

THE MAXIMUM LENGTH OF K_r -BOOTSTRAP PERCOLATION

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ABSTRACT. *Graph-bootstrap percolation*, also known as weak saturation, was introduced by Bollobás in 1968. In this process, we start with initial “infected” set of edges E_0 , and we infect new edges according to a predetermined rule. Given a graph H and a set of previously infected edges $E_t \subseteq E(K_n)$, we infect a non-infected edge e if it completes a new copy of H in $G = ([n], E_t \cup e)$. A question raised by Bollobás asks for the maximum time the process can run before it stabilizes. Bollobás, Przykucki, Riordan, and Sahasrabudhe considered this problem for the most natural case where $H = K_r$. They answered the question for $r \leq 4$ and gave a non-trivial lower bound for every $r \geq 5$. They also conjectured that the maximal running time is $o(n^2)$ for every integer r . In this paper we disprove their conjecture for every $r \geq 6$ and we give a better lower bound for the case $r = 5$; in the proof we use the Behrend construction.

1. INTRODUCTION

Weak saturation of graphs was introduced by Bollobás [11] in 1968. A graph G on n vertices is *weakly saturated* with respect to a graph H , if G has no copies of H , but there exists an ordering of $E(K_n) \setminus E(G) = \{e_1, \dots, e_t\}$ such that the addition of e_i to $G \cup \{e_1, \dots, e_{i-1}\}$ will create a new copy of H , for every $i \in [t]$. It was later noticed by Balogh, Bollobás and Morris [5] that weak saturation is strongly related to the so-called *bootstrap percolation process*, which is a type of cellular automata introduced in 1979 by Chalupa, Leath, and Reich [13], see also [1, 4].

For our setting, we first redefine the notion of a weakly saturated graph in terms of an infection process, known as the *graph-bootstrap percolation*. For graphs F, H we describe the (F, H) -*bootstrap process* as follows. We start with an initial *infected set of edges* $E_0 \subseteq E(F)$ and write $G_0 := (V(F), E_0)$ (sometimes called the *starting graph*). At each step, an edge of F becomes infected if it completes an infected copy of H . More formally, denote by $n_H(G)$ the number of copies of H in a graph G . Let

$$G_t = G_{t-1} \cup \{e \in E(F) \mid n_H(G_{t-1} \cup \{e\}) > n_H(G_{t-1})\} \quad \text{and} \quad E_t = E(G_t).$$

We say that the *running time* of the (F, H) -bootstrap process is t , if t is the minimum integer such that $G_{t+1} = G_t$. In this case we say that $\langle G_0 \rangle_{(F, H)} := G_t$ is the *final graph* and the process *stabilizes* in t steps. We say that E_0 *percolates* if every edge of F is eventually infected, that is, if the final graph $\langle G_0 \rangle_{(F, H)} = F$. In the special case when $F = K_n$, we refer to the (K_n, H) -bootstrap percolation process as the H -process.

The origins of the concept in physics involved investigating the threshold phenomena of percolation in various random setups [1, 4]. Balogh, Bollobás, and Morris [5] studied the threshold probability $p_c(n, H)$ for the event that the Erdős-Rényi random graph $G(n, p)$ percolates in the

Date: July 11, 2019.

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H -bootstrap percolation process. It is easy to see that a starting graph G_0 on n vertices percolates in the K_3 -process if and only if G_0 is connected, so $p_c(n, K_3) = \frac{\log n}{n} + \Theta\left(\frac{1}{n}\right)$ by the result of Erdős and Rényi [14] about the threshold for connectivity of $G(n, p)$. Determining the value of $p_c(n, K_r)$ is much more difficult when $r \geq 4$. It was shown in [5] that $p_c(n, K_4) = \Theta\left(\sqrt{\frac{1}{n \log n}}\right)$, and that for $r \geq 5$, $\frac{n^{-1/\lambda(r)}}{2e \log n} \leq p_c(n, K_r) \leq n^{-1/\lambda(r)} \log n$, where $\lambda(r) = \frac{\binom{r}{2}-2}{r-2}$. The bounds on $p_c(n, K_4)$ was later improved in [3, 18]. Recently, this problem was also studied for more general graphs H (see also [21]).

Much work has been done on the extremal properties of the K_r -process. Alon [2], Frankl [15], and Kalai [17], showed that the smallest percolating set of edges in the K_r -process in K_n has size $\binom{n}{2} - \binom{n-r+2}{2}$, realized for example by $K_n - K_{n-r+2}$, thus confirming a conjecture of Bollobás [11]. This question was also studied for other graphs F and H , see [2, 6, 7, 20].

Despite missing almost all the $\binom{n}{2}$ edges of K_n , the K_r -percolating starting graph $K_n - K_{n-r+2}$ percolates very fast: every non-edge is the sole missing edge from a copy of K_r , so is added simultaneously in the very first step of the process. Nevertheless, in some of the applications the speed of percolation is quite relevant. In this direction Bollobás raised the extremal problem of determining the **slowest** percolating set in the bootstrap process (i.e. the one that has the maximum running time). Benevides and Przykucki [9, 10, 22] studied this problem in the related setting of neighborhood percolation. Gunderson, Koch and Przykucki [16] considered a ‘percolation time’ problem in the random setting. The question for the K_r -process on the edges was investigated independently by Bollobás, Przykucki, Riordan and Sahasrabudhe [12] and Matzke [19]. They defined

$$M_r(n) = \max\{t \mid \exists G_0 \subseteq K_n \text{ such that } G_t \neq G_{t-1} \text{ in the } K_r\text{-bootstrap process}\}$$

to be the maximum running time for the K_r -bootstrap percolation on n vertices until it stabilizes, taken over all starting graphs. It is easy to see that in the K_3 -process the diameter of the infected graph decreases at least by a factor of two in each step, and hence $M_3(n) = \lceil \log_2(n-1) \rceil$. For the K_4 -process the precise answer was found and turned out to be linear in n .

