

# 1 Neurodevelopmental effects of genetic frontotemporal 2 dementia in young adult mutation carriers

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## 12 Abstract

13 While frontotemporal dementia (frontotemporal dementia) has been considered a  
14 neurodegenerative disease that starts in mid-life or later, it is now clearly established that cortical  
15 and subcortical volume loss is observed more than a decade prior to symptom onset and  
16 progresses with aging. To test the hypothesis that genetic mutations causing frontotemporal  
17 dementia have neurodevelopmental consequences, we have examined the youngest adults in the  
18 GENFI cohort of pre-symptomatic frontotemporal dementia mutation carriers who are between  
19 the ages of 19 and 30y. Structural brain differences and improved performance on some  
20 cognitive tests was found for *MAPT* and *GRN* mutation carriers relative to familial non-carriers,  
21 while smaller volumes were observed in *C9orf72* repeat expansion carriers at a mean age of 26y.  
22 The detection of such early differences supports potential advantageous neurodevelopmental  
23 consequences of some frontotemporal dementia causing genetic mutations. These results have  
24 implications for design of therapeutic interventions for frontotemporal dementia. Future studies  
25 at younger ages are needed to identify specific early pathophysiologic or compensatory processes  
26 in the neurodevelopmental period.

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13

14 **Running title:** Neurodevelopmental effects of genetic FTD

15

16 **Keywords:** frontotemporal dementia; MAPT; GRN; C9orf72; neurodevelopment

17 **Abbreviations:** ANCOVA = analyses of covariance; CBI-R = Cambridge Behavioural  
18 Inventory Questionnaire-Revised; *C9orf72* = chromosome 9 open reading frame 72; GENFI =  
19 Genetic Frontotemporal dementia Initiative; *GRN* = granulin gene; *MAPT* = microtubule  
20 associated protein tau gene; ROI = region of interest; TBV = total brain volume; TIV = total  
21 intracranial volume

## 22 **Introduction**

23 Frontotemporal dementia is a devastating progressive neurodegenerative disease that is highly  
24 heritable and currently incurable. Frontotemporal dementia is the second most common young-  
25 onset neurodegenerative dementia, most commonly diagnosed in individuals in their 40s to 60s.  
26 However, symptoms can start decades before full clinical diagnostic criteria are met, with some

1 individuals diagnosed as young as in their 20s.<sup>1</sup> Nearly a decade ago the first international  
2 cohort studies of patients with genetic frontotemporal dementia and their adult biological family  
3 members were launched which have enabled detailed study of the pre-symptomatic window  
4 comparing at-risk frontotemporal dementia mutation carriers to their biologically related non-  
5 carriers. These studies have delineated the symptom onset and main features of the course of the  
6 most common genetic causes of frontotemporal dementia: *MAPT*, *C9orf72* and *GRN*.<sup>2-4</sup> Several  
7 symptoms and biomarkers that change as pre-clinical mutation carriers approach their age of  
8 expected onset have also been identified, including apathy,<sup>5</sup> brain atrophy and connectivity,<sup>6</sup> and  
9 rising CSF NFL levels.<sup>7</sup> Interestingly, several of these recent studies have observed group  
10 differences between pre-symptomatic mutation carriers vs. non-carriers in brain structure even at  
11 the time of first assessment.<sup>8</sup> While frontotemporal dementia has been considered a  
12 neurodegenerative disease that starts in mid-life or later, it is now clearly established that cortical  
13 and subcortical volume loss is observed more than a decade prior to symptom onset<sup>2,3</sup> and  
14 progresses with aging.<sup>9</sup> These emergent findings raise a major question for the field of  
15 frontotemporal dementia: is genetic frontotemporal dementia a neurodevelopmental disorder?

16 Neurodevelopmental disorders refer to conditions that affect the development of the nervous  
17 system with manifestations in childhood. The brain is known to have a long and complex  
18 development and maturation period, extending up to the third decade of life.<sup>10</sup> Several lines of  
19 research point in the direction of a possible neurodevelopmental effect of frontotemporal  
20 dementia-causing mutations. *MAPT*, *C9ORF72* and *GRN* genes all have high penetrance and are  
21 expressed in the prenatal period.<sup>11-15</sup> While studies using knockout and transgenic mouse models  
22 to study *GRN*, *MAPT* and *C9orf72* have typically normal or only subtle phenotypes in the  
23 neurodevelopmental period and early life stages, each of these three main genes associated with  
24 FTD have roles that are likely active during neurodevelopment including microtubule  
25 stabilization, neurite outgrowth and stabilization (*MAPT*),<sup>16,17</sup> lysosomal function and regulation  
26 of inflammation (*GRN*, *C9orf72*).<sup>18-20</sup> Moreover, there are scattered clues in the human literature  
27 pointing towards potential neurodevelopmental consequences. Higher rates of childhood  
28 dyslexia and other language related learning disabilities were observed in patients who develop  
29 Primary Progressive Aphasias (the majority of which are language subtypes of frontotemporal  
30 dementia), and their first-degree relatives.<sup>21</sup> In a small series of pre-symptomatic carriers of  
31 *MAPT* mutations, impairments in performance on frontal executive tasks were observed several

1 decades before expected symptom onset, prompting the authors to raise a neurodevelopmental  
2 hypothesis for this form of genetic frontotemporal dementia.<sup>22</sup> In pre-symptomatic *MAPT*  
3 mutation carriers, mesial temporal lobe atrophy was observed in 20% of participants in their  
4 30s.<sup>23</sup> In a family carrying a *GRN* mutation, abnormal white matter connectivity was detected in  
5 *GRN* presymptomatic mutation carriers whose average age was 37y compared to non-carriers  
6 (mean age 43y).<sup>24</sup> Furthermore, increased prevalence of psychotic disorders, including typical  
7 age-of-onset schizophrenia (teens to 20s), has been reported in offspring of *C9orf72* repeat  
8 expansion carriers.<sup>25</sup>

9 Clues from other neurodegenerative diseases further support the hypothesis that  
10 pathophysiologic changes in some mid and late-life neurodegenerative diseases may occur  
11 decades before the appearance of clinical symptoms and diagnosis, and possibly during early  
12 brain development. In Huntington's disease, another neurodegenerative disorder with mid-life  
13 symptom onset, the KIDS-HD and CHANGE-HD studies have identified multiple differences in  
14 brain structure in youth mutation carriers at 6 and 7 years of age, who have CAG repeat lengths  
15 predictive of adult-onset disease.<sup>25</sup> Some of these effects are likely a direct result of the  
16 pathogenic effects of the mutation, including smaller intracranial volumes, while others which  
17 may represent compensatory changes, such as striatal hypertrophy and increased basal ganglia  
18 functional connectivity.<sup>26,27</sup> Intriguing questions have been raised of whether genetic mutations  
19 causing some mid-life onset disorders like Huntington's disease or spinocerebellar ataxia persist  
20 not only because their deleterious effects occur after the age of reproduction, but also because  
21 they may confer early life advantages.<sup>28,29</sup> This hypothesis is further supported by study of young  
22 carriers of the Huntington gene expansions who show enhanced cognitive performance<sup>30</sup> and  
23 reduced anxiety and depression compared to familial non-carriers.<sup>31</sup> Neurodevelopmental effects  
24 of the Huntington's gene CAG repeat expansion recently have been confirmed during human  
25 embryonic brain development as early as 13 weeks gestation.<sup>32</sup> These included mislocalized  
26 junctional complexes and of the mutant protein huntingtin, abnormal neuroprogenitor cell  
27 polarity and differentiation, and altered mitosis and cell cycle progression.<sup>32</sup> This represents  
28 perhaps the strongest evidence to date of the neurodevelopmental effects of a hereditary adult-  
29 onset neurodegenerative disorder.

