

1 **Linking changes in quality of life to haematologic response and survival in systemic AL**
2 **amyloidosis**

3 **Corresponding author:** Regina Rendas-Baum; rrbaum@qualitymetric.com

4 **Author List**

5 Oliver Cohen¹; oliver.cohen@ucl.ac.uk

6 Regina Rendas-Baum²; rrbaum@qualitymetric.com

7 Kristen McCausland²; kmccausland@qualitymetric.com

8 Darren Foard¹; darren.foard@nhs.net

9 Richa Manwani¹; rmanwani@nhs.net

10 Sriram Ravichandran¹; sriram.ravichandran@nhs.net

11 Helen Lachmann¹; helen.lachmann@nhs.net

12 Shameem Mahmood¹; shameem.mahmood@nhs.net

13 Brendan Wisniowski¹; brendan.wisniowski@nhs.net

14 Philip N Hawkins¹; philip.hawkins@nhs.net

15 Julian Gillmore¹; julian.gillmore@nhs.net

16 Kristen Hsu³; khsu@arci.org

17 Sabrina Rebello³; srebello@arci.org

18 Ashutosh Wechalekar¹; awechalekar@nhs.net

19 **Affiliations**

20 ¹National Amyloidosis Centre, London, UK; ²QualityMetric Incorporated, LLC, Johnston, RI, USA;

21 ³Amyloidosis Research Consortium, Inc, Newton, MA, USA

22

23 **Abstract**

24 This study reports HRQL among newly-diagnosed AL patients (n=914) treated with a bortezomib-
25 based regimen and its association with response depth and survival. Haematologic response/HRQL
26 were assessed over 24 months in an ongoing, prospective study. HRQL change was calculated
27 across haematologic/cardiac response levels. The relationship between baseline HRQL and survival
28 was evaluated by the Cox proportional hazard model (PH). Shared random effects models (SREMs)
29 estimated time-to-death conditional on current HRQL/longitudinal HRQL trajectory. At 3 months,
30 there was consistent decline in 5/8 HRQL domains across all haematologic response levels. By 12
31 months, 3/5 declining domains improved among complete response (CR) patients. In contrast, the
32 mean change in less-than-CR patients did not indicate improvement. Under the Cox PH, having a
33 baseline HRQL score 5 points > sample mean was associated with 20% lower mortality risk. SREMs
34 indicated a 5-point greater HRQL score at the event time correlated with a ~30% decrease in
35 mortality risk. For each 1-point increase in HRQL score trajectory slope, mortality risk decreased by
36 ~88%. Only CR patients had HRQL improvement, while partial response patients had less decline
37 but no meaningful improvements. These data show the importance of HRQL serial assessments of
38 AL patients and its importance as an endpoint.

39

40

41

42

43 **Introduction**

44 Immunoglobulin light chain (AL) amyloidosis is a rare, multi-systemic disorder, frequently affecting
45 multiple organs, with the heart and kidneys being the most commonly Subcutaneous daratumumab,
46 in combination with bortezomib, cyclophosphamide, and dexamethasone, has been recently
47 approved in the United States (U.S.) and Europe for treatment of AL amyloidosis.^{1,2} With the rapid
48 expansion of agents available for the treatment of plasma cell neoplasms and their adoption for
49 treatment of AL, it is expected that patient outcomes will improve further, building upon the
50 increases in survival observed over the past 20 years.^{3,4}

51 AL amyloidosis is often treated with anti-plasma cell treatment regimens targeting light chain
52 production. A profound reduction in light chains is needed to halt ongoing organ damage and to allow
53 for the slow macrophage-led clearance of amyloid deposits, ultimately leading to improved organ
54 function. Treatment response assessment requires evaluation of both haematologic and organ
55 response;⁵ however, robust assessment of treatment benefit in subgroups with the same organ
56 involvement profile remains challenging due to the rarity of the disease. Capturing global
57 improvement in a patient's condition is an important objective that has yet to be studied thoroughly
58 in this multisystem disease.

59 Although depth of haematologic response translates to improved symptoms and survival,⁶
60 treatments remain poorly tolerated and are sometimes associated with worsening of symptoms.⁷ The
61 combined net effect on both survival and tolerability may be captured through patients' own
62 evaluations of their treatment experiences. Poor tolerance of treatment negatively impacts health-
63 related quality of life (HRQL) and, conversely, effective treatments lead to improvements in HRQL
64 over time.^{8,9,10} Despite reports of HRQL scores following treatment initiation, there is no data on the
65 impact of depth of haematologic response (the key determinant of patient outcomes) on HRQL.
66 Similarly, although studies have also shown that pre-treatment HRQL scores are prognostic of

67 survival,^{8,11} it is currently unknown whether depth of HRQL response impacts the likelihood of
68 survival, similar to what has been reported for haematologic response.⁶

69 This study evaluates changes in HRQL in a cohort of newly-diagnosed patients who were participants
70 in a large, real-world study of AL amyloidosis treated with upfront bortezomib-based therapy (AL
71 amyloidosis chemotherapy [ALchemy]). Previous analyses of treatment response and survival
72 among patients with AL amyloidosis treated with bortezomib showed that those achieving
73 haematologic responses, especially deep responses, had durable response to treatment: 78% of
74 patients were still alive and 71% had not progressed to further treatment at 5 years.¹² Despite these
75 excellent survival and disease progression treatment-related outcomes, the impact on HRQL has not
76 been evaluated among patients receiving bortezomib-based therapy. The present study evaluates the
77 association of baseline HRQL and change in post-therapy HRQL, with depth of haematologic
78 response¹³ and survival, in this cohort.

79 **Methods**

80 *Patients*

81 Data come from a cohort of newly-diagnosed patients with AL amyloidosis from the UK National
82 Amyloidosis Centre, who were participants in an ongoing, prospective study, Alchemy (REC
83 Reference Number: 09/H0715/58), and treated with upfront bortezomib-based regimens. Over 95%
84 of the patients in the cohort received cyclophosphamide-bortezomib-dexamethasone with
85 remainder receiving bortezomib-dexamethasone or bortezomib-thalidomide-dexamethasone. HRQL
86 data were collected from patients participating in ALchemy and then linked to clinical data including
87 biomarkers, treatment outcomes (i.e., haematologic or organ response), disease progression, and
88 vital status (death). Haematologic response was assessed at approximately 3, 6, and 12 months
89 following treatment initiation and HRQL was collected at baseline, 3, 12, and 24 months.
90 Haematologic responses were assessed by the international amyloidosis consensus criteria¹⁴, and

91 categorized into the following: no response (NR), partial response (PR), very good partial response
92 (VGPR), and complete response (CR). CR was defined as negative serum and urine immunofixation
93 and normal serum-free chain ratio (0.26-1.65). VGPR was defined as dFLC < 40 mg/L, and PR was
94 defined as > 50% dFLC reduction. Organ involvement and organ response were established as per
95 amyloidosis consensus criteria.^{5,14} Patients who completed the SF-36v2® Health Survey (SF-36v2)
96 at baseline were included in the current study. Approval for analysis and publication was obtained
97 from the National Health Service institutional review board; written consent was obtained from all
98 patients in accordance with the Declaration of Helsinki.

