

# Near ambient N<sub>2</sub> fixation on solid electrodes versus enzymes and homogeneous catalysts

Olivia Westhead<sup>1</sup>, Jesús Barrio<sup>1</sup>, Alexander Bagger<sup>2,3</sup>, James W. Murray<sup>4</sup>, Jan Rossmeisl<sup>2</sup>, Maria-Magdalena Titirici<sup>3</sup>, Rhodri Jervis<sup>5</sup>, Andrea Fantuzzi<sup>2\*</sup>, Andrew Ashley<sup>6\*</sup>, Ifan E.L. Stephens<sup>1\*</sup>

<sup>1</sup>Imperial College London, Department of Materials

<sup>2</sup>University of Copenhagen, Department of Chemistry

<sup>3</sup>Imperial College London, Department of Chemical Engineering

<sup>4</sup>Imperial College London, Department of Life Sciences

<sup>5</sup>University College London, Department of Chemical Engineering

<sup>6</sup>Imperial College London, Department of Chemistry

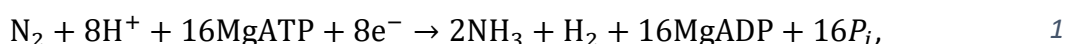
\*email: [a.fantuzzi@imperial.ac.uk](mailto:a.fantuzzi@imperial.ac.uk), [a.ashley@imperial.ac.uk](mailto:a.ashley@imperial.ac.uk), [i.stephens@imperial.ac.uk](mailto:i.stephens@imperial.ac.uk)

## Abstract:

*The Mo/Fe nitrogenase enzyme is unique in its ability to efficiently reduce dinitrogen to ammonia at atmospheric pressures and room temperature. Should an artificial electrolytic device achieve the same feat, it would revolutionise fertilizers and even provide an energy dense, truly carbon-free fuel. This Review provides a coherent comparison of recent progress made in dinitrogen fixation on (i) solid electrodes, (ii) homogeneous catalysts and (iii) nitrogenases. Specific emphasis is placed on systems for which there is unequivocal evidence that dinitrogen reduction has taken place. By establishing the cross-cutting themes and synergies between these systems, we identify viable avenues for future research.*

## [H1] Introduction

The triple bond in N<sub>2</sub> is extremely strong (941 kJ mol<sup>-1</sup>). Remarkably, the nitrogenase enzyme can naturally catalyse N<sub>2</sub> scission at room temperature and atmospheric pressure. At ambient pressure, the Faradaic efficiency (the proportion of electrons which go towards making the desired product) of nitrogenase is 66%, while at elevated pressures (50 atm) nitrogenase can reach 75% via the reaction



where ATP (adenosine triphosphate) provides the energy for the reaction by transforming to ADP (adenosine diphosphate) and Pi (inorganic phosphate)<sup>1-3</sup>. The naturally high Faradaic efficiency of nitrogenase has inspired the development of homogeneous molecular catalysts which imitate its catalytically active centre. Ammonia is produced industrially via the Haber-Bosch process, where atmospheric nitrogen and hydrogen, derived from methane steam reforming, are combined at high temperatures and pressures (300-500°C, 100-300 atm) in the presence of a promoted Fe or Ru catalyst. The high demand for ammonia as a fertiliser means that it is produced in prodigious quantities; approximately 175 million tonnes are produced per year<sup>4,5</sup>. The extensive CO<sub>2</sub> emissions from the methane steam reforming process, as well as the extreme catalytic operating conditions, mean that this process is responsible for 1% of global energy consumption and 1.4% of global CO<sub>2</sub> emissions<sup>6,7</sup>. There is also increasing interest in ammonia's potential as a carbon-free fuel. Multiple shipping companies already moving to ammonia-based propulsion<sup>8</sup>, yet to be truly carbon-free ammonia production must be decoupled from fossil fuels. Thus, the catalysis of N<sub>2</sub> to NH<sub>3</sub> in

48 an electrolyser at low temperatures (under 100 °C) and near atmospheric pressures has  
49 gathered widespread attention. This method could be powered by renewable electricity with  
50 hydrogen supplied through water oxidation, which would be carbon-free. NH<sub>3</sub> could also be  
51 produced on-site and on-demand, limiting the challenges associated with its transport from  
52 a Haber-Bosch facility to a given consumption point<sup>4,9</sup>.

53  
54 An efficient N<sub>2</sub> reduction electrocatalyst must sustain high and stable current densities with  
55 low overpotential ( $\eta$ , any excess applied voltage due to kinetic factors) and high selectivity  
56 towards N<sub>2</sub> reduction versus H<sup>+</sup> reduction ( $2\text{H}^+ + 2\text{e}^- \rightarrow \text{H}_2$ ). The US Department of Energy cite  
57 blanket performance targets of 90% Faradaic efficiency and 300 mA cm<sup>-2</sup> current density  
58 (normalised on a geometric basis) for practical electrochemical ammonia synthesis<sup>10</sup>. High  
59 current densities are important – regardless of the final application – to minimise capital  
60 costs. However, the metrics of selectivity and overpotential have varying importance  
61 depending on the use of ammonia. Singh et al. estimated that the power needed to produce  
62 ammonia for fertilizer for a standard field (100 kg NH<sub>3</sub> hectare<sup>-1</sup> yr<sup>-1</sup>) at an overpotential of 1V  
63 and 100% Faradaic efficiency would be 145 W hectare<sup>-1</sup>. Reducing the Faradaic efficiency to  
64 1% would increase the electrode area required for ammonia synthesis 100-fold, showing that  
65 selectivity is the limiting factor for fertiliser<sup>11</sup>. For the use of ammonia as an energy vector,  
66 overpotential becomes more important. If ammonia were produced at zero overpotential,  
67 the cost based on electricity prices would be comparable to that of methane. However,  
68 production at 1 V overpotential would increase prices by approximately 190%<sup>12</sup>, making the  
69 method unviable. Stability is also a key issue; an industrial catalyst must be able to sustain a  
70 significant number of turnovers to be commercially viable.

71  
72 The rigorous quantification of the often low concentrations of produced ammonia is one of  
73 the greatest problems with nitrogen reduction on solid electrodes<sup>13</sup>. To date, few published  
74 works have carried out this quantification successfully, while a majority of reports are false  
75 positives based on contamination<sup>14,15</sup>. Some of the most cited articles have been retracted,  
76 such as the report by Licht et al. published in *Science* in 2014 where nitrogen reduction was  
77 claimed to have been achieved in molten hydroxide suspensions<sup>16</sup>. This difficulty can also be  
78 observed in homogeneous and enzymatic nitrogen reduction. Inappropriate testing methods,  
79 such as the widely used spectrophotometric indophenol blue method, provided false  
80 positives due to the interaction with phosphine ligands in molecular catalysts<sup>17</sup>. Mackellar et  
81 al. (2016) further demonstrated how *Streptomyces thermoautotrophicus* UBT1 (which  
82 contains an alleged oxygen-sensitive nitrogenase enzyme) fails to incorporate isotopically  
83 labelled (15-N) N<sub>2</sub> gas<sup>18</sup>, meaning that it cannot reduce nitrogen to ammonia. Schrock and co-  
84 workers were among the first to use isotopic labelling when employing molecular catalysts<sup>19</sup>,  
85 laying the groundwork for the now widely accepted use of isotopic labelling in homogeneous  
86 catalysis. This methodology was later adopted in the rigorous protocol defined by Andersen  
87 et al. in 2019, which culminates in an isotopically labelled nitrogen reduction step<sup>20</sup>, which is  
88 critical to ensure unequivocal ammonia production. However, it is still imperative to remove  
89 contaminants from the isotopically labelled gas, a step often overlooked in the literature<sup>21</sup>.  
90 This review will focus only on reports that have rigorously verified their production of  
91 ammonia. In particular, we will place specific attention on the lithium-mediated nitrogen  
92 reduction paradigm when considering solid electrodes, given that this was the one system  
93 able to pass Andersen et al.'s protocol<sup>20,22,23</sup>.

94

## 95 [H2] Differences between the three paradigms

96 To date, no synthetic system operating at ambient temperature and pressure out-performs  
97 nitrogenase across all metrics of stability, efficiency, activity and overpotential (Figure 1 b-d).  
98 Nitrogenase hydrolyses at least two ATP molecules per electron transferred in its nitrogen  
99 reduction reaction scheme. This results in a limiting operating potential — i.e. when all  
100 elementary electron transfer steps are downhill in free energy<sup>24</sup>—of -0.79 V vs RHE<sup>25</sup>, which  
101 constitutes a moderate overpotential; the standard potential for nitrogen reduction to  
102 ammonia is 0.057 V vs RHE (See SI and figure 1 b-d). It is important to note that this value  
103 allows us to compare the energetics between the three paradigms. Nitrogenase is unique in  
104 that it is able to dynamically tune operating potentials throughout the course of its nitrogen  
105 reduction scheme by accumulating reducing units<sup>26</sup>, protein conformational changes, and  
106 complex formation<sup>27</sup>. Nitrogenase also exhibits remarkable selectivity towards N<sub>2</sub> reduction,  
107 with Faradaic efficiencies of 66% at ambient pressure<sup>3,19,28</sup>. Typical Faradaic efficiencies  
108 exhibited by solid electrodes do not exceed 35% at ambient pressure, and the overpotential  
109 is limited to large values (>2.5 V) by the requirement for in-situ plated lithium as a catalyst<sup>29,30</sup>.  
110 Homogeneous catalysts can achieve comparable, or even improved<sup>31</sup>, Faradaic efficiencies to  
111 nitrogenase, but their per-site activity and long-term stability is often lacking. Most  
112 homogeneous systems also fail to achieve an overpotential as low as nitrogenase. All systems  
113 fall short of the ‘ideal electrode’, which has negligible overpotential, 100% Faradaic efficiency  
114 and a lifetime of at least 5 years (Figure 1 b-d).

115  
116 To understand the differences in activity for nitrogen reduction between solid electrodes,  
117 molecular catalysts and nitrogenase, it is important to consider the mechanisms governing  
118 catalytic reactions in the three systems. Molecular catalysts can be specifically designed with  
119 fine control over the active site, activity, and selectivity due to their well-defined nature.  
120 Metal surfaces, however, are often made up of a number of different facets, geometries and  
121 structures, making it difficult to pinpoint and tune the active sites in the same way<sup>32</sup>. There  
122 are major differences between how electron transfer occurs in molecular complexes and  
123 enzymes versus metallic surfaces<sup>33</sup>. In metals, electrons are always freely available and proton  
124 and electron transfer are typically coupled, whereas the choice of reducing agent and proton  
125 donor in molecular complexes and enzymes can alter the protonation and reduction scheme  
126 followed by the catalyst, with steric hindrance providing a boost to selectivity<sup>34,35</sup>. Enzymatic  
127 nitrogen fixation also benefits from the dynamic environment within which it resides. The  
128 nitrogenase enzyme undergoes a number of different structural rearrangements during each  
129 catalytic cycle, allowing it to alter its kinetic and thermodynamic state<sup>36,37</sup>. Metallic surfaces,  
130 do not exhibit this variability. Electron screening effects mean that long-range effects can be  
131 neglected, and active sites are generally restricted to individual, static features, such as a step  
132 or edge defect, on a metallic surface<sup>38,39</sup>. Even though solid metal electrodes restructure  
133 under reaction conditions<sup>40,41</sup>, it is not expected that the active sites within a pure metal  
134 catalyst structure will reversibly change during each catalytic cycle.

135  
136 The working mechanism of N<sub>2</sub> reduction varies across enzymes, molecular complexes and  
137 solid surfaces. Nitrogenase breaks the N<sub>2</sub> triple bond through the polarization of the N<sub>2</sub>  
138 molecule by neighbouring S-H groups<sup>28,42</sup>, with subsequent controlled protonation through  
139 the Lowe-Thorneley scheme occurring via a chain of water molecules within the anhydrous  
140 and hydrophobic environment surrounding the catalytic cofactor<sup>43,44</sup>. Molecular complexes,  
141 typically Fe or Mo based, can also weaken and cleave the triple N<sub>2</sub> bond to form nitrides,

142 converting N<sub>2</sub> into a redox-active ligand<sup>45</sup>. Solid surfaces can reduce nitrogen to ammonia via  
143 several different mechanisms, either associatively or dissociatively. In the majority of cases,  
144 the most energy intensive step is the breaking of the dinitrogen triple bond<sup>46,47</sup>, which occurs  
145 spontaneously in the lithium mediated mechanism. A summary of the reduction schemes is  
146 shown in figure 2.

147  
148 Scientific progress could be achieved by combining the strengths of the three paradigms. For  
149 example, the nitrogenase enzyme exhibits excellent selectivity and activity towards nitrogen  
150 reduction, as well as an intricate mechanism controlling the delivery of protons and electrons  
151 to the active site, but it occupies a much larger area than its counterparts. While the MoFe  
152 protein of the nitrogenase enzyme has a footprint of approximately 40 nm<sup>2</sup><sup>48,49</sup>, molecular  
153 complexes are often much smaller (on the order of a few nm<sup>2</sup>), and a model metallic atom  
154 such as Ru has a diameter of 0.26 nm (Figure 1 a)<sup>50</sup>. If the per-site activity and selectivity of  
155 nitrogenase could be achieved on a metallic electrode, the abundance of catalytic sites would  
156 result in a current density of 7 mA cm<sub>geo</sub><sup>-2</sup> (normalised according to microscopic surface area;  
157 see supplementary information)<sup>51</sup>.

### 158 159 **[H1] Nitrogenase**

160 The nitrogenase enzyme performs the most consistently across metrics of stability, activity  
161 and selectivity at ambient temperature and pressure, as shown in figures 1b to d<sup>37</sup>. There are  
162 three known variants of nitrogenase, each defined by the metallic content of their catalytic  
163 cofactor. The most common, and most extensively studied, is molybdenum nitrogenase,  
164 which contains a [Mo:7Fe:9S:C]:homocitrate cluster as its catalytic cofactor (FeMo-co)  
165 contained within a MoFe protein<sup>52</sup>. The two other variants are vanadium nitrogenase,  
166 containing an FeV-co, and iron-only nitrogenase, containing an FeFe-co<sup>52,53</sup>. The properties of  
167 vanadium and iron-only nitrogenase are discussed in detail elsewhere<sup>54</sup>. This review will focus  
168 on molybdenum nitrogenase, which is the most selective of the three<sup>55-57</sup>.

