

1 **The incidence and epidemiology of conjunctival squamous cell carcinoma in**
2 **relation to the HIV epidemic in South Africa: a 25-year analysis of the**
3 **National Cancer Registry (1994–2018)**

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24 **SYNOPSIS**

25 There have been considerable changes in conjunctival squamous cell carcinoma incidence rates over
26 the course of the HIV epidemic in South Africa. Declining rates coincide with notable changes in the
27 availability of effective antiretroviral therapy.

28 **ABSTRACT**

29 **Aims:**

30 To describe the incidence and epidemiology of conjunctival squamous cell carcinoma (CSCC) in South
31 Africa over a 25-year period (1994–2018), with particular reference to the human immunodeficiency
32 virus (HIV) epidemic.

33 **Methods:**

34 Incident cases of histologically diagnosed CSCC were identified from the pathology-based South
35 African National Cancer Registry. Crude and direct age-standardised incidence rates (ASIRs) per
36 100 000 persons (Segi World Standard Population) were calculated using national population
37 statistics and compared by age, sex and ethnicity. Trends in the incidence and demographic features
38 of CSCC were described and analysed. Incidence rates were compared to national HIV-related
39 statistics for the same time period.

40 **Results:**

41 In total, there were 9 016 reported CSCC cases (female: 56.6%, Black: 86.8%, mean age: 41.5 years).
42 The overall ASIR was 0.78 per 100 000. Two distinct epidemiological patterns were identified: (1)
43 older White males, and (2) younger Black females. There was a six-fold increase in CSCC incidence
44 rates between 1994 and 2009 with a corresponding shift from the first to the second disease profile.
45 Despite rising HIV seroprevalence, CSCC incidence rates have declined since 2009. A strong
46 ecological correlation ($r=0.96$) between CSCC incidence and widespread antiretroviral therapy (ART)
47 provision was identified.

48 **Conclusion:**

49 This study highlights the evolving trends and disease burden of CSCC in South Africa. Widespread
50 ART provision is ecologically correlated with declining CSCC rates over the last decade. These
51 findings are in keeping with reported trends for other HIV-related cancers and have important
52 implications for future incidence studies and public health policy.

53 **Keywords:**

54 Conjunctival squamous cell carcinoma, ocular surface squamous neoplasia, incidence, epidemiology,
55 South Africa, National Cancer Registry.

56 ***What is already known on the topic:***

57 Human immunodeficiency virus (HIV) is known to be a major risk factor for conjunctival squamous
58 cell carcinoma (CSCC) but it is unclear what impact an evolving HIV epidemic and widespread
59 antiretroviral therapy (ART) provision have had on temporal CSCC trends.

60 ***What this study adds:***

61 This study provides detailed estimates of CSCC incidence in South Africa over a 25-year-period and
62 highlights the impact the HIV epidemic has had on the epidemiological profile of the disease. It
63 shows that, despite rising HIV-seroprevalence, the CSCC incidence rate has declined over the last
64 decade and that this trend may be related to widespread ART provision in the country.

65 ***How this study might affect research, practice or policy:***

66 Findings from this study strengthen the case for widespread ART provision and highlight the
67 potential clinical and economic benefits of such a public health policy. Recognition that the CSCC
68 disease burden is temporally related to an evolving HIV epidemic has important implications for
69 direct comparability or pooling of estimates from different countries or time periods.

70 INTRODUCTION

71 Ocular surface squamous neoplasia (OSSN) is the most common non-pigmented tumour of the
72 ocular surface and encompasses a spectrum of histological diagnoses, ranging from mild epithelial
73 dysplasia to invasive carcinoma.[1] Conjunctival squamous cell carcinoma (CSCC) represents the end-
74 stage of this disease spectrum and is the most common malignancy of the ocular surface.[2]

75 Globally, the annual CSCC incidence rate has been estimated at 0.18 and 0.08 per 100 000 for males
76 and females, respectively.[3] However, there is considerable global variation, with estimates as high
77 as 3.4 per 100 000 from sub-Saharan Africa (SSA),[3] largely attributable to regional variations in
78 human immunodeficiency virus (HIV) prevalence, which has been associated with an eightfold
79 increase in the risk of OSSN.[4]

80 CSCC is locally and regionally invasive and, left untreated, may lead to significant visual morbidity,
81 disfigurement and even death.[5] The costs associated with the management of OSSN can be
82 substantial, particularly in resource-limited settings, and it therefore represents an important public
83 health consideration in areas of high HIV prevalence.[6,7] Estimates of CSCC incidence are vital for
84 the provision and planning of healthcare services, especially in the context of an evolving HIV
85 pandemic and advances in antiretroviral therapy (ART).

86 South Africa is a middle-income country with a diverse, multi-racial population of approximately 60
87 million.[8] It is home to the largest HIV seropositive population in the world and is one of only a few
88 African nations with a representative national cancer registry system.[9,10] Using a combination of
89 nationwide data sources, we investigated the incidence and epidemiology of CSCC in South Africa
90 over a 25-year period (1994–2018), with particular reference to the HIV epidemic.