Theorem 1 ([12, 19]). $M_4(n) = n - 3$ for all $n \geq 3$.

In [12] for $r \geq 5$ subquadratic polynomial lower bounds with the exponents tending to 2 as r tends to infinity were given (see also [19] for $r = 5$).

Theorem 2 (Theorem 2 in [12]). For each fixed $r \geq 5$, we have $M_r(n) \geq n^{2 - \frac{1}{\lambda(r)} - o(1)}$ as $n \rightarrow \infty$, where $\lambda(r) = \frac{\binom{r}{2}-2}{r-2}$.

Note the reappearance of the constant $\lambda(r)$ from the bounds on $p_c(n, K_r)$ mentioned above (see also [5]). Consequently the lower bound in Theorem 2 is around the number when a typical set of that many edges starts to percolate. This is so, as in [12] a random construction (though different from $G(n, p_c(n, K_r))$) is used to obtain the lower bound on $M_r(n)$. As for an upper bound, in [12] it was conjectured that the running time of any K_r -bootstrap percolation process should be subquadratic for $r \geq 5$.

Conjecture 3. [12] For all $r \geq 5$ we have $M_r(n) = o(n^2)$.

In our first main result, we disprove Conjecture 3 for all $r \geq 6$.

Theorem 4. For every $r \geq 6$ and large enough n , we have $M_r(n) \geq \frac{n^2}{2500}$.

Our construction of the starting graph for the slow K_r -process does not obviously extend to $r = 5$. Nevertheless, some of the ideas can be salvaged by utilizing sets of integers having no arithmetic progression of length three. Using the relevant constructions from additive number theory allows us to improve the lower bound of [12] for $M_5(n)$ to almost quadratic.

A set B of numbers is called 3-AP-free if for any $b_1, b_2, b_3 \in B$ with $2b_1 = b_2 + b_3$, we have $2b_1 \neq b_2 + b_3$. Denote $r_3(n)$ the largest cardinality of a 3-AP-free subset of $[n]$. Determining $r_3(n)$

(and its generalization for k -AP-free subsets) is a cornerstone problem in additive number theory, with a rich history that also involves inspiring a significant portion of modern combinatorics. Behrend [8] showed that there are 3-AP-free subsets of $[n]$ of size $n^{1-1/O(\sqrt{\log n})}$. From the other side the function $r_3(n)$ was shown to be $o(n)$ by Roth in 1953 using analytic number theory. Later this was also proved by various other methods, including combinatorics, ergodic theory, and non-standard analysis.

Here we connect $r_3(n)$ to the extremal function $M_5(n)$ of slow K_5 -bootstrap percolation.

Theorem 5. $M_5(n) \geq \frac{nr_3(n)}{1200}$. In particular, $M_5(n) \geq n^{2-O(1/\sqrt{\log n})}$.

The above theorem gives a significant improvement on the previously best lower bound $M_5(n) \geq n^{13/8-o(1)}$ from Theorem 2. Conjecture 3 is still open for $r = 5$ and we tend to agree with the authors of [12] about its validity. The positive resolution of Conjecture 3 would closely tie the classic additive number theoretic function $r_3(n)$ to percolation.

In the next section we start with some basic terminology, and present simple number-theoretic facts that are used in the following sections. Additionally, we prove a general lower bound for the maximum length of the K_r -bootstrap percolation process based on an r -uniform hypergraph with certain properties. In Section 3 we prove Theorem 4, and in Section 4 we prove Theorem 5.

2. PRELIMINARIES

Our graph-theoretic notation is standard, in particular we use the following. For a graph $G = (V, E)$ and a set $U \subset V$, let $G[U]$ denote the corresponding vertex-induced subgraph of G . We also denote $e(G) = |E(G)|$. For a (hyper)graph \mathcal{H} and $e \in E(\mathcal{H})$, we denote by $\mathcal{H} - e$ the spanning (hyper)graph obtained from \mathcal{H} after removing only the edge e . For $e \subseteq V(\mathcal{H})$ where $e \notin E(\mathcal{H})$ we denote by $\mathcal{H} \cup e$ the (hyper)graph obtained by adding the edge e to the edges of \mathcal{H} . For two hypergraphs \mathcal{H} and \mathcal{H}' , we let $\mathcal{H} \cup \mathcal{H}'$ be the hypergraph with $V(\mathcal{H} \cup \mathcal{H}') = V(\mathcal{H}) \cup V(\mathcal{H}')$ and $E(\mathcal{H} \cup \mathcal{H}') = E(\mathcal{H}) \cup E(\mathcal{H}')$. The edges of an r -uniform hypergraphs are referred as r -edges, sometimes including $r = 2$. For a set of vertices U , we denote by $\binom{U}{2}$ the set of all pairs of vertices from U . Whenever the reference to the vertex set is not crucial we tend to identify a (hyper)graph \mathcal{H} with its (hyper)edge set and write \mathcal{H} instead of $E(\mathcal{H})$.

In the proofs of the main theorems, we need to construct (simple) graphs on which the running time of the graph-bootstrap percolation will be long enough. In order to do so, we first construct auxiliary hypergraphs, and then consider the graphs obtained from them. Generally speaking, we want to find r -uniform hypergraphs for which each r -edge will represent a potential copy of K_r , and the intersection between the r -edges that has size 2 will represent exactly the 2-edges that we add during the percolation. Denote K_r^- the complete graph on r vertices with one edge deleted.

