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## Coal gasification process driven by concentrated solar radiation for carbon neutralization: reaction and energy characteristics

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<b>Response to Reviewers:</b>	

# Coal gasification process driven by concentrated solar radiation for carbon neutralization: reaction and energy characteristics

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## Abstract

Solar-driven gasification products for chemical feedstock are one of the effective means to utilize coal in a low-carbon and resourceful way. However, few studies on the reaction and energy characteristics are based on experimental data of concentrated solar coal gasification. This study designed a novel experimental solar radiation gasification thermogravimetric device. An energy model of a solar radiation dish thermochemical conversion system was also developed. Compared to indirect radiation, direct radiation has a 10 % higher carbon conversion rate, an increased energy upgrade factor (up to 0.86), and a 38.5 % increase in energy conversion efficiency. Furthermore, we investigate direct radiation-catalyzed gasification to assess the effects of different types and ratios of catalysts. The results showed that the catalytic effect of  $K_2CO_3$  was better than that of  $Na_2CO_3$ , which would improve the energy conversion efficiency by 4.8 %. For  $K_2CO_3$ , the efficiency was increased by 14.1 % through increasing the doping ratio from 5 % to 10 %. Meanwhile, this study analyzed the reaction kinetics of direct radiation-catalyzed gasification. Finally, we constructed a solar concentrating radiation dish thermochemical conversion system model based on the experimental data. We

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33 follows the solar radiation closely. The results guide the chemical process of solar  
34 thermal conversion.

35 *Keywords:* solar energy; radiation gasification; thermochemical conversion; catalytic; kinetics

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## 37 1 Introduction

38 Coal has massive reserves, and its use as a fuel for a long time has resulted in  
39 significant carbon emissions. In recent years, many countries have announced carbon-  
40 neutral energy policies. It is necessary to capture carbon in the energy production  
41 process [1] and develop renewable energy [2]. Therefore, the proportion of coal used  
42 as a fuel is gradually decreasing. But low-carbon emission utilization of coal is an  
43 essential research theme in the face of its huge reserves. Coal gasification is a vital  
44 means of clean and efficient utilization [3]. It can generate syngas and is a main  
45 feedstock for the chemical industry. The traditional process uses the heat from  
46 combustion to power the gasification, which does not meet carbon-neutral requirements.  
47 Therefore, renewable energy sources such as solar energy are required to drive the coal  
48 gasification process.

49 Solar energy is the **primary** sustainable energy source. The solar-driven coal  
50 **gasification** is based on a solar thermochemical energy conversion process. Researchers  
51 initiated thermochemical studies of water for hydrogen production **due to the petroleum**  
52 **crisis** [4], **which** also marks the beginning of the investigation into solar  
53 thermochemical conversion. **During** the solar thermochemical hydrogen production  
54 process, solar energy is **concentrated** to generate high temperatures so that water is split  
55 into hydrogen and oxygen [5]. However, this process requires a temperature as high as  
56 2300 °C [6] and exceptional devices to separate hydrogen and oxygen from the mixture  
57 [7]. With the development of **solar**-concentrating technology and membrane technology,  
58 Abraham Kogan [8] proposed a porous ceramic membrane reactor, which added a

59 catalyst to water so that the water-splitting process could be carried out in multiple steps.  
60 Hydrogen and oxygen are generated in different reactions, avoiding the separation. At  
61 the same time, this process will lower the reaction temperature to below 1500°C. This  
62 process is the thermochemical cycle hydrogen production method. However, this  
63 method **still** has problems such as high reaction equilibrium temperature, which leads  
64 to increased heat conduction and heat radiation losses; and poor oxygen carrier kinetics,  
65 which leads to a long reaction cycle time. **Consequently**, researchers introduced carbon  
66 cycling, such as methanol steam reforming, to significantly reduce the reaction  
67 temperature. Yang [9] et al. reviewed the current methanol policy. The current methanol  
68 production route relies heavily on coal, ultimately increasing net greenhouse gas  
69 emissions and exacerbating coal market volatility, inconsistent with the carbon  
70 neutrality goals. With the widespread and large reserves of fossil raw materials, coal  
71 pyrolysis and gasification **driven by** solar energy have also attracted the attention of  
72 researchers. **This chemical process is more mature than methane steam reforming and**  
73 **can realize the storage of solar energy and reduce CO<sub>2</sub> emission.** Meanwhile, coal as a  
74 gasification feedstock has a syngas capacity of about 330 GWth, representing 76.7 %  
75 of all gasification feedstocks in the industry [10]. **Thus, it has** an irreplaceable role in  
76 producing fuels and chemical raw materials acting as a bridge for the transition from  
77 conventional to clean energy sources.

78 **Solar concentrating technologies can generate a high temperature, which is**  
79 **especially suitable for coal gasification [11]. Researchers have investigated the solar**  
80 **coal gasification process on** raw materials, reactors, and energy utilization forms. Gregg

81 et al. [12] studied solar coal gasification, using bituminous coal, activated carbon, coke,  
82 coal and biomass as raw materials, and estimated that about 60% of the solar energy  
83 entering the reactor was stored. Kodama et al. [13] conducted a high flux visible light  
84 coal gasification experiment in a small quartz reactor. They found that the fraction of  
85 incident light energy stored by CO is about 8%. Graggen [14] et al. designed an  
86 entrained-flow gasification reactor for gasification with a continuous stream vortex,  
87 resulting in an energy conversion efficiency of 9%. Weldekidan [15] et al. investigated  
88 different forms of concentrating technology in solar thermal conversion and believed  
89 that the parabolic disk form has the highest solar energy capture rate, with an optical  
90 efficiency of 94%. Wu [16] et al. proposed a dish system to collect solar energy to  
91 generate high-temperature steam, which acts as an agent to drive gasification. The  
92 primary energy efficiency reaches 51.34%. **Generally, existing** solar-driven coal  
93 gasification radiation forms mainly include direct radiation [17,18] and indirect  
94 radiation [19–21]. Direct radiation means that solar radiation directly enters the reactor  
95 through the quartz window **and drives the gasification chemical process. Indirect**  
96 **radiation means that the solar is concentrated to heat the absorber and coal gasification**  
97 **is driven by thermal energy.** Then the heat is transferred to the coal gasification reaction.  
98 Haftom [15] et al. analyzed these two energy utilization forms of carbon-based fuels.  
99 The study showed that the indirect radiation form can overcome the challenge of  
100 keeping the window clean. However, the heat transfer efficiency is lower than that of  
101 the direct radiation form. The concept of direct radiation bypasses the limitations  
102 imposed by conduction heat transfer through the ceramic walls, thus ensuring high

103 energy conversion efficiency. The main indicators of solar coal gasification  
104 performance: energy upgrade factor [20], that is, the ratio of the heat value of syngas to  
105 the heat value of raw materials, and energy conversion efficiency [22], that is, the ratio  
106 of the heat value of syngas and the sum of the heat value of solar energy and raw  
107 materials. Gokon [23] et al. investigated the coke gasification kinetic based on either  
108 the homogeneous or the shrinking core kinetic model and concluded that the form of  
109 circulation has a great influence on the conversion rate of gasification with the fluidized  
110 bed. Kodama [24] et al. studied the effects of metal oxides as filler materials on the  
111 kinetics of fluidized beds driven by solar energy. However, there is a lack of online  
112 thermogravimetric experimental data and a lack of kinetic and energy analysis of solar  
113 catalytic gasification. Mehrpooya [25] et al. studied the economics of solar-driven tube  
114 heat transfer and concluded that the use of nanofluids can significantly reduce the cost.  
115 Mousavi conducted the exergy analysis of the solar system. They obtained the trends in  
116 economics of exergy to months [26] and evaluated the life cycle of solar concentrating  
117 systems in remote areas [27]. Although exergy analysis of solar-driven power utilization  
118 systems has been studied, existing solar coal gasification research lacks kinetic and  
119 chemical process energy analysis based on experimental data. Thus, it is difficult to  
120 provide some guiding suggestions for actual industrial production. Meanwhile, there  
121 are few exergy analysis investigations on solar catalytic gasification systems with  
122 different solar irradiation.

123 In the industrial production of coal gasification, a high-temperature and high-  
124 pressure environment is required to achieve high conversion efficiency [28]. However,

125 it is difficult to maintain the high temperature and high-pressure gasification state. At  
126 the same time, it brings a significant economic burden to the investment and operation  
127 of equipment. As a third-generation coal gasification technology, adding a catalyst can  
128 reduce the reaction temperature by 200-300 K and achieve mild gasification under  
129 normal pressure. Besides, it can also significantly improve the gasification reaction rate  
130 and reduce energy consumption as well as equipment and materials requirements. It can  
131 directionally adjust the product gas composition, such as the amount of H<sub>2</sub> released  
132 [29,30]. **It is known by researchers** that K<sub>2</sub>CO<sub>3</sub> and Na<sub>2</sub>CO<sub>3</sub> have a strong catalytic  
133 effect on coal gasification. Kopyscinski et al. [31–33] found that K<sub>2</sub>CO<sub>3</sub> could reduce  
134 the gasification temperature by 240 - 320 °C. The actual production of solar  
135 thermochemical conversion is greatly affected by uncontrollable factors. It is  
136 challenging to maintain a high-temperature state, and it is challenging to create high-  
137 pressure conditions. Therefore, the use of catalysts has a high practical value in the  
138 context of solar thermochemical conversion. **Catalytic gasification is an important**  
139 **development field of solar coal chemical engineering.** However, to the best of the  
140 authors' knowledge, there are currently few experimental studies on catalytic coal  
141 gasification with online thermodynamics analysis in solar thermochemical conversion.



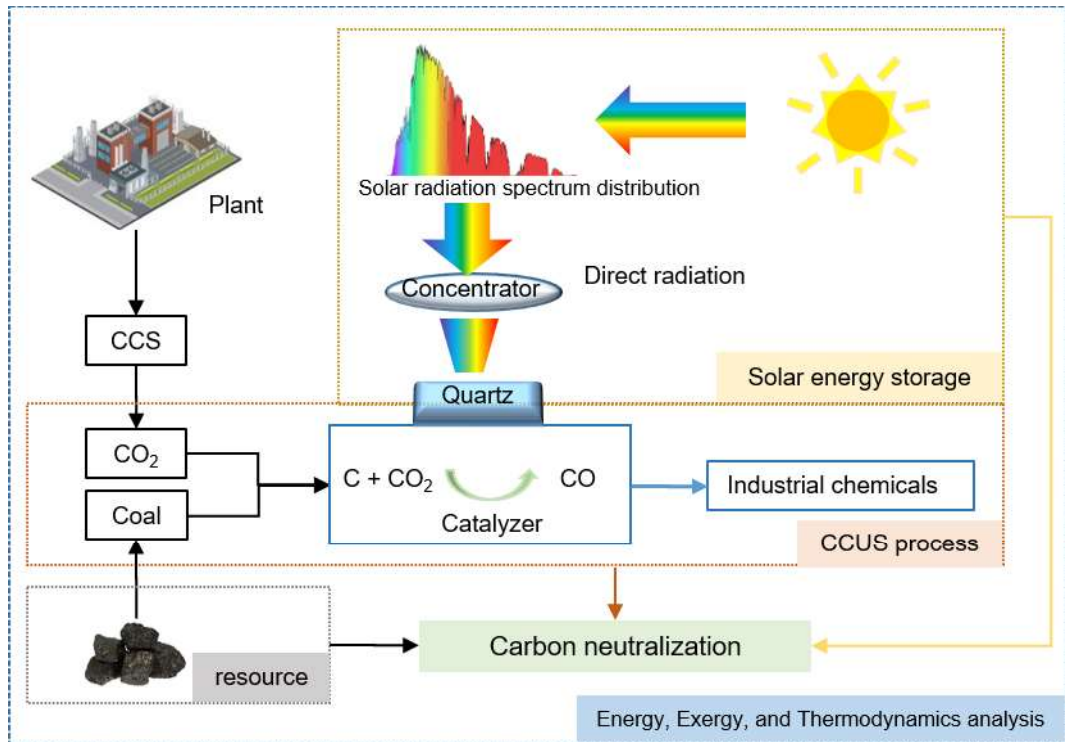


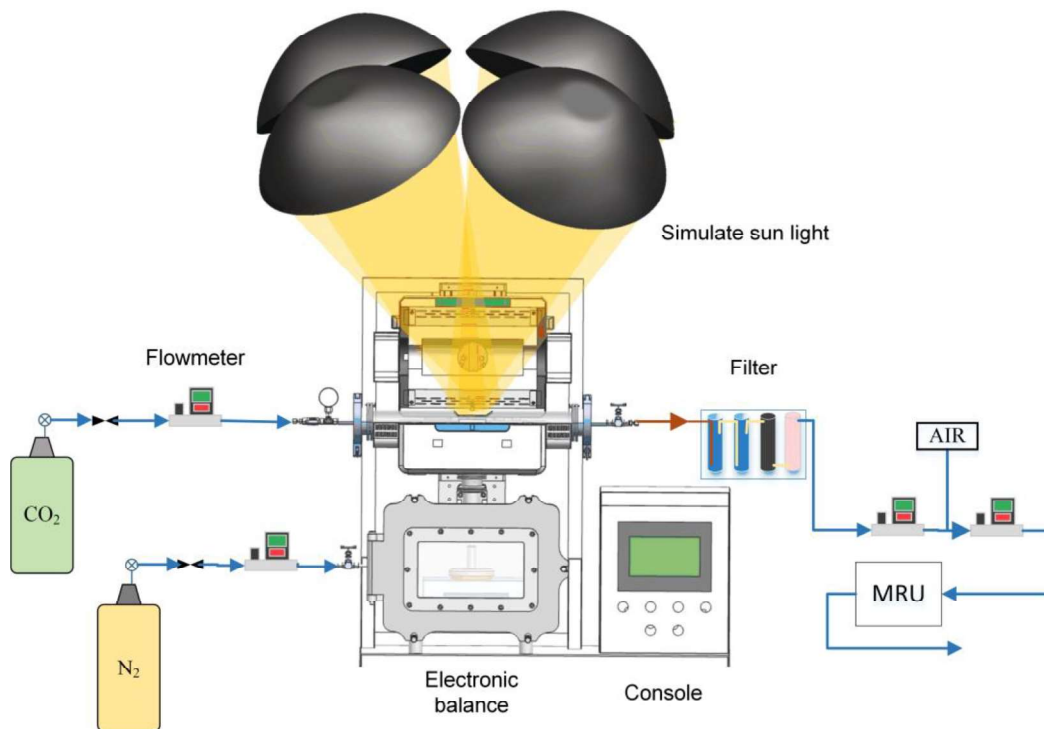
Figure 1 Carbon neutral solar coal gasification technology route

In this paper, a carbon-neutral solar coal gasification technology is proposed. Meanwhile, we independently design and develop a full-spectrum concentrated radiation-driven kinetic analysis test bench for investigating coal gasification reaction characteristics. This technology is based on solar energy to drive the coal gasification process, convert solar energy into fuel chemical energy to achieve solar energy storage, and realize coal resource utilization without combustion. The whole process is a low-carbon process. In addition, solar coal gasification processes using CO<sub>2</sub> captured by carbon capture and storage (CCS) technology as a gasification agent can be regarded as a Carbon Capture, Utilization, and Storage (CCUS) technology. Figure 1 shows that the coal gasification technology route based on concentrated solar-driven is comprehensively in line with the global demand for carbon neutrality. This route has a broad prospect and essential strategic value. Thermodynamic analysis of solar catalytic

156 gasification is an important part of the chemical process. The novelties of this research  
157 are as follows: (1) This work designed a novel experimental solar radiation gasification  
158 thermogravimetric device. (2) This work studied the gasification product distribution  
159 and the online kinetic analysis of different types and ratios of catalysts on experimental  
160 radiation gasification. (3) This work developed the energy and exergy analysis models  
161 based on experimental data for the solar gasification chemical process, which provides  
162 a reference for the engineering application of solar thermochemical conversion to  
163 chemical feedstock.

## 164 2 Experiment system and method

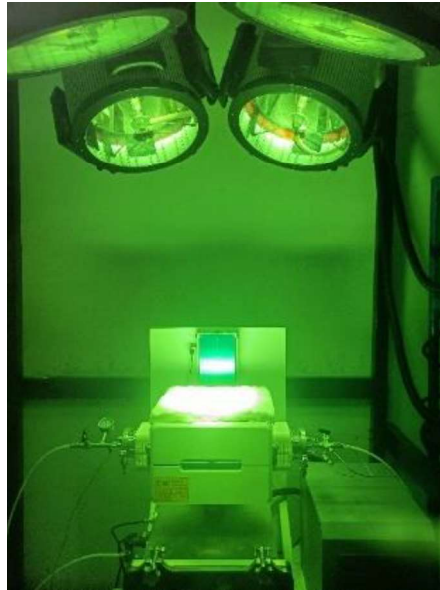
### 165 2.1 System setup



166

167

Figure 2 Schematics of the overall experimental setup



168

169 Figure 3 Radiation gasification system (photographed through a black glass sheet)

170 As shown in Figure 2, we designed and built our experimental setup based on the  
171 purpose of coal gasification under the full spectrum of concentrated solar. This setup  
172 consists of simulated sunlight, a coal gasification reactor, a thermogravimetric module,  
173 and an online flue gas analysis module. First of all, the simulated sunlight comprises  
174 four xenon lamps with a single electrical power rating of 0-7 kw, providing the  
175 approximate radiation flux of a concentrated solar energy system. The simulated light  
176 is adjusted to a spot size of 20 mm to cover the coal powder in the crucible completely.  
177 Figure 3 shows the state when running the experiment, where we shoot through the  
178 black glass sheet.

