressed fathers show reduced anxiety-like and depression-like behaviors as  
242 adults. Moreover, we show that the effects of paternal stress are partially mediated via  
243 mothers' changes in maternal investment in response to their mates. Taken together, these  
244 data suggest a role for maternally-induced effects in propagating the effects of paternal stress  
245 in addition to any direct effects paternal condition may have on offspring development.

#### 246 247 ***Sex-specific effects of paternal stress on offspring development***

248 Our findings indicate that paternal stress in Balb/C mice results in an increase in  
249 anxiety- and depression-like behavior in female offspring. However, males show a  
250 pronounced reduction in these behaviors compared to offspring sired by control males. These  
251 effects were not attributable to changes in body weight as a result of paternal stress, which  
252 often affects behavioural tests requiring activity and/or mobility (35). Overall, these results  
253 suggest that male offspring of stressed fathers are less sensitive to stressors (induced by open-  
254 field and forced-swim) and more active/exploratory. Consistent with this suggestion, we see  
255 increased hypothalamic *Crh* in developing females and decreased *Bdnf* in developing males.  
256 Given that *Crh* is involved with increased stress-reactivity of the HPA axis (36,37) and *Bdnf*  
257 maintains energy homeostasis and reduces stress reactivity (9,38), these data point to  
258 divergent stress programming pathways in response to paternal stress between the sexes. *Bdnf*  
259 is expressed in energy balance centers within the hypothalamus and loss of *Bdnf* in these  
260 regions has been shown to induce aggression, hyperphagia and obesity in mice (39,40). Our  
261 previous work reported similar effects of paternal food restriction on the sexes, which  
262 suggested that reductions in *Bdnf* might be associated with appetitive and feeding related  
263 behaviours (4). Therefore, paternal stress could target different developing pathways in  
264 different brain regions to program divergent phenotypic outcomes in offspring. This  
265 interpretation is consistent with previous work in three-spined sticklebacks suggesting that  
266 paternal stress may prime sons for riskier environments. In three-spined sticklebacks, paternal  
267 predation exposure resulted in sons that were more active and exploratory, which resulted in  
268 more risk taking and reduced survival when confronted with a predator (41).

269 While there have been many studies showing sex-specific effects of paternal stress on  
270 offspring development, there has been no consistent indication regarding the direction and  
271 magnitude of effects on offspring phenotype (7,8,11,42). For example, males stressed early in  
272 life (maternal stress combined with maternal separation) sired offspring (both male and  
273 female) that exhibited reduced anxiety-like behavior across a battery of tests with no effect

274 on depression-like behavior (8,14). However, chronic variable physical stress during  
275 adolescence or adulthood had no effect on baseline anxiety or depression-related behaviors  
276 (11). In contrast, social defeat stress in adulthood, resulted in elevated levels of anxiety- and  
277 depression-like behavior in both males and females, with more pronounced effects in males  
278 (7,43). These seemingly paradoxical findings are likely to be due to a combination of stressor  
279 timing (*i.e.*, the developmental stage when stress was experienced), the qualitative nature of  
280 stressor and the duration of exposure (*i.e.*, short vs. long-term exposure). For example,  
281 different stressors at different time points may have varied effects on sperm development  
282 depending on the stage of the spermatogenic cycle that is affected, which could, in turn,  
283 affect sperm content and quality at fertilization (44). Another possibility is that non-genetic  
284 paternal factors may interact differently depending on the genetic background/strain of mice  
285 used. Balb/C mice are generally less social and more sensitive to stress (45,46). Consistent  
286 with our results, previous studies in this strain have shown that open-field activity of fathers  
287 is correlated with female, but not male, offspring open-field activity (47). Moreover, we  
288 previously showed that social rearing conditions of Balb/C fathers influenced offspring  
289 phenotypic outcomes, which were likely due, in part, to changes in mate maternal investment  
290 (23).

