

A data-driven study of Alzheimer's disease related amyloid and tau pathology progression

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Abstract

Amyloid-beta is thought to facilitate the spread of tau throughout the neocortex in Alzheimer's disease, though how this occurs is not well understood. This is because of the spatial discordance between amyloid-beta, which accumulates in the neocortex, and tau, which accumulates in the medial temporal lobe during aging. There is evidence that in some cases amyloid-beta-independent tau spreads beyond the medial temporal lobe where it may interact with neocortical amyloid-beta. This suggests that there may be multiple distinct spatiotemporal subtypes of Alzheimer's-related protein aggregation, with potentially different demographic and genetic risk profiles. We investigated this hypothesis, applying data-driven disease progression subtyping models to post-mortem neuropathology and in vivo PET based measures from two large observational studies: the Alzheimer's Disease Neuroimaging Initiative and the Religious Orders Study and Rush Memory and Aging Project.

We consistently identified 'amyloid-first' and 'tau-first' subtypes using cross-sectional information from both studies. In the amyloid-first subtype, extensive neocortical amyloid-beta precedes the spread of tau beyond the medial temporal lobe, while in the tau-first subtype mild tau accumulates in medial temporal and neocortical areas prior to interacting with amyloid-beta. As expected, we found a higher prevalence of the amyloid-first subtype among apolipoprotein E (APOE) $\epsilon 4$ allele carriers while the tau-first subtype was more common among APOE $\epsilon 4$ non-carriers. Within tau-first APOE $\epsilon 4$ carriers, we found an increased rate of amyloid-beta accumulation (via longitudinal amyloid PET), suggesting that this rare group may belong within the Alzheimer's disease continuum. We also found that tau-first APOE $\epsilon 4$ carriers had several fewer years of education than other groups, suggesting a role for modifiable risk factors in facilitating amyloid-beta-independent tau. Tau-first APOE $\epsilon 4$ non-carriers, in contrast,

1 recapitulated many of the features of Primary Age-related Tauopathy. The rate of longitudinal
2 amyloid-beta and tau accumulation (both measured via PET) within this group did not differ from
3 normal aging, supporting the distinction of Primary Age-related Tauopathy from Alzheimer's
4 disease. We also found reduced longitudinal subtype consistency within tau-first APOE ϵ 4 non-
5 carriers, suggesting additional heterogeneity within this group.

6 Our findings support the idea that amyloid-beta and tau may begin as independent
7 processes in spatially disconnected regions, with widespread neocortical tau resulting from the
8 local interaction of amyloid-beta and tau. The site of this interaction may be subtype-dependent:
9 medial temporal lobe in amyloid-first, neocortex in tau-first. These insights into the dynamics of
10 amyloid-beta and tau may inform research and clinical trials that target these pathologies.

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12

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14 **Abbreviations:** AD = Alzheimer's disease; ADNI = Alzheimer's Disease Neuroimaging
15 Initiative; A β = amyloid- β ; APOE = apolipoprotein E; CN = cognitively normal; CVIC = cross-
16 validation information criterion; KDE = kernel density estimation; MTL = medial temporal lobe;
17 MCI = mild cognitive impairment; NFTs = neurofibrillary tangles; PART = primary age-related
18 tauopathy; PVD = positional variance diagram; RADC = Rush Alzheimer's Disease Center;
19 ROSMAP = Religious Orders Study and Rush Memory and Aging Project; SuStaIn = Subtype
20 and Stage Inference; SUVR = standardized uptake value ratio

21

22 **Introduction**

23 Alzheimer's disease (AD) is a progressive neurodegenerative disease that is characterized
24 at the molecular level by the accumulation of two specific protein-based pathologies within the
25 brain: amyloid plaques, composed of extracellular amyloid- β (A β) peptide, and intracellular

1 neurofibrillary tangles (NFTs), composed of abnormally hyperphosphorylated tau protein. These
2 pathologies combine to create a toxic environment that drives neurodegeneration via neuronal and
3 synaptic loss, leading to cognitive impairment.¹ While A β and tau have been recognized as the
4 primary signature of AD, the causal relationship between these two pathologies is not fully
5 understood. The prevailing view set forth by the amyloid cascade hypothesis is that the
6 accumulation of A β peptides is the main causative event triggering the pathogenesis of AD, with
7 tau-based NFTs, neurodegeneration and cognitive impairment following as a result.^{2,3}

8 Importantly, the amyloid cascade hypothesis does not require that A β occurs first in all AD
9 cases. Tau-based NFTs are well known to accumulate within the medial temporal lobe (MTL;
10 includes entorhinal cortex, hippocampus and amygdala) in most individuals by their fifth or sixth
11 decade in an age-related process that is independent of A β .^{4,5} Therefore, rather than occurring first,
12 A β is thought to facilitate the spread of tau beyond the MTL.⁶ How this occurs is not well
13 understood due to the spatial disconnection between A β accumulation, which usually begins in the
14 parietal, cingulate and frontal regions in the neocortex,^{7,8} and age-related tau accumulation in the
15 MTL.⁹ These pathologies may initiate independently and only interact when A β eventually spreads
16 to the MTL. It is also possible that tau in the MTL somehow initiates neocortical A β ,¹⁰ although a
17 recent study in genetically identical twins supports the causal effect of A β on tau rather than the
18 opposite.¹¹ A third possibility is that tau spreads beyond the MTL in some cases¹² and may interact
19 locally with neocortical A β , which then amplifies tau. Taken together, these possibilities suggest
20 that there may be two basic subtypes of pathology progression in AD: an ‘amyloid-first’ variant,
21 in which widespread A β plaques precede neocortical NFTs, and a ‘tau-first’ variant, in which early
22 neocortical NFTs precede widespread A β .

23 In this study we set out to investigate the existence of multiple spatiotemporal patterns of
24 A β and tau progression using *in vivo* PET from the Alzheimer’s Disease Neuroimaging Initiative
25 (ADNI) and postmortem neuropathologic measures from the Religious Orders Study and Rush
26 Memory and Aging Project studies (ROSMAP). We employed a data-driven paradigm to uncover
27 subtypes of pathologic progression using the SuStaIn (Subtype and Stage Inference) algorithm.¹³
28 SuStaIn identifies groups of participants with common patterns of disease progression from multi-
29 modal cross-sectional data. It has previously been used to establish the existence of multiple
30 subtypes of both A β and tau spread.^{8,14} We consistently identified ‘amyloid-first’ and ‘tau-first’

1 progression patterns, each of which is marked by a distinct spatiotemporal pattern of A β and tau
2 spreading. We then tested for differences in demographic and apolipoprotein E (APOE) ϵ 4 status
3 between these subtypes to better understand their relationship to AD and primary age-related
4 tauopathy (PART¹⁵), the latter being characterized by age-related tau in the MTL in the absence
5 of A β . Finally, using longitudinal A β and tau PET and cognition in ADNI, we investigated the
6 longitudinal consistency of the PET-based subtyping model and tested for differences in the rates
7 of A β and tau accumulation and cognitive decline between subtypes stratified by APOE ϵ 4 status.
8

9 **Materials and methods**

10 **ROSMAP dataset**

11 We used postmortem neuropathology data from the Religious Orders Study (ROS) and
12 Rush Memory and Aging Project (MAP) studies, collectively referred to as ROSMAP, which we
13 obtained from the Rush Alzheimer's Disease Center (RADC).¹⁶ Participants in these studies are
14 cognitively normal (CN) older adults who agree to annual evaluations and organ donation as a
15 condition of study entry. We used molecularly-specific immunohistochemistry based measures of
16 A β protein (percent area of region occupied) and neuronal neurofibrillary tangles (associated with
17 abnormally phosphorylated tau protein; cortical density per mm² measured via AT8 staining) both
18 measured in eight brain regions: hippocampus, entorhinal cortex, midfrontal cortex, inferior
19 temporal cortex, angular gyrus, calcarine cortex, anterior cingulate cortex and superior frontal
20 cortex. We also used demographic information (age at death, sex, education years), final (*in vivo*)
21 clinical diagnosis of AD (NINCDS-ARDRA¹⁷), (postmortem) neuropathologic diagnosis of AD
22 (NIA-Reagan Criteria¹⁸), CERAD score (a semiquantitative measure of neuritic plaques¹⁹) and
23 Braak stage (a semiquantitative measure of the distribution and severity of NFTs²⁰).
24

25 **ADNI dataset**

26 Data used in the preparation of this article were obtained from the Alzheimer's Disease
27 Neuroimaging Initiative (ADNI) database (adni.loni.usc.edu). The ADNI was launched in 2003 as

1 a public-private partnership, led by Principal Investigator Michael W. Weiner, MD. The primary
2 goal of ADNI has been to test whether serial magnetic resonance imaging (MRI), positron
3 emission tomography (PET), other biological markers, and clinical and neuropsychological
4 assessment can be combined to measure the progression of mild cognitive impairment (MCI) and
5 early Alzheimer's disease (AD). For up-to-date information, see www.adni-info.org.

