

1 **Digital biomarkers in Parkinson’s disease: missing the forest for the trees?**

2 Ashwani Jha MRCP PhD¹, Alberto J. Espay MD MSc², Andrew J. Lees FRCP F.Med.Sci PhD³

3
4 ¹UCL Queen Square Institute of Neurology, London, UK

5 ²James J. and Joan A. Gardner Family Center for Parkinson's Disease and Movement
6 Disorders, Department of Neurology, University of Cincinnati, Cincinnati Ohio, USA

7 ³Reta Lila Weston Institute of Neurological Studies, Department of Clinical Movement
8 Disorder and Neuroscience, Institute of Neurology, University College London, London,
9 United Kingdom

10
11 **Correspondence to:**

12 Ashwani Jha
13 Russell Square House
14 10-12 Russell Square
15 1st floor Stroke Research Centre
16 London, UK WC1B 5EH
17 ashwani.jha@ucl.ac.uk

18 **Word Count: 1633**

19 **Running Title:** Digital biomarkers in Parkinson’s

20 **Key Words:** Digital Health Technology, Outcomes, Personalisation, Parkinson’s, Biomarkers

25 Recently proposed digital measures of Parkinson's are gaining momentum^{1,2}. More
26 objective, more precise, and more easily repeated than human expert-based assessments
27 such as the current Gold Standard Movement Disorder Society Unified Parkinson's Disease
28 Rating Scale (MDS-UPDRS)³, these measures – often conceptualized as *digital biomarkers* –
29 promise a new dawn in personalized medicine. Digital tools are already being used as
30 surrogate markers in clinical trials⁴ and advocated to improve clinical decision-making⁵. So,
31 is it only a matter of more time, more data, and of course more money before at-home
32 digital assessments become the clinical Gold-standard? In this viewpoint we step-back and
33 re-evaluate what it is we are trying to measure and why we are measuring it. From this
34 perspective we argue that the current pursuit of more precise and objective measures may,
35 if unchecked, lead to ever increasing bias in clinical trials and ever decreasing utility for
36 individual decision-making. We may improve the statistical power of clinical trials but *at the*
37 *cost of* personalization. We propose a more holistic digital approach as a solution.

38

39 **The single digital biomarker hypothesis**

40 Many current digital measures aim to represent the severity of Parkinson's in an individual
41 with a *single* number⁶⁻⁸ although there are notable exceptions^{4,9,10}. These proposed digital
42 biomarkers are often more sensitive to clinical change than human expert ratings such as
43 the MDS-UPDRS¹¹ but the clinical interpretation and utility of these scores remains unclear.
44 Let's define a hypothetical smartphone-derived digital biomarker – a single *overall* severity
45 score that ranges from 0-10 where higher scores represent greater severity. If an
46 intervention reduces an individual's score from 8.5 to 8.1, what does this mean? Often, this
47 is interpreted as an improvement in the clinical features of disease and by proxy, an
48 improvement in the underlying neuronal dysfunction whether modifying individual disease-

49 related pathophysiological processes or not. We call this interpretation the *single digital*
50 *biomarker hypothesis* (Figure 1A). Much current regulatory, academic and commercial
51 thought¹ often assumes this hypothesis to be true and is focused on overcoming the
52 technical and practical barriers to validating these measures as digital endpoints. Here we
53 challenge the hypothesis itself and consider the reasons why *improvements in overall digital*
54 *measures do not necessarily imply overall improvements in clinical or neuronal dysfunction*.
55 We outline some of the conceptual credentials a digital measure must have if it is to be
56 accepted as a digital biomarker. The foundation of our challenge stems from the well-
57 established view of Parkinson's as a multi-dimensional, multi-etiology syndrome.

58

59 **Parkinson's cannot be measured by a single number**

60 There is no unitary biological marker for Parkinson's disease progression – no equivalent of
61 HbA1c for Diabetes¹. We can measure *clinical* change but this is difficult. Individuals with
62 Parkinson's suffer in different ways – some may have noticeable hand tremor, others
63 difficulty walking and yet others may be overwhelmed by anxiety. Individual severity,
64 progression and treatment response, therefore, occur unevenly across *multiple* clinical
65 dimensions, likely reflecting multiple underlying biological and environmental factors. The
66 multi-system nature of Parkinson's was established early on and is to some extent reflected
67 in current outcome scales such as the MDS-UPDRS³, the Parkinson's Disease Quality of Life
68 Questionnaire (PDQ-39)¹² and the Non-Motor Symptoms Scale¹³. But the vast majority of
69 current digital measures, however, focus only on measuring a limited aspect of Parkinson's.
70 Wrist-worn wearables are limited to motor observations at a single joint^{5,14,15}, whilst
71 smartphone assessments are dominated by motor assessments of one or a few limbs,
72 sometimes with voice⁶. Exceptionally, a wider motor phenotype or even a brief cognitive

