

1 REPORT

2 ***In silico* versus functional characterization of genetic**
3 **variants: lessons from muscle channelopathies**

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6 **Abstract**

7 Accurate determination of the pathogenicity of missense genetic variants of uncertain
8 significance is a huge challenge for implementing genetic data in clinical practice. In silico
9 predictive tools are used to score variants' pathogenicity. However, their value in clinical
10 settings is often unclear since they have usually not been validated against robust functional
11 assays. We compare nine widely used in silico predictive tools including more recently
12 developed tools (EVE and REVEL) with detailed cell-based electrophysiology for 126 *CLCN1*
13 variants discovered in patients with the skeletal muscle channelopathy myotonia congenita. We
14 found poor accuracy for most tools. The highest accuracy was with Mutation Taster (84.58%)
15 and REVEL (82.54%). However, both scores have poor specificity. EVE has better specificity.
16 Combined methods based on concordance, improved performance overall but still lacked
17 specificity. Our calculated statistics for the predictive tools are different to reported values for
18 other genes in the literature suggesting that utility of the tools varies between genes. Overall,
19 current predictive tools for this chloride channel are not reliable for clinical use and tools with
20 better specificity are urgently required. Improving the accuracy of predictive tools is a wider
21 issue and a huge challenge for effective clinical implementation of genetic data.

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11 **Running title:** Genetic variant prediction in channelopathies

12 **Keywords:** muscle channelopathies; genetic variants; variants of uncertain significance;
13 myotonia

14 **Abbreviations:** SNVs = single nucleotide variants; ACMG = American College of Medical
15 Genetics; ACGS = Association for Clinical Genomic Science; MC = myotonia congenita

16

17 **Introduction**

18 The advent of next generation sequencing and whole genome sequencing is generating
19 unprecedented volumes of genetic data. Accurate interpretation of novel variants of uncertain
20 significance in the clinical context is arguably one of the biggest challenges in genomic
21 medicine. Accurate classification is paramount. Falsely rejecting pathogenic variants leads to
22 unnecessary ongoing search for the underlying genetic cause and a missed diagnosis. While
23 attributing pathogenicity incorrectly has significant consequence for patients and their family.
24 Several in silico predictive algorithms have been developed to assist in determining
25 pathogenicity of missense single-nucleotide variants and are routinely used. However, their
26 efficacy and reliability in specific genes requires assessment.

1
2 The prediction tools considered in the variant scoring framework from the American College of
3 Medical Genetics (ACMG) include PolyPhen-2, SIFT, Align-GVGD and MutationTaster²¹.
4 These tools consider the nature of the substituting amino acid and the conservation of the
5 substituted amino acid residue. More recently, metapredictors such as REVEL have been
6 developed, that predict pathogenicity based on a combination of individual tools². In 2021, EVE,
7 a predictive model developed with deep generative models based on evolutionary data was
8 released³. The Association for Clinical Genomic Science (ACGS) and diagnostic laboratory
9 guidelines consider concordance of tools, in building support for pathogenicity of novel
10 variants^{4,5}.

11
12 While studies comparing efficacy of these tool in specialities such cancer, audiology and
13 cardiology have been performed, few studies have been conducted in neurology^{1,6}. Moreover,
14 several previously performed studies compare in silico predictive algorithms to databases such as
15 ClinVar, which introduces concerns regarding circular comparisons - ClinVar variant
16 characterisations already take in silico predictions into consideration^{7,8}. To our knowledge, aside
17 from validation performed by the authors of EVE, there have been no other comparison to EVE
18 scores.

19
20 Ion channels provide an attractive model system for comparison of predicted and recorded
21 measures of pathogenicity as electrophysiological data assessing function of channel variants is
22 often readily available. In particular, as part of the diagnostic platform for myotonia we routinely
23 characterize the function of *CLCN1* variants identified in patients with myotonia. *CLCN1*

1 encodes skeletal muscle chloride voltage gated channel 1 (CLC-1) that regulates electrical
2 excitability of the muscle⁹. Variants that lead to reduction in chloride conductance increase
3 muscle membrane excitability causing myotonia¹⁰. Myotonia can be caused by several
4 conditions, of which myotonia congenita is the most common form of non-dystrophic myotonia.
5 Myotonia congenita can be inherited in an autosomal dominant or autosomal recessive manner.
6 We compare in silico predictive tools to the pathogenicity as determined by functional in vivo
7 classification of variants in *CLCN1*.