6 We downloaded and collated spreadsheets with imaging, demographic, cognitive and
7 cerebrospinal fluid (CSF) measures from the ADNI IDA website. We downloaded regional
8 amyloid PET (18F-AV-45, florbetapir) standardized uptake value ratios (SUVRs;
9 UCBERKELEYAV45_8mm_02_17_23.csv) as well as both the standard regional tau PET (18F-AV-
10 1451, flortaucipir) SUVRs (UCBERKELEYAV1451_8mm_02_17_23.csv) and partial volume
11 corrected regional tau PET SUVRs (UCBERKELEYAV1451_PVC_8mm_02_17_23.csv). We also
12 downloaded the ADNIMERGE table, containing demographic information (age, sex, years of
13 education, number of APOE ϵ 4 alleles), and diagnostic labels (CN/MCI/AD). We downloaded
14 composite measures of memory (ADNI-MEM²¹) and executive function (ADNI-EF²²) both
15 available in UWNPSYCHSUM_12_13_21.csv. We download the following CSF spreadsheets:
16 UPENNBIOMK9_04_19_17.csv (ADNI1/GO/2 A β -42, pTau, tTau),
17 UPENNBIOMK10_07_29_19.csv (ADNI3 A β -42, A β -40, pTau, tTau),
18 UPENNBIOMK12_01_04_21.csv (additional ADNI3 A β -42, A β -40, pTau, tTau). The ADNI
19 database was last accessed on March 24th, 2023.

20

21 **Disease progression modeling**

22 We used SuStaIn, a probabilistic machine learning method, to characterize the
23 heterogeneity of A β and tau pathology progression in AD. SuStaIn infers multiple patterns of
24 disease progression (i.e. subtypes) as well as individuals' disease stages from cross-sectional
25 data.¹³ The SuStaIn model as introduced by Young et al.¹³ uses a data likelihood based on how far
26 a biomarker measurement deviates from normality, with an associated set of z -score based events
27 for each biomarker. Note that in biomarkers where controls have very little abnormality, the
28 resulting z -scores in patients can become large owing to the small amount of variance in the control
29 population. This is indeed the case when modeling the progression of PET-based SUVRs, where

1 the variability of the PET signal in the control group (e.g. A β load in CN APOE ϵ 4 negative
 2 participants, representing normal aging) can be quite small. We therefore followed the approach
 3 taken by Vogel et al.¹⁴ in our PET-based analysis, defining three events for each regional SUVR:
 4 $z = 2, 5$ and 10 . These correspond roughly to mild, moderate and severe abnormality relative to the
 5 control group.

6 For our neuropathology-based analysis, we used an extension of SuStaln (Ordinal
 7 SuStaln²³), that is adapted to handle severity scores from neuropathology rather than continuous
 8 values. This model was recently applied to model the progression of TDP-43 pathology using
 9 regional neuropathological severity score ratings, with each region assigned a score ranging from
 10 0 (non-detectable) to 3 (severe).²⁴ Because we did not have regional scores we estimated them by
 11 combining the quantitative, immunohistochemistry-based measures of pathology (A β and tau
 12 tangle severity in eight regions, described above) with CERAD scores for overall neuritic plaque
 13 burden (neuritic plaques are composed of insoluble A β) and Braak stages for overall NFT severity
 14 and spatial extent. We fit a kernel density estimation (KDE) based probability distribution to the
 15 quantitative pathology measures associated with each CERAD or Braak score (or grouping of
 16 scores) and used a mixture-model based approach to assign a severity score probability to each
 17 individual in each region.

18 To do this we used the following procedure: for a set of regions $i = 1, \dots, I$, participants
 19 $j = 1, \dots, J$ and unique severity scores $k = 1, \dots, K$, we fit a KDE-based probability distribution
 20 $p(x|\text{score} = k, \text{region} = i)$ to describe the probability of a pathology measure x in region i given
 21 score k , resulting in a mixture of K distributions per region. We performed the KDE mixture
 22 modeling in Python, using the `gaussian_kde` function in `scikit-learn`. In total we fit $I * K$
 23 distributions for all regions and severity scores. Following mixture modeling, we calculated
 24 $p(\text{score}_{i,j,k})$, the probability of severity score k in region i for a given participant j with pathology
 25 measure m_{ij} as:

26

$$27 \quad p(\text{score}_{i,j,k}) = \frac{p(m_{ij}|\text{score} = k, \text{region} = i)}{\sum_{k'=1}^K p(m_{ij}|\text{score} = k', \text{region} = i)}$$

28

1 where the numerator is the probability of observing the pathology measure under the probability
2 distribution for score k in region i . The denominator assures that the severity score probabilities
3 add up to one for each participant in each region.

4 We applied the above procedure to the set of $A\beta$ measures and CERAD-based scores to
5 generate a subjects-by-regions-by-scores matrix of severity score probabilities for regional $A\beta$
6 severity. We applied the same procedure to the set of tau tangle measures and Braak-based scores
7 to generate a second matrix of severity score probabilities for regional tau severity.

8 We used the pySuStaIn software package²⁵ for both the PET-based z -score SuStaIn
9 analysis and the neuropathology-based Ordinal SuStaIn analysis. In both cases we optimized the
10 number of subtypes in an iterative manner using ten-fold cross-validation. Following previous
11 SuStaIn-based studies,^{13,14} we evaluated the cross-validation information criterion (CVIC;
12 described in Young et al.¹³). We chose the number of subtypes that consistently minimized the
13 CVIC across both analyses.

14

15 **ROSMAP subtyping**

16 The ROSMAP study is an ongoing observational study of older adults that have agreed to
17 annual clinical evaluation and cognitive testing as well as brain donation after death. Through 2022
18 there were 3,751 participants enrolled, with 1,853 deaths. There were a total of 1,338 participants
19 who had a complete set of $A\beta$ and NFT measures for all eight available brain regions
20 (hippocampus, entorhinal cortex, midfrontal cortex, inferior temporal, angular gyrus, calcarine
21 cortex, anterior cingulate cortex, superior frontal cortex).

22 In order to run SuStaIn on these participants, we first took the square root of each measure
23 to improve normality and then corrected each measure for the effect of normal aging and normal
24 demographic differences by training a region-specific regression model on a control population
25 with the measure in question as the dependent variable and age at death, sex and education years
26 as the independent variables. The control population consisted of 145 APOE $\epsilon 4$ negative ($\epsilon 4^-$) CN
27 participants (based on a summary diagnostic opinion regarding most likely clinical diagnosis at
28 time of death) with a CERAD score of 'no AD', indicating very low or no neuritic plaques. We

1 then residualized each region (true value minus predicted value from regression) and used these
2 residualized measures in the mixture modeling procedure described above to estimate the regional
3 score probability matrices for both A β and tau tangle pathologies.

4 For estimating regional A β score probabilities we combined the regional A β measures with
5 the global CERAD score that was available for each participant. The CERAD score has four
6 possible values: ‘no AD’, ‘possible AD’, ‘probable AD’ and ‘definite AD’. We used these directly
7 to create four distributions for each region. For estimating regional tau tangle score probabilities
8 we combined the regional NFT measures with each participant’s Braak stage, which ranges from
9 0 (no NFTs), I and II (initial NFTs in entorhinal and early hippocampal regions), III and IV
10 (worsening in previous regions and spread throughout temporal and cingulate regions) and V and
11 VI (worsening in previous regions and spread to remaining cortex)²⁰. In this case, to maintain
12 consistency with the four A β severity scores, we grouped some Braak stages together, creating
13 four tau severity scores. For the entorhinal and hippocampus regions the groups were: Braak 0/I/II
14 (reflecting normal age-related tau in the MTL in those over 75⁴), Braak III/IV (mild), Braak V
15 (moderate) and Braak VI (severe). For the other six regions, which become abnormal in later Braak
16 stages (cingulate, calcarine, angular gyrus, inferior temporal, midfrontal, superior frontal) the
17 groups were: Braak 0/I/II/III (none or minimal), Braak IV (mild), Braak V (moderate) and Braak
18 VI (severe). We then followed the mixture modeling procedure with four severity scores for both
19 A β and tau pathologies, generating a regional severity score probability matrix that were then
20 combined and input to Ordinal SuStaIn.