291 Despite these consistent reports of sex-specific effects on offspring development, we  
292 still do not have a clear understanding of how these effects arise mechanistically. Suggested  
293 explanations in the literature include sex-chromosome linked paternal epigenetic variation  
294 (48,49). Moreover, given differences in sex hormone release and sex-specific epigenetic  
295 programming events in utero (50,51), there may be differences in timing that render one sex  
296 more or less sensitive to paternal-associated variation. Relatedly, there may be differences in  
297 the provision of postnatal maternal care, which could further perpetuate sex-specificity in  
298 behavioral outcomes (52,53). Previous studies have shown that males preferentially receive  
299 maternal care (52,53), such sex-specific differences in care could additionally drive the sex  
300 differences in behavioural development we have observed here. Given that females are  
301 generally more vulnerable to stress-related disease (54), this is a key area for future work.

### 302 303 ***Paternal effects via the germline***

304 Paternal effects on offspring development are particularly intriguing because they  
305 highlight the opportunity for environmentally-acquired epigenetic marks and signals to be  
306 inherited across generations. Though DNA methylation was initially identified as a potential  
307 candidate, it is increasingly considered a non-robust candidate for a heritable non-genetic

308 mark (5,14). This is primarily attributed to the major waves of reprogramming during  
309 development that erase any acquired DNA methylation and render transmission across the  
310 germline rare (50). More recent work on paternal stress has focused on sperm RNAs which  
311 could be transferred at fertilization from the sperm nuclei itself, or hitchhike via extracellular  
312 vesicles that are fused to sperm (8,12,13,43,55,56). Critically, we and others have shown that  
313 artificial reproduction techniques (e.g., embryo transfer and in vitro fertilization) are  
314 sufficient for the transmission of paternal experience to offspring (4,7,8,43). For example,  
315 stressful experiences of males (both in early-life as well as adult exposure) result in changes  
316 in small and long noncoding RNAs in sperm, which when transmitted *via* in vitro fertilization  
317 (IVF) influences offspring phenotype in a sex-specific manner (7,8). These data are  
318 suggestive of a causal role for sperm RNAs in mediating paternal effects with evidence that  
319 these RNAs may bind to consensus sequences in the developing embryo to influence  
320 transcriptional programs (6). Interestingly, the specific nature of how germline transmission  
321 might play out is complicated by the artificial reproductive technique used. For example, the  
322 same male social defeat paradigm produced slightly different sex-specific outcomes  
323 depending on whether IVF or artificial insemination was used. However, it is interesting to  
324 note that for both techniques, females were consistently sensitive to paternal social defeat  
325 stress (7,41).

326

### 327 ***Role for paternally-induced maternal effects***

328         Though the mechanistic basis of paternal effects has solely focused on the  
329 transmission of non-genetic marks and signals *via* sperm, we have previously shown that  
330 paternally-induced maternal effects might indirectly mediate, at least in part, some  
331 phenotypic transmission (2,4,23). This study further adds to that concept, showing that  
332 mating with stressed males leads to a reduction in maternal investment (both pre- and  
333 postnatal) in Balb/C mice. We know that pregnancy is associated with a sharp increase in  
334 daily food intake to meet the metabolic demands of sustaining a successful pregnancy, while  
335 also supplying sufficient nutrients for developing offspring during the pre- and post-natal  
336 periods (24,25,57). In previous studies, insufficient weight gain during mouse pregnancy was  
337 shown to be associated with early pup abandonment due to failures to sustain lactation and  
338 support postnatal pup development (24). Both the nutritional environment during fetal  
339 development and levels of postnatal care have been shown to independently shape the  
340 metabolic and neural pathways underlying growth, stress and brain plasticity *via* epigenetic  
341 mechanisms (58,59). Therefore, the changes in maternal weight gain and postnatal care

342 observed in response to mating with stressed fathers could have repercussions for offspring  
343 developmental trajectories, independent of direct paternal stress effects.

