

Lung Cancer

Economic impact of using risk models for eligibility selection to the International Lung Screening Trial --Manuscript Draft--

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Abstract:	Objectives Using risk models as eligibility criteria for lung screening can reduce race and sex-based disparities. We used data from the International Lung Screening Trial (ILST; NCT02871856) to compare the economic impact of using the PLCOm2012 risk model or the US Preventative Services' categorical age-smoking history-based criteria (USPSTF-2013).

Materials and Methods

The cost-effectiveness of using PLCOm2012 versus USPSTF-2013 was evaluated with a decision analytic model based on the ILST and other screening trials. The primary outcomes were costs in 2020 International Dollars (\$), quality-adjusted life-years (QALY) and incremental net benefit (INB, in \$ per QALY). Secondary outcomes were selection characteristics and cancer detection rates (CDR).

Results

Compared with the USPSTF-2013 criteria, the PLCOm2012 risk model resulted in \$355 of cost savings per 0.2 QALYs gained (INB=\$4294 at a willingness-to-pay threshold of \$20 000/QALY (95%CI: \$4205–\$4383). Using the risk model was more cost-effective in females at both a 1.5% and 1.7% 6-year risk threshold (INB=\$6616 and \$6112, respectively), compared with males (\$5221 and \$695). The PLCOm2012 model selected more females, more individuals with fewer years of formal education, and more people with other respiratory illnesses in the ILST. The CDR with the risk model was higher in females compared with the USPSTF-2013 criteria (Risk Ratio=7.67, 95% CI: 1.87–31.38).

Conclusion

The PLCOm2012 model saved costs, increased QALYs and mitigated socioeconomic and sex-based disparities in access to screening.

Highlights

- Using the PLCOm2012 risk model to determine eligibility for lung screening saves costs, and improves outcomes compared with age-smoking history categorical methods
- The risk model mitigated gender-based and socioeconomic disparities in access to lung screening
- The PLCOm2012 risk model was most cost-effective for females [and at a 1.5%/6 year threshold for both sexes](#)
 -
- Our findings call for deeper inquiry into screening eligibility processes through a sex and gender plus-based lens

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1 Economic impact of using risk models for eligibility selection to the International Lung
2 Screening Trial

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37 *selection*

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46 **Abstract**

47
48 **Objectives**

49 Using risk models as eligibility criteria for lung screening can reduce race and sex-based
50 disparities. We used data from the International Lung Screening Trial (ILST; NCT02871856) to
51 compare the economic impact of using the PLCOm2012 risk model or the US Preventative
52 Services' categorical age-smoking history-based criteria (USPSTF-2013).

53
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55 The cost-effectiveness of using PLCOm2012 versus USPSTF-2013 was evaluated with a
56 decision analytic model based on the ILST and other screening trials. The primary outcomes
57 were costs in 2020 International Dollars (\$), quality-adjusted life-years (QALY) and incremental
58 net benefit (INB, in \$ per QALY). Secondary outcomes were selection characteristics and cancer
59 detection rates (CDR).

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63 savings per 0.2 QALYs gained (INB=\$4294 at a willingness-to-pay threshold of \$20 000/QALY
64 (95%CI: \$4205-\$4383). Using the risk model was more cost-effective in females at both a 1.5%
65 and 1.7% 6-year risk threshold (INB=\$6616 and \$6112, respectively), compared with males
66 (\$5221 and \$695). The PLCOm2012 model selected more females, more individuals with fewer
67 years of formal education, and more people with other respiratory illnesses in the ILST. The
68 CDR with the risk model was higher in females compared with the USPSTF-2013 criteria (Risk
69 Ratio=7.67, 95% CI: 1.87-31.38).

70
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72 The PLCOm2012 model saved costs, increased QALYs and mitigated socioeconomic and sex-
73 based disparities in access to screening.

77 1. Introduction

78
79 Three large randomized trials have shown that lung cancer screening with low-dose
80 computed tomography (LDCT) can reduce lung cancer mortality by more than 20%.¹⁻³ In
81 2013 the US Preventative Services Task Force (USPSTF) gave lung screening a grade “B”
82 recommendation for individuals between ages 55–80, with at least 30 pack-years of smoking
83 history and ≤ 15 years of smoking abstinence.⁴ The recommendation supported
84 reimbursement of lung screening exams for insured individuals who met this criterion.
85 Recent findings suggest that defining eligibility by such categorical age-smoking criteria,
86 however, inadvertently introduces disparity in access to screening for Black African
87 Americans and for females who may fall below the cut-points for eligibility, despite having a
88 high lung cancer risk.^{5,6}

89
90 To mitigate race and sex-based disparities in access to lung screening, the USPSTF has
91 recently reduced the minimum age of screening eligibility to 50 years and lowered the
92 smoking pack-years requirement from 30 to 20 years.^{7,8} Modelling studies have since shown
93 that not only do these newer USPSTF-2021 criteria fail to mitigate disparity in access to
94 screening, but the new criteria further exacerbate racial disparity since white participants
95 would gain significantly more years of life from lung screening.⁹ The potential for such
96 “intervention-generated inequities” in lung cancer screening calls for evaluations that
97 simultaneously consider who gains and who loses from lung cancer screening selection
98 methods.¹⁰ A methodology from health economics known as distributional cost-
99 effectiveness is gaining momentum in mainstream evaluative research as a way to
100 simultaneously quantify cost-effectiveness and equity impacts resulting from large-scale
101 public health decisions, such as cancer screening.¹¹ Of particular concern for the area of lung
102 screening is the measure of forgone investment from investing in policy alternatives which
103 provide benefits that are more evenly distributed across the population.