179 Secondly, the coal gasification reactor consists of a T-tube as the main body, which  
180 is connected to the thermogravimetric monitoring module underneath and the flue gas  
181 analysis module on the right. The coal is contained in a crucible held up by a quartz rod  
182 with a thermogravimetric monitoring module connected to the other end of the rod. CO<sub>2</sub>  
183 (purity above 99.95%) enters the gasification reactor through the left side of the T-tube.

184 N<sub>2</sub> (purity above 99.99%) enters the gasification reactor through the lower part of the  
185 T-tube. It passes through and cools the thermogravimetric monitoring module during  
186 the flow. In the reactor, we arranged four Omega type-k thermocouples to monitor the  
187 temperature changes of the reaction process online. These locations include the quartz  
188 tube irradiation surface, the right part of the T-tube, the crucible, and the insulation,  
189 with details in Figure A1 of Appendix A. The gasification products flow to the flue gas  
190 analysis module through the right side of the T-tube. Before entering the flue gas  
191 analysis instrument (provided by German MRU), the flue gas is cooled and scrubbed  
192 twice with pure water, then adsorbed and dried with graphite and SiO<sub>2</sub>. Since the gas  
193 flow rate is low, a certain amount of air is added in before entering the flue gas analyzer.  
194 Finally, the excess gas is released into the vent. Among other things, details of the  
195 reactor are in Appendix A.

196 Besides the information above, Hangzhou Jingong Special Company provides the  
197 gas cylinder and pressure reducer. Alicat provides the flow meter. The Vario Plus gas  
198 analyzer from MRU, Germany, analyzed the product gas components online, which can  
199 determine the main components in the product gas, among which H<sub>2</sub> is determined by  
200 thermal conductivity doppler (TCD), and the rest components are determined by the  
201 non-dispersive infrared principle (NDIR).

## 202 2.2 Coal

203 The coal type selected for this study is provided by a subsidiary company of  
204 Ningxia Coal Group in China. After receiving the coal, we ground, screened, and dried  
205 it. The drying was done at 378 K for 12 hours. The coal used in the experiment was all

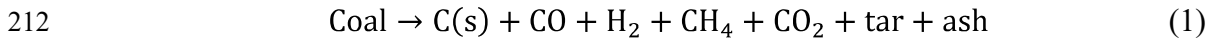
206 from the same batch.

207 Table 1 Main properties of the used coal

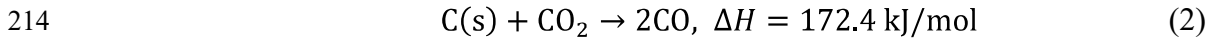
Proximate analysis (wt, ad, %)				Ultimate analysis (wt, ad, %)					Q <sub>b,ad</sub> (MJ/kg)
M	V	FC	A	C	H	O	N	S	
4.15	26.63	59.58	9.64	68.58	3.86	12.40	0.80	0.57	26.66

208 Since there is no oxygen involved in the coal gasification process, the coal will  
209 have two stages of endothermic reactions with temperature: pyrolysis and the  
210 Boudouard reaction[34],

211 Pyrolysis:



213 The Boudouard reaction:



### 215 2.3 Performance indicators

216 We quantify the radiation gasification performance based on three metrics to analyze  
217 the experimental data. The first is the average carbon conversion rate, which is  
218 expressed as:

$$219 \bar{X} = \frac{\int \dot{n}_{\text{CO}} dt + \int \dot{n}_{\text{CO}_2} dt + \int \dot{n}_{\text{CH}_4} dt - \int \dot{n}_{\text{CO}_2, \text{in}} dt}{n_{\text{coal}}} \quad (3)$$

220 where  $\dot{n}_{\text{CH}_4}$ ,  $\dot{n}_{\text{CO}_2}$ , and  $\dot{n}_{\text{CO}}$  are molar flow rates of CH<sub>4</sub>, CO<sub>2</sub>, and CO in the output  
221 of T-tube, respectively,  $\dot{n}_{\text{CO}_2, \text{in}}$  is the CO<sub>2</sub> molar flow rates in the input of T-tube. And  
222  $n_{\text{coal}}$  is the molar amount of coal fed into the reactor.

223 The second one is the ratio of the lower heating value (LHV) of product gas to the  
224 LHV of coal, which is the energy upgrade factor, is expressed as:

225 
$$U = \frac{\int \dot{n}_p LHV_p dt}{m_{coal} LHV_{coal}} \quad (4)$$

226 where  $\dot{n}_p$  is molar flow rates of the product gas,  $m_{coal}$  is the mass of coal,  $LHV_p$  is  
227 the lower heating value of the product gas and the  $LHV_{coal}$  is the lower heating value  
228 of coal.

229 Last but not least, the third one is the energy conversion efficiency which is defined  
230 as the ratio of the sensible heat of insulation and the LHV of product gas to the sum of  
231 simulated solar lights and the LHV of coal, is expressed as:

232 
$$\eta_{energy} = \frac{\int \dot{n}_p LHV_p dt + \int \dot{Q}_{insulation} dt}{\int \dot{Q}_{solar} dt + m_{coal} LHV_{coal}} \quad (5)$$

233 where  $\dot{Q}_{insulation}$  is the sensible heat entering the insulation from the reactor in this  
234 setup, and  $\dot{Q}_{solar}$  is the simulated solar power input to the reactor.

#### 235 2.4 Dynamical analysis methods

236 Thermoanalytical kinetics is a method to study the rate and mechanism of chemical  
237 reactions, and the corresponding kinetic parameters can be obtained from kinetic  
238 reaction calculations. The gasification reaction in the reactor is a non-homogeneous  
239 reaction of solids, and according to the kinetic principle of thermal analysis, the reaction  
240 process can be expressed by the following equation

241 
$$\frac{d\alpha}{dt} = f(\alpha)k(T) \quad (6)$$

242 where  $\alpha$  is the conversion rate,  $t$  is the reaction time,  $k(T)$  is the temperature  
243 dependence of the reaction rate constant,  $f(\alpha)$  is the conversion function of the  
244 reaction.

245 According to the Arrhenius equation [35], the relationship between the reaction rate  
246 and temperature can be expressed as:

247 
$$k(T) = A \exp\left(-\frac{E}{RT}\right) \quad (7)$$

248 where  $A$  is the pre-exponential factor,  $E$  is the activation energy,  $T$  is the reaction  
 249 temperature, and  $R$  is the gas constant ( $8.314 \text{ J}\cdot\text{K}^{-1}\cdot\text{mol}^{-1}$ ). By combining eq. (6) and  
 250 eq. (7), with adding the heating rate (coal gasification reaction is a non-isothermal  
 251 process),  $\beta = \frac{dT}{dt}$ , to the combined equation, we can obtain the following equation:

252 
$$\frac{d\alpha}{dt} = \frac{1}{\beta} A \exp\left(-\frac{E}{RT}\right) f(\alpha) \quad (8)$$

253 The single scanning rate method [36] calculates the kinetic parameters according to  
 254 a non-isothermal thermogravimetric curve, which needs to assume the reaction  
 255 conversion function. It is also called the hypothetical reaction model method. The  
 256 widely used single scanning rate method is the Coats-Redfern method [37], which takes  
 257 that the reaction conversion function is the reaction order model:

258 
$$f(\alpha) = (1 - \alpha)^n \quad (9)$$

259 where  $n$  is the order of the reaction.

260 Li [38] found that the gasification reaction of coal belongs to order one reaction,  $n =$   
 261 1, so it can be obtained:

262 
$$\ln \left[ \frac{-\ln(1-\alpha)}{T^2} \right] = \ln \left[ \frac{AR}{\beta E} \left( 1 - \frac{2RT}{E} \right) \right] - \frac{E}{RT} \quad (10)$$

263 In addition, for the activation energy at the general temperature of the gasification  
 264 reaction,  $\frac{E}{RT} \gg 1$ , so,  $1 - \frac{2RT}{E} \approx 1$ , substituting it into eq. (10),

265 
$$\ln \left[ \frac{-\ln(1-\alpha)}{T^2} \right] = \ln \frac{AR}{\beta E} - \frac{E}{RT} \quad (11)$$

266 Thus, we can take  $\frac{1}{T}$  as the abscissa, and  $\ln \left[ \frac{-\ln(1-\alpha)}{T^2} \right]$  as the ordinate to plot a  
 267 fitting line. Then we can calculate the activation energy under a specific heating rate.

268 We optimize the process of the Coats-Redfern method by combining it with the

269 conversion of the reaction. The kinetic parameters are obtained by fitting within  $\pm 50$   
270 K near a specific coal conversion.

## 271 2.5 Exergy analysis

272 To perform a technical evaluation of the hybrid system, we analyzed the exergy of  
273 the system. Exergy analysis combines chemical properties with system states (eg,  
274 temperature, pressure) to obtain overall exergy efficiency[39].

275 The solar exergy rate can be computed according to eq. (12) [26]:

$$276 \quad \dot{E}x_{Sun} = A_d I_b \left[ 1 + \frac{1}{3} \left( \frac{T_{amb}}{T_{sun}} \right)^4 - \frac{4}{3} \left( \frac{T_{amb}}{T_{sun}} \right) \right] \quad (12)$$

277 where  $A_d$  is the area of the concentrator,  $I_b$  is expressed as solar irradiance.  $T_{amb}$   
278 and  $T_{sun}$  imply to the ambient temperature (details are in Appendix B) and sun  
279 temperature (5600 K) respectively.

280 Meanwhile, the exergy loss including heat transfer, convection, and radiation losses  
281 from the solar dish module can be calculated according to eq. (13) [26]:

$$282 \quad \dot{E}x_{L,Dish} = \left( 1 - \frac{T_{amb}}{T_{gasifier}} \right) \dot{Q}_{L,dish} \quad (13)$$

283 where  $T_{gasifier}$  is the reaction temperature of the gasifier, and  $\dot{Q}_{L,dish}$  is expressed as  
284 heat loss of solar dish module (details are in Appendix B eq. (B4) to eq. (B13)).

285 The exergy efficiency of the solar dish module can be formed as follows [40]:

$$286 \quad \psi_{E,dish} = \frac{P_{dish} - \dot{E}x_{L,Dish}}{\dot{E}x_{Sun}} \quad (14)$$

287 where  $P_{dish}$  implies output power of solar dish module (W).

288 The state of the coal entering the gasifier is close to the dead state. Thus, the physical  
289 exergy of the coal is considered zero. The chemical exergy of the fuel can be computed  
290 according to eq. (15) [41]:



291 
$$\dot{E}x_{C,coal} = \dot{m}_{coal}e_{coal}^C \quad (15)$$

292 
$$e_{coal}^C = LHV[1.0064 + 0.1519\frac{H}{C} + 0.0616\frac{O}{C} + 0.0429\frac{N}{C}] \quad (16)$$

293 where  $\dot{m}_{coal}$  (kg/s) and  $e_{coal}^C$  (J/g) belong to the fuel mass flow and specific chemical  
 294 exergy. H, C, O, N is expressed as the mass fraction of elements (details are in Table 1).

295 The exergy efficiency of the gasifier module can be formed as follows[42]:

296 
$$\psi_{E,gasifier} = \frac{P_{product}}{\dot{E}x_{C,coal}} \quad (17)$$

297 where  $P_{product}$  implies the exergy of gasification products.

298 The exergy efficiency of the solar coal gasification system can be computed  
 299 according to eq. (18):

300 
$$\psi_{E,system} = \frac{P_{dish}+P_{product}}{\dot{E}x_{Sun}+\dot{E}x_{C,coal}} \quad (18)$$

301 This study evaluates the solar coal gasification system with experimental  
 302 thermodynamics, energy models, and exergy analyses to guide industrial production.  
 303 The thermodynamic, energy and exergy models used in this study were calculated with  
 304 homemade MATLAB programs.

## 305 2.6 Operational details

306 Gasification requires a high temperature. We have selected various operating  
 307 conditions, as shown in Table 2, to ensure that the gasification reaction is thorough  
 308 enough; and to reduce the temperature drop from the excessive convection heat transfer  
 309 caused by high-speed flow. Comparing Case 1 and Case 2 reveals the effect of catalyst  
 310 addition on indirect radiation gasification while comparing Case 1 and Case 3 is to  
 311 study the differences in radiation forms. Furthermore, comparing Case 4, Case 5, and  
 312 Case 6 is to study the effect of the ratio of catalysts under direct radiation. And

313 comparing Case 5 and Case 7 is to investigate the effect of catalyst types.  $R$  is the ratio  
 314 of catalyst to coal. The experimental mix form is physically mixing.

315 Table 2 Experimental parameters of the solar-driven coal gasification

Case	radiation form	Coal (g)	CO <sub>2</sub> (L/min)	N <sub>2</sub> (L/min)	Catalyst		$R$
					K <sub>2</sub> CO <sub>3</sub> (g)	Na <sub>2</sub> CO <sub>3</sub> (g)	
Case 1	indirect	1	0.05	0.075	0	0	0
Case 2	indirect	1	0.05	0.075	0.1	0	0.1
Case 3	direct	1	0.05	0.075	0	0	0
Case 4	direct	1	0.05	0.075	0.05	0	0.05
Case 5	direct	1	0.05	0.075	0.1	0	0.1
Case 6	direct	1	0.05	0.075	0.2	0	0.2
Case 7	direct	1	0.05	0.075	0	0.05	0.05

316

### 317 3 Results and discussion

#### 318 3.1 Comparison of direct and indirect radiation gasification

319 N<sub>2</sub> and CO<sub>2</sub> were used to purge the reactor. We started the experiment when the gas  
 320 components were kept within  $\pm 0.1\%$  fluctuations for 10 minutes. We turned on a  
 321 simulated light at 5 mins intervals during the experimental operation.

322

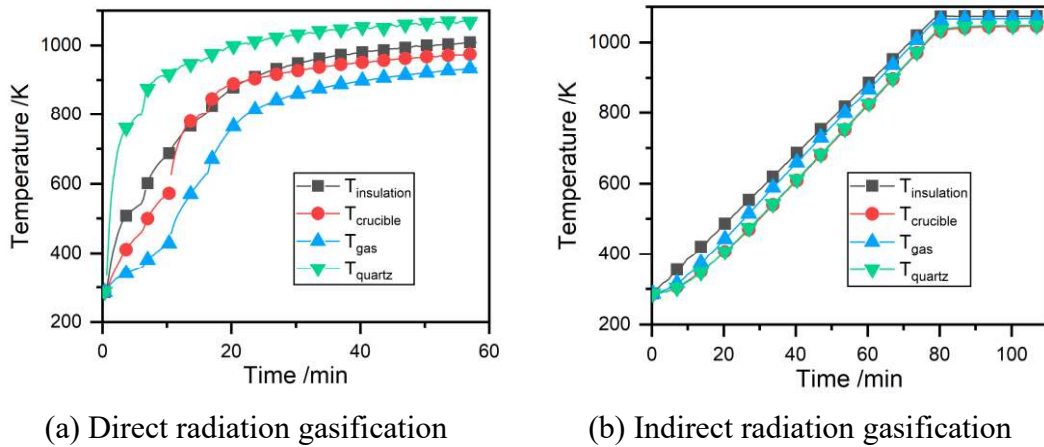


Figure 4 Time evolution of temperature

323 Figure 4 (a) shows the time evolution of the temperature measured by the four  
 324 Omega k-type thermocouples. The temperature of the quartz tube is always the highest,  
 325 and the gas temperature is always the lowest. The maximum temperature difference  
 326 between the two is nearly 400 K. With the advance of time, the temperature of the  
 327 crucible is first lower than that of the insulation, reaching the same in about 18 minutes,  
 328 and finally, the two tend to be close.

329 We use resistance wire heating to simulate indirect radiation gasification. Fig. 4 (b)  
 330 shows the time evolution of temperature under indirect radiation gasification. The  
 331 location of the four thermocouples is the same as that of the direct radiation gasification  
 332 system, but their change trend is different. It can be seen that the difference between the  
 333 four temperature curves is smaller than that of the direct radiation gasification system.  
 334 The maximum temperature difference of the four thermocouples at the same time point  
 335 is about 50 K. Besides, the temperature change trend measured by the four  
 336 thermocouples is the same, reaching a constant set temperature of 1073 K at the set  
 337 time.