21

22 **ADNI subtyping**

23 We performed SuStaIn-based analyses using cross-sectional PET data from ADNI. We
24 used ten regional amyloid PET (AV-45) SUVRs and twelve tau PET (AV-1451) SUVRs, many of
25 which were composites of several Freesurfer-based SUVRs (for complete details see
26 Supplementary Table 1).^{26,27} We formed composite regions using volume-weighted averaging of
27 two or more adjacent regions. We excluded the hippocampal tau PET SUVR as this region is
28 suspected to be contaminated by off-target binding in the choroid plexus.²⁸ We reference
29 normalized all SUVRs as recommended for cross-sectional analysis: for amyloid PET we used a

1 reference region made up of the whole cerebellum; for tau PET we used the inferior cerebellum in
2 our main analysis and the inferior cerebellar grey matter for partial-volume corrected SUVRs for
3 our supplementary analysis.^{29,30} For longitudinal analysis of A β and tau accumulation, we used
4 the same reference region for tau PET and the recommended composite region (unweighted
5 average of whole cerebellum, brainstem/pons and subcortical white matter) for amyloid PET.²⁹

6 As in the ROSMAP analysis, we removed the associations with normal aging and normal
7 demographic factors by training a regression model for each biomarker's values against age, sex
8 and education years in a control population of 49 CN participants who were APOE ϵ 4-, global
9 amyloid SUVR negative (whole cerebellum normalized summary SUVR < 1.11 cut-off^{31,32}) and
10 CSF A β negative (A β -42/A β -40 ratio > 0.06 cut-off³³). We then regressed out the signal due to
11 these factors from all markers. There were a total of 1,645 participants with either amyloid PET or
12 tau PET scans at a single visit, of which 796 had only amyloid PET and 327 had only tau PET. We
13 built the main z-score SuStaIn model using the 502 participants who had complete concurrent
14 amyloid and tau PET imaging. These were 47 CN, 406 with mild cognitive impairment (MCI) and
15 49 AD participants. To test the robustness of our main model, we used the same set of participants
16 and trained an additional SuStaIn model with the same ten amyloid PET SUVRs and partial
17 volume corrected tau PET SUVRs for the same twelve composite regions.

18 We assessed the longitudinal consistency of the ADNI subtyping model using 170
19 participants who had concurrent amyloid and tau PET imaging at one or more follow-up visits.
20 There were 210 follow-up samples in total: 22 at one-year follow-up, 103 at two-year follow-up,
21 13 at three-year follow-up, 57 at four-year follow-up, ten at five-year follow-up and five at six-
22 year follow-up. We created confusion matrices for subtype consistency within the APOE ϵ 4- and
23 ϵ 4+ groups using the 103 participants with two year follow-up (58 ϵ 4-, 45 ϵ 4+).

24

25 **Statistical comparisons of early-stage groups**

26 Following SuStaIn modeling, we tested for genetic and demographic differences between
27 the stage-zero group (those assigned stage zero in either subtype, representing normal aging) and
28 those in the early stages of the amyloid-first and tau-first groups that we identified in both analyses.
29 These early-stage groups included participants with abnormality in either A β or tau but not both

1 at the same time to avoid the scenario in which SuStaIn cannot reliably disambiguate between
2 subtypes based on a patients' cross-sectional biomarker pattern. We stratified both the early
3 amyloid-first and early tau-first groups by APOE $\epsilon 4$ carriage ($\epsilon 4^-$ versus $\epsilon 4^+$) and tested for
4 differences in A β and tau pathology across the five groups. For the neuropathology analysis, we
5 tested for differences in A β in the angular gyrus and midfrontal regions (two of the earliest regions
6 to show abnormality in our model) and for differences in tau tangles in the entorhinal cortex and
7 hippocampus (two early Braak stage regions). For the PET analysis we tested for differences in
8 A β pathology in the global amyloid SUVR and CSF A β -42/A β -40 ratio; for tau we tested for
9 differences in the tau PET entorhinal regional SUVR. We also test for differences in CSF pTau.
10 In each case we tested for differences across the five groups using three linear regressions, each
11 time setting the regional measure as the dependent variable and sex, education years and group
12 coding variables as the independent variables. In each case the first model included all groups,
13 testing for differences relative to the stage zero reference group. The second model tested for
14 differences within the two early amyloid-first groups ($\epsilon 4^+$ vs. $\epsilon 4^-$). The third similarly tested for
15 differences within the two early tau-first groups.

16 We then tested for demographic and genetic differences across these groups. We tested
17 for differences in the proportion of early amyloid-first, early tau-first and stage-zero groups within
18 APOE $\epsilon 4^-$ and $\epsilon 4^+$ participants using a chi-squared test. As before, we tested for differences in age
19 across the five groups using three linear regressions, each time setting age as the dependent
20 variable and sex, education years and group coding variables as the independent variables. We
21 tested for differences in sex using a set of three logistic regressions, each time setting sex as the
22 dependent variable and age, education years and group coding variables as the independent
23 variables. Finally, we tested for differences in education using a set of three linear regressions with
24 education as the dependent variable and age, sex and group coding as the independent variables.

25 We investigated group differences in the rates of longitudinal A β and tau accumulation and
26 cognitive decline using a set of linear mixed effects models (LMEs). All LME models were fitted
27 using the *fitlme* function in Matlab (R2023a) with default parameters: using maximum likelihood
28 with a full covariance matrix using Cholesky parameterization. For ROSMAP we modeled ante-
29 mortem cognitive decline using all available longitudinal measures of global cognition, which is a
30 composite measure of 19 cognitive tests that has been previously described by Bennet *et al.*³⁴ For

1 ADNI we modeled $A\beta$ and tau accumulation using amyloid PET and tau PET measures and
2 cognitive decline using composite memory score (ADNI-MEM) and composite executive function
3 (ADNI-EF). For these models we used samples from all available visits (i.e. including visits that
4 were both prospective and retrospective to the PET visit used in SuStaIn modeling) and used stage-
5 zero ($\epsilon 4^-$) participants as the reference group. For amyloid and tau PET we trained an LME model
6 with fixed effects of baseline age, sex, education years, intracranial volume (ICV), time (years
7 since baseline) and time \times subtype interaction and individual-level random intercepts and random
8 slopes with time. For the cognition models in ROSMAP and ADNI we used these same LME fixed
9 and random effects, excluding ICV.

10 **Data availability**

11 ROSMAP data can be requested at: <https://www.radc.rush.edu>, ADNI data is publicly available
12 at: <https://adni.loni.usc.edu> and pySuStaIn is freely available at [https://github.com/ucl-](https://github.com/ucl-pond/pySuStaIn)
13 [pond/pySuStaIn](https://github.com/ucl-pond/pySuStaIn). Analysis code used in this study is available upon reasonable request.

15 **Results**

16 Demographics for the ROSMAP and ADNI cohorts used in our subtyping analyses are
17 shown in Table 1. ROSMAP participants were older than ADNI participants (ROSMAP: $89.9 \pm$
18 6.4 , ADNI: 75.2 ± 7.9 years; $P < 10^{-6}$) while ADNI participants had more years of education
19 (ROSMAP: 15.9 ± 3.6 , ADNI: 16.4 ± 2.6 years; $P = 0.005$). ROSMAP had a higher proportion
20 of females (ROSMAP: 69%, ADNI: 50%; $P < 10^{-6}$) while ADNI had a higher proportion of APOE
21 $\epsilon 4$ carriers (ROSMAP: 76%/22%/2% (0/1/2 alleles), ADNI: 65%/28%/7% (0/1/2 alleles); $P < 10^{-$
22 6).

24 **Amyloid-first and tau-first subtypes**

25 Supplementary Figure 1 depicts the mixture models that were fit for the ROSMAP analysis.
26 We used these models to generate the regional severity score probability matrices, which were
27 combined and input to Ordinal SuStaIn. Supplementary Figure 2 depicts the distribution of z -

1 scores for CN, MCI and AD participants' SUVRs in ADNI, showing that CN and MCI
2 participants' z -scores are generally small (with higher variability of scores within the MCI group)
3 and AD participants' z -scores are substantially higher, as expected. We used these z -scores as input
4 to z -score SuStaIn.

5 We estimated the number of subtypes that best explain the progression of A β and tau
6 pathology in both datasets. To do this we built separate SuStaIn models for each dataset, allowing
7 SuStaIn to infer one, two, or three-subtype models in each case and we chose the most
8 parsimonious models across both datasets. Supplementary Figure 3 depicts the cross-validation
9 information criterion (CVIC; lower is better) for both datasets. We chose the two-subtype models
10 for all subsequent analyses as there was a consistent improvement over a one-subtype model in
11 both analyses.

12 Based on the two-subtype models we chose, Figure 1 depicts the positional variance
13 diagrams (PVDs) representing the progression patterns estimated by SuStaIn. Each PVD visualizes
14 event sequence uncertainties as a matrix where each row presents a set of three histograms, one
15 per event, that are represented by colored boxes. In both analysis, each region has three stages of
16 increasing abnormality relative to a control group that is expected to be at minimal risk of AD (in
17 both cases: amyloid-negative, APOE ϵ 4-, CN participants).

18 Across both analyses we consistently found an 'amyloid-first' and a 'tau-first' subtype. In
19 the neuropathology analysis, the 'amyloid-first' subtype is characterized by the initial spread of
20 A β plaques throughout the cortex and MTL (here represented by the hippocampus and entorhinal
21 cortex). Following severe A β plaques in all regions, mild tau tangle pathology in the hippocampus
22 and entorhinal cortex (exceeding Braak I/II severity expected in normal aging) spreads to the
23 inferior temporal lobe and throughout the neocortex (Figure 1A). The latter stages of this subtype
24 are marked by increasing tau tangle pathology, which progresses from mild to moderate to severe.
25 The 'tau-first' subtype is characterized initially by mild tau tangle pathology in the entorhinal
26 cortex, hippocampus, inferior temporal lobe and cingulate. Mild tau in these regions is followed
27 by the spread of A β plaques throughout the brain, with subsequent increase in tau tangle pathology
28 throughout the MTL and neocortex (Figure 1B).