344 Our results indicate that although paternally stressed fathers had direct effects on  
345 offspring behavior, the strength of these effects were partially mediated through mothers'  
346 change in behavior. Previously, we showed that mating with socially-enriched Balb/C mice  
347 or food-restricted C57Bl6 male mice resulted in increased maternal investment (4,23). Using  
348 embryo transfer, we showed that while food-restricted fathers could directly influence growth  
349 rate, hypothalamic gene expression and behavior in female offspring, many of these  
350 phenotypes are absent or reversed under natural mating conditions. We further showed that  
351 this was likely due to increased maternal investment in response to food-restricted mates,  
352 which occurs only when females mate naturally with food-restricted males (rather than  
353 gestating transplanted embryos) (4). Another study showed that the effects of chronic social  
354 defeat stress in isogenic male mice are not completely transmitted to offspring when sired  
355 using IVF, which lends further support to the possibility that maternal mediation of these  
356 effects may play a role (7).

357 Critically, these data suggest that maternal investment can both perpetuate or  
358 compensate for male phenotype depending on the nature of the experience and genetic  
359 background of adult male mice. It is, therefore, not surprising that different types of stressors,  
360 such as the chronic physical stress used in this study, may result in reductions in maternal  
361 investment. This effect could result from differences in female assessment of male quality, or  
362 sexual interactions at mating or changes in seminal fluid that could prime reproductive  
363 hormones (2,20,60,61). In our previous work, we showed that gestating the embryos of FR  
364 fathers failed to elicit changes in maternal investment in surrogate mothers, suggesting that  
365 changes in investment were the result of mating with food restricted males rather than male  
366 contributions to fetal or placental resource extraction (4). However, different paternal  
367 experiences could affect maternal investment through different pathways. Regardless of how  
368 these effects emerge, these data add to a growing body of work suggesting that paternally-  
369 induced maternal effects can additionally shape the direction and magnitude of phenotypic  
370 change in response to paternal phenotype. Increased understanding of these pathways will be  
371 a critical step in developing strategies for translating this research to humans, particularly  
372 considerations of how a broad range of factors contribute to maternal stress and offspring  
373 developmental outcomes.

374  
375 ***Conclusions***

376           The idea that environmentally-induced signals could be inherited *via* the germline has  
377 provoked re-evaluation of our definitions of heritability. In the current study, we show how  
378 social interactions between parents may provide an additional route through which paternal  
379 experience may influence offspring development, even when fathers' do not provide parental  
380 care themselves. While this has been well-documented in non-mammalian species, we have  
381 shown this to occur in response to paternal social isolation/enrichment (23), dietary  
382 restriction (4) and now paternal physical stress in inbred laboratory mice indicating that this  
383 is a robust phenomenon with important implications for offspring developmental trajectories.  
384 Therefore, there are multiple pathways through which the experiences and life-histories of  
385 parents interact to drive phenotypic variation which can impact the subsequent direction and  
386 strength of transmission of parental effects. Predictions about the long-term heritability of  
387 epigenetic effects should take these additional sources of variation into account.

388

389

390

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394

395 **Data availability:** Data and code needed to evaluate the conclusions in the paper are  
396 available from <https://github.com/r-mashoodh/PaternalStress>

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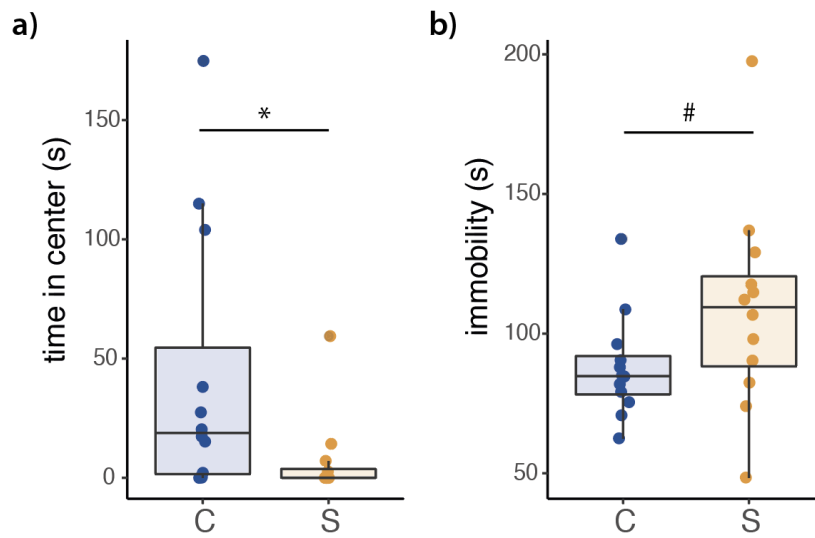