91 **METHODS**

92 **Data sources**

93 Data on incident cases of CSCC were obtained from the South African National Cancer Registry
94 (NCR), a division of the National Health Laboratory Service. Established in 1986, the NCR is a
95 pathology-based cancer registry covering nearly all public and private healthcare laboratories in
96 South Africa. Since 2011, legislation has formally established the NCR as South Africa's main cancer
97 surveillance agency, with obligatory reporting of all cytologically and histologically diagnosed
98 invasive malignancies. Full details of the history and objectives of the NCR can be found elsewhere.
99 [9,11] All NCR cancer registration methodology is based on recommendations made by the
100 International Agency for Research on Cancer (IARC).[12]

101 Briefly, demographic and tumour information are extracted from all submitted pathology reports
102 and only anonymised data are made available to external researchers. Demographic details include
103 age, sex and ethnicity (Black, White, Coloured [mixed race] and Asian/Indian), in keeping with the
104 classification used for the South African national census. Missing ethnicity data is allocated using a
105 hot-deck imputation method based on known surname-ethnicity pairings, but does not always
106 succeed in allocating this information. The International Classification of Diseases for Oncology
107 Version 3 (ICD-O3) is used to code cancer topographies and morphologies, but exclude information
108 on tumour clinical grade or stage. The entire dataset from inception is reviewed for duplicates to
109 ensure only incident cancer cases are retained.

110 This analysis utilised NCR data from 1994 (the first year of democracy and unsegregated access to
111 healthcare in South Africa) to 2018 (the latest data available at the time of analysis).

112 Mid-year population estimates for the study period (stratified by age, sex, and ethnicity) were
113 obtained from published Statistics South Africa (SSA) reports (<https://www.statssa.gov.za>). SSA is
114 South Africa's national statistical services agency and the country's official source of demographic,
115 economic, and social census data.

116 Detailed estimates of national HIV prevalence and ART uptake were obtained from published
117 Thembisa Project reports (<https://www.thembisa.org>). The Thembisa Project is a mathematical
118 model of the South African HIV epidemic, designed to answer policy questions relating to HIV
119 prevention and treatment.[13] It also acts as a projection model and source of demographic
120 statistics and, since 2017, is the source on which official Joint United Nations Programme on
121 HIV/AIDS (UNAIDS) estimates for South Africa are based.

122 **Case definition**

123 In keeping with previous pathology-based studies,[14] we defined cases of CSCC according to ICD-O3
124 codes as:

- 125 • Topography 69.0 (conjunctiva), plus Morphology 8050–8084 (squamous cell carcinomas) or
126 8000–8041 (tumours, not otherwise specified);
- 127 • Topography 69.9 (eye, not otherwise specified), plus Morphology 8050–8084 (squamous cell
128 carcinomas).

129 This definition therefore includes conjunctival cancers of unspecified cell type and all squamous cell
130 carcinomas of the eye, allowing for the inclusion of advanced CSCC cases in which the primary
131 anatomical site could not be identified as the conjunctiva.

132 **Statistical analysis**

133 Incident cases of CSCC were identified and extracted from the NCR database. Frequencies and
134 proportions were calculated according to age, sex and ethnicity. Age at diagnosis was expressed as
135 mean (standard deviation, SD) and compared across groups with a two-sample *t*-test (for sex) or
136 pairwise comparison of means (for ethnicity).

137 For descriptive purposes, the overall study period was divided into five 5-year time periods: 1994–
138 1998, 1999–2003, 2004–2008, 2009–2013 and 2014–2018. Annual crude age-specific incidence rates
139 with 95% confidence intervals (CIs) were calculated using mid-year population estimates as the
140 denominators for each 5-year age group. In order to account for known differences in the age
141 structure of the population over time, and to allow for meaningful comparisons across population
142 sub-groups, direct age-standardised incidence rates (ASIRs) were then calculated.[15] In keeping
143 with IARC recommendations, the Segi World Standard was used as the reference population,
144 allowing for the comparison of cancer incidence rates across different countries.[12,16] Incidence
145 rates were further stratified and compared by sex and ethnicity. When calculating age-, sex- and
146 ethnicity-specific incidence rates, the pro rata method of allocating cancers was used to handle
147 missing demographic data.[12] This method allocates cancers proportionately according to the
148 observed frequency of missing demographic parameters in the remaining dataset.

149 To assess ecological correlations, we plotted national HIV and ART related frequency estimates (from
150 the Thembisa Project) together with confirmed CSCC cases (from the NCR) for each year of the study
151 period and calculated the Pearson correlation coefficient (*r*). Since CSCC is a relatively rare cancer,
152 we used 3-year moving averages to smooth year-on-year variability and aid visual assessment of
153 long-term annual trends, as is commonly performed.[17]

154 Incidence rate ratios and comparative incidence figures (the ratio of two ASIRs) were calculated as
155 measures of relative risk (RR).[15]

156 All incidence rates are expressed as cases per 100 000 persons with 95% CIs. Analyses were
157 conducted using Microsoft Excel (Microsoft Corp. 2019. Redmond, WA, USA) and Stata (Version
158 17.0. StataCorp LLC. 2021. College Station, TX, USA). Trend analysis was performed using Joinpoint
159 Regression Program (Version 4.9.0.0. Statistical Methodology and Applications Branch, Surveillance
160 Research Program, National Cancer Institute. 2021. Bethesda, MD, USA),[18] assuming logarithmic
161 trends and uncorrelated standard errors.

162 **Ethical considerations**

163 This study was conducted using anonymised participant data and population statistics freely
164 available in the public domain. The research adhered to the tenets of the Declaration of Helsinki and
165 ethical approval was granted by the Health Sciences Research Ethics Committee, University of the
166 Free State (UFS-HSD2020/0611/2605-0001).