73 test is included⁷, but these still fall drastically short of a holistic assessment of Parkinson's.
74 Some reports describe their digital biomarker as a *motor* score rather than an overall score
75 but the same issue applies – typically only limited *motor* features are measured in limited
76 parts of the body offering a limited view of the motor state. How does this issue affect the
77 interpretation of digital measures as biomarkers? At the individual level, it affects our ability
78 to take action solely on the basis of the 'hyper-precise' digital measure. It is clear that an
79 intervention that improves our smartphone-derived hypothetical digital score from 8.5 to
80 8.1 could easily *worsen* an individual's overall condition – measured clinical features such as
81 bradykinesia may have improved slightly, but unmeasured features such as anxiety or
82 dyskinesias may have deteriorated more substantially (Figure 1B). Even with a digital
83 measure, we still need a granular clinical assessment before we can recommend a change to
84 an individual patient's treatment schedule. A selective digital measure will also impact
85 group level inference, for example in interventional clinical trials. An overall digital endpoint
86 that is dominated by upper limb bradykinesia, for example, will be sensitive to interventions
87 that improve hand motor function but relatively insensitive to interventions that improve
88 other aspects of the condition. In the long-term, unless we are careful, digital endpoints
89 may bias translational science in favour of a particular mono- or oligosymptomatic profile.

90

91 **Digital measures can be confounded**

92 A second problem of the single digital biomarker hypothesis is the vulnerability of motor-
93 centric digital measures to confounding variables (Figure 1C) and other sources of
94 variation¹⁶. Here, systematic changes in a digital measurement are caused by unmeasured
95 confounds rather than the neural dysfunction of Parkinson's. Unlike expert-rated
96 assessments such as the MDS-UPDRS, digital measures are typically taken at-home without

97 supervision. Rhythmic hand movements whilst washing dishes or playing a musical
98 instrument may falsely be interpreted as tremor or dyskinesia by an at-home-wearable¹⁴.
99 Walking carefully on an icy pavement could be misinterpreted as bradykinesia. Many
100 aspects of human life unrelated to the neural dysfunction of Parkinson's will interact in
101 unknown ways with digital measurement¹⁶. Active task-based measures – where the patient
102 is performing a particular task such as tapping a smartphone screen – provide some level of
103 control against unmeasured environmental confounds but are still susceptible to another
104 kind. Aging and co-morbid diseases such as osteoarthritis will affect movement and
105 confound digital measurements. Going back to our smartphone-derived hypothetical digital
106 measure, a drop from 8.5 to 8.1 could be an improvement in their Parkinson's but may also
107 represent cessation of their trombone-playing practice or improved control of joint-pain in
108 their hands. At the group-level within a randomized controlled trial, one may expect such
109 confounds to 'balance out' in the long run but they would certainly add noise to the digital
110 measure and reduce its sensitivity to change.

111

112 **What would a digital biomarker look like?**

113 We have described how *single* digital measurements although precise often provide an
114 insufficient or confounded measure of Parkinson's progression. They cannot be used as the
115 sole basis of personalised clinical decision-making. They show promise⁴ but risk bias if used
116 without care in clinical trials. How can we address this? A first step would be to develop
117 digital measures that are holistic rather than reductive, measuring *multiple* clinical
118 dimensions with granular detail – similarly to how a clinician would assess a patient. Note
119 that these multiple measures must capture broad aspects of the patient's condition, not just
120 be multiple measures of the same aspect (e.g. hand tremor). With more faithful capture of a

121 patient's condition, it will be clearer if symptoms have meaningfully worsened in one
122 domain even if they have improved in others. If scores highlight a meaningful worsening of
123 anxiety after an intervention whilst walking has improved, then it may be rational to
124 withdraw the intervention even if our hypothetical single digital biomarker suggests an
125 overall improvement. Unlike a single digital biomarker, therefore, a comprehensive digital
126 assessment has the ability to assist clinical decision-making at the individual level. Likewise,
127 some types of confounded measurement would be more easily brought to light. Consider an
128 intervention for osteoarthritis that improves walking speed by improving joint pain and
129 stability. A single digital biomarker that incorporates a gait assessment may register
130 improvement leading to the false conclusion that the individual's Parkinson's has got better.
131 With multiple measures, however, it may be clearer that walking has improved due to a
132 separate reason (in line with pain reduction and perhaps without associated improvement
133 of hand function or tremor).