8

9 **Materials and methods**

10 Our dataset includes 126 CLC-1 missense variants functionally characterised as a part of the
11 diagnostic platform of skeletal muscle channelopathies. Assessment of pathogenicity for several
12 of these variants was recently reported¹¹.

13 **In silico prediction**

14 Alamut Visual 2.15 -64bit (SOPHiA GENETICS, Lausanne, Switzerland) was utilised to
15 determine pathogenicity scores and classifications with the tools PolyPhen-2, Align-GVGD (a-
16 GVGD), SIFT and Mutation Taster^{1,12-16}. GnomAD frequencies and Grantham distance were
17 also extracted. Ensembl was utilised to determine pathogenicity scores and classifications for
18 REVEL, MetaLR, CADD and Mutation Assessor¹⁷⁻²². EVE scores were taken from the EVE
19 platform³.

20

1 **Functional determination**

2 Methods for generation of channel variants, expression of channel variants in *Xenopus* oocytes,
3 electrophysiological analysis using two-electrode voltage-clamp and criteria for determination of
4 pathogenicity was recently described in Suetterlin et al¹¹. Briefly, if the voltage of half-maximal
5 activation was positive to cut-off value of -18.6 mV or if the channel variant did express no or
6 only minimal CIC-1 currents the variant was considered pathogenic (Figure 1A). Variants with
7 other loss-of-function features as reported in Suetterlin et al. were also considered pathogenic.

8
9 Statistical analyses were performed using Excel version 16.65 and IBM SPSS version 26 and
10 expressed as specificity, sensitivity, positive predicative value, negative predictive value,
11 accuracy and receiver operating characteristics (ROC) curve. The following equations were used:

12 Sensitivity = True positive (TP)/(TP + False negative (FN))

13 Specificity = True negative (TN)/(TN + False positive (FP))

14 Positive predictive value (PPV) = TP/(TP + FP)

15 Negative predictive value (NPV) = TN/ (TN + FN)

16 Accuracy = (TP + TN)/(TP + TN + FP + FN)

17

18 **Data availability**

19 The data that support the findings of this study are available from the corresponding author, upon
20 reasonable request.

21

22

23

1 Results

2 Of a total of 126 *CLCN1* variants, based on in vivo functional characterisation, 91 were
3 pathogenic and 35 were benign (Figure 1B). The variants were considered pathogenic if the half-
4 maximal voltage dependence of activation was positive to -18.6 mV and the peak tail-current
5 amplitude at -100 mV was smaller than -2.5 μ A. For the variants with reduced current amplitude
6 most of the cells did not show any currents¹¹. In addition, variants with other loss-of-function
7 features that could not be characterised in terms of voltage of half maximal activation or current
8 amplitude were also considered pathogenic¹¹.

9
10 Comparing the prediction tools, Mutation Taster, REVEL, EVE and PolyPhen had above 80%
11 accuracy. Sensitivity, specificity, positive and negative predictive values as well as accuracy for
12 each tool is shown in Table 1.

13
14 Assessing ROC curves on sensitivity vs. specificity plots demonstrated that better predictive
15 tools are EVE, Mutation Taster, MetaLR and REVEL for *CLCN1* (Figure 1B). The highest area
16 under the curve (AUC) score was for REVEL (Table 2).

17
18 When considering concordance of different tools as is done using ACMG criteria, ACGS
19 recommendations as well as diagnostic lab consensus, with three of four tools requiring
20 concordance to be accepted, we found that 79 variants were classified correctly, 12 were
21 incorrectly classified and 34 were unable to be classified due to a lack of concordance, Table 3.

22 The tools commonly used when applying the ACMG criteria are PolyPhen, SIFT, Mutation
23 Taster and aGVGD.

1 We looked at concordance with REVEL, MetaLR, Mutation Taster and EVE as these four scores
2 had good AUC and specificity based on our data. Three of four scores required concordant
3 predictions for their predictions to be included. Using these scores, 100 variants were classified
4 correctly, 14 incorrectly classified and 12 were unable to classified due to a lack of concordance.
5 Although more variants were able to be classified using concordance of these 4 scores (REVEL,
6 MetaLR, Mutation Taster and EVE) with good accuracy and sensitivity, the specificity was
7 reduced to 0.48, Table 3. When MetaLR was no longer included, due to its poor individual
8 specificity, the resultant concordant specificity for the three scores (REVEL, Mutation Taster and
9 EVE) was improved to 0.65, as shown in Table 3.