30 In the Genetic Frontotemporal dementia Initiative (GENFI) cohort, in comparison to non-carriers  
31 from the same families, mutation carriers reported subtle changes in mood and behaviour at the

1 time of the baseline assessments, independent of age.<sup>33</sup> In the absence of pediatric research data  
2 on mutation carriers, we evaluated data from the youngest adult GENFI participants, those  
3 between the ages of 19 and 29y, to explore whether changes in symptoms, cognition or brain  
4 structure may be present during neurodevelopment (up through the third decade of life). In this  
5 age range, we consider neurodegenerative changes to be unlikely to confound findings as the  
6 mean expected years to disease onset is approximately 30 years, a time-frame well before the  
7 two years prior to phenotype conversion when increases in biomarkers of neurodegeneration  
8 such as neurofilament light chain are elevated in mutation carriers.<sup>7</sup> The objectives of the  
9 present study were to determine whether young adults between the ages of 19 and 29 who carry  
10 frontotemporal dementia causing gene mutations show differences compared to familial age-  
11 matched non-carriers in: 1) brain structure as measured by cortical and subcortical volumes and  
12 cortical thickness and 2) functional outcomes as indexed by behavioural and cognitive  
13 assessments.

## 14 **Materials and methods**

### 15 **Participants**

16 Young adults between the ages of 18 and 29 years inclusive who enrolled in the GENFI multi-  
17 centre cohort study were included. The GENFI consortium includes research centres across  
18 Europe and Canada (<http://genfi.org.uk/>) and enrolls adults with known pathogenic mutations in  
19 the *GRN* or *MAPT* genes or with a pathogenic expansion in the *C9orf72* gene (greater than 30  
20 repeats). The cohort is comprised of symptomatic mutation carriers, pre-symptomatic mutation  
21 carriers, and non-mutation carriers from the same families. The majority (~71%) of at-risk family  
22 members in the GENFI study were not aware of their genetic status at the time of the  
23 assessments. Baseline data from the presymptomatic young adults' first GENFI assessments  
24 were included, including participant and informant clinical scales of behavioural and cognitive  
25 symptoms and magnetic resonance imaging. Presymptomatic (unaffected) designation was made  
26 by the local GENFI site physicians based on participants considered not to be showing signs of  
27 frontotemporal dementia and not meeting consensus criteria for behavioural variant  
28 frontotemporal dementia, amyotrophic lateral sclerosis, nonfluent primary progressive aphasia,  
29 semantic variant primary progressive aphasia, corticobasal syndrome or other dementia. The data

1 analyzed below represent that available from GENFI data freeze #5 (2012-2019). This includes  
2 participants from Phase 1 (GENFI1; 2012-2015), and phase 2 (GENFI2; 2015-2019) of GENFI.  
3 Data are presented in ways to ensure continued blinding of participants' genetic status. Mutation  
4 carriers were compared with non-carriers of the same gene group (e.g. *MAPT* mutation carriers  
5 vs. non-carriers from *MAPT* mutation families) for all analysis to reduce potential confounds  
6 related to language and family differences.

7 Written informed consent was obtained from all participants. The study was approved by the  
8 local ethics committee for each of the GENFI sites.

## 9 **Neuroimaging**

10 Participants completed volumetric T1-weighted MRI acquired with the GENFI protocol with a  
11 1.1-mm isotropic resolution on a 3T scanner (Siemens Trio, Siemens Skyra, Siemens Prisma,  
12 Philips Achieva, GE Discovery MR750) or 1.5T scanner (Siemens, GE). Pre-processing of  
13 volumetric MRI scans was performed as previously reported,<sup>34</sup> including visual QC checks, bias  
14 field correction and whole brain parcellated using the geodesic information flow algorithm.<sup>35</sup> We  
15 combined regions of interest to calculate the volumes of the whole brain (total brain volume  
16 which includes all gray and white matter), lobes or regions (gray matter in frontal, temporal,  
17 parietal, occipital, cingulate and insula), subcortical structures including the amygdala,  
18 hippocampus, thalamus, and basal ganglia (caudate + pallidum + putamen), as well as  
19 cerebellum<sup>36</sup> and total CSF (ventricles and non-ventricular CSF). The cingulate and insula were  
20 included as specific regions as they are known to be amongst the earliest regions affected in  
21 many forms of FTD.<sup>2,37</sup> Left and right volumes were summed, and total intracranial volume  
22 (TIV), which includes all gray matter, white matter and CSF, was computed with SPM12 v6470  
23 (Statistical Parametric Mapping, Wellcome Trust Centre for Neuroimaging, London, UK)  
24 running under Matlab R2014b (Math Works, Natick, MA, USA) (Malone et al., 2015). T1-  
25 weighted MRI were also processed for vertex-wide cortical thickness analysis with Civet 2.1  
26 (<http://www.bic.mni.mcgill.ca/ServicesSoftware/CIVET-2-1-0-Introduction>) through the Cbrain  
27 platform.<sup>38</sup> All outputs were visually inspected for quality control.

## 28 **Behavioural and Cognitive Measures**

### 29 **Symptoms**



1 Clinicians completed the GENFI Symptom Scales with participants and their study informant to  
2 evaluate the presence of symptoms across the following five domains: behavioural,  
3 neuropsychiatric, cognitive, language, and motor. The presence and severity of each symptom  
4 was indicated using a 5-point Likert scale (0=absent, 0.5=questionable/very mild, 1=mild,  
5 2=moderate, 3=severe). Symptom ratings of questionable/very mild, mild, moderate, severe were  
6 coded as *symptom endorsement* and absent coded as *symptom absent*.

7 **Cambridge Behavioural Inventory Questionnaire-Revised (CBI-R)<sup>39</sup>**: Study informants use a  
8 5-point Likert scale to indicate whether participants demonstrate symptoms in the following  
9 domains: memory and orientation, everyday skills, self-care, abnormal behaviour, mood, beliefs,  
10 eating habits, sleep, stereotypic and motor behaviours, and motivation. Symptom reports reflect  
11 endorsement 4 weeks prior to the assessment, with higher scores indicate greater frequency of  
12 symptoms.