104
105 Lung cancer risk models provide an alternative to the USPSTF’s categorical age-smoking
106 history-based eligibility criteria. Through their ability to simultaneously account for tobacco
107 smoke exposure, comorbidities, cancer history and socioeconomic characteristics, risk

108 models give additional weight to the most established predictors of lung cancer compared
109 with age and smoking history considerations alone. When compared to categorical risk-
110 factor-based methods of selection, risk models have been found superior in their ability to
111 identify Black Americans with lung cancer in the US and can mitigate disparity in the
112 number of life-years gained from screening for both Black participants and females.^{9,12-14}
113 Prospective trials from multiple countries have shown that risk models such as the
114 PLCOm2012 can reduce the number of participants needed to screen to detect early-stage
115 lung cancer with a high ratio of curable lung cancer among those selected.^{15,16}

116
117 The International Lung Screening Trial (ILST; NCT02871856) was designed to
118 prospectively compare early lung cancer detection rates for the PLCOm2012 risk model
119 versus the USPSTF-2013 categorical age-smoking criteria method of determining screening
120 eligibility and the economic implications. The study recruited 5819 participants in Canada,
121 the UK, Australia, Spain, and Hong Kong, between 2015-2020 to analyse the effectiveness
122 and cost-effectiveness of selecting participants with the PLCOm2012 risk model.¹⁷ Early
123 results suggest that the PLCOm2012 model offers more cumulative years of life and is a
124 more accurate predictor of lung cancer risk than the USPSTF-2013 method of determining
125 eligibility.¹⁸ The study found that female participants would gain significantly more years of
126 life from screening if selected with the PLCOm2012 method and that the cancer detection
127 rate was higher for ILST participants who scored positive with the PLCOm2012 risk model.
128 We, therefore, hypothesize that risk models enable more cost-effective screening programs
129 compared to the categorical age-smoking history-based methods of determining eligibility.
130 This study aims to compare the characteristics of ILST participants selected with the
131 PLCOm2012 risk model versus those with the USPSTF-2013 criteria, and to quantify the
132 cost-effectiveness of the two competing selection methods.

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139 2. Methods

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141 2.1. Model overview

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143 We developed a probabilistic simulation model to compare the PLCOm2012 method of

144 determining lung cancer screening eligibility with the USPSTF-2013 criteria. In the base-

145 case scenario, the total costs and total quality-adjusted life years (QALYs) gained from

146 lung screening with the PLCOm2012 risk model were compared with the USPSTF-2013

147 categorical age-smoking based eligibility criteria, over the average lifetime horizon of

148 ILST participants (*i.e.* years 2020–2040). The risk threshold used for selection based on

149 the PLCOm2012 score was $\geq 1.70\%/6$ years in the base-case scenario. This value was

150 selected because it led to similar numbers of participants eligible in both study arms of

151 the ILST. The economic modelling followed a semi-Markov framework, relaxing the

152 memoryless Markovian property and allowing for time-dependent events (*i.e.* cancer

153 incidence and increasing mortality rates with age) to change. In the intervention and

154 comparator arms of the analysis, costs, health state transitions and long-term outcomes

155 were simulated according to the probability that ILST participants will be stratified into

156 “screen” or “no screen” branches of the model, depending on the eligibility criteria used

157 (Figure 1). Long-term screening outcomes were simulated based on a previous version of

158 the model built from the NLST trial data (Table 2). Full details are provided in the

159 supplementary material. All future costs and QALYs were discounted at a rate of 3% per

160 year and expressed in 2020 International Dollars (~1 US, 1.34 Canadian or 1.45

161 Australian Dollars, in 2020). The currency conversion used purchasing power parity

162 indicators from the World Health Organization and we followed international guidelines

163 for discounting in economic evaluations.¹⁹ The uncertainty from key parameters and

164 assumptions was evaluated deterministically and the combined effect of uncertainty for

165 all parameters was evaluated probabilistically. The incremental cost-effectiveness ratio

166 (ICER) was linearized at a willingness to pay (WTP) threshold of \$20 000 /QALY to

167 yield the incremental net monetary benefit (INB) statistic for accurate comparison of

168 cost-effectiveness across different scenarios.²⁰ We assumed the perspective of the

169 universal healthcare payer, which is similar to the societal perspective regarding

170 decisions about how to determine eligibility for lung cancer screening. The WTP
171 threshold for lung screening is indicative of cost-effectiveness in Canada and Australia,
172 but not a deciding factor; we, therefore, selected this value as a nominal threshold for
173 comparison with other early detection health services.^{21,22}

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175 2.2. Participants

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177 Participant data from the ILST were used to determine the probability of screening and
178 cancer incidences. Between August 25, 2016, to November 21, 2020, individuals who
179 were above age 55 and with at least 20 years of smoking history were recruited for
180 participation in the ILST by radio, television, newspaper, paid social media
181 advertisements, mail-out invitations and/or through general practice clinics in both
182 countries. This analysis included the Australia and Canada study sites of the ILST, which
183 recruited approximately the same number of participants from each country. Eligibility
184 for participation was considered if individuals had either a PLCOm2012 lung cancer risk
185 score of at least 1.51%/6 years or if they met the USPSTF-2013 criteria (≥ 30 pack-years;
186 smoked within 15 years, age between 55 and 80); individuals who met either selection
187 criteria were invited for an LDCT screening exam according to the study protocol.¹⁷

188

188 2.3. Parameters and Assumptions

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190 2.3.1 Screening outcomes

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192 The model considered lung cancer incidences from the ILST and all other outcomes
193 from the previously published simulation model from the NLST (cancer progression
194 rates, cancer incidences in no-LDCT screen scenario, and mortality by lung cancer or
195 any cause). Long-term incidence and mortality rates were standardized for age and
196 sex with Canadian life and cancer incidence tables, assuming similar trends in Canada
197 and Australia.^{23,24} The cancer detection rate (CDR) was the number of ILST
198 participants with lung cancer detected within one year of their last annual screening
199 exam for either the PLCOm2012 or USPSTF-2013 method, divided by the total
200 number who were assessed for risk, deemed eligible and screened. The CDR in the