Definition 6 (2-skeleton). *The 2-skeleton of a hypergraph \mathcal{H} is the graph $G = G(\mathcal{H})$ with $V(G) = V(\mathcal{H})$, and $E(G) = \{ab : \{a, b\} \subseteq e \text{ for some } e \in E(\mathcal{H})\}$.*

Definition 7 (Induced H -free). *An r -uniform hypergraph \mathcal{H} is induced H -free if every copy of H in the 2-skeleton of \mathcal{H} is contained in an edge of \mathcal{H} .*

Lemma 8 (Key Lemma). *If there exists an r -uniform hypergraph \mathcal{H} on n vertices with an ordering $e_1, e_2, \dots, e_m \in \mathcal{H}$ of its edges, such that*

- (i) \mathcal{H} is induced K_r^- -free,
- (ii) *there exist subsets $f_i \subseteq e_i$ of size $|f_i| = 2$ for $1 \leq i \leq m$, such that $f_i \subseteq e_j$ if and only if $i = m = j$ or $i < m$ and $j = i, i + 1$,*

then the K_r -process starting with the subgraph $G_0 := G - \{f_i : i = 1, \dots, m\}$ of the 2-skeleton G of \mathcal{H} has length at least m . In particular, $M_r(n) \geq m = e(\mathcal{H})$.

Proof. We prove by induction that for every $1 \leq i \leq m$, before the i th step of the K_r -process, $G_{i-1} = G - \{f_1, \dots, f_m\}$. From this the lemma follows immediately: $e(G_i) \setminus e(G_{i-1}) = \{f_i\} \neq \emptyset$

for every $i = 1, \dots, m$, since by (ii) $f_i = f_j$ if and only if $i = j$. So the K_r -process stabilizes only after at least m steps, indeed.

To start the induction, $G_0 = G - \{f_1, \dots, f_m\}$ is true by definition. Let us assume now that $G_{i-1} = G - \{f_i, \dots, f_m\}$ for some $i > 1$. By condition (i) and Definition 7, every K_r^- in G , and hence also in any of its subgraphs, like G_{i-1} , is contained in some e_j , $j = 1, \dots, m$. So in the i th step of the K_r -process a new K_r can be created only from these K_r^- 's. Since $G[e_j] \simeq K_r$ for every j and $G_{i-1} = G - \{f_i, \dots, f_m\}$, condition (ii) implies that $G_{i-1}[e_j]$ is

- a K_r for every $j < i$,
- a K_r minus the edge f_i for $j = i$, and
- a K_r minus the two edges f_{j-1} and f_j for $j > i$.

Consequently, in the i -th step of the K_r -process exactly one new K_r is created: the one the edge f_i completes on the set e_i . This shows that $G_i = G_{i-1} \cup f_i = G - \{f_{i+1}, \dots, f_m\}$. \square

The following technical lemma will be useful in the proof of Theorem 4.

Lemma 9. *Let $n \geq 10$ and $\ell = n + 20$ be integers, and let d, s_1, s_2 be integers such that $|d| \leq n^2/100$ and $|s_1|, |s_2| \leq 2$. If $d \equiv s_1 \pmod{n}$ and $d \equiv s_2 \pmod{\ell}$ then $d = s_1 = s_2$.*

Proof. There exist integers k_1, k_2 such that $d = k_1 n + s_1$ and $d = k_2 \ell + s_2 = k_2 n + 20k_2 + s_2$. Subtracting these from each other gives $(k_1 - k_2)n = 20k_2 + s_1 - s_2$.

If $k_1 \neq k_2$, then the absolute value of the left hand side is at least n . For the absolute value of the right hand side we have $|20k_2 + s_1 - s_2| = \left| 20 \frac{d-s_2}{n+20} + s_1 - s_2 \right| \leq 20 \frac{|d|}{n} + |s_1| + |s_2| \leq n/5 + 4$, a contradiction to the lower bound on n .

If $k_1 = k_2 \neq 0$, then we have $20 \leq |-20k_2| = |s_1 - s_2| \leq 4$ which is a contradiction. Hence, $k_1 = k_2 = 0$, in which case $0 = s_1 - s_2$ and $d = s_1 = s_2$. \square

3. PROOF OF THEOREM 4 (K_r -BOOTSTRAP PERCOLATION FOR $r \geq 6$).

We start by observing that the theorem can easily be reduced to the case of $r = 6$.

Proposition 10. $M_r(n) \leq M_{r+1}(n+1)$.

Proof. For a graph Γ and a vertex $v \notin V(\Gamma)$, denote by $\Gamma \vee v$ the graph obtained by adding v to Γ and all the edges $\{vu \mid u \in V(\Gamma)\}$. Observe that for any $\Gamma \subseteq K_n$ the set

$$\{e \in E(K_n) \setminus E(\Gamma) : \exists L \subseteq V(K_n) \text{ with } \Gamma[L \cup e] \simeq K_r^-\}$$

of edges added to Γ in the first step of the K_r -process is the same as the set

$$\{e \in E(K_n \vee v) \setminus E(\Gamma \vee v) : \exists L \subseteq V(K_n) \text{ with } \Gamma[L \cup \{v\} \cup e] \simeq K_{r+1}^-\}$$

of edges added to $\Gamma \vee v$ in the K_{r+1} -process. Then the proposition follows immediately since then for any starting graph $G_0 \subseteq K_n$ the K_r -process adds edges in the exact same order as does the K_{r+1} -process with starting graph $G_0 \vee v \subseteq K_n \vee v$ and hence it also lasts exactly as long. \square

In the rest of this section we show that Theorem 4 holds for $r = 6$.

Lemma 11. $M_6(n) \geq \frac{n^2}{2000}$.

From this lemma and the above proposition our theorem follows easily.

Proof of Theorem 4. By repeated application of Proposition 10 and then of Lemma 11 we have that for every fixed $r \geq 6$ and every sufficiently large n ,

$$M_r(n) \geq M_6(n - r + 6) \geq \frac{1}{2000}(n - r + 6)^2 \geq \frac{1}{2500}n^2.$$

\square

In order to prove [11](#) we define a 6-uniform hypergraph $\mathcal{H}(n)$ on $\Theta(n)$ vertices with $\Theta(n^2)$ edges which satisfies the conditions of [Lemma 8](#).