13

#### 14 **GENFI Neuropsychology Battery**

15 The GENFI Neuropsychology Battery, comprised of tests as previously reported,<sup>2</sup> was  
16 administered to all participants. This included the following tests and indices: Digit Span  
17 Forward (maximum number of consecutive digits correctly produced, Digit Span Backward  
18 (maximum), Digit symbol (from the Wechsler Adult Intelligence Scale), Boston Naming Test  
19 (30 item), Verbal Fluency (Animals), Verbal fluency (Letter), Block design (correct trials,  
20 timed), Free and Cued Selective Reminding Test (FCSRT), D-KEFS Color-Word Interference  
21 Task (CWIT: total *errors* and *time* to completion), Mini-Social Cognition and Emotion  
22 Assessment (MiniSEA) comprised of the Faux-pas Test and Facial Recognition Task, Benson  
23 Figure Copy, Recall, and Recognition, Logical Memory Tests (subset of Wechsler Memory  
24 Scale).

#### 25 **Statistical Analysis**

#### 26 **Neuroimaging**

27 ANCOVAs examining interactions and main effects of genetic status (carrier vs. non-carrier) x  
28 sex x scanner type (vendor, model and field strength), with age at time of scan and TIV as

1 covariates were conducted on global and regional brain volumes. Given the sample sizes  
2 available, only main effects of genetic status significant after controlling for sex, scanner type,  
3 age and TIV are reported. Benjamini-Hochberg correction for multiple tests was used to control  
4 for multiple comparisons using  $p < 0.05$  for the false discovery rate.<sup>40</sup> For regions showing main  
5 effects of genetic status and genetic status x scanner type interactions, the potential impact of  
6 scanner specific effects was examined and results qualified as detailed below. Additional  
7 sensitivity analysis including only patients with 3T MRI scans were performed for all contrasts.  
8 Voxel-wise cortical thickness analyses were performed in SurfStat using general linear models,  
9 controlling for the effects of age, sex and scanner site. We tested for group contrasts (genetic  
10 carriers versus controls -  $Y = intercept + b_1Sex + b_2Scanner + b_3Age + b_4GeneticStatus + error$ )  
11 and for the age by genetic status interaction ( $Y = intercept + b_1Sex + b_2Scanner + b_3Age +$   
12  $b_4GeneticStatus + b_5Age*GeneticStatus + error$ ). Analyses were performed separately for each  
13 genetic group and results were corrected with false discovery rate  $< 0.05$ .

14

15

## 16 **GENFI Symptom Scales**

17 Due to skewing of scores, as most symptoms were not endorsed by many participants, chi-  
18 squared tests were used to examine mutation group level differences in each of the five symptom  
19 domains. Specifically, separate tests were used to detect differences in frequency of symptoms  
20 for each domain between carriers versus non-carriers for each of the three gene groups.

## 21 **GENFI Neuropsychology Battery and Cambridge Behavioural Inventory-** 22 **Revised**

23 A series of one-way analyses of covariance (ANCOVAs) with genetic status (carrier, non-  
24 carriers) as the independent variable, and age and sex as covariates were used to detect  
25 differences between mutation carriers and non-carriers on neuropsychology measures common in  
26 GENFI 1 and GENFI 2. For variables unique to the GENFI 1 and GENFI 2 cohorts, separate  
27 GENFI 1 or GENFI 2 analyses were performed and are presented in Supplementary Table 1.  
28 Years of education was not included in the main analysis to avoid obscuration of potential  
29 neurodevelopmental effects on cognition that could have also affected scholastic achievement,

1 but, where applicable, secondary sensitivity analyses were conducted with years of education as  
2 an additional covariate. The dependent measures included scores on Digit Span Forward, Digit  
3 Span Backward, Digit Symbol, Boston Naming Test, Verbal Fluency Animals, Verbal Fluency  
4 Letter, Block Design, and CBI-R. The dependent variables unique to GENFI1 included  
5 immediate and delayed scores on the logical memory tests, and for GENFI 2 included Benson  
6 Figure Recall, Benson Figure Recognition, FCSRT Free Recall, FCSRT Total, FCSRT Delayed  
7 Free Recall, CWIT Errors, CWIT Time, and MiniSEA Total. Given the available sample sizes,  
8 only main effects of genetic status, after controlling for sex and age, are reported. Observations  
9 greater than +/- 3 standard deviations were deemed outliers. One outlier was detected on the  
10 Block Design measure and one on the verbal fluency task; removal of these outliers did not  
11 affect the statistical results.

## 12 **Data availability**

13 The raw data of this project is part of GENFI. De-identified participant data can be accessed on  
14 reasonable request to Elizabeth.Finger@lhsc.on.ca and genfi@ucl.ac.uk.

15

## 16 **Results**

### 17 **Participants**

18 Ninety-two young adults in GENFI met the inclusion criteria for the study and were designated  
19 as presymptomatic (unaffected) by their local site physicians. The FTLD-CDR global rating was  
20 0 for all but 5 who had ratings of 0.5, two of whom were mutation carriers and three were non-  
21 carriers. MRI scans passing quality checks were available from 85 of the 92 young adult GENFI  
22 participants from Data Freeze 5 (Table 1). Fifty-two percent were mutation carriers (41 non-  
23 carriers, 44 carriers). Amongst the mutation carriers, there were 17 *C9orf72*, nine *MAPT*, and 16  
24 *GRN* carriers. The mean age at time of participation was 25 years (range 19-29), and mean level  
25 of education was 14 years (range 8-18). All of these young adults were designated as unaffected/  
26 presymptomatic participants by the site physicians. The FTLD-CDR global rating for all was 0  
27 for except for five participants with ratings of 0.5, three were mutation carriers and two were  
28 non-carriers. There were no significant differences in age at time of scan or sex distribution

1 comparing the mutation carriers vs. non-carriers for each of the three gene groups. *MAPT*  
2 carriers had more years of education than the *MAPT* non-carriers ( $M_{\text{carriers}}=15.5$  y (SD 1.5)  $M_{\text{non-}}$   
3 carriers 14.1y (SD 1.7),  $P < 0.05$ ).

4 Behavioural and cognitive data were available from 91 young adult GENFI participants from  
5 data freeze 5 (Table 2), of which 49% were mutation carriers, and 51% were mutation non-  
6 carriers. Again it was observed that the *MAPT* carriers had more years of education than the  
7 *MAPT* non-carriers ( $M_{\text{carriers}}=15.2$  y (SD 2.0)  $M_{\text{non-carriers}} 14.3.6$ y (SD 1.9),  $P = 0.05$ ). There were  
8 no other statistically significant differences in age, years of education, handedness, or sex  
9 between carriers and non-carriers within, and collapsed across, the three genetic groups.