99 *HRQL Measure*

100 HRQL was measured using the SF-36v2, a generic, self-report survey that takes 5-10 minutes to
101 complete. Responses to the SF-36v2 result in scores for 8 dimensions of functional health and well-
102 being: Physical Functioning (PF), Role-Physical (RP; role limitations due to physical problems),
103 Bodily Pain (BP), General Health Perceptions (GH), Vitality (VT), Social Functioning (SF), Role-
104 Emotional (RE; role limitations due to emotional problems), and Mental Health (MH).¹⁵ In addition,
105 2 summary scores (Physical Component Summary [PCS] and Mental Component Summary [MCS])
106 are calculated through a linear combination of the 8 domain scores. All SF-36v2 scores are scored to
107 have a mean of 50 and a standard deviation of 10 based on the U.S. general population. Thus, scores
108 above and below 50 are above and below the U.S. general population average, respectively, with
109 higher values on all SF-36v2 scores implying better HRQL. Interpretation of group differences and
110 individual changes in SF-36v2 scores can also be made using thresholds that have been developed
111 for this purpose.¹⁵ The current study uses these values to better interpret findings related to HRQL
112 scores.

113 **Statistical Analysis**

114 Mean change from baseline for every SF-36v2 score was calculated to evaluate changes in the HRQL
115 of patients experiencing different levels of haematologic response. The primary analyses relied on all
116 available cases at 2 timepoints where haematologic response and HRQL were both assessed: 6 and
117 12 months after treatment initiation. A secondary set of analyses relied on the subset of patients who
118 were alive at least 24 months after baseline. HRQL change was also evaluated among patients with
119 and without cardiac response, as cardiac involvement is highly prognostic of survival.

120 Another key objective of the current study was to evaluate the relationship between HRQL and
121 survival in the ALchemy cohort. PCS was used as the HRQL score for these analyses for 2 key reasons.
122 First, it aggregates information from multiple HRQL domains, making it an ideal score to assess the
123 trajectory related to global physical well-being. Additionally, it exhibits a greater variability, making
124 it a more tractable score for fitting more complex models. The relationship between HRQL and
125 survival was first investigated using a Cox proportional hazard (PH) model using baseline PCS as the
126 covariate. However, since the primary goal was to estimate whether differences in patients' HRQL
127 trajectories (and not just the initial HRQL level) significantly impacted probability of survival,
128 additional models were needed to account for the longitudinal variation present in repeated HRQL
129 assessments and the dependence of probability of survival on HRQL scores. For these reasons,
130 subsequent models were based on shared random effects models (SREMs).¹⁶ In this approach, the
131 true individual HRQL trajectory is estimated using a random effects model and then used as a time-
132 dependent covariate in a Cox model. The 2 models share random effects, which induce correlation
133 between the longitudinal and survival components. A linear mixed effects sub-model was used to fit
134 the longitudinal process (the HRQL trajectory). The survival process was modeled using a parametric
135 proportional hazards model, with a Weibull baseline hazard. The association structure was set up by
136 assuming that the time-to-death depended not just on the current HRQL value but also on the rate of
137 change of the HRQL trajectory, while allowing for time-independent covariates. This specific

138 parameterization was selected through an assessment of significance of model parameters and
139 comparison of 2 measures of model fit, Akaike's information criterion (AIC), and Bayesian
140 information criterion (BIC),¹⁷ for different ways of modeling the survival process.

141 To illustrate the impact of baseline HRQL scores on the probability of survival, the results of the PH
142 model were graphically depicted by evaluating the probability of survival according to 3 different
143 baseline PCS values: 1) the sample mean; 2) 10 points (1 standard deviation) below the sample mean;
144 3) 10 points above the sample mean. Similarly, in addition presenting parameter estimates from the
145 SREMs, the impact of HRQL trajectory on the estimated survival was illustrated by depicting the
146 estimated survival at the study assessment visits (baseline and 3 post-treatment assessments) for a
147 selected patient in the study cohort.

148 To overall prognostic value of HRQL, baseline PCS was estimated using Harrell's concordance index¹⁸,
149 which measures the discriminatory accuracy of biomarkers for a single survival outcome. This
150 measure was also evaluated for 2 common AL amyloidosis clinical markers. In biomedical
151 applications, Harrell's concordance index often ranges between 0.6 and 0.75, with a value of 0.5
152 representing no predictive value.¹⁹

153 Statistical analyses were conducted using SAS/STAT software, Version 9.4 of the SAS System for
154 Windows (SAS Institute Inc., Cary, NC, USA) and R 4.0.2 (R Foundation for Statistical Computing,
155 Vienna, Austria, JM package, version 1.4-8).²⁰