Definition 12 ($\mathcal{H}(n)$). *Let $n \geq 10$, and $\ell = n + 20$ be positive integers. The 6-uniform hypergraph $\mathcal{H} = \mathcal{H}(n)$ is defined on the vertex set*

$$V(\mathcal{H}) = X \cup Z \cup Y \cup W$$

where $X = \{x_0, x_1, \dots, x_{n-1}\}$, $Z = \{z_0, z_1, \dots, z_{\ell-1}\}$, $Y = \{y_0, y_1, \dots, y_{n-1}\}$, $W = \{w_0, w_1, \dots, w_{\ell-1}\}$, are four pairwise disjoint sets. Let $\mathcal{H} = \{e_t \mid 0 \leq t \leq m-1\}$, where $m = \lfloor n^2/100 \rfloor$ and for every $0 \leq t \leq m-1$, we denote

$$e_t = \{x_{t(\bmod n)}, x_{t+1(\bmod n)}, y_{t+1(\bmod n)}, z_{t(\bmod \ell)}, z_{t+1(\bmod \ell)}, w_{t+1(\bmod \ell)}\}.$$

First, we count the vertices and edges of $\mathcal{H}(n)$ and define the appropriate pairs $f_i \subseteq e_i$ for the use of the Key Lemma.

Proposition 13. *For $0 \leq t \leq m-1$, define $f_t := \{x_{t+1(\bmod n)}, z_{t+1(\bmod \ell)}\}$.*

- (a) $\mathcal{H}(n)$ has $4n + 40$ vertices.
- (b) If $0 \leq t \neq j \leq m-1$, then $e_t \neq e_j$. In particular, $\mathcal{H}(n)$ has m different edges.
- (c) $f_t \subseteq e_j$ if and only if $t < m-1$ and $j = t, t+1$ or $t = m-1 = j$.

Proof. Part (a) follows by adding up the sizes of participating pairwise disjoint sets. For part (b) note that if $e_t = e_j$ then $t \equiv j \pmod{n}$ and $t \equiv j \pmod{\ell}$ so by [Lemma 9](#) we have $t = j$. The ‘‘if’’ direction of part (c) can be read off from the definitions of f_t and e_j . For the other direction suppose that $f_t = \{x_{t+1(\bmod n)}, z_{t+1(\bmod \ell)}\} \subseteq e_j$. Then it follows that $x_{t+1(\bmod n)} = x_{j(\bmod n)}$ or $x_{j+1(\bmod n)}$, and $z_{t+1(\bmod \ell)} = z_{j(\bmod \ell)}$ or $z_{j+1(\bmod \ell)}$, which means that $j - t \equiv 0$ or $1 \pmod{n}$, and $j - t \equiv 0$ or $1 \pmod{\ell}$. By [Lemma 9](#) then we have $j - t = 0$ or 1 . \square

In part (c) of [Proposition 13](#) we have verified condition (ii) of [Lemma 8](#) for $\mathcal{H}(n)$. In the rest of this section we verify condition (i), that is, we show that $\mathcal{H}(n)$ is induced K_6^- -free. Let $G = G(n)$ denote the 2-skeleton of $\mathcal{H}(n)$.

Claim 14 (Cliques on a side of the 2-skeleton). *Let $U \subseteq X \cup Y$ (or $U \subseteq Z \cup W$) be a set of vertices such that $G[U]$ is a clique. Then $|U| \leq 3$ and $U \subseteq e_t$ for some $0 \leq t \leq n-1$ (or some $0 \leq t \leq \ell-1$).*

Proof. Let us assume that $U \subseteq X \cup Y$, the proof of the case when $U \subseteq Z \cup W$ is analogous. By the definition of the edges of $\mathcal{H}(n)$ the restriction of the 2-skeleton G to $X \cup Y$ is the union of n edge disjoint triangles, one for each $t = 0, \dots, n-1$ on the vertex set $\{x_t, x_{t+1(\bmod n)}, y_{t+1(\bmod n)}\}$. In particular, if $y_{t+1(\bmod n)} \in U$ then $U \subseteq e_t$. Otherwise $U \cap (X \cup Y) \subseteq X$ and has size at most two, since $G[X]$ is a cycle of length $n \geq 4$. We then conclude that $U = \{x_t, x_{t+1(\bmod n)}\} \subset e_t$, for some $t = 0, \dots, n-1$. \square

Claim 15 (Copies of K_5 in G). *If $G[U] \simeq K_5$ then there exists a t such that $U \subset e_t$.*

Proof. Since U spans a clique in G , the graphs induced by both $U_1 = U \cap (X \cup Y)$ and $U_2 = U \cap (Z \cup W)$ are also cliques. By [Claim 14](#), $U_1 \subseteq e_q$ for some $0 \leq q \leq n-1$, and $U_2 \subseteq e_s$ for some $0 \leq s \leq \ell-1$, hence

$$U_1 \cup U_2 \subseteq \{x_q, x_{q+1(\bmod n)}, y_{q+1(\bmod n)}, z_s, z_{s+1(\bmod \ell)}, w_{s+1(\bmod \ell)}\}.$$

