

Title: SGLT2 inhibitor canagliflozin does not increase risk of non-genital skin and soft tissue infections in people with type 2 diabetes mellitus.

Subtitle: A pooled post-hoc analysis from the CANVAS and CREDENCE randomized double-blind trials.

Short running title: Non-genital SSTI in Canagliflozin trials

Authors

Amy Kang MBBS (Hons)^{1,2,3}, Brendan Smyth PhD^{1,4,5}, Brendon L Neuen MBBS (Hons)¹, Hiddo JL Heerspink PhD^{1,6}, Gian Luca Di Tanna PhD¹, Hong Zhang MD⁸, Clare Arnott PhD^{1,9}, Carinna Hockham PhD¹, Rajiv Agarwal MD¹⁰, George Bakris MD¹¹, David M Charytan MD¹², Dick de Zeeuw PhD⁶, Tom Greene PhD¹³, Adeera Levin MD¹⁴, Carol Pollock PhD^{15,16}, David C Wheeler MD¹⁷, Kenneth W Mahaffey MD¹⁸, Vlado Perkovic PhD^{1,2}, Meg J Jardine PhD^{1,4,19}

Affiliations

1. The George Institute for Global Health, University of New South Wales, Sydney, New South Wales, Australia
2. University of New South Wales, Sydney, New South Wales, Australia
3. Department of Nephrology, Prince of Wales Hospital, Sydney, New South Wales, Australia
4. NHMRC Clinical Trials Centre, University of Sydney, Sydney, New South Wales, Australia
5. Department of Renal Medicine, St George Hospital, Sydney, New South Wales, Australia
6. Department of Clinical Pharmacy and Pharmacology, University of Groningen, University Medical Center Groningen, Groningen, The Netherlands
7. The Charles Perkins Centre, University of Sydney, Sydney, New South Wales, Australia
8. Renal Division of Peking University First Hospital, Beijing, China
9. Department of Cardiology, Royal Prince Alfred Hospital, Sydney Medical School, Sydney,

New South Wales, Australia

10. Indiana University School of Medicine and VA Medical Center, Indianapolis, IN, USA
11. Department of Medicine, University of Chicago Medicine, Chicago, IL, USA
12. Nephrology Division, New York University Langone Medical Center, New York University School of Medicine, New York, NY, USA
13. University of Utah, Salt Lake City, UT, USA
14. Division of Nephrology, University of British Columbia, Vancouver, British Columbia, Canada
15. Kolling Institute of Medical Research, Sydney Medical School, University of Sydney, Sydney, New South Wales, Australia
16. Royal North Shore Hospital, Sydney, New South Wales, Australia
17. Department of Renal Medicine, University College London Medical School, London, United Kingdom
18. Stanford Center for Clinical Research, Department of Medicine, Stanford University School of Medicine, Stanford, CA, USA
19. Concord Repatriation General Hospital, Sydney, New South Wales, Australia

Corresponding author: Dr Amy Kang The George Institute for Global Health,
akang@georgeinstitute.org.au

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Structured Abstract

Aims: Sodium glucose cotransporter 2 inhibitors (SGLT2i) may disrupt the skin's protective hypertonic microenvironment. We assessed whether canagliflozin affects risk of non-genital SSTIs (skin and soft tissue infections).

Materials and Methods: We performed a post-hoc pooled individual participant analysis of the CANVAS and CREDENCE trials that randomized people with type 2 diabetes at high cardiovascular risk and/or with chronic kidney disease to either canagliflozin or placebo. Investigator-reported adverse events were assessed by two blinded authors following pre-determined criteria for non-genital SSTI. Risk of non-genital SSTI, overall and within pre-specified subgroups, and risk of non-genital fungal SSTI, were analyzed using Cox regression models. Factors associated with non-genital SSTI were assessed using multivariable Cox regression models.

Results: Overall 903 (6%) of 14,531 participants experienced non-genital SSTIs over 26 months median follow-up. No difference was observed in non-genital SSTI rates between canagliflozin and placebo (24.0 events/1000 person-years versus 23.9 events/1000 person-years, respectively; HR 0.97 [95% CI 0.85-1.11], $p=0.70$), with consistent results across subgroups (all p interaction >0.05). The risk of recurrent events and non-genital fungal infection also did not differ significantly between canagliflozin and placebo (HR 1.06 [0.94-1.19], $p=0.32$ and HR 1.18 [0.88-1.60], $p=0.27$, respectively). Baseline factors independently associated with non-genital SSTI were younger age, male sex, higher body mass index, higher glycated haemoglobin, lower eGFR, established peripheral vascular disease and history of neuropathy.

