

Structural MRI predicts clinical progression in presymptomatic genetic frontotemporal dementia: findings from the GENetic Frontotemporal dementia Initiative (GENFI) cohort

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10 *Running title:* MRI predicts progression in genetic FTD
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Abstract

Biomarkers that can predict disease progression in individuals with genetic frontotemporal dementia are urgently needed.

We aimed to identify whether baseline MRI-based grey and white matter abnormalities are associated with different clinical progression profiles in presymptomatic mutation carriers in the GENetic Frontotemporal dementia Initiative.

387 mutation carriers were included (160 *GRN*, 160 *C9orf72*, 67 *MAPT*), together with 240 non-carrier cognitively normal controls. Cortical and subcortical grey matter volumes were generated using automated parcellation methods on volumetric 3T T1-weighted MRI scans, while white matter characteristics were estimated using diffusion tensor imaging. Mutation carriers were divided into two disease stages based on their global CDR®+NACC-FTLD score: presymptomatic (0 or 0.5) and fully symptomatic (1 or greater). W-scores in each grey matter volumes and white matter diffusion measures were computed to quantify the degree of abnormality compared to controls for each presymptomatic carrier, adjusting for their age, sex, total intracranial volume, and scanner type. Presymptomatic carriers were classified as “normal” or “abnormal” based on whether their grey matter volume and white matter diffusion measure w-scores were above or below the cut point corresponding to the 10th percentile of the controls. We then compared the change in disease severity between baseline and one year later in both the “normal” and “abnormal” groups within each genetic subtype, as measured by the CDR®+NACC-FTLD sum-of-boxes score and revised Cambridge Behavioural Inventory total score.

Overall, presymptomatic carriers with normal regional w-scores at baseline did not progress clinically as much as those with abnormal regional w-scores. Having abnormal grey or white matter measures at baseline was associated with a statistically significant increase in the CDR®+NACC-FTLD of up to 4 points in *C9orf72* expansion carriers, and 5 points in the *GRN* group as well as a statistically significant increase in the revised Cambridge Behavioural Inventory of up to 11 points in *MAPT*, 10 points in *GRN*, and 8 points in *C9orf72* mutation carriers.

Baseline regional brain abnormalities on MRI in presymptomatic mutation carriers are associated with different profiles of clinical progression over time. These results may be helpful to inform stratification of participants in future trials.

Keywords: genetic frontotemporal dementia, MRI imaging, brain volumetry, diffusion imaging, presymptomatic stage

List of abbreviations:

aCR: anterior corona radiata

aIC: anterior part of the internal capsule

ALS: amyotrophic lateral sclerosis

1 bCC: body of the corpus callosum
2
3 bvFTD: behavioural variant of frontotemporal dementia
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5 CBI-R: revised version of the Cambridge Behavioural Inventory
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7 CBS: corticobasal syndrome
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9 *C9orf72*: chromosome 9 open reading frame 72
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11 DLPFC: dorsolateral prefrontal cortex
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13 EC: external capsule
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15 FA fractional anisotropy
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17 FTD: frontotemporal dementia
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19 gCC: genu of the corpus callosum
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21 GENFI: GENetic Frontotemporal dementia Initiative
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23 GIF: geodesic information flow
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25 GM: grey matter
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27 *GRN*: progranulin
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29 JHU: John Hopkins University
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31 i-tub: inferior tuberal
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33 LD: laterodorsal
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35 LGN: lateral geniculate nucleus
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37 *MAPT*: microtubule-associated protein tau
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39 MD: mean diffusivity
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41 MED PARIETAL: medial parietal
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43 MGN: medial geniculate nucleus
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45 N/A: not applicable
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47 N/I: not included in the analyses
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49 NOS: not otherwise specified
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51 pCR: posterior corona radiata
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53 pIC: posterior part of the internal capsule
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55 PPA: primary progressive aphasia
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1 PSP: progressive supranuclear palsy

2 pTR: posterior thalamic radiation

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4 rIC: retrolenticular part of the internal capsule

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6 ROI: region of interest

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8 sCC: splenium of the corpus callosum

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10 sCR: superior corona radiata

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12 SD: standard deviation

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14 SLF: superior longitudinal fasciculus

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16 SS: sagittal stratum

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18 s-tub: superior tuberal

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20 TIV: total intracranial volume

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22 UF: uncinata fasciculus

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24 VMPFC: ventromedial prefrontal cortex

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26 WM: white matter

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Introduction

Genetic frontotemporal dementia (FTD) is a progressive and heterogeneous neurodegenerative disease most frequently caused by an autosomal dominant genetic mutation in the microtubule-associated protein tau (*MAPT*), progranulin (*GRN*), or chromosome 9 open reading frame 72 (*C9orf72*).¹ Changes in grey and white matter regions measured by magnetic resonance imaging (MRI) have been reported many years before symptoms develop in previous studies,²⁻⁴ but the exact relationship of such brain changes to clinical progression is yet to be fully understood. This is particularly relevant in current research, considering the need for robust biomarkers to allow accurate measurement of disease onset and progression in the context of clinical trials.

Using data from the GENetic FTD Initiative (GENFI) cohort, we aimed to localise and quantify the specific pattern of subregional grey and white matter abnormalities in the prodromal and symptomatic stages of genetic FTD, and how these abnormalities relate to progression of symptoms in presymptomatic mutation carriers.

Materials and methods

At the time of the fifth data freeze in the GENFI study, 850 participants had been recruited as part of the second phase (03/03/2015-31/05/2019) across 24 centres in the United Kingdom, Canada, Italy, the Netherlands, Sweden, Portugal, Germany, France, Spain, and Belgium, of whom 710 had volumetric T1-weighted and diffusion weighted MRI acquired on a 3T scanner. 83 of these participants were excluded as their scans were of unsuitable quality due to motion, incomplete spatial coverage or other imaging artefacts, for pathology unlikely to be attributed to FTD, or if they were carriers of mutations in one of the rarer genetic causes of FTD. All the remaining 627 participants were known to be either a carrier of a pathogenic expansion in *C9orf72* or of a pathogenic mutation in *GRN* or *MAPT* (n=387), or were non-carrier first-degree relatives (n=240), who therefore acted as controls within the study. Participants have been screened and genotyped at their local sites for the most common pathogenic genetic mutations for FTD. All aspects of the study were approved by the local ethics committee for each of the GENFI sites, and written informed consent was obtained from all participants.

All participants underwent a standardized clinical assessment as described previously.² This included the CDR® plus NACC FTLD,⁵ a measure of disease severity, from which both a global score and a sum of boxes score can be calculated. The global score can be used to stage mutation carriers, with those with a score of 0 or 0.5 considered as “presymptomatic”, and

those with a score of 1, 2 or 3 considered as “fully symptomatic” (**Table 1**). Additionally, the revised version of the Cambridge Behavioural Inventory (CBI-R)⁶ was also completed as a measure of behavioural impairment.

Participants underwent MRI scans on five types of 3T system from different vendors (Siemens Trio, Siemens Skyra, Siemens Prisma, Philips Achieva, GE Discovery MR750). Specific acquisition parameters are reported in the **Supplementary Table 1**.