167 **RESULTS**

168 **Newly registered cases**

169 Between 1994 and 2018, a total of 9 016 cases of CSCC were reported to the NCR, ranging from 64
170 cases in 1994 to 651 cases in 2010. A majority of reported cases occurred in females (n=5 105,
171 56.6%) and Black individuals (n=7 823, 86.8%). Overall, the mean age at diagnosis was 41.5 years,
172 although this differed significantly by sex (female: 39.9 years; male: 43.5 years; $P<0.001$) and
173 ethnicity (White: 55.0 years; compared to Black: 40.4 years; Coloured: 43.8 years; Asian/Indian: 41.1
174 years; $P<0.001$ for all). Full details of newly registered cases by age, sex, and ethnicity are available in
175 **Supplementary Table S1**.

176 **Crude incidence rates**

177 Over the study period, age-specific incidence rates exhibited a bimodal distribution with peaks
178 observed at the 40–45 year (2.24 per 100 000) and 80+ year (1.50 per 100 000) age groups. There
179 were significant differences in sex-specific rates according to age group, with higher rates observed
180 in females at younger ages and in males at older ages. The female:male incidence rate ratio
181 decreased from >2.0 in those aged <30 years to <0.5 in those aged ≥ 75 years (**Figure 1A**). The
182 bimodal age distribution was observed for all ethnicities, although the first peak (40–45 years) was
183 more marked for Black individuals (2.81 per 100 000) while the second peak (80+ years) was more
184 marked for White individuals (1.83 per 100 000) (**Figure 1B**).

185 **Age-standardised incidence rates**

186 The overall ASIR for the study period was 0.78 per 100 000. Sex-specific rates were 0.82 and 0.75 per
187 100 000 for females and males, respectively (RR 1.10, 95% CI 1.06–1.14). Ethnicity-specific rates
188 were 0.94, 0.37, 0.22 and 0.15 per 100 000 for Black, White, Coloured and Asian/Indian participants,
189 respectively. There was considerable variation in both overall and stratified rates according to time
190 period, with the highest rates observed in 2009–2013 for both sexes and all ethnicities. Full details of
191 overall, sex- and ethnicity-stratified ASIRs by time period and the overall study period are presented
192 in **Tables 1–2**.

Table 1. Number of cases, age-standardised incidence rates and mean age at diagnosis of conjunctival squamous cell carcinoma in South Africa by sex and time period (1994–2018).

Time period	Overall				Female				Male				RR (95% CI)
	Cases	ASIR	95% CI	Age	Cases	ASIR	95% CI	Age	Cases	ASIR	95% CI	Age	
1994–1998	386	0.24	(0.22, 0.26)	51.4	165	0.19	(0.17, 0.22)	50.4	207	0.31	(0.28, 0.34)	52.0	0.63 (0.56, 0.70)
1999–2003	952	0.44	(0.42, 0.47)	40.7	494	0.42	(0.38, 0.46)	39.0	453	0.48	(0.44, 0.51)	41.9	0.89 (0.81, 0.96)
2004–2008	2 303	1.01	(0.97, 1.05)	39.9	1 417	1.16	(1.10, 1.22)	38.3	879	0.86	(0.81, 0.91)	42.2	1.36 (1.25, 1.46)
2009–2013	3 058	1.21	(1.17, 1.26)	40.9	1 813	1.34	(1.28, 1.41)	39.5	1 231	1.09	(1.03, 1.15)	42.9	1.23 (1.12, 1.35)
2014–2018	2 317	0.82	(0.79, 0.85)	42.8	1 216	0.81	(0.76, 0.86)	41.2	1 100	0.85	(0.80, 0.90)	44.3	0.95 (0.87, 1.04)
1994–2018	9 016	0.78	(0.76, 0.80)	41.5	5 105	0.82	(0.80, 0.85)	39.9	3 870	0.75	(0.73, 0.77)	43.5	1.10 (1.06, 1.14)

ASIR, age-standardised incidence rate (Segi World Standard Population); CI, confidence interval; RR, relative risk (female:male ratio). Figures reported in the 'Age' column represent the mean age of cases at the time of diagnosis.

Table 2. Number of cases, age-standardised incidence rates and mean age at diagnosis of conjunctival squamous cell carcinoma in South Africa by ethnicity and time period (1994–2018).

Time period	Black				White				Coloured				Asian/Indian			
	Cases	ASIR	95% CI	Age	Cases	ASIR	95% CI	Age	Cases	ASIR	95% CI	Age	Cases	ASIR	95% CI	Age
1994–1998	253	0.22	(0.20, 0.25)	46.5	105	0.37	(0.29, 0.47)	61.8	9	0.08	(0.05, 0.11)	61.7	6	0.13	(0.05, 0.24)	43.4
1999–2003	774	0.50	(0.47, 0.53)	38.3	101	0.34	(0.26, 0.43)	53.7	33	0.18	(0.12, 0.24)	41.6	7	0.11	(0.03, 0.22)	37.7
2004–2008	1 985	1.23	(1.18, 1.28)	39.0	115	0.41	(0.32, 0.52)	53.2	60	0.28	(0.21, 0.36)	40.1	10	0.16	(0.07, 0.28)	41.5
2009–2013	2 711	1.51	(1.45, 1.56)	40.3	124	0.44	(0.34, 0.54)	50.4	70	0.30	(0.23, 0.37)	43.7	19	0.25	(0.13, 0.40)	40.6
2014–2018	2 100	0.99	(0.95, 1.03)	41.9	113	0.33	(0.24, 0.43)	57.1	58	0.22	(0.17, 0.29)	46.5	10	0.12	(0.04, 0.22)	42.7
1994–2018	7 823	0.94	(0.92, 0.96)	40.4	558	0.37	(0.33, 0.41)	55.0	230	0.22	(0.19, 0.25)	43.8	52	0.15	(0.11, 0.20)	41.1

ASIR, age-standardised incidence rate (Segi World Standard Population); CI, confidence interval. Figures reported in the 'Age' column represent the mean age of cases at the time of diagnosis.