10
11 In *CLCN1*, location of variants has been previously shown to be important^{9,11}. Variants in the
12 intracellular domain are more likely to be benign while those in the transmembrane domains are
13 more likely to be pathogenic. In our data set, 27 variants were intracellular and 99 in the
14 transmembrane domain. Looking at our concordance analysis, using REVEL + Mutation Taster
15 + EVE, 12 of 27 (44.44%) variants in the intracellular domain were predicted correctly and 88 of
16 99 (88.89%) in the transmembrane domain were predicted correctly. When using the
17 ACMG/ACGS guidelines based tools (Polyphen + SIFT + Mutation Taster + aGVGD) 17 of 27
18 (62.96%) variants in the intracellular domain were predicted correctly and 63 of 99 (63.63%)
19 variants in transmembrane domain.

20 **Discussion**

21 In silico prediction tools are commonly used to score novel variants but their validity is often
22 unclear. To assess this requires comparison against robust datasets assessing clinical and

1 functional features of the variants. We perform a comparison of functional features of CIC-1
2 variants against in silico tools. While Mutation Taster, REVEL, EVE and PolyPhen had above
3 80% accuracy and relatively good sensitivity over 0.8, the specificity for all four tools is poor. Of
4 these four, EVE has the best specificity at 0.7. This specificity is far from ideal for clinical
5 application but remains much better than the specificity of the other three tools, with good
6 accuracy and sensitivity. EVE is trained only on evolutionary sequences which lends itself to
7 having a higher degree of specificity³.

8
9 The AUC of EVE is 0.8. While this is a good score, it is below REVEL, Mutation Taster,
10 MetaLR and SIFT. The REVEL AUC score is high at 0.89. This is not surprising given the
11 mechanism of REVEL which combines several individual tools as a meta-predictor. The best
12 scores based on AUC for in silico prediction in *CLCN1* were REVEL, Mutation Taster and
13 MetaLR.

14
15 The AUC values we report for *CLCN1* are lower than other reported AUC values in the
16 literature. When EVE was compared to ClinVar datasets an AUC of 0.91 was reported³.
17 Similarly REVEL was compared to SwissVar with an AUC of 0.908¹⁸. MetaLR has a reported
18 AUC of 0.883. However, such comparison are inherently circular as such databases (ClinVar,
19 SwissVar) incorporate in silico predictive algorithms in categorising variants as benign or
20 pathogenic^{8,23}.

21
22 Few studies compare predictive algorithms to variants that have been functionally characterised
23 in vitro. Similar characterisation can be performed with genes responsible for cardiac

1 channelopathies causing long QT syndromes²⁴. When in silico prediction tools were compared to
2 *KCNQ1*, *KCNH2* and *SCN5A* variants characterised in vivo or by co-segregation, AUC for
3 PolyPhen was 0.77 for all genes combined and 0.715 for SIFT. When looking at individual genes
4 the AUC varied from 0.63 to 0.94 using the same score (PolyPhen).

5
6 Comparing PolyPhen, Sift and Mutation Taster to functional characterisation of *RYR1* variants
7 using in vitro contracture tests on muscle biopsies, demonstrated an AUC of 0.94 (PolyPhen),
8 0.98 (Sift) and 0.92 (Mutation Taster)²⁵. These values are much higher than the AUC values we
9 demonstrated in *CLCN1*.

10
11 These studies demonstrate clear differences in the AUC for in silico predictive tools for different
12 genes. It is likely that this is due to variations in complex factors such as penetrance and pattern
13 of inheritance. This is important to consider when interpreting a novel variant. Reported AUC,
14 specificity and sensitivity for in silico predictive tools should not be applied generally to all
15 genes.