156 **Results**

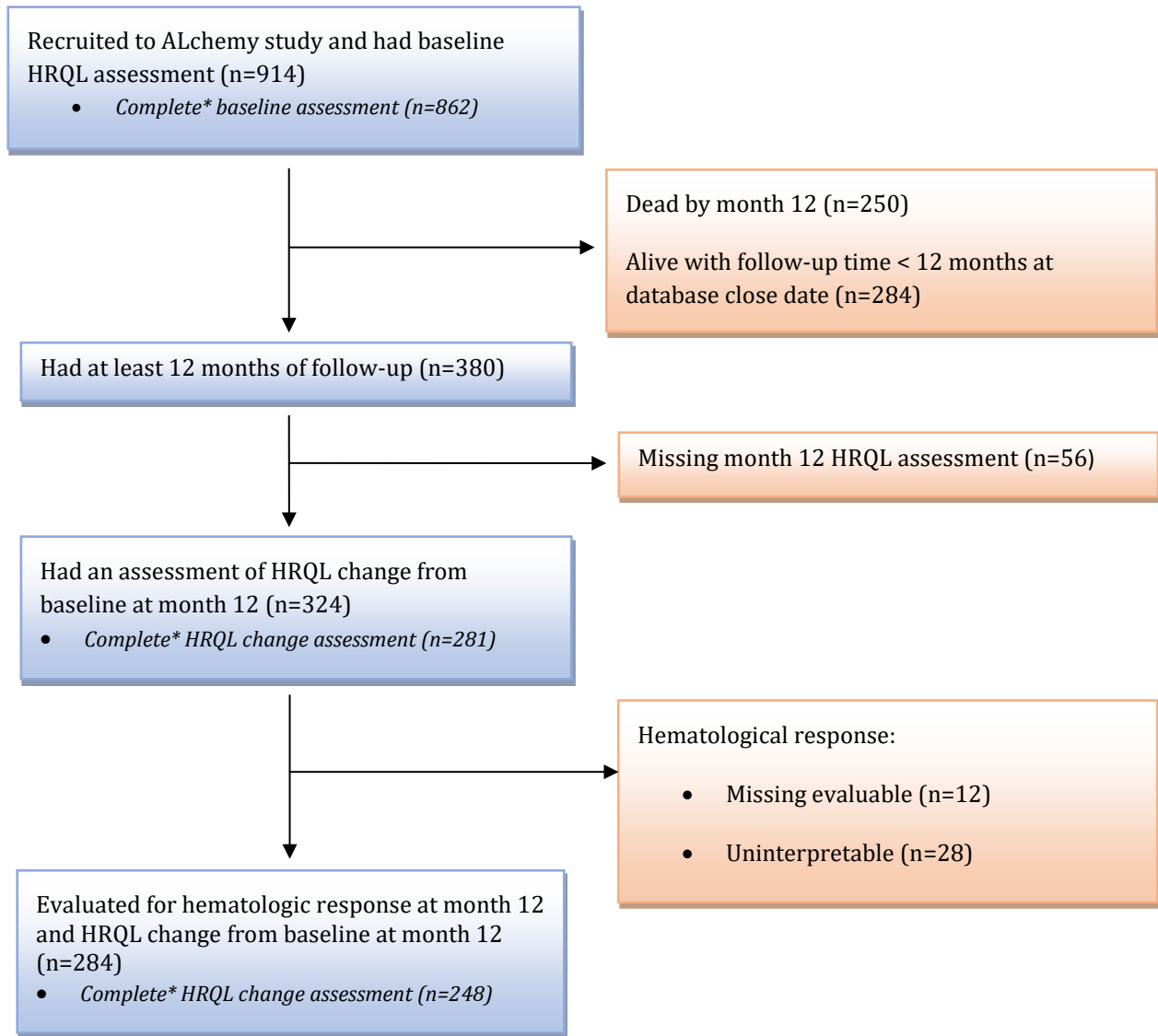
157 *Patients*

158 Data from 914 patients were used in the analyses (Table 1). Approximately 40% of patients were
159 female, and median age was 69 years (range, 39-90). Cardiac involvement was present in 63%
160 (n=578) of patients, 69% (n=628) had renal involvement, and 38% (n=347) had both heart and renal

161 involvement. Based on the European modification of Mayo 2004 Staging,²¹ patients were classed as:
162 Stage I (n=153; 17%), Stage II (n=309; 34%), Stage IIIa (n=337; 37%), and Stage IIIb (n=106; 12%).
163 Median N-terminal probrain natriuretic peptide (NT-proBNP) and dFLC were 2,097 ng/L (range, 0-
164 70,000) and 180 mg/L (range, 0-15,898), respectively. A total of 328 patients died during the study
165 period, with 76.2% (n=250) of these dying within 12 months of initiating treatment (Figure 1). A total
166 of 380 were observed through a period of 12 months or longer following treatment initiation, but
167 among these, 56 did not complete the 12-month HRQL assessment, leaving a total of 324 patients for
168 whom change in HRQL from baseline to month 12 could be evaluated. The demographic and clinical
169 characteristics of the 56 patients who were excluded due to missing HRQL data as well as the 324
170 patients for whom such evaluation was possible are provided in a supplementary file. Briefly, with
171 few exceptions, the 324 patients were in most respects similar to the entire sample of 914: lower
172 median NT-proBNP was observed among the final HRQL analytic sample (1,223 ng/L compared to
173 2,097 ng/L for the full sample) as well as a lower percentage of patients in the most severe disease
174 stage (1.5% or 5 patients were EU modified 2004 Mayo Stage IIIb compared to 11.6% in the full
175 sample). The 56 patients who were excluded due to missing HRQL data were slightly older than the
176 HRQL analytic sample (median age of 73 versus 68) and had an even gender distribution (50% were
177 male compared to 62% in the HRQL analytic sample). At the 12-month study visit, a total of 284
178 patients were assessed for both HRQL and haematologic response (Figure 1). The percentage of
179 patients with CR or VGPR at 12 months was 63% (n=102 and n=78, respectively; Table 2). Organ
180 response, evaluated 12 months following treatment initiation, indicated that among the 181 patients
181 with cardiac involvement at baseline and a HRQL change score at 12 months, 152 had evaluable data
182 (uninterpretable: n=20; missing: n=9) and 71 (39.2%, on an intent to treat basis) had a cardiac
183 response (Table 2).

184

185 Figure 1. CONSORT Diagram Depicting Patient Flow



*Complete assessment indicates that all SF-36v2 scores could be calculated

186 Table 1. Baseline Characteristics (N=914)

Characteristic	Median (range) or n (%)
Age	69 (39-90)
Males/females	560 (61.3)/ 354 (38.7)
ECOG class[‡]	
0	220 (24.1%)
1	321 (35.1%)
2	268 (29.3%)
3	42 (4.6%)
Not recorded	63 (6.9%)
Cardiac involvement	578 (63.2%)
NT-proBNP (ng/L)	2,097 (0-70,000)
Disease Stage (EU Modif. 2004 Mayo Staging)	
I	153 (16.7%)
II	309 (33.8%)
IIIa (NT-proBNP=8500 ng/L)	337 (36.9%)
IIIb (NT-proBNP>8500 ng/L)	106 (11.6%)
Not recorded	9 (1.0%)
Systolic blood pressure	118 (0-190)
Renal involvement	628 (68.7%)
CKD stage[‡]	
1	190 (20.8%)
2	325 (35.6%)
3	246 (26.9%)
4	107 (11.7%)
5	40 (4.4%)
Not recorded	6 (0.7%)
Palladini Stage[§]	
Stage I	182 (19.9%)
Stage II	278 (30.4%)
Stage III	133 (14.6%)
Not recorded / No renal involvement	321 (35.1%)
Proteinuria, g/24 h	3 (0-33)
Estimated glomerular filtration rate, mL/min)	64 (10-100)
Creatinine, µmol/L	96 (27-1,077)
Liver involvement	119 (13.0%)
Soft tissue involvement	146 (16.0%)
Peripheral nerve involvement	85 (9.3%)
Autonomic nerve involvement	86 (9.4%)
Gastrointestinal involvement	44 (4.8%)
Other organ involvement	2 (0.2%)
Number of involved organs	1 (1-4)
6 Minute Walk Test (meters)	350 (0-708)
Difference between involved and free light chains	
< 20 mg/L	65 (7.1%)
20-50 mg/L	56 (6.1%)
> 50 mg/L	737 (80.6%)
Not recorded	56 (6.1%)