T1-weighted processing

The processing was performed as previously described.⁷ In brief, volumetric MRI scans were first bias field corrected and whole brain parcellated using the geodesic information flow (GIF) algorithm,⁸ which is based on atlas propagation and label fusion. We combined regions of interest (ROIs) to calculate grey matter (GM) volumes of 13 ROIs of the cortex (**Figure 1**): orbitofrontal, dorsolateral (DLPFC) and ventromedial prefrontal (VMPFC), motor, insula, temporal pole, dorsolateral and medial temporal, cingulate, sensory, medial and lateral parietal, and occipital cortex. We used GIF and customised versions of specific Freesurfer modules⁹⁻¹² which accept the GIF parcellation as inputs¹³⁻¹⁶ to calculate individual volumes for the following subcortical ROIs (**Figure 1**): i) basal ganglia (nucleus accumbens, caudate, putamen, and globus pallidus, ii) basal forebrain, iii) amygdala (5 regions: lateral nucleus, basal and paralaminar nucleus, accessory basal nucleus, cortico-amygdaloid transition area and the superficial nuclei), iv) hippocampus (7 regions: cornu ammonis CA1, CA2/CA3, CA4, dentate gyrus, subiculum, presubiculum, tail), v) thalamus (14 regions: anteroventral, laterodorsal (LD), lateral posterior, ventral anterior, ventral lateral anterior, ventral lateral posterior, ventral posterolateral, ventromedial, intralaminar, midline, mediodorsal, lateral geniculate (LGN), medial geniculate (MGN) and pulvinar). We computed the volumes for the hypothalamus (5 regions: anterior superior, anterior inferior, superior tuberal (s-tub), inferior tuberal (i-tub), posterior) using the deep convolutional neural network method described in Billot *et al.*¹⁷ We also parcellated the cerebellum (separated into 12 regions: lobules I-IV, V, VI, VIIa-Crus I, VIIa-Crus II, VIIb, VIIIA, VIIIB, IX, X, vermis, and deep nuclei)¹⁸⁻¹⁹, and brainstem (superior cerebellar peduncle, medulla, pons, and midbrain)¹⁰. We calculated the whole brain volume by summing the WM and GM regions extracted from GIF. We summed left and right volumes, and we computed the total intracranial volume (TIV) with SPM12 v6470 (Statistical Parametric Mapping, Wellcome Trust Centre for Neuroimaging, London, UK) running under Matlab R2014b (Math Works, Natick, MA, USA).²⁰ All segmentations were visually checked for quality with only one subject excluded from the cerebellar analyses due to the presence of an arachnoid cyst.

DTI acquisition and processing

The preprocessing was carried out with a combination of source tools described below, wrapped up by NiftyPipe (<http://cmictig.cs.ucl.ac.uk/wiki/index.php/NiftyPipe>) software packages. First, the multiple DWI acquisitions were merged with the FMRIB Software Library (FSL, v5.0.4).²¹ Then, the images were corrected for eddy-current distortion and motion by performing an affine co-registration between the diffusion weighted images and the averaged b0 images, using FSL eddy function.²² Susceptibility-induced image distortions were subsequently corrected using a unified field map and image registration-based approach.²³ We used the subject-specific structural T1-weighted image as the reference space, and the T1V binary mask extracted from GIF to restrict the analyses to the brain, and to improve the registration. Niftyfit²⁴ was used for the diffusion tensor fitting, which was estimated using the weighted least square method. Before the groupwise registration, the tensors were visually checked and prepared according to the approach reported in [<http://dti-tk.sourceforge.net/pmwiki/pmwiki.php?n=Documentation.BeforeReg>]. DTI-TK (<http://dti-tk.sourceforge.net>)²⁵⁻²⁸ was used to spatially normalise the diffusion tensor volumes to a population-specific tensor template,^{27,29} where the “IXI aging template”³¹⁻³³ was used for the template initialization, from an initial rigid registration, followed by non-linear registration (<http://dti-tk.sourceforge.net/pmwiki/pmwiki.php?n=Documentation.Registration>).^{27,33}

We created maps of fractional anisotropy (FA) and mean diffusivity (MD) for each diffusion tensor image in the groupwise space. Using NiftyReg³⁴ we registered the FA image from the study-specific template with the John Hopkins University (JHU)³⁵ FA image provided in FSL, applying an affine transformation first, followed by a non-linear registration using a B-spline. The mean FA and MD were then extracted for the following WM tracts from the JHU atlas³⁵ using DTI-TK (**Figure 1**): uncinate fasciculus (UF), superior longitudinal fasciculus (SLF), cingulum, sagittal stratum (SS), posterior thalamic radiation (pTR), anterior (aCR), posterior (pCR) and superior (sCR) corona radiata, external capsule (EC), anterior (aIC), posterior (pIC) and retrolenticular part (rIC) of the internal capsule, and genu (gCC), body (bCC) and splenium of the corpus callosum (sCC). Left and right values were averaged to obtain one bilateral value per metric (FA and MD) per tract.

Statistical analyses

We computed w-scores for each of the volumes and diffusion indexes for the GM and WM ROIs. The w-score is a metric that quantifies the extent of abnormalities in each index for each mutation carrier after adjusting for the effects of age, sex,

TIV, and scanner type. To calculate the w-score, first linear regression models were carried out in controls to relate the value of each index to age, sex, TIV, and scanner type. After fitting the model, predicted values of the index were produced for the mutation carriers using the control model equation, given the mutation carriers age, sex, TIV, and the scanner type. Finally, the w-scores were calculated using the following formula:

$$wscore_i = \frac{x_i - \hat{x}_i}{\sigma}$$

Where,

$wscore_i$ is the w-score for the i^{th} mutation carrier

x_i is the observed value of the index for the i^{th} mutation carrier

\hat{x}_i is the predicted value of the index for the i^{th} mutation carrier based on the control linear regression model, given the mutation carriers age, sex, TIV and the scanner type

σ is the square root of the residual variance from the linear regression model in controls

The w-scores have a similar interpretation to Z-scores: in the control group they have a mean value of 0 and a standard deviation of 1; a w-score of -1.960 corresponds to the 2.5th percentile of the controls, -1.645 to the 5th percentile, -1.282 to the 10th percentile, and -0.675 to the 25th percentile.

Statistical analyses were performed in Stata v.14 (Stata Statistical Software: College Station, TX: StataCorp LP) and SPSS v.26 software (SPSS Inc., Chicago, IL, USA). First, we calculated non-parametric percentile 95% confidence intervals using bootstrapping with 1000 replicates (as not all variables were normally distributed) to verify whether the GM and WM w-scores in the presymptomatic and symptomatic (as defined by CDR® plus NACC FTLD) subgroups within each genetic groups (*C9orf72*, *MAPT*, *GRN*) were each significantly different from 0, indicating the mean in the genetic group was below the mean of controls (or above 0 in the case of MD).

Then we focused on the presymptomatic carriers (excluding those scoring CDR® plus NACC FTLD ≥ 1) and for each of the cortical, whole subcortical and WM ROIs we separated those with a w-score below and above -1.282 (or for MD above and below 1.282) (“abnormal” vs “normal”, with “abnormal” corresponding to the 10th percentile of the controls), and we compared their CDR® plus NACC FTLD sum of boxes scores and their CBI-R total scores after one year. To investigate whether there was a difference in the clinical and behavioural scores over time in the “abnormal” vs “normal” groups for

each of the GM and WM ROIs, we performed a Wilcoxon Signed Rank exact test to compare baseline to follow-up scores for the presymptomatic carriers who had follow-up visits available at 12 months (*C9orf72* n=56; *MAPT* n=32; *GRN* n=69). We excluded groups with <3 carriers and we considered with caution non-significant results on groups with <6 carriers, as it is not possible for these comparisons to reach statistical significance on the Wilcoxon Signed Rank test.

Data availability

Data will be shared according to the GENFI data sharing agreement, after review by the GENFI data access committee with final approval granted by the GENFI steering committee.

Results

Baseline GM volumes

The results on the analyses for the w-scores on GM volumes at baseline are described in detail in the **Supplementary Material** and in **Supplementary Table 2**.

Briefly, *C9orf72* expansion carriers showed the most widespread GM differences (**Figure 2**), even at a presymptomatic stage. In particular, the thalamus was the structure with the most abnormal regions in presymptomatically, with the pulvinar showing w-scores below the 10th percentile of the controls.

Presymptomatic *MAPT* mutation carriers showed localised abnormal w-scores in the dorsolateral temporal cortex and in regions of the amygdala, hippocampus and thalamus (**Figure 3**). At the fully symptomatic stage, values were extremely low (<2.5th percentile) in all the temporal cortex, amygdala, hippocampus and insula, and in some regions of the hypothalamus (**Figure 3**).