### 169 170 **[H2] Active centre**

171 The nitrogen reduction scheme in molybdenum nitrogenase (eqn 1.) requires 8 electron  
172 transfers and 16 ATP hydrolysis events to form 2 molecules of ammonia and an obligatory H<sub>2</sub>  
173 molecule<sup>58</sup>. Experimental data and DFT calculations suggest that the Fe atoms in the FeMo-  
174 co are the active site for N<sub>2</sub> binding with little evidence suggesting that any substrate or  
175 intermediate binds to the Mo atom<sup>37</sup>. Instead, similarly to many other biological systems, the  
176 Mo serves to provide maximal anti-ferromagnetic coupling in the FeMo-Co, which allows two  
177 iron atoms be highly reduced<sup>36</sup> and so able to bind N<sub>2</sub><sup>36,37,43</sup>. The central carbon atom provides  
178 structural stability, while the surrounding sulfur atoms protect the iron core from undesirable  
179 side reactions<sup>70</sup> as well as providing hydrogen binding sites<sup>43</sup>.

### 180 181 **[H2] Transport of reactants**

182 One of the most surprising aspects of nitrogenase is its high selectivity towards nitrogen  
183 reduction, rather than hydrogen evolution. In the absence of N<sub>2</sub>, MoFe nitrogenase will  
184 reduce protons to evolve hydrogen<sup>53</sup>. However, in the presence of N<sub>2</sub>, the hydrogen evolution  
185 reaction is suppressed, apart from the obligate release of one H<sub>2</sub> molecule<sup>53</sup>; a feature unique  
186 to nitrogenase. Nitrogenase achieves this primarily through its control over the transport of  
187 reactants, namely protons and electrons, to the catalytically active site.

188

189 Control of protons to the FeMo-co is critical. Electrochemical studies where an isolated FeMo-  
190 co had unlimited access to protons resulted in preferential hydrogen evolution<sup>44</sup>. Therefore  
191 the protein environment of the cofactor must deliver protons for the reaction in such a way  
192 that they can avoid recombination with electrons to form H<sub>2</sub><sup>44</sup>. The amino acid residue chains  
193 surrounding the FeMo-co shield it from water, meaning that there are no water molecules  
194 near the iron atom active sites. There is also evidence of hydrophobicity in the immediate  
195 environment of the FeMo-co, meaning that the active site for nitrogen binding exists in an  
196 anhydrous and hydrophobic environment<sup>43</sup>, as shown in figure 3 b. Therefore, protons must  
197 be delivered to the FeMo-co in a controlled fashion. X-ray crystallography and molecular  
198 dynamics studies have been able to identify various channels within the MoFe protein  
199 through which substrates could be delivered to the cofactor surface<sup>59,60</sup>. One such channel is  
200 a water channel, suggested to permit controlled protonation of the cofactor<sup>44</sup>. Protons could  
201 be shuttled one at a time to the FeMo-co along a proton wire made up of eight water  
202 molecules from a proton bay via a Grotthuss mechanism<sup>43,61</sup>, shown schematically in figure 3  
203 c. Once an electron is transferred to the cofactor, the final water molecule releases a proton  
204 to the cofactor site<sup>62</sup>. All three nitrogenases contain a proton wire, suggesting that controlled  
205 protonation is key to nitrogenase functionality. Furthermore, mutant studies which impaired  
206 the functionality of the water chain, causing the proton wire to function less efficiently,  
207 severely impacted nitrogen reduction activity<sup>43</sup>.

208  
209 The Lowe-Thorneley scheme, developed in the 1980s, lays out a detailed kinetic scheme for  
210 nitrogen reduction at the FeMo-co based on the stepwise delivery of electrons and protons  
211 to the cofactor<sup>63</sup> (figure 3a). Electron transfer is carried out via two iron-sulfur metallic  
212 clusters (the F-cluster in the Fe protein and the P-cluster in the MoFe protein) which deliver  
213 electrons from the Fe protein to the MoFe protein and then to the FeMo-co<sup>51</sup>. The MoFe  
214 protein is composed of two symmetric  $\alpha\beta$  units, each of which contains a P-cluster and an  
215 FeMo-co<sup>26</sup>. The Fe protein, bound to two MgATP molecules, binds to each  $\alpha\beta$  unit. While ATP  
216 hydrolysis and electron transfer are clearly linked, the exact role of ATP hydrolysis and the  
217 order of events is unknown. There is evidence to suggest that ATP hydrolysis is necessary to  
218 provide the energy to transfer the electron from the F-cluster in the Fe protein to the P-cluster  
219 in the MoFe protein, the view taken in initial nitrogenase studies<sup>64,65</sup>. In this model, Fe protein  
220 dissociation is the rate limiting step<sup>58</sup>. Another model, known as deficit spending, suggests  
221 that electron transfer occurs first from the P-cluster of the MoFe protein to the FeMo-co, then  
222 an electron is transferred from the F-cluster of the Fe protein to the P-cluster to make up for  
223 the one that was lost. ATP hydrolysis then occurs after electron transfer<sup>27</sup>. In this model, the  
224 ATP hydrolysis instead provides the energy for the dissociation of the Fe and MoFe proteins  
225 at the end of the cycle<sup>65</sup>. Recent work also suggests that P<sub>i</sub> release is the rate limiting step in  
226 the deficit spending electron transfer model<sup>65,66</sup>.

227  
228 It could be that the mode of electron transfer is dynamic, with different mechanisms taking  
229 place at different parts of the nitrogenase reduction scheme according to the transfer  
230 requirements of the intermediate nitrogenase state. Indeed, slow electron transfer is crucial  
231 for selective nitrogen reduction at step E<sub>4</sub> of the Lowe-Thorneley scheme, as shown in figure  
232 3d. If there were an abundance of electrons at the FeMo-co, the reduction scheme would  
233 proceed via Coupled Proton Electron Transfer (CPET), allowing the cofactor to take the much  
234 more energetically favourable path to hydrogen evolution. Instead, a single electron transfer  
235 allows the cofactor to become reduced enough to bind N<sub>2</sub><sup>37</sup>. Indeed, kinetic studies by

236 Hoffman, Seefeldt and coworkers suggest that increasing electron flux to the catalytic  
237 cofactor results in a loss in selectivity for nitrogen reduction under 1 atm N<sub>2</sub><sup>67</sup>. One possible  
238 mechanism by which nitrogenase controls the access of electrons to the catalytically active  
239 site could be conformational gating. This is where an 'electron gate' in the MoFe protein can  
240 be reversibly opened and closed to control electron transfer to the active site<sup>58</sup>. Such  
241 conformational changes have been characterised via small-angle X-ray scattering and EPR<sup>26</sup>.

242  
243 Oxygen exposure is highly destructive for nitrogenase. The low potential iron-sulfur clusters,  
244 critical for electron transfer and catalysis, are vulnerable to oxidation which can either render  
245 them bio-unavailable or cause them to decompose<sup>68</sup>. The one report of a completely oxygen-  
246 resistant nitrogenase type has been disproved<sup>18</sup>. Many nitrogen fixers are therefore obligate  
247 anaerobes or microaerobes. One protective mechanism used by *Azotobacter* species is the  
248 formation of a ternary complex between the MoFe and Fe proteins, and another small  
249 protein, the FeSII or Shethna protein. The formation of this complex is controlled by the redox  
250 state of the [2Fe-2S] cluster contained within<sup>69</sup>, suggesting that the role of electron transfer  
251 in nitrogenase may be even more complex.

252  
253 It is clear from this discussion that the careful combination of the slow and controlled delivery  
254 of protons and electrons to the catalytically active site, as well as the exclusion of deleterious  
255 molecules such as oxygen and water, is, to a large part, what allows nitrogenase to function  
256 so efficiently.

## 257 258 **[H2] Thermodynamic vs kinetic perspectives**

259 Stage E<sub>4</sub> in the Lowe-Thorneley scheme represents a critical point. Either the cofactor returns  
260 to its original state, E<sub>0</sub>, by the release of two H<sub>2</sub> molecules, or it can release one H<sub>2</sub> molecule  
261 and bind a N<sub>2</sub> molecule<sup>28</sup>. A mystery of this step was the obligate release of an H<sub>2</sub> molecule,  
262 as this seemingly wastes the energy required for 2 electron transfer steps<sup>70,71</sup>. Electron-  
263 Nuclear Double Resonance (ENDOR) spectroscopy of the freeze-trapped E<sub>4</sub> state revealed  
264 that it contains two hydrides which bridge two Fe ions, thus forming two [Fe-H-Fe]  
265 fragments<sup>72</sup>, as well as two sulfur bound hydrogen ions<sup>28</sup>. The bridge-bound hydrogen atoms  
266 have an important stabilizing function since they are less prone to further protonation,  
267 releasing H<sub>2</sub>, than the terminal bound hydrogen to the sulfur atoms. This stability means that  
268 they are less likely to return the cofactor to its original E<sub>1</sub> state<sup>73</sup>. This evidence that  
269 nitrogenase stores electrons as hydrides provides an answer to the question of how it is able  
270 to undergo four reduction steps at constant potential when it is already fully saturated<sup>37,74</sup>.  
271 Additionally, the reductive elimination of these two adjacent hydrides to form H<sub>2</sub> leaves the  
272 metal site in a state that is doubly reduced and so able to bind and activate N<sub>2</sub><sup>28,70</sup>. The release  
273 of H<sub>2</sub> is also highly thermodynamically favourable, whereas the formation of a E<sub>4</sub>(NHNH) is  
274 highly unfavourable. By combining these two processes, the overall reaction becomes  
275 downhill in energy, as shown in figures 3d and 3e<sup>70,73</sup>.

276  
277 The structure of state E<sub>4</sub> also ensures N protonation over Fe hydride formation via a 'push-  
278 pull' mechanism. The iron centre, having lost two hydrogen atoms, is doubly reduced and  
279 'pushes' electron density away, which is simultaneously 'pulled' towards the N<sub>2</sub> molecule by  
280 the S-H group. This biases the Fe - N ≡ N unit such that N protonation is favoured over  
281 protonation of the reduced Fe atom, as demonstrated by the downhill energy step in figure  
282 3e from E<sub>4</sub> to E<sub>5</sub><sup>28,42</sup>. This is interesting, since normally adsorption and desorption steps are

283 considered separately. Yet, in the case of nitrogenase there is a concerted mechanism where  
284 the two steps occur simultaneously, without leaving an open site.

285  
286 Recent DFT studies suggest that, in order to accumulate the reducing equivalents and protons  
287 required to reach state  $E_4$ , nitrogenase also employs a kinetic mechanism to avoid deleterious  
288 hydrogen evolution<sup>75</sup>, shown schematically in figure 3 e. The  $E_2$  state is doubly reduced, with  
289 one electron being stored as a bridging hydride and one as a reduced metal-ion core. To move  
290 to stage  $E_3$ , the cofactor must be further protonated. However, this is likely to result in  
291 hydrogen evolution and a return to the  $E_0$  state rather than a continuation along the scheme.  
292 Instead, the S2B atom dissociates from the cofactor as an  $H_2S$  group, exposing the Fe core  
293 which can be further reduced to the  $E_4$  state, such that the S2B atom is replaced by two  
294 neighbouring hydrides. Once the  $N_2$  molecule has been bound, the S2B atom returns to the  
295 cofactor to allow for the final  $NH_3$  desorption, as shown in figure 3a<sup>37</sup>.

296

## 297 **[H2] Key unanswered questions on enzymes**

298 Despite the fact that the research into the nitrogenase enzyme and how it achieves efficient  
299 nitrogen reduction has been ongoing for over 150 years<sup>28</sup>, there remain many unanswered  
300 questions. Although steps forward have been made in understanding the mechanism of  
301 electron transfer in nitrogenase, the exact order of events is disputed, as well as the true role  
302 of ATP hydrolysis<sup>26</sup>. In addition, the mechanism for nitrogen reduction itself is disputed. While  
303 most literature considers an associative alternating or distal scheme, the intermediates which  
304 would allow researchers to distinguish between the two have not yet been detected due to  
305 the difficulty in isolating intermediate states for analysis. This is in part due to the fact that  
306 the isolated catalytic cofactor is incapable of nitrogen reduction, and so separating the  
307 mechanism at the active site from its surroundings is difficult<sup>75</sup>. It is key to understand the  
308 mechanism by which nitrogenase makes ammonia, as well as how the surroundings influence  
309 this, to mimic it successfully. There have, however, been a great many steps forward in  
310 understanding; the atomic and electronic structure of the Fe-Mo cofactor have been well  
311 characterised using X-ray diffraction and Electron Paramagnetic Resonance (EPR) studies<sup>36</sup>,  
312 and several key nitrogenase catalytic intermediates have been trapped and characterised<sup>28</sup>.  
313 Such breakthroughs are encouraging for future gains in understanding.

314

## 315 **[H1] Homogeneous catalysts**

316 Research into transition metal complexes for nitrogen reduction has been on-going since the  
317 1960s<sup>76</sup>. The isolation of  $[(NH_3)_5Ru(N_2)]^{2+}$  by Allen and Senoff<sup>77</sup> in 1965 confirmed that  $N_2$  can  
318 coordinate as a ligand to a transition metal. However, the first successful reduction of  
319 nitrogen to ammonia at ambient temperature and pressure by a transition metal complex  
320 was not achieved until 2003. This was the seminal work of Yandulov and Schrock, who  
321 synthesised a Mo based complex containing tetradentate triamidoamine ligands<sup>19</sup>. Yandulov  
322 and Schrock were able to isolate metal-ligand complexes of [HIPTMo] (HIPT = hexa-iso-propyl-  
323 terphenyl, 3,5-(2,4,6-i-Pr<sub>3</sub>C<sub>6</sub>H<sub>2</sub>)<sub>2</sub>C<sub>6</sub>H<sub>3</sub>) proposed as key intermediates in the catalytic nitrogen  
324 reduction cycle and characterise them. These intermediates were then subjected to the  
325 same catalytic conditions, resulting in comparable  $NH_3$  yields. This verified such intermediates  
326 as part of the nitrogen reduction cycle, including [HIPTMo] $N_2$ , [HIPTMo]N and others, and  
327 allowed the authors to achieve yield efficiencies of ~ 65%, normalised to the number of  
328 reducing equivalents; comparable to nitrogenase (figure 1 b-d)<sup>19</sup>. Since the initial success of  
329 Yandulov and Schrock, there have been several other attempts to achieve ambient ammonia

330 synthesis catalysed by transition metal complexes. Notably, the use of Mo-based complexes  
331 bearing pincer ligands by Nishibayashi and coworkers has proven highly successful<sup>31,78–83</sup>.