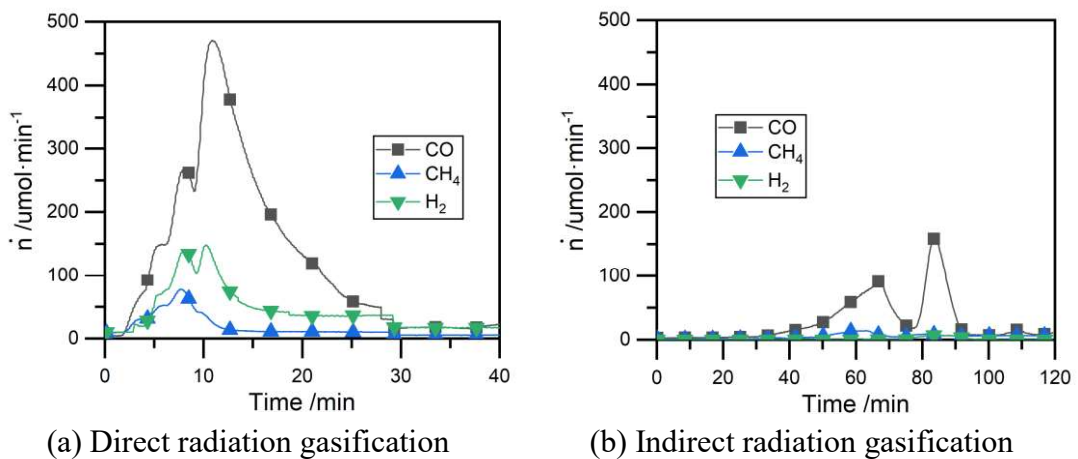
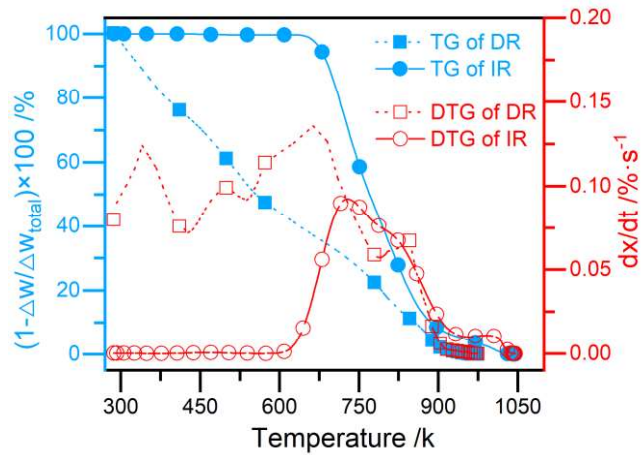


Figure 5 Time evolution of molar flow rates

338 Figure 5 shows the time evolution of products of gas. The gas production rate of the  
 339 radiation gasification system is the focus of system product analysis. The gasification  
 340 reaction process of direct radiation and indirect radiation is different. On the one hand,  
 341 there will be light and heat synergy under the spotlight of four xenon simulated lights  
 342 for direct radiation gasification. Comparing Fig. 5 (a) and Fig. 5 (b), the time point of  
 343 the carbon monoxide production of direct radiation gasification is earlier than that of  
 344 indirect radiation gasification. Moreover, at the same reaction temperature, the amount  
 345 of carbon monoxide obtained by direct radiation gasification is much higher than that  
 346 of indirect radiation gasification. There is only one peak under direct radiation  
 347 gasification from the peak distribution of product gas rate in the reaction process.  
 348 Komada [13] et al. reported the same distribution under direct radiation gasification.

349 On the other hand, the coal pyrolysis and the Boudouard reaction under indirect  
 350 radiation gasification have an apparent time order. Sanchez-Hervas [43] et al. reported  
 351 that when the temperature reaches about 500 °C, coal begins to experience pyrolysis,  
 352 and the carbon monoxide product belongs to the volatile. When the temperature reaches  
 353 650 °C, the coal char reacts with CO<sub>2</sub> and generates more carbon monoxide. This trend

354 is consistent with the experimental results in Fig. 6 (b).



355

356

Figure 6 Reactivity of coal in different radiation forms

357

Figure 6 shows the reactivity of coal under direct radiation (DR) and indirect (IR)

358

radiation based on the thermogravimetric (TG) and differential thermogravimetric

359

(DTG) analysis. It can be seen that the TG curve shifts toward the high-temperature

360

region during indirect radiation and the peak of the DTG curve decreased by 34%.

361

These results indicate that the coal reactivity under indirect radiation is lower than that

362

under direct radiation. This is mainly because the process of devolatilization under

363

indirect radiation gasification is slower, and more volatile components are attached to

364

the coal char surface[44]. The residual volatiles are further removed, and the difference

365

in coal reactivity under indirect and direct radiation becomes smaller with temperature.

366

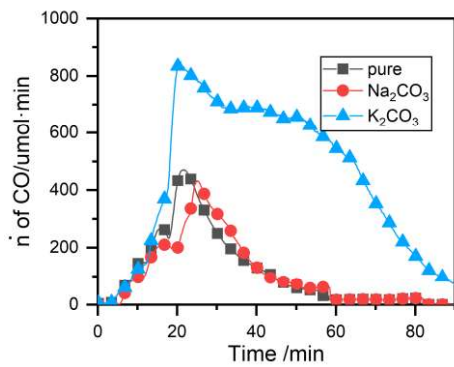
At the same time, the molecular structure of coal char shifts towards graphitic crystals

367

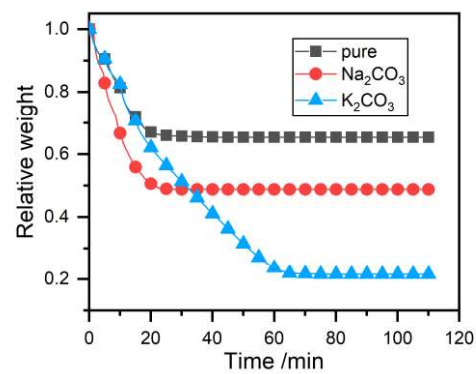
with temperature, which leads to a decrease in reactivity.

368

3.2 Effects of catalyst types under direct radiation gasification



(a) Time evolution of CO molar flow rates



(b) Time evolution of weight loss

369 Figure 7 Direct radiation gasification characteristics of different catalysts

370 Fig. 7 (b) shows that radiation gasification cannot reach a high conversion under  
 371 this temperature (1073.15 K). The means to increase the conversion are high pressure  
 372 or catalysis. We usually choose to add appropriate catalysts under laboratory conditions.  
 373 We selected two common catalysts for coal gasification,  $\text{Na}_2\text{CO}_3$ , and  $\text{K}_2\text{CO}_3$ . Fig. 7 (a)  
 374 shows that the molar flow rate of CO after adding  $\text{Na}_2\text{CO}_3$  is consistent with the direct  
 375 radiation gasification without adding any catalyst and reaches the peak at almost the  
 376 same time point. At the same time, Fig. 7 (b) shows that the conversion rate after adding  
 377  $\text{Na}_2\text{CO}_3$  is higher than that without adding any catalyst, which is about 20%. These  
 378 results show that the catalytic effect of  $\text{Na}_2\text{CO}_3$  on the Boudouard reaction is poor in  
 379 the form of direct radiation gasification at this temperature.

380 However, the situation is different with  $\text{K}_2\text{CO}_3$  added. Fig. 7 (a) shows that the  
 381 peak value of CO molar flow rate with  $\text{K}_2\text{CO}_3$  is about twice that of the other two groups.  
 382 Meanwhile, the conversion rate after adding  $\text{K}_2\text{CO}_3$  is the highest. Thus, we used  
 383  $\text{K}_2\text{CO}_3$  as the catalyst for direct radiation gasification in subsequent experiments.

384 3.3 Effects of  $\text{K}_2\text{CO}_3$  on direct or indirect radiation gasification

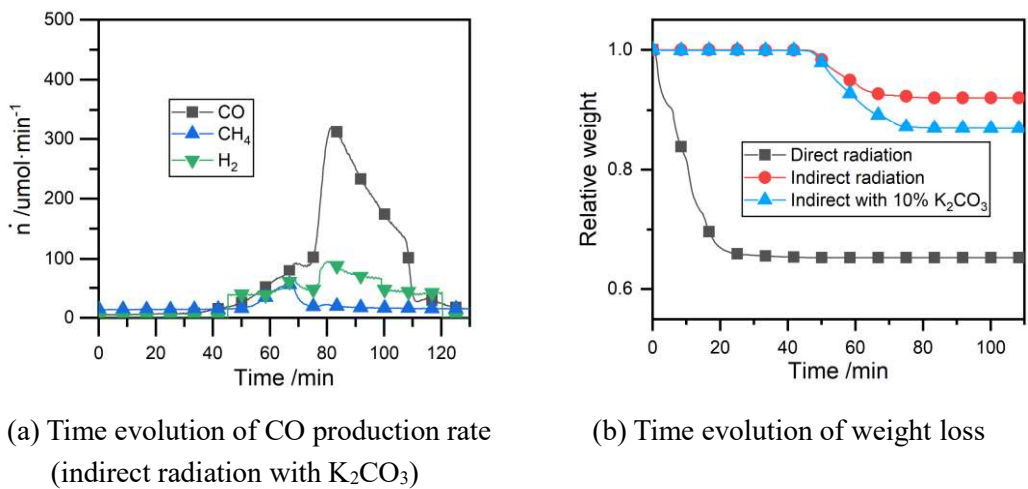


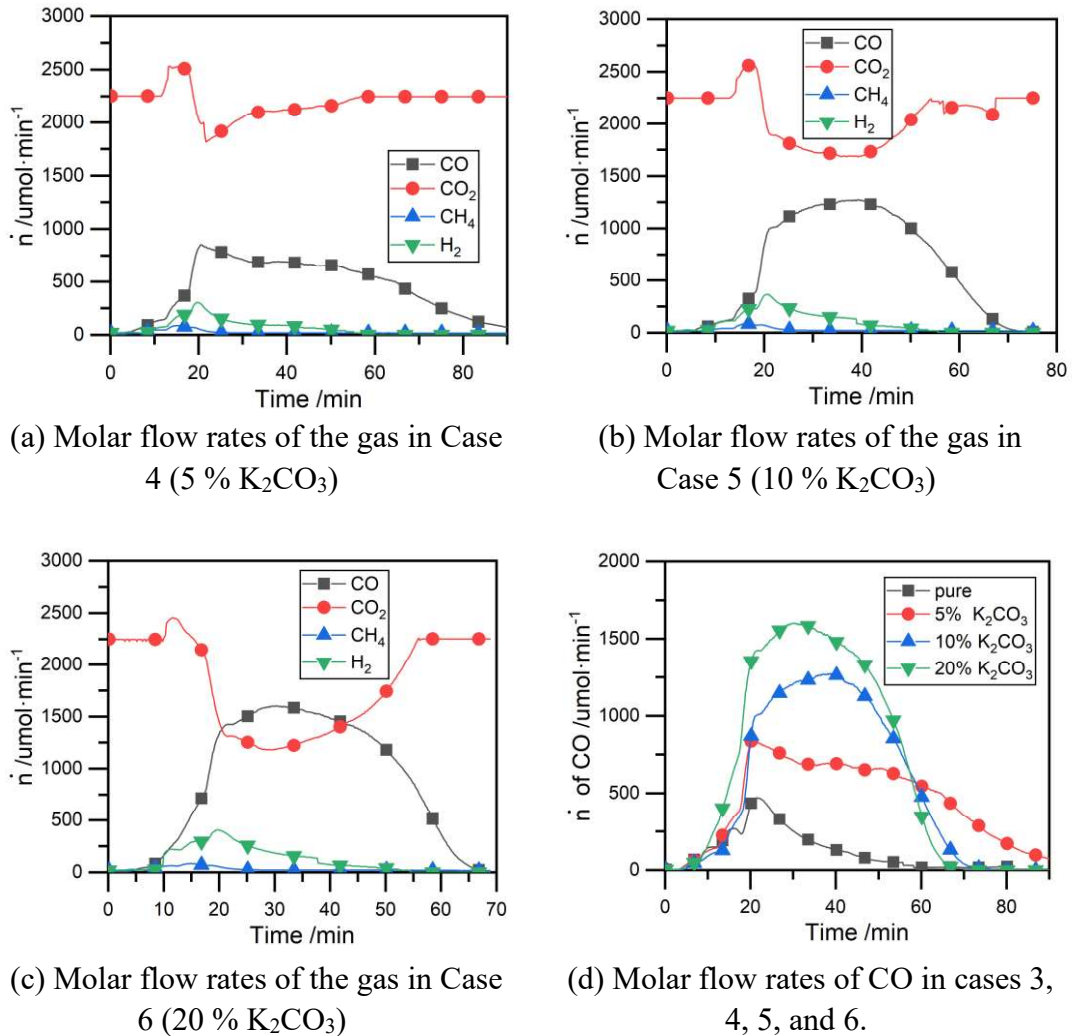
Figure 8 Gasification characteristics of different radiation forms

385 We also investigate the addition of  $K_2CO_3$  under indirect radiation gasification. The  
 386 effects of  $K_2CO_3$  and radiation form (direct or indirect radiation) on gasification are  
 387 compared in the subsequent analysis. Fig. 8 (a) shows the time evolution of gas product  
 388 rates under indirect radiation with  $K_2CO_3$ . Compared to Fig. 5 (b), there is only one  
 389 peak in CO molar flow rate. This is because the gasification reactivity is strengthened  
 390 under the catalysis of  $K^+$ , and the Boudouard reaction of coal char begins at a lower  
 391 temperature[32].

392 However, Fig. 8 (b) shows direct, indirect, and indirect catalytic radiation  
 393 gasification weight loss. We found that the catalyst can enhance the reactivity of coal  
 394 gasification and improve coal conversion to a certain extent, but this improvement is  
 395 limited on indirect radiation form. In Fig. 8 (b), although direct radiation can enhance  
 396 the reactivity of gasification, the weight loss rate can be further improved. Meanwhile,  
 397 in Fig. 7 (b), the weight loss rate sees a big increase with the addition of catalyzes on  
 398 direct radiation form. Therefore, we will add catalysts under direct radiation  
 399 gasification in the follow-up research. The product's production rate under direct

400 radiation with  $K_2CO_3$  would be present in the next section.

401 3.4 Effects of different catalyst ratios on gasification reaction under direct radiation



(a) Molar flow rates of the gas in Case 4 (5 %  $K_2CO_3$ )

(b) Molar flow rates of the gas in Case 5 (10 %  $K_2CO_3$ )

(c) Molar flow rates of the gas in Case 6 (20 %  $K_2CO_3$ )

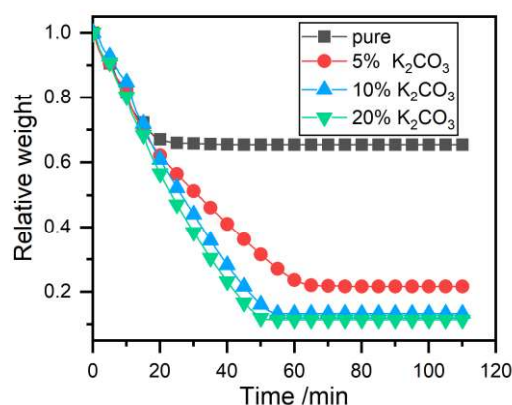
(d) Molar flow rates of CO in cases 3, 4, 5, and 6.