We show that $e_t = \{x_q, x_{q+1(\bmod n)}, y_{q+1(\bmod n)}, z_s, z_{s+1(\bmod \ell)}, w_{s+1(\bmod \ell)}\}$ for some $e_t \in \mathcal{H}(n)$, which happens if there is an integer $t \leq m$, such that $q \equiv t \pmod{n}$ and $s \equiv t \pmod{\ell}$. This is certainly the case if both $y_{q+1(\bmod n)}$ and $w_{s+1(\bmod \ell)}$ are in U , since then they are adjacent in the 2-skeleton G and hence there exists a t such that e_t contains both, implying the required congruences. Otherwise, exactly one of $y_{q+1(\bmod n)}$ and $w_{s+1(\bmod \ell)}$ is in U , say $w_{s+1(\bmod \ell)} \in U_2$ and is adjacent to both x_q and $x_{q+1(\bmod n)}$ (which form U_1). Then there exist t and t' such that $\{w_{s+1(\bmod \ell)}, x_q\} \subseteq e_t$ and $\{w_{s+1(\bmod \ell)}, x_{q+1(\bmod n)}\} \subseteq e_{t'}$. This implies $q \equiv t$ or $t+1$, and $q+1 \equiv t'$ or $t'+1 \pmod{n}$. In any case $1 = (q+1) - q \equiv t' - t + \{1, 0, -1\} \pmod{n}$, so

$t' - t \equiv \{0, 1, 2\} \pmod{n}$. Furthermore $s+1 \equiv t+1$ and $s+1 \equiv t'+1 \pmod{\ell}$, so $t' - t \equiv 0 \pmod{\ell}$. By Lemma 9 we get $t' - t = 0$ and hence the required congruences $q \equiv t \pmod{n}$ and $s \equiv t \pmod{\ell}$ hold. \square

Claim 16 (Induced K_6^- -freeness). *The hypergraph $\mathcal{H}_6(n)$ is induced K_6^- -free.*

Proof. Let K' be a copy of K_6^- in G . Since K_6^- consists of two copies of K_5 intersecting in 4 vertices and by Claim 15 each of these copies is contained in a hyperedge of $\mathcal{H}(n)$, there exist $0 \leq t, t' < m$ such that $V(K') \subseteq e_t \cup e_{t'}$ and $|e_t \cap e_{t'}| \geq 4$. We show now that if $t \neq t'$ then $|e_t \cap e_{t'}| \leq 3$, implying that $t = t'$ and hence that $V(K') \subseteq e_t$, as required.

Observe that $|e_t \cap e_{t'} \cap (X \cup Y)|$ is equal to 3 if $t \equiv t' \pmod{n}$, equal to 1 if $t \equiv t' - 1$ or $t' + 1 \pmod{n}$, and equal to 0 otherwise. Analogously, $|e_t \cap e_{t'} \cap (Z \cup W)|$ is equal to 3 if $t \equiv t' \pmod{\ell}$, equal to 1 if $t \equiv t' - 1$ or $t' + 1 \pmod{\ell}$, and equal to 0 otherwise.

Consequently $6 > |e_t \cap e_{t'}| = |e_t \cap e_{t'} \cap (X \cup Y)| + |e_t \cap e_{t'} \cap (Z \cup W)| \geq 4$ would require that $t - t' \equiv 0 \pmod{n}$ and $t - t' \equiv \{1, -1\} \pmod{\ell}$ (or the same congruences with n and ℓ switched). This is impossible (in either case) by Lemma 9, since $0 \notin \{1, -1\}$. \square

Proof of Lemma 11. Above we have checked that the hypergraph $\mathcal{H}(n)$ satisfies both conditions of Lemma 8, hence $M_6(|V(\mathcal{H}(n))|) \geq |E(\mathcal{H}(n))|$. Then by parts (a) and (b) of Proposition 13 we have that for every N sufficiently large,

$$M_6(N) \geq M_6(4n + 40) = M_6(|V(\mathcal{H}(n))|) \geq \left\lfloor \frac{n^2}{100} \right\rfloor \geq \frac{1}{2000}N^2,$$

where n is the unique integer such that $4n + 40 < N \leq 4n + 43$. \square

4. PROOF OF THEOREM 5 (K_5 -BOOTSTRAP PERCOLATION).

The construction we introduced for $r = 6$ fails to extend for the case that $r = 5$. While for $r = 6$, we were able to show that the only copies of K_{r-1} are inside the edges of the hypergraph (and thus no extra copies of K_r^- can appear), this is not necessarily the case for $r = 5$. One natural construction is to keep the sets X, Y, Z from Definition 12. This fails, as $x_i, x_{i+1}, y_i, z_i, z_{i+20}$ spans an induced K_5^- . It seems that to avoid this, we need to put 5-edges on x_i, x_{i+1} that intersects Y in different vertices. Our intuition suggested that we should avoid triangles in the 2-skeleton, coming from different 5-edges. This led us to use the Behrend construction, which is useful constructing such graphs. To guarantee this property for $r = 5$, we will build the hypergraph from sets of integers that are 3-AP-free.

First, given a subset B of integers, we define an auxiliary 5-uniform hypergraph $\mathcal{H}_B(n)$ on $\Theta(n)$ vertices with $\Theta(|B|n)$ edges, which is induced K_5^- -free for an appropriate choice of B . The hypergraph $\mathcal{H}_B(n)$ however will not satisfy condition (ii) of our Key Lemma. By cutting $\mathcal{H}_B(n)$ into shorter pieces and connecting them through certain “turning gadgets”, we will define a new 5-uniform hypergraph $\mathcal{H}'_B(n)$ (also on $\Theta(n)$ vertices with $\Theta(|B|n)$ edges), which now possesses condition (ii) but also preserves the induced K_5^- -free property.

We construct our hypergraphs from very simple building blocks.

Definition 17 (Chain). *A chain \mathcal{C} of length m is a 5-uniform hypergraph on an ordered set $\{w_1, \dots, w_{3m+2}\}$ of vertices, with edge set*

$$\mathcal{C} = \{e_i = \{w_{3i-2}, w_{3i-1}, w_{3i}, w_{3i+1}, w_{3i+2}\} : i \in [m]\}.$$

Chains satisfy condition (i) and a much stronger condition (ii) of the Key Lemma.