332

### 333 [H2] Active centre

334 The interest in molybdenum based molecular complexes arose from the false premise that  
335 molybdenum in the FeMo-co of nitrogenase was critical for nitrogen reduction<sup>34</sup>. The  
336 discovery that the active site for nitrogen reduction is in fact the iron atoms led to a greater  
337 degree of interest in iron-based homogeneous catalysts. In 2013, Peters and co-workers  
338 showed that nitrogen reduction to ammonia was possible on a tris(phosphine)borane-  
339 supported iron complex ( $P_3^BFe^+$ )<sup>84,85</sup>, as shown in figure 1 b-d. The Nishibayashi group also  
340 developed Fe based systems, with an Fe based complex bearing a pyrrole based PNP (PNP =  
341 2,6-bis(di-tert-butyl-phosphinomethyl)pyridine) pincer ligand being shown to generate  
342 catalytic quantities of ammonia<sup>86</sup>. Several other homogeneous catalysts have been  
343 developed, which move away from the bio-inspired use of Mo or Fe as an active site. The  
344 Peters group established that the Co analogue ( $P_3^BCo$ ) was capable of reducing nitrogen to  
345 make ammonia, which was the first demonstration of nitrogen reduction using a non-Mo or  
346 Fe based complex<sup>34,87</sup>. Nishibayashi and coworkers also developed other metal complexes  
347 with pyrrole based PNP pincer ligands. While the Nishibayashi Co based complex significantly  
348 outperformed its Fe based counterpart<sup>86,88</sup>, the Peters Co based catalyst experienced a 2.9  
349 fold decrease in yield compared to its Fe equivalent<sup>87</sup>. The Nishibayashi group also developed  
350 a V complex bearing pyrrole-based PNP pincer ligands, which produced a yield on the same  
351 order as the equivalent Co and Fe complexes<sup>88</sup>. The Peters group have also tested metal  
352 complexes with active sites such as Os and Ru, which have both been shown to be capable of  
353 reducing nitrogen<sup>34,89</sup>. It is interesting to note that only the Mo based complexes are able to  
354 efficiently produce ammonia at ambient temperature and pressure; catalysts based on other  
355 metals must operate at cryogenic temperatures<sup>34,53</sup>. This is a surprising result, given that it is  
356 unlikely that Mo plays a role in binding to nitrogen in the FeMo-co<sup>37</sup>. The required cryogenic  
357 operating temperature for other metallic centres is related to the stability of the reduction  
358 environment to hydrogen evolution as well as the thermal stability of key reaction  
359 intermediates. Indeed, Peters and co-workers have shown that the first N-H species formed  
360 on a transition metal complex have weak N-H bonds, leaving them vulnerable to deleterious  
361 hydrogen evolution over ammonia formation. Mo species have a stronger N-H bond, allowing  
362 them to operate at more moderate conditions and overpotentials than Fe based species<sup>90</sup>.

363

### 364 [H2] Proton source

365 The controlled addition of protons and electrons, critical in nitrogenase, is also crucial for  
366 homogeneous catalysis. The transfer of a single proton to the catalytically active site in a  
367 single step via proton-coupled electron transfer (PCET), where protons and electrons are  
368 transferred together, or hydrogen atom transfer facilitates the formation of N-H bonds  
369 towards  $NH_3$  formation<sup>28</sup>. However, Yandulov and Schrock noted that the stepwise  
370 accumulation of hydrogen atoms, but via separate protonation and reduction steps, at the  
371 active site was key to efficient nitrogen reduction, as shown in figure 4a<sup>19,91</sup>. In addition,  
372  $[LutH][BAr^F_4]$  (Lut = 2,6-dimethylpyridine,  $BAr^F_4$  = tetrakis[3,5-  
373 bis(trifluoromethyl)phenyl]borate) was chosen as a proton source in part due to the fact that  
374 it is a weak acid, having a pKa of 6.75 in water<sup>92</sup>. This means that it is a relatively poor proton  
375 donor<sup>93</sup>.

376



377 Peters and coworkers first used a very strong acid,  $[\text{H}(\text{OEt}_2)_2]^+\text{B}[(3,5\text{-CF}_3)_2\text{C}_6\text{H}_3]^{4-}$  ( $\text{HBArF}_4$ ,  $\text{pK}_a$   
378  $\sim 0$  in THF), when testing their  $\text{P}_3\text{BFe}^+$  catalyst and obtained a yield that was lower than  
379 contemporary Mo based catalysts, despite using a strong reducing agent,  $\text{KC}_8$  ( $U = -2$  V vs RHE  
380 (See supporting information))<sup>85</sup>, and operating at  $-78^\circ\text{C}$  to suppress hydrogen evolution<sup>34,84</sup>.  
381 However, by utilizing  $[\text{Ph}_2\text{NH}_2][\text{OTf}]$  or  $[\text{PhNH}_3][\text{OTf}]$  ( $\text{pK}_a$  in  $\text{Et}_2\text{O}$  relative to  $(\text{Et}_2\text{O})_2\text{H}^+$  1.4 and  
382 6.8 respectively) and  $\text{CoCp}^*_2$  ( $U = -0.98$  V vs RHE ( $[\text{Ph}_2\text{NH}_2][\text{OTf}]$ ) or  $U = -0.76$  V vs RHE  
383 ( $[\text{PhNH}_3][\text{OTf}]$ ) (See supporting information)) they could reach a higher selectivity and  
384 catalytic turnover for  $\text{NH}_4^+$  by allowing for a hydrogen atom transfer mechanism to occur. This  
385 reduced the high thermodynamic cost of protonating the ligated nitrogen atom, with steric  
386 hindrance protecting the reduced metal site from deleterious hydride formation<sup>85</sup>. Chalkley  
387 et al. were also able to show that the  $\text{pK}_a$  value of the proton source has a significant impact  
388 on the selectivity of the catalyst, with an intermediate  $\text{pK}_a$  value providing the most  
389 favourable percentage yield of ammonia for their  $\text{P}_3\text{BFe}^+$  catalyst, as shown in figure 4 (b)<sup>94</sup>.  
390 Ashley and co-workers also noted a dependence of the efficiency of their catalyst,  
391  $\text{Fe}(\text{N}_2)(\text{depe})_2$  ( $\text{depe} = \text{Et}_2\text{PCH}_2\text{CH}_2\text{PEt}_2$ ), on the acidity of their proton donor, showing that a  
392 moderate level of acidity yielded the best result. Interestingly, this catalyst is the only  
393 homogeneous system capable of selectively reducing  $\text{N}_2$  to  $\text{N}_2\text{H}_4$ <sup>95,96</sup>.

394  
395 Nishibayashi and co-workers report the remarkable effect of using a coordinating proton  
396 source and single-electron reducing agent, achieving markedly improved performance of  
397 the same catalyst by using  $\text{SmI}_2$  ( $U = -1.9$  vs RHE (see supporting information)) and ethylene  
398 glycol or water compared to their earlier reported use of  $\text{CoCp}^*_2$  and  $[\text{CoH}]\text{OTf}$ <sup>31,97</sup>. This  
399 system was shown to have 91% selectivity towards ammonia, and has the highest turnover  
400 of any other homogeneous catalyst considered<sup>31</sup>. It even outperforms some solid electrodes  
401 in terms of stability (figure 1 b-d). When water coordinates with  $\text{SmI}_2$  to form  $[\text{Sm}(\text{H}_2\text{O})_n]^{2+}$ ,  
402 it lowers its  $\text{pK}_a$  value. The O-H bond dissociation free energy in free water is  $464.4$   $\text{kJ mol}^{-1}$ ,  
403 whereas, upon coordination to  $\text{SmI}_2$ , it drops to around  $133.9$   $\text{kJ mol}^{-1}$ , with a  $\text{pK}_a$  of around  
404 3.3 in water<sup>98</sup>. This could push the  $\text{pK}_a$  to the more favourable region, as shown in figure 4b.  
405 However, more thorough theoretical studies are required to confirm this hypothesis as it  
406 depends on whether  $\text{H}^+$  or hydrogen atom transfer is mechanistically required for the  
407 catalysts in question.

408  
409 In addition, the size and solubility of the proton source was shown to affect selectivity.  
410 Mössbauer and EPR spectroscopy results considering the Peters  $\text{P}_3\text{BFe}^+$  catalyst revealed that  
411 the protonation rate was slow when using  $[\text{Ph}_2\text{NH}_2][\text{OTf}]$ , the acid which afforded the best  
412 selectivity. When the proton source was replaced with a more soluble acid,  $[\text{Ph}_2\text{NH}_2][\text{BAr}^{\text{F}}_4]$ ,  
413 the percentage yield decreased<sup>85</sup>. As for proton source size, research has shown that bulky  
414 acids can prevent the formation of metal hydrides and suppress competing hydrogen  
415 production. Examples of such bulky acids,  $[\text{LutH}]^+$  or  $[\text{Ph}_2\text{NH}_2]$  (2,2'- diphenylamine), have  
416 been shown to successfully limit the access of protons to the active metals. Arashiba et al.  
417 also observed a strong influence on the catalytic activity of Mo complexes bearing PNP ligands  
418 depending on the counter-anion of the lutidinium salts acting as proton sources. They  
419 observed that when utilizing tetraarylborate ( $[\text{LutH}]\text{BAr}_4$ ,  $\text{Ar} = 3,5\text{-}(\text{CF}_3)_2\text{C}_6\text{H}_3$ ) or chloride  
420 ( $[\text{LutH}]\text{Cl}$ ) the yield of ammonia dramatically decreased. Only by using triflate ( $[\text{LutH}]\text{OTf}$ ,  $\text{OTf}$   
421 =  $\text{OSO}_2\text{CF}_3$ ) can the catalytic reduction of nitrogen be achieved. This was attributed to the  
422 lower coordination ability of OTf to Mo atoms and therefore a more feasible regeneration of

423 the dinitrogen complex under catalytic conditions<sup>78</sup>. Furthermore, an increased quantity of  
424 proton source also lowers the selectivity of the process<sup>99</sup>.

425

426 All these findings echo the original results of Yandulov and Schrock<sup>19</sup>, suggesting that  
427 controlled protonation is critical for increased selectivity, similar to the relationships seen in  
428 nitrogenase<sup>43,44</sup>. However, there is more information available regarding homogeneous  
429 catalysis, perhaps due to the increased ease with which the molecular species can be  
430 interrogated using a variety of spectroscopic techniques, and readily structurally elucidated  
431 using single-crystal X-ray diffraction. This is one of the key benefits of homogeneous catalysis.

432

### 433 [H2] Reaction pathways

434 The ability to interrogate molecular species also allows reaction pathways to be more easily  
435 elucidated across homogeneous catalysts. The Schrock cycle, shown in figures 4a and 2,  
436 occurs via the stepwise protonation and reduction of the bound dinitrogen at the  
437 molybdenum centre<sup>19,100</sup>. Here the dinitrogen triple bond is not broken until the first NH<sub>3</sub>  
438 molecule is released, making it an associative nitrogen reduction scheme. It is likely that the  
439 Peters P<sub>3</sub>BFe<sup>+</sup> catalyst proceeds via a similar associative nitrogen reduction scheme due to the  
440 observation of a kinetically stabilised Fe-NNH diazenido species via EPR spectroscopy<sup>90</sup>.

441

442 Molybdenum based catalysts bridged by dinitrogen bearing pincer ligands, such as the PNP  
443 or PCP ligands used by Nishibayashi and coworkers<sup>31,79</sup>, follow different paths depending on  
444 the method of generating a molybdenum nitride intermediate complex<sup>100</sup>. For some  
445 complexes, protonation of the terminal dinitrogen not involved in bridging the complex  
446 results in ammonia generation. For others, it is more likely that the bridging dinitrogen itself  
447 is cleaved to afford two separate complexes, which then generate ammonia as per the  
448 scheme shown in figure 2<sup>100</sup>. It is likely that the dinitrogen bridged MoX<sub>3</sub>(PNP) based catalysts  
449 pioneered by Nishibayashi and coworkers and (Figures 1 b-d) proceed via direct dinitrogen  
450 triple bond cleavage when using CoCp\*<sub>2</sub> and [CoH]OTf (combined U = -1.3 V vs RHE, see  
451 Supporting Information) as reductant and proton source respectively<sup>97</sup>. However, the  
452 dinitrogen bridged MoCl<sub>3</sub>(PNP) based complex is likely to proceed via protonation of the  
453 terminal dinitrogen when using CoCp<sub>2</sub> and [LutH]Otf<sup>78</sup> (combined U = 0.91 V vs RHE,  
454 supplementary). It is likely that the MoCl<sub>3</sub>(PCP) based dinitrogen bridged complex proceeds  
455 via direct dinitrogen cleavage when using a combination of Sml<sub>2</sub> and water as reductant and  
456 proton source<sup>31</sup>. Since dinitrogen cleavage is energetically expensive, it makes sense that  
457 those systems following the direct N<sub>2</sub> cleavage pathway require a stronger reducing agent  
458 and proton source combination. Indeed, Ashida et al. note a relatively high overpotential for  
459 their MoCl<sub>3</sub>(PCP) based dinitrogen bridged complex using Sml<sub>2</sub> and water<sup>31</sup>. However,  
460 following the direct N<sub>2</sub> cleavage pathway usually results in increased ammonia yields<sup>100</sup>.