Figure 9 Molar flow rates of the cold product gas in different proportions of catalyst

402 We investigated the influence of different  $K_2CO_3$  ratios (5%, 10%, and 20%) on  
403 gasification distribution. Figure 9 shows the mole flow rates of the gas products in  
404 different ratios and the CO molar flow rates comparison of four cases. As shown in Fig.  
405 9 (a), the molar flow rate of CO<sub>2</sub> increases with temperature, marking the beginning of  
406 pyrolysis. And the peak value of CO<sub>2</sub> reached about 2500  $\mu\text{mol} \cdot \text{min}^{-1}$  with the progress  
407 of primary pyrolysis. Then, the gasification reaction (the Boudouard reaction) begins



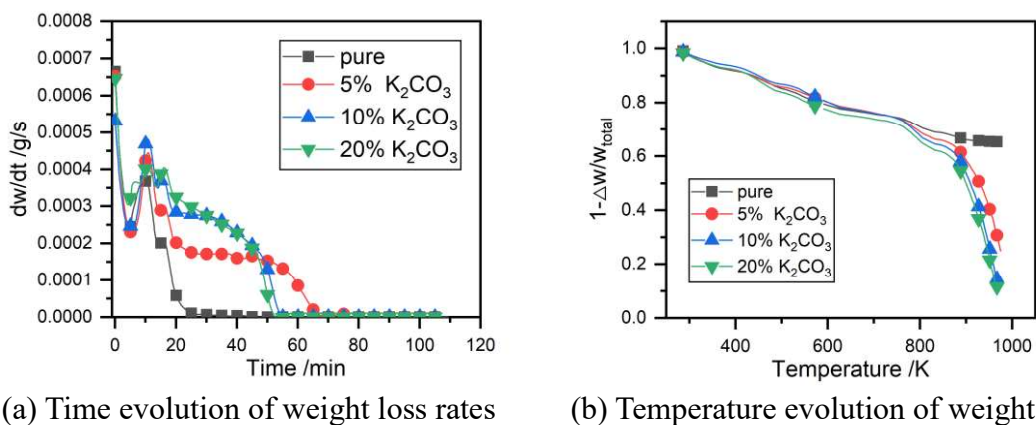
408 with the decrease of CO<sub>2</sub>, and CO starts to generate, with a peak value of about 800  
409 umol/min. With the progress of the reaction, the coal char is subject to secondary  
410 pyrolysis, and the end sign is that the generation of H<sub>2</sub> stops. Fig. 9 (b) shows that the  
411 decrease of CO<sub>2</sub> increases with the ratio of K<sub>2</sub>CO<sub>3</sub>, indicating the rise in the gasification  
412 reaction rate. The rate curves of CO<sub>2</sub> and CO intersect in Fig. 9 (c), which means the  
413 Boudouard reaction is more intense. Fig. 9 (d) shows that the molar flow rate of CO  
414 increases with the addition and ratios of K<sub>2</sub>CO<sub>3</sub>, and the peak generation rate increases  
415 from about 500 umol/min without a catalyst to about 800 umol/min (5 % K<sub>2</sub>CO<sub>3</sub>), then  
416 to about 1650 umol / min (20 % K<sub>2</sub>CO<sub>3</sub>). The catalytic effect is pronounced. However,  
417 the increase in peak value decreases with the ratio of K<sub>2</sub>CO<sub>3</sub>, which is the same trend  
418 as the gasification study of Jan et al. [31]. With the massive use of CO<sub>2</sub>, the solar  
419 gasification system produces chemical feedstocks. This process realizes the low carbon  
420 use of coal resources.



421  
422 Figure 10 Time evolution of weight loss with different K<sub>2</sub>CO<sub>3</sub> ratios

423 Figure 10 shows the time evolution of different relative weight K<sub>2</sub>CO<sub>3</sub> ratios. In  
424 combination with the results in Fig. 9 (d) and Fig. 10, the addition of K<sub>2</sub>CO<sub>3</sub> can make  
425 the Boudouard reaction more thorough and the coal conversion higher. The addition of

426 catalyst also leads to the Boudouard reaction taking longer than non-K<sub>2</sub>CO<sub>3</sub>. However,  
 427 the catalysis Boudouard reaction time is shortened by about 20 mins with K<sub>2</sub>CO<sub>3</sub> ratios  
 428 increase. Comparing the thermogravimetric and molar flow rates of CO in Fig. 9 (d)  
 429 and Fig. 10, 20 % K<sub>2</sub>CO<sub>3</sub> can only improve a few compared to 10 % K<sub>2</sub>CO<sub>3</sub>. These  
 430 results mean that 10 % K<sub>2</sub>CO<sub>3</sub> can make gasification thorough.

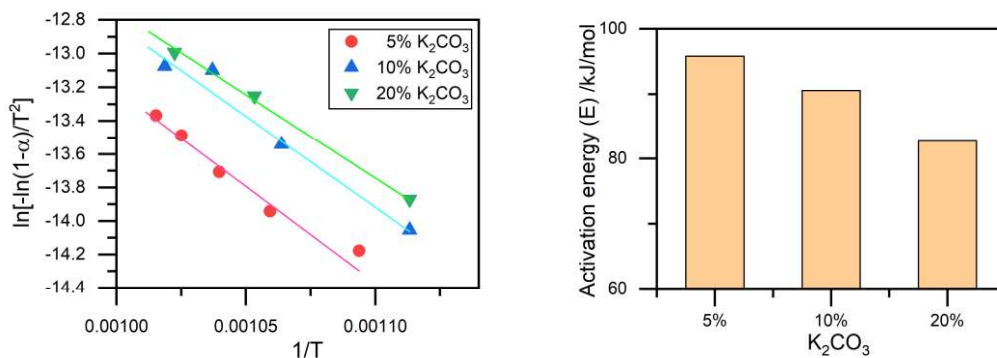


431 Figure 11 Kinetic with different K<sub>2</sub>CO<sub>3</sub> ratios

432 Fig. 11 (a) shows the time evolution of weight loss rates with different K<sub>2</sub>CO<sub>3</sub> ratios.  
 433 All four curves see decreases immediately at the beginning of the devolatilization  
 434 reaction. After removing volatiles, CO<sub>2</sub> enters the coal char particles for a gas-solid  
 435 two-phase reaction. The progress of the Boudouard reaction will lead to the  
 436 consumption of the solid components of the coal char and expose more pores in the  
 437 coal char. The gas-solid contact area increases with pore size, and the specific surface  
 438 area of the Boudouard reaction increases. Therefore, the weight loss rate increases at  
 439 this stage. When the Boudouard reaction proceeds to a certain extent, the consumption  
 440 of coal char substantially decreases, and the gas-solid contact area decreases gradually.  
 441 The specific surface area and reaction rate of the Boudouard reaction are reduced with  
 442 the crosslinking or collapse of the pore structure in the coal char. Besides that, K<sub>2</sub>CO<sub>3</sub>

443 has no catalytic effect on the devolatilization reaction. Sharma[45] et al. investigated the  
444 relationship between coal gasification reactivity and catalyst addition. The  
445 experimental results show that the gasification reactivity of coal char increases with  
446 catalyst addition, but there is an optimal addition. When the optimum addition amount  
447 is higher than that, the activity of the excess catalyst decreases because their mutual  
448 accumulation will increase the average particle size of coal char but reduce the contact  
449 area of the coal char surface.

450 Fig. 11 (b) shows the temperature evolution of weight loss with different  $K_2CO_3$   
451 ratios. When the temperature reaches 750 K, the decreasing slopes of the four curves  
452 increase—the gasification reaction stage at this temperature. The pure coal curve has a  
453 minor descending slope and the smallest descending magnitude. However, direct  
454 radiation catalytic gasification is remarkable. As the catalyst addition ratio increased  
455 from 5% to 10%, the volume of the decrease also increased. However, the improvement  
456 was limited when the catalyst ratio was increased from 10% to 20%. At the same time,  
457 we can also see from Fig. 11 (b) that the separate temperature between the pure curve  
458 and the others is about 900 K, which indicates that the  $K_2CO_3$  starts to catalyze at about  
459 900 K.



(a) Arrhenius plot

(b) Comparison of different ratios

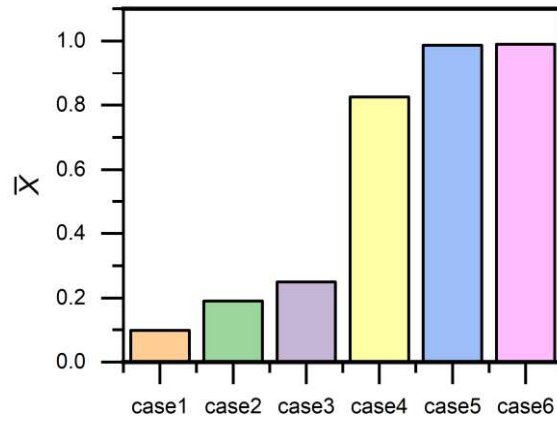
Figure 12 Kinetics analysis at cases 4, 5, and 6

460 Figure 12 shows kinetic analysis using the Coats-Redfern method for different  
461 catalyst ratios (5%, 10%, and 20%) of direct radiation catalytic gasification. Fig. 12 (a)  
462 shows the fitting Arrhenius plot for cases 4, 5, and 6. The activation energy obtained is  
463 95.81 kJ/mol, 90.54 kJ/mol, and 82.86 kJ/mol in Fig. 12 (b).

464 In the initial reaction stage, the temperature is low, but the heating rate is high, and  
465 the volatiles will be rapidly removed. During the second half of the reaction, the coal  
466 char and catalyst undergo the typical behavior of pore development during coke  
467 conversion, which can lead to higher surface area and faster rates. And the activation  
468 energy decreases with the catalyst ratio. At higher conversion rates, the gasification rate  
469 decreases due to pore collapse and larger potassium clusters. The latter is formed as the  
470 surface carbon is released as carbon monoxide gas, and the amount of potassium  
471 relative to the carbon increases. The release of residual volatiles, the diffusion of  
472 reactive gases, and the expansion of porosity in the coal char all affect the activation  
473 energy distribution of gasification.

474 4 Analysis of radiation gasification performance indicators

475 4.1 Element C conversion rate



476

477

Figure 13 Distribution of elemental C conversion rate

478

As shown in Fig. 13, we investigate the conversion degree of the coal during

479

radiation gasification by the conversion rate of the C element. The conversion rate of

480

the C element in Case 1 is 0.098. And the conversion rate of Case 3 is 0.25, which is

481

about 2.5 times that of Case 1. The catalyst further improved the C element conversion

482

rates, and the rates of cases 4-6 were 0.825, 0.987, and 0.99, respectively. Case 2 is

483

indirect radiation catalytic gasification, but it is only 0.19. These results show that direct

484

radiation is more effective. The introduction of catalyst alone cannot directly improve

485

the conversion of C element with indirect radiation. The synergistic effect of light and

486

catalyst can significantly improve the conversion of the C element at this temperature.

487

#### 4.2 Energy conversion efficiency

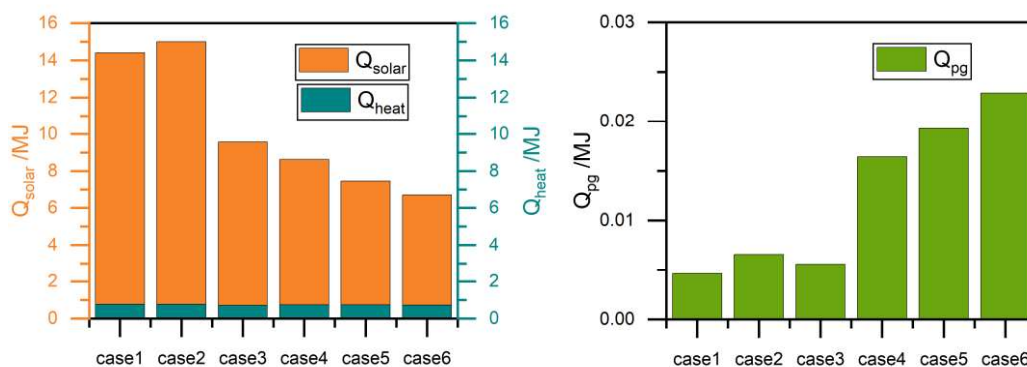


Figure 14 (a) Solar energy and sensible heat

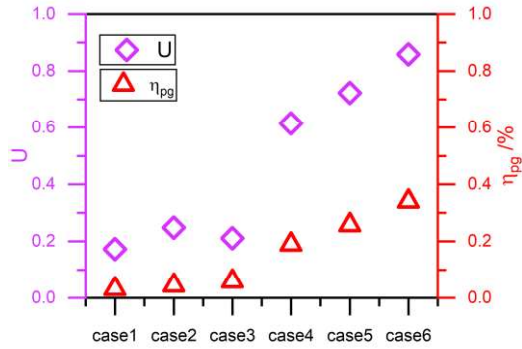


Figure 14 (b) The heat value of the product gas

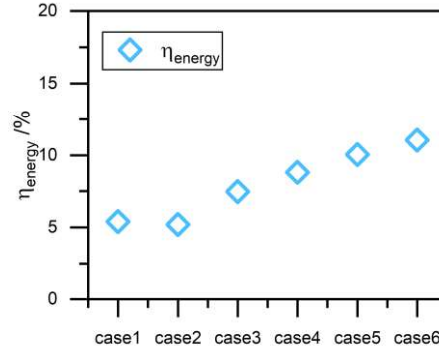


Figure 14 (c) U and  $\eta_{pg}$

Figure 14 (d) Energy conversion efficiency

488

Figure 14 System energy distribution

489

Figure 14 shows the radiant gasification system's energy, including the insulation's sensible heat ( $Q_{heat}$ ), the heat value of the product gas ( $Q_{pg}$ ), and the input solar energy ( $Q_{solar}$ ). At the same time, we calculated energy conversion efficiency ( $\eta_{energy}$ ), product gas energy efficiency ( $\eta_{pg}$ ) and energy upgrade factor ( $U$ ).

493

Indirect radiation gasification (Case 1 and Case 2) requires more solar energy due to its long reaction time. The reaction time for direct radiation gasification is shortened with the catalyst addition. The required solar energy input is also reduced to 6.25 MJ in Figure 14 (a). The catalyst can improve  $Q_{pg}$  of indirect radiation gasification (Case 2), but under the synergistic effect of direct radiation (Case 5),  $Q_{pg}$  will see a significant increase, about three times that of Case 2 in Figure 14 (b). For  $Q_{heat}$ , the temperature rises of each working condition are the same, and there is little difference.

500

The solar system efficiency under direct radiation gasification will increase from 5.39 % (Case 1) to 7.47 % (Case 3) in Figure 14 (d). The addition of catalysts will further advance this trend, reaching a maximum level of 11%. The trend of product gas

501

502

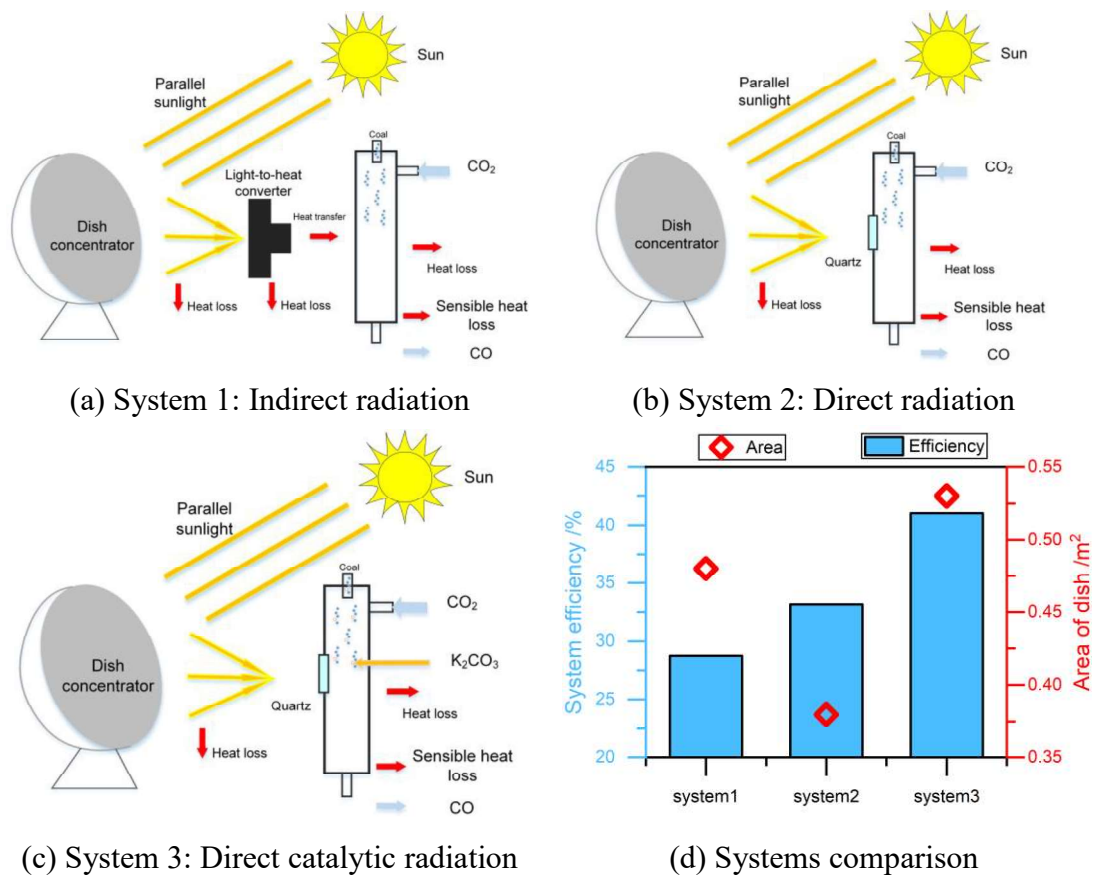
503 efficiency is similar to solar system efficiency, which is also greatly improved by the  
504 synergistic effect of direct radiation and catalyst. Compared with the conversion rate of  
505 the C element, the energy upgrade factor is to analyze the radiation gasification system  
506 from the perspective of energy. Adding a catalyst can improve the energy upgrade factor  
507 of indirect radiation gasification. Direct radiation gasification can also enhance the  
508 energy upgrade factor, which indicates that radiation's incoming form will affect energy  
509 absorption and utilization. At the same time, in the form of direct radiation, the addition  
510 of a catalyst can significantly improve the energy upgrade factor. Moreover, the  
511 increase ratio is proportional to the ratio of catalyst, and the highest can reach about  
512 0.86 in Figure 14 (c). The data in Figure 14 are only for the analysis of the experimental  
513 process and evaluation. The solar energy entering the gasifier is converted into forms  
514 such as chemical energy, which is the storage process of solar gasification. This process  
515 is an important means of low carbon use of coal resources.