Conclusions: Canagliflozin did not affect risk of non-genital SSTI or non-genital fungal SSTI compared to placebo. These findings suggest that any SGLT2i-mediated change in skin microenvironment is unlikely to have meaningful clinical consequences.

Introduction

Sodium glucose cotransporter 2 inhibitors (SGLT2i) offer cardiovascular, kidney and mortality benefits,[1, 2] to individuals with type 2 diabetes, heart failure and chronic kidney disease.[3-5] As indications for and uptake of this drug class continues to rise,[6, 7] understanding potential adverse effects is increasingly important.

The skin is the body's first defence against external micro-organisms and a storage site for excess sodium.[8-10] The high sodium content of the skin may be protective against micro-organisms and activate white cells.[11] The kidney regulates an average of 90g of total body sodium.[12] Approximately 25g of sodium is reabsorbed by sodium glucose cotransporter 2 receptor dependent pathways each day. By blocking this, SGLT2i promote sodium excretion, reduce total body sodium and water, and contribute to beneficial blood pressure and cardiovascular effects,[13, 14] but could also reduce skin hypertonicity[15, 16] and impair the skin's antimicrobial barrier, leading to skin and soft tissue infections.

There is clinical concern for a broader risk of skin and soft tissue infection (SSTI) apart from the recognised risk of genital mycotic infections with SGLT2i. This concern was fed by 1) case reports of necrotising fasciitis in post-marketing surveillance; 2) an association between canagliflozin and osteomyelitis in post-marketing data-mining of the FDA;[17] 3) an over-representation of infectious events and necrotising fasciitis in people taking SGLT2i in a worldwide post-marketing surveillance database;[18] and 4) an increased risk of amputation in participants randomized to canagliflozin in the CANVAS[19] trial, primarily driven by infection.[20] The higher incidence of amputation in participants on canagliflozin appears to be an anomaly and potentially chance finding of CANVAS, as no other adequately powered studies replicated this finding.[21-23]. However, as SSTI, osteomyelitis and amputation could be part of the same pathological pathway, whether SSTI is associated with SGLT2i requires exploration.

Few studies have explored if SGLT2i carry a broader risk of non-genital SSTI, remote from the local effects of glycosuria. To date, large-scale SGLT2i clinical outcome trials have only reported limited non-genital SSTIs of interest. A meta-analysis of the published randomized evidence did not find an increased risk of bacterial skin infections (necrotising fasciitis, abscess, cellulitis or erysipelas) with SGLT2i use but had only 394 events in 69,573 people.[24] Another study described skin complications of SGLT2i in post-marketing surveillance, but focused on hypersensitivity and allergic reactions to the drug class without a clear comparator group.[25]

We aimed to describe non-genital SSTIs in the CANVAS and CREDENCE trials, and to determine if the rate of non-genital SSTI differed between participants allocated to canagliflozin versus placebo, overall or in any subgroup. We also aimed to assess patient factors associated with non-genital SSTIs in people with diabetes mellitus at high cardiovascular and/or renal risk.

Materials and Methods

Study design and participants

The CANVAS Program [19, 26] and CREDENCE trial [27, 28] are both large parallel, double-blind randomized, placebo-controlled multi-centre trials comparing outcomes for participants randomised to canagliflozin to participants randomised to placebo. Study designs and conduct have been previously described.[26, 27] Briefly, the CANVAS Program (comprised of the CANVAS and the CANVAS-R trials) assigned 10,142 people with type 2 diabetes and high cardiovascular risk to either oral canagliflozin or placebo and the CREDENCE trial assigned 4,401 people with type 2 diabetes and albuminuric kidney disease to either oral canagliflozin or placebo. In the CANVAS trial, participants were randomized 1:1:1 to canagliflozin 100mg daily, canagliflozin 300mg daily or placebo. In the CANVAS-R trial participants were randomized 1:1 to canagliflozin initially dosed at 100mg daily with the option of up-titration to 300mg daily after week 13, or placebo. In the CREDENCE trial, participants were randomized 1:1 to 100mg of canagliflozin daily or placebo.

Adverse events were collected as part of safety monitoring and assessed at every study visit in all trials. However, in the CANVAS-R trial, only serious adverse events or events leading to drug discontinuation were reported to the central safety committee and were available for analysis in the current study. This analysis was post-hoc and adverse events were categorized after data-lock.

