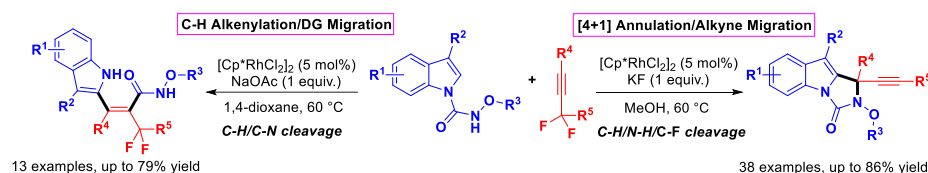


Rh(III)-Catalyzed Divergent Synthesis of Alkynylated Imidazo[1,5-*a*]indoles and α,α -Difluoromethylene Tetrasubstituted Alkenes

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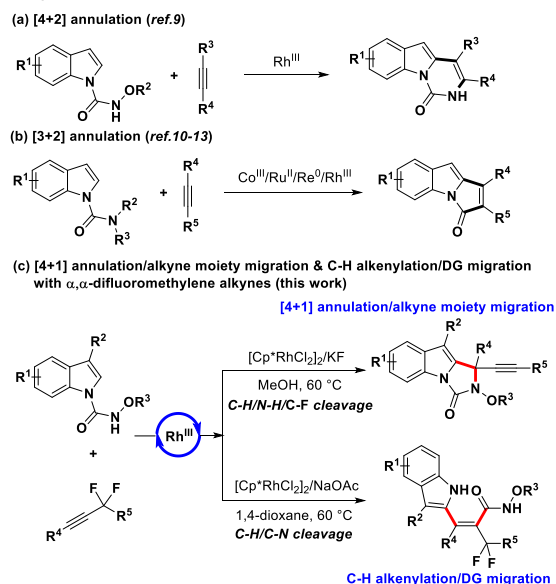
Supporting Information Placeholder



ABSTRACT: Herein, we report the divergent synthesis of alkynylated imidazo[1,5-*a*]indoles and α,α -difluoromethylene tetrasubstituted alkenes through Rh(III)-catalyzed [4+1] annulation/alkyne moiety migration and C–H alkenylation/DG migration, respectively. This protocol features tunable product selectivity, excellent chemo-, regio- and stereoselectivity, broad substrate scope, moderate to high yields, good tolerance of functional groups and mild redox-neutral conditions.

With the remarkable advances made in transition-metal (TM)-catalyzed C–H activations assisted by directing groups (DGs),¹ the direct C–H functionalizations of indoles,^{2,3} which are the core structure of many natural products and active pharmaceutical ingredients,⁴ have become a powerful tool for the direct modification of indoles, thus allowing the straightforward synthesis of structurally diverse and complex indole compounds from simple and readily available indole materials. Of note, TM-catalyzed C–H annulations of indoles⁵ have become an efficient strategy for the assembly of indole-fused polyheterocycles, which are widely found in pharmaceutical agents.⁶ In this context, *N*-carbamoyl indoles are popular substrates, not only because the carbamoyl directing group⁷ is simple to install, but also because different annulation modes could be allowed. In particular, the C–H annulations of *N*-carbamoyl indoles with alkynes, a common and versatile coupling partner in C–H activations,⁸ have contributed significantly to the synthesis of indole-fused polyheterocycles. The reported C–H annulation reactions between *N*-carbamoyl indoles and alkynes could be classified into two categories. (i) [4+2] annulation for the synthesis of pyrimido[1,6-*a*]indol-1(2*H*)-ones via Rh⁹ catalysis (Scheme 1a). (ii) [3+2] annulation for the synthesis of 3*H*-pyrrolo[1,2-*a*]indol-3-ones via Co,¹⁰ Ru,¹¹ Re,¹² Rh¹³ catalysis (Scheme 1b). Despite the remarkable achievements made, however, to the best of our knowledge, the [4+1] annulation between *N*-carbamoyl indoles and alkynes for the synthesis of imidazo[1,5-*a*]indoles has never been reported to date. Very recently, α,α -difluoromethylene alkynes were successfully exploited as nontraditional C₁ synthons to participate TM-catalyzed [n+1] annulations.¹⁴ Inspired by these elegant works, together with our interests in indole compound synthesis¹⁵ and Rh(III)-catalyzed C–H activation,^{9d,13a,16} herein we present a Rh(III)-