## 516 5 Chemical process analysis

### 517 5.1 Energy analysis

518 We set up three model forms: indirect radiation, direct radiation, and direct catalytic  
519 radiation gasification, to investigate the feasibility of applying solar heat sources in the  
520 actual coal gasification chemical process. In the actual process, the forms of solar  
521 energy utilization include tower type, trough type, and dish type. For the coal  
522 gasification chemical process, the disc type has the advantages of flexible installation,  
523 concentrated light spot energy, and high temperature, convenient for installation in  
524 various terrain. Therefore, we use the dish type to collect and utilize solar energy and

525 analyze the **chemical process energy and exergy**. For the whole system, we consider the  
 526 energy loss of each part. See the calculation process in Appendix B for details. At the  
 527 same time, we use the corresponding experimental conditions for different systems to  
 528 predict the product distribution and then carry out the model calculation of coal  
 529 gasification reaction. At the same time, we compare the overall efficiency of the three  
 530 systems and the receiver dish area of the disc system.



531 Figure 15 Different solar energy coal gasification systems

532 Table 3 Experimental gas products distribution

Products	Volume distribution (%)			Mass distribution (%)		
	System 1	System 2	System 3	System 1	System 2	System 3
CO	68.16	56.22	68.74	66.60	66.73	70.22
CH <sub>4</sub>	12.68	7.64	2.30	7.08	5.19	1.34
H <sub>2</sub>	2.12	22.08	11.77	0.15	1.87	0.859
CO <sub>2</sub>	17.04	14.05	17.19	26.17	26.21	27.58

533 Fig. 15 shows three radiation coal gasification systems and their comparison.



534 According to the experimental data above, the gas product distribution is in Table 3.  
 535 For System 1, the heat loss from the concentrator to the reactor was considered. The  
 536 input coal rate is 135.05 g/h (1 kW heat value). With the same gasification agent and  
 537 coal ratio as the experiment, the flow rate of CO<sub>2</sub> is 405 L/h, and the flow rate of N<sub>2</sub> is  
 538 607.5 L/h. Estimate the sensible heat loss carried by gases at 800 °C, referring to the  
 539 heat value of various gases in [46]. The heat at the reactor output is expressed as

$$540 \quad \dot{Q}_{output} = \dot{Q}_{sensible} + \dot{Q}_{pg} \quad (19)$$

541 where  $\dot{Q}_{output}$  is the output total heat rate,  $\dot{Q}_{sensible}$  is the sensible heat rate, and  
 542  $\dot{Q}_{pg}$  is the low heat value rate of gas products.

543 (a) First of all, in System 1:

$$544 \quad \dot{Q}_{output} = 492.32 \text{ W}$$

545 The heat rate required by the gasifier is

$$546 \quad \dot{Q}_{gasifier} = \dot{Q}_{output} + \dot{Q}_{wall} \quad (20)$$

547 where  $\dot{Q}_{wall}$  is the heat loss through the wall, and it is 1.7% of  $\dot{Q}_{coal}$  [47]. Then  
 548 reverse the solar input energy. The system efficiency can be expressed as follows:

$$549 \quad \eta_{system} = \frac{\dot{m}_p LHV_p}{\dot{Q}_{solar} + \dot{m}_{coal} LHV_{coal}} \quad (21)$$

550 The efficiency from obtaining solar energy to input energy into the reactor ( $\eta_{SE}$ ) is  
 551 75.31 %, the system efficiency ( $\eta_{system}$ ) is 28.76 %, and the dish area ( $s$ ) is 0.48 m<sup>2</sup>.

552 (b) Secondly, in System 2:

553 There is no converter in System 2. Thus, the energy calculation does not consider the  
 554 converter's transfer and radiation energy loss. We assume that the absorption coefficient  
 555 of coal is 0.9. We think that the temperature in the reactor is the same as in System 1.

$$556 \quad \dot{Q}_{output} = 522.77 \text{ W}$$

557 The system efficiency ( $\eta_{\text{system}}$ ) is 33.15 %, and the dish area ( $s$ ) is 0.38 m<sup>2</sup>.

558 (c) Last but not least, in System 3:

559 The gasification in System 3 is direct radiation with K<sub>2</sub>CO<sub>3</sub> addition.

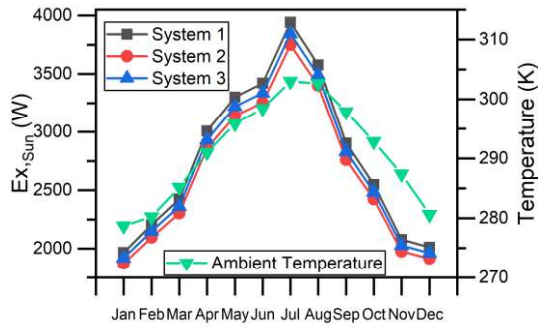
$$560 \quad \dot{Q}_{\text{output}} = 723.58 \text{ W}$$

561 The system efficiency ( $\eta_{\text{system}}$ ) is 41.04 %, and the dish area ( $s$ ) is 0.53 m<sup>2</sup>.

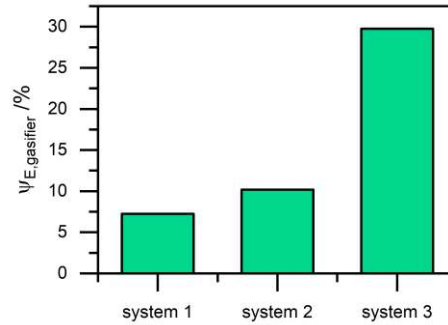
562 Fig. 15 (d) compares three different systems on efficiency and the dish area. Under  
563 the premise of ensuring the same reactor temperature, direct radiant gasification can  
564 obtain higher system energy conversion efficiency. Simultaneously, adding a catalyst  
565 can further increase this advantage. Industrial production is often accompanied by  
566 large-scale production. Considering the economics of construction, the area of the dish  
567 is an important reference indicator. Compared with System 1 and System 3, the dish  
568 diameter increases by 5 %, but the efficiency increases by 13 %. **The greatly improved**  
569 **system efficiency can further enhance the economic benefits of the large-scale chemical**  
570 **process.**

## 571 5.2 Exergy analysis

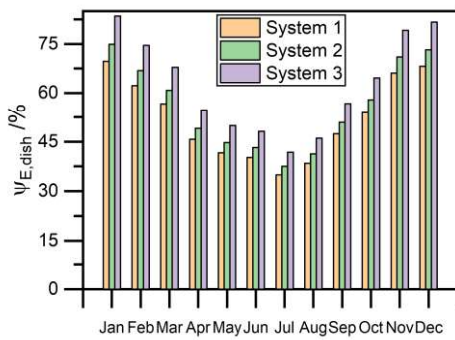
572 **This work investigates the exergy analysis in the solar gasification chemical**  
573 **process, which include the solar concentrator, coal gasifier, and the whole system. The**  
574 **exergy efficiency of different modules provides a reference for the solar gasification**  
575 **chemical process.**



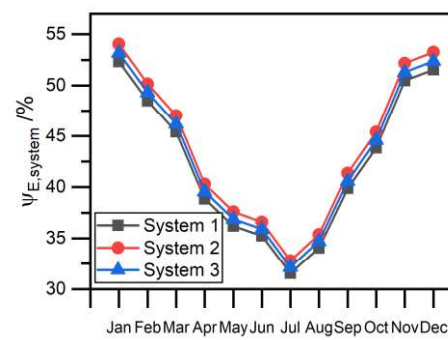
(a) The monthly evolution of the solar exergy and ambient temperature



(b) The gasifier exergy efficiency of different systems



(c) The monthly evolution of the solar dish exergy efficiency



(d) The monthly evolution of system exergy efficiency

### Figure 16 The module exergy analysis results

576

577 Fig. 16 (a) shows the monthly evolution of solar dish module exergy analysis and  
 578 ambient temperature[48]. The solar dish module exergy relates to the ambient  
 579 temperature. It reaches its peak in summer ( $3941.18 \text{ W/m}^2$ ), and the least value of  
 580 exergy content belongs in December ( $1871.57 \text{ W/m}^2$ ). This trend is similar to the solar  
 581 power module in Ref. [26]. The areas of different systems in the exergy analysis are  
 582 calculated in Section 5.1 which meets the thermal power needed by the gasifier. The  
 583 area of the solar dish influences the solar exergy according to eq. (12). The value of  
 584 solar exergy in system 1 is the highest, as well as that in system 2, which is the least  
 585 due to different dish areas in the same month. Fig. 16 (b) shows the gasifier exergy  
 586 efficiency in different systems. The gasifier exergy efficiencies are influenced by the

587 product distribution and gasification efficiency. It can be concluded that the highest  
588 amount of gasifier exergy efficiency belongs to the direct catalytic radiation gasification  
589 (system 3, 29.7 %). Fig. 16 (c) shows the monthly evolution of the solar dish exergy  
590 efficiency. It can be seen that exergy efficiency decreases with an increase in thermal  
591 losses. This law is in good agreement with the values reported in Ref [49]. Fig. 16 (c)  
592 also shows that the chemical process of solar gasification needs to be considered with  
593 the impact of seasons. This chemical process includes not only the influence of reaction  
594 temperature but also the influence of ambient temperature with effects on the exergy  
595 efficiency of solar modules. For any month, System 3 always had the highest exergy  
596 efficiency of solar modules.

597 Fig. 16 (d) shows the monthly evolution of the system exergy efficiency in different  
598 solar gasification systems, the trend of which is similar to the study [26]. The reason  
599 for the decrease in summer is the increase in entropy caused by higher temperature, but  
600 the gasifier exergy remains unchanged, resulting in an increase in the exergy loss of the  
601 system and a decrease in the exergy efficiency. The highest amount of system efficiency  
602 belongs to system 2, as well as the least to system 1.

603 On one hand, the energy efficiency and the exergy efficiency calculated in the solar  
604 dish module of this study are 70 % and 35 – 55 %, respectively. Kasaeian [50] et al.  
605 investigated the concentrated solar dish system considering the same heat loss as this  
606 work and the same work fluids. They concluded that the energy efficiency is around  
607 70 % and the exergy efficiency is above 30 % in the solar dish system. On the other  
608 hand, Table 3 shows the distribution of the products in this work which is within the

609 range of direct radiation gasification at the same temperature studied by Kodama [51]  
610 et. al and the range of indirect radiation gasification studied by Li [52] et. al. Meanwhile,  
611 the system energy efficiency is improved from 37.2 % in the Ref. [52].

## 612 6 Conclusion

613 In summary, this work designed a novel experimental solar radiation gasification  
614 thermogravimetric device. Furthermore, this work set up a solar gasification system  
615 energy and exergy analysis model. With the technological analysis of thermodynamics,  
616 energy, and exergy, this work investigated the performances of different radiation forms  
617 on solar catalytic gasification. Besides that, this work studied the energy and exergy  
618 efficiency of the solar, gasifier module, and the system, respectively. With thermal from  
619 solar energy, coal and CO<sub>2</sub> are used as reactants, and the products are used in the  
620 chemical industry. This **chemical** process is an important industrial approach to the low-  
621 carbon use of coal resources. Limited by the experimental conditions, the economic  
622 analysis of different CO<sub>2</sub> mass flow radiation catalytic gasification will be investigated  
623 in the future to meet industrial production. The specific main results are as follows:

624 (1) Direct radiation gasification can improve the average carbon conversion rate. This  
625 work compares two radiation forms: direct and indirect radiation gasification. It was  
626 found that the carbon conversion rate of direct radiation was 15.2 % higher than that of  
627 indirect radiation gasification. Meanwhile, the energy conversion efficiency was  
628 increased by 3.73 %.

629 (2) The reaction degree is more intense with high gas production rate under direct  
630 radiation gasification. From the kinetic analysis, the TG curve of direct radiation

631 gasification decreases more rapidly at the same temperature, and the DTG curve shifts  
632 upward by 34%.

633 (3) Only adding catalysts cannot solve the low efficiency of indirect radiation  
634 gasification at this experimental condition. Catalyst addition can only increase the  
635 weight loss rate of indirect radiation from 8 % to 13.2 %, but that of direct radiation is  
636 34.65 %. The addition of catalyst and direct radiation can significantly improve the  
637 efficiency of coal gasification. In direct catalytic radiation gasification, the weight loss  
638 reaches up to 90 % with the synergistic effect of light and heat (the remaining is ash).

639 (4) Meanwhile, this work investigates the catalyst ratios in kinetics under direct  
640 catalytic radiation gasification. It was found that the 10% ratio is more suitable for  
641 system analysis. Direct catalytic radiation at a lower gasification temperature (800 °C)  
642 can improve the carbon conversion to 99 %, the energy upgrading factor to 0.86, and  
643 the energy conversion efficiency to 11 %.

644 (5) In dish-type concentrated solar systems, solar and catalyst synergistic effects can  
645 increase system efficiency up to 41%. Compared to indirect radiation, direct radiation  
646 catalytic gasification increases the dish diameter by only 5% but the efficiency by 13%.  
647 The gasification conditions are often harsh (high temperature and pressure). But the  
648 direct radiation system and catalyst will allow solar coal thermochemical conversion to  
649 be available.

650 (6) Solar irradiation and ambient temperature can affect the solar dish exergy.  
651 Meanwhile, the catalysis addition and the direct radiation form can improve the value  
652 of the exergy efficiency of solar coal gasification. The solar dish exergy reaches its peak

653 in summer ( $3941.18 \text{ W/m}^2$ ), and the least value of exergy content belongs in December  
654 ( $1871.57 \text{ W/m}^2$ ). The highest amount of gasifier exergy efficiency belongs to the direct  
655 catalytic radiation gasification (system 3, 29.7 %), as well as the least value of gasifier  
656 exergy efficiency implies indirect radiation gasification (system 1, 7.23 %). These  
657 results will potentially improve the popularity of new energy sources and the efficiency  
658 of resourceful and low-carbon utilization of coal **in chemical process**.

659

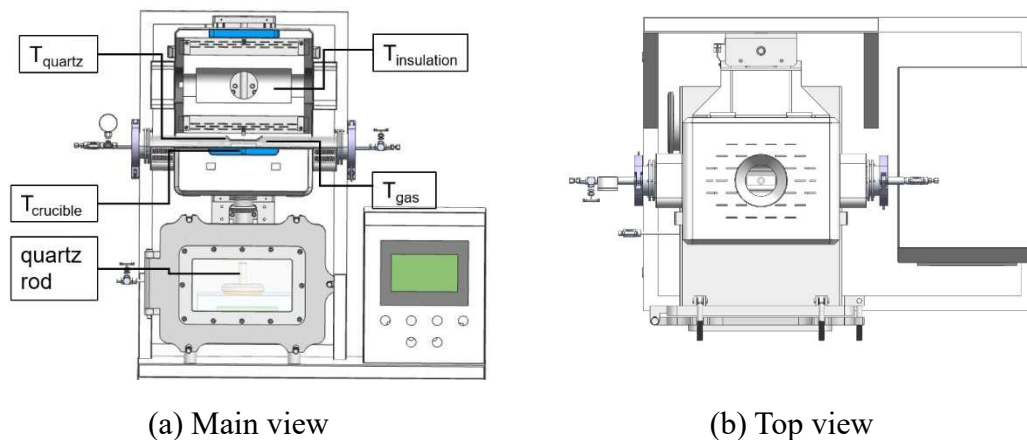
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665

## 666 Appendix A

### 667 A.1 Reactor

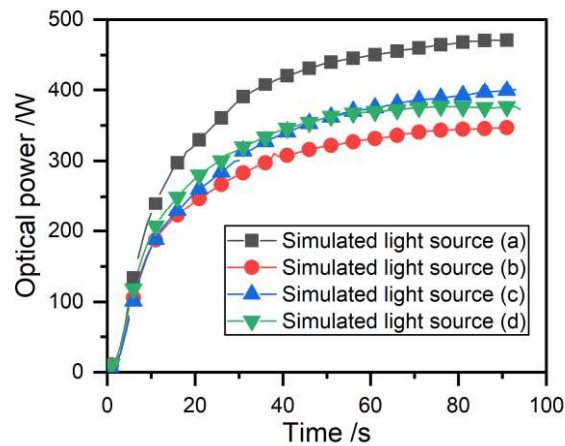


668

Figure A1 Reactor

669 The reactor in the radiation gasification-thermogravimetric test bench based on full-

670 spectrum concentrated solar energy is shown in Figure A1 (a). Figure A1 (b) shows the  
671 top view after the covered insulation. The core reaction zone is made of quartz. The  
672 insulation material adopts alumina fiber, which has the characteristics of low thermal  
673 conductivity, and thermocouples are arranged in the insulation. The upper part of the  
674 insulation is drilled to inject the simulated solar light at a specific angle, and the shell  
675 is supported by 5 mm stainless steel (SS304). The T-tube is smoothed in the area  
676 irradiated by the simulated sunlight. At the same time, the T-tube is connected to the  
677 thermogravimetric chamber. There is an analytical balance with a range of 0-220g and  
678 an accuracy of 0.001g in the room. We put a quartz rod to jack up the crucible made of  
679  $\text{Al}_2\text{O}_3$  to connect the balance and the crucible.  $\text{N}_2$  flows into the left side of the  
680 thermogravimetric chamber to avoid high-temperature damage to the electronic balance.  
681 A.2 Optical power of simulated solar lights



682

683

Figure A2 Time evolution of simulated lights' power

684

685

686

The spot power of the xenon lamp irradiated to the crucible was measured using an optical power detection device, HP100A-4KW-HE, provided by Gentec, and Figure A2 shows the measurement results. After 80 s of irradiation, all four simulated lights (a, b,



687 c and d) reached a steady state. The radiation power delivered to the radiation gasifier  
 688 needs to be adjusted in a typical radiation gasification experiment. The heat stages  
 689 include preheating, rated heating, and steady-state heating in sequence. The calculation  
 690 gives a total simulated solar radiation input power of 1594.44 W

691

## 692 Appendix B

693 Appendix B introduces the calculation process of the energy analysis model and the  
 694 monthly evolution solar irradiation model of solar concentrating radiation gasification.