Presymptomatic *GRN* mutation carriers showed significantly lower values in the temporal pole, presubiculum, and in the anterior superior cerebellum (**Figure 4**). Fully symptomatic carriers showed widespread cortical and subcortical involvement, with extremely low w-scores (<2.5th percentile) in the DLPFC, insula and motor cortex, and in the presubiculum, mediodorsal thalamus and posterior hypothalamus (**Figure 4**).

Baseline diffusion WM indices

Presymptomatic *C9orf72* expansion carriers showed both FA and MD values significantly different than controls in the SS, corpus callosum (genu and body), pTR, aCR, and EC (**Figure 5**). Symptomatic *C9orf72* expansion carriers showed

1 extremely abnormal values (<2.5th percentile) in the gCC and aCR for FA, and in the SS, corpus callosum (genu and body),
2 aCR, sCR, cingulum, pTR, and aIC for MD (**Figure 5**).
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4 Presymptomatic *MAPT* mutation carriers only showed significantly lower FA than controls in the aIC (**Figure 6**). Once
5 symptoms were present, *MAPT* mutation carriers showed extremely abnormal values (<2.5th percentile) for the UF (both
6 FA and MD), and SS (MD only) (**Figure 6**).
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10 At a presymptomatic stage, *GRN* mutation carriers showed significantly lower FA than controls in the sCR, and significantly
11 higher MD than controls in the UF and aCR (**Figure 7**). Fully symptomatic *GRN* mutation carriers showed abnormal FA
12 and MD values in all tracts, with extremely abnormal values (<2.5th percentile) in the corpus callosum (genu and body),
13 cingulum, aIC, and aCR for FA, and in nearly all tracts for MD (**Figure 7**).
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18 Detailed description of the results is reported in the **Supplementary Material** and in **Supplementary Table 3**.
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23 **Progression**

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25 **Figure 8** shows the longitudinal changes in the CDR®+NACC FTLD sum of boxes and CBI-R total scores over one year
26 in the presymptomatic mutation carriers with w-scores of the *whole brain volume* above (“normal”) and below (“abnormal”)
27 the 10th percentile of the controls. For the CDR®+NACC FTLD sum of boxes, *C9orf72* and *GRN* mutation carriers showed
28 significant increases within the “abnormal brain” subgroups (1 and 3 points respectively), with *GRN* mutation carriers also
29 showing significant increases of 0.3 points in the subgroup with “normal” brain at baseline. The increase in 2 points for
30 *MAPT* mutation carriers did not reach statistical significance, as there were only 4 carriers in the “abnormal brain” subgroup.
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32 Although both the *MAPT* and *GRN* “abnormal brain” subgroups showed a substantial increase in CBI-R of 16 and 11 points
33 respectively, this was not statistically significant due to the small sample sizes for analysis of this measure (n=3 and 4).
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35 Overall, the magnitude of clinical changes in *C9orf72* expansion carriers was smaller than what seen in *MAPT* and *GRN*
36 mutation carriers.
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49 **Supplementary Table 4** and **5** show the results of the longitudinal change in both the CDR® plus NACC FTLD sum of
50 boxes and CBI-R total scores across the three genetic groups for all the individual GM and WM ROIs. Below we discuss
51 the ROIs which showed the largest significant change in scores (i.e. most clinical progression) over time within the three
52 genetic groups.
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C9orf72 expansion carriers

The ROIs in which w-score abnormalities at baseline resulted in the highest significant increase in the CDR® plus NACC FTLD sum of boxes score were the DLFPC and motor cortex among the GM ROIs (+3 points, $p\text{-value}\leq 0.016$, **Supplementary Table 4 and 5**), and the MD in the UF (+4, $p\text{-value}=0.031$), gCC and aCR (+3, $p\text{-value}\leq 0.031$), together with the FA in the UF (+3, $p\text{-value}=0.031$) among the WM diffusion indexes (**Supplementary Table 4 and 5, Figure 9**). Except for a few regions (**Supplementary Table 4 and 5**), the subgroups with “normal” GM and WM ROIs at baseline showed a statistically significant increase no greater than 1 point.

The w-scores which led to the largest change over time in the CBI-R total score were the MD in the SS and the FA in the cingulum (6-8 points, $p\text{-value}\leq 0.047$), with similar values for the MD in the EC and gCC, although not reaching statistical significance as only 5 presymptomatic carriers were available for this analysis (**Figure 9 and Supplementary Table 4 and 5**). For none of the GM ROIs, w-score abnormalities at baseline resulted in a statistically significant increase. However, the medial parietal, cingulate and nucleus accumbens led to a large change over time (7-10 points), which did not reach statistical significance given the small sample of carriers ($n=3$ and 5).

Carriers with normal regional w-scores at baseline did not progress on the CBI-R total scores after 12 months.

MAPT mutation carriers

No statistically significant increase was found for the CDR® plus NACC FTLD total score, which may be largely due to the small numbers in the abnormal subgroups. However, when looking at which abnormal w-scores resulted in the highest increase of 2 points, these were the motor, putamen and VMPFC, and the following among the WM diffusion indexes: gCC (both FA and MD), rIC, sCR, pCR, pTR, EC, SS, SLF (FA), and bCC and aCR (MD) (**Figure 9 and Supplementary Table 4 and 5**).

Abnormal w-scores values in the occipital cortex and in the FA in the UF led to a significant increase of respectively 8 and 11 points in the CBI-R total scores over a year ($p\text{-value}\leq 0.035$, **Figure 9 and Supplementary Table 4 and 5**). Other large increases, despite not reaching statistical significance, were seen in the abnormal values for the hypothalamus (+19), VMPFC (+17), putamen and motor cortex (+16), and for the FA in the gCC, pTR, SS (+15) and SLF (+13) (**Figure 9 and Supplementary Table 4 and 5**).

1 Carriers with normal regional w-scores at baseline did not progress on the CDR® plus NACC FTLD or CBI-R total scores
2 after 12 months.
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6 *GRN mutation carriers*

8 The abnormal ROIs which showed the largest statistically significant increase in CDR® plus NACC FTLD sum of boxes
9 scores were the MD in the gCC and EC (+4-5, p-value≤0.031), followed by MD in the aCR, FA in the aIC and aCR, and
10 VMPFC, motor, lateral parietal, cingulate and hypothalamus (+3, p-value≤0.031) (**Figure 9 and Supplementary Table 4**
11 **and 5**). Moreover, the small sample of carriers with abnormal w-scores in the DLPFC and globus pallidus led to a large
12 change over time (+5-6 points).
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19 The subgroups with “normal” GM and WM ROIs at baseline (except for MD in the sCC and aCR) showed statistically
20 significant increase no greater than 1 point (**Figure 9 and Supplementary Table 4 and 5**).
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25 For the CBI-R total scores, a statistically significant increase of 10 points was seen if the baseline w-scores for the caudate
26 or the FA in aCR were abnormal (p-value≤0.046), while a statistically significant increase of 8 and 9 points if the SLF (MD)
27 and hypothalamus w-scores were abnormal (p-value≤0.016) (**Figure 9 and Supplementary Table 4 and 5**). The small
28 sample of carriers with abnormal w-scores at baseline also led to a large change over time, specifically in the globus pallidus
29 (+18 points), hippocampus and gCC (MD) (+12), and DLPFC (+11).
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36 The subgroups with “normal” ROIs at baseline in the following regions were showing a statistically significant increase of
37 1 or 2 points: VMPFC, dorsolateral temporal, temporal pole, medial parietal, insula, cerebellum, basal forebrain; bCC, sCC,
38 pCR, cingulum (FA); and aIC, pIC, pTR, SS (MD).
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45 **Discussion**