Lemma 18 (Key Lemma for chains). *Let $\mathcal{C} = \{e_1, \dots, e_m\}$ be a chain of length m . Then*

- (i) \mathcal{C} is induced K_5^- -free.
- (ii) For every $i \in [m - 1]$, $|e_i \cap e_{i+1}| = 2$, and $|e_i \cap e_j| = 0$ for every $j, |i - j| > 1$.

Proof. Part (ii) is immediate from the definition. For (i) let $j_1 < j_2 < j_3 < j_4 < j_5$ be the indices of a copy K of K_5^- in the 2-skeleton G of \mathcal{C} . If $w_{j_1}w_{j_5}$ is an edge of G then $j_5 - j_1 = 4$, and j_3 is of the form $3i$, hence K is spanned by the hyperedge e_i . Otherwise either w_{j_1} or w_{j_5} is missing two edges into $V(K)$. \square

Definition 19 ($\mathcal{H}_b(n)$). Let $n \in \mathbb{N}$ and $b \in [n]$. Let $X = \{x_0, \dots, x_n\}$, $Y = \{y_0, \dots, y_n\}$, and $Z = \{z_0, \dots, z_n\}$ be three pairwise disjoint sets. The chain $\mathcal{H}_b(n)$ is defined on the vertex set $X \cup Y \cup Z$ with vertex order $x_0, z_{2b}, y_b, \dots, x_i, z_{i+2b}, y_{i+b}, \dots, y_{n-b}, x_{n-2b}, z_n$.

Remark. We have

$$\mathcal{H}_b(n) = \{E_{i,b} = \{x_i, x_{i+1}, y_{i+b}, z_{i+2b}, z_{i+2b+1}\} : 0 \leq i \leq n - 2b - 1\}$$

and for $i = 0, \dots, n - 2b - 2$, we have $E_{i,b} \cap E_{i+1,b} = \{x_{i+1}, z_{i+2b+1}\}$ and for $j \geq i + 2$, we have $E_{i,b} \cap E_{j,b} = \emptyset$.

Chains have only linearly many edges, we construct our first hypergraph by taking the union of several of them.

Definition 20 ($\mathcal{H}_B(n)$). For a subset $B \subseteq [n]$, we define $\mathcal{H}_B(n) = \cup_{b \in B} \mathcal{H}_b(n)$.

Next we show that for an appropriately chosen B the hypergraph $\mathcal{H}_B(n)$ satisfies condition (i) of the Key Lemma (Lemma 8).

Lemma 21 ($\mathcal{H}_B(n)$ is induced K_5^- -free). If $B = 10B'$ for some 3-AP-free set B' , then $\mathcal{H}_B(n)$ is induced K_5^- -free.

Proof. We show the lemma through a couple of claims. We denote by G_b and G_B the 2-skeleton of $\mathcal{H}_b(n)$ and $\mathcal{H}_B(n)$, respectively. We say that an edge is a **transverse edge** if it has the form $x_i y_j$, $y_i z_j$, or $x_i z_j$ for some i, j . We define the **length** of transverse edges by $\text{Length}(x_i y_j) = |j - i|$, $\text{Length}(z_k y_i) = |k - j|$, $\text{Length}(x_i z_k) = |k - i|/2$.

Claim 22 (Length of transverse edges). Let $b, b' \in B$, $b \neq b'$ be two distinct integers. If $e \in G_b$ and $e' \in G_{b'}$ are transverse edges then $|\text{Length}(e) - \text{Length}(e')| \geq 8$.

In particular every transverse edge $e \in G_B$ has a unique $b_e \in B$ such that $e \in G_{b_e}$.

Proof. Observe that the length of each of the eight transverse edges contained in a hyperedge $E_{i,b} = \{x_i, x_{i+1}, y_{i+b}, z_{i+2b}, z_{i+2b+1}\}$ is between $b - 1$ and $b + 1$. Therefore, since the distance between any two distinct elements of the set $B = 10B'$ is at least 10, we have

$$|\text{Length}(e) - \text{Length}(e')| \geq |b - b'| - |\text{Length}(e) - b| - |\text{Length}(e') - b'| \geq 10 - 1 - 1 \geq 8.$$

Taking $e = e'$ shows the uniqueness of the $b \in B$ for which G_b contains e . \square

A triangle is called a **transverse triangle** if all its edges are transverse (or equivalently if its vertices are x_i, y_j, z_k for some i, j, k).

Claim 23 (Triangles in G_B). In G_B every triangle T has at least two transverse edges and is contained in G_b for some b .

Proof. The first statement holds since $G_B[X]$ is a sub-path of the path (x_0, x_1, \dots, x_n) , $G_B[Z]$ is a sub-path of the path (z_0, z_1, \dots, z_n) , and $G_B[Y]$ is an independent set.

For the second statement suppose first that x_i, y_j, z_k are the vertices of a transverse triangle T in G_B . This means that there exist $b_{xy}, b_{yz}, b_{xz} \in B$, such that $x_i y_j \in G_{b_{xy}}$, $y_j z_k \in G_{b_{yz}}$, and $x_i z_k \in G_{b_{xz}}$. By the description of the edges in Definition 19 we then have $|j - i - b_{xy}| \leq 1$, $|k - j - b_{yz}| \leq 1$, $|k - i - 2b_{xz}| \leq 1$. Using the triangle inequality we have $|2b_{xz} - b_{xy} - b_{yz}| \leq |j - i - b_{xy}| + |k - j - b_{yz}| + |2b_{xz} + i - k| \leq 3$. Dividing this inequality by 10, we obtain that for the elements $b'_{xy} := \frac{b_{xy}}{10}$, $b'_{yz} := \frac{b_{yz}}{10}$, $b'_{xz} := \frac{b_{xz}}{10}$ of the set B' , it holds that $|2b'_{xz} - b'_{xy} - b'_{yz}| \leq \frac{3}{10}$. Since $2b'_{xz} - b'_{xy} - b'_{yz}$ is an integer and B' is 3-AP-free, we have $b'_{xz} = b'_{xy} = b'_{yz}$. Hence $b_{xz} = b_{xy} = b_{yz} =: b$ and $T \subseteq G_b$.