Scheme 1. C–H annulations between *N*-carbamoyl indoles and alkynes



catalyzed [4+1] annulation between *N*-carbamoyl indoles and α,α -difluoromethylene alkynes for the synthesis of imidazo[1,5-*a*]indoles with the [Cp*RhCl₂]₂/KF/MeOH catalytic system (Scheme 1c). This transformation has the following characteristics: (a) α,α -difluoromethylene alkynes were employed as unconventional C₁ synthons to fulfil [4+1] annulation, which is uncommon as alkyne species normally act as C₂ synthons to undergo [n+2] annulation;¹⁷ (b) a chemoselective [4+1] annulation, in which the competitive background reactions such as [4+2] annulation, [3+2] annulation as well as C–H alkenylation¹⁸ were suppressed; (c) a regioselective [4+1] annulation, in which the C–C and C–N

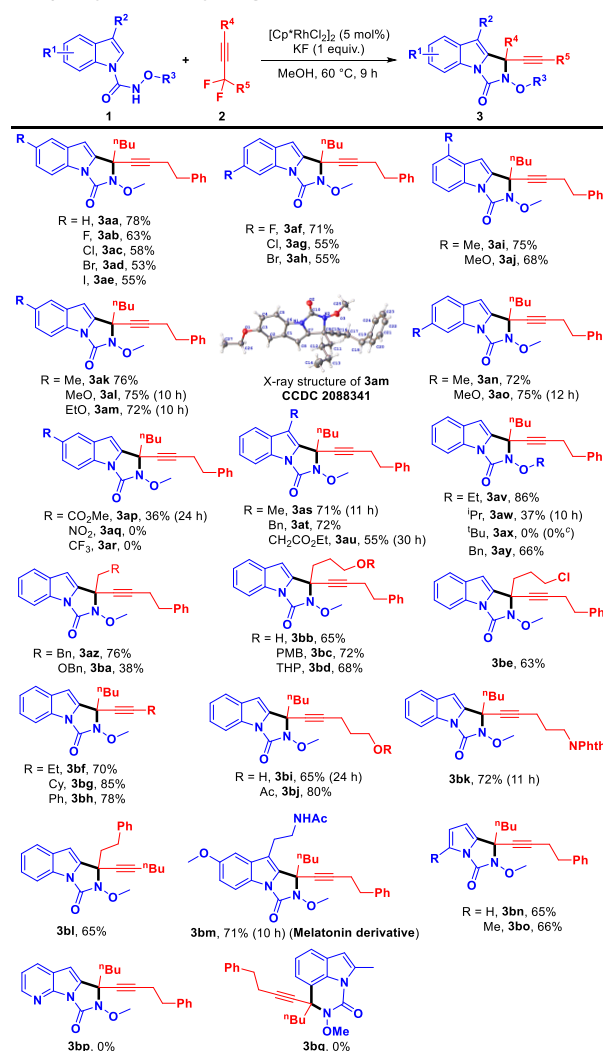
bonds were both formed at the same distal C_{sp}; (d) a defluorinative and redox-neutral [4+1] annulation, in which the cleavage of two C–F bonds enabled the reaction to occur without external oxidants and simultaneously led to an interesting migration of the alkyne moiety; (e) an efficient construction of a quaternary carbon center to access C1-alkynylated imidazo[1,5-*a*]indoles, which are difficult to prepare with traditional methods. These features make this reaction a synthetically novel method for the assembly of the imidazo[1,5-*a*]indole scaffold, despite the elegant construction of this skeleton from indoles with hazardous diazo compounds^{9a,19}, 4-hydroxyphenylboronic acid under Ag oxidant²⁰ or malodorous isocyanides under air oxidation.²¹ Interestingly, when the catalytic system consisting of [Cp*RhCl₂]₂/NaOAc/1,4-dioxane was employed, the domino C–H alkenylation/carbamoyl DG migration instead of [4+1] annulation took place to provide the challenging α,α-difluoromethylene tetrasubstituted alkenes highly regio- and stereoselectively (Scheme 1c). Notably, the carbamoyl DG not only works as an auxiliary group to achieve C–H activation in this reaction, but also displays as an internal acylation reagent which relocates onto the alkene unit of the products. Despite the elegant synthesis of tetrasubstituted alkenes via Co,²² Ru,²³ Rh^{13,16,24} catalysis, our process stands as an unprecedented example of Rh(III)-catalyzed synthesis of tetrasubstituted alkenes carrying a *gem*-difluoromethylene functionality at the α position. Considering the prevalence of the imidazo[1,5-*a*]indole and tetrasubstituted alkene scaffolds in bioactive molecules (Figure S1),^{25,26} our protocol is quite appealing as it allows the divergent synthesis of the challenging C1-alkynylated quaternary imidazo[1,5-*a*]indoles and α,α-difluoromethylene tetrasubstituted alkenes via rhodium catalysis.