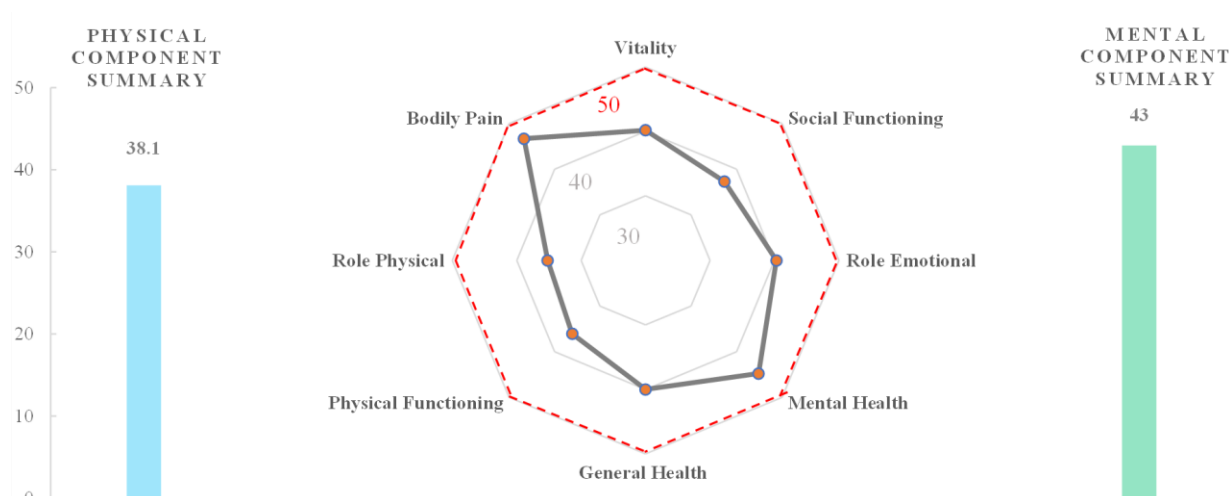
187 Abbreviations: CKD, Chronic kidney disease; ECOG, Eastern Cooperative Oncology Group; GFR=Glomerular filtration rate;
 188 NT-proBNP, N-terminal probrain natriuretic peptide;

189 †ECOG Classes: 0 – Asymptomatic; 1 – Symptomatic but completely ambulatory; 2 – Symptomatic, <50% in bed during the
 190 day; 3 – Symptomatic, >50% in bed, but not bedbound
 191 ‡CKD stage: 1= GFR 90 or higher; 2 = GFR 60-89; 3 = GFR 30-59; 4 = GFR 15-29; 5 = GFR < 15
 192 §Palladini Stages: I: both proteinuria ≤5 g/24 h and eGFR ≥50 mL/min per 1.73 m²; II: either proteinuria >5 g/24 h or
 193 eGFR <50 mL/min per 1.73 m²; III: both proteinuria >5 g/24 h and eGFR <50 mL/min per 1.73 m²
 194

195 **Quality of Life Scores**

196 SF-36v2 scores indicated large decrements in HRQL at baseline, with mean scores ranging between
 197 35.2 (RP) and 46.7 (BP) across the 8 domains (Figure 2). At baseline, patients in this study presented
 198 with greater impacts on physical health, with a mean PCS of 38.1, more than 10 points below the
 199 normative mean of 50 points, whereas mean MCS was 43.0.

200 Figure 2. Health-Related Quality of Life at Baseline: SF-36v2 Scores



201
 202 Note: All SF-36v2 scores can be interpreted with respect to a value of 50 representing the mean of the U.S. general
 203 population, with higher values implying better HRQL
 204

205 ***Change in HRQL across Haematologic Response Status***

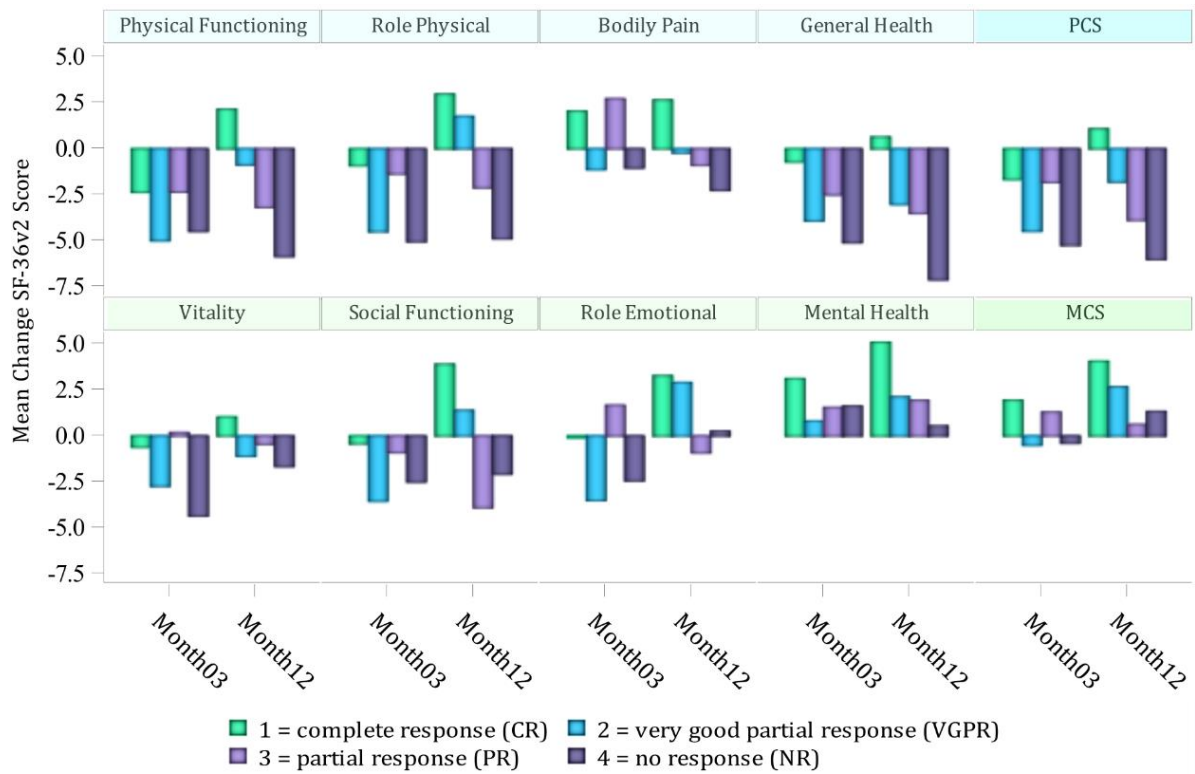
206 HRQL assessments 3 months following treatment initiation indicated that patients primarily
 207 experienced a decline in HRQL at that time, with PCS declining on average by -3.6 points, a value that
 208 exceeds the minimum for meaningful within-person change for this score¹⁵ (3.4 points). By month
 209 12, among patients who remained alive and had a HRQL measurement, PCS had declined by an

210 average of -2 points relative to baseline. BP, MH, and MCS showed a slightly different pattern, with
211 change being minimal.