461

462 While the Schrock cycle operates via the stepwise addition of protons or electrons<sup>19</sup>, there is  
463 some evidence that some reactions may proceed at least partially by PCET. For the  
464 Nishibayashi catalyst operating using Sml<sub>2</sub> and ethylene glycol, there is evidence for at least  
465 the first N-H bond to form via PCET<sup>100</sup>. Chalkley and Peters note that the first N-H bond is  
466 likely to be the most energetically difficult of all protonation steps since it has a very low bond  
467 dissociation free energy<sup>90</sup>. Chalkley et al. also note that using milder reducing agents and proton  
468 sources can allow for higher effective bond dissociation energies, which in turn makes PCET  
469 more favourable<sup>85</sup>. In general, PCET is a less energy intensive process than the stepwise

470 addition of protons and electrons observed by Yandulov and Schrock<sup>19,100</sup>. Further studies are  
471 required to elucidate exactly which method of proton and electron transfer to the active site  
472 is the most favourable for nitrogen reduction.

473

## 474 [H2] Steric protection

475 The performance of a nitrogen reduction catalyst is highly dependent on its coordination  
476 sphere. The steric bulk of the ligands can determine the nature of the N<sub>2</sub> coordination to the  
477 metallic moieties (end-on or side-on)<sup>101</sup> and electronic effects can alter the catalytic activity  
478 of the complex<sup>1</sup>. Utilizing bulky ligands is desirable to protect the active centre against  
479 poisoning from hydrogen as well as for stabilization of the reaction intermediates<sup>102</sup>. Weare  
480 et al. observed that, upon protonation of a Mo-dinitrogen complex with trisamidoamine  
481 ligands via proton coupled electron transfer, dihydrogen was formed in the absence of a  
482 sufficiently sterically bulky ligand<sup>103</sup>. Phosphine-based pincer ligands are some of the most  
483 utilized due to their steric bulk, molecular versatility, and possibility of conforming  
484 supramolecular assemblies via hydrogen bonding<sup>104</sup>. These supramolecular assemblies can be  
485 used for tailoring the molecular complex distortion, similar to the dynamic structure of  
486 nitrogenase, which can alter the selectivity of the complex to nitrogen reduction<sup>105</sup>. Previous  
487 studies based on hydrogen evolution catalysts focussed on the effect of changing phosphine  
488 substituents, and confirmed that more bulky substituents on phosphorus atoms result in  
489 larger tetrahedral distortions and a higher hydride affinity<sup>106,107</sup>. Nishibayashi and coworkers  
490 confirmed the favourable effect of PNP ligands in the catalytic activity of Mo-N<sub>2</sub> complexes  
491 versus strongly-binding monodentate ligands<sup>31,108</sup>. The electronic versatility of the ligands is  
492 also relevant, owing to the stabilization of different oxidation states of the active centre  
493 during catalysis. In addition, strong donor groups such as carbenes<sup>109</sup>, amides<sup>92</sup> or phosphines  
494 are necessary to induce pi-back-bonding to the N<sub>2</sub> from the metal, promoting its activation.  
495 The steric bulk of PNP pincer ligands has also been shown to stabilize the singlet ground state  
496 through a robust N → metal π-donation due to the square-planar coordination geometry,  
497 while the utilization of disilylamido ligands results in an intermediate spin ground state owing  
498 to a weaker π-donation<sup>110</sup>.

499

500 The stability of the catalyst induced by the coordination sphere determines the overall  
501 performance; strong donor ligands which afford robust metal-ligand bonds improve the  
502 stability as well as increasing selectivity by steric protection, although most turnover numbers  
503 are often still low in comparison to the lithium mediated system or nitrogenase (figure 1d).

504

## 505 [H1] Challenges in aqueous electrolytes

506 Considering the wealth of knowledge available in aqueous electrochemistry, it would be  
507 convenient to carry out nitrogen reduction over a solid electrode in an aqueous electrolyte.  
508 However, there are substantial roadblocks to this goal. Recent work highlights the similarities  
509 between problems faced in CO<sub>2</sub> and N<sub>2</sub> reduction in aqueous electrolytes, namely the  
510 competition with the hydrogen evolution reaction and operation at a high overpotential<sup>111</sup>.  
511 Interestingly, nitrogenase can reduce both CO<sub>2</sub> and N<sub>2</sub>, highlighting nitrogenase's unique  
512 ability to circumvent hydrogen evolution and the potential similarity between the two  
513 reduction reactions. A prerequisite for both CO<sub>2</sub> and N<sub>2</sub> reduction catalysts are a catalyst  
514 which preferentially adsorbs the molecule of interest (\*CO for products beyond CO<sub>(g)</sub> from  
515 CO<sub>2</sub> and \*N<sub>2</sub> for ammonia production, respectively) over \*H to enable a reduction reaction to  
516 compete with hydrogen evolution in aqueous media<sup>111</sup>.

517

## 518 [H2] Scaling relations

519 \*H coverage is a significant problem faced by catalysts for both CO<sub>2</sub> and N<sub>2</sub> reduction<sup>46,47</sup>,  
520 shown schematically in figure 5a. For nitrogen reduction catalysts, this occurs as a result of  
521 unfavourable scaling between \*N<sub>2</sub> and \*H binding energies, also meaning that typical  
522 selectivities towards ammonia in aqueous electrolytes are negligible<sup>11,112</sup>. Indeed, catalysts  
523 able to bind N<sub>2</sub>, including the catalytic cofactors of the three nitrogenase variants, under  
524 ambient conditions in aqueous electrolytes will always preferentially adsorb hydrogen<sup>111</sup>, as  
525 shown in figure 5b. In this plot, catalysts below the horizontal line have favourable N<sub>2</sub>  
526 adsorption thermodynamics, and those to the right of the vertical line do not bind strongly to  
527 \*H. Pristine nitrogenase is exactly at the vertical line and so does not preferentially bind \*N<sub>2</sub>.  
528 Thus, pristine nitrogenase behaves as hydrogenase, whereas removing the bridging sulfur  
529 allows for an activated nitrogenase which can bind and catalyse \*N<sub>2</sub>. Notably, catalysts below  
530 the horizontal line are also in the lower left quadrant, meaning that they also bind strongly to  
531 \*H and function as HER catalysts<sup>111</sup>.

532

533 A second scaling relation must also be considered which also affects catalysts able to  
534 circumvent the hydrogen evolution problem. Figure 5c shows potential reaction pathways for  
535 the associative nitrogen reduction mechanism, believed to be the most likely mechanism  
536 under ambient conditions, across Au(211) and Re(111), where the ideal catalyst is one that  
537 remains as close as possible to zero change in free energy. Both pathways encounter relatively  
538 severe uphill reaction steps, a problem which nitrogenase avoids (figure 3 d-f) and allows it  
539 to operate at a mild overpotential. From these free energy diagrams, the limiting potential,  
540 U<sub>L</sub>, can be obtained, defined as the minimum potential required to make every step in the  
541 mechanism downhill in energy<sup>113</sup>. Here, the scaling relation between key nitrogen reduction  
542 intermediates, specifically \*N<sub>2</sub>H and \*NH, severely limits the energy efficiency of  
543 electrochemical ammonia synthesis, as shown in figure 5d. This scaling fixes the minimum  
544 limiting potential for ammonia synthesis via the associative pathway at approximately -0.5 V  
545 vs RHE<sup>113</sup>, similar to the limiting potential for nitrogenase (approximately -0.8 V vs RHE,  
546 suggesting that it is likely to follow an associative reduction pathway)<sup>114</sup>. Such a limit on  
547 potential efficiency is problematic for ammonia for use as a fuel<sup>9</sup>. In this case, a catalyst which  
548 reduces nitrogen along the dissociative pathway, such as lithium, may provide a better  
549 solution. However, here the potential limiting step is Li<sup>+</sup> reduction which fixes the potential  
550 at even more negative values (figure 1 b-d).

551

## 552 [H3] Is there a material that can circumvent HER and break scaling relations?

553 Given the limitations imposed on overpotential and selectivity by scaling relations between  
554 nitrogen reduction intermediates and hydrogen, there has been a considerable amount of  
555 interest in finding a new electrocatalyst which can improve scaling relations. In particular,  
556 theoretical calculations have suggested that early transition metals<sup>47</sup>, transition metal nitrides  
557 <sup>115-120</sup> and dual atom catalysts<sup>121,122</sup> could work as nitrogen reduction reaction catalysts at  
558 ambient conditions.

559

560 Transition metal electro-catalysts were studied for N<sub>2</sub> reduction through Density Functional  
561 Theory (DFT) calculations, simulating both the associative and dissociative mechanisms by  
562 Nørskov and co-workers. Though the mid to late transition metals such as Mo, Fe, Rh and Ru  
563 exhibit the most optimal binding to nitrogen, they have a high affinity for hydrogen atoms

564 which lowers their Faradaic efficiency towards  $\text{NH}_3$  production. Early transition metals, such  
565 as Sc, Y, Ti or Zr, however, were suggested to exhibit higher selectivity towards N-adsorbates  
566 and could therefore produce higher ammonia quantities at an applied bias between  $-1.0$  and  
567  $-1.5$  V vs SHE via the dissociative mechanism, as highlighted in figure 2<sup>47</sup>.

568  
569 Transition metal nitrides were studied theoretically by Skulason and coworkers, who  
570 highlighted that nitrogen reduction could proceed via a Mars-van Krevelen mechanism,  
571 where lattice nitrogen atoms are protonated to ammonia, as shown in figure 2. Once this  
572 ammonia molecule has been released, the resulting nitrogen vacancy is filled by a new  
573 nitrogen atom, which can then also be protonated to ammonia and complete the cycle. This  
574 mechanism improves the scaling between adsorbates and requires a much lower  
575 overpotential for nitrogen reduction than conventional associative or dissociative  
576 mechanisms<sup>116</sup>. Indeed,  $\text{N}_2$  adsorption and subsequent reduction to  $^*\text{N}_2\text{H}$  is strongly  
577 facilitated relative to  $^*\text{H}$  adsorption by at least 1 V, according to DFT calculations<sup>47</sup>.

578  
579 Moving away from a continuous distribution of active sites, dual atom catalysts, surrounded  
580 by electron donor heteroatoms, have been theorised as promising candidates for nitrogen  
581 reduction<sup>121</sup>. Such systems mimic nitrogenase, and could result in decreased overpotential  
582 and dissociation barriers for  $\text{N}_2$ <sup>122</sup>. Nørskov and co-workers showed that isolated metal  
583 atoms, such as Re dimer single atoms within Cu (211), forced a singly coordinated dissociative  
584 adsorption of N atoms, breaking the transition-state scaling relation<sup>122</sup>. The removal and  
585 return of  $\text{H}_2\text{S}$  groups in nitrogenase which allows the enzyme to break free from unfavourable  
586 scaling between  $\text{H}^*$  and  $\text{N}^*$  binding energies (figure 3d-f)<sup>37</sup> which could be emulated using  
587 dual atom catalysts through potential modulation.

588  
589 However, despite promising theoretical motivation<sup>123–125</sup>, the practical employment of the  
590 these three paradigms in aqueous electrolytes have been unsuccessful. Any putative reports  
591 of  $\text{N}_2$  reduction on transition metal nitride surfaces have later been debunked<sup>126,127</sup>. The key  
592 reason for this discrepancy may be that the theoretically predicted nitride surfaces are highly  
593 challenging, if not impossible, to realise experimentally. Transition metal nitrides are unstable  
594 towards bulk oxide formation<sup>128</sup>. Once a 3D oxide has been formed, it is likely to be poorly  
595 conducting and difficult to remove. X-ray photoelectron spectroscopy (XPS) measurements  
596 showed that a freshly deposited MoN film prepared by reactive sputtering had a significant  
597 surface oxygen content of 28%, which increased to 50% after a week of air exposure<sup>128</sup>. Since  
598 XPS is a highly surface sensitive technique, this is likely to mean that the surface is a pure  
599 oxide. X-ray Diffraction (XRD) and XPS data from Simonov and coworkers also reveals the  
600 presence of oxide species in their VN and NbN films, which they were unable to remove<sup>127</sup>.  
601 Studies on the suitability of early transition metal nitrides for the oxygen reduction reaction  
602 show that transition metal nitrides bind too strongly to oxygen, resulting in a lack of oxygen  
603 dissociation<sup>129</sup>. Pure early transition metals suffer from the same strong binding to oxygen<sup>130</sup>.  
604 For dual atom catalysts, the isolated metal sites upon which they depend have a significant  
605 driving force to be reduced and agglomerated into clusters or nanoparticles under reducing  
606 conditions<sup>124</sup>. Mougel and co-workers observed that Cu single atoms supported in an N-doped  
607 carbon material were reduced to Cu nanoparticles upon application of a cathodic voltage  
608 under  $\text{CO}_2$  reduction conditions<sup>131</sup>. Other metal dopants are more stable. For instance, Gu et  
609 al. observed that  $\text{Fe}^{3+}$  single atoms with pyrrolic coordination were stable at potentials  
610 positive of  $-0.5$  V vs RHE; at more negative potentials they reduced to  $\text{Fe}^{2+}$ <sup>132</sup>.

611

## 612 [H2] The end for aqueous nitrogen reduction?

613 Nitrogen reduction is therefore exceptionally challenging to catalyse in ambient conditions.  
614 In aqueous media, deleterious competition with the HER causes electrode poisoning and  
615 deactivation<sup>47</sup>, with negligible selectivities<sup>112</sup> and the literature is saturated with false reports  
616 due to contamination, as previously discussed<sup>16,20,112,133</sup>. The catalytic reaction is hindered by  
617 fundamental scaling between intermediates, forcing the overpotential to unfavourable  
618 regions<sup>113</sup>. Promising catalysts revealed by DFT prove extremely difficult to realise under  
619 experimental conditions<sup>126–128</sup>, and other reports fail to rigorously account for background  
620 contamination sources, rendering the results inconclusive<sup>14,20</sup>. The requirement of a highly  
621 reactive catalyst to break the dinitrogen triple bond<sup>134</sup> causes experimental difficulties since  
622 the highly reactive surfaces are unstable, likely to form oxides and suffer from active site  
623 poisoning. If it were not for the existence of nitrogenase, efficient N<sub>2</sub> reduction would seem  
624 impossible under ambient conditions.

625

## 626 [H1] Translating insight across fields

627 A solution to the problem of aqueous electrolytes for solid electrodes could be found by  
628 lowering the chemical potential of water or protons, which may destabilise the binding of  
629 H\*<sup>111</sup>. This would suppress the HER and promote nitrogen reduction, as shown in figure 5b,  
630 allowing promising catalysts such as Ru or Fe to function with improved efficiency<sup>111</sup>. This is  
631 analogous to nitrogenase and homogeneous catalysis, where greater selectivity towards  
632 nitrogen reduction can be achieved by restricting the access of protons to the catalytic active  
633 site.