46 Using *in vivo* volumetric and diffusion MR imaging, we have quantified and localised the pattern of brain anomalies in a
47 large cohort of presymptomatic and symptomatic carriers of *C9orf72*, *MAPT* and *GRN* mutations. Moreover, we were able
48 to define which neuroimaging markers were associated with the largest clinical and behavioural changes over one year in
49 presymptomatic mutation carriers.
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C9orf72 expansion carriers showed the earliest and most widespread abnormalities in the brain, with the pulvinar and its posterior WM tracts being the most affected regions at the presymptomatic stage. These findings confirm what has been reported by previous studies, and in line with the role that the pulvinar plays in the development of psychotic symptoms in *C9orf72*.^{3, 7, 36-42} The presence of such early and widespread changes in *C9orf72* could be linked to an abnormal development in the brain networks, or to a very early neurodegenerative process, as suggested by Lee *et al.*³⁶

MAPT mutation carriers were confirmed to have early and very localised abnormality in the mediotemporal lobe, especially in the medial amygdala, and in regions linked to the limbic network.^{7,43} The WM tracts mainly affected in *MAPT* mutation carriers are the UF, cingulum, SS and gCC, connecting the anterior and medial temporal lobe to the prefrontal and orbitofrontal cortex.⁴⁴ These tracts have been previously reported to be abnormal in cohorts of symptomatic mutation carriers,^{3,45} but not presymptomatically.⁴¹ The data of GM and WM differences in *MAPT* mutation carriers seems to suggest that abnormalities might come first in the anterior-medial temporal regions, with further spread not long before symptom onset via structural connectivity to the rest of the frontal and limbic areas, but multimodal longitudinal studies on large cohorts are needed to investigate this further.

GRN mutation carriers showed minor abnormalities at the presymptomatic stages, both in the GM (presubiculum, cerebellum and temporal pole) and WM (sCR, aCR and UF), in line with existing literature.^{3,7,46} However, at the symptomatic stage the abnormalities were severe and widespread to cortical and subcortical regions, with all WM tracts severely abnormal.^{2,3,47,48}

Overall, abnormalities in GM and WM regions seem to suggest that the brain is affected extremely early in *C9orf72* expansion carriers, presents early localised abnormalities in *MAPT* mutation carriers, and only shows changes at a later stage in *GRN* mutation carriers. The presence of abnormalities in *MAPT* and *GRN* mutation carriers closer to symptom onset is also reported by a longitudinal multimodal study.⁴⁹ The relationship between WM and GM changes detectable *in vivo* on MRI and the underlining pathological changes in the three genetic groups is still to be fully understood, especially considering the heterogeneity within the same genetic group. According to the “molecular nexopathy” paradigm,⁵⁰ within affected brain networks there could be preferentially vulnerable hubs that different abnormal proteins (tau in *MAPT*, TDP-

43 in *GRN* and *C9orf72*, with additional dipeptide repeat proteins in the latter) can differentially target and damage, leading to diverse symptoms and disease progression.

Across all the three genetic groups, presymptomatic carriers with normal w-scores for brain regions at baseline did not show large progression in their average clinical, cognitive, or behavioural scores after 12 months. Even when there was a significant change over time (such as in *GRN* and *C9orf72*), this was less than one point at the CDR® plus NACC FTLD total score, and less than 2 points at the CBI-R total scores, both lower than the change in the abnormal groups. The only exception was the MD for the sCC in the *C9orf72* expansion carriers, showing an increase in 3 points at the CDR® plus NACC FTLD total score, similar to the magnitude of change in the abnormal groups. This result might suggest that the clinical progression in presymptomatic *C9orf72* expansion carriers is not related to diffusion measures in sCC, but this has to be confirmed in further cohorts.

In contrast, presymptomatic mutation carriers with regional brain w-scores below the 10th percentile of the controls had significantly worse scores on average after one year. Abnormality on diffusion measures seem to lead to slightly larger significant differences in progression than abnormality in GM volumes, at least for *MAPT* and *C9orf72* mutation carriers for the behavioural scores, whilst the extent of progression was similar between GM and WM regions for *GRN* mutation carriers. One explanation could be that the GM atrophy is slower than WM diffusivity. One study has reported a significant longitudinal rate of change in WM for *MAPT* presymptomatic mutation carriers but not for *C9orf72* and *GRN* mutation carriers.⁴¹

The results of this study are particularly important when defining biomarkers to stratify participants in future trials. By only using total brain volume, one can predict if *GRN* presymptomatic mutation carriers are likely to progress 3 points on the CDR®+NACC-FTLD sum of boxes score in 12 months. The whole brain volume was not as informative in *C9orf72* expansion carriers, with progression of only 1 point, but this is not surprising probably due to the slow progression of this genetic form, as reported by Staffaroni *et al.*⁵¹ Due to the small number in the subgroups who had abnormal whole brain volume at baseline and available follow-up data, unfortunately the results were inconclusive for *MAPT* mutation carriers and for progression on the CBI-R total score in all three groups. There could be the potential of a 11-16 points increase on the CBI-R total score in *MAPT* and *GRN* presymptomatic mutation carriers with abnormal whole brain volume, but larger studies are needed to confirm this.

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2 However, specific regional measures for each of the genetic forms are associated with a larger increase in clinical scores.
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4 For *C9orf72* and *GRN* mutation carriers, both GM and WM tracts were associated with a similar worsening in behavioural
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6 symptoms, with a maximum of 8-10 points. *MAPT* mutation carriers showed a maximum of 11 points which showed the
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8 potential of being higher in a number of regions (up to 19 points) if this could be confirmed in larger samples. However, in
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10 contrast, for the CDR®+NACC-FTLD sum of boxes, both *GRN* and *C9orf72* mutation carriers showed a larger increase
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12 compared to *MAPT* mutation carriers (4-5 points vs 2 points, which were not statistically significant). This may be related
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14 to differences in the types of clinical features detected by the CDR®+NACC-FTLD in comparison to the CBI-R, with more
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16 cognitive and linguistic aspects that are seen in *GRN* and *C9orf72* mutation carriers measured by the CDR.
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21 Despite abnormality on diffusion indexes seeming to be associated with slightly larger changes in clinical scores,
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23 abnormality in GM volumes was still associated with a significant change in both the scales used. This is particularly
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25 important, as diffusion measures are usually more difficult to obtain than volumes because of higher scanner requirements
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27 to acquire the sequences, measurement variability across different scanner types, and the advanced processing required to
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29 extract the measures. In addition, diffusion imaging is more prone to image artefacts than conventional T1-weighted
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31 structural imaging.
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36 Future studies need to clarify what is a clinically relevant change in such clinical scores, and if the increase predicted by
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38 GM volumes are sufficient to discriminate “progressors” vs “non progressors” in the context of clinical trials. Moreover, it
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40 will be important to analyse the longitudinal evolution of brain changes and their correlations with the development and
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42 onset of symptoms. Another important future investigation is the detailed analyses of which cognitive or behavioural change
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44 would be better predicted by abnormal brain features at baseline, and how other variables can contribute to these different
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46 profiles of progression. In this study we only focused on the global scores, but a dedicated investigation of the single
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48 subscores and specific cognitive domains is needed, including also measures that might predict motor phenotypes, especially
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50 for *C9orf72* expansion carriers. Moreover, as these findings are derived from group-level analyses, their relevance and
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52 application at the level of the single individual has still to be demonstrated.
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1 This study has some limitations, including the difficulty of investigating small brain nuclei and tracts, which can be only
2 accurately measured with the higher spatial resolution and contrast provided by high field MRI. For this reason, when
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4 looking at the progression of clinical and behavioural scores we only focused on the whole structures. Moreover, the MR
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6 images were acquired from different scanners: despite the correction for manufacturer, together with other confounding
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8 variables, when computing the w-scores, we cannot assume their effects have been fully excluded. Moreover, in subgroups
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10 with less than 6 cases the limitations of the Wilcoxon Signed Rank test meant that despite showing large changes these
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12 could not reach statistical significance. Further studies with larger samples are important to provide evidence on this matter.
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14 Another important study would be to investigate the threshold for abnormality of w-scores by setting the threshold at the 5th
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16 percentile, rather than the 10th, to determine if even larger differences are seen over time in these more stringent subgroups.
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18 We examined this threshold in the current cohort, but unfortunately the small sample size of the abnormal subgroups
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20 prevented further analysis from being possible, and larger samples are needed.
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25 In summary, by looking at *in vivo* regional volumetry, we have quantified and localised regional abnormalities on MRI in
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27 presymptomatic and symptomatic mutation carriers, and were able to detect different profiles of clinical and behavioural
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29 changes over time from brain abnormalities at baseline. This provides important evidence that imaging biomarkers can be
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31 helpful in designing clinical trials at the presymptomatic stages of genetic FTD.
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Competing interests

The authors report no competing interest.