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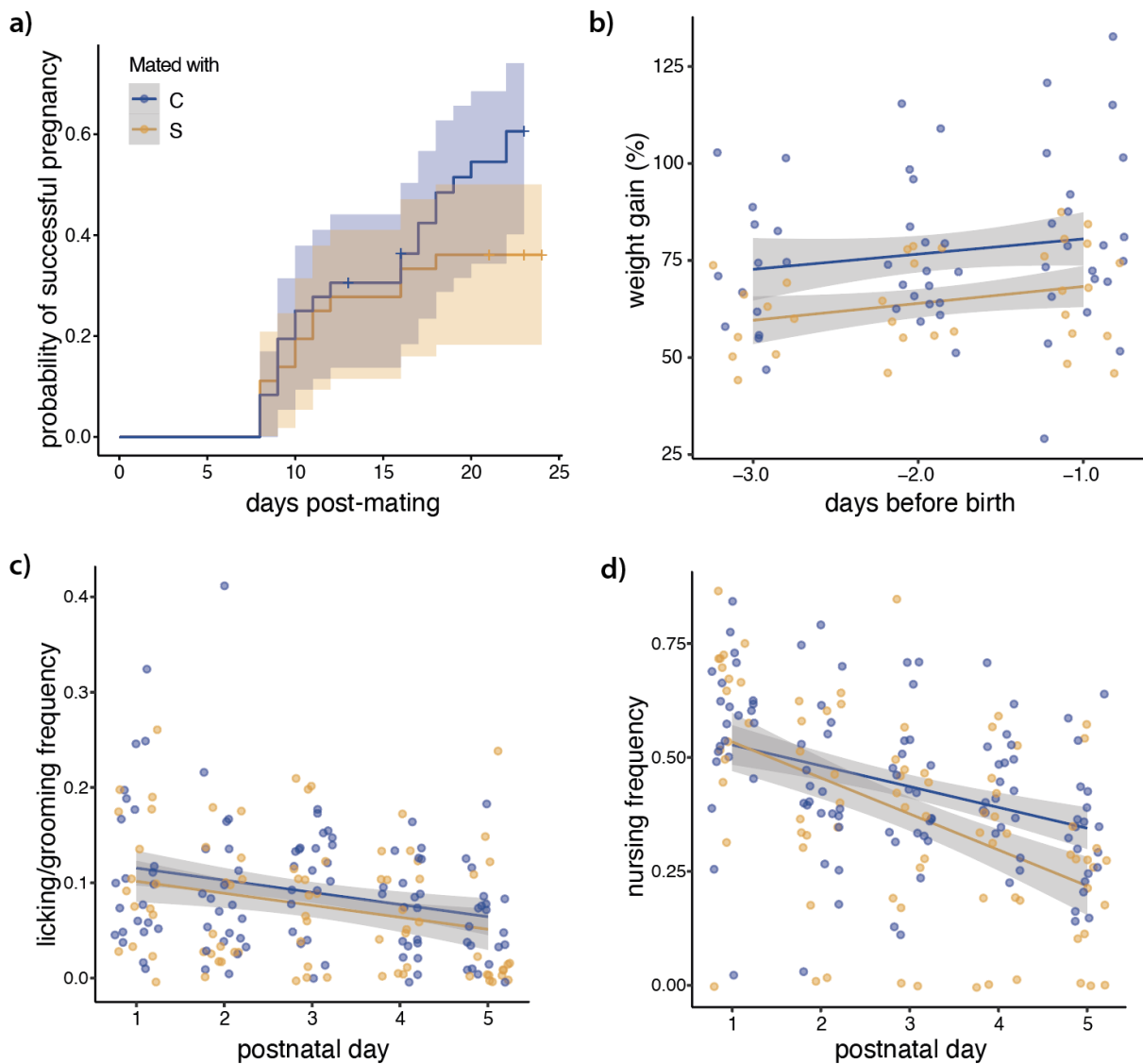
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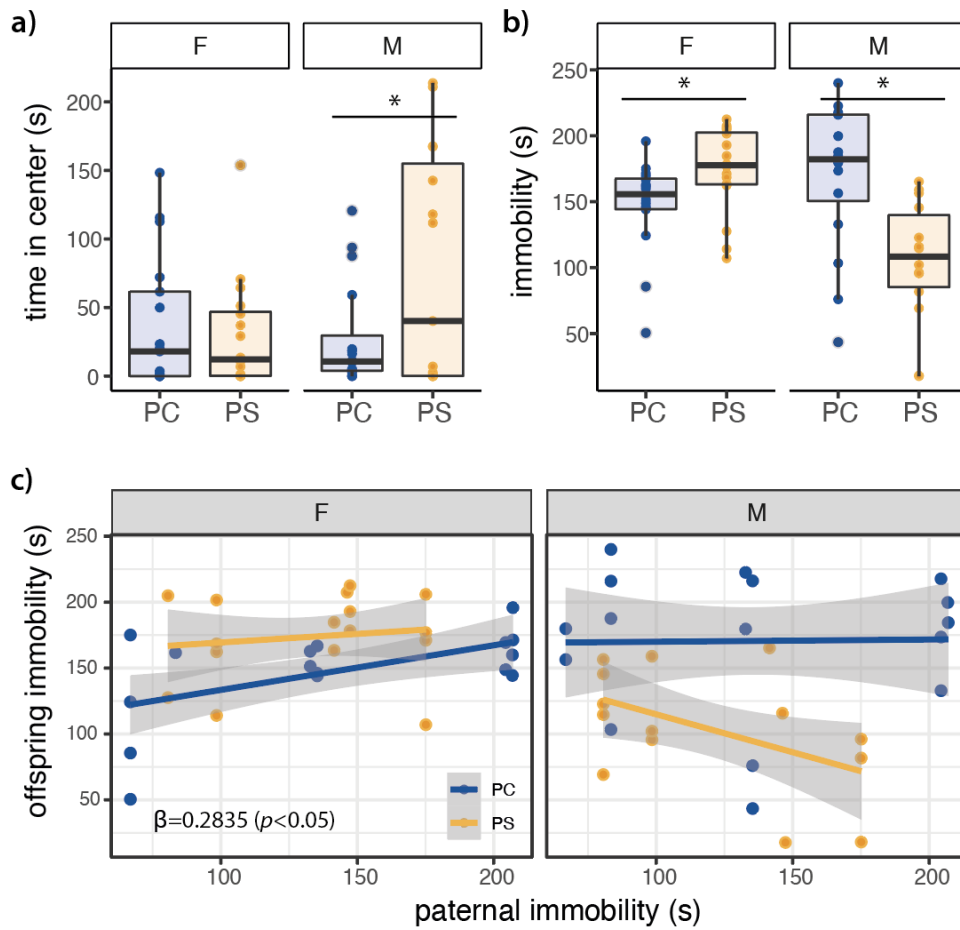
## Figures & Tables