193 **Demographic and incidence trends**

194 Mean age at diagnosis demonstrated a marked decline from 51.4 years in 1994–1998 to 39.9 years
195 in 2004–2008 and has shown a steady increase in recent years. This trend is consistent across both
196 sexes and all ethnicities, although absolute values varied (**Tables 1–2**). The female:male incidence
197 ratio has shown a similar trend over the study period with a switch from male predominance (RR
198 0.63, 95% CI 0.56–0.70) in 1994–1998 to female predominance (RR 1.36, 95% CI 1.25–1.46) in 2004–
199 2008. Thereafter, a similar reversal is noted with a slight male predominance (RR 0.95, 95% CI 0.85–
200 1.04) reached by 2014–2018 (**Table 1**).

201 Annual CSCC ASIRs demonstrated a similar pattern to the demographic trends described above
202 (**Figure 2**). Trend analysis identified a significant reversal in national incidence rates in 2009.
203 Between 1994 and 2009, there was an almost six-fold increase in the ASIR of CSCC (from 0.22 to 1.28
204 per 100 000), representing an annual percentage change (APC) of 15.4%. By 2018, the incidence had
205 declined to 0.56 per 100 000, representing a 56% reduction from 2009, and an APC of -7.8% for this
206 period.

207 Although all ethnicities experienced the same general trend in the ASIR of CSCC over the 25-year
208 study period, this has been most marked for Black individuals (586% increase between 1994–1998
209 and 2009–2013) and least marked for White individuals (19% increase between 1994–1998 and
210 2009–2013) (**Table 2**). Trend analyses stratified by sex and ethnicity are presented in **Supplementary**
211 **Figures 1–2**.

212 **Relationship to HIV epidemic**

213 Despite rising HIV prevalence, the trend of the annual number of CSCC cases in South Africa closely
214 mirrored that of the estimated number of South African HIV positive individuals not on ART for the
215 same time period (Pearson correlation coefficient, $r = 0.96$), which has also demonstrated a trend
216 reversal and downward trajectory in recent years (**Figure 3**).

217 **DISCUSSION**

218 This study provides histologically-based estimates of CSCC incidence in South Africa from 1994 to
219 2018, including detailed age-, sex-, and ethnicity-specific rates. Overall, a bimodal age distribution
220 was observed, with younger cases tending to be Black and female, and older cases more likely to be
221 White and male. From 1994 to 2009, there was an almost six-fold increase in the ASIR of CSCC in
222 South Africa. During this period, there was a disproportionate increase in CSCC rates observed in
223 females and Black individuals, accompanied by a decline in the mean age at diagnosis of more than a
224 decade. Importantly, CSCC incidence rates were found to have declined steadily over the last
225 decade, with a corresponding reversal of the demographic trends observed prior to 2009.
226 Additionally, a strong ecological correlation between CSCC incidence and widespread ART provision
227 was observed, providing suggestive evidence for the beneficial effect of ART on HIV-associated
228 cancers on a population level.

229 Prior to the HIV pandemic, CSCC was typically a rare, slow-growing tumour of elderly males,
230 predominantly related to chronic ultraviolet-radiation (UVR) exposure.[3] This classical pattern of
231 disease persists in most temperate nations, where the reported annual ASIR of CSCC is generally less
232 than 0.1 per 100 000.[3,19-21]

233 There is strong evidence that HIV represents a major risk factor for CSCC and the onset of the global
234 HIV pandemic resulted in a significant increase in cases and shift in the epidemiological disease
235 profile, especially in countries with a larger burden of disease.[3] In southern and eastern Africa, 6 to
236 10-fold increases in the ASIR of CSCC were observed, with annual rates as high as 3.5 per
237 100 000.[22,23]

238 In contrast to classical descriptions of CSCC which follow a relatively benign clinical course and
239 demonstrate successful therapeutic outcomes, HIV-related CSCC is associated with increased disease
240 severity, local invasion, advanced presentation, a greater likelihood of bilateral disease, poorer
241 prognosis and higher rates of recurrence after treatment.[3,4,6,24] CSCC may also be the presenting
242 feature of HIV-positivity in up to 50% of individuals and is the most common indication for orbital
243 exenteration in many African centres.[3,25-27] It therefore represents a significant public health
244 consideration in areas of high HIV prevalence.

245 Consistent with previous reports from SSA, we found a relatively young age at presentation and a
246 female predominance. The overall ASIR of 0.78 per 100 000 is lower than previously reported
247 estimates from the region, although this figure is based on a considerably longer time period than
248 previous studies, including an early period of sustained low incidence in the 1990s. Estimates from
249 2004–2008 and 2009–2013, however, closely resemble previously published figures.[3,14] In

250 keeping with similar regional studies, we observed an almost six-fold increase in the ASIR of CSCC
251 between 1994 and 2009, although this increase occurred significantly later than in Uganda and
252 Zimbabwe.[22,23] Notably, the ASIR of CSCC in South Africa has demonstrated a sustained decline
253 since 2009, a trend not previously reported elsewhere.