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38 **Figure Legends**

39
40 **Figure 1. Regions of interest used in the grey and white matter analyses.** Abbreviations. Cortex: VMPFC ventromedial
41 prefrontal, TP temporal pole, MT medial temporal, CING cingulate, MOT motor, S sensory, MP medial parietal, OCC
42 occipital, DLPFC dorsolateral prefrontal, OF orbitofrontal, INS insula, DLT dorsolateral temporal, LP lateral parietal; Basal
43 ganglia and Basal forebrain: GP pallidum, CAU caudate, PUT putamen, BF basal forebrain, NA nucleus accumbens;
44 Brainstem and Cerebellum: SCP superior cerebellar peduncle, MB midbrain, ME medulla; VIIA – CI lobule VIIA – Crus
45 I, VIIA – CII lobule VIIA – Crus II, DN deep nuclei; Amygdala: CAT cortico-amygdaloid transition area, Sup superficial
46 nuclei, AB accessory basal nucleus; Hippocampus: DG dentate gyrus, CA cornu ammonis; Thalamus: AV anteroventral,
47 VA ventral anterior, LD laterodorsal, VLa ventral lateral anterior, MD mediodorsal, LP lateral posterior, VLp ventral lateral
48 posterior, VPL ventral posterolateral, VM ventromedial, LGN lateral geniculate nucleus, MGN medial geniculate nucleus;
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Hypothalamus: as anterior superior, ai anterior inferior, s-tub superior tuberal, i-tub inferior tuberal, pos posterior; White matter tracts: UF uncinata fasciculus, SLF superior longitudinal fasciculus, Cing cingulum, SS sagittal stratum, pTR posterior thalamic radiation, aCR anterior corona radiata, pCR posterior corona radiata, sCR superior corona radiata, EC external capsule, aIC anterior part of the internal capsule, pIC posterior part of the internal capsule, rIC retrolenticular part of the internal capsule, gCC genu of the corpus callosum, bCC body of the corpus callosum, sCC splenium of the corpus callosum.

Figure 2. Pattern of grey matter involvement in *C9orf72* for the stages defined by CDR®+NACC FTLD global scores.

The colour map indicates the percentile corresponding to the mean w-scores in each group ($C9orf72 \leq 0.5/\geq 1$), when these were statistically abnormal (i.e., significantly different from 0, t-test) when compared to controls (n=240).

Figure 3. Pattern of grey matter involvement in *MAPT* for the stages defined by CDR®+NACC FTLD global scores.

The colour map indicates the percentile corresponding to the mean w-scores in each group ($MAPT \leq 0.5/\geq 1$: n=52/15), when these were statistically abnormal (i.e., significantly different from 0, t-test) when compared to controls (n=240).

Figure 4. Pattern of grey matter involvement in *GRN* for the stages defined by CDR®+NACC FTLD global scores.

The colour map indicates the percentile corresponding to the mean w-scores in each group ($GRN \leq 0.5/\geq 1$: n=130/30), when these were statistically abnormal (i.e., significantly different from 0, t-test) when compared to controls (n=240).

Figure 5. Pattern of white matter involvement in *C9orf72* for the stages defined by CDR®+NACC FTLD global scores.

FA indexes are reported on the left side of the figure, while MD on the right. The colour map indicates the percentile corresponding to the mean w-scores in each group ($C9orf72 \leq 0.5/\geq 1$: n=113/47), when these were statistically abnormal (i.e., significantly different from 0, t-test) when compared to controls (n=240).

Figure 6. Pattern of white matter involvement in *MAPT* for the stages defined by CDR®+NACC FTLD global scores.

FA indexes are reported on the left side of the figure, while MD on the right. The colour map indicates the percentile corresponding to the mean w-scores in each group ($MAPT \leq 0.5/\geq 1$: n=52/15), when these were statistically abnormal (i.e., significantly different from 0, t-test) when compared to controls (n=240).

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2 **Figure 7. Pattern of white matter involvement in GRN for the stages defined by CDR®+NACC FTLD global scores.**

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4 FA indexes are reported on the left side of the figure, while MD on the right. The colour map indicates the percentile
5 corresponding to the mean w-scores in each group ($GRN \leq 0.5/\geq 1$; $n=130/30$), when these were statistically abnormal (i.e.,
6 significantly different from 0, t-test) when compared to controls ($n=240$).
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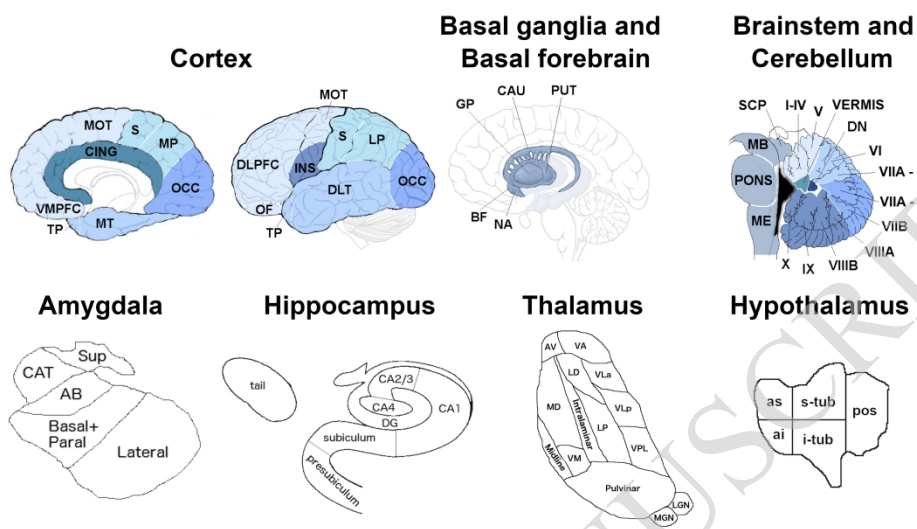
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13 **Figure 8. Longitudinal changes in the CDR®+NACC FTLD sum of boxes scores (first row) and CBI-R total scores**
14 **(second row) in the presymptomatic mutation carriers for those with w-scores of the whole brain volume above**
15 **(“normal” in black) and below (“abnormal” in red) the 10th percentile of the controls.** Asterisks indicate a significant
16 difference in progression between visits within the two groups at Wilcoxon Signed Rank exact test ($p \leq 0.05$). Bars indicate
17 the 95% confidence intervals of the mean. Analyses were performed on: *C9orf72* $n=56$; *MAPT* $n=32$; *GRN* $n=69$.
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26 **Figure 9. Largest longitudinal changes in the CDR®+NACC FTLD sum of boxes scores (first row) and CBI-R total**
27 **scores (second row) in the presymptomatic mutation carriers for those with “normal” and “abnormal” w-scores for**
28 **GM and WM regions.** Y-axis represents estimated marginalised means. Asterisks indicate a significant difference in
29 progression between visits within the two groups at Wilcoxon Signed Rank exact test ($p \leq 0.05$). Bars indicate the 95%
30 confidence intervals of the mean. Analyses were performed on: *C9orf72* $n=56$; *MAPT* $n=32$; *GRN* $n=69$. Abbreviations.
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DLPFC dorsolateral prefrontal, VMPFC ventromedial prefrontal, MED PARIETAL medial parietal, FA fractional
anisotropy, MD mean diffusivity, UF uncinete fasciculus, SS sagittal stratum, gCC genu of the corpus callosum, sCR
superior corona radiata, aCR anterior corona radiata.