201 ILST was used to calculate transition probabilities to either curable or non-curable
202 lung cancer for each selection strategy, by sex. Stage data (using the American Joint
203 Committee on Cancer TNM system—8th edition) were assigned for all participants
204 with any clinically confirmed lung cancer in the ILST and used to classify the
205 detected lung cancer as curable versus not curable. Any resectable stage IIIA or lower
206 lung cancer was considered “curable” and any non-resectable stage IIIA, stage IIIB or
207 stage IV lung cancer was considered “non-curable”. To account for health status
208 during screening, no-screening, treatment and relapse, we used screening utility
209 values from participants published in the literature (Table 2). We assumed that for the
210 “no-screen” pathway, participants would not experience disutility from potential
211 screening harms (*i.e.* false-positive results, anxiety, benign resections), that utility
212 was similar across demographic subgroups of the cohort, and that screening-eligible
213 participants would not improve their health status for any reason.

214

215 2.3.2 Costs

216

217 The resource utilization data from the ILST were not uniformly collected at all study
218 sites, therefore we reference a detailed prospective analysis of resource utilization
219 rates for Canadian lung screening participants.²⁵ A retrospective Australia study
220 shows similar resource utilization rates and costs associated with screening.²⁶ Full
221 details of the costing method are in the supplementary material. Briefly, a review of
222 the Pharmaceutical Benefits Scheme schedule in Australia and health technology
223 assessments in Canada was undertaken and the annual costs for all new treatments
224 were calculated per list price and dosing requirements on the FDA label, considering
225 the restricted mean duration of progression-free survival from the pivotal drug
226 approval trials. Costs for a five-minute telephone-based risk assessment by a
227 navigator were applied to the intervention arm regardless of whether or not a
228 participant was deemed eligible by the risk model and an additional 35 minutes of
229 navigator time was applied to those who were PLCO-positive. Cost data were
230 evaluated annually for each health state. A separate analysis of the first-year rates and
231 costs of physician services, imaging exams, invasive investigations, follow-up CT

232 exams, and adverse events was undertaken for screening participants who did not
233 have lung cancer detected (*i.e.* costs for false-positive examinations), disaggregated
234 by sex.

235

236 2.4 Sensitivity analysis

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238 The impact of lowering or increasing the risk threshold applied to the PLCOm2012
239 model from the $\geq 1.7\%/6$ years threshold in the base-case scenario was tested
240 deterministically. Male and female incidence and mortality data were disaggregated and
241 the cost-effectiveness for both sexes was analyzed separately in the deterministic
242 sensitivity analysis. The deterministic sensitivity analysis assessed basic modelling
243 parameters including discount rate assumptions, individual variations in all costs, utilities
244 and transition probabilities in the model. Alternate scenarios included WTP thresholds
245 between zero and \$50 000/QALY and the assumption that risk-model selected cohorts
246 could have 10% higher rates of mortality due to greater age, comorbidities, or adverse
247 socioeconomic reasons or 10% lower rates of mortality due to smoking cessation being
248 provided along with lung screening or prescriptions of statins from incidental coronary
249 findings. For the probabilistic sensitivity analysis (PSA) we ran 100 000 Monte Carlo
250 simulations, accounting for uncertainty from the data on costs with gamma distributions
251 around the mean and beta distributions around the mean for annual transition
252 probabilities and health utility inputs (Table 2).

253

254 2.5. Statistical analysis

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256 We used fisher's exact tests to detect differences in proportions and t-tests for differences
257 in means. A comparison of the demographic characteristics for PLCOm2012 versus
258 USPSTF-2013 selected groups in the ILST was performed with tests of statistical
259 significance using p-values from two-sided tests and any threshold < 0.1 was considered
260 relevant for potentially significant findings. The statistical analyses and modelling inputs
261 were prepared with StataMP version 10, and the model was programmed with
262 TreeAgePro version 2020.

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3. Results

The ILST recruited 10 983 individuals in Canada and Australia who had an interest in lung cancer screening. Of these, 4062 were deemed eligible by either the PLCOm2012 or USPSTF-2013 criteria and enrolled in the study (Table 1). There were 774 (19%) participants who were PLCOm2012-positive with the $\geq 1.7\%$ threshold applied but were USPSTF-2013-negative; 797 (20%) who were PLCOm2012-negative and USPSTF-2013-positive; and 2491 (61%) participants were selected by both criteria. Participants excluded by the USPSTF-2013 criteria had an elevated lung cancer risk according to the PLCOm2012 model (3.25% (95% CI:3.11-3.39)). Compared to the USPSTF-2013-negative portion of the ILST, the USPSTF-2013 criteria selected a significantly higher proportion of male participants, as well as those with younger age, higher educational attainment, and fewer co-morbidities. There was no significant difference in the proportion of males and females selected with the PLCOm2012 model ($p=0.84$); however, the risk model selected a higher proportion of individuals with fewer years of formal education, more co-morbid respiratory illnesses, personal history of cancer or family history of lung cancer, and a lower proportion of males and post-secondary graduates (Table 1). Over 90% of the ILST participants who enrolled were white, thereby limiting our ability to report on racial differences attributed to the eligibility criteria. White participants who were eligible by either criterion were more likely to enroll than eligible participants who reported to be of another race (Supplementary Table S.1.).