Starting with the model substrates indole **1aa** and α,α-difluoromethylene alkyne **2aa**, optimization of the reaction conditions revealed that the [4+1] annulation/alkyne moiety migration product **3aa** and C–H alkenylation/carbamoyl DG migration product **4aa** could be synthesized divergently with the catalytic systems of [Cp*RhCl₂]₂/KF/MeOH and [Cp*RhCl₂]₂/NaOAc/1,4-dioxane, respectively, with excellent chemo-, regio- and stereoselectivity (Table S1).

Subsequently, the substrate scope of Rh(III)-catalyzed [4+1] annulation/alkyne moiety migration was explored (Scheme 2). At first, we checked the scope of indoles with **2aa** as the coupling partner. For example, the reactions of indoles bearing halogens (F, Cl, Br, I) worked well to provide products **3ab–3ah** in 53–71% yields. Similarly, electron-rich indoles bearing Me, MeO, EtO groups reacted smoothly to deliver products **3ai–3ao** in 68–76% yields. Electron-deficient indole carrying CO₂Me group could also undergo this reaction to afford the desired product **3ap**, albeit with a lower yield (36%). By contrast, indoles carrying strong electron-withdrawing groups such as NO₂ and CF₃ failed to react to deliver the desired products **3aq** and **3ar**, but with the recovery of the materials. Of note, the reactions of C3-substituted indoles happened uneventfully to give products **3as–3au** in 55–72% yields in spite of the steric hindrance caused by the C3 substituents. Indoles possessing diverse alkyl groups such as Et, ⁱPr, Bn at R³ were successfully converted into the corresponding products **3av**, **3aw** and **3ay**

in 37–86% yields, while indole substrate having a ^tBu group at R³ failed to react to provide product **3ax** even at a higher temperature. We speculated that the steric hindrance caused by the bulky ^tBu group may prevent the indole substrate from reacting with alkyne **2aa**. Then the scope of α,α-difluoromethylene alkynes was examined with indole **1aa** as the reaction partner. Alkynes with Ph, free or PMB/THP/Bn-protected hydroxyl, or chlorine-substituted alkyl groups at R⁴ turned out to be suitable coupling partners, affording products **3az–3be** in 38–76% yields. Likewise, the reactions of alkynes carrying alkyl or aryl groups at R⁵ were also reactive, and the desired products **3bf–3bh** were obtained in 70–85% yields. This transformation was also compatible with alkynes bearing an alkyl chain at R⁵ in which a free or Ac-protected hydroxyl, or phthaloyl protected amino group could be introduced, providing products **3bi–3bk** in 65–80% yields. Interestingly, when the R⁴ and R⁵ groups in alkyne **2aa** was swapped, the desired product **3bl** was still obtained in 65% yield. Notably, this reaction could be applied to the modification of natural