16
17 Using concordance of several tools appears to improve performance. Concordance (all three in
18 agreement) between the REVEL, Mutation Taster and EVE improved accuracy, sensitivity,
19 positive and negative predictive value compared to the ACMG or diagnostic lab based tools (3 of
20 4 concordant out of Polyphen, Sift, Mutation Taster and aGVGD). The specificity was slightly
21 reduced which is a recurring issue across all in silico predictive tools. However, an accuracy of
22 90% makes a compelling case for considering the use of the newer predictive tools and
23 concordance in the interim, while better tools are developed. Additionally, these tools appear to

1 be in line with differentiating variant pathogenicity based on variant location within the gene.
2 Domains and loci of variants are likely to be useful aspects to include in the design of future
3 predictive tools²⁶. However, pathogenicity of variants in some functional domains may not be
4 accessible with certain functional analyses, for example some CLC-1 intracellular variants that
5 assert pathogenicity by disrupting muscle-specific protein interactions²⁷. Practically, we suggest
6 that variants in domains that are less well conserved are those that particularly require functional
7 studies. In CIC-1 for example, variants outside the transmembrane domain are less well
8 conserved¹¹.

9
10 The correlation of functional features with clinical characteristics such as inheritance patterns is
11 not 100% and is expected for skeletal muscle channelopathies where variants show variable
12 clinical features within and between pedigrees. Also, depending on the type of functional
13 analysis only certain forms of pathogenicity can be detected – for example exonic variants
14 affecting splicing or tissue specific interaction will not be picked using heterologous expression
15 and electrophysiological analysis. However, functional expression is a strong indicator of
16 pathogenicity, and is classified as such in the ACMG criteria. This creates a robust dataset, in
17 particular compared to ClinVar based datasets, where some variants are reported without any
18 indicators of pathogenicity.

19
20 Additional limitations to functional analysis include the time taken, the labour-intensive process
21 and technical expertise needed. Functional expression of a new variant can take months
22 depending on the assay. Not all genes, and indeed not all channel genes can be expressed. High
23 throughput electrophysiology platforms which utilise automated multi-channel patch-clamping

1 may overcome some barriers, time in particular. However, the initial purchase cost and cost per
2 data point of these platforms is significant. High throughput platforms may be an option in the
3 future as access and costs are reduced. To perform traditional functional expression, significant
4 equipment and technical experience is required. In contrast, more accurate in silico tools could
5 be applied by clinicians, geneticists and bioinformaticians.

6
7 As per the ACGM guidelines multiple lines of in silico predictions provide supporting or
8 moderate evidence of a variant being benign or pathogenic while functional analyses can provide
9 strong indications. Thus, currently, in the case where a functional assay is available, it should be
10 sought. In silico predictions can provide preliminary estimation that may precede functional
11 analyses by months or years, and following the functional analysis provide supportive evidence
12 for pathogenicity of the variant. In the absence of functional assays or other strong indicators the
13 in silico predictive tools are part of the main pathway to assess the pathogenicity. Developing
14 improved predictive tools that are more specific is a key area of need in genomics, particularly
15 for genes without a method for functional assessment. At present, genes without robust
16 expression systems are more limited to accuracy achieved with traditional parameters such as
17 conservation, nature of mutation, mutation hotspots or clinical validation such as segregation
18 testing which may not always be possible.

19
20 Ideally, improved algorithms would be developed that can be rapidly applied to new variants and
21 newer machine learning techniques may see this happen. Machine learning techniques such as
22 multi-task learning on channel data sets have been utilised to develop models to predict variant
23 pathogenicity. In addition, the algorithms may incorporate homology modelling approaches²⁸.

1 However the key challenge remains in using a large enough data set to train an algorithm without
2 compromising the validity of the data included^{29,30}. Larger data sets with more inclusive data
3 tend to incorporate unvalidated data points. For example, the multi-task learning support vector
4 machine (MTL-SVM) model for potassium channels is trained on some data that is non-human
5 and may not appear in a disease context³⁰.

6
7 At present, clinical assessment incorporating functional and in silico predictions is imperative.
8 Other causes of myotonia need to be considered and excluded. In patients with other causes of
9 myotonia, for example myotonic dystrophy, pathogenic *CLCN1* variants can alter the phenotype
10 and must be considered in clinical assessment. For some variants, electrophysiological patterns
11 may not be able to determine mode of inheritance and clinical assessment will be important for
12 genetic counselling.