29 In the PET-based analysis the 'amyloid-first' subtype is initially marked by the spread of
30 A β that progresses to a severity that is at least five standard deviations from normality in all

1 regions. Following this, mild tau accumulates in the entorhinal cortex and amygdala (beyond what
2 is expected in normal aging, with hippocampus excluded in this analysis) and spreads throughout
3 the cortex, with increased severity of both A β and tau pathologies (Figure 1C). The ‘tau-first’
4 subtype is marked by mild tau abnormality in all regions (z -scores of two in frontal, temporal,
5 parietal, occipital and cingulate regions), followed by the spread of A β throughout the cortex (up
6 to a z -score of five in most regions) with subsequent increased tau severity in all regions (Figure
7 1D).

8 We built several additional SuStaIn-based subtyping models to test the robustness of our
9 findings. The first two were based on the CVIC figure in Supplementary Figure 3, which showed
10 a slightly lower CVIC for a three-subtype model rather than a two-subtype in the case of the PET-
11 based analysis. For the sake of completeness we present the three-subtype model for both datasets
12 in Supplementary Figures 5 and 6. Increasing to three subtypes consistently creates an additional
13 ‘tau-first’ subtype in which tau in the MTL (entorhinal cortex and hippocampus in the
14 neuropathology model, entorhinal cortex and amygdala in the PET-based model) precedes A β .
15 The third model substituted partial volume corrected tau PET SUVRs in place of standard SUVRs
16 in the PET-based model. Supplementary Figure 7 presents this model, which is very similar to the
17 main PET-based model presented in Figure 1C, 1D.

18

19 **Amyloid and tau differences among early stage groups**

20 For the neuropathology model we defined the early amyloid-first group as those with
21 moderately abnormal A β and no abnormal tau (stages one through 16 in Figure 1A, $n = 168$; APOE
22 $\epsilon 4^-$: 135, APOE $\epsilon 4^+$: 33) and the early tau-first group as those with mild tau and no abnormal A β
23 (stages one through four in Figure 1B, $n = 151$; $\epsilon 4^-$: 142, $\epsilon 4^+$: 9). The stage zero group was
24 composed of $n = 106$ participants in this case. For the PET-based model the early amyloid-first
25 group was defined as those with $z = 2$ level abnormality in most regional amyloid PET SUVRs
26 and no abnormal tau (stages one through nine in Figure 1C, $n = 87$; APOE $\epsilon 4^-$: 50, APOE $\epsilon 4^+$: 37)
27 and the early tau-first group as those with $z = 2$ level abnormality in nearly all tau PET SUVRs
28 and no abnormal A β (stages one through nine in Figure 1D, $n = 72$; APOE $\epsilon 4^-$: 62, APOE $\epsilon 4^+$: 10).
29 The stage zero group was composed of $n = 120$ participants in this case.

1 For the neuropathology model we found the expected increase in A β in the angular gyrus
2 and mid frontal regions within both early amyloid-first groups relative to the stage-zero group (ϵ 4-
3 : angular gyrus $t = 14.4$, $P < 10^{-6}$, midfrontal $t = 12.3$, $P < 10^{-6}$; ϵ 4+ angular gyrus $t = 11.6$, $P < 10^{-6}$;
4 : midfrontal $t = 8.4$, $P < 10^{-6}$; Figures 2A and 2B). Similarly, we found increased tau tangles in
5 the entorhinal cortex and hippocampus in both early tau-first groups relative to the stage-zero
6 group (ϵ 4-: entorhinal cortex $t = 15.1$, $P < 10^{-6}$, hippocampus $t = 13.1$, $P < 10^{-6}$; ϵ 4+: entorhinal
7 cortex $t = 5.1$, $P < 10^{-6}$, hippocampus $t = 6.4$, $P < 10^{-6}$; Figures 2C and 2D). We also found a small
8 increase in tau tangles in the hippocampus in the early amyloid-first group (ϵ 4-) relative to the
9 stage-zero group ($t = 2.1$, $P = 0.04$; Figure 2D).

10 For the PET-based model we found the expected increase in global amyloid PET SUVR
11 within both early amyloid-first groups relative to the stage-zero group (ϵ 4-: $t = 16.1$, $P < 10^{-6}$, ϵ 4+:
12 14.5 , $P < 10^{-6}$; Figure 2E). We also found a small increase in global amyloid PET SUVR in the
13 early tau-first group (ϵ 4-) versus the stage-zero group ($t = 5.3$, $P < 10^{-6}$; Figure 2E). We found
14 decreased CSF A β -42/A β -40 ratio (indicative of increased A β deposition) in the early amyloid-
15 first (ϵ 4+) group relative to both the early amyloid-first (ϵ 4-) group and the stage-zero group (ϵ 4+
16 vs stage-zero: $t = -5.0$, $P < 10^{-6}$; ϵ 4+ vs. ϵ 4-: $t = -3.0$, $P = 0.006$; Figure 2F). We also found the
17 expected increase in entorhinal region tau PET SUVR signal in both early tau-first groups relative
18 to the stage-zero group (ϵ 4-: $t = 7.2$, $P < 10^{-6}$; ϵ 4+: $t = 4.8$, $P = 2.8 \times 10^{-6}$; Figure 2G). Finally we
19 found a small increase in CSF pTau in the early amyloid-first (ϵ 4+) group relative to the stage-
20 zero group ($t = 2.0$, $P = 0.04$; Figure 2H).

22 **Higher proportion of early amyloid-first group within APOE ϵ 4** 23 **carriers**

24 We consistently found that APOE ϵ 4+ participants were more likely to belong to the early
25 amyloid-first group than ϵ 4- participants (neuropathology model: 69% of ϵ 4+ in early amyloid-
26 first group versus 36% of ϵ 4- participants, chi-squared = 19.3, $P = 6.3 \times 10^{-5}$; PET-based model:
27 57% ϵ 4+ versus 23% ϵ 4-, chi-squared = 26.2, $P = 2.0 \times 10^{-6}$; Figures 3D and 3H). Within the
28 neuropathology model we also found a higher proportion of females in the early amyloid-first (ϵ 4-
29) group than in the stage-zero group (early amyloid-first, ϵ 4- group: 76% female, stage-zero group:

1 52% female, odds ratio: 2.8, $P = 3.4 \times 10^{-4}$, Figure 3B) and a small increase in years of education
2 in the early amyloid-first ($\epsilon 4+$) group compared to the early amyloid-first ($\epsilon 4-$) group (Mean \pm
3 SD: 17.4 ± 4.3 years versus 16.4 ± 3.8 years; $t = 2.5$, $P = 0.01$, Figure 3C). Within the PET-based
4 model we found those in the early tau-first ($\epsilon 4-$) group were slightly older and more likely to be
5 female than those in the stage-zero group (age: 76.9 ± 7.4 years versus 73.4 ± 7.7 years, $t = 3.6$,
6 $P = 4.1 \times 10^{-4}$, Figure 3E; sex: 55% female versus 40% female, odds ratio: 2.4, $P = 0.01$; Figures
7 3E and 3F). Those in the early tau-first ($\epsilon 4+$) group were also more likely to be female compared
8 to those in the stage-zero group (80% versus 40%, odds ratio: 5.4, $P = 0.04$; Figure 3F). In addition,
9 those in the early tau-first ($\epsilon 4+$) group had fewer years of education than both the early tau-first
10 ($\epsilon 4-$) group and the stage-zero group ($\epsilon 4+$: 14.7 ± 3.8 years, $\epsilon 4-$: 16.9 ± 2.5 years, stage-zero: 16.8
11 ± 2.6 years; $\epsilon 4+$ versus $\epsilon 4-$: $t = -2.1$, $P = 0.04$; $\epsilon 4+$ versus stage-zero: $t = -2.2$, $P = 0.03$).

12

13 **Longitudinal consistency of tau-first subtype depends on APOE $\epsilon 4$** 14 **status**

15 We visualized the longitudinal consistency of the PET-based model with spaghetti plots of
16 all available follow-up samples, showing the expected increase in stage over time in the majority
17 of participants (Figures 4A and 4C). Within the 103 participants with two-year follow-up, we
18 found no difference in the annual rate of stage increase between subtypes in either $\epsilon 4-$ or $\epsilon 4+$
19 participants ($\epsilon 4-$, $n = 58$: amyloid-first: 0.6 ± 2.1 stages/year, tau-first: 0.9 ± 3.9 stages/year, one-
20 way ANOVA $P = 0.75$; $\epsilon 4+$, $n = 45$: amyloid-first: 0.8 ± 2.7 stages/year, tau-first: 0.9 ± 2.7
21 stages/year, $P = 0.87$). Within $\epsilon 4-$ participants, the tau-first group had a lower two-year
22 longitudinal consistency than the amyloid-first group (amyloid-first: 25 out of 27, 93%; tau-first:
23 8 out of 16, 50%; Figure 4B; Fisher's exact test $P = 0.003$). There was no such difference within
24 $\epsilon 4+$ participants, where the two-year longitudinal consistency was high for both subtypes
25 (amyloid-first: 25 out of 31, 81%; tau-first: 10 out of 11, 91%; Figure 4D, $P = 0.65$).