254 In 1994–1998, during the early stages of the South African HIV epidemic, the overall CSCC rate was
255 relatively low and the epidemiological profile resembled that of temperate countries. The
256 subsequent increase in CSCC rates over the following 10–15 years was accompanied by a dramatic
257 shift in this disease profile and corresponded with rising national HIV-seroprevalence.[28] By 2004–
258 2008, age at presentation had dropped by more than a decade with a reversal of the sex ratio; and
259 by 2009–2013, the overall ASIR had increased to 1.21 per 100 000, with the highest rates observed
260 in Black individuals. Young, Black South African women are disproportionately affected by HIV and
261 the trends observed in this study may be driven by the high prevalence of HIV-seropositivity in this
262 population.[24,28] A reversal of all these trends has been noted during the last 5–10 years of the
263 study, corresponding to an overall decline in CSCC incidence rates. Together, these findings highlight
264 the epidemiological transition of CSCC in South Africa over a 25-year period, from a largely outdated
265 classical definition to a predominantly HIV-associated malignancy.

266 Importantly, the incidence of CSCC in South Africa has shown a steady decline in recent years and
267 was found to be highly correlated with the number of ART-naïve individuals in the country, despite
268 rising national HIV seroprevalence.[28] Given the lack of early evidence for an effect of ART on CSCC
269 incidence, it has been suggested that CSCC rates could be expected to increase in future and that a
270 key research question was whether scale-up of ART in Africa would impact these rates.[3] This study
271 suggests that ART may have significant potential benefits on CSCC rates on a population level. It is
272 important to emphasise, however, that this association is ecological in nature and, while suggestive,
273 may be biased and would need to be explored further using individual-level data.

274 South African ART treatment guidelines have undergone multiple revisions over the study
275 period,[29] and although this study demonstrates a turning point in 2009, this probably reflects the
276 cumulative contribution of numerous changes rather than a single intervention. It is likely that a
277 threshold minimal proportion of individuals on ART needed to be reached before this decline
278 started. Similar results have been demonstrated for Kaposi sarcoma, another HIV-related cancer,
279 further reinforcing this hypothesis.[30] Ultimately, HIV viral load may prove to be the single best
280 predictor of HIV-associated CSCC risk, with HIV viral suppression shown to be associated with a
281 significant reduction in both AIDS-defining and non-AIDS-defining cancers in a US cohort.[31]

282 The strengths of this analysis include its long study period, spanning more than two decades and
283 encompassing a significant portion of the HIV epidemic in South Africa. It is also nationally
284 representative and based on histologically-confirmed data from the NCR, the most comprehensive
285 and reliable source of cancer surveillance in the country. Additionally, the size and temporal scope of
286 the study allow for reliable estimates, population stratification and trend analysis. To the best of our
287 knowledge, it represents the largest and longest study of its kind from a single nation to date.

288 It is important, however, to acknowledge various limitations. Firstly, results from pathology-based
289 registries can only be considered to represent the bare minimum disease burden in a population,
290 since they exclude cases which are diagnosed clinically or radiologically, and our findings are
291 therefore almost certainly an underestimation of true incidence. This may limit comparability to
292 studies with different methodology but may not necessarily affect the internal validity of the trends
293 described.

294 Secondly, only invasive CSCC cases, which represent the end-stage of the OSSN disease spectrum,
295 are reported to the NCR. While this allows for a well-defined clinical entity based on objective
296 criteria, it also means that our results are biased towards advanced disease. Changes in the
297 management of both HIV and CSCC over the study period, including improved case management,
298 early clinical detection, specialist treatment, and trends towards non-surgical management are all
299 factors which may have shifted the OSSN burden towards earlier disease, thereby contributing to
300 declining CSCC rates independent of ART.[6,7,29] Similarly, improved capacity building and
301 strengthening of the South African healthcare system in general may be contributory factors.
302 However, similar trends have not been demonstrated for non-HIV-related ocular cancers in the same
303 population and over the same time period, suggesting a true decline.[32]

304 Thirdly, the South African NCR has experienced numerous challenges since its inception, including
305 financial, administrative, record keeping, and reporting difficulties, which may limit the reliability of
306 early data.[9] This may have resulted in a further underestimation of CSCC incidence, especially in
307 the first time period of our study, although it would not account for declining trends observed in
308 recent years. Additionally, the effects of these challenges have been shown to have had a minimal
309 impact on estimates of cancer incidence during our study period.[11]

310 Lastly, the study is limited by the lack of clinical information attached to each registered CSCC case,
311 most notably HIV status, and any ecological associations noted on a population-level may not
312 necessarily translate to valid individual-level inferences. It would be important for these patterns to
313 be assessed and confirmed with individual-level data before drawing firm conclusions. This may now
314 be possible through a recent nationwide record-linkage project.[33]

315 The findings of this study may have several important implications for future incidence studies,
316 public health policy, risk communication, and the planning and provision of healthcare services. It
317 demonstrates that demographic profiles and estimates of CSCC incidence in Africa are highly
318 variable and may be largely related to an evolving HIV epidemic. These factors should be considered
319 when performing meta-analyses or comparing CSCC incidence figures from different countries or
320 time periods. Additionally, it provides suggestive evidence for a beneficial effect of ART on the risk of
321 HIV-related cancers. This may result in a reduction in healthcare costs and resource allocation
322 associated with the management of CSCC in future, especially with improvements in national HIV
323 treatment programs.