Scheme 2. Substrate scope of Rh(III)-catalyzed [4+1] annulation/alkyne moiety migration^{a,b}

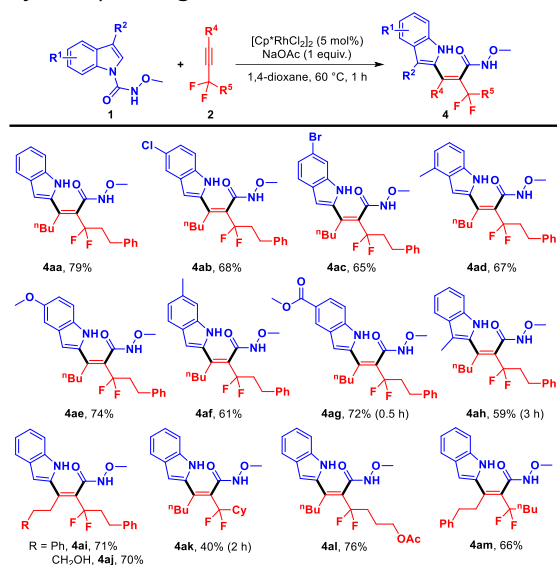


^aUnless noted, reactions were carried out with **1** (0.25 mmol), **2** (0.325 mmol), [Cp*RhCl₂]₂ (5 mol%) and KF (0.25 mmol) in MeOH (4.0 mL) at 60 °C for 9 h. ^bIsolated yield. ^cData under 100 °C.

products. As an example, melatonin,⁶ an animal hormone, underwent carbamoylation and subsequent Rh(III)-catalyzed [4+1] annulation/alkyne moiety migration with alkyne **2aa** successfully to give product **3bm** in 71% yield. Furthermore, pyrrole substrates could also participate in this transformation, furnishing the desired products **3bn** and **3bo** in 65% and 66% yields, respectively. While *N*-carbamoyl 7-azaindole failed to react to give product **3bp** but with the materials untouched. We also attempted to achieve the annulation at the C7 position of indole by blocking the C2 position with a methyl group to prepare compound **3bq**, but failed. In addition, the reaction between **1aa** and **2aa** could be easily scaled up at a gram scale without the loss of efficiency, indicating the practicality and industrial perspective of this reaction (Supporting Information).

Next, we appraised the scope of Rh(III)-catalyzed C–H alkenylation/DG migration (Scheme 3). For instance, the reactions of a series of indoles carrying halogens (Cl, Br), electron-donating (Me, MeO) or electron-withdrawing groups (CO₂Me) with **2aa** took place smoothly to afford products **4ab–4ah** in 59–74% yields. Similarly, a variety of alkynes bearing unsubstituted or substituted alkyl groups at R⁴–R⁵ could react well with **1aa** to give products **4ai–4am** in 40–76% yields.

Scheme 3. Substrate scope of Rh(III)-catalyzed C–H alkenylation/DG migration^{a,b}



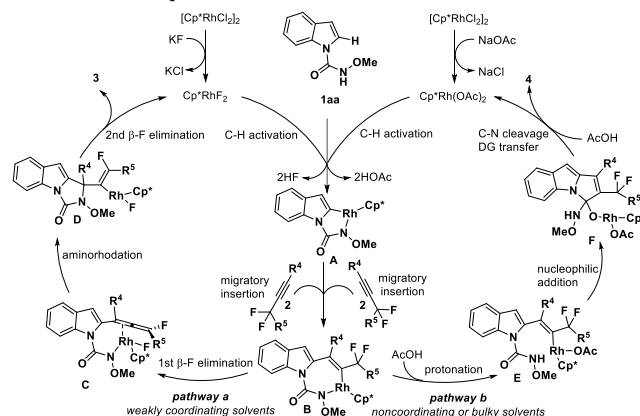
^aUnless noted, reactions were carried out with **1** (0.25 mmol), **2** (0.325 mmol), [Cp*RhCl₂]₂ (5 mol%) and NaOAc (0.25 mmol) in 1,4-dioxane (4.0 mL) at 60 °C for 1 h. ^bIsolated yield.