26

1 **Amyloid accumulation within tau-first subtype depends on APOE ϵ 4** 2 **status**

3 Figure 5A depicts longitudinal trajectories of A β accumulation across early-stage groups
4 from the PET-based model. We found increased intercepts and rates of amyloid accumulation
5 within both early amyloid-first groups relative to stage-zero (ϵ 4-: intercept $t = 2.6$, $P = 8.74 \times 10^{-3}$,
6 group-by-time interaction: $t = 3.9$, $P = 8.79 \times 10^{-5}$; ϵ 4+: intercept $t = 5.7$, $P < 10^{-6}$, group-by-
7 time interaction: $t = 5.0$, $P < 10^{-6}$; Supplementary Table 3a). While these findings were expected
8 for these groups, we also found an increased intercept and rate of A β accumulation within the early
9 tau-first (ϵ 4+) group, though longitudinal information was limited for this group ($n = 7$; intercept
10 $t = 2.0$, $P = 0.04$, group-by-time interaction: $t = 3.4$, $P = 6.26 \times 10^{-4}$; Supplementary Table 3a).
11 We found no corresponding increase in A β accumulation within the early tau-first (ϵ 4-) relative to
12 stage-zero ($n = 31$; Supplementary Table 3a).

13 Figures 5B-D depict longitudinal trajectories of tau accumulation within composite Braak
14 regions. We found increased intercepts for both early tau-first groups within all three composite
15 regions relative to the stage-zero group (Braak I, ϵ 4-: $t = 2.7$, $P = 6.65 \times 10^{-3}$, ϵ 4+: $t = 3.6$, $P =$
16 3.44×10^{-4} ; Braak III/IV, ϵ 4-: $t = 8.0$, $P < 10^{-6}$, ϵ 4+: $t = 3.8$, $P = 1.50 \times 10^{-4}$; Braak V/VI, ϵ 4-: $t =$
17 10.4 , $P < 10^{-6}$, ϵ 4+: $t = 4.2$, $P = 3.18 \times 10^{-5}$; Supplementary Tables 3b-d). We found no
18 corresponding differences in the rates of tau accumulation within these regions in either early tau-
19 first group, suggesting that these groups have a high baseline level of tau but do not accumulate
20 tau any faster than normal.

21 The early amyloid-first (ϵ 4+) group was the only group in which we found increased tau
22 accumulation, within both the Braak I and Braak III/IV composite regions (Braak I: $t = 4.1$, $P =$
23 4.71×10^{-5} ; Braak III/IV: $t = 2.3$, $P = 0.02$; Supplementary Tables 3b, 3c). We found no
24 corresponding differences in intercepts in these regions within this group, suggesting that this
25 group begins accumulating tau at an abnormally fast rate following widespread A β . We also found
26 a small increase in intercept in the amyloid-first (ϵ 4-) group within the Braak V/VI region, but no
27 corresponding increase in the rate of tau accumulation (Braak V/VI: $t = 2.4$, $P = 0.02$), which may
28 be due to additional heterogeneity within the ϵ 4- group that is not well explained by our two-
29 subtype model.

1 Finally, we found no differences in the rates of ante-mortem global cognitive decline in
2 any of the four early-stage groups relative to the stage-zero group within our neuropathology
3 dataset (Supplementary Table 4a; Supplementary Figure 4A). Within ADNI (PET-based model)
4 we similarly found no increased rates of memory or executive function decline across early-stage
5 groups and only a small difference in executive function intercept in the early tau-first ($\epsilon 4+$) group
6 relative to the stage-zero group ($t = -2.1$, $P = 0.04$; Supplementary Table 4b, 4c; Supplementary
7 Figure 4B, 4C).

9 Discussion

10 While $A\beta$ and tau have long been established as the main pathological hallmarks of AD,
11 the heterogeneity within the spatiotemporal progression of these pathologies has yet to be fully
12 understood. Here we performed data-driven modeling on two large cohorts with complementary
13 *in vivo* and postmortem measures, consistently finding ‘amyloid-first’ and ‘tau-first’ subtypes
14 across both studies (Figure 1). In the ‘amyloid-first’ subtype, widespread $A\beta$ throughout the
15 neocortex and the MTL precedes neocortical tau. This supports the idea that a spatially and
16 temporally localized interaction between $A\beta$ and age-related tau in the MTL (Figure 2C, 2D) may
17 trigger the spread of tau beyond the MTL (Figure 1A, 1C). The ‘tau-first’ subtype is marked by
18 mild tau in the MTL and, in some cases, the neocortex (cingulate and inferior temporal lobe in the
19 neuropathology-based model; all available cortical regions in PET-based model) preceding $A\beta$
20 (Figure 1B, 1D). This finding supports *in vivo* tau PET studies,^{12,35,36} neuropathology studies^{37,38}
21 and a recent combined study³⁹ which have found that mild tau may spread beyond the MTL in the
22 presence of little or no $A\beta$. Our findings suggest that, in both subtypes, substantial neocortical tau
23 accumulation may only occur after local interactions with $A\beta$. Importantly, the site of these
24 interactions may differ between subtypes: in the amyloid-first subtype it occurs in the MTL
25 (around stage 25 in Figure 1A and stage 23 in Figure 1C) while in the tau-first subtype it may
26 occur in one or more neocortical regions where early $A\beta$ deposition takes place (frontal, parietal
27 or cingulate regions; around stage 5 in Figure 1B and around stage 13 in Figure 1D).

28 Beyond identifying these subtypes across complementary studies, our most important
29 findings relate to their interaction with APOE $\epsilon 4$ status. Comparing the early stages of both

1 subtypes, we found a higher prevalence of the amyloid-first subtype among $\epsilon 4$ carriers and,
2 conversely, a higher prevalence of the tau-first subtype among $\epsilon 4$ non-carriers (Figure 3D, 3H).
3 Within the amyloid-first subtype, we found that $\epsilon 4$ carriers had greater $A\beta$ deposition than $\epsilon 4$ non-
4 carriers (lower $A\beta$ -42/ $A\beta$ -40 ratio, Figure 2F). These findings are consistent with studies showing
5 that APOE $\epsilon 4$ carriage is associated with increased $A\beta$ deposition^{40,41} and a higher lifetime risk of
6 developing AD dementia.^{42,43} Although we expected earlier $A\beta$ deposition in $\epsilon 4$ carriers versus
7 non-carriers,⁴⁴ we did not observe this in the PET-based analysis (Figure 3E). This may be because
8 our criteria for defining the early amyloid-first groups was based on most regions having the
9 mildest $A\beta$ accumulation (z -scores of two in most amyloid SUVRs), which may have been reached
10 many years before our study baseline (average age of participants in PET-based analysis was 75.2
11 ± 7.9 years; Table 1).⁴⁴ Consistent with this interpretation, we found both a higher baseline level
12 and rate of $A\beta$ accumulation in the early amyloid-first $\epsilon 4$ carriers compared non-carriers
13 (Supplementary Table 3a).

14 Within the tau-first subtype we found an increased rate of $A\beta$ accumulation in $\epsilon 4$ carriers
15 compared to our normal aging reference group, suggesting that this rare group may belong within
16 the AD continuum (9 out of 1,338 participants in neuropathology dataset: 0.7%; 10 out of 502
17 participants in ADNI: 2%; similarly infrequent in previous studies^{45,46}). Interestingly, we found
18 that those in the early tau-first ($\epsilon 4+$) group had several fewer years of education than other early-
19 stage groups (Figure 3G). This suggests a role for modifiable risk factors, such as reduced years
20 of education⁴⁷ or possibly head injury,⁴⁸ in facilitating $A\beta$ -independent neocortical tau in those
21 who would normally develop neocortical tau only after substantial $A\beta$ accumulation.

22 The tau-first ($\epsilon 4-$) group recapitulates key features of PART, which is characterized by tau
23 pathology in the absence of $A\beta$ plaques.^{15,49,50} The rate of both $A\beta$ and tau accumulation within
24 this group did not differ from normal aging despite increased baseline tau in both the MTL and
25 neocortex (Figure 5 and Supplementary Table 3). Together with the older average age of this group
26 (Figure 3E), this suggests a very slow process of tau accumulation over a number of years,
27 beginning in middle age or even earlier.^{4,51} This makes it hard to determine the exact sequence of
28 progression of amyloid-independent tau. While our findings suggests that PART may be more
29 closely related to normal aging than AD, our conclusions are tempered by our finding that the tau-
30 first ($\epsilon 4-$) group had substantially lower longitudinal subtype consistency than other groups (Figure

1 4). The explanation for this may be that some of those who start out with mild tau in the MTL
2 and/or neocortex and no A β subsequently develop low levels of A β , leading our model to
3 misclassify their follow-up measures. These findings raise the question of whether: (i) the tau-first
4 (ϵ 4-) group represents PART, which is itself naturally heterogeneous and includes the roughly
5 30% of ϵ 4 non-carriers who develop low levels of A β by their eight decade;⁴⁴ or (ii) those with
6 PART are somehow protected from A β and therefore the tau-first (ϵ 4-) group includes both PART
7 and those on a slow trajectory of A β accumulation. These observations, which support several
8 recent studies,^{52,39} motivate the need to identify and track early tau-first, ϵ 4 non-carriers to better
9 understand the heterogeneity within this group.