212 Across levels of haematologic response, mean change (Δ) in HRQL domains after 3 months of
213 treatment indicated a consistent absolute decline for all groups in 5 of the 8 SF-36v2 domains—PF,
214 RP, GH, VT, and SF (Figure 3) —as well as in PCS. However, by month 12, patients with CR reported
215 improvement in 3 SF-36v2 domains (Figure 3; Table 2): PF ($\Delta = 2.1$; n=101), RP ($\Delta = 2.9$; n=99), and
216 SF ($\Delta = 4.4$; n=100). Further, patients who *remained* in CR through month 24 reported further
217 substantial improvement in HRQL: PF ($\Delta = 3.3$; n=46), RP ($\Delta = 5.5$; n=45), and SF ($\Delta = 8.0$; n=44). In
218 contrast, the mean change in other response groups was not indicative of improvement. Among
219 patients with VGPR, improvement was either absent or too small to be considered meaningful ($\Delta < 2$
220 points); mean change among patients with PR or NR indicated a decline in HRQL across nearly all
221 domains (Figure 3). These trends were unchanged when only patients surviving ≥ 24 months were
222 included.

223 Cardiac response at 12 months was associated with improvement in HRQL across all SF-36v2 scores,
224 although differences in BP, VT, MH, and MCS did not reach the clinically-relevant levels (< 2 in all
225 cases). In agreement with results for haematologic response, the largest gains were observed in PF
226 ($\Delta = 4.2$), RP ($\Delta = 5.5$), and SF ($\Delta = 5.9$). Differences between those achieving a response and those
227 who did not were equally largest in these domains of HRQL.

228 Figure 3. Mean Change from Baseline in SF-36v2 Scores by Haematologic Response



229

230 Note: Change from baseline to the study visit concurrent with haematologic response assessment

231

232

233 Table 2. Mean Change from Baseline to Month 12 in SF-36v2 Scores by Haematologic and Cardiac
 234 Response (Observed Cases)

SF-36v2 Score*†	Haematologic Response				Cardiac	
	Complete Response (n=102)	Very Good Partial Response (n=78)	Partial Response (n=67)	No Response (n=37)	Response (n=71)	No Response (n=81)
PF	2.07	-0.88	-3.39	-5.31±	4.15	-1.38
RP	2.93	1.76	-2.55	-3.85	5.49±	0.49
BP	2.69	-0.23	-1.39	-1.67	2.02	0.28
GH	0.30	-3.03	-3.99	-5.89	0.77	-2.37
VT	1.39	-1.11	-1.08	-2.35	1.76	1.23
SF	4.36	1.39	-4.41	-1.53	5.93	1.35
RE	3.50	2.90	-1.07	0.40	4.15	2.04
MH	5.39	2.12	1.48	-0.29	4.90	3.61
PCS	0.86	-1.81	-4.23±	-4.89±	2.35	-1.93
MCS	4.55	2.66	0.17	0.66	4.29	3.67

235 Note: Mean changes in SF-36v2 scores across haematologic response levels were based on 284 patients; mean changes in
 236 SF-36v2 scores across levels of cardiac response were based on 152 patients; values shown in bold font indicate a difference
 237 from the "No Response" group ≥ (in absolute value) the smallest value indicating a meaningful between-group difference.

238 * Smallest values indicating a meaningful individual-level change: PF, 4.3; RP, 4.0; BP, 5.5; GH, 7.0; VT, 6.7; SF, 6.2; RE, 4.6;
 239 MH, 6.7; PCS, 3.8; MCS, 4.6

240 † Smallest values indicating a meaningful group-level difference: PF, 3; RP, 3; BP, 3; GH, 2; VT, 2; SF, 3; RE, 4; MH, 3; PCS, 2;
 241 MCS, 3

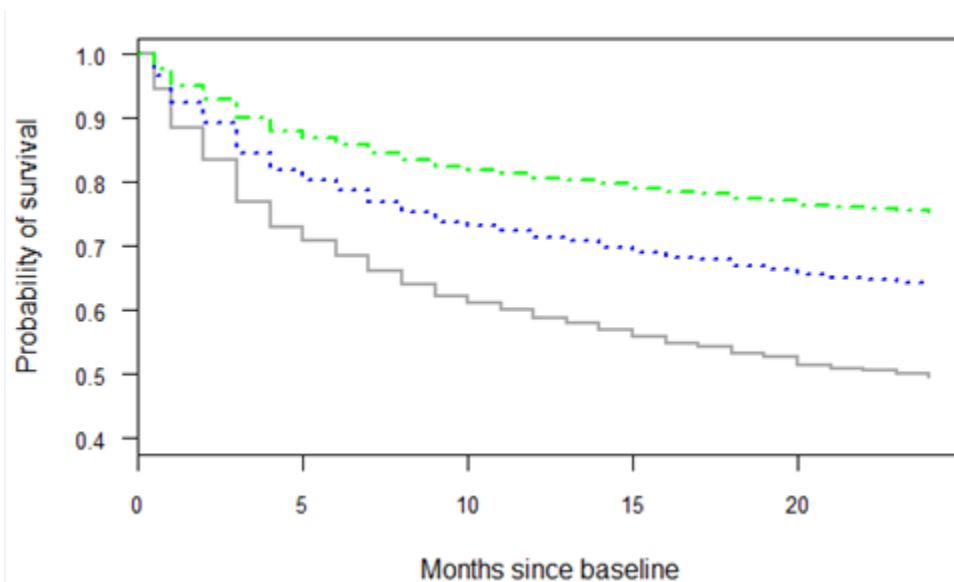
242 ± Indicates an average within-person change ≥ the smallest meaningful individual-level change.

243 Abbreviations: BP, Bodily Pain; GH, General Health Perceptions; MCS, Mental Component Summary; MH, Mental Health;
 244 PCS, Physical Component Summary; PF, Physical Function; RE, Role Limitations due to Emotional Problems; RP, Role
 245 Limitations due to Physical Problems; SF, Social Functioning; VT, Vitality

246 **Value of HRQL Assessment on Prediction of Survival**

247 Under the traditional Cox PH model, a 2-point higher PCS baseline score, a value approximately equal
248 to the MID, was associated with a decrease in the death hazard of approximately 9% (hazard ratio =
249 0.087; 95% confidence interval = [0.903, 0.923]; $p < 0.001$). Figure 4 presents the estimated survival
250 curve through 24 months for baseline PCS scores equal to the sample mean (38 points), as well as 10
251 points below, and 10 points above, the sample mean. There is a clear inverse relationship between
252 baseline PCS and the probability of survival, with the 3 curves being well-separated almost the entire
253 24-month period. Smaller differences in PCS scores similarly translate into significant differences in
254 outcomes: a patient with a baseline PCS score 5 points greater than the sample mean of 38, has a 20%
255 lower mortality risk. In terms of overall prognostic value, baseline PCS alone performed reasonably
256 well, resulting in a value of 0.66, based on Harrell's measure of prediction accuracy¹⁸ (for reference,
257 this measure is slightly below that of NT-proBNP, at 0.72, and slightly above left ventricular global
258 longitudinal strain, at 0.62, 2 common AL amyloidosis clinical markers).