13
14 Our study in *CLCN1* using a robust data set and comparing to newer predictive models supports
15 data in other fields of medicine illustrating the poor utility of current in silico predictive tools.
16 Overall, tools with improved specificity while maintaining good sensitivity are urgently required
17 with assessment in the future performed against robust data sets that have been functionally
18 validated. Importantly, AUC, specificity and sensitivity of the predictive tools varies between
19 genes and requires independent assessment for each gene. While the predictive tools may support
20 in scoring a variant, functional assessment of the variant is warranted where possible.

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10 **Competing interests**

11 The authors report no competing interests.

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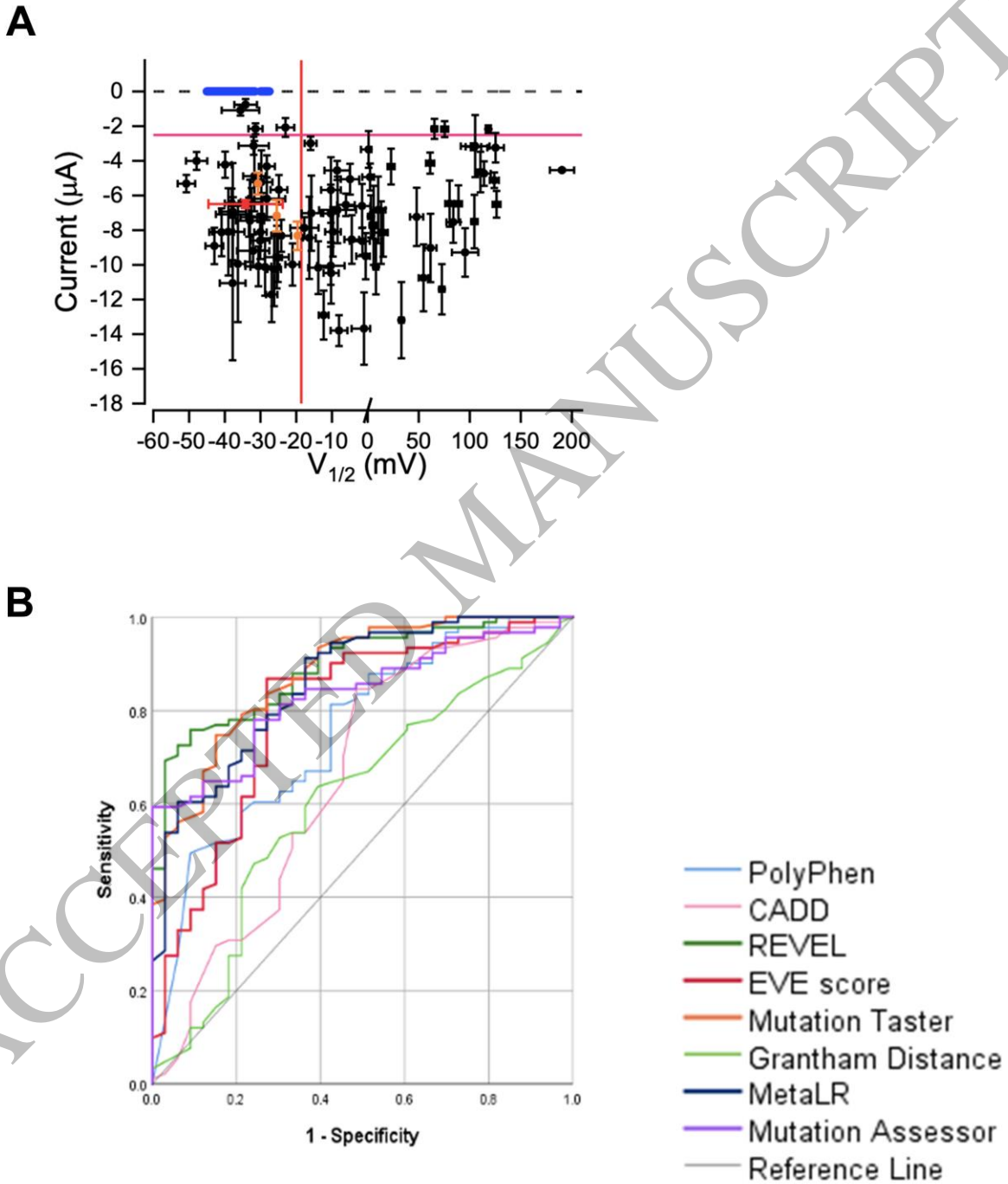
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11 **Figure legends**