Of the 97 individuals with screen-detected lung cancer, 89 were selected with the PLCOm2012 model, and 71 were selected with the USPSTF-2013 criteria. The risk ratio (RR) for screen-detected lung cancer (CDR) was significantly higher in the PLCO-positive portion of the ILST compared with participants who scored negative with the PLCOm2012 selection method (RR: 3.31; 95% CI: 1.61–6.79), whereas the proportion of participants with screen-detected lung cancer did not differ between the USPSTF-2013 positive and negative

294 subgroups (RR: 0.79; 95%CI: 0.50-1.22), for both sexes combined. The CDR was greater for
295 individuals scoring positive by PLCOm2012, but it was not significant in males, (females:
296 RR 7.67, 95% CI:[1.87–31.38]; males: RR: 1.86, 95%CI:[0.79–4.48]). Female ILST
297 participants had a slightly younger mean age than males (64 vs 65) and more females
298 enrolled in the 55-59 age group than males (29% versus 26%).

299
300 Non-curative treatment costs for lung cancer have increased substantially over the past five
301 years. Specifically, the costs for systemic therapy increased 9.4-fold in Canada and 7.5-fold
302 in Australia due to new treatments for non-small cell lung cancer (Supplementary Material).
303 The rate of false-positive investigations for participants who did not have lung cancer was
304 low overall, however, the rate of false-positive invasive investigations per person (*i.e.* needle
305 biopsies and bronchoscopy exams for participants who did not have lung cancer) was slightly
306 higher in females compared with males, (0.05 vs. 0.03, $p=0.06$), and the mean cost for these
307 unnecessary invasive investigations was significantly higher (\$83 vs. \$41; $p<0.05$).

308
309 In the base-case scenario, risk-model selection resulted in \$355 of cost savings per 0.20
310 QALYs gained over the USPSTF-2013 strategy. At a willingness-to-pay threshold of \$20
311 000/QALY, the mean incremental net benefit (INB) was \$4294(95%CI: \$4205–\$4383). The
312 probabilistic sensitivity analysis showed that 90% of the simulations were considered cost-
313 effective at \$20 000/QALY, and 80% of the simulations resulted in cost-savings if the WTP
314 threshold was \$0/QALY; the risk model delivered more QALYs and saved costs (See
315 supplementary material, Figure S.4). The INB of using the PLCOm2012 model for selection
316 in males was \$695(95%CI: \$608–\$781); in females, using the risk model was more cost-
317 effective: (INB= \$6616, 95%CI: \$6436–\$6796). Lowering the threshold to $\geq 1.5\%/6$ years
318 increased the INB to \$4876(95%CI: \$4782–\$4969) for both sexes, while the INB for females
319 was unaffected (\$6112(95%CI: \$6419–\$6803) but improved substantially for males:
320 \$5221(95%CI: \$5124–\$5318). The risk model was most cost-effective at the $\geq 1.5\%/6$ years
321 threshold (INB: \$4876). When this threshold was applied, 379 (9%) more ILST participants
322 were eligible for screening and four additional cases of lung cancers would be detected
323 compared to the base-case $\geq 1.7\%/6$ years threshold. At the $\geq 1.3\%/6$ years threshold there
324 would be 538 more participants eligible compared to the base case scenario, and six

325 additional cases of lung cancer would be detected however the INB was less favorable as this
326 threshold is nearly equivalent to the USPSTF-2013 criteria. Increasing the risk threshold to
327 $\geq 1.9\%/6$ years also diminished the cost-savings effect of using the risk model (INB = -\$1089,
328 95% CI: -\$1173 to -\$1005) in comparison with the USPSTF-2013 criteria, a finding related to
329 older ages selected at this risk threshold. In alternate scenarios where the background
330 mortality rate was increased by 10% in the PLCOm2012 arm only, the
331 PLCOm2012($\geq 1.7\%/6$ years risk threshold) method remained the cost-saving strategy for
332 determining eligibility, INB= \$1034(95% CI: \$947-\$1221). Reducing mortality in the
333 PLCOm2012 arm to the same degree (feasibly through effective smoking cessation treatment
334 or prescribing life-saving statins for incidentally discovered coronary disease) had the most
335 favourable economic impact (INB: \$7736, 95%CI: \$7642-\$7830) (Figure 2).

336

337 4. Discussion

338

339 Using prospective data from the ILST we found that the PLCOm2012 risk model saved costs
340 and QALYs compared with the USPSTF-2013 risk factor criteria. The CDR was higher with
341 the PLCOm2012 risk model method of selection compared with the USPSTF-2013 criteria
342 and the risk model improved access to screening for females and individuals with fewer years
343 of formal education. When the risk threshold was lowered to $\geq 1.5\%/6$ years, the cost-
344 effectiveness improved for both males and females, however in the ILST selection with the
345 risk model method was less cost-effective if the risk threshold applied was broadened to
346 $\geq 1.3\%/6$ years or narrowed to the $1.9\%/6$ years threshold. The efficiency of using the
347 PLCOm2012 risk model was most pronounced among females, a finding driven by the
348 pronounced difference in the observed cancer detection rate.