Outcomes

The primary outcome of this *post-hoc* analysis was non-genital SSTI adverse events. As non-genital SSTI were not an adverse event of interest in any of the trials, non-genital SSTI was pre-defined by two blinded authors (AK and BS). All Medical Dictionary for Regulatory Activities (MedDRA) terms with a body system or organ class of “Infections and Infestations”, “Skin and subcutaneous tissue disorders” or “Musculoskeletal and connective tissue disorders” were reviewed and classified as SSTI or not-SSTI with discrepancies resolved by consensus. Assessors were aware of the primary trial results but were blinded to treatment allocation relating to adverse events. The MedDRA terms used to define non-genital SSTI and fungal non-genital SSTI are listed in the Supplementary Appendix. Our definition of SSTI did not include genital mycotic infections as this group of infections are a recognised, specific and previously reported complication of SGLT2i,[19, 28] likely driven by a different pathophysiological pathway related to the local effects of glucosuria. Infections were categorized as bacterial, fungal or other skin infections, or soft tissue infections. Pre-specified secondary outcomes for this analysis were fungal non-genital SSTI, non-fungal non-genital SSTI and non-genital SSTI requiring hospitalisation.

Statistical analysis

We performed pooled analyses of the CANVAS and CREDENCE trials to assess the risk of non-genital SSTIs. The primary analysis was conducted in all treated participants through to 30 days after the last drug dose (on-treatment analysis), which is more conservative for adverse effect analyses. A pre-specified sensitivity analysis was performed in all randomized participants. Baseline characteristics were summarized as means with standard deviations, medians with inter-quartile ranges, or frequencies and percentages. For the primary outcome and secondary outcomes hazard ratios (HRs)

and 95% confidence intervals (CIs) were estimated with Cox regression models with a frailty component to account for the inclusion of distinct studies. The proportional hazards assumption was checked visually on the first imputed dataset using cumulative sums of residuals and by Kolmogorov-type Supremum test. Recurrent event analyses were conducted using the Anderson Gill method.[29] No correction for multiple comparisons were made. Annualised incidence rates were calculated per 1000 person-years of follow-up.

Exploratory analyses assessed whether risk of non-genital SSTIs differed in pre-specified subgroups based on participant characteristics (age, sex, race, region, body mass index, glycated haemoglobin, diabetes duration, eGFR, peripheral vascular disease, smoking, neuropathy and heart failure). Dose effect was assessed in the CANVAS trial, which randomly assigned participants to different doses of canagliflozin. The placebo group was randomly split into 2, to allow comparison between the 100mg and 300mg canagliflozin arms. In the CREDENCE trial only one dose of canagliflozin was tested.

Factors associated with non-genital SSTIs were determined by Cox regression in multivariable models using pre-selected baseline variables selected from known risk factors and biological plausibility. Collinearity for continuous variables (age, eGFR, body mass index and glycated haemoglobin) was assessed visually and when detected the variable considered to be more clinically relevant was entered into the model. Age and duration of diabetes mellitus were collinear, preventing duration of diabetes being entered as a variable in the multivariable analysis. Age, eGFR, body mass index and glycated haemoglobin were analysed as linear variables. The unadjusted risk of non-genital SSTI was graphed as a restricted cubic spline with knots at the 10th, 50th and 90th centiles for pre-selected variables of interest: eGFR, glycated haemoglobin, body mass index and age. All analyses were conducted in SAS Enterprise Guide 7.1 with SAS/STAT 14.1 (SAS Institute, Cary NC, USA). In all analyses, two-sided $p < 0.05$ were considered to be statistically significant.

Role of the funding source

Although the trials were funded by Janssen Research and Development, this analysis was independently designed and conducted by the authors and did not receive support from Janssen or any other external source.

Results

A total of 14,531 participants were included in the current analysis. The mean age was 63 years, and 10,866 (75%) were White. At baseline, 3,155 (22%) had a history of peripheral vascular disease and 5,252 (36%) had a diagnosis of neuropathy (Supplementary table 1).

There were 498 MedDRA terms within “Infections and Infestations”, “Skin and subcutaneous tissue disorders” and “Musculoskeletal and connective tissue disorders”, of which 57 were agreed to represent SSTI (Supplementary table 2 and 3).