## 10 ***C9orf72***

### 11 **MRI Analysis**

12 Young adult *C9orf72* repeat expansion carriers had significantly smaller total brain volumes ( $P <$   
13  $0.005$ ; partial eta squared ( $\eta^2_p$ ) =0.50) and thalamic volumes ( $P < 0.005$ ;  $\eta^2_p$  =0.45) in  
14 comparison to *C9orf72* non-carriers (Table 1). No differences were observed for TIV or total  
15 CSF volumes. Mean volumes were non-significantly lower in carriers relative to non-carriers in  
16 all of the remaining regions apart from the caudate. There were no significant genetic status x  
17 scanner or genetic status x sex interactions. There was no significant difference in vertex-wide  
18 cortical thickness between expansion carriers and non-carriers.

### 19 **Behavioural and Cognitive Assessments**

20 No statistically significant differences between carriers and non-carriers were found in symptom  
21 frequencies across all domains (Supplementary Table 1). No significant differences between  
22 *C9orf72* repeat expansion carriers vs. non-carriers were observed in the other behavioural scales  
23 or cognitive tasks (Table 2 and Supplementary Tables 1-3).

## 25 ***MAPT***

### 26 **MRI Analysis**

1 Young adult *MAPT* mutation carriers had larger TIV than non-carriers. There were no  
2 significant differences in brain or CSF volumes between young adult *MAPT* carriers and non-  
3 carriers when TIV was adjusted for. There was no significant difference in vertex-wide cortical  
4 thickness between *MAPT* mutation carriers and non-carriers.

## 5 **Behavioural and Cognitive Assessments**

6 *MAPT* mutation carriers performed better than non-carriers on verbal fluency (letter)  
7 performance ( $F_{18,6}$ ,  $P < 0.001$ ) and digit span forward ( $F=5.8$ ,  $P < 0.05$ ) (Figure 1). Sensitivity  
8 analyses, adding education as a covariate and adding site as a variable retained the significant  
9 main effect of genetic status on both verbal fluency ( $P < 0.001$ ) and digit span forward ( $P <$   
10  $0.05$ ).

11 No statistically significant differences between carriers and non-carriers were found for the CBI-  
12 R or in GENFI symptom list endorsement frequencies across all domains (Table 2 and  
13 Supplementary Tables 2 and 3).

## 14 ***GRN***

### 15 **MRI Analysis**

16 *GRN* mutation carriers were found to have significantly larger TIV and cingulate volume ( $P <$   
17  $0.01$ ;  $\eta^2_p = 0.48$ ) relative to non-carriers when adjusted for TIV (Table 1). There were no other  
18 significant differences once scanner type interactions were accounted for, including no  
19 significant difference in vertex-wide cortical thickness between *GRN* mutation carriers and non-  
20 carriers.

### 21 **Behavioural and Cognitive Assessments**

22 *GRN* mutation carriers performed better on the digit symbol task than non-carriers ( $F=4.459$ ,  $P <$   
23  $0.05$ ) (Figure 2). Sensitivity analyses adding education covariate and adding site as a variable  
24 supported the pattern of findings ( $P = 0.07$ ). No statistically significant differences in symptom  
25 frequencies across all domains were found between *GRN* mutation carriers and non-carriers. No  
26 statistically significant differences between carriers and non-carriers were found in symptom  
27 frequencies across all domains (Table 2 and Supplementary Tables 2 and 3).

28

## 1 **MRI sensitivity analyses**

2 Sensitivity analyses conducted for all three gene groups including only participants with 3T MRI  
3 scans (n = 82) demonstrated the same pattern of significant and non-significant imaging findings  
4 as reported above.

## 5 **Discussion**

6 These data demonstrate early effects of *MAPT*, *C9orf72* and *GRN* mutations on brain structure  
7 and function, detectable in the third decade of life. The presence of structural differences nearly  
8 30 years prior to expected symptom onset, at ages when the frontal lobes are still maturing  
9 suggests there are neurodevelopmental consequences of some forms of genetic frontotemporal  
10 dementia. The regions and patterns of volumetric differences varied according to the gene, with  
11 hints of potentially advantageous consequences early in life for *MAPT* and *GRN* mutations.

12 Patients with FTD due to *C9orf72* repeat expansions most commonly develop behavioural  
13 variant frontotemporal dementia or amyotrophic lateral sclerosis, though can present with a non-  
14 fluent primary progressive aphasia or corticobasal syndrome phenotype.<sup>2</sup> In young adult *C9orf72*  
15 repeat expansion carriers, the findings of reduced total brain and thalamic volumes are in line  
16 with studies of older symptomatic and presymptomatic frontotemporal dementia cohorts.  
17 Thalamic atrophy is a predominant structural change in symptomatic patients with *C9orf72*  
18 associated frontotemporal dementia, amyotrophic lateral sclerosis, or frontotemporal  
19 dementia/amyotrophic lateral sclerosis.<sup>41-45</sup> The current findings extend prior findings in older  
20 presymptomatic *C9orf72* expansion carriers of expanded 3<sup>rd</sup> ventricular volumes approximately  
21 14 years prior to expected symptom onset<sup>8</sup> and a subgroup analysis of *C9orf72* repeat expansion  
22 carriers 40 years of age or younger that identified differences in thalamic volumes.<sup>46</sup> Indications  
23 that an alternate pathophysiologic process could drive these early structural differences is found  
24 in non-human models of *C9orf72* during the neurodevelopmental period, where the repeat  
25 expansion is associated with multiple cellular level effects including impaired axonal genesis,  
26 cellular motility and increased neuronal apoptosis.<sup>47</sup> Whether the smaller thalamic and total brain  
27 volumes are due to early hallmark frontotemporal dementia pathology causing atrophy or due to  
28 neurodevelopmental effects of *C9orf72* on other critical processes is not yet known given the  
29 lack of brain tissue evaluations available at these younger ages. However, the preserved TIV

1 with smaller total brain volumes and smaller thalamic volumes would favor volume loss and  
2 early neurodegeneration.