349

350 This finding is important because there are sex-based differences in enrollment in
351 randomized controlled trials.^{1,2} Selection criteria that do not favour enrolling females,
352 therefore, limit the potential impact of screening by design. Furthermore, the risk model may
353 reduce exposure to potentially higher screening harms for females who have a low risk of
354 developing lung cancer. It may be rationalized that with greater rates of false-positive
355 invasive investigations, and significantly higher CDR, females are at a higher risk of

356 screening harms from overdiagnosis; while gender and other social groupings have
357 established relationships with tobacco use, lung cancer and screening behaviour.^{10,31} Our
358 results call for the use of sex and gender-plus-based plus analysis to continue measuring
359 efficiency and equity in the implementation of lung screening.³²

360
361 We found the choice of the risk threshold used with a risk model could optimize the
362 economic impact of screening in the ILST cohort. The choice of which threshold value to use
363 may vary in different populations and policy settings. For example, the Ontario Lung
364 Screening Pilot study uses a $\geq 2.00\%$ /6-year risk threshold with the PLCOm2012 model.³³
365 The Australian and UK governments recommend using the $\geq 1.51\%$ /6-year threshold for their
366 future screening program.^{21,34} The UK program will also use a risk model developed by the
367 Liverpool Lung Project (LLP) and future participants may enroll if they reach the chosen
368 threshold with either risk model. Other studies suggest that risk models should be validated
369 in the specific population that they are used and that “living” assessments of risk should be
370 maintained from linked administrative databases to monitor changes over time.^{35,36}

371
372 Evidence from participants in screening trial cohorts is essential due to the anticipated
373 inconsistency between the population at risk and those who are more likely to participate in a
374 lung screening program³⁷ Observational studies however are limited in their reliance on
375 screening participation, thus underrepresenting the true racial, ethnic and gender diversity in
376 population-based settings. The availability of methods to evaluate screening utility or
377 disutility further limits the available economic evidence available for lung screening^{38,39} In
378 addition, there are limitations on the evidence available to inform willingness-to-pay
379 threshold assumptions. All of these limitations render our main findings likely to be an
380 underestimate of the efficiency and equity of lung screening selection methods in population
381 settings.

382
383 When potential participants are fully informed of the risks (potential screening harms) and
384 benefits (reduced lung cancer mortality) of screening, risk models offer an additional layer of
385 information that can support decisions, particularly for individuals with a high risk of
386 developing lung cancer. Our findings show that lung screening results are heterogenous when

387 disaggregated by sex and other indicators of social position. If health equality is an implied
388 social value, then the costs of interventions aimed at reducing inequities in access to
389 screening should be considered. The costs associated with the decision to use, or continue
390 using, the alternative, age-smoke history categorical method of determining lung screening
391 eligibility are paid by members of the population with social disadvantages.

392

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400

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402

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409

410 7. References

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Conflict of Interest Statements

SC developed the cost-effectiveness model used in the study. The code for the model is available free of charge to non-commercial users, upon request. Commercial users will need to establish an information-sharing agreement with Simon Fraser University and receive approval by the International Lung Screening Trial scientific steering committee. SC has not received money for the use of the economic model and does not anticipate any payments in the future. SC and RMy held grants from Michael Smith Health Research and the Social Sciences and Humanities Council of Canada to support community-engaged research on the topic of access to lung health services. AM received travel or accommodation support for meetings by Roche, Olympus and the International Association for the study of Lung Cancer, KMF received travel support for several medical and scientific meeting organizers and received additional grants or contracts from Olympus and Australian Medical Research Future Fund and MeVis Medical Solutions AG/Health Inc; and payments or honoraria for lectures, presentations or speaker's fees and is the unpaid Chair for Lung Cancer Consultative Group and a Council member. AT received consultancy fees from Olympus Respiratory America and additional grants or contracts from Biodesix Inc and Arch Biomedical Inc.

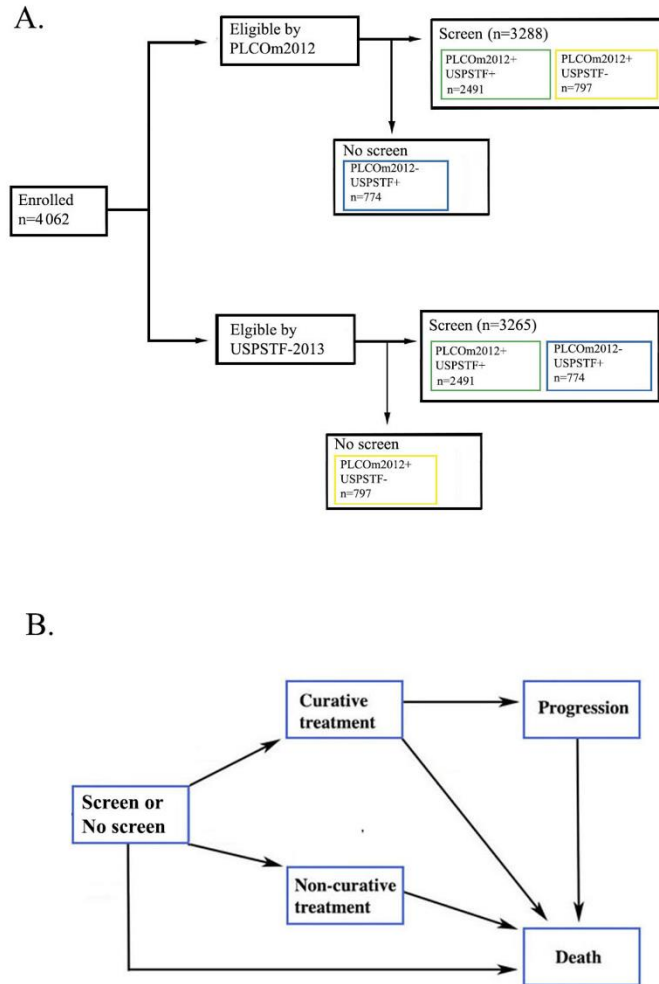


Figure 1. Model Structure. Comparison arms and screening strategies for the cost-effectiveness analysis (A) and Markov health states (B) defining the screening strategy (*i.e.* LDCT-based screening or not screening), Curable lung cancer (*i.e.* resectable stage IIIA or lower lung cancer), lung cancer progression (after resection), Non-curable Stage IIIA or higher and non-resectable lung cancer.