134

135 Although *necessary*, we do not claim here that increasing the breadth of assessment is
136 *sufficient* for the development of an actionable multi-domain measure – digital or
137 otherwise. The PDQ-39¹² is broad but lacks the granularity required for individual clinical
138 decision-making. The MDS-UPDRS³ is also broad but perhaps too blunt and unwieldy for
139 routine clinical use (except as part of the assessment for advanced therapies). There are
140 many other questions that novel digital measures will have to also address. We must
141 understand what features are meaningful for patients¹⁷, be concrete in exactly what is being
142 measured¹, and make sure digital tools are safe, robust and reliable^{2,16,18}. We must be aware
143 that some aspects such as the number of falls in the last year are countable and easily

144 measured, whereas other aspects such as the loss of confidence following a fall are not and
145 so harder to quantify. Perhaps many important aspects are immeasurable.

146

147

148 We suggest beginning with a multi-domain digital diary, perhaps focusing on interpretable
149 countable observations (number of falls, episodes of urinary incontinence, hours slept in the
150 daytime, etc) where possible. With appropriate care, this coarse but curated and actionable
151 resource could provide the data required to develop more complex and interactive digital
152 tools that incorporate objective measures. A similar development pipeline has already been
153 proposed for the monitoring of clinical fluctuations in Parkinson's¹⁹. This may seem a
154 backwards step to developers of digital health technologies who seek to "upgrade the
155 practice of medicine to one that is high-definition²⁰". They seek to reduce human inter-rater
156 error and increase objective measurement precision in order to provide better clinical
157 endpoints for trials. But in the pursuit of a more precise aggregate progression score, the
158 individual has slipped out of focus. *Comprehensiveness* more so than precise but
159 unidimensional measurement is required for actionable, personalised care decisions. This
160 will be familiar, even mundane, to expert clinicians who perform individualised multi-
161 dimensional assessments routinely as part of their clinical practice. But somewhere along
162 the digital development pathway this wisdom has been lost in translation.

163

164 **Acknowledgements:**

165 The authors would like to thank Professors Kailash Bhatia and Marcelo Merello for their
166 input and for inviting this viewpoint following a presentation and panel discussion at the
167 Movement Disorders Clinical Practice Conference 2022.

168

169 **Author Roles:**

170 1. Research project: A. Conception, B. Organization, C. Execution;

171 2. Statistical Analysis: A. Design, B. Execution, C. Review and Critique;

172 3. Manuscript Preparation: A. Writing of the first draft, B. Review and Critique;

173

174 AJ: 1A, 1C, 3A, 3B

175 AJE: 1C, 3B

176 AJL: 1C, 3B

177

178 **Disclosures:**

179 **Funding Sources and Conflict of Interest**

180 AJ has been involved in the development and clinical assessment of a smartphone-based
181 tool for Parkinson's disease (cloudUPDRS). AJ is funded by the Wellcome Trust (213038) and
182 supported by the National Institute of Health Research University College London Hospitals
183 Biomedical Research Centre

184 **Financial Disclosures for the Previous 12 months**

185 AJ has received travel expenses from Merz to attend a scientific course. AJE has received
186 grant support from the NIH and the Michael J Fox Foundation; personal compensation as a
187 consultant/scientific advisory board member for Neuroderm, Amneal, Acadia, Acorda,
188 Bexion, Kyowa Kirin, Sunovion, Supernus (formerly, USWorldMeds), Avion Pharmaceuticals,
189 and Herantis Pharma; personal compensation as honoraria for speakership for Avion and
190 Amneal; and publishing royalties from Lippincott Williams & Wilkins, Cambridge University
191 Press, and Springer. He cofounded REGAIN Therapeutics (a biotech start-up developing

192 nonaggregating peptide analogues as replacement therapies for neurodegenerative
193 diseases) and is co-owner of a patent that covers synthetic soluble nonaggregating peptide
194 analogues as replacement treatments in proteinopathies.

195

196 **Ethical Compliance Statement:**

197 The authors confirm that the approval of an institutional review board was not required for
198 this work and informed consent was not necessary. We confirm that we have read the
199 Journal's position on issues involved in ethical publication and affirm that this work is
200 consistent with those guidelines.