634

## 635 [H2] Microkinetic modelling

636 The microkinetic model of Singh et al. reveals that the rate equations for nitrogen and  
637 hydrogen adsorption at the potential required for nitrogen reduction can be written as

638

$$r_N = k_N \theta_N \widetilde{c}_+ \widetilde{c}_- \rightarrow k_N \frac{K_N}{K_H} \widetilde{c}_{N_2}, \quad 2$$

$$r_H = k_H \theta_H \widetilde{c}_+ \widetilde{c}_- \rightarrow k_H \widetilde{c}_+ \widetilde{c}_-, \quad 3$$

639 where  $K_N$  and  $K_H$  are the equilibrium constants for associative nitrogen and hydrogen  
640 adsorption, respectively. The concentrations of protons, electrons and dinitrogen near the  
641 electrode surface are written  $\widetilde{c}_+$ ,  $\widetilde{c}_-$  and  $\widetilde{c}_{N_2}$ , and  $k_N$  and  $k_H$  are the respective forward rate  
642 constants<sup>11</sup>. Therefore, selectivity towards nitrogen reduction is negligible in aqueous  
643 electrolytes due to the increased proton activity. However, at high overpotentials on strongly  
644 binding catalysts, tuning the proton concentration can lead to sizable changes in selectivity.  
645 At high overpotentials the system is governed by surface coverage, which, as shown in  
646 equations 2 and 3, is first order in proton concentration for hydrogen adsorption but zeroth  
647 order in proton concentration for nitrogen adsorption. Therefore, Singh et al proposed the  
648 three necessary reaction conditions for a *selective* catalyst are (i) a strongly binding catalyst,  
649 (ii) a large overpotential and (iii) a non-aqueous electrolyte with reduced proton activity<sup>134</sup>.  
650 However, we emphasise that, while reducing the proton concentration will increase  
651 *selectivity*, decreasing it may come at the cost of *activity*. If you had an ultra-selective

652 electrochemical device but with very little activity, it would be prohibitively expensive to  
653 produce any ammonia. A moderate proton concentration at the electrochemical interface  
654 would allow for a balance of improved selectivity and reasonable activity<sup>135</sup>.

655

## 656 [H2] Lithium-mediated nitrogen reduction

657 The only electrochemical system to date that has been rigorously verified as an ammonia  
658 producer is that pioneered by Tsuneto et al. in their 1993 and 1994 papers<sup>20,22,23</sup>, shown  
659 schematically in figure 6. The secret to the success of this system could be the restriction of  
660 protons to the electrode surface by the growth of a passivating layer, known as the Solid  
661 Electrolyte Interphase (SEI). Indeed, a common thread between successful biological and  
662 homogeneous catalytic systems for nitrogen reduction is the restriction of access of protons  
663 to the catalytically active site. As discussed in the previous section, steric protection of the  
664 active site in homogeneous systems leads to better catalyst performance<sup>19,78</sup>, as does the use  
665 of weak, bulky proton donors<sup>19</sup>. The FeMo-co utilises sulfur atoms to provide a degree of  
666 steric protection to the active site<sup>37,136</sup>. It is also clear that the hydrophobic and anhydrous  
667 environment surrounding the FeMo-co and controlled protonation via a proton wire is key to  
668 nitrogenase's selectivity<sup>43,44</sup>.

669

670 In the lithium mediated system, the electrolyte is made up of an organic solvent, a proton  
671 source and a lithium salt<sup>20,22,23,29,30,137-143</sup>. Tsuneto et al. noted that the choice of electrode  
672 material resulted in differing activity towards nitrogen reduction, evaluating mechanically  
673 polished polycrystalline metal samples such as Ti, Ag and Mo, stating that this was due to how  
674 readily the electrode metal forms an alloy with lithium and emphasising the necessity for the  
675 availability of fresh lithium<sup>23</sup>. In Tsuneto et al's experiments the electrode material served as  
676 a current collector, which may influence the morphology of the lithium containing deposits  
677 formed in situ, but the N<sub>2</sub> fixation itself would occur on the lithium surface. The mechanical  
678 polishing and air transfer that Tsuneto et al would have employed on surfaces such as Fe or  
679 Ti would result in the formation of passivating oxides (see Section 4a), which would not have  
680 reduced under reaction conditions. The in-situ deposited lithium would not be covered by  
681 native oxides, which could explain its greater reactivity towards N<sub>2</sub>.

682

683 The fact that lithium metal has the ability to spontaneously split the highly stable dinitrogen  
684 bond at ambient temperature and pressure, which can be rate limiting in other systems<sup>139</sup>,  
685 certainly aids the reaction. However, there are other metals which can dissociate dinitrogen  
686 in the same way, such as early transition metals<sup>47</sup>. It is also important to note from Figure 5b  
687 that Li containing electrodes including Li<sub>3</sub>N, LiN<sub>3</sub>, and Li, hypothesised as the active surface  
688 for lithium mediated nitrogen reduction by Schwalbe et al.<sup>142</sup>, bind \*H much more strongly  
689 than they bind \*N<sub>2</sub>. These surfaces will therefore intrinsically favour H<sub>2</sub> evolution<sup>111</sup>. Still,  
690 Tsuneto et al.'s work implies that the presence of a lithium salt is critical for efficient ammonia  
691 synthesis, as well as the availability of fresh lithium<sup>22,23</sup>. Hence understanding the unique  
692 ability of lithium to drive N<sub>2</sub> electrochemical reduction requires us to go beyond merely  
693 considering the properties of the electrode<sup>135</sup>. The uniqueness of the lithium could lie in its  
694 properties as a solvated cation, as observed in battery science.

695

696 The energy output of a Lithium-Ion Battery (LIB) is defined by its open-circuit voltage, which  
697 is equal to the difference between the electrochemical potentials of the anode and cathode.  
698 In order to avoid runaway electrolyte decomposition, which would compromise battery

699 stability, this voltage must lie within the voltage window of the electrolyte, which is defined  
700 as the difference between the Highest Occupied Molecular Orbital (HOMO) and Lowest  
701 Unoccupied Molecular Orbital (LUMO) of the electrolyte<sup>144–146</sup>. However, most anodes and  
702 cathodes used in LIBs are highly reactive, meaning that these requirements for  
703 thermodynamic stability are not met. Yet, battery systems achieve kinetic stability through  
704 the growth of a passivating layer on the electrode surface, known as the Solid-Electrolyte  
705 Interphase (SEI)<sup>147</sup>, first observed in 1979 by E. Peled<sup>148</sup>. The SEI consists of an inorganic layer  
706 close to the electrode surface and a thicker polymeric layer at the interface with the  
707 electrolyte<sup>149–151</sup>. This SEI is formed from the decomposition products of the organic  
708 electrolyte and lithium salt and is dependent on the electrode work function; Ir(111)  
709 electrodes catalyse SEI formation at much lower overpotentials than Cu(111)<sup>152</sup>. In particular,  
710 lithium cations are the most effective at forming the SEI, because of their high Lewis acidity  
711 enabling the efficient abstraction of protons through a strong affinity to proton donor  
712 anions<sup>153</sup>. SEI stability is critical to LIB performance; if the SEI cracks or dissolves, runaway  
713 electrolyte decomposition will occur, limiting battery lifetime<sup>151</sup>. SEI aging and continued  
714 growth, particularly via deposition of degradation products from the cathode, can also  
715 increase electrode impedance and loss of lithium inventory<sup>154</sup>. Ideally, the SEI should be  
716 homogeneous, thin, electrically insulating but conductive to Li<sup>+</sup> ions and mechanically strong  
717 in order to ensure its stability<sup>155</sup>.

718  
719 Since the lithium mediated nitrogen reduction system in question employs an organic  
720 electrolyte and lithium salt, an SEI will form<sup>30,142</sup>. The SEI could restrict the access of protons  
721 to the surface of the electrode, limiting the problem of electrode poisoning in aqueous  
722 electrolytes<sup>11,47,113,134</sup>, and mimic the anhydrous, aprotic environment which allows for the  
723 success of the nitrogenase enzyme<sup>43</sup>. Krishnamurty et al.'s work using a machine learning  
724 approach to examine potential proton donors for lithium mediated nitrogen reduction found  
725 that proton donors with intermediate pKa perform best<sup>156</sup>. This is in analogy to the  
726 dependence of activity on pKa for nitrogenase and homogeneous catalysts, as shown in figure  
727 4b. Tsuneto et al. investigated the effect of using a different salt cation in their system, noting  
728 that the use of Na<sup>+</sup> or Bu<sub>4</sub>N<sup>+</sup> resulted in a negligible ammonia yield<sup>23</sup>. The burgeoning field of  
729 sodium ion battery research is hampered by the lack of a functioning SEI; the sodium based  
730 SEI components are far more soluble than their lithium based counterparts<sup>157</sup>. The presence  
731 of fluorine could also be useful. In electrolytes containing HF contaminants, this has been  
732 shown to aid the formation of a layer of LiF which passivates the electrode surface and inhibits  
733 hydrogen evolution<sup>152,158</sup>, which could be aiding the efficiency of electrolytes containing  
734 LiBF<sub>4</sub><sup>29,139–141</sup>. It is also interesting to note that recent work by Li et al. has shown improved  
735 stability and efficiency by including small quantities of O<sub>2</sub> in their inlet gas stream, while bulk  
736 amounts decrease faradaic efficiency<sup>137</sup>. This is a counterintuitive result, given that any O<sub>2</sub>  
737 inclusion results in catastrophic efficiency loss for nitrogenase<sup>68</sup>. The authors attribute this  
738 improved efficiency and stability to the influence of the oxygen on SEI homogeneity, reduced  
739 Li<sup>+</sup> diffusivity and content, with an increase in inorganic species such as Li<sub>2</sub>O as evidenced by  
740 XRD. The reduction in Li<sup>+</sup> diffusivity would result in fewer electrons being used up in Li plating  
741 rather than in nitrogen reduction, increasing the faradaic efficiency. The increased SEI  
742 homogeneity and inorganic content would result in a more stable, less electronically resistive  
743 SEI<sup>137</sup>.

744



745 To fully understand the impact of the SEI on nitrogen reduction, a thorough characterisation  
746 of the SEI components is required. However, SEI characterisation is notoriously difficult. Not  
747 only are SEI components highly air and water sensitive<sup>149</sup>, making it difficult to transport  
748 samples to ex-situ characterisation equipment, but many ex-situ characterisation techniques  
749 require washing stages during sample preparation which can remove weakly bound species.  
750 For example, a comparison of in-situ neutron reflectometry and ex-situ X-ray Photoelectron  
751 Spectroscopy (XPS) data reveals discrepancies likely due to the removal of SEI components  
752 during XPS sample preparation<sup>159</sup>. Some in-situ techniques, such as Electrochemical Atomic  
753 Force Microscopy (EC-AFM)<sup>155</sup>, operando Fourier Transform Infrared (FTIR) spectroscopy<sup>160</sup>  
754 and Raman spectroscopy<sup>161</sup> have been successful in battery systems. A particularly useful side  
755 effect of SEI formation is gas evolution, which can be used to identify SEI components and  
756 formation mechanisms. To the authors' knowledge, there has been little attempt in the  
757 literature to carefully characterise the SEI layer formed during lithium mediated nitrogen  
758 reduction or a full investigation into its effect on ammonia synthesis. Indeed, the properties  
759 of an ideal SEI for nitrogen reduction might differ significantly from those in Li ion batteries  
760 (which have been more extensively characterised), in part due to the desire to limit Li<sup>+</sup>  
761 diffusivity for the former<sup>137</sup> and enhance it for the latter. Thus, though much can be learned  
762 from the battery community, a new thinking for Li SEIs might be required for nitrogen  
763 reduction.

764  
765 While there has been a great amount of interest in the lithium mediated system, the exact  
766 mechanism by which dinitrogen is reduced to ammonia is still disputed. In the original work  
767 of Tsuneto et al., the authors suggested that fresh Li metal is required to split the highly  
768 energetic nitrogen triple bond, and the resulting Li nitride is then further protonated to  
769 ammonia by the proton donor, ethanol<sup>22,23</sup>. Lazouski et al. later proposed a microkinetic  
770 model to explain transport limitation effects in the system<sup>139</sup> and developed a non-aqueous  
771 gas diffusion electrode method to overcome this<sup>29</sup>. Chorkendorff and coworkers. have  
772 proposed a simple molecular model for ammonia synthesis in the lithium mediated system,  
773 proposing that balancing the concentrations of nitrogen and hydrogen relative to that of the  
774 lithium metal can lead to improved faradaic efficiencies<sup>30</sup>, which is further expanded upon in  
775 their more recent work<sup>137</sup>. Schwalbe et al. proposed a heterogeneous mechanism for nitrogen  
776 reduction across a surface made up of a combination of lithium, lithium nitride, lithium  
777 hydride and other mixed species<sup>142</sup>. A schematic of the current understanding of the system  
778 is shown in figure 6. However, the exact electrochemically active surface is unknown.