A study on the DGs revealed that the alkoxy group like MeO tethered to the amide nitrogen of the indole substrates was indispensable for both transformations (Scheme S1). Isotope labelling experiments were conducted to gain some insights into the reaction mechanism of Rh(III)-catalyzed [4+1] annulation/alkyne moiety migration. When **1aa** was treated in CD₃OD under standard conditions, 52%, 55%, and 18% deuteration was found at positions C2, C3, and C7 of **1aa**, respectively, suggesting the C–H bond cleavage is reversible (Scheme S2). In addition, kinetic isotope effect (KIE) study was also performed (Scheme S3 and S4). The intermolecular competition experiments and two parallel reactions provided the same low KIE

values of 1.04, indicating the step of the C–H bond cleavage could not be the rate-limiting step.

Based on the preliminary mechanistic results and literature reports,^{14a,17,22} a plausible reaction mechanism was proposed in Scheme 4. At first, chelation assisted C–H activation of **1aa** with rhodium catalyst yields rhodacycle **A**. The following regioselective migratory insertion of the alkyne into the Rh–C bond of rhodacycle **A** gives intermediate **B**. The polarization of the carbon-carbon triple bonds by the *gem*-difluoromethylene functionality was believed to guarantee the regioselectivity. On the other hand, the solvent effect on product selectivity could be rationalized by the different coordinating ability of the solvents.²⁴ Weakly coordinating solvents such as MeOH could coordinate with the rhodium to stabilize intermediate **B** by forming an 18-electron species. In this case, intermediate **B** could undergo the first β-F-elimination to afford the allene intermediate **C**, which undergoes an intramolecular aminorhodation of the allene unit to produce intermediate **D**. Intermediate **D** undergoes the second β-F-elimination to afford the [4+1] annulation/alkyne moiety migration products **3** with the regeneration of the rhodium catalyst. Alternatively, when noncoordinating or bulky solvents such as 1,4-dioxane is employed, intermediate **B** may trend to undergo protonation to provide intermediate **E**. Then, the intramolecular nucleophilic addition of the carbonyl group by the Rh–C bond of intermediate **E** takes place to offer intermediate **F**. Intermediate **F** then undergoes C–N bond cleavage and protodemetalation to assemble the C–H alkenylation/DG migration products **4** accompanied by the regeneration of the rhodium catalyst.

Scheme 4. Proposed reaction mechanism



In conclusion, we have achieved the divergent synthesis of alkynylated imidazo[1,5-*a*]indoles and α,α-difluoromethylene tetrasubstituted alkenes through Rh(III)-catalyzed [4+1] annulation/alkyne moiety migration and C–H alkenylation/DG migration, respectively. The tunable product selectivity is rationalized by the different reaction pathways decided by the coordinating ability of the solvents. Our method is characterized by excellent chemo-, regio- and stereoselectivity, broad substrate scope, moderate to high yields, good tolerance of functional groups and mild redox-neutral conditions. Pharmacological study of these heterocyclic compounds is currently undergoing in our laboratory.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website.

Experimental procedures, characterization data of the products, and copies of ^1H , ^{13}C and ^{19}F NMR spectra (PDF)

X-ray crystal structure of compound **3am** (CIF)

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Author Contributions

All authors have given approval to the final version of the manuscript.

Notes

The authors declare no competing financial interest. CCDC 2088341 contain the supplementary crystallographic data for this paper. These data can be also obtained free of charge from The Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/data_request/cif.

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