During the trial, 903 (6%) participants experienced non-genital SSTIs over a median of 26 months follow-up at a rate of 23.9 events per 1000 person-years (Supplementary table 2). There were 861 skin and 42 soft tissue infections (Supplementary table 4). The most frequent MedDRA terms were “cellulitis” (n=237, 26.2%), “fungal skin infection” (n=237, 8%) and “gangrene” (n=51, 5.6%), respectively (Supplementary table 2). Four cases of necrotising fasciitis/ Fournier’s gangrene were reported, of which 3/7,990 were in the canagliflozin arms and 1/6,541 were in the placebo arms and all participants recovered (Table 1).

No difference was observed in the rate of non-genital SSTIs between canagliflozin and placebo arms (24.0 events/1000 person-years versus 23.9 events/1000 person-years, respectively; HR 0.97 [95% CI 0.85-1.11], p=0.70), with consistent results across participant subgroups (all p interaction>0.05). The results were consistent across participant subgroups and between trials (Figure 1 and 2) (all p interaction>0.05).

Similarly, no difference was detected in the secondary analyses, including rates of non-genital fungal SSTI or non-fungal SSTI with canagliflozin compared to placebo (Table 2). There was also no difference detected in the rate of hospitalised SSTI (HR 0.92 [95% CI 0.72-1.17], p=0.49) with canagliflozin compared to placebo. There were 1,258 recurrent (first and subsequent) non-genital SSTI over the assessed period and no difference in the recurrent event analysis with canagliflozin compared to placebo (HR 1.06 [0.94-1.19], p=0.32).

There was no suggestion of a dose-dependent effect, with similar risk between doses of canagliflozin (HR 1.17 [95% CI 0.88-1.55] versus HR 1.06 [95% CI 0.80-1.40] for the 100mg and 300mg canagliflozin arms in the CANVAS trial respectively, p interaction=0.64). Results were similar in the pre-specified sensitivity analyses (Supplementary table 5).

The study drug was continued after most first events. The drug dose was not changed in 755 (84%) cases. Of those, 132/449 (29%) in the canagliflozin group and 61/306 (20%) in the placebo group had a subsequent event whilst on treatment. Drug was interrupted in 81 (9%) cases and in the remaining 67 (7%) of first events, drug was withdrawn or already withdrawn at the time of the event.

Non-genital SSTI was associated with lower eGFR. Risk was non-linear for glycated haemoglobin and body mass index and rose after an HbA1c of 8% and body mass index of 30 kg/m². In contrast, risk of SSTI declined after 60 years of age. Independent associations with SSTI included male sex, higher body mass index, higher glycated haemoglobin, insulin requirement, established peripheral vascular disease and neuropathy (Figure 3). Older age was independently associated with a reduced risk of SSTI.

Discussion

In the largest randomized analysis by event rate assessing the risk of non-genital SSTIs with SGLT2i, we found that participants with type 2 diabetes mellitus at high cardiovascular and/or renal risk who received canagliflozin were not at increased risk of non-genital SSTI compared to those who received

placebo. This finding was consistent across participant subgroups and between trials. There was also no difference in the risk of recurrent events or non-genital fungal infections between those who received canagliflozin or placebo. Factors associated with non-genital SSTIs in this cohort of people with type 2 diabetes mellitus were younger age, male sex, and markers of diabetes severity (higher body mass index, higher glycated haemoglobin, insulin requirement, peripheral vascular disease and neuropathy) at baseline.

SGLT2i induce natriuresis[30] producing sustained sodium shift out of the skin. A randomised trial of 59 people with type 2 diabetes mellitus found dapagliflozin reduced skin sodium content by 6% at 6 weeks compared to baseline[15] and a randomised trial of 79 participants with heart failure found empagliflozin reduced skin sodium content by 6% at 3 months compared to baseline.[16] Longer term studies are yet to be conducted. 24-hour urine samples were not collected in CANVAS or CREDENCE, preventing an assessment of natriuresis in either study or correlation between natriuresis and risk of non-genital SSTI in this analysis. Although SGLT2i reduce skin sodium content, our clearly neutral findings suggest that either the effect on the sodium content in the skin is of negligible antimicrobial consequence or that other benefits of SGLT2i, such as improvement in glycaemic control, kidney function, oedema or weight, which are also important determinants of risk of infections,[31] mitigate this risk.

Mycotic genital infections are a recognised complication of SGLT2i, assumed to be caused by the delivery of glucose to the genital area by glucosuria. In both CANVAS and CREDENCE, canagliflozin was associated with a higher risk of genital mycotic infections in both men and women.[19, 28] As these results were previously published and the mechanism of the adverse event is believed to be distinct, mycotic genital infections were not included in this analysis.