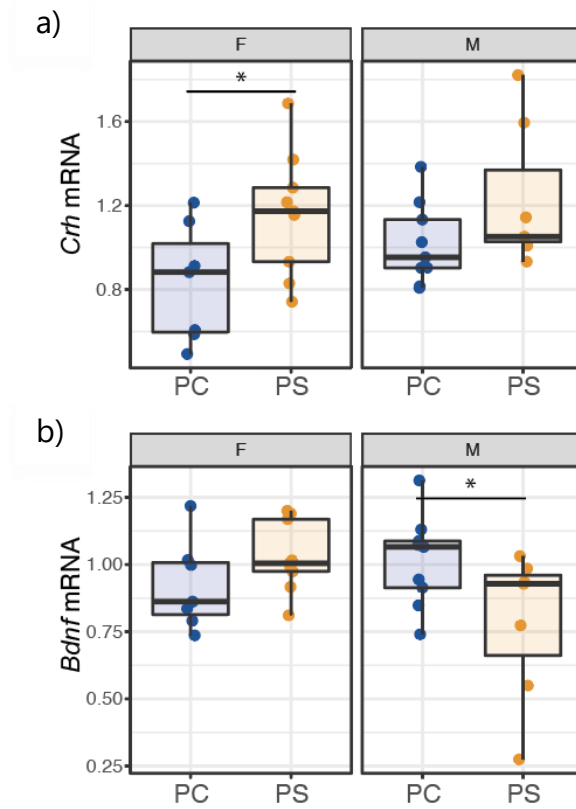
**Figure 1.** Adult male mice exposed to chronic variable stress (S) **(a)** spend less time in the center of an open-field test and **(b)** marginally more time immobile in a forced-swim test compared with control (C) males ( $*p < 0.05$ ;  $\#p < 0.1$ ).