Table 1: Demographic and clinical characteristic of the cohort divided by genetic group and CDR®+NACC FTLD global scores. Abbreviations: SD standard deviation, N/A not applicable, N/I not included in the analyses, FTD frontotemporal dementia, bvFTD behavioural variant FTD, PPA primary progressive aphasia, NOS not otherwise specified, CBS corticobasal syndrome, PSP progressive supranuclear palsy, ALS amyotrophic lateral sclerosis, CBI-R revised version of the Cambridge Behavioural Inventory.

	Non-carriers	<i>C9orf72</i> expansion carriers		<i>MAPT</i> mutation carriers		<i>GRN</i> mutation carriers	
		≤0.5	≥1	≤0.5	≥1	≤0.5	≥1
CDR®+NACC FTLD global score							
N	240	113	47	52	15	130	30
Age, year (mean and SD)	44.8(12.2)	45.0(11.5)	63.5(7.4)	41.1(10.6)	59.2(9.3)	46.5(12.2)	63.3(8.1)
Sex, male (%)	103(42.9%)	48(42.5%)	31(66.0%)	21(40.4%)	9(60.0%)	48(36.9%)	14(46.7%)
Clinical phenotype	N/A	N/A	36 bvFTD, 4 FTD-ALS, 2 ALS, 2 PPA, 1 PSP, 1 Dementia-NOS, 1 Other	N/A	13 bvFTD, 1 PPA, 1 Dementia-NOS	N/A	16 bvFTD, 12 PPA, 1 CBS, 1 Other
CDR®+NACC FTLD sum of boxes score (mean and SD) (baseline/follow-up)	N/A	0.2(0.5)/0.9(2.5)	N/I	0.3(0.7)/0.6(1.3)	N/I	0.2(0.5)/0.8(2.8)	N/I
CBI-R total score (mean and SD) (baseline/follow-up)	N/A	9.0(9.5)/9.4(14.5)	N/I	6.8(7.8)/9.0(13.4)	N/I	5.2(8.5)/7.0(13.5)	N/I

GREY MATTER



WHITE MATTER

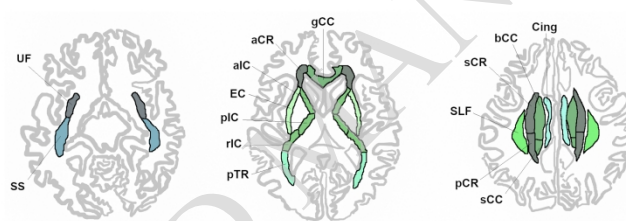


Figure 1

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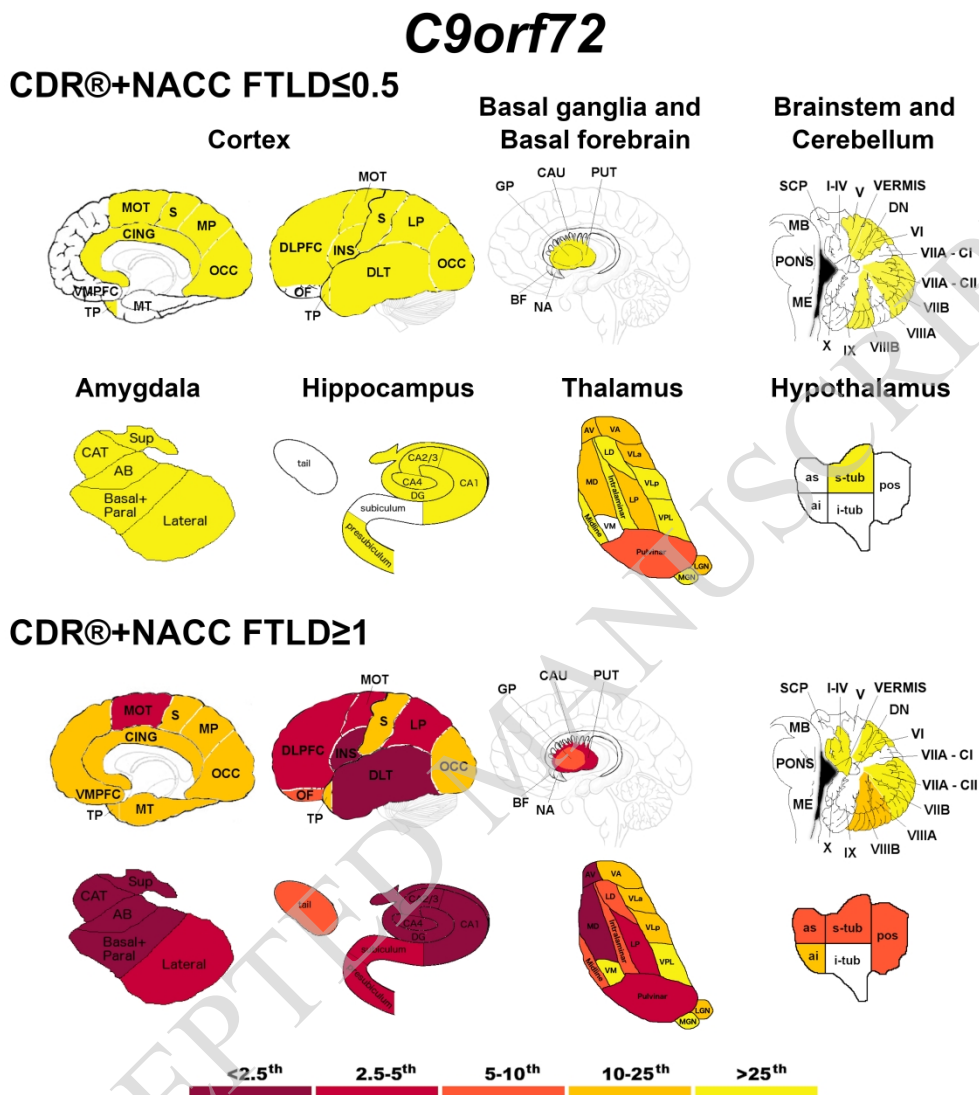


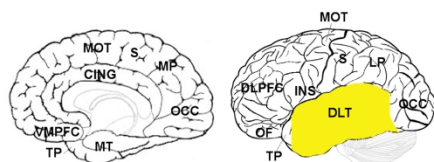
Figure 2

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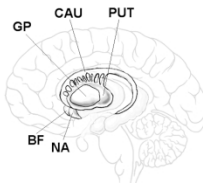
MAPT

CDR®+NACC FTLD ≤ 0.5

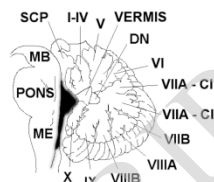
Cortex



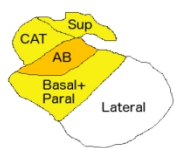
Basal ganglia and Basal forebrain



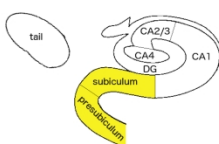
Brainstem and Cerebellum



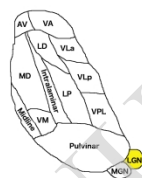
Amygdala



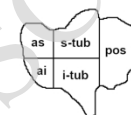
Hippocampus



Thalamus



Hypothalamus



CDR®+NACC FTLD ≥ 1

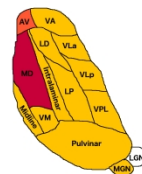
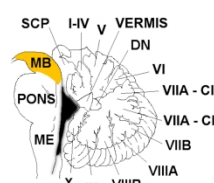
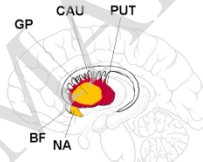
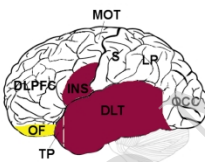
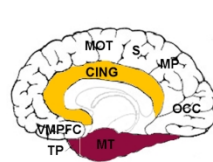