259 Figure 4. Predicted Survival through 24 Months as a Function of Selected Baseline PCS Values



260
261 Baseline PCS equal to: — 28 points ; - - - 38 points (sample mean); - - - 48 points

262 Abbreviation: PCS, Physical component summary

263 To evaluate the impact of the entire HRQL trajectory on survival, we used an approach where the
264 impact of both the level and the change of HRQL on the risk of death, was estimated using a joint
265 model. The main model estimated the HRQL longitudinal process based on a quadratic function of
266 time; the estimated latent HRQL trajectory was then used to model the survival process (model 1). A
267 likelihood ratio test (LRT) comparing this model with a simpler model that included just the level of
268 PCS (but not the change), indicated that modeling the effect of change provided a significantly better
269 fit (LRT = 20.03; $p < 0.001$). A second model was fit, in which, in addition to the latent HRQL trajectory,
270 baseline PCS was used to model the probability of survival (model 2). Table 3 shows the estimated
271 parameters corresponding to the sub-models under the shared random effect approach, for models
272 1 and 2. Results for model 1 indicated that a 5-point greater PCS at the time of the event is associated
273 with a decrease in the risk of death of about 30% ($\exp(5 \times (-0.070)) = 0.701$). Further, for each 1-
274 point increase in the trajectory of PCS, the risk of death decreases by about 88% ($\exp(-2.111) =$
275 0.121). For model 2, which included baseline PCS as a time-independent covariate in addition to the
276 latent estimated PCS score and its change, only the former remained statistically significant
277 (expected due to the high correlation). Nevertheless, it is instructive to note that HRQL trajectory was
278 a significant predictor of survival in both models. While model 2 resulted in slightly better measures
279 of model fit (AIC and BIC are both smaller for model 2 than for model 1), these differences were small.
280

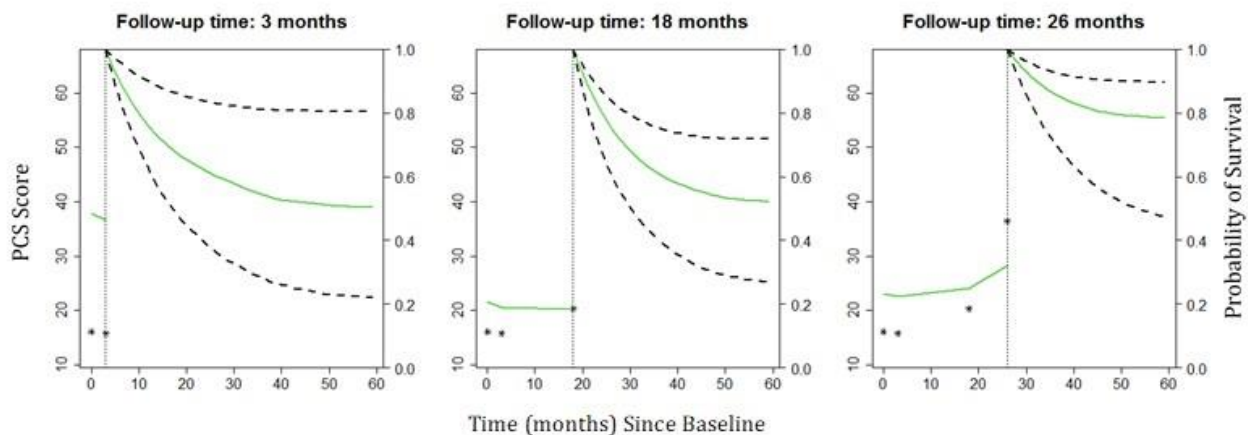
281 Table 3. Joint Modeling of Longitudinal PCS and Survival: Estimated Model Parameters

Parameter	Model 1			Model 2		
	Estimate	SE	p	Estimate	SE	p
Longitudinal Process						
Intercept	37.697	0.348	<.0001	37.852	0.346	<.0001
Months since baseline	-0.360	0.066	<.0001	-0.353	0.075	<.0001
Squared months since baseline	0.013	0.003	<.0001	0.015	0.004	<.0001
Survival Process						
PCS score	-0.070	0.010	<0.0001	0.039	0.030	0.2020
Change in PCS score	-2.111	0.857	0.0138	-2.588	0.820	0.0016
Baseline PCS				-0.076	0.022	0.0005
AIC	15,509			15,497		
BIC	15,562			15,554		

282 Note: N = 881; number of deaths = 315 (35.8%)
 283 Abbreviation: AIC, Akaike's information criterion; BIC, Bayesian information criterion; SE = standard error

284 To illustrate how the model can be used to link HRQL trajectory and survival, we calculated the
 285 survival probabilities of a selected patient from the ALchemy sample over time, based on model 1.
 286 The figure below (Figure 5) shows how the survival curves are updated (right side of each plot) as
 287 new measurements in PCS (left side of each plot) occur over time. For this patient, 4 PCS
 288 measurements occurred: baseline, and 3, 18, and 26 months after treatment initiation. Figure 5
 289 shows this patient followed a trajectory reflective of the overall pattern observed in the study with a
 290 slight initial decline in PCS followed by subsequent improvement. Consequently, the estimated
 291 survival (based on the median prediction) changes over time. For example, the estimated probability
 292 of survival at 48 months changes from 49% at 3 months (PCS = 15.8), to 53% at 18 months (PCS =
 293 20.3), and 79% at 26 months (PCS = 36.4).

294 Figure 5. Longitudinal PCS Assessments and Conditional Survival Probabilities for a Selected
295 Patient



296 Note: The vertical dotted line represents the time of the last HRQL measurement, with PCS scores up to this point shown to
297 the left, and median survival (95% confidence interval in dashed lines) shown to the right
298

299 Abbreviation: PCS, Physical component summary

300 Discussion

301 This study evaluated whether meaningful changes in HRQL are associated with deeper haematologic
302 response or increased survival among a large sample of patients with AL amyloidosis treated with
303 upfront bortezomib-based regimens. At baseline, patients in this study reported significant
304 impairment of baseline HRQL levels which were generally in line with those of previously published
305 studies.²²

306 Although reports exist that evaluate HRQL in patients with AL amyloidosis pre- and post-treatment,
307 none to our knowledge have evaluated a link between depth of haematologic response and HRQL.