3 While informants' reports of neuropsychiatric symptoms in *C9orf72* expansion carriers vs. non-  
4 carriers did not reach significance, a prior family history study identified a higher prevalence of  
5 what are traditionally considered neurodevelopmental disorders including autism and  
6 schizophrenia (hazard ratios of 2.7 and 4.9 respectively).<sup>25</sup> In other another cohort, a  
7 retrospective inquiry and chart review of *C9orf72* expansion carriers vs. non-carriers reported  
8 some increase in behavioural traits, including a fixed pattern of behaviours, excessive buying and  
9 obsessive physical exercise in the years prior to frontotemporal dementia conversion,<sup>48</sup> though  
10 Lee et al.<sup>49</sup> found no differences in behavior or psychiatric histories between carriers and non-  
11 carriers at a mean age of 43y. The lack of neuropsychiatric symptom differences in the present  
12 study relative to these prior reports may be due to the prospective symptom ascertainment in our  
13 sample, at a time when the majority of participants and their informants were unaware of their  
14 genetic status. Other potential reasons for the lack of detection of reported behavioural symptoms  
15 in the current study in comparison to findings from Devenney et al.<sup>25</sup> and Gossink et al.<sup>48</sup> may  
16 reflect differences between a clinical sample vs. research sample. Specifically, participants who  
17 enroll in ongoing clinical research studies requiring multiple assessments and MRI scans are less  
18 likely to have significant psychiatric disorders at time of participation. Finally, the  
19 neuropsychiatric symptom rating scales used were broad, but did not probe each domain in  
20 detail, and thus a more detailed elicitation of potentially relevant symptoms using tools sensitive  
21 to subclinical phenomenon such prodromal psychosis or autistic traits may be more sensitive in  
22 pre-symptomatic states. These measures, as well as assessment of potential enrollment biases and  
23 differences within GENFI families between research participants and non-participants have been  
24 added to the GENFI-3 protocol.

25 Affected patients with *GRN* mutations most commonly present with behavioural variant  
26 frontotemporal dementia, though the other frontotemporal dementia clinical subtypes including  
27 nonfluent primary progressive aphasia and corticobasal syndrome have been reported.<sup>50</sup> In  
28 contrast to the smaller brain volumes observed in the young adult *C9orf72* expansion carriers,  
29 larger total intracranial and cingulate cortex volumes were observed in *GRN* mutation carriers vs  
30 familial non-carriers, the latter in particular a region commonly atrophied early in the course of  
31 symptomatic *GRN* frontotemporal dementia.<sup>3,51</sup> Cognition was generally preserved in the *GRN*

1 young adult carriers and was better than non-carriers on the digit-symbol task, one measure of  
2 processing speed. While larger brain volumes in young adult *GRN* mutation carriers may appear  
3 unexpected, youth carrying the Huntingtin gene mutation have larger volumes of the striatum  
4 relative to familial non-carriers, prior to accelerated atrophy.<sup>52</sup> We cannot yet comment on rates  
5 of change from this cross-sectional analysis, but delineation of the trajectories of these regions  
6 will be possible with further longitudinal data collection in the young adult GENFI participants.  
7 Of note, given that in this age range gray matter structures undergo a normative period of volume  
8 reduction as part of the maturation process,<sup>53</sup> a finding of larger volume can reflect abnormal  
9 maturational processes that are advantageous or disadvantageous. Larger brain volumes have  
10 been reported prior to atrophy in *presenilin 1* mutation carriers.<sup>54</sup> The findings of generally  
11 preserved cognitive performance and the lack of atrophy in young adult *GRN* mutation carriers  
12 fit with recent data from large international cohorts that indicate changes in brain volume and  
13 NFL levels start within a few years' proximity to overt conversion to symptomatic genetic  
14 frontotemporal dementia,<sup>6,7,55,56</sup> in which the average age of diagnosis is ~61 years.<sup>1</sup> Our findings  
15 of preserved cognition and brain volumes in *GRN* carriers support optimism that a window of  
16 opportunity exists in adult pre-symptomatic participants in which potential mitigation of low  
17 *GRN* levels in *GRN* carriers might delay or prevent subsequent neurodegeneration. The  
18 identification of hypertrophy of the relevant cingulate region in young adult *GRN* carriers  
19 suggests examination of such regions for potential early advantageous or compensatory cellular  
20 responses during neurodevelopmental phases may hold promise to identify new critical pathways  
21 and therapeutic targets.

22 Like *GRN* mutation carriers, *MAPT* mutation carriers also had larger TIV relative to non-carriers.  
23 While symptomatic and older presymptomatic *MAPT* carriers commonly show behavioural or  
24 language-related deficits and atrophy in anterior temporal regions,<sup>2,3,57-60</sup> the young adult *MAPT*  
25 mutation carriers showed no other structural brain differences and performed as well or better  
26 than familial non-carriers on cognitive tests and informant-based symptom ratings. These  
27 findings are generally consistent with those from the entire GENFI cohort and from independent  
28 cohorts of *MAPT* carriers where mean brain volumes did not differ between pre-symptomatic  
29 mutation carriers vs. controls,<sup>61</sup> though in some a small subset of presymptomatic carriers had  
30 lower volumes. Specifically, in an independent cohort of *MAPT* presymptomatic carriers with a  
31 mean age 40y, mean brain volumes did not differ from those of non-carriers, though frequency



1 maps identified 20% of *MAPT* carriers in their 30s as having lower mesial temporal volumes.<sup>23</sup>  
2 Similarly, in a GENFI study examining different atrophy patterns in *MAPT* mutation carriers,  
3 84% of presymptomatic *MAPT* carriers were categorized as normal brain volume (mean age of  
4 38 y), while ~16 percent were assigned to temporal or frontotemporal atrophy subtype.<sup>62</sup>  
5 Notably, group assignment was highly stable during longitudinal follow up (range 1-5 years). In  
6 a subset analysis, 6 presymptomatic mutation carriers with CDR 0, mean age 39y, showed  
7 smaller volumes in anterior temporal and frontal regions.<sup>63</sup> Longitudinal observations of young  
8 *MAPT* carriers are required to examine whether higher brain volumes may be present at younger  
9 ages, as observed in Huntingtin mutation carriers,<sup>52</sup> and in this study in young adult *GRN*  
10 mutation carriers. Additionally, larger cohorts that enable modeling of the different *MAPT*  
11 mutation types during neurodevelopmental periods are needed given the heterogeneous clinical  
12 presentations and neuroimaging patterns associated with different *MAPT* variants.<sup>23,62</sup>

13 The finding that *MAPT* carriers were rated as having more education and better cognitive  
14 performance than *MAPT* non-carriers was an unpredicted finding, though the Tau-4R-P301L  
15 *MAPT* mouse transgenic shows early life enhanced memory performance and increased long  
16 term potentiation in the hippocampus.<sup>64</sup> The higher educational attainment with aspects of  
17 improved cognitive performance, coupled with larger TIV in young *MAPT* carriers, suggests the  
18 possibility of antagonistic pleiotropy, where early advantageous consequences of a mutation  
19 come with later adverse effects such as poorer repair capacity in middle and old age.<sup>28,65</sup> In two  
20 small cohorts of *MAPT* presymptomatic mutation carriers with different mutation types, elevated  
21 tau tracer binding was observed in most of the pre-symptomatic patients in their 40s-60s.<sup>66,67</sup>  
22 However, the youngest carrier, who was ~ 30 years prior to estimated disease onset, showed no  
23 tau tracer binding. We suggest that together the evidence supports the likely presence of cellular  
24 advantageous or compensatory processes which delay such accumulation of pathologic tau  
25 aggregations early in neurodevelopmental periods and which represent an understudied  
26 opportunity for new therapeutic development. Given the limited sample size, this intriguing  
27 result of potential early life advantages with gradual accumulation of pathology only reaching a  
28 threshold to cause atrophy or functional changes close to mid-life requires replication before  
29 further interpretation.