10 Our tau PET sample is insufficient to validate the four PET-based tau subtypes found by
11 Vogel, et al. based on a larger sample size of 1,143 tau PET images.¹⁴ However, our findings may
12 help to explain some of the tau heterogeneity in those who are A β positive.⁵³ Notably, the limbic-
13 predominant subtype, which is characterized by Braak-like tau progression, has been found to have
14 a higher proportion of APOE ϵ 4 carriers. This is consistent with ϵ 4 carriers having an earlier age
15 of A β accumulation⁴⁴ and therefore we expect the amyloid-first (ϵ 4+) group to be primarily
16 composed of the limbic-predominant subtype. Interestingly, increased A β deposition within
17 amyloid-first ϵ 4 carriers relative to non-carriers (Figure 2F) may be related to the increased
18 severity of MTL tangles within the limbic-predominant subtype. Correspondingly, we expect the
19 amyloid-first (ϵ 4-) group to be mostly composed of the other known tau subtypes (MTL-sparing,
20 posterior and lateral temporal¹⁴). Importantly, once A β takes off we expect that it accelerates the
21 spread of tau in all scenarios, consistent with the A β cascade hypothesis. The resulting picture is
22 one of a slow tau accumulation process that is accelerated following local interaction with A β . The
23 age and location at which this interaction takes place may depend on both genetic and modifiable
24 risk factors of A β accumulation.⁵¹ The spatial variability in how tau spreads may also depend on
25 these factors plus individual-level and population-level factors.⁵⁴ Within this model, APOE ϵ 4
26 non-carriers with PART are either partially or completely protected from A β while a small number
27 of APOE ϵ 4 carriers will develop abnormal tau prior to A β , possibly due to modifiable risk factors.
28 While this model is probably an oversimplification it may be useful for future studies.

29 Our study has several important limitations. The first relates to the current lack of
30 sufficiently long follow-up measures in the ADNI3 data, which may be remedied in ADNI4.⁵⁵

1 This limited our validation of subtype consistency, which is important when using the Subtype and
2 Stage Inference (SuStaIn) algorithm to infer longitudinal progression patterns from cross-sectional
3 observations. This is because there is a theoretical possibility of inferring a progression pattern
4 from a set of unrelated disease states. A related methodological limitation is the crossing problem,
5 in which two or more subtypes have middle stages that look identical (e.g. an individual with mild
6 tau plus A β may belong to either subtype). In our study we accounted for this problem by focusing
7 on the early stages of each subtype. A version of SuStaIn that is explicitly longitudinally consistent,
8 so that each individual is guaranteed to be assigned to the same subtype over multiple observations,
9 is being developed to address these limitations⁵⁶. There are also limitations related to comparing
10 neuropathological measures from ROSMAP with *in vivo* measures from ADNI. The eight regional
11 measures of A β and tau tangles measures used in neuropathological model were not anatomically
12 consistent with the PET-based regional SUVRs, limiting our comparison of spatial progression
13 patterns. This is especially evident in the tau-first subtype, where the lack of neuropathological
14 measures in the precuneus, inferior frontal and orbitofrontal regions limited our ability to validate
15 the PET-based finding that these may be among the earliest sites of tau and A β interaction (rather
16 than the MTL in the amyloid-first subtype). We were also limited in our ability to fully characterize
17 the heterogeneity within the tau-first APOE ϵ 4 non-carrier group. Lastly, there were differences in
18 age, education and sex across the ROSMAP and ADNI cohorts that limited our comparisons (Table
19 1).

20 In summary, in this study we identified amyloid-first and tau-first patterns of A β and tau
21 accumulation using cross-sectional information from *in vivo* and postmortem data. We found
22 increased A β accumulation within the amyloid-first subtype in both ϵ 4-carriers and non-carriers.
23 This supports the idea that both amyloid-first groups belong within the AD continuum. Using
24 longitudinal amyloid PET, we found that those in amyloid-first (ϵ 4+) group most likely develop
25 A β at an earlier age than those in the amyloid-first (ϵ 4-) group, recapitulating previous findings.
26 Within the tau-first subtype, we found important differences when stratifying by APOE ϵ 4 status.
27 The first is that tau-first ϵ 4-carriers probably belong in the AD continuum based on their increased
28 A β accumulation, although this group is rare and so has limited longitudinal data. The
29 overwhelming majority of those who develop AD are amyloid-first. The second is that tau-first
30 ϵ 4-noncarriers represent PART or are a mixture of PART plus those who accumulate A β very
31 slowly. Our findings support the idea that the substantial neocortical tau that is observed in AD

1 may result from a local interaction of a slow, age-related tau accumulation process with A β . The
2 timing and location of this interaction may be modulated by genetic and modifiable risk factors.
3 These insights into the dynamics of A β and tau accumulation may inform research and clinical
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24 **Competing interests**

25 The authors report no competing interests.

27 **Supplementary material**

1 Supplementary material is available at *Brain* online.

2

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26

1 **Figure legends**

2 **Figure 1 Positional variance diagrams (PVDs) for 2-subtype SuStaln models.** Each panel
 3 represents a subtype, i.e. a unique pattern of disease progression from early to late stage disease.
 4 **A, B:** PVDs for 2-subtype model trained on trained on ROSMAP's neuropathology data. Part **A** is
 5 the 'amyloid-first' subtype, **B** is the 'tau-first' subtype. **C, D:** PVDs for 2-subtype model trained
 6 on ADNI's amyloid and tau PET SUVR data. Part **C** is the 'amyloid-first' subtype, **D** is the 'tau-
 7 first' subtype. Each colored box represents the degree of certainty that a given regional marker (y-
 8 axis) has reached a given severity stage (in increasing order: red, purple or blue) at a given SuStaln
 9 stage (x-axis).

10

11 **Figure 2 Differences in A β and tau measures across early stage groups. Top row:** pathology
 12 measures across early stage groups in the neuropathology analysis. **A, B:** raw A β plaque measures
 13 (percentage of region) in the angular gyrus and midfrontal regions, showing the expected increase
 14 in A β plaques in the two early amyloid-first groups (APOE ϵ 4-, ϵ 4+) with reference lines based
 15 on average values of those diagnosed as possible, probable and definite AD based on CERAD
 16 scoring of neuritic plaques. **C, D:** raw tangle density measures (per mm²) in the entorhinal and
 17 hippocampal regions, showing the expected increase in the two early tau-first groups with
 18 reference lines based on average values of those assigned Braak I-VI stages. **Bottom row:**
 19 biomarker measures across early stage groups in the PET-based analysis. **E:** amyloid PET global
 20 SUVR, showing expected increase in both early amyloid-first groups and a small increase in early
 21 tau-first group (ϵ 4-). Reference line: amyloid PET positivity threshold of 1.11 or greater. **F:** CSF
 22 A β -42/A β -40 ratio, showing decreased ratio (increased A β deposition) in early amyloid-first (ϵ 4+)
 23 group relative to both early amyloid-first (ϵ 4-) and stage-zero groups. Reference line: CSF A β -
 24 42/A β -40 ratio positivity threshold of 0.06 or less. **G:** tau PET entorhinal region SUVR, showing
 25 expected increase in tau pathology in both early tau-first groups. Reference line: regional positivity
 26 threshold of 1.2 or greater. **H:** CSF pTau, showing small increase in early amyloid-first (ϵ 4+).
 27 Reference line: positivity threshold of 21 or greater.

28

1 **Figure 3 Demographic measures across early stage groups along with a comparison of**
2 **proportion of each group within APOE ϵ 4+ and ϵ 4- participants. Top row: ROSMAP**
3 **neuropathology analysis, showing A: no differences in age between groups; B: early amyloid-first**
4 **(ϵ 4+) group has a higher proportion of females than the stage-zero group; C: small increase in**
5 **years of education in early amyloid-first (ϵ 4+) versus early amyloid-first (ϵ 4-) group; and D: higher**
6 **prevalence of early amyloid-first group within ϵ 4+ participants. Bottom row: ADNI PET-based**
7 **analysis, showing E: small increase in age in early tau-first (ϵ 4+) group relative to stage-zero**
8 **group; F: higher proportion of females in early tau-first groups relative to stage-zero group; G:**
9 **fewer years of education in the early tau-first (ϵ 4+) group versus both early tau-first (ϵ 4-) and**
10 **stage-zero groups; and H: as in neuropathology analysis, a higher prevalence of early amyloid-**
11 **first group within ϵ 4+ participants.**

12
13 **Figure 4 Longitudinal consistency of PET-based model.** On the left are spaghetti plots of
14 participants with either amyloid-first (**A**; $n = 78$) or tau-first (**C**; $n = 47$) as their estimated baseline
15 subtype, stratified by APOE ϵ 4 status within each figure. Each participant's longitudinal stage
16 progression is depicted as a connected line, with opposite colors and 'x' markers used for points
17 where the follow-up subtype is not consistent with the baseline subtype. The dashed lines represent
18 the early-stage cut-off for each subtype (amyloid-first: stage nine, tau-first: stage ten). On the right
19 are confusion matrices built by comparing each participant's estimated baseline subtype to their
20 estimated two-year follow-up subtype, stratified by APOE ϵ 4 status (**B**: $n = 58$ ϵ 4-, **D**: $n = 45$ ϵ 4+).