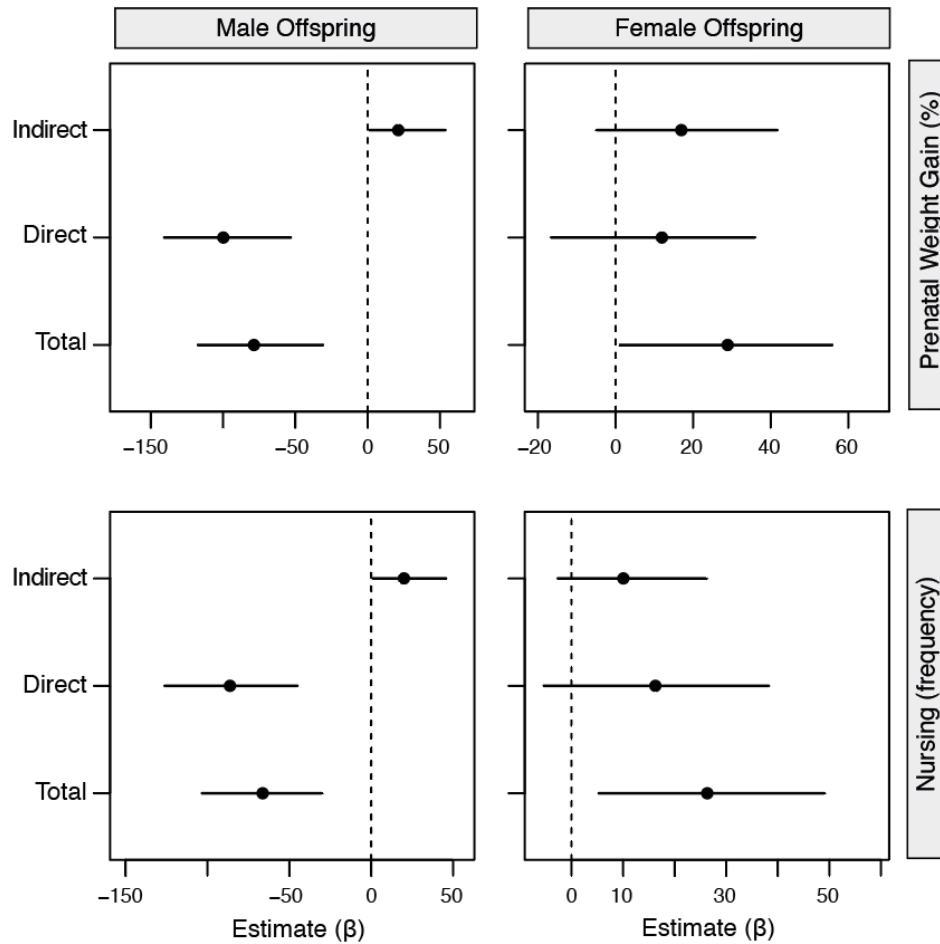
**Figure 2.** Females mated with stressed (S; green) males have **(a)** less successful pregnancies (either lost by never becoming pregnant, losing their pregnancy or litter) **(b)** gain less weight during gestation, **(c)** show no changes in licking frequency but **(d)** nurse offspring at reduced frequencies compared to females mated with control (C; blue) males. Mate condition did not affect female licking and grooming of pups.