30 Limitations of the present study include the relatively small sample size for comparison of  
31 cognitive performance, particularly given differences in language and education levels. Due to

1 the relatively small number of participants per family for the majority of GENFI participants,  
2 including some with no other participating family members, the study lacked power to include  
3 family and site as variables in the primary analysis, though site related variance was included in  
4 post-hoc sensitivity analysis of cognitive findings. The finding of total brain volumetric  
5 differences in the *C9orf72* expansion carriers but lack of significant differences in cortical  
6 thickness may indicate that differences in both subcortical gray matter and white matter regions  
7 are present and contribute to the observed volumetric differences. In *GRN* carriers the absence of  
8 changes in cortical thickness in the cingulate cortex may reflect differential power of the ROI vs.  
9 voxel-wise approaches to detect differences or that volume is influenced by factors other than  
10 cortical thickness, such as surface area.

11 In summary, this examination of the youngest adults from families with genetic frontotemporal  
12 dementia identifies early brain volume loss in *C9orf72* mutation carriers <30 years of age,  
13 increased TIV and early hypertrophy of the anterior cingulate in young adult *GRN* carriers, and  
14 increased TIV with relatively normal brain structure and enhanced cognitive performance in  
15 young adult *MAPT* carriers. These results support long raised speculations and hypotheses about  
16 potential neurodevelopmental origins of some forms of frontotemporal dementia, and identify  
17 structural changes in young adult mutation carriers, some of which may have early advantages  
18 but deleterious consequences later in life. Longitudinal follow up and establishment of younger  
19 cohorts will enable further essential prospective comparison of structural and functional  
20 trajectories in mutation carriers with familial non-carriers, as well as examination of mutation  
21 specific effects, to uncover key neurodevelopmental changes that may set the stage for or delay  
22 the onset of frontotemporal dementia.

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## 23 **Competing interests**

24 The authors report no competing interests.

## 25 **Supplementary material**

26 Supplementary material is available at *Brain* online.

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# 1 **Appendix 1**

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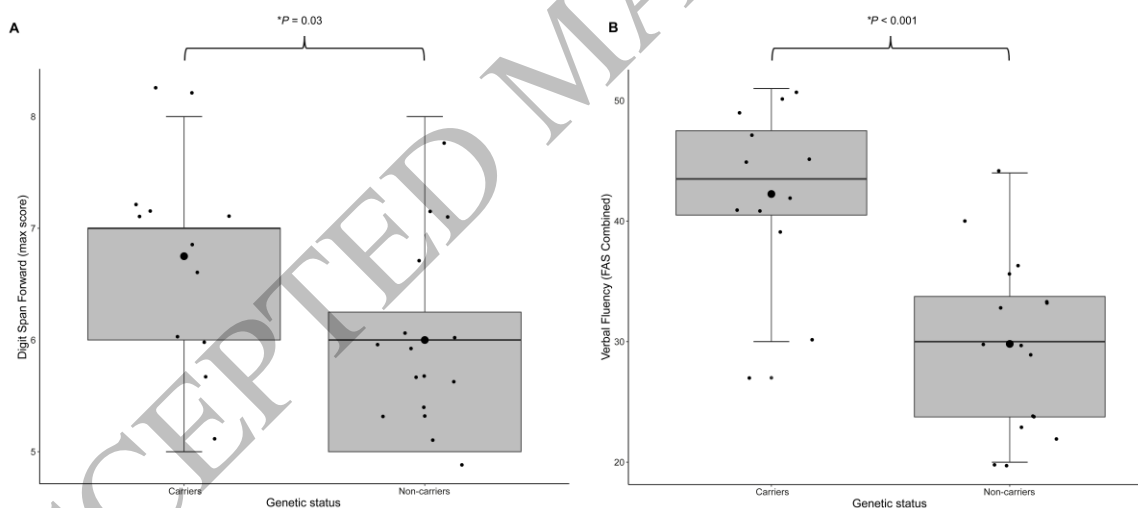
2 **Figure legends**

3 **Figure 1 Main effect of genetic status on cognitive performance in young adult *MAPT***  
 4 **mutation group.** *MAPT* mutation carriers show enhanced performance on **A)** digit span forward  
 5 and **B)** verbal fluency in comparison to non-carriers. Small black circles represent individual  
 6 scores; large black circles represent group means.

7

8 **Figure 2 Main effects of genetic status on cognitive performance in the young adult *GRN***  
 9 **mutation group.** *GRN* mutation carriers show enhanced performance on digit symbol in  
 10 comparison to non-carriers. Small black circles represent individual scores; large black circles  
 11 represent group means.

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**Figure 1**  
 159x66 mm (5.5 x DPI)