Assume now that T is a non-transverse triangle. By the first line of the proof, T has either two vertices from X or two vertices from Z . These vertices are adjacent in G_B , so have their indices 1 apart: they are either x_i and x_{i+1} or z_i and z_{i+1} for some i . In any case, for the length of the transverse edges e and e' from these two vertices to the third vertex of T , we have $|\text{Length}(e) - \text{Length}(e')| \leq 1$. By Claim 22, e and e' are both contained in G_b for some b , which also implies that the non-transverse edge of T is also contained in the same G_b . \square

We are now ready to complete the proof of Lemma 21. Let K be a copy of K_5^- in G_B . Let $v_1, v_2 \in V(K)$ be the vertices of the missing edge of K and let $U = V(K) \setminus \{v_1, v_2\}$ be the remaining set of vertices spanning a triangle T in K . By Claim 23, we have $T \subseteq G_b$ for some $b \in B$ and there are at least two transverse edges in T . Every other transverse edge \tilde{e} of K is adjacent to some transverse edge e of T and is contained in a triangle \tilde{T} of $K \subseteq G_B$ together with e . Applying Claim 23 to \tilde{T} , we have $b_e = b_{\tilde{e}}$.

Therefore there exists $b \in B$ such that $b_e = b$ for every transverse edge e of K . Then also all the non-transverse edges are in G_b , since they each participate in triangles of $K \subseteq G_B$. By Claim 23, these triangles must also contain transverse edges and hence also be contained in the same G_b . Hence the entire K is in G_b , which by Lemma 18(i) means that it is contained in an edge of $\mathcal{H}_b(n) \subseteq \mathcal{H}_B(n)$. \square

Our construction of $\mathcal{H}_B(n)$ has condition (i) of the Key Lemma, but it lacks condition (ii), in particular there is no clear order on its edges. Generally, we plan to patch the chains of $\mathcal{H}_B(n)$ one after the other, but in order to be able to do so and still preserve condition (i), we might have to prune the chains a bit and connect their ends via short and disjoint “turning gadgets”. We describe the construction of our final hypergraph $\mathcal{H}'_B(n)$ in two steps.

Definition 24 ($\mathcal{H}'_b(n)$). Let $B = \{b_1, \dots, b_t\} \subseteq [n]$ be a set with its elements in order and let $f_{b_i}^j = \{x_j, z_{j+2b_i}\}$. Define $\mathcal{H}'_{b_1}(n) = \mathcal{H}_{b_1}(n)$ and set $s_1 = 0$, $\ell_1 = n - 2b_1$. For every $2 \leq j \leq t$, let s_j and $\ell_j \leq n - 2b_j$ be chosen such that $\ell_j - s_j$ is maximal with respect to $(f_{b_j}^{s_j} \cup f_{b_j}^{\ell_j}) \cap \left(\bigcup_{i=1}^{j-1} (f_{b_i}^{s_i} \cup f_{b_i}^{\ell_i}) \right) = \emptyset$, and define $\mathcal{H}'_{b_j}(n) = \{E_{i,b_j} \mid s_j \leq i \leq \ell_j - 1\}$.

Definition 25 ($\mathcal{H}'_B(n)$). Let $B = \{b_1, \dots, b_t\}$ and $\mathcal{H}'_{b_j}(n)$ be as in Definition 24 and let U_1, \dots, U_{t-1} be pairwise disjoint new sets with 7 vertices each. For every $i \in [t-1]$, let \mathcal{D}_i be a chain of length 3 on vertex set $f_{b_i}^{\ell_i} \cup U_i \cup f_{b_{i+1}}^{s_{i+1}}$, starting on $f_{b_i}^{\ell_i}$ and ending on $f_{b_{i+1}}^{s_{i+1}}$. We define $\mathcal{H}'_B(n) = \left(\bigcup_{j=1}^t \mathcal{H}'_{b_j}(n) \right) \cup \left(\bigcup_{i=1}^{t-1} \mathcal{D}_i \right)$.

We start by counting the vertices and edges of $\mathcal{H}'_B(n)$, and verifying condition (ii) of the Key Lemma for it.

Proposition 26. *If $B \subseteq [n/4]$ is of the form $B = 10B'$ where B' is 3-AP-free, then the following hold.*

- (a) $\mathcal{H}'_B(n)$ has at most $3n + 7|B| \leq 10n$ vertices.
- (b) $\mathcal{H}'_B(n)$ has $m \geq n|B|/2 - 8|B|^2$ edges.
- (c) There is an ordering E_1, E_1, \dots, E_m of the edges of $\mathcal{H}'_B(n)$ such that there exist subsets $f_i \subseteq E_i$ of size $|f_i| = 2$ for $1 \leq i \leq m$, such that $f_i \subseteq E_j$ if and only if $i = m = j$ or $i < m$ and $j = i, i + 1$.

Proof. Part (a) follows by adding up the sizes of participating pairwise disjoint sets. For part (b), first note that $|\mathcal{H}_{b_j}(n)| = n - 2b_j$. To construct $\mathcal{H}'_{b_j}(n)$ according to Definition 24 we might need to delete from the beginning and the end of the chain $\mathcal{H}_{b_j}(n)$ the hyperedges incident to $\bigcup_{i=1}^{j-1} (f_{b_i}^{s_i} \cup f_{b_i}^{\ell_i+1})$. Each of these $4(j-1)$ vertices participates in at most two edges of $\mathcal{H}_{b_j}(n)$ and hence $|\mathcal{H}'_{b_j}(n)| \geq n - 2b_j - 8(j-1) \geq \frac{n}{2} - 8|B|$, where we also used $B \subseteq [n/4]$. The promised lower bound on $|\mathcal{H}'_B(n)| \geq \sum_{b \in B} |\mathcal{H}'_b(n)|$ follows.