Figure 3

387x433mm (300 x 300 DPI)

Downloaded from https://academic.oup.com/braincomms/advance-article/doi/10.1093/braincomms/foad061/7075207 by University College London user on 14 March 2023

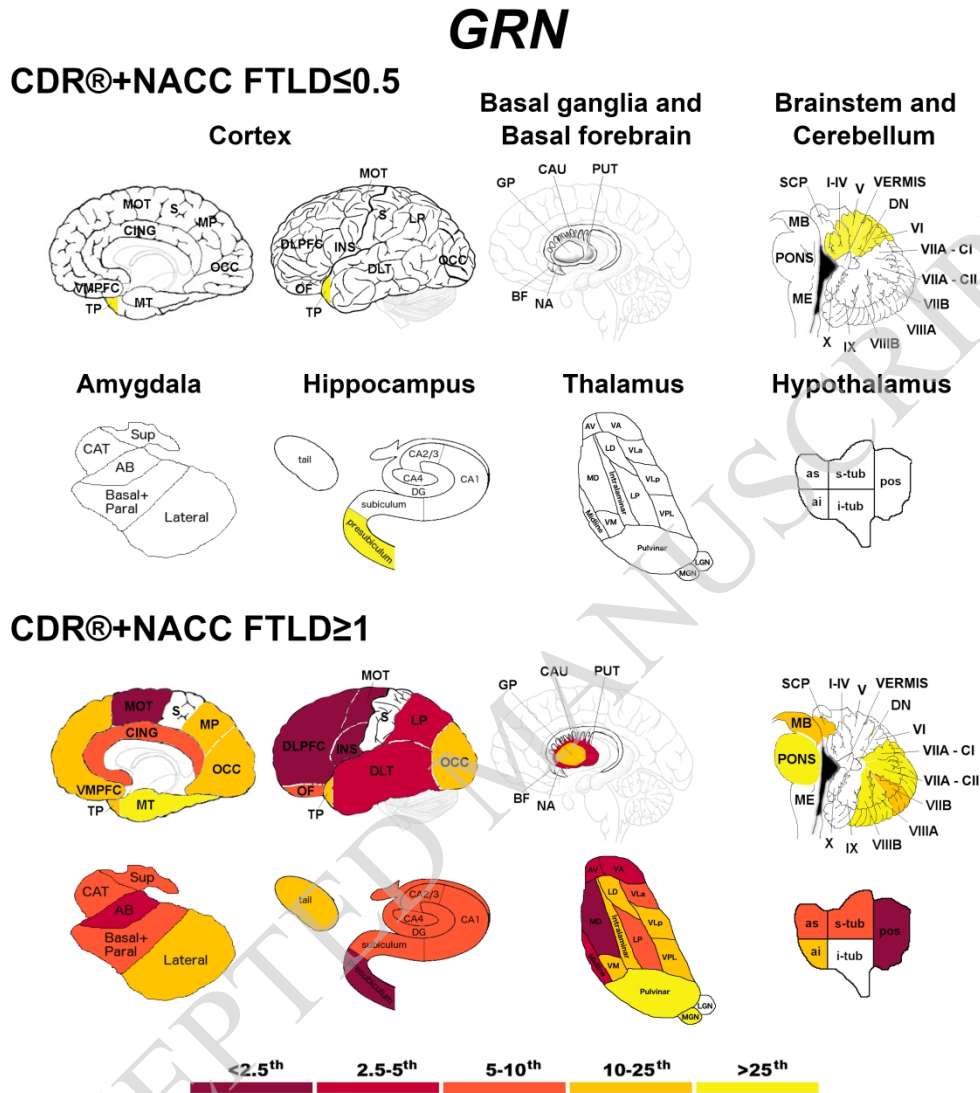


Figure 4

387x433mm (300 x 300 DPI)

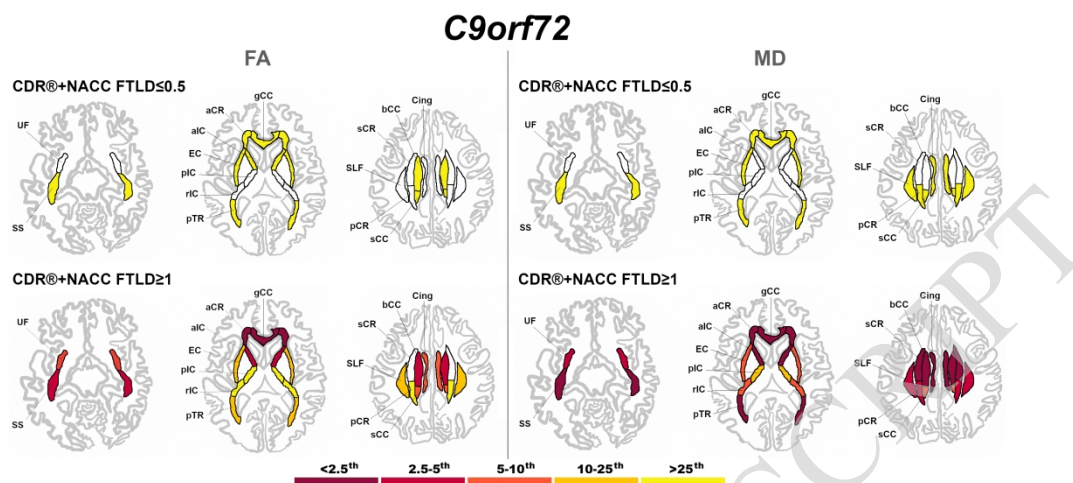
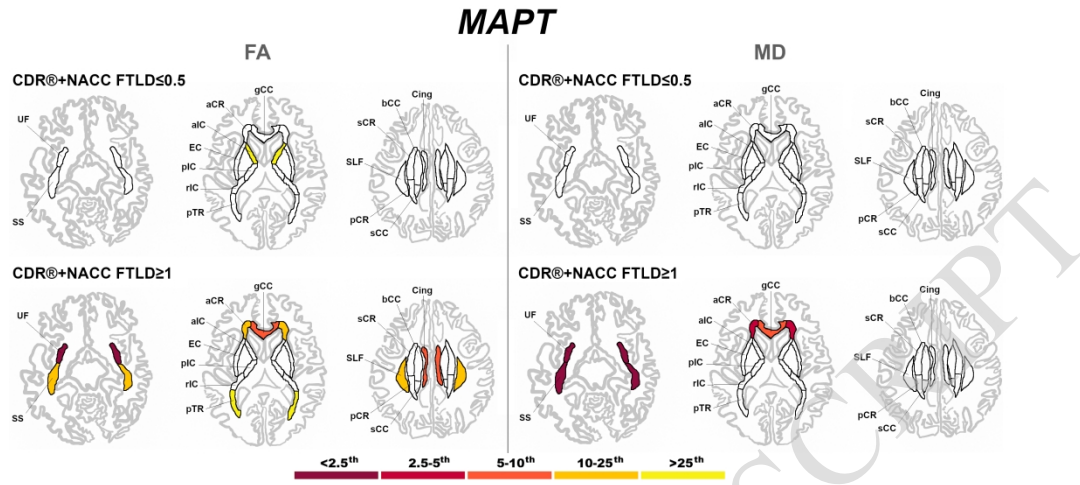