324 Future research will need to confirm the results of this study, especially as ART coverage continues
325 to expand and South Africa moves towards achieving the UNAIDS 90-90-90 treatment targets.[10,28]
326 Consideration should also be given to further investigation into the effect of ART on CSCC incidence
327 through individual-level data or record-linkage studies, with a particular focus on the effect of HIV
328 viral load suppression.

329 **FIGURE LEGENDS**

330 **Figure 1.** Crude incidence rates of conjunctival squamous cell carcinoma in South Africa (1994–2018)
331 by **A)** age and sex, and **B)** age and ethnicity.

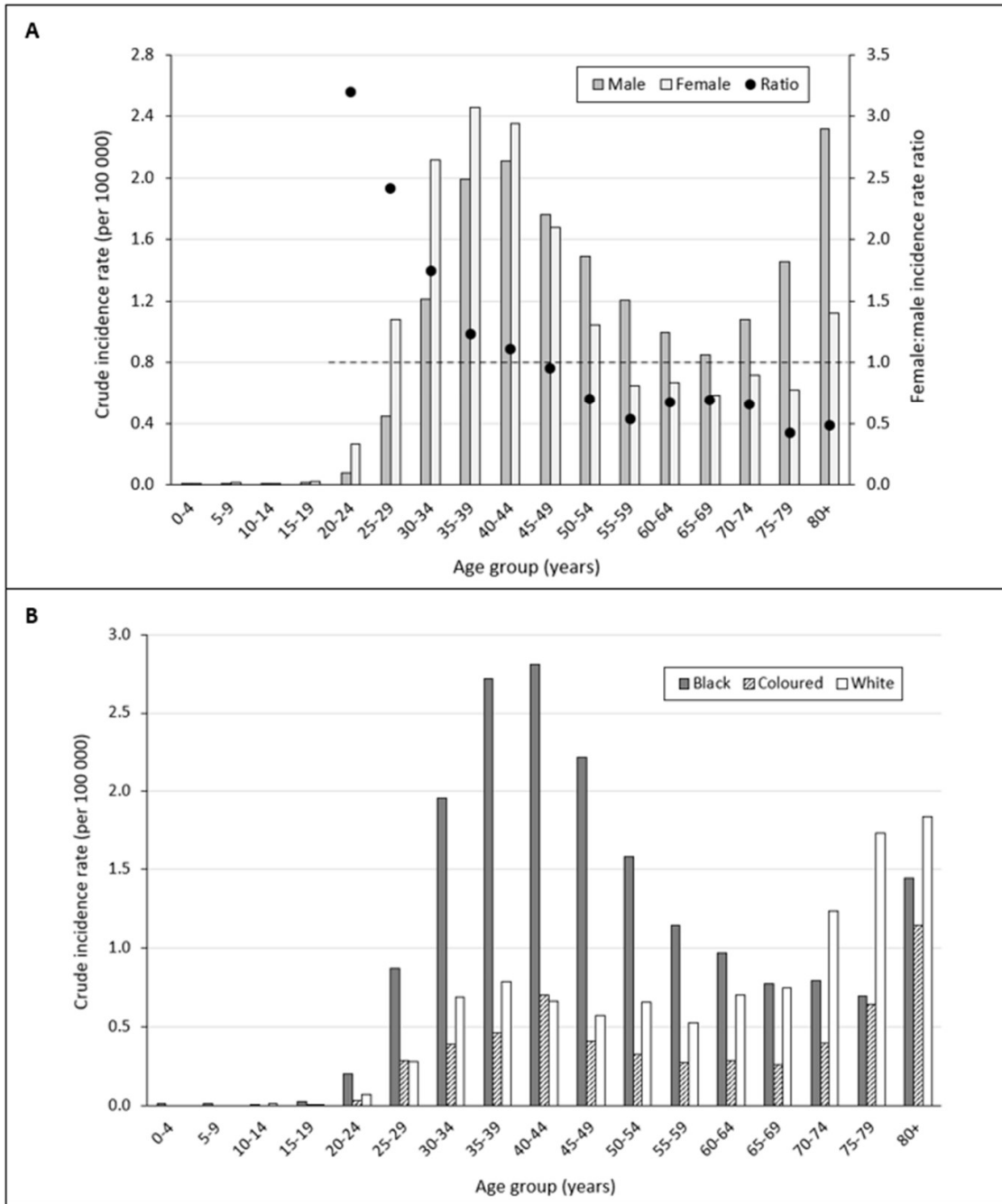
332 [Incidence rate ratios for ages <20 years and incidence rates for Asian/Indian individuals not
333 displayed due to small case numbers. The dotted horizontal line represents a female:male incidence
334 rate ratio of 1.]