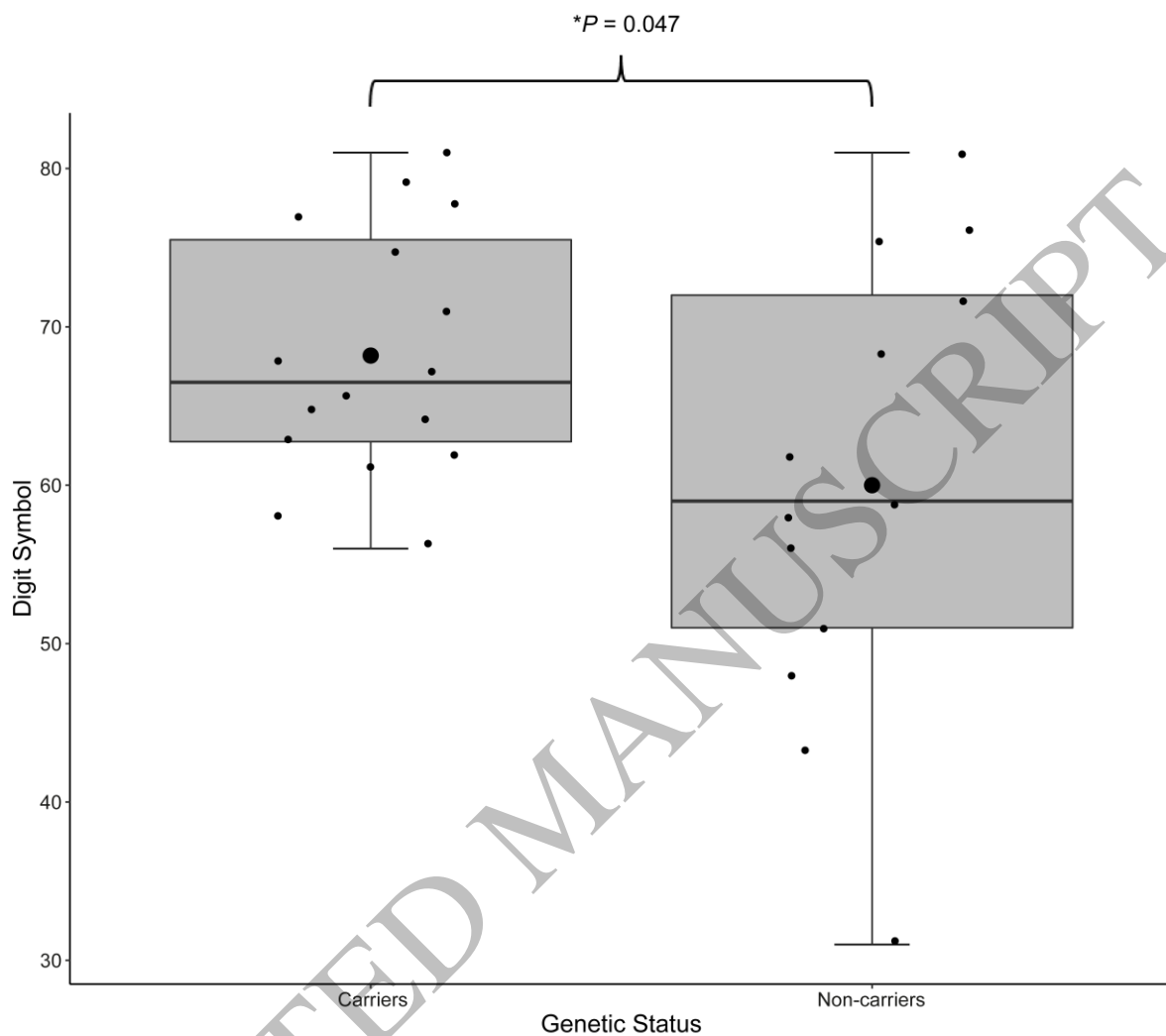


Figure 2  
159x141 mm (5.5 x DPI)

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1 **Table 1 MRI volumetric analysis of mutation carriers versus non-carriers**

	Total	Carrier	Non-carriers	C9orf72 carriers	C9orf72 non-carriers	C9orf72 contrasts	MAPT carriers	MAPT non-carriers	MAPT contrasts	GRN carriers	GRN non-carriers	GRN contrasts
N	85	44	41	17	15	–	11	13	–	16	13	–
Age (SD)	25.7 (2.9)	25.7 (3.2)	25.8 (2.6)	25.9 (3.3)	25.8 (2.1)	$F = 0.01, P = 0.95$	24.8 (3.7)	25.8 (3.6)	$F = 0.38, P = 0.54$	25.9 (2.68)	25.9 (2.27)	$F = 0.1, P = 0.9$
Education, years (SD)	14.35 (2.23)	14.6 (2.1)	14.1 (2.3)	14.0 (2.45)	14.4 (2.20)	$F = 0.23, P = 0.63$	15.5 (1.5)	14.1 (1.7)	$F = 4.9, P = 0.04^*$	14.6 (1.9)	13.6 (3.0)	$F = 1.3, P = 0.26$
Mean age of onset in family		56.1 (6.7)	55.4 (8.4)	55.3 (7.9)	58.2 (6.3)		54.3 (6.6)	52.7 (4.8)		58.1 (5.2)	54.9 (12.1)	
<b>Handedness</b>						$\chi^2 = 1.4, P = 0.23$			$\chi^2 = 4.1, P = 0.04$			$\chi^2 = 0.06, P = 0.81$
Right	73	37	36	16	12		8	13		13	11	
Left	12	7	5	1	3		3	0		3	2	
<b>Sex</b>						$\chi^2 = 0.13, P = 0.72$			$\chi^2 = 0.24, P = 0.63$			$\chi^2 = 0.17, P = 0.68$
Male	40	21	19	9	7		7	7		5	5	
Female	45	23	22	8	8		4	6		11	8	
<b>Brain volumes</b>												
TIV <sup>a</sup>				1390903	1450461	$F = 1.73, P = 0.21$	1501219	1375417	$F = 9.88, P = 0.01^{**}$	1437451	1373921	$F = 6.77, P = 0.03^{**}$
Total brain				1166301	1205228	$F = 15.02, P = 0.001^{**}$	1201246	1206018	$F = 0.08, P = 0.79$	1123944	1143427	$F = 4.48, P = 0.06$
Total CSF				249971	241656	$F = 1.52, P = 0.23$	250306	244399	$F = 0.55, P = 0.47$	232339	222586	$F = 1.45, P = 0.24$
Frontal lobes				185756	192530	$F = 2.81, P = 0.11$	192501	186598	$F = 1.44, P = 0.26$	180518	182866	$F = 0.32, P = 0.58$
Temporal lobes				125657	131026	$F = 3.95, P = 0.07$	132589	130117	$F = 0.00, P = 0.98$	123905	123288	$F = 1.78, P = 0.21$
Parietal lobes				95994	99841	$F = 0.17, P = 0.69$	99570	97354	$F = 1.52, P = 0.25$	93592	95352	$F = 0.68, P = 0.43$
Occipital lobes				73183	77445	$F = 0.96, P = 0.34$	75198	76833	$F = 0.08, P = 0.78$	72467	73832	$F = 0.24, P = 0.64$
Cingulate				30155	30789	$F = 0.39, P = 0.54$	31106	31461	$F = 0.11, P = 0.75$	29934	28600	$F = 9.91, P = 0.009^{**}$
Insula				11545	11838	$F = 0.79, P = 0.39$	12207	11332	$F = 1.71, P = 0.23$	11177	11694	$F = 0.21, P = 0.65$
Cerebellum				104739	107168	$F = 0.03, P = 0.87$	112314	115886	$F = 0.16, P = 0.70$	105285	106223	$F = 0.82, P = 0.39$
Amygdala				3471	3528	$F = 0.12, P = 0.74$	3678	3566	$F = 0.46, P = 0.52$	3499	3527	$F = 16.41, P = 0.002$
Hippocampus				7679	7902	$F = 0.09, P = 0.77$	8190	8199	$F = 0.39, P = 0.55$	7880	8036	$F = .00, P = 0.98$
Thalamus				10975	12045	$F = 12.3, P = 0.003^{**}$	11256	13011	$F = 4.81, P = 0.06$	11561	10838	$F = 41.85, P < 0.001$
Basal ganglia				20487	20650	$F = 0.11, P = 0.74$	19650	21116	$F = 4.19, P = 0.08$	19203	20015	$F = 2.10, P = 0.18$

2 TIV = total intracranial volume. Brain volume contrasts indicate main effect of genetic status when controlling for age, TIV, sex and scanner  
3 type. Mean volumes in mm<sup>3</sup>, corrected for age at visit and TIV mm<sup>3</sup>.