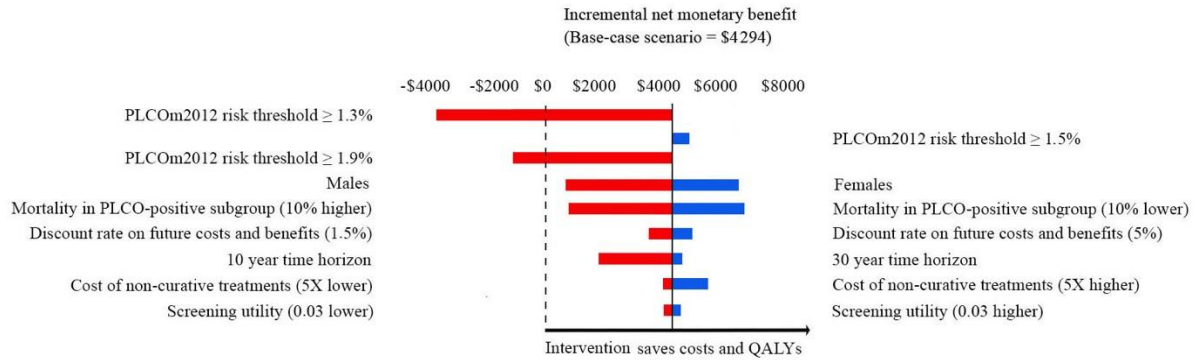


Figure 2. Deterministic sensitivity analysis. The base-case scenario compares the cost-effectiveness of lung screening eligibility selection using the PLCom2012 risk model, 1.7% with determining eligibility with the USPSTF-2013 criteria. The red and blue bars show the impact that individual parameter variations have on the incremental net benefit result. Higher INB (blue) indicates that the scenario is more cost-effective than the base-case scenario; red bars indicate reduced cost savings relative to the base-case scenario. Unless specified the scenarios are for males and females combined.

Table 1: Participant characteristics by selection method and screening eligibility

		PLCOm2012, $\geq 1.7\%$ /6-year risk threshold		USPSTF-2013 criteria	
		Screen	No screen	Screen	No screen
		N=3265	N=797	N=3288	N=774
Age	Years	65.7 (65.5–65.9)	58.7 (58.5–58.9)**	63.0 (62.8–63.2)	69.8 (69.4–70.2)**
Age groups	55-69	602 (18.4%)	521 (65.3%)**	1078 (32.8%)	45 (5.8%)**
	60-64	865 (26.5%)	224 (28.1%)	989 (30.1%)	100 (12.9%)**
	65-69	879 (26.9%)	48 (6.0%)**	726 (22.1%)	201 (26.0%)*
	70-74	619 (19.0%)	4 (0.5%)**	379 (11.5%)	244 (31.5%)**
	75-79	284 (8.7%)	0 (0.0%)**	113 (3.4%)	171 (22.0%)**
	≥ 80	16 (0.5%)	0 (0.0%)*	3 (0.1%)	13 (1.7%)**
Sex	Female	1546 (47.4%)	380 (47.7%)	1510 (45.9%)	416 (53.8%)**
Ethnicity	White	3010 (92.2%)	683 (85.7%)**	2988 (90.9%)	705 (91.1%)
	East Asian	94 (2.9%)	66 (8.3%)**	137 (4.2%)	23 (3.0%)
	Others	161 (4.9%)	48 (6.0%)	163 (5.0%)	46 (6.0%)
Education	Grade 8 or lower	124 (3.9%)	5 (0.6%)**	100 (3.1%)	29 (3.8%)
	Grades 9-11	690 (21.1%)	81 (10.2%)**	623 (19.0%)	148 (19.1%)
	High school degree	762 (23.3%)	122 (15.3%)**	706 (21.5%)	178 (23.0%)
	Technical degree	514 (15.8%)	122 (15.3%)	523 (15.8%)	113 (14.6%)
	Associate degree	528 (16.2%)	182 (22.8%)**	581 (17.7%)	129 (16.7%)
	Bachelor's degree	424 (13.0%)	189 (23.7%)**	509 (15.5%)	104 (13.4%)
	Advanced degree	223 (6.8%)	96 (12.0%)**	246 (7.5%)	73 (9.4%)*
Country	Australia	1664 (51.0%)	365 (45.8%)**	1614 (49.1%)	419 (54.1%)**
	Canada	1601 (49.0%)	432 (54.2%)**	1674 (50.9%)	355 (45.9%)**
Health status	PLCOm2012 risk score	4.8 (4.6–4.9)	1.2 (1.2–1.2)**	4.3 (4.1–4.4)	3.3 (3.1–3.4)**
	Currently smoking	1728 (52.9%)	261 (32.8%)**	1768 (53.8%)	221 (28.6%)**
	Pack years	48.2 (47.5–48.9)	39.0 (38.3–39.7)**	48.7 (48.1–49.3)	36.6 (35.3–38.0)**
	Family history of lung cancer	991 (30.4%)	62 (7.8%)**	773 (22.3%)	320 (41.3%)**
	Personal history of cancer	488 (15.0%)	25 (3.1%)**	399 (10.3%)	174 (22.5%)**
	Body-mass index	27.0(26.9–27.2)	29.35 (29.0–29.7)**	27.69 (27.5–27.9)	26.56 (26.2–26.9)**
Comorbid conditions ^b	Chronic obstructive pulmonary disease	571 (17.5%)	23 (2.5%)**	449 (13.7%)	145 (18.7%)**
	Chronic bronchitis	327 (10.0%)	23 (2.9%)**	264 (8.0%)	86 (11.1%)**
	Emphysema	353 (10.8%)	14 (1.8%)**	285 (8.7%)	82 (10.6%)*
Life expectancy ^c	Males	31.0%	43.7%	43.6%	11.2%
	Females	37.7%	65.9%	43.9%	22.1%