21
22 **Figure 5 Longitudinal amyloid and tau PET SUVR trajectories for early-stage groups in**
23 **PET-based model based on linear mixed effects models. A: amyloid PET based global SUVR**
24 **trajectories using composite reference region that is recommended for longitudinal analysis, with**
25 **an abnormality cut-off of 0.78 as reference line; B-D: tau PET based Braak composite SUVR**
26 **trajectories with empirically chosen abnormality cut-offs based on distributions presented in**
27 **Supplementary Table 8 (1.3 for Braak I in B, 1.25 for Braak III/IV in C, 1.2 for Braak V/VI in D).**

28
29

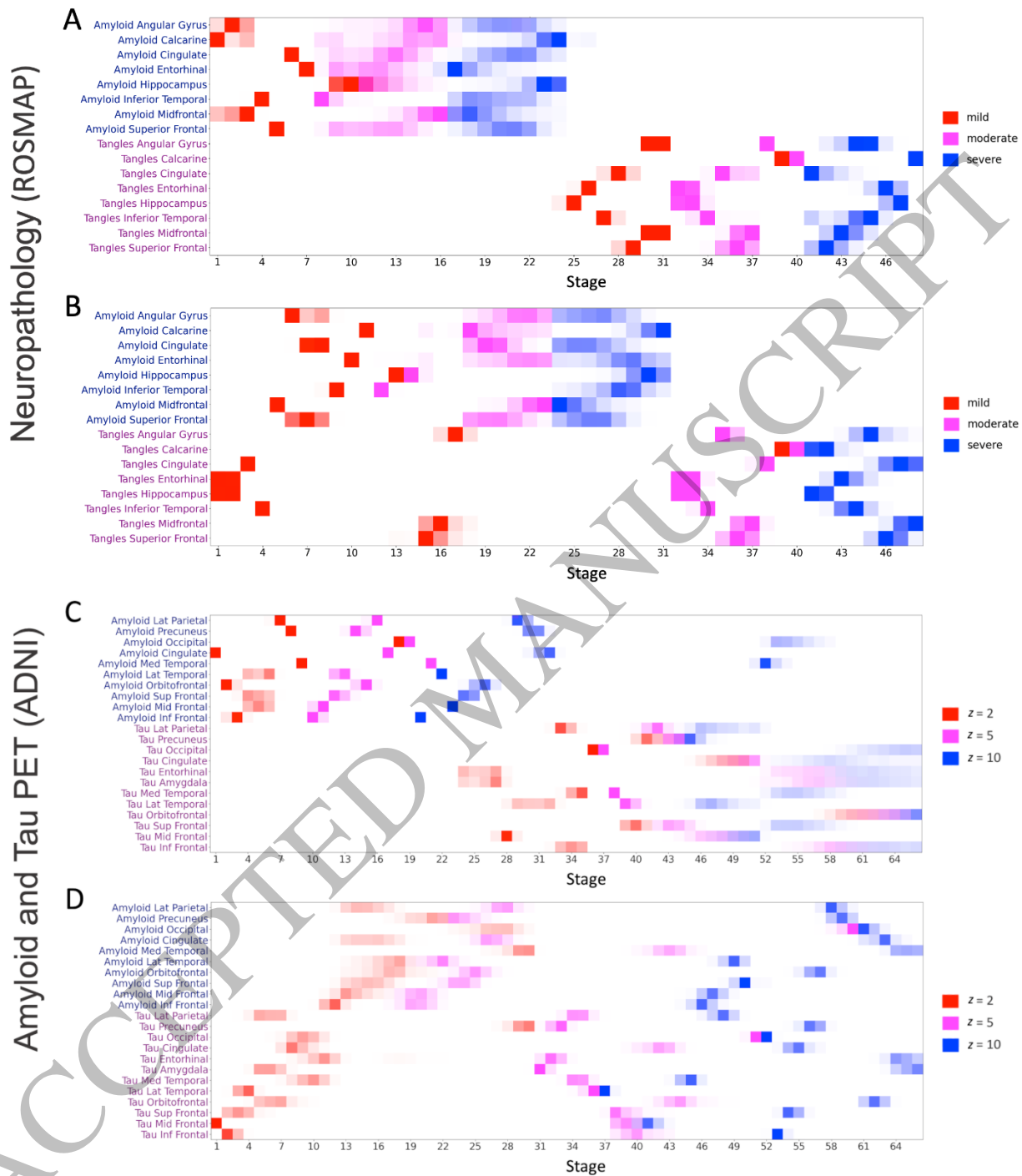


Figure 1
209x240 mm (x DPI)

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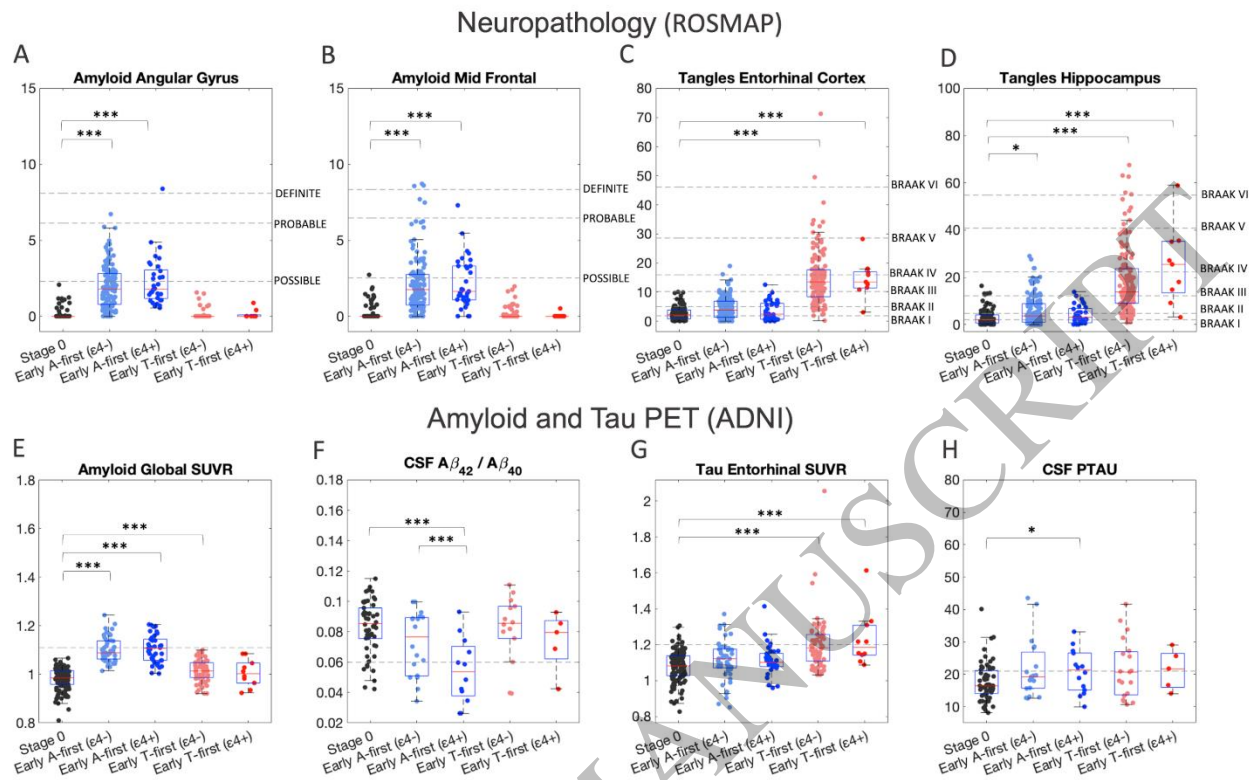