Our results, together with limited data from other randomized trials,[24] provides reassurance that SGLT2i do not increase the risk of non-genital SSTI in people with type 2 diabetes mellitus and atherosclerotic cardiovascular disease and/or diabetic kidney disease and suggests that associations

between non-genital SSTI and SGLT2i may be due to the higher prevalence of risk factors for non-genital SSTI among people in whom SGLT2i were initially indicated. This is particularly relevant as the indications for SGLT2i broaden to other diseases including chronic kidney disease and heart failure, irrespective of the presence or absence of diabetes mellitus.[32-36]

Our results help us to understand risk factors for skin and soft tissue infections in people with type 2 diabetes mellitus. People with diabetes mellitus are particularly susceptible to skin infections and are three times more likely to suffer skin infections than the general population,[37] with higher risk of both typical and atypical skin infections.[38] However, the relative contribution of diabetes mellitus itself,[39] complications of diabetes (kidney disease, peripheral vascular disease, neuropathy) or common comorbidities (such as obesity and smoking) and medications used to treat diabetes mellitus to the risk of infection is difficult to ascertain. This analysis found markers of diabetes severity (higher body mass index, higher glycated haemoglobin, insulin requirement, peripheral vascular disease and neuropathy) were independently associated with risk of non-genital SSTI. Hyperglycaemia creates a chronic inflammatory state that impairs leucocyte function[40] and increases susceptibility to infection. Independently, obesity is believed to impair the immune system and also specifically increases the risk of skin infections by increasing the risk of pressure areas and skin breakdown, lymphoedema and impairing circulation and wound healing.[41] The Fremantle Diabetes Study, an observational study of 1,294 participants, found prior recent infection-related hospitalization, along with disease complications (obesity, albuminuria, and retinopathy) at baseline were independently associated with risk of hospitalisation for infection.[31] Similarly, an English primary care cohort of 85,312 participants with type 1 or type 2 diabetes mellitus found glycaemic control was independently associated with risk of hospitalisation for infection.[42] Another study used two-sample Mendelian randomization in the genome-wide association study of 171,322 participants and found a causal relationship between obesity and SSTI.[43] Previous studies have also found associations between insulin requirement and risk of infection.[44] Insulin is not a first-line therapy for type 2 diabetes mellitus and is generally initiated after failure of first line therapies or if first line therapies are

contraindicated (such as in advanced chronic kidney disease).[45] The independent association with risk of non-genital SSTI is likely the result of residual confounding where insulin requirement is a marker of disease severity. Although, it is possible that the medication itself increases risk of SSTI, or that our findings are the result of detection bias where people requiring insulin therapy are more likely to be diagnosed with infection. Conversely, although we found no association between metformin use and SSTI, previous studies found metformin use is associated with reduced risk of bacterial pneumonia[46, 47] and better outcomes after sepsis,[48] potentially due to the anti-inflammatory effects of metformin,[49] or metformin may act as a surrogate marker for healthcare access or kidney function.

Although older age is commonly believed to be a risk factor for all types of infection, we found that younger, rather than older, age was associated with risk of non-genital SSTI. This finding is supported by previous studies of people with diabetes mellitus that found younger people were more likely to suffer skin infections.[50] However different relationships have been described for other types of infections. One study found older age predicted generalised bacterial infections,[31] while another study described varying relationships between age and infection, depending on the type of infection.[51] Possible explanations for a different relationship between age and SSTI compared to other types of infection, include the protective skin sodium barrier, which becomes more hypertonic with increasing age.[10] Alternative explanations include more aggressive disease in younger onset diabetes mellitus[52, 53] with greater risk of complications including SSTI. In addition, selection bias may disproportionately affect older people such that only older people with fewer comorbidities are part of clinical research.[54]

In our analysis, male gender was associated with risk of non-genital SSTI. This is supported by the findings of previous studies in people with diabetes mellitus[31] linking male sex to risk of generalised bacterial infections. Women appear to be at greater risk of hypersensitivity and allergic reactions from SGLT2i.[55] Reasons for greater vulnerability to skin and soft tissue infections in men are not clear but

may be related to hormonal differences or differences in received health care[56] and subsequent complications of diabetes mellitus.