For part (c) we create the ordering of $\mathcal{H}'_B(n)$ by patching together the natural ordering of the participating chains in the following order: $\mathcal{H}'_{b_1}(n), \mathcal{D}_1, \mathcal{H}'_{b_2}(n), \mathcal{D}_2, \dots, \mathcal{D}_{t-1}, \mathcal{H}'_{b_t}(n)$. The order of the edges within each $\mathcal{H}'_{b_i}(n)$ starts with $E_{b_i}^{s_i}$ and ends at $E_{b_i}^{\ell_i-1}$. Then come the edges of \mathcal{D}_i , starting with the edge containing $f_{b_i}^{\ell_i}$ and ending with the one containing $f_{b_{i+1}}^{s_{i+1}}$, after which $\mathcal{H}'_{b_{i+1}}(n)$ follows. In this ordering the intersections $E_i \cap E_{i+1} =: f_i$ have exactly two elements since they are either within a participating chain or one of the pairs $f_{b_i}^{s_i}$ or $f_{b_i}^{\ell_i}$ connecting two of these chains which are disjoint otherwise. Furthermore f_i is not contained in any other E_j , $j \neq i, i + 1$ since in U_i each vertex is in at most two hyperedges, and otherwise, by Claim 22

each pair $\{x_i, z_{i+2b}\}$ appears in a hyperedge of some chain $\mathcal{H}_b(n)$ for a unique $b \in B$ (and is disjoint from each U_i). \square

Next we also verify condition (i) of the Key Lemma for $\mathcal{H}'_B(n)$.

Proposition 27 ($\mathcal{H}'_B(n)$ is induced K_5^- -free). *Suppose that $B = 10B'$ for B' 3-AP-free. Then $\mathcal{H}'_B(n)$ is induced K_5^- -free.*

Proof. Let $S_i \subseteq U_{i-1}$ and $L_i \subseteq U_i$ be the three-element sets, such that $f_{b_i}^{s_i} \cup S_i$ and $f_{b_i}^{\ell_i} \cup L_i$ form a hyperedge of $\mathcal{D} := \cup_{i=1}^{t-1} \mathcal{D}_i$. Then, by our construction, edges of the 2-skeleton of $\mathcal{H}'_B(n)$ between $U := \cup_{i=1}^{t-1} U_i$ and $V := X \cup Y \cup Z$ only go between the sets $f_{b_i}^{s_i}$ and S_i and between the sets $f_{b_i}^{\ell_i}$ and L_i . In particular, every vertex of U has degree at most two into V .

Let K be a copy of K_5^- with vertex set $W \cup I$, where $W = U \cap V(K)$ and $I = V \cap V(K)$. We classify the cases according to $|W|$ and, unless $|W| = 0, 5$, or 3 and $W = S_i$ or L_i , arrive to a contradiction with the fact that in K there is *one non-edge*.

If W is empty then $V(K)$ is fully contained in V , on which the 2-skeleton of \mathcal{D} only induces the edges $f_{b_i}^{s_i}$ and $f_{b_i}^{\ell_i}$, and hence the 2-skeleton of $\mathcal{H}'_B(n)$ on V is the same as the 2-skeleton of $\cup_{b \in B} \mathcal{H}'_b(n)$, which is induced K_5^- -free as the subhypergraph of the induced K_5^- -free hypergraph $\mathcal{H}_B(n)$ (see Lemma 21).

If W consists of the single vertex v , then v has at most two edges into the set V , so at least two non-edges to the set I .

If W consists of two vertices, then both of them have at least one non-edge towards the three vertices of I , contradicting that K has at most one non-edge.

If W consists of three vertices then, unless it is equal to a single S_i or L_i , each of the two vertices in I will have at least one non-edge towards W , contradicting that K has only one non-edge. If $W = S_i$ (or $W = L_i$), then both vertices of I must be in $f_{b_i}^{s_i}$ (or in $f_{b_i}^{\ell_i}$, respectively), otherwise there are at least three non-edges in K . In this case $V(K)$ is $f_{b_i}^{s_i} \cup S_i$ (or $f_{b_i}^{\ell_i} \cup L_i$) which is a hyperedge of \mathcal{D} .

If W contains four vertices and it contains some S_i or L_i , then it induces at least one non-edge of K and the vertex $v \in I$ also has one non-edge towards W , which is at least two non-edges in K , a contradiction. Otherwise W does not contain any S_i or L_i and then v has at least two non-edges towards W .

Finally if $|W| = 5$, then K is part of \mathcal{D} , which is induced K_5^- -free as it is the disjoint union of chains. \square

Proof of Theorem 5. Let $B' \subseteq [n/400]$ be a 3-AP-free subset of size $r_3(n/400)$. Then for the set $B = 10B' \subseteq [n/40]$ the hypergraph $\mathcal{H}'_B(n/10)$ satisfies condition (ii) of the Key Lemma by Proposition 26(c) and Condition (i) by Proposition 27. Consequently, by parts (a) and (b) of Proposition 26, for large enough n

$$\begin{aligned} M_5(n) &\geq M_5(|V(\mathcal{H}'_B(n/10))|) \geq |\mathcal{H}'_B(n/10)| \geq n|B|/20 - 8|B|^2 \\ &\geq n|B|/30 \geq nr_3(n)/1200, \end{aligned}$$

since $r_3(n/400) \geq r_3(n)/400$ by the Pigeonhole Principle. \square

ACKNOWLEDGEMENTS

This work was initiated during the Oberwolfach Mini-Workshop on Positional Games in October 2018. The authors would like to thank the participants of the workshop, and in particular to Asaf Ferber, for helpful discussions.

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