308 Our results indicated that patients with CR report meaningful HRQL improvement after 1 year of
309 treatment, reflected primarily in functioning domains (physical, role, and social functioning). Patients
310 in other response levels, including VGPR and PR, did not, on average, report meaningful HRQL gains,
311 in fact, patients in PR reported a decline in HRQL. Of patients with cardiac involvement, those who
312 achieved a cardiac response reported a similar profile of response across the various domains of

313 HRQL, with a focus on the same 3 functioning domains. For the first time, we can show that deep
314 responses to treatment translate to the patients “feeling better,” which is not only a key goal of
315 therapy but also one of the important evaluable aspects of therapy’s effectiveness by global
316 regulators. However, improvement takes time – there was no gain in HRQL at 3 months, and then it
317 continued to improve to 24 months (the latest time point assessed in this study) – highlighting both
318 benefits of the current therapies but also the limitations.

319 One important finding of this study was the marked decline in HRQL at early time points (3 months)
320 and these results are similar to those from the Medical College of Wisconsin (MCW) and Mayo Clinic
321 (MCW/Mayo cohort) study also showing significant worsening in multiple HRQL domains from
322 baseline to 3 months.⁸ Other studies have also recognized that patients may have early symptomatic
323 and biomarker deterioration before improvements, particularly those with advanced amyloidosis.²³
324 In the MCW/Mayo cohort study, both physical and mental summary scores, as well as those for
325 physical and fatigue domains, indicated meaningful worsening in the first 3 months following
326 treatment initiation. Nevertheless, after 12 months, PRO scores were improved compared to the
327 lowest decline at 3 months, suggesting overall trajectories similar to the ones observed in the current
328 cohort. Despite this similarity, reports from the MCW/Mayo cohort study on the association between
329 haematologic response and HRQL were limited to the comparison of cross-sectional scores across
330 patients with VGPR (n=22) and those without VGPR (n=15).⁸ Our results expand on those previously
331 reported results. The striking fact was that only patients achieving CR had true improvement in HRQL
332 and those with VGPR had less decline but no consistent improvements. It is established that organ
333 responses in amyloidosis are linked to the depth of haematologic response. The results emerging
334 from the current analyses show for the first time that patients’ HRQL is concordant with those data.
335 This raises important questions about the goals of therapy in AL amyloidosis – the final goal of any
336 treatment is to improve HRQL. Since CR was the only depth of response correlating with improved
337 HRQL, it supports recent publications which have shown substantially better outcomes and longer

338 times to next treatment in patients achieving CR; we now show that it also links with improved HRQL.
339 This has important implications in planning future trials in AL amyloidosis with anti-plasma cell
340 agents, where CR or better must be the treatment goal (rather than CR/VGPR which was considered
341 adequate). With respect to differentiation across the various HRQL domains, our results indicated
342 that, while most SF-36v2 domains were impacted by treatment, those related to physical functioning,
343 namely PF, RP, and SF, ranked more highly in magnitude of change and were also more clearly linked
344 to depth of haematologic response, which is in agreement with previous studies^{24,25}.

345 Previous studies have also examined the role of PRO scores as predictors of survival and disease
346 progression. In the MCW/Mayo cohort,⁸ it was concluded that nearly all HRQL domains were
347 associated with higher risk of mortality; baseline scores in Anxiety, Depression, and Fatigue were
348 associated with higher risk of 1-year mortality. These results are similar to those reported from the
349 Boston University Amyloid Center's AL amyloidosis cohort.^{10,22} In that study, it was shown that worse
350 pre-treatment SF-36v2 PCS scores were associated with a greater risk of mortality in patients who
351 received transplant or non-transplant chemotherapy, and that fatigue independently predicted
352 survival in addition to stage and transplant.¹¹ Nevertheless, no prior studies established the value of
353 sequential HRQL assessment in the prognosis of survival. Despite being limited in number, clinical
354 markers in our study, such as NT-proBNP, fared similarly to HRQL in terms of prognostic value,
355 showing that HRQL scores and their trajectories help predict survival and characterize treatment
356 response.

357 In conclusion, our study indicates that HRQL assessment is an essential element in predicting survival
358 and characterizing treatment response, with only CR being associated with meaningful HRQL
359 improvement. It should be noted that the assessment instrument used in our study was developed
360 with the general population in mind, while recent studies^{26,27} have provided measurement
361 frameworks that more fully reflect the experiences of AL amyloidosis patients and new tools²⁸ have

362 emerged that will likely be better suited to this patient population. Future studies should incorporate
363 measurement of HRQL into the evaluation of treatment benefit, using instruments that specifically
364 target concepts and symptoms that more fully reflect those most important to patients with AL
365 amyloidosis.

366 References

- 367 1. European Medicines Agency. *Committee for Medicinal Products for Human Use: Darzalex*
368 *(daratumumab)*; 2021. [https://www.ema.europa.eu/en/documents/smop/chmp-post-
370 authorisation-summary-positive-opinion-darzalex-ii-43-ii-44_en.pdf](https://www.ema.europa.eu/en/documents/smop/chmp-post-
369 authorisation-summary-positive-opinion-darzalex-ii-43-ii-44_en.pdf). Accessed August 16, 2021.
- 371 2. U.S. Food & Drug Administration. *FDA grants accelerated approval to Darzalex Faspro for newly*
372 *diagnosed light chain amyloidosis*; 2021. [https://www.fda.gov/drugs/resources-information-
375 approved-drugs/fda-grants-accelerated-approval-darzalex-faspro-newly-diagnosed-light-
376 chain-amyloidosis](https://www.fda.gov/drugs/resources-information-
373 approved-drugs/fda-grants-accelerated-approval-darzalex-faspro-newly-diagnosed-light-
374 chain-amyloidosis). Accessed August 16, 2021.
- 377 3. Muchtar E, Gertz MA, Kumar SK, et al. Improved outcomes for newly diagnosed AL amyloidosis
378 between 2000 and 2014: cracking the glass ceiling of early death. *Blood*. 2017;129(15):2111-
379 2119. doi:10.1182/blood-2016-11-751628.
- 380 4. Ravichandran S, Lachmann HJ, Wechalekar AD. Epidemiologic and Survival Trends in
381 Amyloidosis, 1987-2019. *N Engl J Med*. 2020;382(16):1567-1568. doi:10.1056/NEJMc1917321.
- 382 5. Gertz MA, Comenzo R, Falk RH, et al. Definition of organ involvement and treatment response in
383 immunoglobulin light chain amyloidosis (AL): a consensus opinion from the 10th International
384 Symposium on Amyloid and Amyloidosis, Tours, France, 18-22 April 2004. *Am J Hematol*.
385 2005;79(4):319-328. doi:10.1002/ajh.20381.
- 386 6. Blank M, Campbell M, Clarke JO, et al. The Amyloidosis Forum: a public private partnership to
387 advance drug development in AL amyloidosis. *Orphanet Journal of Rare Diseases*.
388 2020;15(1):268. doi:10.1186/s13023-020-01525-2.
- 389 7. Lousada I, Comenzo RL, Landau H, Guthrie S, Merlini G. Light Chain Amyloidosis: Patient
390 Experience Survey from the Amyloidosis Research Consortium. *Advances in Therapy*.
391 2015;32(10):920-928. doi:10.1007/s12325-015-0250-0.
- 392 8. D'Souza A, Brazauskas R, Dispenzieri A, Panepinto J, Flynn KE. Changes in patient-reported
393 outcomes in light chain amyloidosis in the first year after diagnosis and relationship to NT-
394 proBNP change. *Blood Cancer Journal*. 2021;11(2):29. doi:10.1038/s41408-021-00412-8.
- 395 9. Sanchorawala V, Lo S, White MK, McCausland KL, Bayliss M, Skinner M. Changes in health-
396 related quality of life following treatment in patients with AL amyloidosis.
- 397 10. Seldin DC, Anderson JJ, Sanchorawala V, et al. Improvement in quality of life of patients with AL
398 amyloidosis treated with high-dose melphalan and autologous stem cell transplantation. *Blood*.
399 2004;104(6):1888-1893. doi:10.1182/blood-2004-01-0089.
- 400 11. Warsame R, Kumar SK, Gertz MA, et al. Hematology patient reported symptom screen to assess
401 quality of life for AL amyloidosis. *Am J Hematol*. 2017;92(5):435-440. doi:10.1002/ajh.24676.
- 402 12. Manwani R, Cohen O, Sharpley F, et al. A prospective observational study of 915 patients with
403 systemic AL amyloidosis treated with upfront bortezomib. *Blood*. 2019;134(25):2271-2280.
404 doi:10.1182/blood.2019000834.
- 405 13. Comenzo RL, Reece D, Palladini G, et al. Consensus guidelines for the conduct and reporting of
406 clinical trials in systemic light-chain amyloidosis. *Leukemia*. 2012;26(11):2317-2325.
407 doi:10.1038/leu.2012.100.
- 408 14. Palladini G, Dispenzieri A, Gertz MA, et al. New criteria for response to treatment in
immunoglobulin light chain amyloidosis based on free light chain measurement and cardiac
biomarkers: impact on survival outcomes. *J Clin Oncol*. 2012;30(36):4541-4549.
doi:10.1200/JCO.2011.37.7614.