### 695 B.1 Energy analysis model

696 Assume that the average input of the sun to the dish concentrator is  $1000\text{W}/\text{m}^2$  [7]:

$$697 \quad G = 1000 \text{ W}/\text{m}^2 \quad (\text{B1})$$

698 The focal length ( $f$ ) and condensing ratio ( $C$ ) are calculated as follows [53]:

$$699 \quad f = \frac{d_d}{4\tan(\psi_{rim}/2)} \quad (\text{B2})$$

$$700 \quad C = \left(\frac{d_d}{d_{ap}}\right)^2 \quad (\text{B3})$$

701 where  $d_d$  is the diameter of the dish,  $d_{ap}$  is the diameter of the aperture,  $\psi_{rim}$  is the  
 702 rim angle.

703 Dish solar concentrators use a dual-axis tracking mechanism that continuously  
 704 tracks the sun to collect maximum sunlight, so the angle of incidence is always equal  
 705 to zero.

706 Table B1 The technical specifications of solar collector

System	$f$	$C$	Operating type
System 1	0.47	243.36	Dual-Axis mode
System 2	0.42	196.00	Dual-Axis mode

System 3	0.49	268.96	Dual-Axis mode
----------	------	--------	----------------

707 In our model, the collection and gasifier are integrated, so that the collection  
708 temperature is the same as the gasification temperature (1073 K), which is determined.  
709 Meanwhile, the concentration ratios used were 243.36, 196.00, and 268.96, respectively.  
710 With the same collection temperature and similar concentration, the energy efficiency  
711 can be compared to show the catalyst performance. The collection efficiency of systems  
712 is 62.2 %, 55.49%, and 64.85 %, respectively. The effect of concentration ratio is  
713 included in the system efficiency as a dependent variable, not an independent variable.

714 Convective heat transfer coefficient through the receiver cavity, Nussle number  
715 natural convective heat transfer coefficient, forced convective heat transfer coefficient,  
716 and total convective heat transfer coefficient are expressed as [54]:

$$717 \quad Nu_{natural} = 0.088 \cdot Gr^{1/3} \cdot \left(\frac{T_{cav}}{T_{amb}}\right)^{0.18} \cdot (\cos\theta)^{2.47} \cdot \left(\frac{d_{ap}}{d_{cav}}\right)^{-0.982} \cdot \left(\frac{d_{ap}}{d_{cav}}\right)^{+1.12} \quad (B4)$$

$$718 \quad h_{forced} = 0.1967 \cdot v^{1.849} \quad (B5)$$

$$719 \quad h_{total} = h_{natural} + h_{forced} \quad (B6)$$

720 where  $Gr$  is Grashov number,  $T_{cav}$  is the temperature of the receiver cavity,  $T_{amb}$  is  
721 the temperature of ambient,  $\theta$  is the incident angle,  $v$  is the wind speed (m/s).

722 Reflected and emitted radiative heat transfer from the receiver cavity:

$$723 \quad \dot{Q}_{reflected} = (1 - \alpha_{eff}) \cdot \eta_{conc} \cdot G \cdot A_d \quad (B7)$$

$$724 \quad \dot{Q}_{emitted} = \varepsilon \cdot A_{ap} \cdot \sigma(T_{cav}^4 - T_{amb}^4) \quad (B8)$$

725 Where

$$726 \quad \alpha_{eff} = \alpha_{eff} / [\alpha_{cav} + (1 - \alpha_{cav}) / (A_{ap} / A_{cav})] \quad (B9)$$

727  $\alpha$  is the absorptivity,  $A$  is the area, and  $\eta_{conc}$  is the efficiency of the concentrator.

728 The conduction, convection, radiation, and total heat losses of the receiver are  
729 expressed as:

730 
$$\dot{Q}_{conduction} = \frac{T_{cav} - T_{amb}}{\ln \left[ \frac{\frac{d_{cav} + \delta_{insul}}{2}}{\frac{d_{cav}}{2}} \right] / (2\pi k_{insul} L_{cav})} \quad (B10)$$

731 
$$\dot{Q}_{convection} = h_{total} \cdot A_{cav} \cdot (T_{cav} - T_{amb}) \quad (B11)$$

732 
$$\dot{Q}_{radiation} = \dot{Q}_{reflected} + \dot{Q}_{emitted} \quad (B12)$$

733 
$$\dot{Q}_{L,dish} = \dot{Q}_{conduction} + \dot{Q}_{convection} + \dot{Q}_{radiation} \quad (B13)$$

734 where  $\delta_{insul}$  is the thickness of insulation.

735 The efficiency of the receiver is calculated as

736 
$$\eta_{rec} = 1 - \frac{\dot{Q}_{total}}{\eta_{conc} \cdot G \cdot A_d} \quad (B14)$$

737 The energy input to the coal gasification reactor is

738 
$$\dot{Q}_{input} = \eta_{rec} \cdot \eta_{conc} \cdot G \cdot A_d \quad (B15)$$

739 The heat loss through the wall is about 1.7% of the heat value of coal input [29]:

740 
$$\dot{Q}_{wall} = 17 W \quad (B16)$$

741 Sensible heat loss in output gas [46]:

742 
$$\dot{Q}_{sensible} = (\dot{m}_{CO} \cdot c_{CO} + \dot{m}_{CO_2,out} \cdot c_{CO_2} + \dot{m}_{CH_4} \cdot c_{CH_4} + \dot{m}_{H_2} \cdot c_{H_2} + \dot{m}_{N_2} \cdot c_{N_2}) \cdot T_{output} \quad (B17)$$

744 
$$\dot{Q}_{pg} = \dot{m}_p LHV_p \quad (B18)$$

745 where  $T_{output}$  is the temperature of the reactor export,  $\dot{m}$  is the mass flow, and  $c$  is

746 the specific heat capacity.

747 The specific heat capacity is expressed as:

748 
$$C_{p_i} = a + bT + cT^2 + dT^3 \quad (B19)$$

749 where a, b, c, and d are the specific gas product specific heat capacity coefficient[55].

## 750 B.2 The monthly evolution solar irradiation model

751 The solar irradiation outside the earth ( $G_{on}$ ) is expressed according to eq. (B20)

752 [56]:

753 
$$G_{on} = G_{SC}(1.000110 + 0.034221\cos B + 0.001280\sin B + 0.000719\cos 2B +$$

754  $0.000077\sin 2B)$  (B20)

755 where  $G_{SC}$  is the solar constant ( $1367 \text{ W/m}^2$ ),  $B = (n - 1) \times 360/365$ ,  $n$  is the  $n$ -  
756 th of one year.

757 The declination angle ( $\delta$ ) is expressed according to eq. (B21) :

758 
$$\delta = \left(\frac{180}{\pi}\right)(0.006918 - 0.399912\cos B + 0.070257\sin B - 0.006758\cos 2B +$$
  
759  $0.000907\sin 2B - 0.002697\cos 3B + 0.00148\sin 3B)$  (B21)

760 The zenith angle ( $\theta_z$ ) is expressed according to eq. (B22):

761 
$$\cos\theta_z = \cos\varphi\cos\delta\cos\omega + \sin\varphi\sin\delta$$
 (B22)

762 where  $\varphi$  is the latitude ( $^\circ$ ), and  $\omega$  is the time angle ( $^\circ$ ).

763 The atmospheric transmittance ( $\tau_b$ ) is expressed according to eq. (B23):

764 
$$\tau_b = a_0 + a_1\exp\left(-\frac{k}{\cos\theta_z}\right)$$
 (B23)

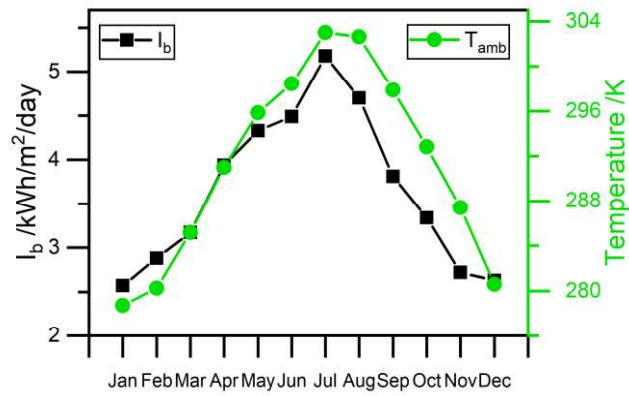
765 where  $a_0, a_1$  are the constant of the atmospheric transmittance formula, and  $k$  is  
766 thermal conductivity ( $\text{W}/(\text{m} \cdot \text{K})$ )

767 Solar irradiation ( $I_b$ ) on inclined surfaces can be expressed according to eq. (B24):

768 
$$I_b = G_{on}\tau_b\cos\theta$$
 (B24)

769 where  $\theta$  is the incidence angle ( $^\circ$ ).

770 Dish solar concentrators use a dual-axis tracking mechanism that continuously  
771 tracks the sun to collect maximum sunlight, so the angle of incidence is always equal  
772 to zero ( $\cos\theta = 1$ ).



773

774

Figure B1 The monthly evolution of  $I_b$  and  $T_{amb}$ .

775

With this calculation process, Figure B1 shows the monthly evolution of solar

776

irradiation. Meanwhile, we obtain the monthly evolution of ambient temperature in

777

Hangzhou on the website [48].

778

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# Coal gasification process driven by concentrated solar radiation for carbon neutralization: reaction and energy characteristics

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## Abstract

Solar-driven gasification products for chemical feedstock are one of the effective means to utilize coal in a low-carbon and resourceful way. However, few studies on the reaction and energy characteristics are based on experimental data of concentrated solar coal gasification. This study designed a novel experimental solar radiation gasification thermogravimetric device. An energy model of a solar radiation dish thermochemical conversion system was also developed. Compared to indirect radiation, direct radiation has a 10 % higher carbon conversion rate, an increased energy upgrade factor (up to 0.86), and a 38.5 % increase in energy conversion efficiency. Furthermore, we investigate direct radiation-catalyzed gasification to assess the effects of different types and ratios of catalysts. The results showed that the catalytic effect of  $K_2CO_3$  was better than that of  $Na_2CO_3$ , which would improve the energy conversion efficiency by 4.8 %. For  $K_2CO_3$ , the efficiency was increased by 14.1 % through increasing the doping ratio from 5 % to 10 %. Meanwhile, this study analyzed the reaction kinetics of direct radiation-catalyzed gasification. Finally, we constructed a solar concentrating radiation dish thermochemical conversion system model based on the experimental data. We

28 found that the system energy efficiency in the direct radiation form was 15.3 % higher  
29 than that in the indirect radiation form; besides, adding the catalyst in the direct  
30 radiation form increased the energy efficiency by 23.8 %. We also found that the gasifier  
31 exergy efficiency in direct radiation catalyst gasification was 29.7 %, and that of  
32 indirect radiation gasification was 7.23 %. The monthly solar exergy distribution  
33 follows the solar radiation closely. The results guide the chemical process of solar  
34 thermal conversion.

35 *Keywords:* solar energy; radiation gasification; thermochemical conversion; catalytic; kinetics

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## 37 1 Introduction

38 Coal has massive reserves, and its use as a fuel for a long time has resulted in  
39 significant carbon emissions. In recent years, many countries have announced carbon-  
40 neutral energy policies. It is necessary to capture carbon in the energy production  
41 process [1] and develop renewable energy [2]. Therefore, the proportion of coal used  
42 as a fuel is gradually decreasing. But low-carbon emission utilization of coal is an  
43 essential research theme in the face of its huge reserves. Coal gasification is a vital  
44 means of clean and efficient utilization [3]. It can generate syngas and is a main  
45 feedstock for the chemical industry. The traditional process uses the heat from  
46 combustion to power the gasification, which does not meet carbon-neutral requirements.  
47 Therefore, renewable energy sources such as solar energy are required to drive the coal  
48 gasification process.

49 Solar energy is the primary sustainable energy source. The solar-driven coal  
50 gasification is based on a solar thermochemical energy conversion process. Researchers  
51 initiated thermochemical studies of water for hydrogen production due to the petroleum  
52 crisis [4], which also marks the beginning of the investigation into solar  
53 thermochemical conversion. During the solar thermochemical hydrogen production  
54 process, solar energy is concentrated to generate high temperatures so that water is split  
55 into hydrogen and oxygen [5]. However, this process requires a temperature as high as  
56 2300 °C [6] and exceptional devices to separate hydrogen and oxygen from the mixture  
57 [7]. With the development of solar-concentrating technology and membrane technology,  
58 Abraham Kogan [8] proposed a porous ceramic membrane reactor, which added a

59 catalyst to water so that the water-splitting process could be carried out in multiple steps.  
60 Hydrogen and oxygen are generated in different reactions, avoiding the separation. At  
61 the same time, this process will lower the reaction temperature to below 1500°C. This  
62 process is the thermochemical cycle hydrogen production method. However, this  
63 method still has problems such as high reaction equilibrium temperature, which leads  
64 to increased heat conduction and heat radiation losses; and poor oxygen carrier kinetics,  
65 which leads to a long reaction cycle time. Consequently, researchers introduced carbon  
66 cycling, such as methanol steam reforming, to significantly reduce the reaction  
67 temperature. Yang [9] et al. reviewed the current methanol policy. The current methanol  
68 production route relies heavily on coal, ultimately increasing net greenhouse gas  
69 emissions and exacerbating coal market volatility, inconsistent with the carbon  
70 neutrality goals. With the widespread and large reserves of fossil raw materials, coal  
71 pyrolysis and gasification driven by solar energy have also attracted the attention of  
72 researchers. This chemical process is more mature than methane steam reforming and  
73 can realize the storage of solar energy and reduce CO<sub>2</sub> emission. Meanwhile, coal as a  
74 gasification feedstock has a syngas capacity of about 330 GWth, representing 76.7 %  
75 of all gasification feedstocks in the industry [10]. Thus, it has an irreplaceable role in  
76 producing fuels and chemical raw materials acting as a bridge for the transition from  
77 conventional to clean energy sources.