779  
780 Although the lithium mediated system has shown great promise, there are several drawbacks.  
781 Relying on fresh lithium electrodeposited in-situ requires operating at lithium plating  
782 potentials, which builds in a large intrinsic overpotential. This results in very low energy  
783 efficiencies<sup>29,30,137</sup>, further hindered by the highly resistive electrolyte<sup>29</sup>. There is also a well-  
784 documented problem with working electrode instability when using the Tsuneto electrolyte,  
785 where the potential drifts to more negative potentials with time. This limits the operating  
786 time of the system to a few hours under constant chronopotentiometry<sup>22,30</sup>. The use of a  
787 sacrificial proton donor is also problematic<sup>30,140</sup>, and only low current densities of under -10  
788 mA cm<sup>-2</sup> are generally possible<sup>20,30,139</sup>. Various optimisation strategies are highlighted in figure  
789 1(b-d). Andersen et al. devised a cycling method to improve stability<sup>30</sup>, and Suryanto et al.  
790 used a recyclable proton donor which improved efficiency and stability, as well addressing  
791 the problem of a sacrificial proton donor. However, it must be noted that the basic

792 environment used by Suryanto et al. results in an even more negative operating potential vs  
793 RHE<sup>140</sup>. Krishnamurthy et al. devised a machine learning framework to speed-up the discovery  
794 of other promising proton donors, showing that ethanol's intermediate pKa could be the  
795 reason for its success in lithium mediated nitrogen reduction<sup>156</sup>, and Lazouski et al recently  
796 provided an updated model to describe the effect on Faradaic efficiency of differential  
797 transport created by different proton donors<sup>162</sup>. Lazouski et al. have also investigated the  
798 issue of transport limitation by designing a novel gas diffusion electrode<sup>29</sup>. Li et al have  
799 increased the achievable current density through the use of a high surface area Cu electrode,  
800 achieving high ammonia production rates of  $46.0 \pm 6.8 \text{ nmol s}^{-1} \text{ cm}_{\text{geo}}^{-2}$ , but only moderate  
801 faradaic efficiencies of  $13.3 \pm 2.0 \%$  at 20 bar  $\text{N}_2$ <sup>138</sup>. The same authors also used  $\text{O}_2$  inclusion  
802 to achieve improved stability and efficiency, reaching  $78 \pm 1.3 \%$  at 20 bar  $\text{N}_2$ <sup>137</sup>. Recently, Du  
803 et al were able to achieve close to 100% Faradaic efficiency and stable operation by utilising  
804 a new salt,  $\text{LiNTf}_2$ , nickel wire electrode and operating at higher salt concentrations under 15  
805 bar  $\text{N}_2$ <sup>141</sup>. Despite these breakthroughs, figure 1(b-d) still shows that there is still a long way  
806 to go before the system is economically viable in comparison to the well optimised Haber  
807 Bosch process<sup>25</sup>. Indeed, even if there were no Ohmic or transport losses and 100% Faradaic  
808 efficiency, the maximum possible energy efficiency would still be low. Considering the lithium  
809 mediated system, which generally operates at -2.7 V vs RHE with an anodic potential of  
810 approximately +0 V vs RHE, and couple this to water oxidation, with a thermodynamic  
811 potential of 1.2 V, this would limit the maximum thermodynamic energy efficiency to 30%<sup>139</sup>.  
812 Therefore, its reliance on in-situ plated lithium greatly sets a hard limit to the maximum  
813 energy efficiency of the lithium mediated system.

814

## 815 [H2] Reactant availability

816 While the lithium mediated approach in organic electrolytes has received recent attention,  
817 there are other methods of reducing the proton activity to promote selectivity towards  
818 nitrogen reduction. One example is avoiding competition between the  $\text{N}_2$  reduction and  
819 hydrogen evolution reaction altogether by using a cycling method<sup>163-165</sup>. In general, the access  
820 of reactants to the catalytic centre in different systems determines the overall activity  
821 towards the formation of ammonia. Table 1 shows how the access of reactants to the catalytic  
822 surface affects selectivity and activity towards ammonia for three rigorously verified systems.

823

## 824 [H1] Conclusions

825 All approaches to nitrogen reduction considered in this review highlight that the control of  
826 the access of protons (and potentially electrons) to the active site is key to selectivity.  
827 Nitrogenase exemplifies this through its reliance on a protective environment to be able to  
828 reduce nitrogen rather than evolve hydrogen<sup>44</sup>. This theme is further built upon when  
829 considering homogeneous catalysis, with the existence of an optimum pKa value for the protic  
830 additive<sup>94</sup> and the requirement for a sufficiently bulky<sup>78</sup> and moderately soluble<sup>85</sup> acid. For  
831 solid electrodes, it is unlikely that electrocatalytic nitrogen reduction is possible in aqueous  
832 electrolytes at all due to extreme competition with hydrogen evolution<sup>19,47,113</sup>. However, by  
833 reducing the access to protons at the electrochemical interface, higher selectivities can be  
834 achieved<sup>11,134</sup>, although there may be a payoff between selectivity and activity<sup>135</sup>. The  
835 challenges of  $\text{N}_2$  fixation aqueous electrolytes has led to a greater interest in non-aqueous  
836 systems, in particular using a lithium mediated system<sup>20,29,135,139-142</sup>.

837

838 While bioinspired systems such as the molecular complexes designed by the  
839 Peters<sup>34,84,85,89,94,166</sup> and Nishibayashi<sup>31,78,81,82,97,167–170</sup> groups have achieved high yields and  
840 faradaic efficiencies, their systems suffer from low turnover numbers. The Peters  $P_3^BFe$  and  
841  $P_3^BCo$  catalysts were only able to complete around 13 and 2 turnovers respectively<sup>34</sup>, and one  
842 of the Nishibayashi Mo based catalysts produced ~92% of its total yield within the first 30  
843 minutes of an 18 hour long experiment<sup>31</sup>. The initial Schrock catalyst could only sustain 6  
844 catalytic turnovers before deactivation<sup>19</sup>. This lack of stability limits the applicability of such  
845 systems for large scale ammonia production. In addition, while nitrogenase functions  
846 effectively in biological systems, and there have been successful attempts to immobilise the  
847 MoFe protein on an electrode for bioelectrocatalysis<sup>171</sup>, its size reduces its capacity for  
848 industrial scale ammonia synthesis (Figure 1a). The most viable option to provide a  
849 distributed, carbon free method of ammonia synthesis is therefore electrocatalytic nitrogen  
850 reduction over a solid electrode. However, there is still much to be learned about the lithium  
851 mediated system. While there exists a wealth of characterisation literature for the SEI layer  
852 in LIBs, the SEI is yet to be satisfactorily characterised within the nitrogen reduction paradigm  
853 and may prove to have different requirements to that of LIBs. Given the knowledge from  
854 homogeneous and biological systems, it is likely that this SEI plays a key role in imbuing the  
855 system with a moderate proton activity to promote nitrogen reduction over hydrogen  
856 evolution. It is critical, therefore, that this SEI be characterised in the existing system, as well  
857 as considering insight from battery science to direct research towards beneficial SEI layer  
858 design. There is also much to learn about the active surface for nitrogen reduction in the  
859 lithium mediated system, as well as the mechanism by which the  $N_2$  reduction proceeds<sup>139,142</sup>.  
860 Hopefully, once our community has established the exact characteristics that enable the  
861 lithium-mediated system to be so unique, the field can move away from the use of fresh  
862 electroplated lithium and the hard limit on energy efficiency. Indeed, taking on board the lack  
863 of required immediate dissociation in nitrogenase or homogeneous electrocatalysis (see  
864 figure 2 and associated discussion)<sup>37,111,114</sup>, it appears unlikely that immediate  $N_2$  scission,  
865 and therefore the requirement of metallic lithium as an electrocatalyst, is a prerequisite for  
866 nitrogen reduction across a solid electrode. Instead, controlled protonation appears to be a  
867 greater driving factor for selectivity.

868

869 All new research must take place with careful consideration of the danger of adventitious  
870 contamination, with greater emphasis being placed on benchmarking background levels of  
871 ammonia and compulsory isotopic labelling experiments<sup>15</sup>. Care must also be taken to ensure  
872 the purity of the isotopically labelled inlet gas, since it often has a higher level of  
873 contamination<sup>21</sup>. Any papers proposing previously un-verified methods from any discipline  
874 that do not rigorously consider contamination must be discredited.

875

876 The interplay between these three systems (nitrogenase, homogeneous catalysis and solid  
877 electrode electrocatalysis) suggests that there is much to be gained from interdisciplinary  
878 communication. The success of non-aqueous electrolytes in solid electrode electrocatalysis  
879 could provide useful insight for homogeneous systems when considering the choice of proton  
880 donor. Moreover, insight into the factors controlling catalytic trends on solid electrodes could  
881 both explain the role of the reactivity of the transition metal centre in homogenous catalysts  
882 and enzymes. Similarly, learning how to tailor proton transport through the SEI in the lithium  
883 mediated system could inform us on the role of the anhydrous matrix in in nitrogenase. This  
884 review aims to highlight the key research themes across the three paradigms which will lead

885 to the greatest impact in understanding the fundamentals of nitrogen fixation across  
886 homogeneous catalysis, nitrogenase and solid electrode electrocatalysis.

887

888 We anticipate that scientific progress will lead to the discovery of other active materials  
889 beyond lithium, thereby increasing the energetic viability of electrochemical nitrogen  
890 reduction, breaking one of the most important bottlenecks in our transition to a net zero  
891 society.

892

## 893 [H1] References

894

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1382 the only method tested by Andersen et al. that passed their rigorous protocol.  
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1391 programme (grant agreement no. 866402). AB and JR thanks the Danish National Research  
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1394 **[H1] Competing Interests Statement**

1395 The authors declare no competing interest.

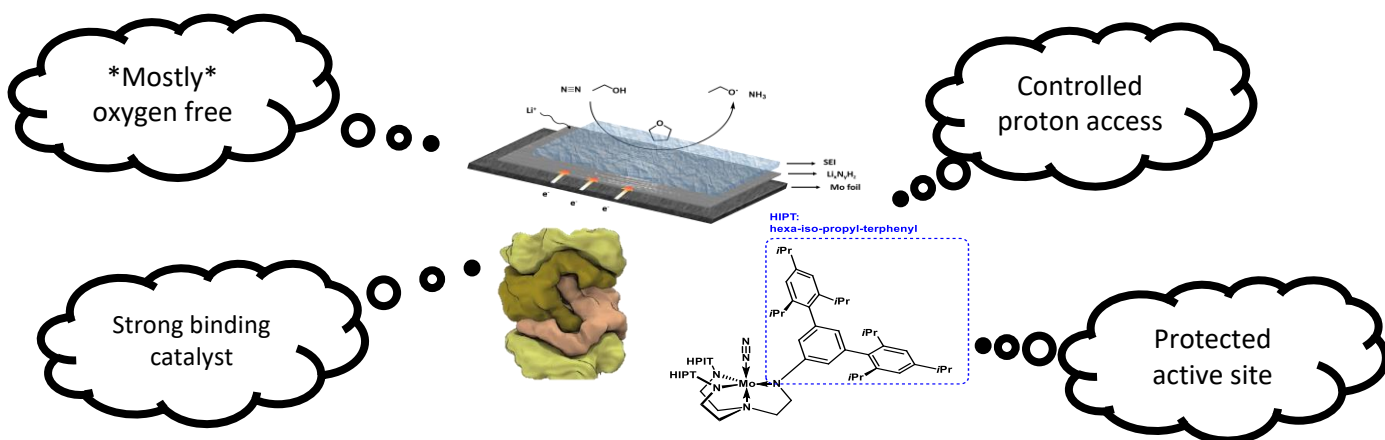
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1397 **[H1] Author contributions**

1398 All authors co-conceived the concept and constructed the structure for the article. O.W., J.B.  
1399 and I.E.L.S. researched data for the article and wrote the initial draft. A.A., A.F., A.B. and J.R.  
1400 contributed substantially to discussion of content. A.A., A.B., J.W.M., J.R., A.F., M.T. and R.J.  
1401 reviewed the manuscript before submission. O.W., J.B. and I.E.L.S. edited the final version  
1402 for submission.

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1404 **[H1] Graphical abstract suggestion**



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1407 **[H1] Publisher's note**

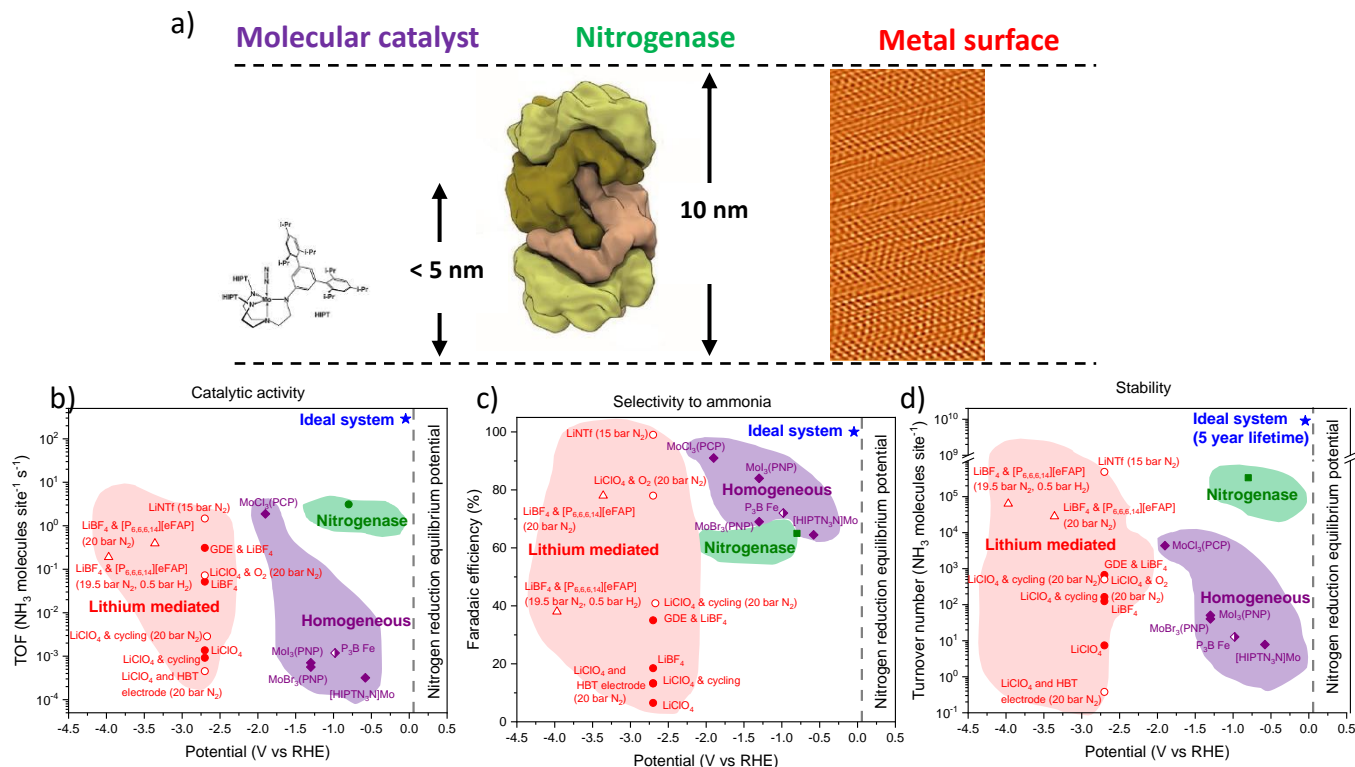
1408 Springer Nature remains neutral with regard to jurisdictional claims in published maps and  
1409 institutional affiliations.