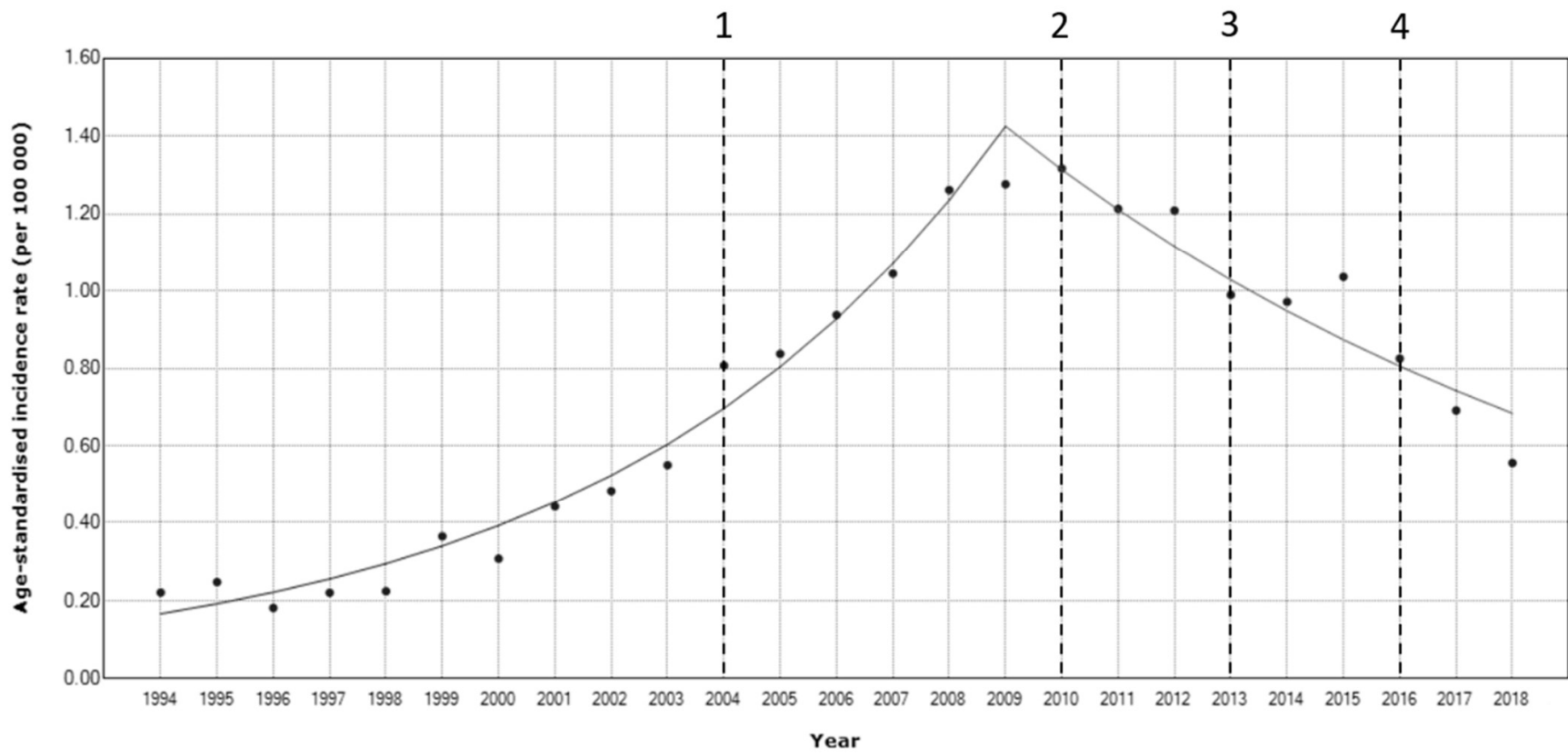
335 **Figure 2.** Trend analysis of the age-standardised incidence rate of conjunctival squamous cell
336 carcinoma in South Africa (1994–2018).

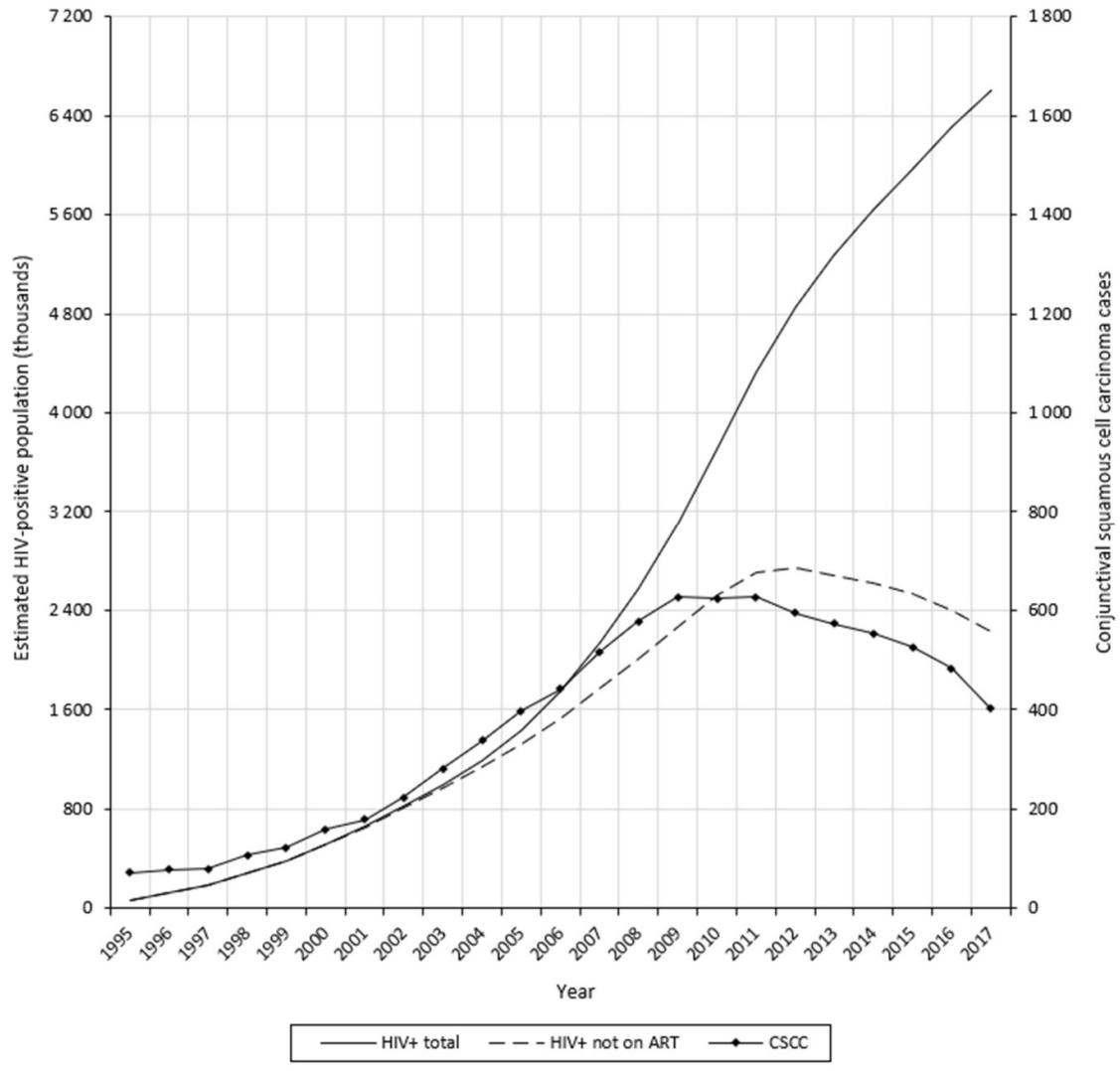
337 [Vertical dashed lines indicate significant changes in the South African national antiretroviral therapy
338 (ART) guidelines: (1) ART was first introduced in 2004 to those with a CD4 count <200 or World
339 Health Organisation (WHO) clinical stage III/IV disease. (2) In 2010, the guidelines were expanded to
340 include individuals with HIV/TB co-infection and pregnant women with a CD4 count <350. (3) In
341 2013, all individuals with a CD4 count <350 were eligible for ART. (4) Universal ART for all HIV-
342 positive individuals, regardless of CD4 count, was introduced in 2016.]