78 Solar concentrating technologies can generate a high temperature, which is  
79 especially suitable for coal gasification [11]. Researchers have investigated the solar  
80 coal gasification process on raw materials, reactors, and energy utilization forms. Gregg

81 et al. [12] studied solar coal gasification, using bituminous coal, activated carbon, coke,  
82 coal and biomass as raw materials, and estimated that about 60% of the solar energy  
83 entering the reactor was stored. Kodama et al. [13] conducted a high flux visible light  
84 coal gasification experiment in a small quartz reactor. They found that the fraction of  
85 incident light energy stored by CO is about 8%. Graggen [14] et al. designed an  
86 entrained-flow gasification reactor for gasification with a continuous stream vortex,  
87 resulting in an energy conversion efficiency of 9%. Weldekidan [15] et al. investigated  
88 different forms of concentrating technology in solar thermal conversion and believed  
89 that the parabolic disk form has the highest solar energy capture rate, with an optical  
90 efficiency of 94%. Wu [16] et al. proposed a dish system to collect solar energy to  
91 generate high-temperature steam, which acts as an agent to drive gasification. The  
92 primary energy efficiency reaches 51.34%. Generally, existing solar-driven coal  
93 gasification radiation forms mainly include direct radiation [17,18] and indirect  
94 radiation [19–21]. Direct radiation means that solar radiation directly enters the reactor  
95 through the quartz window and drives the gasification chemical process. Indirect  
96 radiation means that the solar is concentrated to heat the absorber and coal gasification  
97 is driven by thermal energy. Then the heat is transferred to the coal gasification reaction.  
98 Haftom [15] et al. analyzed these two energy utilization forms of carbon-based fuels.  
99 The study showed that the indirect radiation form can overcome the challenge of  
100 keeping the window clean. However, the heat transfer efficiency is lower than that of  
101 the direct radiation form. The concept of direct radiation bypasses the limitations  
102 imposed by conduction heat transfer through the ceramic walls, thus ensuring high

103 energy conversion efficiency. The main indicators of solar coal gasification  
104 performance: energy upgrade factor [20], that is, the ratio of the heat value of syngas to  
105 the heat value of raw materials, and energy conversion efficiency [22], that is, the ratio  
106 of the heat value of syngas and the sum of the heat value of solar energy and raw  
107 materials. Gokon [23] et al. investigated the coke gasification kinetic based on either  
108 the homogeneous or the shrinking core kinetic model and concluded that the form of  
109 circulation has a great influence on the conversion rate of gasification with the fluidized  
110 bed. Kodama [24] et al. studied the effects of metal oxides as filler materials on the  
111 kinetics of fluidized beds driven by solar energy. However, there is a lack of online  
112 thermogravimetric experimental data and a lack of kinetic and energy analysis of solar  
113 catalytic gasification. Mehrpooya [25] et al. studied the economics of solar-driven tube  
114 heat transfer and concluded that the use of nanofluids can significantly reduce the cost.  
115 Mousavi conducted the exergy analysis of the solar system. They obtained the trends in  
116 economics of exergy to months [26] and evaluated the life cycle of solar concentrating  
117 systems in remote areas [27]. Although exergy analysis of solar-driven power utilization  
118 systems has been studied, existing solar coal gasification research lacks kinetic and  
119 chemical process energy analysis based on experimental data. Thus, it is difficult to  
120 provide some guiding suggestions for actual industrial production. Meanwhile, there  
121 are few exergy analysis investigations on solar catalytic gasification systems with  
122 different solar irradiation.

123 In the industrial production of coal gasification, a high-temperature and high-  
124 pressure environment is required to achieve high conversion efficiency [28]. However,

125 it is difficult to maintain the high temperature and high-pressure gasification state. At  
126 the same time, it brings a significant economic burden to the investment and operation  
127 of equipment. As a third-generation coal gasification technology, adding a catalyst can  
128 reduce the reaction temperature by 200-300 K and achieve mild gasification under  
129 normal pressure. Besides, it can also significantly improve the gasification reaction rate  
130 and reduce energy consumption as well as equipment and materials requirements. It can  
131 directionally adjust the product gas composition, such as the amount of H<sub>2</sub> released  
132 [29,30]. It is known by researchers that K<sub>2</sub>CO<sub>3</sub> and Na<sub>2</sub>CO<sub>3</sub> have a strong catalytic  
133 effect on coal gasification. Kopyscinski et al. [31–33] found that K<sub>2</sub>CO<sub>3</sub> could reduce  
134 the gasification temperature by 240 - 320 °C. The actual production of solar  
135 thermochemical conversion is greatly affected by uncontrollable factors. It is  
136 challenging to maintain a high-temperature state, and it is challenging to create high-  
137 pressure conditions. Therefore, the use of catalysts has a high practical value in the  
138 context of solar thermochemical conversion. Catalytic gasification is an important  
139 development field of solar coal chemical engineering. However, to the best of the  
140 authors' knowledge, there are currently few experimental studies on catalytic coal  
141 gasification with online thermodynamics analysis in solar thermochemical conversion.

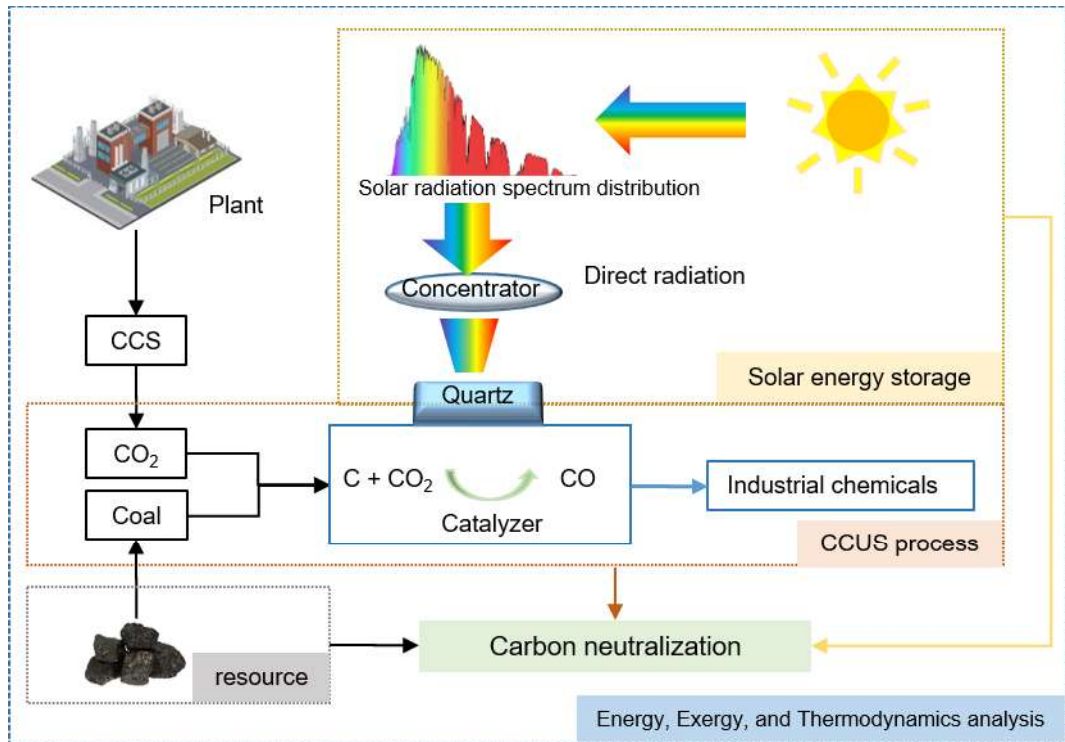


Figure 1 Carbon neutral solar coal gasification technology route

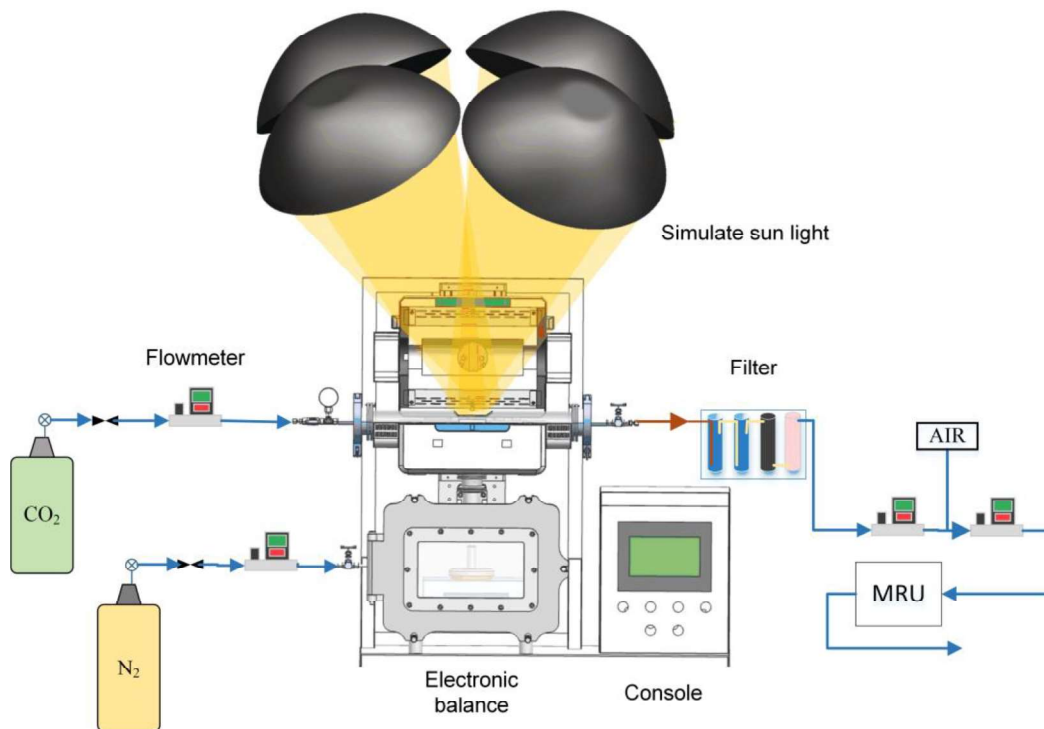
142  
 143  
 144 In this paper, a carbon-neutral solar coal gasification technology is proposed.  
 145 Meanwhile, we independently design and develop a full-spectrum concentrated  
 146 radiation-driven kinetic analysis test bench for investigating coal gasification reaction  
 147 characteristics. This technology is based on solar energy to drive the coal gasification  
 148 process, convert solar energy into fuel chemical energy to achieve solar energy storage,  
 149 and realize coal resource utilization without combustion. The whole process is a low-  
 150 carbon process. In addition, solar coal gasification processes using CO<sub>2</sub> captured by  
 151 carbon capture and storage (CCS) technology as a gasification agent can be regarded  
 152 as a Carbon Capture, Utilization, and Storage (CCUS) technology. Figure 1 shows that  
 153 the coal gasification technology route based on concentrated solar-driven is  
 154 comprehensively in line with the global demand for carbon neutrality. This route has a  
 155 broad prospect and essential strategic value. Thermodynamic analysis of solar catalytic



156 gasification is an important part of the chemical process. The novelties of this research  
157 are as follows: (1) This work designed a novel experimental solar radiation gasification  
158 thermogravimetric device. (2) This work studied the gasification product distribution  
159 and the online kinetic analysis of different types and ratios of catalysts on experimental  
160 radiation gasification. (3) This work developed the energy and exergy analysis models  
161 based on experimental data for the solar gasification chemical process, which provides  
162 a reference for the engineering application of solar thermochemical conversion to  
163 chemical feedstock.

## 164 2 Experiment system and method

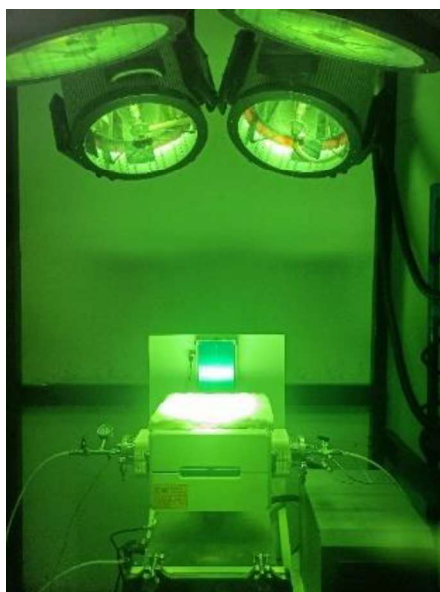
### 165 2.1 System setup



166

167

Figure 2 Schematics of the overall experimental setup



168

169 Figure 3 Radiation gasification system (photographed through a black glass sheet)

170 As shown in Figure 2, we designed and built our experimental setup based on the  
171 purpose of coal gasification under the full spectrum of concentrated solar. This setup  
172 consists of simulated sunlight, a coal gasification reactor, a thermogravimetric module,  
173 and an online flue gas analysis module. First of all, the simulated sunlight comprises  
174 four xenon lamps with a single electrical power rating of 0-7 kw, providing the  
175 approximate radiation flux of a concentrated solar energy system. The simulated light  
176 is adjusted to a spot size of 20 mm to cover the coal powder in the crucible completely.  
177 Figure 3 shows the state when running the experiment, where we shoot through the  
178 black glass sheet.

179 Secondly, the coal gasification reactor consists of a T-tube as the main body, which  
180 is connected to the thermogravimetric monitoring module underneath and the flue gas  
181 analysis module on the right. The coal is contained in a crucible held up by a quartz rod  
182 with a thermogravimetric monitoring module connected to the other end of the rod. CO<sub>2</sub>  
183 (purity above 99.95%) enters the gasification reactor through the left side of the T-tube.

184 N<sub>2</sub> (purity above 99.99%) enters the gasification reactor through the lower part of the  
185 T-tube. It passes through and cools the thermogravimetric monitoring module during  
186 the flow. In the reactor, we arranged four Omega type-k thermocouples to monitor the  
187 temperature changes of the reaction process online. These locations include the quartz  
188 tube irradiation surface, the right part of the T-tube, the crucible, and the insulation,  
189 with details in Figure A1 of Appendix A. The gasification products flow to the flue gas  
190 analysis module through the right side of the T-tube. Before entering the flue gas  
191 analysis instrument (provided by German MRU), the flue gas is cooled and scrubbed  
192 twice with pure water, then adsorbed and dried with graphite and SiO<sub>2</sub>. Since the gas  
193 flow rate is low, a certain amount of air is added in before entering the flue gas analyzer.  
194 Finally, the excess gas is released into the vent. Among other things, details of the  
195 reactor are in Appendix A.

196 Besides the information above, Hangzhou Jingong Special Company provides the  
197 gas cylinder and pressure reducer. Alicat provides the flow meter. The Vario Plus gas  
198 analyzer from MRU, Germany, analyzed the product gas components online, which can  
199 determine the main components in the product gas, among which H<sub>2</sub> is determined by  
200 thermal conductivity doppler (TCD), and the rest components are determined by the  
201 non-dispersive infrared principle (NDIR).

## 202 2.2 Coal

203 The coal type selected for this study is provided by a subsidiary company of  
204 Ningxia Coal Group in China. After receiving the coal, we ground, screened, and dried  
205 it. The drying was done at 378 K for 12 hours. The coal used in the experiment was all

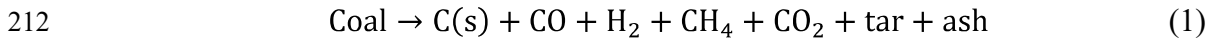
206 from the same batch.

207 Table 1 Main properties of the used coal

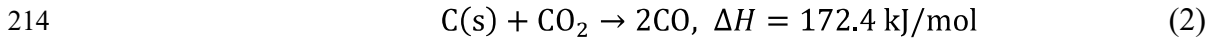
Proximate analysis (wt, ad, %)				Ultimate analysis (wt, ad, %)					Q <sub>b,ad</sub> (MJ/kg)
M	V	FC	A	C	H	O	N	S	
4.15	26.63	59.58	9.64	68.58	3.86	12.40	0.80	0.57	26.66

208 Since there is no oxygen involved in the coal gasification process, the coal will  
209 have two stages of endothermic reactions with temperature: pyrolysis and the  
210 Boudouard reaction[34],

211 Pyrolysis:



213 The Boudouard reaction:



### 215 2.3 Performance indicators

216 We quantify the radiation gasification performance based on three metrics to analyze  
217 the experimental data. The first is the average carbon conversion rate, which is  
218 expressed as:

$$219 \bar{X} = \frac{\int \dot{n}_{\text{CO}} dt + \int \dot{n}_{\text{CO}_2} dt + \int \dot{n}_{\text{CH}_4} dt - \int \dot{n}_{\text{CO}_2, \text{in}} dt}{n_{\text{coal}}} \quad (3)$$

220 where  $\dot{n}_{\text{CH}_4}$ ,  $\dot{n}_{\text{CO}_2}$ , and  $\dot{n}_{\text{CO}}$  are molar flow rates of CH<sub>4</sub>, CO<sub>2</sub>, and CO in the output  
221 of T-tube, respectively,  $\dot{n}_{\text{CO}_2, \text{in}}$  is the CO<sub>2</sub> molar flow rates in the input of T-tube. And  
222  $n_{\text{coal}}$  is the molar amount of coal fed into the reactor.

223 The second one is the ratio of the lower heating value (LHV) of product gas to the  
224 LHV of coal, which is the energy upgrade factor, is expressed as:

225 
$$U = \frac{\int \dot{n}_p LHV_p dt}{m_{coal} LHV_{coal}} \quad (4)$$

226 where  $\dot{n}_p$  is molar flow rates of the product gas,  $m_{coal}$  is the mass of coal,  $LHV_p$  is  
227 the lower heating value of the product gas and the  $LHV_{coal}$  is the lower heating value  
228 of coal.