201

202 **References:**

203

- 204 1. Stephenson D, Badawy R, Mathur S, Tome M, Rochester L. Digital Progression
205 Biomarkers as Novel Endpoints in Clinical Trials: A Multistakeholder Perspective. *J*
206 *Parkinsons Dis.* 2021;11(s1):S103-S109. doi:10.3233/JPD-202428
- 207 2. Espay AJ, Hausdorff JM, Sánchez-Ferro Á, et al. A roadmap for implementation of
208 patient-centered digital outcome measures in Parkinson's disease obtained using
209 mobile health technologies. *Mov Disord.* 2019;34(5):657-663. doi:10.1002/mds.27671
- 210 3. Goetz CG, Tilley BC, Shaftman SR, et al. Movement Disorder Society-sponsored
211 revision of the Unified Parkinson's Disease Rating Scale (MDS-UPDRS): scale
212 presentation and clinimetric testing results. *Mov Disord.* 2008;23(15):2129-2170.
213 doi:10.1002/mds.22340
- 214 4. Lipsmeier F, Taylor KI, Postuma RB, et al. Reliability and validity of the Roche PD
215 Mobile Application for remote monitoring of early Parkinson's disease. *Sci Reports*

216 2022 121. 2022;12(1):1-15. doi:10.1038/s41598-022-15874-4

217 5. Woodrow H, Horne MK, Fernando C V., et al. A blinded, controlled trial of objective
218 measurement in Parkinson's disease. *npj Park Dis* 2020 61. 2020;6(1):1-10.
219 doi:10.1038/s41531-020-00136-9

220 6. Zhan A, Mohan S, Tarolli C, et al. Using smartphones and machine learning to quantify
221 Parkinson disease severity the mobile Parkinson disease score. *JAMA Neurol*.
222 2018;75(7):876-880. doi:10.1001/jamaneurol.2018.0809

223 7. Omberg L, Chaibub Neto E, Perumal TM, et al. Remote smartphone monitoring of
224 Parkinson's disease and individual response to therapy. *Nat Biotechnol*. 2021.
225 doi:10.1038/S41587-021-00974-9

226 8. Lo C, Arora S, Lawton M, et al. A composite clinical motor score as a comprehensive
227 and sensitive outcome measure for Parkinson's disease. *J Neurol Neurosurg*
228 *Psychiatry*. 2022;93(6):617-624. doi:10.1136/JNNP-2021-327880

229 9. Jha A, Menozzi E, Oyekan R, et al. The CloudUPDRS smartphone software in
230 Parkinson's study: cross-validation against blinded human raters. *npj Park Dis*.
231 2020;6(1):1-8. doi:10.1038/s41531-020-00135-w

232 10. Rochester L, Mazzà C, Mueller A, et al. A Roadmap to Inform Development, Validation
233 and Approval of Digital Mobility Outcomes: The Mobilise-D Approach. *Digit*
234 *biomarkers*. 2020;4(Suppl 1):13-27. doi:10.1159/000512513

235 11. Heldman DA, Espay AJ, LeWitt PA, Giuffrida JP. Clinician versus machine: reliability
236 and responsiveness of motor endpoints in Parkinson's disease. *Parkinsonism Relat*
237 *Disord*. 2014;20(6):590-595. doi:10.1016/J.PARKRELDIS.2014.02.022

238 12. Peto V, Jenkinson C, Fitzpatrick R, Greenhall R. The development and validation of a
239 short measure of functioning and well being for individuals with Parkinson's disease.

240 *Qual Life Res.* 1995;4(3):241-248. doi:10.1007/BF02260863/METRICS

241 13. Chaudhuri KR, Martinez-Martin P, Brown RG, et al. The metric properties of a novel
242 non-motor symptoms scale for Parkinson's disease: Results from an international
243 pilot study. *Mov Disord.* 2007;22(13):1901-1911. doi:10.1002/mds.21596

244 14. Powers R, Etezadi-Amoli M, Arnold EM, et al. Smartwatch inertial sensors
245 continuously monitor real-world motor fluctuations in Parkinson's disease. *Sci Transl
246 Med.* 2021;13(579). doi:10.1126/scitranslmed.abd7865

247 15. Elm JJ, Daeschler M, Bataille L, et al. Feasibility and utility of a clinician dashboard
248 from wearable and mobile application Parkinson's disease data. *NPJ Digit Med.*
249 2019;2(1). doi:10.1038/S41746-019-0169-Y

250 16. Roussos G, Herrero TR, Hill DL, et al. Identifying and characterising sources of
251 variability in digital outcome measures in Parkinson's disease. *NPJ Digit Med.*
252 2022;5(1). doi:10.1038/S41746-022-00643-4

253 17. Manta C, Patrick-Lake B, Goldsack JC. Digital Measures That Matter to Patients: A
254 Framework to Guide the Selection and Development of Digital Measures of Health.
255 *Digit Biomarkers.* 2020;4(3):69-77. doi:10.1159/000509725