**Figure 3.** Paternal stress (PS) results in sex-specific behavioral outcomes in offspring compared to control fathers (PC). **(a)** Male PS offspring show increased time spent in the center of an open-field. **(b)** Female PS offspring show increased mobility in a forced swim test, whereas male immobility is reduced. **(c)** Fathers' immobility is positively correlated with immobility score of daughters but not sons in the forced-swim test ( $*p<0.05$ ).



**Figure 4.** Gene expression of (a) corticotropin-releasing hormone (CRH) is increased in the developing (PN6) hypothalamus of female offspring of stressed fathers. (b) mRNA levels of BDNF are decreased in male offspring. (\* $p < 0.05$ )



**Figure 5.** Forrest plot of slope estimates ( $\beta$ ) for mediation analyses predicting the effect of paternal stress condition on offspring duration of immobility in the forced swim test (lines represent 95% Confidence Intervals). There was a significant mediating effect of maternal investment (prenatal weight gain and nursing; Indirect effect) in male offspring behavior. This was in addition to a significant direct effect of fathers on offspring and total effect (including maternal variables) indicating a partial mediation in males. No such mediating effect was found in females.



## Supplementary Tables

**Table S1.** Primer sequences for qPCR

Gene	Forward Primer (5')	Reverse Primer (3')
<i>Bdnf</i>	CATAAGGACGCGGACTTGTACA	AGACATGTTTGCGGCATCCA
<i>Crf</i>	GGGAAGTCTTGGAAATGGC	GCAACATTTTCATTTCCCGAT
<i>Cypha</i>	GAGCTGTTTGCAGACAAAGTTC	CCCTGGCACATGAATCCTGG

***Bdnf***, brain-derived neurotrophic factor; ***Crf***, corticotrophin releasing factor; ***Cypha***, cyclophilin A.

**Table S2.** Mediation analysis of maternal weight gain and maternal nursing on offspring immobility in the forced-swim test.

	Estimate	95% CI Lower	95% CI Upper	<i>p</i>
<i>Male Offspring - Maternal Weight Gain</i>				
ACME	21.19	-0.48	57.52	0.05
ADE	-99.80	-142.38	-59.47	0.00
Total Effect	-78.62	-123.74	-35.57	0.00
Prop. Mediated	-0.27	-1.27	0.00	0.05
<i>Male Offspring - Maternal Nursing</i>				
ACME	19.96	0.09	43.23	0.05
ADE	-86.26	-126.62	-45.75	0.00
Total Effect	-66.30	-98.46	29.98	0.00
Prop. Mediated	-0.30	-0.94	0.00	0.05
<i>Female Offspring - Maternal Weight Gain</i>				
ACME	16.95	-4.26	44.98	0.16
ADE	11.95	-10.48	37.97	0.33
Total Effect	28.90	3.90	59.18	0.02
Prop. Mediated	0.59	-0.28	1.83	0.16
<i>Female Offspring - Maternal Nursing</i>				
ACME	10.06	-3.69	25.39	0.15
ADE	16.27	-8.69	37.81	0.14
Total Effect	26.33	1.99	51.85	0.03
Prop. Mediated	0.38	-0.38	2.06	0.16