- 409 15. Maruish ME. *User's Manual for the SF-36v2 Health Survey*. 3rd ed. Lincoln, RI: QualityMetric, Inc.
410 2011.
- 411 16. Rizopoulos D. *Joint Models for Longitudinal and Time-to Event Data, with Applications in R*. Boca
412 Raton: Chapman & Hall/CRC; 2012.
- 413 17. Claeskens G, Hjort NL. *Model Selection and Model Averaging*. Cambridge University Press; 2008.
414 Cambridge Series in Statistical and Probabilistic Mathematics.
- 415 18. Harrell FE, Califf RM, Pryor DB, Lee KL, Rosati RA. Evaluating the yield of medical tests. *JAMA*.
416 1982;247(18):2543-2546.
- 417 19. Schmid, Matthias, Marvin N. Wright, and Andreas Ziegler. Schmid, Matthias, Marvin N. Wright,
418 and Andreas Ziegler. On the use of Harrell's C for clinical risk prediction via random survival
419 forests. *Expert Systems with Applications*. 2016;(63):450-459.
420 <https://www.sciencedirect.com/science/article/abs/pii/S0957417416303633>.
- 421 20. Rizopoulos D. *Joint Modeling of Longitudinal and Survival Data*. R package version 1.4. 2016.
- 422 21. Wechalekar AD, Schonland SO, Kastiris E, et al. A European collaborative study of treatment
423 outcomes in 346 patients with cardiac stage III AL amyloidosis. *Blood*. 2013;121(17):3420-
424 3427. doi:10.1182/blood-2012-12-473066.
- 425 22. Sancharawala V, McCausland KL, White MK, et al. A longitudinal evaluation of health-related
426 quality of life in patients with AL amyloidosis: associations with health outcomes over time. *Br J*
427 *Haematol*. 2017;179(3):461-470. doi:10.1111/bjh.14889.
- 428 23. Hussain AS, Hari P, Brazauskas R, et al. Changes in cardiac biomarkers with bortezomib
429 treatment in patients with advanced cardiac amyloidosis. *Am J Hematol*. 2015;90(11):E212-3.
430 doi:10.1002/ajh.24176.
- 431 24. D'Souza A, Magnus BE, Myers J, Dispenzieri A, Flynn KE. The use of PROMIS patient-reported
432 outcomes (PROs) to inform light chain (AL) amyloid disease severity at diagnosis. *Amyloid*.
433 2020;27(2):111-118. doi:10.1080/13506129.2020.1713743.
- 434 25. Bayliss M, McCausland KL, Guthrie SD, White MK. The burden of amyloid light chain amyloidosis
435 on health-related quality of life. *Orphanet Journal of Rare Diseases*. 2017;12(1):15.
436 doi:10.1186/s13023-016-0564-2.
- 437 26. Lin HM, Seldin D, Hui A-M, Berg D, Dietrich CN, Flood E. The patient's perspective on the
438 symptom and everyday life impact of AL amyloidosis. *Amyloid*. 2015;22(4):244-251.
439 doi:10.3109/13506129.2015.1102131.
- 440 27. D'Souza A, Myers J, Cusatis R, et al. Development of a conceptual model of patient-reported
441 outcomes in light chain amyloidosis: a qualitative study. *Qual Life Res*. 2022;31(4):1083-1092.
442 doi:10.1007/s11136-021-02943-w.
- 443 28. O'Connor, M., Hsu, K., Broderick, L., McCausland, K., LaGasse, K., Rebello, S., White, M. K.,
444 Lousada, I. Use of a modified Delphi method in a comprehensive strategy for the development of
445 the Transthyretin Amyloidosis – Quality of Life (ATTR-QOL) Questionnaire. ISOQOL 29th
446 Annual Conference; October 2022; Prague, Czech Republic.
447

448 **Data Sharing Statement**

449 The authors are unable to share raw data due to patient confidentiality and consent limitations.

450 **Conflicts of Interest Statement**

451 The authors declare no competing financial interests.

452 **Author Contributions**

453 Oliver Cohen contributed to the paper by providing its conceptualization, by leading data curation,
454 and by reviewing and editing drafts. Regina Rendas-Baum conceptualized the paper and the study's
455 methodology, performed formal analyses, and wrote the paper. Kristen McCausland aided in the
456 paper's conceptualization and reviewed and edited drafts. Darren Foard, Richa Manwani, Sriram
457 Ravichandran, Helen Lachmann, Shameem Mahmood, Brendan Wisnioski, Philip Hawkins, and
458 Julian Gillmore were involved in reviewing, editing, and approving the final paper. Kristen Hsu and
459 Sabrina Rebello provided study funding and reviewed and edited the paper. Ashutosh Wechalekar
460 was an investigator in the trial on which this study data is based, provided the resources necessary
461 for analysis, and reviewed and edited the paper.

462 **Acknowledgements**

463 This study was funded by the Amyloidosis Research Consortium, Inc.