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1411 **[H1] How to cite this article**

1412 Westhead, O. et al., Near ambient N<sub>2</sub> fixation on solid electrodes versus enzymes and  
1413 homogeneous catalysts, Nat. Rev. Chem. 4, xxxxx (2022).

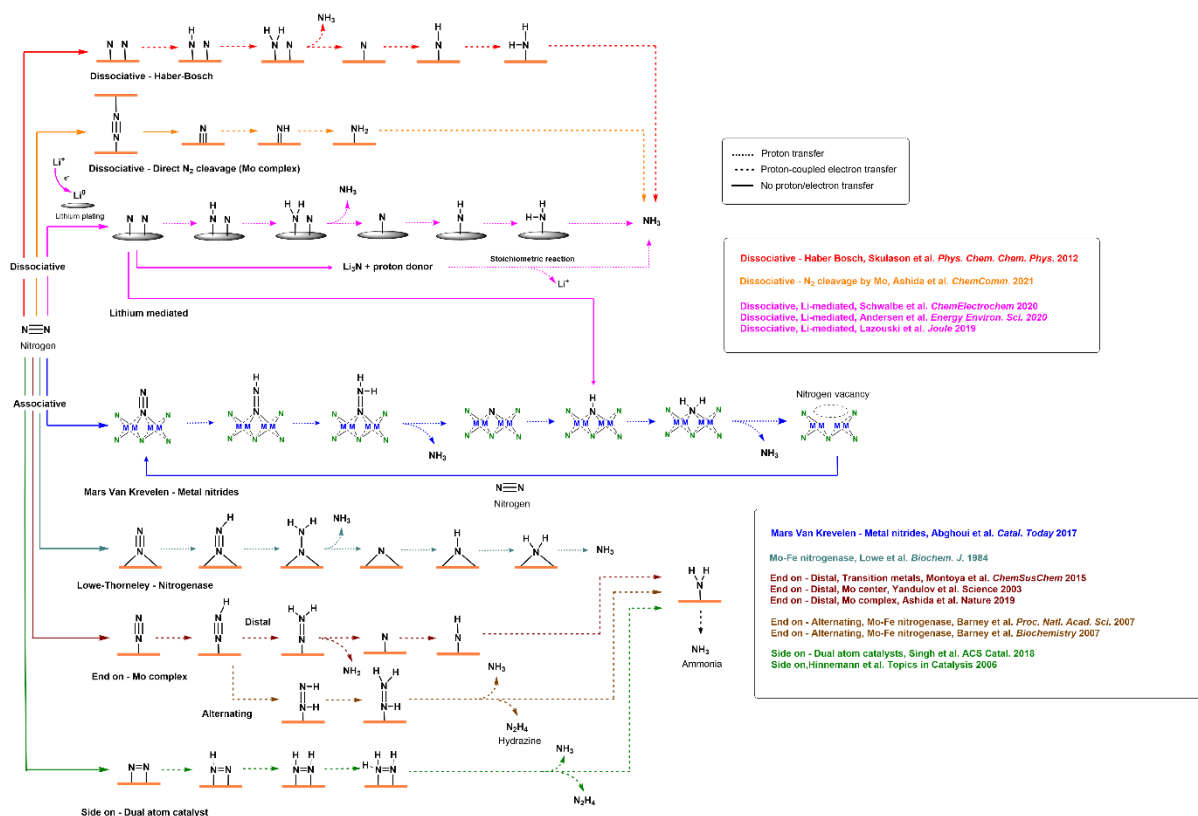
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 1416 **Figure 1. A comparison of molecular catalysts, nitrogenase and a metal surface** a) Image comparing  
 1417 the approximate footprint of the MoFe protein of the nitrogenase enzyme<sup>36,48</sup>, the Schrock catalyst<sup>19</sup>  
 1418 and a metallic Ru (001) surface measured by contact mode AFM<sup>172</sup>, with individually resolved atoms  
 1419 (approximately 10,000 active sites shown). Schrock catalyst image reproduced with permission from  
 1420 REF.<sup>19</sup>, American Association for the Advancement of Science. Nitrogenase image reproduced with  
 1421 permission from REF.<sup>36</sup>, American Chemical Society. AFM image of Ru reproduced with permission  
 1422 from REF.<sup>172</sup>, MDPI. b – d: Three plots to compare b) the relative turnover frequencies per active site,  
 1423 c) the relative Faradaic efficiencies and d) the relative turnover numbers vs operating potential for  
 1424 lithium mediated solid electrode systems (red, circle and triangle; circle points represent experiments  
 1425 carried out using a sacrificial proton donor, triangle points represent experiments carried out using a  
 1426 recyclable proton donor) (LiBF<sub>4</sub> & gas diffusion electrode from Lazouski et al<sup>29</sup>, LiBF<sub>4</sub> from Lazouski et  
 1427 al<sup>139</sup>, LiClO<sub>4</sub> from Andersen et al<sup>20</sup>, LiClO<sub>4</sub> & cycling from Andersen et al<sup>30</sup>, LiBF<sub>4</sub> & [P<sub>6,6,6,14</sub>][eFAP] from  
 1428 Suryanto et al<sup>140</sup>, LiClO<sub>4</sub> & oxygen from Li et al<sup>137</sup>, LiClO<sub>4</sub> & high surface area electrode from Li et al<sup>138</sup>,  
 1429 LINTf from Du et al<sup>141</sup>), four homogeneous systems (purple, rhombus) (MoX<sub>3</sub>(PNP), where X = I or Br  
 1430 from Arashiba et al<sup>97</sup>, the Schrock catalyst ([HIPTN<sub>3</sub>N]Mo) from Yandulov and Schrock<sup>19</sup>, the  
 1431 MoCl<sub>3</sub>(PCP) catalyst using a coordinated proton donor and reducing agent from Ashida et al<sup>27</sup> and the  
 1432 P<sub>3</sub><sup>B</sup>Fe catalyst from Chalkley et al<sup>85</sup>) and nitrogenase (green, square) (using data from Seefeldt et al<sup>65</sup>,  
 1433 Rivera-Ortiz and Burris<sup>3</sup>, Simon et al<sup>173</sup> and Bukas and Norskov<sup>37</sup>). Filled points represent experiments  
 1434 carried out under 1 bar N<sub>2</sub>. Hollow points represent experiments carried out at higher N<sub>2</sub> partial  
 1435 pressure. Half filled points represent experiments done at a temperature other than ambient. The blue  
 1436 star represents the ‘ideal electrode’ with 100% Faradaic efficiency, 1 A cm<sup>-2</sup> current density, 10 mV  
 1437 overpotential and roughness factor 33. The dashed line is the nitrogen reduction equilibrium potential.  
 1438 See Supplementary Information for calculation details. Figures b-d calculated using data from REFs.  
 1439 3,19,20,29–31,37,58,78,85,137–141,173

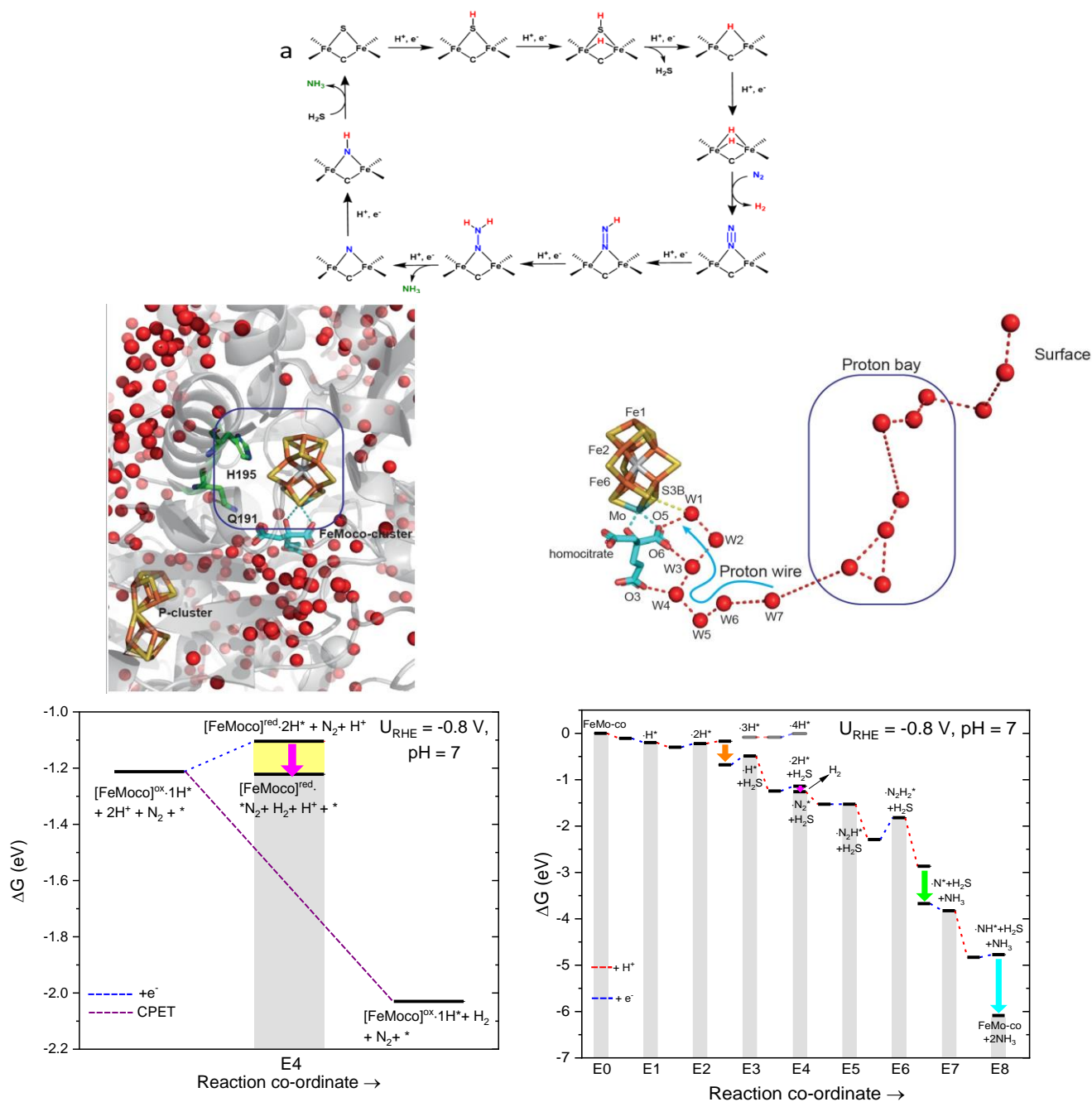
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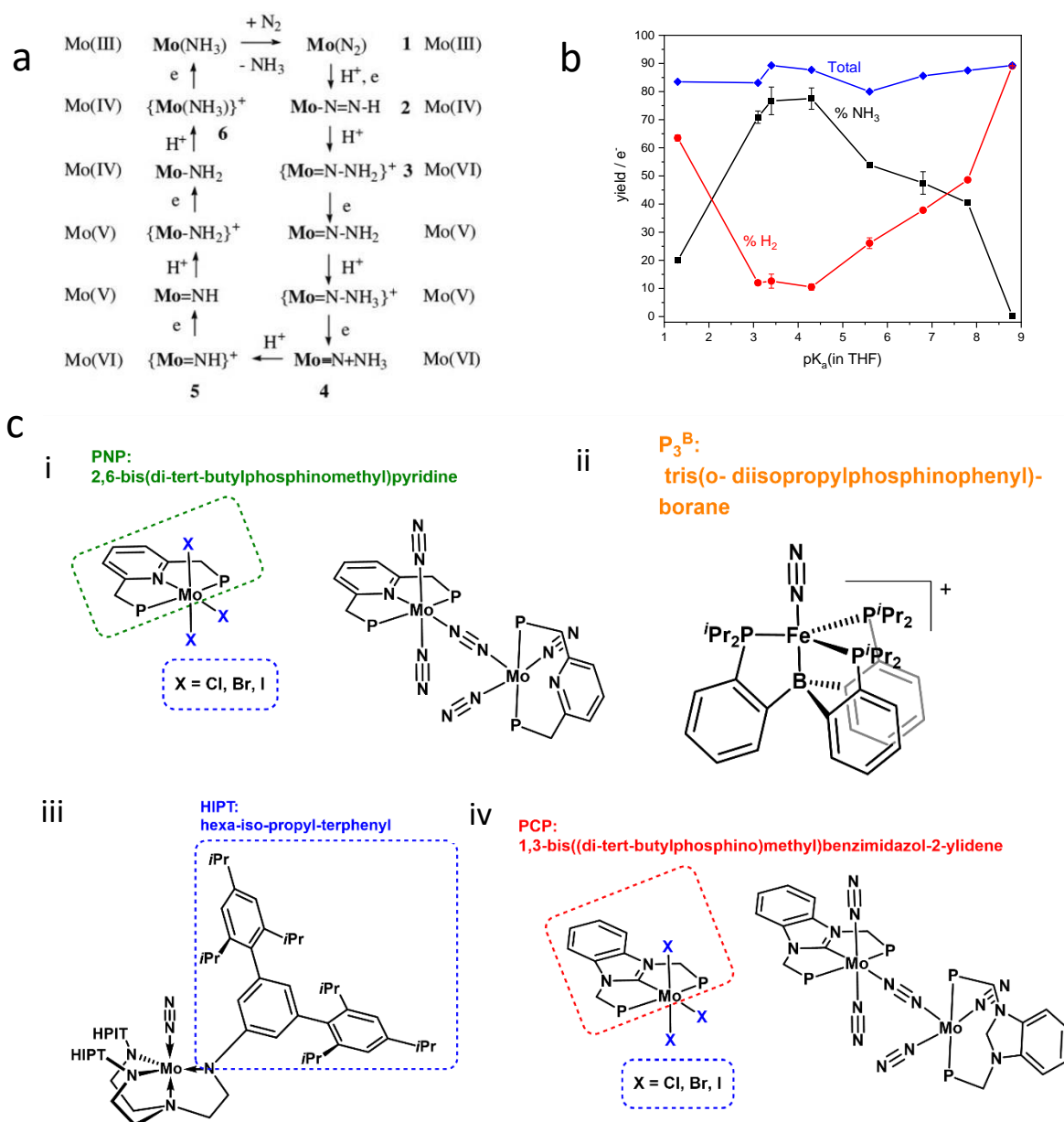
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 1445 **Figure 2. A schematic representation of the various reduction pathways through which nitrogen can**  
 1446 **be reduced to ammonia.** The dissociative mechanism in transition metals was adapted from Skulason  
 1447 *et al.*<sup>47</sup>, Low-Thorneley from Lower *et al.*<sup>63</sup>, dissociative mechanism in homogeneous complexes from  
 1448 Ashida and Nishibaysh<sup>100</sup>, end on distal from Montoya *et al.*, Yandulov *et al.*, and Ashida *et al.*<sup>19,31,113</sup>,  
 1449 alternating distal from Barney *et al.* and Lukoyanov *et al.*<sup>174,175</sup>, side on from Singh *et al.* and Hinnemann  
 1450 *et al.*,<sup>115,122,176</sup> Li-mediated in Mo and Cu from Schwalbe *et al.* Andersen *et al.* and Lazouski *et*  
 1451 *al.*<sup>30,139,142</sup> and Mars van Krevelen mechanism in metal nitrides from Abghoui *et al.*<sup>115</sup>.