Figure 2
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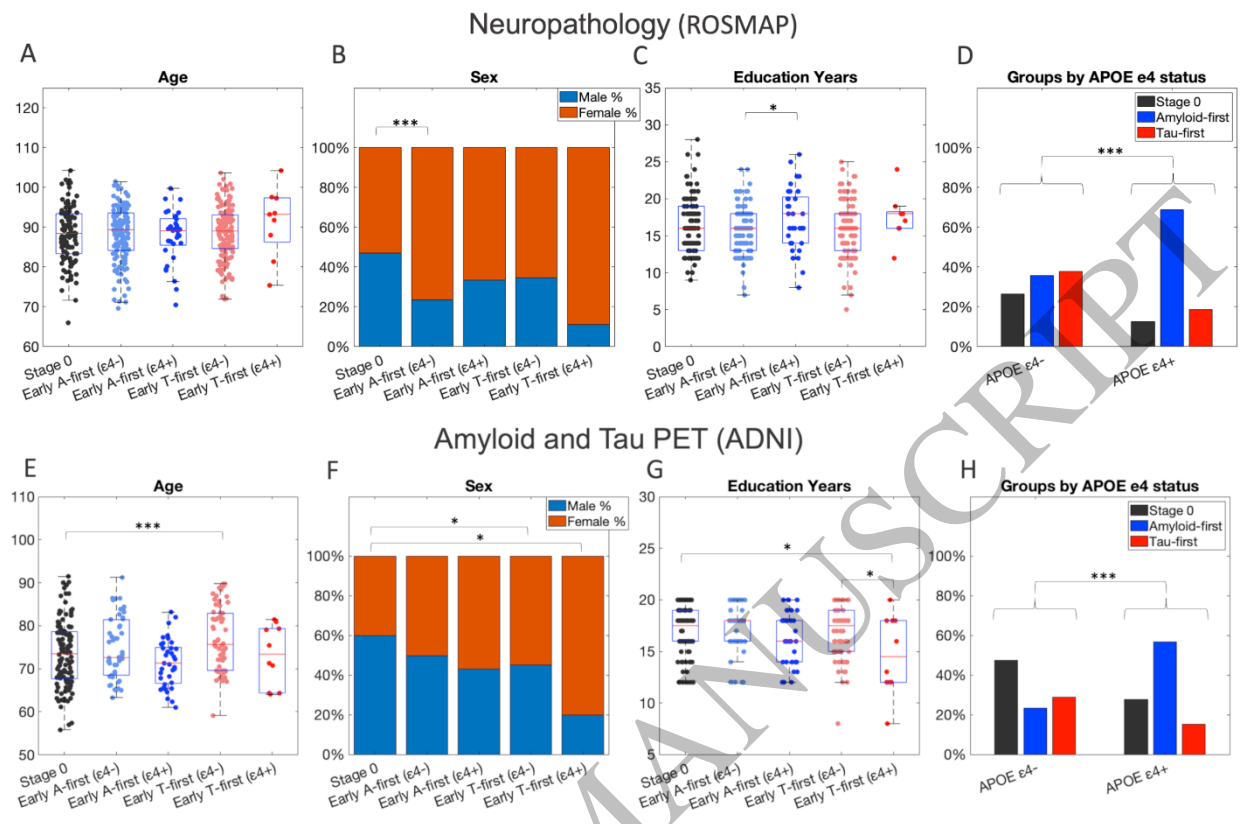
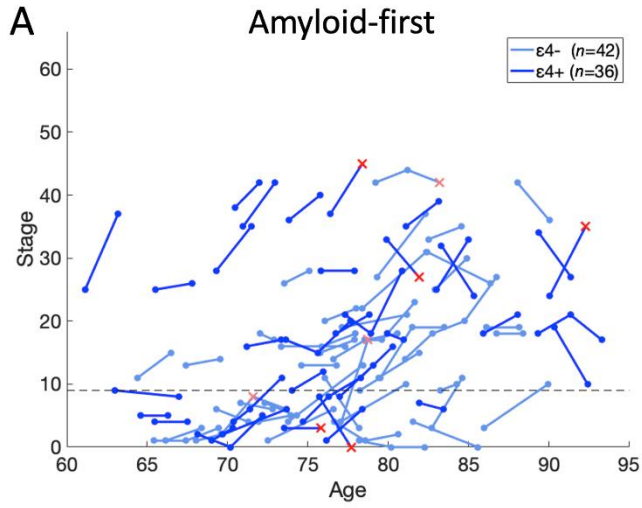


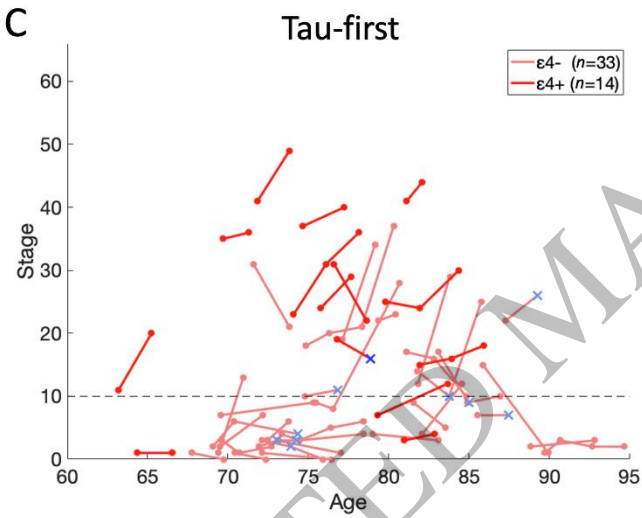
Figure 3
239x160 mm (x DPI)



B

APOE $\epsilon 4^-$

	Stage 0	A-first	T-first
Baseline	13	25	1
Follow-up	2	6	8



D

APOE $\epsilon 4^+$

	Stage 0	A-first	T-first
Baseline	3	25	4
Follow-up	0	1	10

Figure 4
232x197 mm (x DPI)

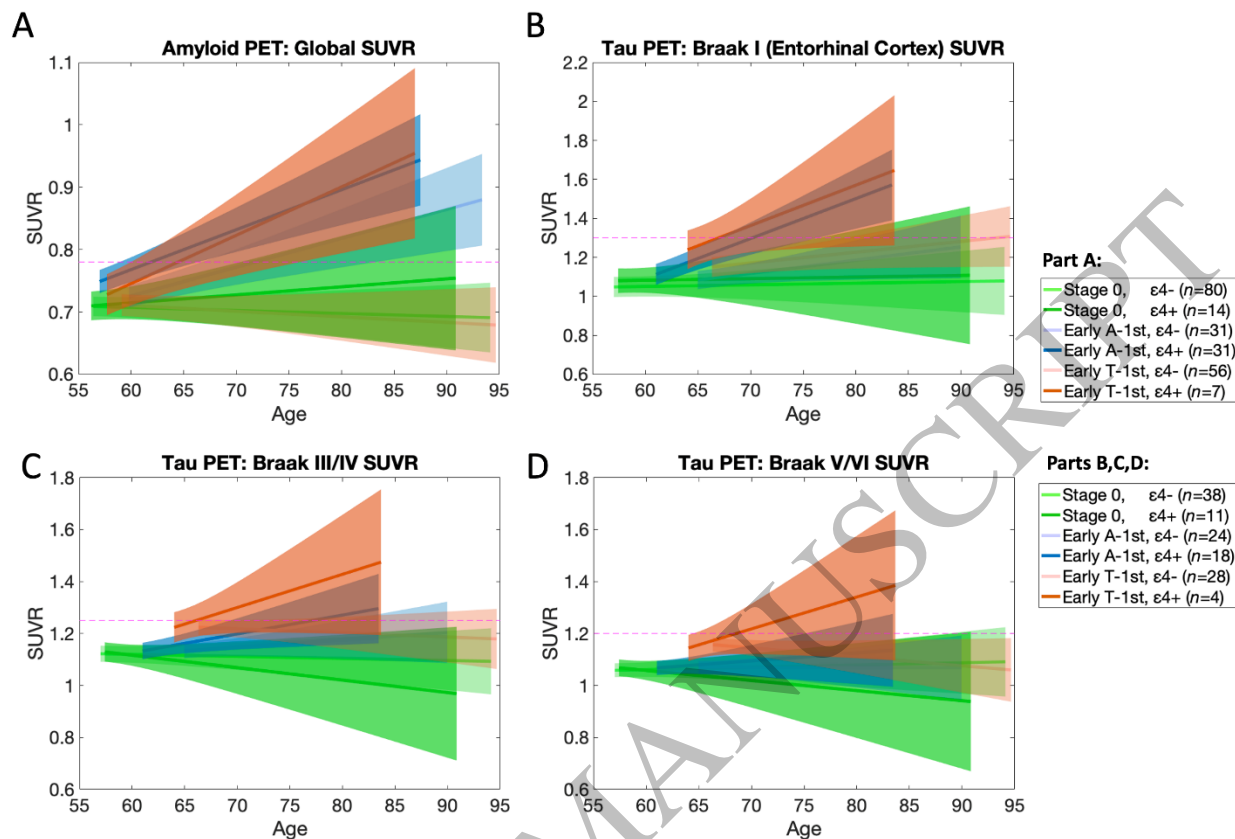


Figure 5
239x162 mm (x DPI)

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Table I Characterization and comparison of subtyping cohorts

	ROSMAP	ADNI	
n	1338	502	
Age, Mean ± SD, [Min Max]	89.9 ± 6.4, [65.9 108.3]	75.2 ± 7.9, [55.3 93.8]	<1 × 10 ⁻⁶ ***
Education Years, Mean ± SD, [Min Max]	15.9 ± 3.6, [3.0 30.0]	16.4 ± 2.6, [8.0 20.0]	0.005**
Females, Percentage	69%	50%	<1 × 10 ⁻⁶ ***
APOE ε4 alleles (% 0,1,2)	76%, 22%, 2%	65%, 28%, 7%	<1 × 10 ⁻⁶ ***

3

4

5

6

APOE ε4 was available for all ROSMAP participants and 470 ADNI participants. We compared age and education years via one-way ANOVAs and sex and APOE ε4 carriage via chi-squared tests. SD = standard deviation. P-values of these tests are reported in right-hand column. * P < 0.05, ** P < 0.01, *** P < 0.001.

ACCEPTED MANUSCRIPT