4 \* $P < 0.05$ .

1 \*\*Bolded values significant after FDR correction and accounting for scanner effects. For non-bolded imaging contrasts with significant *P*-values,  
 2 scanner effects preclude conclusion about group differences.  
 3 \*TIV contrast controlled for age, sex and scanner type.  
 4

5 **Table 2 Demographics, Behavioural and Cognitive Assessments of GENFI Young Adult Mutation Carriers versus Non-**  
 6 **carriers**

	Total	Carriers	Non-carriers	<i>C9orf72</i> Carriers	<i>C9orf72</i> non-Carriers	<i>C9orf72</i> contrasts	MAPT Carriers	MAPT non-carriers	MAPT contrasts	GRN carriers	GRN non-carriers	GRN contrasts
<b>GENFI1 + GENFI2</b>												
N	92	45	47	17	18	–	12	16	–	16	13	–
Age (SD)	25.5 (2.9)	25.7 (3.1)	25.4 (2.8)	25.8 (3.3)	25.9 (2.2)	<i>t</i> = 0.13, <i>P</i> = 0.90	24.9 (3.6)	25.0 (3.1)	<i>t</i> = 0.06, <i>P</i> = 0.95	25.8 (2.55)	25.0 (3.17)	<i>t</i> = 0.8, <i>P</i> = 0.46
Education, Yrs (SD)	14.2 (2.3)	14.6 (2.2)	13.8 (2.5)	14.0 (2.5)	14.1 (2.6)	<i>t</i> = 0.07, <i>P</i> = 0.95	15.2 (2.0)	13.6 (1.9)	<b><i>t</i> = 2.01, <i>P</i> = 0.05</b>	14.7 (1.99)	13.6 (3.01)	<i>t</i> = 1.10, <i>P</i> = 0.28
Mean age of onset in family, Yrs (SD)	55.6 (7.7)	55.8 (6.9)	55.4 (8.4)	53.2 (13.3)	59.2 (6.3)	<i>t</i> = 1.7, <i>P</i> = 0.09	53.4 (7.1)	51.8 (4.9)	<i>t</i> = 0.73, <i>P</i> = 0.47	58.1 (5.2)	54.9 (12.1)	<i>t</i> = 1.0, <i>P</i> = 0.34
Handedness						<i>t</i> = 1.0, <i>P</i> = 0.33			<i>t</i> = 1.3, <i>P</i> = 0.21			<i>t</i> = 0.23, <i>P</i> = 0.82
Right	79	37	42	16	15	–	9	15	–	13	11	–
Left	13	7	6	1	3	–	3	1	–	3	2	–
Sex						<i>t</i> = 0.49, <i>P</i> = 0.63			<i>t</i> = 0.22, <i>P</i> = 0.83			<i>t</i> = 0.39, <i>P</i> = 0.70
Male	48	24	24	8	10	–	5	6	–	11	8	–
Female	44	21	23	9	8	–	7	10	–	5	5	–
<b>Neuropsych, Mean (SD)</b>												
Digit Span Forward				6.6 (1.1)	6.7 (1.1)	<i>F</i> = 0.04, <i>P</i> = 0.84	6.8 (0.9)	6.0 (0.9)	<b><i>F</i> = 5.8, <i>P</i> = 0.03*</b>	7.1 (0.1)	6.5 (1.1)	<i>F</i> = 2.5, <i>P</i> = 0.13
Digit Span Backward				5.1 (0.9)	5.1 (1.7)	<i>F</i> = 0.02, <i>P</i> = 0.90	5.4 (1.2)	4.8 (1.1)	<i>F</i> = 1.91, <i>P</i> = 0.18	5.2 (1.1)	4.9 (1.2)	<i>F</i> = 1.0, <i>P</i> = 0.32
Digit Symbol				60.3 (7.3) <sup>a</sup>	61.8 (15.2)	<i>F</i> = 0.2, <i>P</i> = 0.69	66.7 (11.0)	60.4 (10.9)	<i>F</i> = 1.81, <i>P</i> = 0.19	68.2 (7.8)	60.0 (14.5)	<b><i>F</i> = 4.5, <i>P</i> = 0.047*</b>
Boston Naming				27.4 (1.8)	27.5 (2.2)	<i>F</i> = 0.02, <i>P</i> = 0.90	27.5 (1.9)	27.9 (1.6)	<i>F</i> = 0.28, <i>P</i> = 0.60	27.4 (1.)	27.7 (1.6)	<i>F</i> = 0.3, <i>P</i> = 0.58
Verbal Fluency (Animals)				22.7 (4.5)	24.9 (7.3)	<i>F</i> = 1.5, <i>P</i> = 0.24	22.4 (4.7)	23.1 (8.9)	<i>F</i> = 0.06, <i>P</i> = 0.82	25.8 (5.14)	23.5 (5.2)	<i>F</i> = 1.4, <i>P</i> = 0.26
Verbal Fluency (FAS)				35.1 (10.5)	41.1 (12.1)	<i>F</i> = 2.1, <i>P</i> = 0.16	42.3 (7.5)	29.8 (7.2)	<b><i>F</i> = 18.6, <i>P</i> = 0.0003*</b>	37.4 (14.1)	42.8 (9.3)	<i>F</i> = 1.3, <i>P</i> = 0.26
Block Design				50.1 (17.7) <sup>a</sup>	56.0 (9.2)	<i>F</i> = 1.4, <i>P</i> = 0.25	57.4 (10.8)	54.0 (11.5)	<i>F</i> = 0.62, <i>P</i> = 0.44	57.6 (10.4)	52.7 (15.2)	<i>F</i> = 1.1, <i>P</i> = 0.31
CBI				3.2 (3.5) <sup>b</sup>	3.6 <sup>b</sup> (5.2)	<i>F</i> = 0.1, <i>P</i> = 0.79	2.75 (5.4)	5.9 (8.3)	<i>F</i> = 1.6, <i>P</i> = 0.22	3.7 (4.2) <sup>b</sup>	3.5 (5.1) <sup>b</sup>	<i>F</i> = 0.01, <i>P</i> = 0.94

7 \**P* < 0.05. Significant results bolded. Independent sample *t*-tests or one-way analyses of covariance were used to discern group differences for  
 8 relevant variables. *F* statistics indicate main effects of genetic status.

9 <sup>a</sup>One data-point missing (*C9orf72* expansion carrier).

10 <sup>b</sup>Data-points missing (*C9orf72*: 2 carriers, 2 non-carriers; GRN: 1 carrier, 1 non-carrier).

11