Figure 5

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ACCEPTED MANUSCRIPT



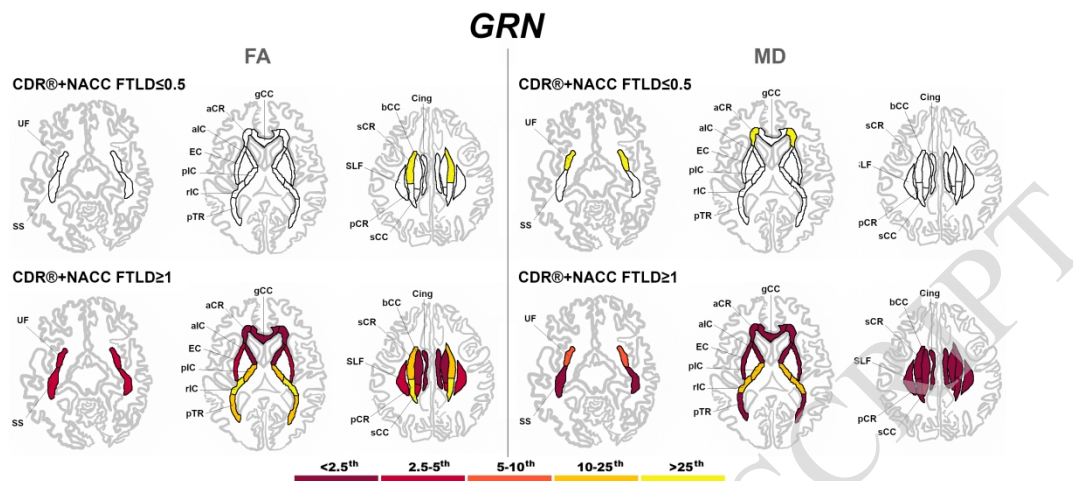


Figure 7

301x143mm (300 x 300 DPI)

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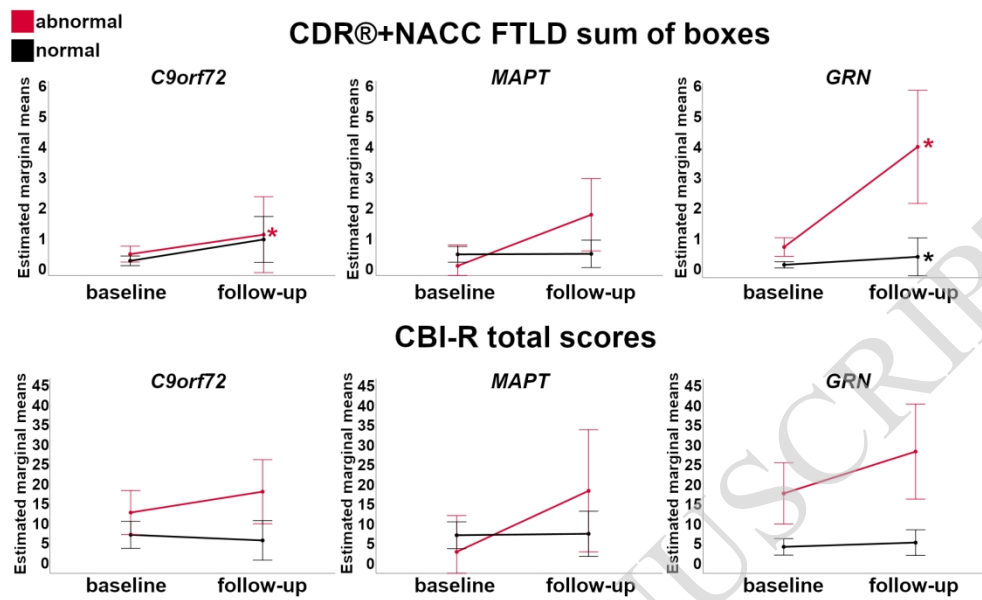


Figure 8

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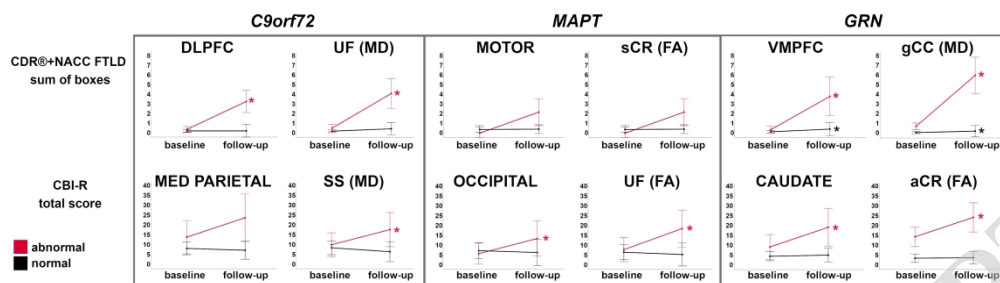
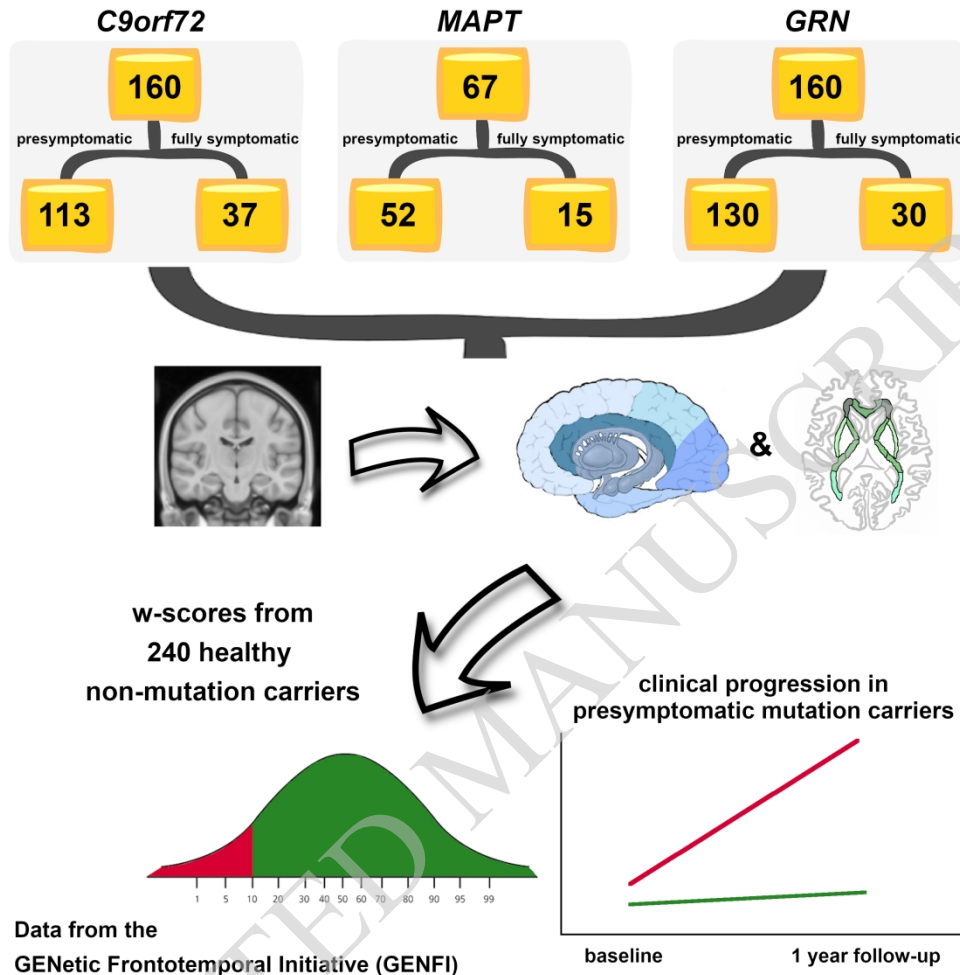


Figure 9

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ACCEPTED MANUSCRIPT



w-scores from 240 healthy non-mutation carriers

clinical progression in presymptomatic mutation carriers

Data from the GENetic Frontotemporal Initiative (GENFI)

baseline 1 year follow-up

Graphical abstract

1058x1058mm (72 x 72 DPI)

ACCEPTED MANUSCRIPT