Data are mean (95% confidence interval), n(%), median (interquartile range), or n/N(%), unless otherwise stated

^ap-value from tests for differences between the “screen” and “no-screen” groups for either the PLCOm2012 or USPSTF-2013 criteria, denoted ** for $p < 0.05$ and * if $0.05 < p < 0.1$

^bSelf-reported history at baseline

^c20-year life expectancy from age at enrollment to ILST

Table 2: Parameters and assumptions

Parameter		Selection result	Years	Risk model (Intervention)		Categorical age-smoking selection (Comparator)		Source data/Reference
				Males	Females	Males	Females	
Transition probabilities ^a	Probability of selection	Screen	2020	0.81	0.80	0.83	0.78	ILST
		No screen	2020	0.20	0.20	0.17	0.22	ILST
	Curative CDR	Screen	2020–2040	0.01 ($\lambda=2.43 \times 10^{-4}$; $\gamma=0.66$)	0.02 ($\lambda=2.94 \times 10^{-4}$; $\gamma=0.70$)	0.01 ($\lambda=2.93 \times 10^{-4}$; $\gamma=0.61$)	0.01 ($\lambda=9.98 \times 10^{-5}$; $\gamma=0.81$)	ILST
	Non-curative CDR		2020–2040	0.00 ($\lambda=8.48 \times 10^{-5}$; $\gamma=0.53$)	0.00 ($\lambda=1.57 \times 10^{-4}$; $\gamma=0.46$)	0.00 ($\lambda=2.47 \times 10^{-5}$; $\gamma=0.64$)	0.002 ($\lambda=1.61 \times 10^{-4}$; $\gamma=0.46$)	ILST
	Non-lung cancer mortality		2020–2040	0.00 ($\lambda=1.34 \times 10^{-6}$; $\gamma=0.49$)	0.00 ($\lambda=1.34 \times 10^{-6}$; $\gamma=0.49$)	0.00 ($\lambda=1.34 \times 10^{-6}$; $\gamma=0.49$)	0.004 ($\lambda=1.34 \times 10^{-6}$; $\gamma=0.49$)	NLST (LDCT arm) ²⁷
	Progression incidence		2020–2040	0.05 ($\lambda=1.92 \times 10^{-4}$; $\gamma=0.95$)	0.05 ($\lambda=1.92 \times 10^{-4}$; $\gamma=0.95$)	0.05 ($\lambda=1.92 \times 10^{-4}$; $\gamma=0.95$)	0.05 ($\lambda=1.92 \times 10^{-4}$; $\gamma=0.95$)	
	Curative mortality		2020–2040	0.05 ($\lambda=6.39 \times 10^{-4}$; $\gamma=0.73$)	0.05 ($\lambda=6.39 \times 10^{-4}$; $\gamma=0.73$)	0.05 ($\lambda=6.39 \times 10^{-4}$; $\gamma=0.73$)	0.05 ($\lambda=6.39 \times 10^{-4}$; $\gamma=0.73$)	
	Non-curative mortality		2020–2040	0.43 ($\lambda=6.99 \times 10^{-3}$; $\gamma=0.74$)	0.43 ($\lambda=6.99 \times 10^{-3}$; $\gamma=0.74$)	0.43 ($\lambda=6.99 \times 10^{-3}$; $\gamma=0.74$)	0.43 ($\lambda=6.99 \times 10^{-3}$; $\gamma=0.74$)	
	Progression mortality		2020–2040	0.36 ($\lambda=1.62 \times 10^{-3}$; $\gamma=0.95$)	0.36 ($\lambda=1.62 \times 10^{-3}$; $\gamma=0.95$)	0.36 ($\lambda=1.62 \times 10^{-3}$; $\gamma=0.95$)	0.36 ($\lambda=1.62 \times 10^{-3}$; $\gamma=0.95$)	
	Background mortality ^b		2025–2040	2.08×10^{-2}	1.40×10^{-2}	1.37×10^{-2}	8.70×10^{-3}	Statistics Canada ²⁴
	Background CIR (Curable) ^c		2025–2040	1.42×10^{-3}	1.24×10^{-3}	1.42×10^{-3}	1.24×10^{-3}	Canadian Cancer Society ²³
	Background CIR (Non-Curable) ^c		2025–2040	1.83×10^{-3}	8.14×10^{-4}	1.83×10^{-3}	8.14×10^{-4}	Canadian Cancer Society ²³
	Curative CDR	No screen	2020–2040	0.20×10^{-2} ($\lambda=1.81 \times 10^{-5}$; $\gamma=0.83$)		0.90×10^{-2} ($\lambda=9.85 \times 10^{-5}$; $\gamma=0.76$)		NLST (CXR arm) ²⁷
	Non-curative CDR		2020–2040	0.20×10^{-2} ($\lambda=4.03 \times 10^{-6}$; $\gamma=1.04$)		0.70×10^{-2} ($\lambda=2.70 \times 10^{-5}$; $\gamma=0.94$)		
	Non-lung cancer mortality ^a		2020–2040	0.30×10^{-2} ($\lambda=4.81 \times 10^{-7}$; $\gamma=1.47$)		0.80×10^{-2} ($\lambda=1.94 \times 10^{-6}$; $\gamma=1.41$)		
	Progression incidence		2020–2040	11.4×10^{-2} ($\lambda=9.97 \times 10^{-4}$; $\gamma=0.82$)		9.40×10^{-2} ($\lambda=6.71 \times 10^{-4}$; $\gamma=0.85$)		
	Curative mortality rate		2020–2040	1.16×10^{-2} ($\lambda=4.81 \times 10^{-7}$; $\gamma=1.46$)		9.40×10^{-2} ($\lambda=1.92 \times 10^{-3}$; $\gamma=0.70$)		
	Non-curative mortality		2020–2040	0.50 ($\lambda=4.89 \times 10^{-3}$; $\gamma=0.84$)		0.51 ($\lambda=5.80 \times 10^{-3}$; $\gamma=0.82$)		
	Progression mortality		2020–2040	0.45 ($\lambda=4.34 \times 10^{-3}$; $\gamma=0.84$)		0.50 ($\lambda=6.43 \times 10^{-3}$; $\gamma=0.79$)		
	Background mortality ^b		2025–2040	8.90×10^{-3}	5.50×10^{-3}	3.50×10^{-2}	1.40×10^{-2}	Statistics Canada ²⁴
	Background CIR (Curable) ^c		2025–2040	5.37×10^{-4}	4.60×10^{-4}	2.70×10^{-3}	1.24×10^{-3}	Canadian Cancer Society ²³
	Background CIR (Non-Curable) ^c		2025–2040	7.98×10^{-4}	3.55×10^{-4}	2.73×10^{-3}	8.14×10^{-4}	Canadian Cancer Society ²³
Costs (SD)	Risk assessment	Screen	2020	\$15 (\$5)		\$6 (\$2)		Assumption
		No screen	2020	\$6 (\$2)		\$6 (\$2)		
Screening costs	Screen		2020	\$450 (\$44)				Cressman <i>et al.</i> , 2014 ²⁵ with updates to 2020 ^d
			2021	\$238 (\$26)				
			2022	\$204 (\$29)				
			2023	\$135 (\$18)				
			2024–2035	\$326 (\$47)				
			2036–2040	\$0		Assumption		
		No screen	2020–2040	\$0		Assumption		
	Curative treatment for lung cancer	Screen and no screen	2020	\$22 552 (\$1814)				Cressman <i>et al.</i> , 2014 ²⁵