In our analysis, race was not associated with risk of SSTI. However, the vast majority (75%) of participants were of White ethnicity, limiting the generalizability of the findings to other ethnicities. The possibility that the risk of skin and soft tissue infections varies between ethnicities and/or geographies remains. Multiple previous studies have described healthcare inequities in the risk of infection in minority racial groups in both the general population[31, 57] and in people with diabetes mellitus.[58]

Strengths and Limitations

This large individual participant level data analysis of randomized trials provides three times as many events as the preceding studies and thus greater power to detect a difference in SSTI. The trials successfully recruited people at-risk who were older and had worse markers of diabetes severity than registries of people with diabetes mellitus.[59] However, this analysis also has several limitations. This was a *post-hoc* analysis using investigator-reported adverse events where investigators may have different reporting thresholds and different methods for categorising adverse events. However, these factors should not systematically favour either arm of the trials. In addition, this analysis was not powered to look specifically at the rare complication of necrotising fasciitis. Furthermore, like many other trials in diabetes mellitus, women were under-represented. Caution should be exercised in generalising our results to other SGLT2i as some meta-analyses have found differences in risk of other infections between agents,[60] and in extending our findings to populations without type 2 diabetes.

Conclusion

Canagliflozin did not increase the risk of skin and soft tissue infections overall or in any subgroup, in participants with type 2 diabetes mellitus at high cardiovascular or renal risk. Our results provide reassurance for the use of SGLT2i and suggest that high rates of skin and soft tissue infections may be due to underlying risk from diabetes mellitus itself and its complications. Factors associated with skin and soft tissue infections in this cohort of people with type 2 diabetes mellitus included some traditional markers of worse diabetic control at baseline, younger age and male gender.

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Author contributions

Design and oversight of the CANVAS and CREDENCE studies were provided by VP, MJ, CP, RA, GB, DMC, DdZ, HJLH, TG, AL, BN, DCW, HZ, BZ, KWM. MJ and AK conceptualized the present study. Adverse events were categorized by AK and BS. The analysis was designed and performed by AK, with expert review and revision provided by GLDT. Figures were produced by AK. The manuscript was drafted by AK and interpretation of the analysis provided by CA, BS, BLN, HJLH, BN, HZ, CH, RA, GB, DMC, DdZ, TG, AL, CP, DCW, BZ, KWM, VP and MJ. All authors had full access to the data on request and AK and BN verified all the data in the study. All authors reviewed the manuscript and have agreed to publication of the final version.

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Disclosures

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AK, BS, CH and GLDT have no conflicts of interest to declare.

Data sharing

Data from this study are available in the public domain via the Yale University Open Data

Access Project (<http://yoda.yale.edu/>). This includes deidentified individual participant data, data definition specification, annotated case report form, protocol with amendments and primary statistical analysis plan.

Figure Legends

Figure 1 a and b

Risk of non-genital skin and soft tissue infection from canagliflozin versus placebo Hazard Ratio (95% CI) overall and by trial.

Figure 2

Risk of non-genital skin and soft tissue infection from canagliflozin versus placebo Hazard Ratio (95% CI) by pre-specified subgroups.

Figure 3

Factors associated with non-genital skin and soft tissue infections.

Figure 4a, b, c and d

Unadjusted risk of non-genital skin and soft tissue infections by eGFR, glycated haemoglobin, body mass index and age.

Tables

Table 1. Non-genital skin and soft tissue infection event numbers and outcomes

| Outcome | Canagliflozin 7,990 | Placebo 6,541 | All 14,531 |
|---|------------------------|------------------|---------------|
| All events | 533 | 370 | 903 |
| Serious adverse events | 131 | 98 | 229 |
| Hospitalised | 128 | 98 | 226 |
| Fatal events | 1 | 3 | 4 |
| Necrotising fasciitis/ Fournier's gangrene | 3 | 1 | 4 |

Table 2. Secondary analyses

| | Canagliflozin | | Placebo | | Hazard ratio [95% CI], p value |
|-----------------------------------|---------------|------|-----------|------|------------------------------------|
| | n/N | Rate | n/N | Rate | |
| Non-genital Fungal infection | 118/7,990 | 5.1 | 68/6,541 | 4.2 | HR 1.18 [0.88-1.60], p=0.27 |
| Non-fungal infection | 450/7,990 | 20.0 | 314/6,541 | 20.1 | HR 0.96 [95% CI 0.83-1.11], p=0.61 |
| SSTI requiring hospitalization | 153/7,990 | 6.6 | 121/6,541 | 7.5 | HR 0.92 [95% CI 0.72-1.17], p=0.49 |

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