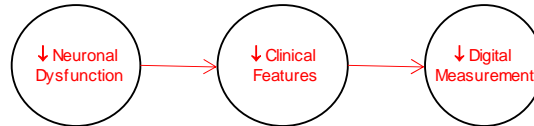
256 18. Badawy R, Hameed F, Bataille L, et al. Metadata Concepts for Advancing the Use of
257 Digital Health Technologies in Clinical Research. *Digit Biomarkers.* 2019;3(3):116-132.
258 doi:10.1159/000502951

259 19. Vizcarra JA, Sánchez-Ferro Á, Maetzler W, et al. The Parkinson's disease e-diary:
260 Developing a clinical and research tool for the digital age. *Mov Disord.*
261 2019;34(5):676-681. doi:10.1002/MDS.27673

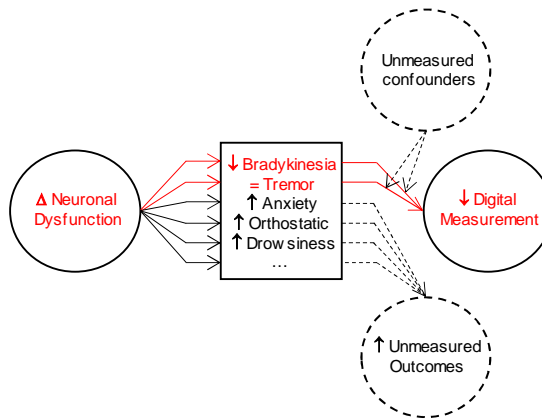
262 20. Steinhubl SR, Topol EJ. Digital medicine, on its way to being just plain medicine. *npj
263 Digit Med* 2018 11. 2018;1(1):1-1. doi:10.1038/s41746-017-0005-1

Figures

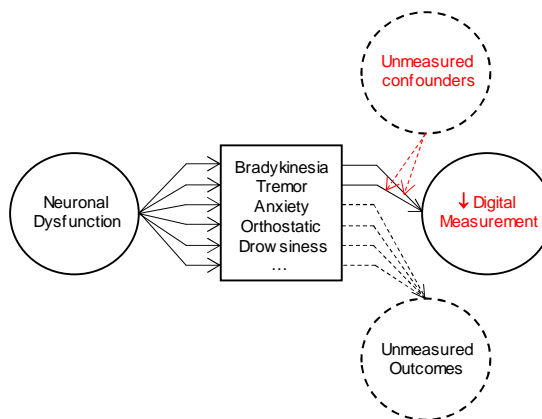
A:
The single digital
biomarker
hypothesis



B:
Insufficient
measurement



C:
Confounded
measurement



267 **Figure 1: A:** The single digital biomarker hypothesis assumes that overall digital measures
268 reflect clinical and neural dysfunction. Given a hypothetical digital measure of disease
269 (higher is worse), a reduction in a digital measure (\downarrow) can be interpreted as clinical
270 improvement with associated reduction of neural dysfunction (\downarrow). **B:** A broader
271 conceptualisation of Parkinson's as a multi-domain syndrome, however, provides two
272 alternative reasons for this reduction in digital measure. Current measures incorporate only
273 a few of the many clinical features associated with Parkinson's. In this example, the digital
274 measure incorporates bradykinesia and tremor but doesn't incorporate other clinical
275 features such as anxiety, orthostatic hypotension and drowsiness (only a few out of many
276 domains are shown to aid legibility, others are represented with an ellipsis). Because
277 interventions unevenly affect different clinical domains, a reduced digital measure (\downarrow) due
278 to improved measured bradykinesia may hide coincident meaningful worsening (\uparrow) of
279 unmeasured anxiety, orthostatic hypotension and drowsiness. The digital measure reports
280 an overall improvement but the overall condition is in reality worse and the underlying
281 neuronal dysfunction worse or the same (Δ). The digital measure is insufficient. **C:** Similarly,
282 unmeasured confounders can affect motor-centric digital measures. Treated osteoarthritis
283 of the knees may improve gait speed and subsequently reduce a digital measure
284 incorporating it without any associated changes to the underlying Parkinson's. *The arrows*
285 *between the nodes of the graphs above represent directed causal links. Red labels and*
286 *arrows represent the flow of information entering the digital measurement, whilst black*
287 *labels and arrows represent information that the measure fails to incorporate. 'Neuronal*
288 *dysfunction' here is a broad term that doesn't necessarily refer to the individualized*
289 *molecular/biological aetiology.*

290