					with updates to 2020 ^d
			2021	\$752 (\$71)	
			2022	\$503 (\$81)	
			2023	\$584 (\$145)	
			2024–2033	\$483 (\$140)	
			2033–2040	\$0	Assumption
	Non-curative treatment	Screen and no screen	2020	\$68 603 (\$7377)	
			2021	\$20 868 (\$7137)	
			2022–2040	\$9 703 (\$3459)	
	Progression after curative treatment	Screen and no screen	2020	\$35 111 (\$8385)	
			2021	\$10 365 (\$5763)	
			2022–2040	\$20 746 (\$19 249)	
Utility (SD)	Screen (no lung cancer)		2020–2040	0.85 (0.03)	Cressman <i>et al.</i> 2014 ²⁵
	No screen (no lung cancer)		2020–2040	0.87 (0.03)	Ngo <i>et al.</i> 2022 ²⁷
	Curative treatment for lung cancer	Screen and no screen	2020–2040	0.82 (0.16)	Tramontano <i>et al.</i> 2015 ²⁹
	Non-curative treatment	Screen and no screen	2020	0.78 (0.18)	Tramontano <i>et al.</i> 2015 ²⁹
		Screen and no screen	2021–2040	0.5 (0.18)	Tramontano <i>et al.</i> 2015 ²⁹
	Progression after curative treatment	Screen and no screen	2020	0.69 (0.24)	Jang <i>et al.</i> 2010 ³⁰
		Screen and no screen	2021–2040	0.75 (0.20)	Jang <i>et al.</i> 2010 ³⁰

Abbreviations: NLST= National Lung Cancer Screening Trial, LDCT= Low Dose Computed Tomography, CXR= Chest Radiography, ILST= International Lung Screening Trial, PanCan=Pan-Canadian Early Detection of Lung Cancer Trial, CDR= cancer detection rate, CIR= cancer incidence rate

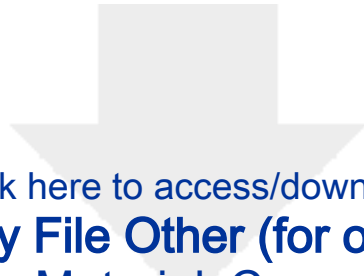
^a λ = shape and is the slope of the Weibull survival model, it is used

for estimating the value of parameters that change over time. Transition probabilities were calculated from 2.3 years of follow-up in the ILST or a previous analysis of 6.4 years of follow-up from the NLST (25); the initial probability of transition for year 1 is provided.

^bAge-adjusted background mortality from any cause added to the NLST or ILST-derived transition probabilities starting in 2025 according to the median age in the ILST and life tables from Statistics Canada²⁴

^cAge-adjusted background incidences of stage 1-3 lung cancer (curable) or stage 4 (non-curable), added to the NLST or ILST-derived transition probabilities starting in 2025 according to the median age in the ILST and incidence rates from the Canadian Cancer Society²⁵

^dSee supplementary materials



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Credit Author Statement

SC, MFW, KMF, SL, KC, MCT conceived the study. SC, MFW, PJN, AT, SW, RMa, ES, SA-K, DK, CH, SBH, MC, AF, JYW, HMM, KMF, SL, KC, and MCT contributed to data curation. SA-K, AF, JYW, SL and MCT verified the raw data. SC, MFW, PJN, SW, SA-K, DK, CH, SBH, MC, AF, JYW, HMM, KMF, SL, KC and MCT analyzed the data. SC, MFW, AT, ES, JM, JY, KMF, KC, and SL contributed to funding acquisition. SC, MFW, PN, SW, SL and MCT wrote the first draft of the manuscript. All authors critically reviewed the manuscript and agreed with the decision to submit it for publication. All authors had full access to all the data in the study and SC, MFW, and SA-K take responsibility for the integrity of the data and the accuracy of the data analysis.