12

13 **Figure 1 Functional assessment of pathogenicity of CIC-1 variants and comparison to in**
14 **silico predictive tools. A)** Voltage of half-maximal activation ($V_{1/2}$) is plotted against current
15 amplitude for 126 CIC-1 variants. Please note change of scale at 0 mV. The vertical red line and
16 horizontal pink line represent the cut-off voltage (vertical (-18.6 mV)) and current amplitude
17 (horizontal (-2.5 μ A)). Data for wild-type channel is shown in red and all the variants in the
18 wild-type channel quadrant defined by the cut-off lines were considered benign. Several variants
19 showed no currents or showed currents that could not be characterised only in terms of $V_{1/2}$ and
20 current amplitude¹¹. The $V_{1/2}$ of these variants was not assessed but are plotted in the graph with
21 0 current amplitude in blue. Variants in orange show wild-type-like voltage dependence of
22 activation and current amplitude, but the rate of activation differed from wild-type. Based on the

1 cut-off criteria these were not classified as pathogenic. **B)** ROC curves for in silico predictive
2 tools + Grantham distance.



3
4
5

Figure 1
165x197 mm (.11 x DPI)

Table 1 Results for each *in silico* predictive tool

	Mutation Taster	REVEL	EVE	PolyPhen	MetaLR	SIFT	aGVGD	Mutation Assessor	CADD
True Positive (% of total pathogenic)	88 (97)	87 (96)	59 (65)	74 (81)	91 (100)	62 (68)	26 (29)	39 (43)	13 (14)
False Positive	15	18	8	15	28	9	0	18	3
Total Actual Pathogenic	91	91	91	91	91	91	91	91	91
True Negative (%)	18 (51)	17 (49)	19 (54)	10 (29)	7 (20)	26 (74)	31 (86)	17 (49)	32 (91)
False Negative	3	4	9	5	0	29	40	12	78
Total Actual Benign	35	35	35	35	35	35	35	35	35
Uncertain (n)	2	0	31	22	0	0	29	40	0
Accuracy	85.48%	82.54%	82.11%	80.77%	77.78%	69.84%	58.76%	65.12%	35.71%
Sensitivity	0.97	0.96	0.87	0.94	1.00	0.68	0.39	0.76	0.14
Specificity	0.55	0.49	0.70	0.40	0.20	0.74	1.00	0.49	0.91
Positive Predictive Value	0.85	0.83	0.88	0.83	0.76	0.87	1.00	0.68	0.81
Negative Predictive Value	0.86	0.81	0.68	0.67	1.00	0.47	0.44	0.59	0.29

Most accurate tool listed on the left and least accurate on the right.

Table 2 AUC for *in silico* prediction tools

	REVEL	Mutation Taster	MetaLR	Mutation Assessor	SIFT	EVE	PolyPhen	CADD
AUC (SE)	0.89 (0.3)	0.88 (0.03)	0.86 (0.04)	0.83 (0.04)	0.82 (0.04)	0.80 (0.05)	0.75 (0.05)	0.66 (0.06)
95% CI	0.83–0.95	0.81–0.94	0.79–0.93	0.76–0.9	0.74–0.89	0.7–0.89	0.66–0.85	0.54–0.77

Table 3 Data based on concordance of a combination of *in silico* predictive tools

	Tools used in the ACMG/ACGS guidelines (PolyPhen, SIFT, Mutation Taster, aGVGD)	Tools performing highly based on AUC and specificity (REVEL, Mutation Taster, MetaLR, EVE)	(REVEL, Mutation Taster, EVE)
Sensitivity	0.92	0.99	0.97
Specificity	0.74	0.48	0.65
Accuracy	86.95%	87.72%	90.00%
Positive Predictive Value	0.90	0.87	0.91
Negative Predictive Value	0.80	0.92	0.85
Number of concordant scores (% of all variants)	39 (30.95%) [4 of 4 scores concordant]	70 (55.56%) [4 of 4 scores concordant]	0 [3 of 3 scores concordant]
Number of concordant scores (% of all variants)	53 (42.06%) [3 of 4 scores concordant]	44 (34.92%) [3 of 4 scores concordant]	80 (63.49%) [2 of 3 scores concordant]
Number of variants unclassified	34	12	46