343 **Figure 3.** Comparison of annual number of conjunctival squamous cell carcinoma cases, estimated
344 total number of HIV-positive individuals, and estimated number of HIV-positive individuals not on
345 ART (3-year moving averages) in South Africa (1994–2018).

346 [ART, antiretroviral therapy; HIV, human immunodeficiency virus; CSCC, conjunctival squamous cell
347 carcinoma.]







Supplementary Table S1. Newly registered cases of conjunctival squamous cell carcinoma in South Africa by age, sex and ethnicity (1994–2018)

Age group (years)	Female					Male					Unknown	TOTAL
	B	W	C	A	U	B	W	C	A	U		
0–9	15	0	0	0	0	11	0	0	0	0	1	27
10–19	21	1	0	0	1	11	0	0	0	0	0	34
20–29	670	11	23	1	28	248	14	5	4	12	4	1 020
30–39	1 852	54	40	15	79	1 203	51	29	7	54	9	3 393
40–49	1 177	46	29	5	43	990	50	43	8	43	6	2 440
50–59	339	25	7	1	17	414	61	18	7	24	4	917
60–69	155	28	6	0	5	150	51	7	0	7	3	412
70–79	74	29	3	2	4	51	64	6	1	5	1	240
80+	46	22	1	0	2	30	29	6	0	2	1	139
Unknown	203	7	3	1	14	133	11	2	0	8	12	394
TOTAL	4 552	223	112	25	193	3 241	331	116	27	155	41	9 016

Abbreviations: B, Black; W, White; C, Coloured; A, Asian/Indian; U, Unknown.

STATEMENTS

Publication:

This submission has not been published anywhere previously and it is not simultaneously being considered for any other publication.

Meeting presentation:

This paper was presented in part at the 38th World Ophthalmology Conference (WOC 2022), 9–12 September 2022, China (virtual), and the 18th International Conference on Malignancies in HIV/AIDS (ICMH 2022), 24–26 September 2022, National Cancer Institute, National Institutes of Health, Bethesda, MD, USA (virtual).

Financial support:

KVS is in receipt of a UCL Overseas Research Scholarship and is supported by grants from Fight for Sight (London) (1956A) and The Desmond Foundation (unrestricted).

Competing interests:

None of the authors have any proprietary interests or conflicts of interest related to this submission.

Contributorship:

KS and DS were responsible for the conception and design of the work. KS, DS and MM were responsible for data acquisition. KS, DS and AL were responsible for data analysis, with input from RH and MM. KS was responsible for drafting the work, with input from all authors. All authors were responsible for critically revising the work and providing final approval. All authors agree to be accountable for all aspects of the work.

Data availability:

National Cancer Registry data supporting the findings of this study are available on request from the South African NCR (<https://www.nicd.ac.za/centres/national-cancer-registry/>). Population statistics were derived from published Statistics South Africa reports (<https://www.statssa.gov.za>). HIV and ART data were derived from published Thembisa Project reports (<https://thembisa.org>).

Abbreviations and acronyms:

AIDS, acquired immunodeficiency syndrome; ART, antiretroviral therapy; ASIR, age-standardised incidence rate; CI, confidence interval; CSCC, conjunctival squamous cell carcinoma; HIV, human immunodeficiency virus; NCR, National Cancer Registry; OSSN, ocular surface squamous neoplasia; RR, relative risk; SSA, Statistics South Africa; UNAIDS, Joint United Nations Programme on HIV/AIDS.

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