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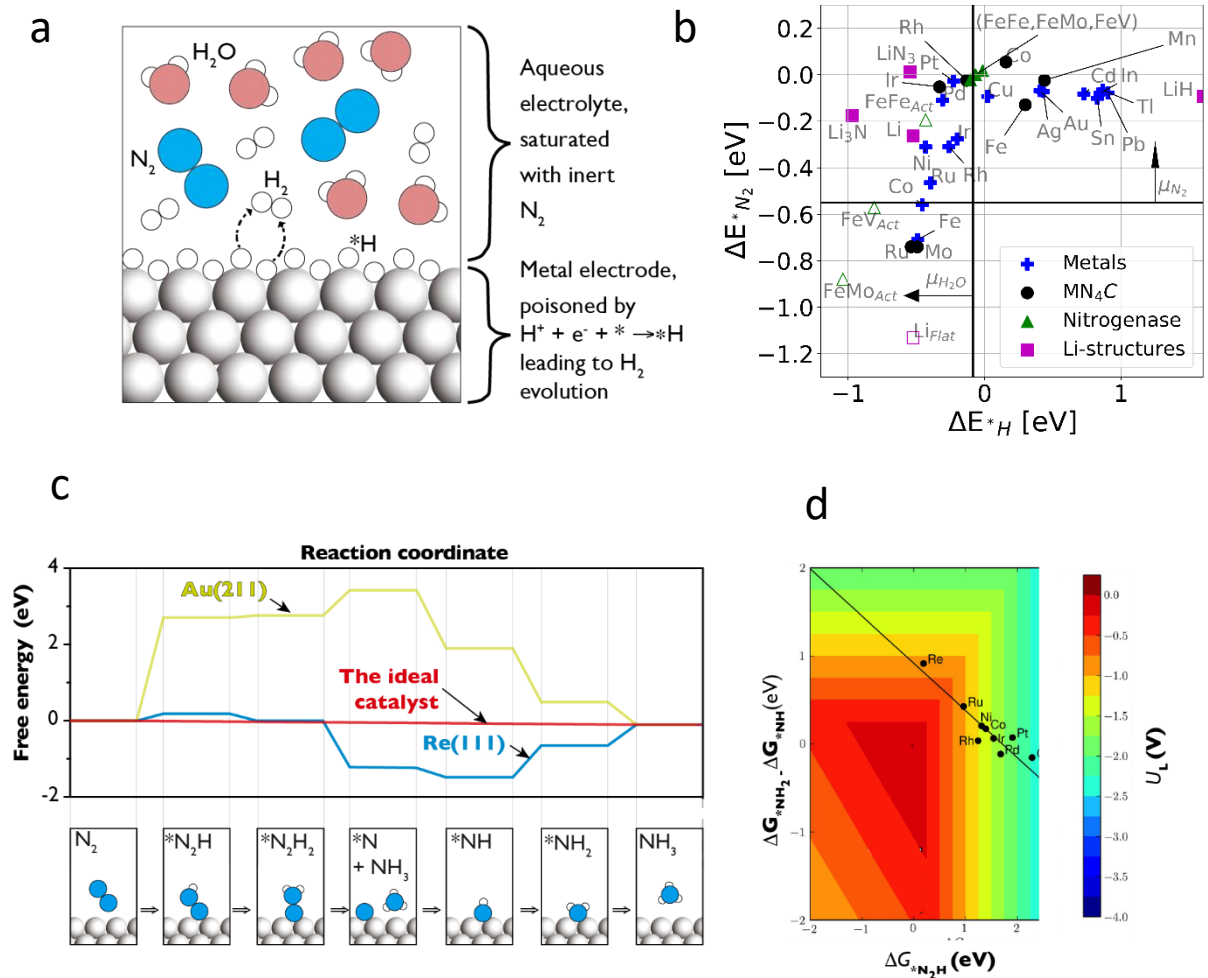


1455 **Figure 3. A summary of the nitrogenase reduction scheme and proton delivery mechanism** (a) Scheme of  
 1456 nitrogenase catalytic cycle. Sulfur bonded to Fe atoms is removed and replaced by hydrides which can  
 1457 recombine and yield  $\text{H}_2$  in exchange for  $\text{N}_2$  ( $\text{E}_0$ - $\text{E}_4$ ). The last catalytic steps (after  $\text{E}_4$ ) reduce  $\text{N}_2$  into  $\text{NH}_3$ , whose  
 1458 desorption entails the incorporation of sulfur into the Fe active site<sup>37</sup>. Reproduced with permission from REF. <sup>37</sup>,  
 1459 arXiv. (b) A diagram of the environment surrounding the catalytic cofactor of nitrogenase, where the area  
 1460 within the blue rectangle does not contain any water (red dots) <sup>43</sup>. Reproduced with permission from REF. <sup>43</sup>,  
 1461 Royal Society of Chemistry. (c) A diagram showing the transport of protons to the active site<sup>43</sup>. Reproduced with  
 1462 permission from REF. <sup>43</sup>, Royal Society of Chemistry (d) A free energy diagram showing the importance of  
 1463 delayed charge transfer in avoiding hydrogen evolution. If proton transfer occurs via coupled proton electron  
 1464 transfer, the FeMo-co returns to a previous step in the reduction scheme and cannot bind  $\text{N}_2$ . If there is  $\text{N}_2$   
 1465 present and slow proton and electron transfer, the FeMo-co can bind  $\text{N}_2$ , indicated by the pink arrow. If slow  
 1466 proton and electron transfer occurs but there is no  $\text{N}_2$  available, the FeMo-co also returns to the same previous  
 1467 step <sup>37</sup>. Adapted with permission from REF. <sup>37</sup>, arXiv. (e) A free energy diagram showing the reduction steps of  
 1468 the mechanism by which nitrogenase binds and activates  $\text{N}_2$  to make  $\text{NH}_3$ <sup>37</sup>. Orange, pink, green and blue  
 1469 arrows show  $\text{H}_2\text{S}$  dissociation,  $\text{N}_2$  binding, the chemical desorption of the first  $\text{NH}_3$  molecule and the chemical

1470 desorption of the second NH<sub>3</sub> molecule, respectively. The greyed-out pathway represents the energy penalty  
 1471 without H<sub>2</sub>S dissociation. Reproduced with permission from REF.<sup>37</sup>, arXiv.  
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 1477 **Figure 4. Homogeneous nitrogen reduction** (a) The reduction scheme proposed by Yandulov and Schrock, where protons and  
 1478 electrons are added to the catalyst in a stepwise fashion<sup>19</sup>. Reproduced with permission from REF.<sup>19</sup>, Springer Nature Limited  
 1479 (b) A graph to show the relationship between the pK<sub>a</sub> value of a proton source (various anilinium acids) in THF and the  
 1480 percentage yield per electron of NH<sub>3</sub> and H<sub>2</sub> for Peters and coworkers' P<sub>3</sub>BFe<sup>+</sup> molecular catalyst<sup>94</sup>. The reducing agent used  
 1481 was Cp\*<sub>2</sub>Co (54 equivalents). All tests carried out at ambient pressure and -78°C with 108 equivalents of the relevant acid  
 1482 used. Line added to guide the eye. Drawn using data from REF.<sup>94</sup>. (c) Diagrams of the molecular complexes considered in  
 1483 figure 1b-d: (i) MoX<sub>3</sub>(PNP), where X = I or Br from Arashiba et al<sup>97</sup>, (ii) P<sub>3</sub>BFe from Chalkley et al<sup>85</sup>, (iii) the Schrock catalyst  
 1484 ([HIPTN<sub>3</sub>N]Mo)<sup>19</sup>, and (iv) MoCl<sub>3</sub>(PCP) using a coordinated proton donor and reducing agent from Ashida et al<sup>27</sup>.



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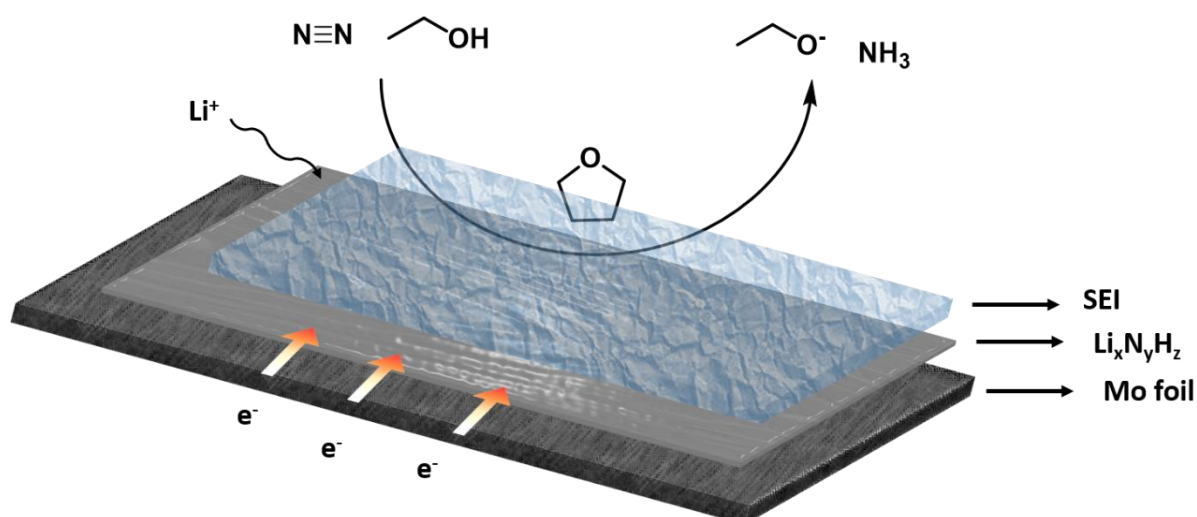
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Figure 5. **The limitations of metal electrodes in aqueous electrolytes.** (a) A diagram to highlight the effect of hydrogen poisoning<sup>9</sup>. Reproduced with permission from REF. <sup>9</sup>, Energy-X. (b) A classification scheme produced showing  $*N_2$  vs  $H^*$  binding energies for nitrogen reduction catalysts in aqueous electrolytes at ambient temperature and pressure<sup>111</sup>. The vertical line shows  $1/2H_2(g)$  vs  $H^*$  and the horizontal line shows  $N_2(g)$  vs  $N_2^*$ . Arrows show how the line would move by changing  $\mu_{H_2O}$  and  $\mu_{N_2}$ . Reproduced with permission from REF. <sup>111</sup>, American Chemical Society (c) A free energy diagram for the associative  $N_2$  reduction mechanism on Ag(211), Re(111) and the ideal catalyst<sup>9</sup>. Adapted with permission from REF. <sup>9</sup>, Energy-X. (d) A volcano contour plot showing how unfavourable scaling between N-containing intermediates results in excessively negative limiting potentials,  $U_L$  to drive reaction, i.e. large overpotentials<sup>113</sup>. Reproduced from with permission from REF. <sup>9</sup>, Energy-X.



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Figure 6 A schematic of the lithium mediated system, where the formed SEI restricts proton access to the electrode surface.

	<b>Enzymes</b>	<b>Homogeneous</b>	<b>Solid Electrode</b>
Key example	Nitrogenase	Mo-complex [MoCl <sub>3</sub> (PCP)] <sup>31</sup>	Li/Li <sub>x</sub> N <sub>y</sub> H <sub>z</sub>
Strongly binding active site	✓ Fe atoms <sup>28,52</sup>	✓ Mo <sup>31</sup>	✓ Li, Li <sub>3</sub> N, LiH or mixed Li <sub>x</sub> N <sub>y</sub> H <sub>z</sub> <sup>30,142</sup>
O <sub>2</sub> -free	✓ <sup>177</sup>	✓ <sup>31</sup>	(✓) Inhibited activity in bulk O <sub>2</sub> but enhanced activity in trace O <sub>2</sub> (2021) <sup>137</sup>
Limited access to H <sup>+</sup>	✓ Delivery by proton wire <sup>43</sup> , sterically protected active site <sup>37</sup>	✓ Sterically protected active site, single proton and electron transfer (PCET) <sup>31</sup>	✓ Non-aqueous electrolyte, controlled proton donor quantities <sup>23,139</sup>
Limited access to e <sup>-</sup>	✓ Limited by Pi release <sup>27</sup>	✓ Mild reducing agent, single proton and electron transfer (PCET) <sup>31</sup>	✗ Electrons freely available at electrode surface

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Table 1 A table to show the differences between the lithium mediated system, homogeneous systems and nitrogenase in terms of access to reactants

1508 **[H1] ToC blurb**

1509 Green dinitrogen fixation is critical for the decarbonisation of fertilisers and fuel. This Review  
1510 examines the common grounds and complementarities between catalysis using  
1511 homogeneous compounds, enzymes, and solid electrodes.

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