229 Last but not least, the third one is the energy conversion efficiency which is defined  
230 as the ratio of the sensible heat of insulation and the LHV of product gas to the sum of  
231 simulated solar lights and the LHV of coal, is expressed as:

232 
$$\eta_{energy} = \frac{\int \dot{n}_p LHV_p dt + \int \dot{Q}_{insulation} dt}{\int \dot{Q}_{solar} dt + m_{coal} LHV_{coal}} \quad (5)$$

233 where  $\dot{Q}_{insulation}$  is the sensible heat entering the insulation from the reactor in this  
234 setup, and  $\dot{Q}_{solar}$  is the simulated solar power input to the reactor.

#### 235 2.4 Dynamical analysis methods

236 Thermoanalytical kinetics is a method to study the rate and mechanism of chemical  
237 reactions, and the corresponding kinetic parameters can be obtained from kinetic  
238 reaction calculations. The gasification reaction in the reactor is a non-homogeneous  
239 reaction of solids, and according to the kinetic principle of thermal analysis, the reaction  
240 process can be expressed by the following equation

241 
$$\frac{d\alpha}{dt} = f(\alpha)k(T) \quad (6)$$

242 where  $\alpha$  is the conversion rate,  $t$  is the reaction time,  $k(T)$  is the temperature  
243 dependence of the reaction rate constant,  $f(\alpha)$  is the conversion function of the  
244 reaction.

245 According to the Arrhenius equation [35], the relationship between the reaction rate  
246 and temperature can be expressed as:

247 
$$k(T) = A \exp\left(-\frac{E}{RT}\right) \quad (7)$$

248 where  $A$  is the pre-exponential factor,  $E$  is the activation energy,  $T$  is the reaction  
 249 temperature, and  $R$  is the gas constant ( $8.314 \text{ J}\cdot\text{K}^{-1}\cdot\text{mol}^{-1}$ ). By combining eq. (6) and  
 250 eq. (7), with adding the heating rate (coal gasification reaction is a non-isothermal  
 251 process),  $\beta = \frac{dT}{dt}$ , to the combined equation, we can obtain the following equation:

252 
$$\frac{d\alpha}{dt} = \frac{1}{\beta} A \exp\left(-\frac{E}{RT}\right) f(\alpha) \quad (8)$$

253 The single scanning rate method [36] calculates the kinetic parameters according to  
 254 a non-isothermal thermogravimetric curve, which needs to assume the reaction  
 255 conversion function. It is also called the hypothetical reaction model method. The  
 256 widely used single scanning rate method is the Coats-Redfern method [37], which takes  
 257 that the reaction conversion function is the reaction order model:

258 
$$f(\alpha) = (1 - \alpha)^n \quad (9)$$

259 where  $n$  is the order of the reaction.

260 Li [38] found that the gasification reaction of coal belongs to order one reaction,  $n =$   
 261 1, so it can be obtained:

262 
$$\ln \left[ \frac{-\ln(1-\alpha)}{T^2} \right] = \ln \left[ \frac{AR}{\beta E} \left( 1 - \frac{2RT}{E} \right) \right] - \frac{E}{RT} \quad (10)$$

263 In addition, for the activation energy at the general temperature of the gasification  
 264 reaction,  $\frac{E}{RT} \gg 1$ , so,  $1 - \frac{2RT}{E} \approx 1$ , substituting it into eq. (10),

265 
$$\ln \left[ \frac{-\ln(1-\alpha)}{T^2} \right] = \ln \frac{AR}{\beta E} - \frac{E}{RT} \quad (11)$$

266 Thus, we can take  $\frac{1}{T}$  as the abscissa, and  $\ln \left[ \frac{-\ln(1-\alpha)}{T^2} \right]$  as the ordinate to plot a  
 267 fitting line. Then we can calculate the activation energy under a specific heating rate.

268 We optimize the process of the Coats-Redfern method by combining it with the

269 conversion of the reaction. The kinetic parameters are obtained by fitting within  $\pm 50$   
270 K near a specific coal conversion.

## 271 2.5 Exergy analysis

272 To perform a technical evaluation of the hybrid system, we analyzed the exergy of  
273 the system. Exergy analysis combines chemical properties with system states (eg,  
274 temperature, pressure) to obtain overall exergy efficiency[39].

275 The solar exergy rate can be computed according to eq. (12) [26]:

$$276 \quad \dot{E}x_{Sun} = A_d I_b \left[ 1 + \frac{1}{3} \left( \frac{T_{amb}}{T_{sun}} \right)^4 - \frac{4}{3} \left( \frac{T_{amb}}{T_{sun}} \right) \right] \quad (12)$$

277 where  $A_d$  is the area of the concentrator,  $I_b$  is expressed as solar irradiance.  $T_{amb}$   
278 and  $T_{sun}$  imply to the ambient temperature (details are in Appendix B) and sun  
279 temperature (5600 K) respectively.

280 Meanwhile, the exergy loss including heat transfer, convection, and radiation losses  
281 from the solar dish module can be calculated according to eq. (13) [26]:

$$282 \quad \dot{E}x_{L,Dish} = \left( 1 - \frac{T_{amb}}{T_{gasifier}} \right) \dot{Q}_{L,dish} \quad (13)$$

283 where  $T_{gasifier}$  is the reaction temperature of the gasifier, and  $\dot{Q}_{L,dish}$  is expressed as  
284 heat loss of solar dish module (details are in Appendix B eq. (B4) to eq. (B13)).

285 The exergy efficiency of the solar dish module can be formed as follows [40]:

$$286 \quad \psi_{E,dish} = \frac{P_{dish} - \dot{E}x_{L,Dish}}{\dot{E}x_{Sun}} \quad (14)$$

287 where  $P_{dish}$  implies output power of solar dish module (W).

288 The state of the coal entering the gasifier is close to the dead state. Thus, the physical  
289 exergy of the coal is considered zero. The chemical exergy of the fuel can be computed  
290 according to eq. (15) [41]:

291 
$$\dot{E}x_{C,coal} = \dot{m}_{coal}e_{coal}^C \quad (15)$$

292 
$$e_{coal}^C = LHV[1.0064 + 0.1519\frac{H}{C} + 0.0616\frac{O}{C} + 0.0429\frac{N}{C}] \quad (16)$$

293 where  $\dot{m}_{coal}$  (kg/s) and  $e_{coal}^C$  (J/g) belong to the fuel mass flow and specific chemical  
 294 exergy. H, C, O, N is expressed as the mass fraction of elements (details are in Table 1).

295 The exergy efficiency of the gasifier module can be formed as follows[42]:

296 
$$\psi_{E,gasifier} = \frac{P_{product}}{\dot{E}x_{C,coal}} \quad (17)$$

297 where  $P_{product}$  implies the exergy of gasification products.

298 The exergy efficiency of the solar coal gasification system can be computed  
 299 according to eq. (18):

300 
$$\psi_{E,system} = \frac{P_{dish}+P_{product}}{\dot{E}x_{Sun}+\dot{E}x_{C,coal}} \quad (18)$$

301 This study evaluates the solar coal gasification system with experimental  
 302 thermodynamics, energy models, and exergy analyses to guide industrial production.  
 303 The thermodynamic, energy and exergy models used in this study were calculated with  
 304 homemade MATLAB programs.

## 305 2.6 Operational details

306 Gasification requires a high temperature. We have selected various operating  
 307 conditions, as shown in Table 2, to ensure that the gasification reaction is thorough  
 308 enough; and to reduce the temperature drop from the excessive convection heat transfer  
 309 caused by high-speed flow. Comparing Case 1 and Case 2 reveals the effect of catalyst  
 310 addition on indirect radiation gasification while comparing Case 1 and Case 3 is to  
 311 study the differences in radiation forms. Furthermore, comparing Case 4, Case 5, and  
 312 Case 6 is to study the effect of the ratio of catalysts under direct radiation. And



313 comparing Case 5 and Case 7 is to investigate the effect of catalyst types.  $R$  is the ratio  
 314 of catalyst to coal. The experimental mix form is physically mixing.

315 Table 2 Experimental parameters of the solar-driven coal gasification

Case	radiation form	Coal (g)	CO <sub>2</sub> (L/min)	N <sub>2</sub> (L/min)	Catalyst		$R$
					K <sub>2</sub> CO <sub>3</sub> (g)	Na <sub>2</sub> CO <sub>3</sub> (g)	
Case 1	indirect	1	0.05	0.075	0	0	0
Case 2	indirect	1	0.05	0.075	0.1	0	0.1
Case 3	direct	1	0.05	0.075	0	0	0
Case 4	direct	1	0.05	0.075	0.05	0	0.05
Case 5	direct	1	0.05	0.075	0.1	0	0.1
Case 6	direct	1	0.05	0.075	0.2	0	0.2
Case 7	direct	1	0.05	0.075	0	0.05	0.05

316

### 317 3 Results and discussion

#### 318 3.1 Comparison of direct and indirect radiation gasification

319 N<sub>2</sub> and CO<sub>2</sub> were used to purge the reactor. We started the experiment when the gas  
 320 components were kept within  $\pm 0.1\%$  fluctuations for 10 minutes. We turned on a  
 321 simulated light at 5 mins intervals during the experimental operation.

322

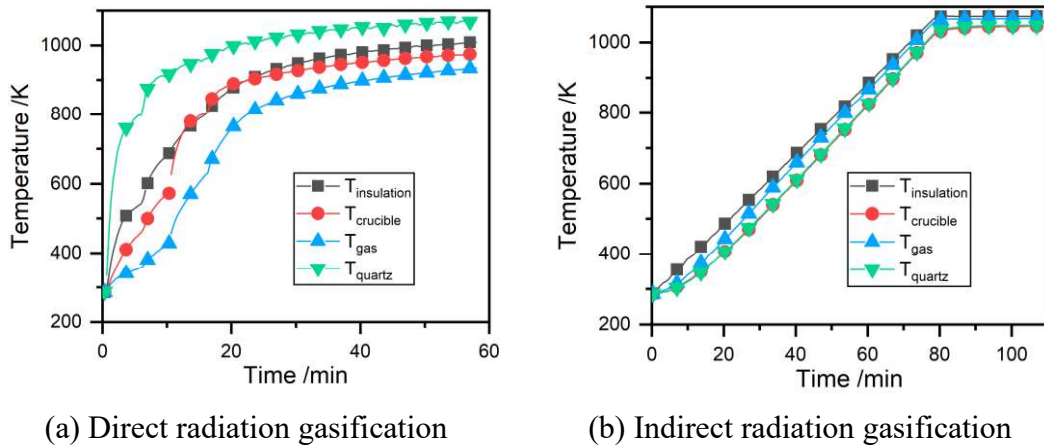


Figure 4 Time evolution of temperature

323 Figure 4 (a) shows the time evolution of the temperature measured by the four  
 324 Omega k-type thermocouples. The temperature of the quartz tube is always the highest,  
 325 and the gas temperature is always the lowest. The maximum temperature difference  
 326 between the two is nearly 400 K. With the advance of time, the temperature of the  
 327 crucible is first lower than that of the insulation, reaching the same in about 18 minutes,  
 328 and finally, the two tend to be close.

329 We use resistance wire heating to simulate indirect radiation gasification. Fig. 4 (b)  
 330 shows the time evolution of temperature under indirect radiation gasification. The  
 331 location of the four thermocouples is the same as that of the direct radiation gasification  
 332 system, but their change trend is different. It can be seen that the difference between the  
 333 four temperature curves is smaller than that of the direct radiation gasification system.  
 334 The maximum temperature difference of the four thermocouples at the same time point  
 335 is about 50 K. Besides, the temperature change trend measured by the four  
 336 thermocouples is the same, reaching a constant set temperature of 1073 K at the set  
 337 time.

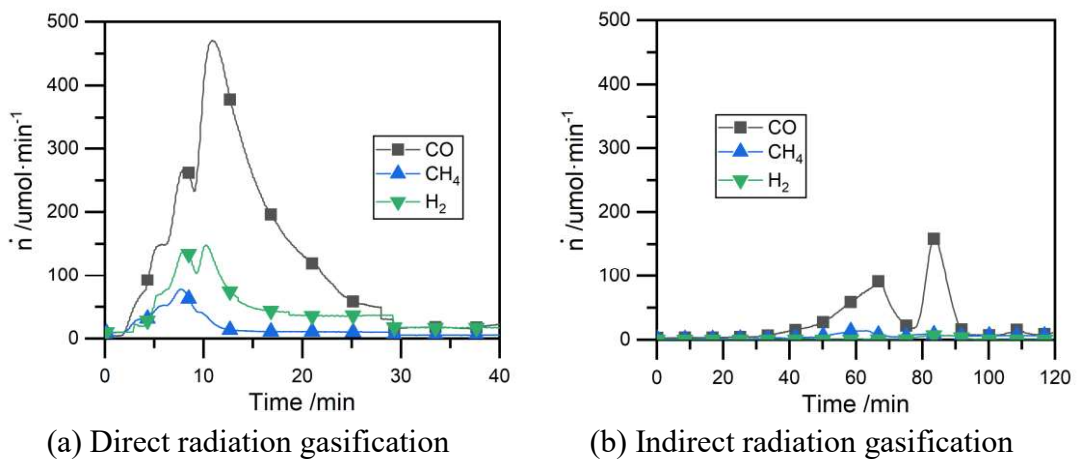
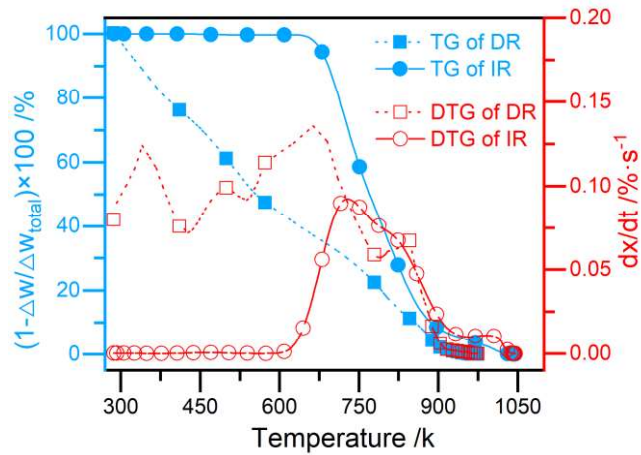


Figure 5 Time evolution of molar flow rates

338 Figure 5 shows the time evolution of products of gas. The gas production rate of the  
 339 radiation gasification system is the focus of system product analysis. The gasification  
 340 reaction process of direct radiation and indirect radiation is different. On the one hand,  
 341 there will be light and heat synergy under the spotlight of four xenon simulated lights  
 342 for direct radiation gasification. Comparing Fig. 5 (a) and Fig. 5 (b), the time point of  
 343 the carbon monoxide production of direct radiation gasification is earlier than that of  
 344 indirect radiation gasification. Moreover, at the same reaction temperature, the amount  
 345 of carbon monoxide obtained by direct radiation gasification is much higher than that  
 346 of indirect radiation gasification. There is only one peak under direct radiation  
 347 gasification from the peak distribution of product gas rate in the reaction process.  
 348 Komada [13] et al. reported the same distribution under direct radiation gasification.

349 On the other hand, the coal pyrolysis and the Boudouard reaction under indirect  
 350 radiation gasification have an apparent time order. Sanchez-Hervas [43] et al. reported  
 351 that when the temperature reaches about 500 °C, coal begins to experience pyrolysis,  
 352 and the carbon monoxide product belongs to the volatile. When the temperature reaches  
 353 650 °C, the coal char reacts with CO<sub>2</sub> and generates more carbon monoxide. This trend

354 is consistent with the experimental results in Fig. 6 (b).



355

356

Figure 6 Reactivity of coal in different radiation forms

357

Figure 6 shows the reactivity of coal under direct radiation (DR) and indirect (IR)

358

radiation based on the thermogravimetric (TG) and differential thermogravimetric

359

(DTG) analysis. It can be seen that the TG curve shifts toward the high-temperature

360

region during indirect radiation and the peak of the DTG curve decreased by 34%.

361

These results indicate that the coal reactivity under indirect radiation is lower than that

362

under direct radiation. This is mainly because the process of devolatilization under

363

indirect radiation gasification is slower, and more volatile components are attached to

364

the coal char surface[44]. The residual volatiles are further removed, and the difference

365

in coal reactivity under indirect and direct radiation becomes smaller with temperature.

366

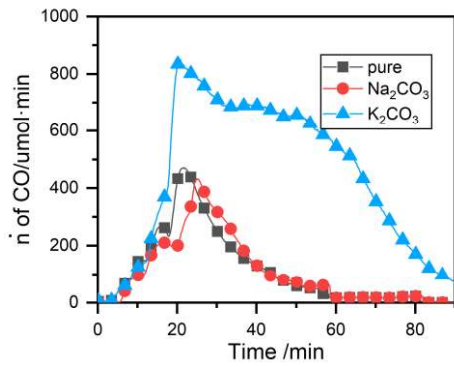
At the same time, the molecular structure of coal char shifts towards graphitic crystals

367

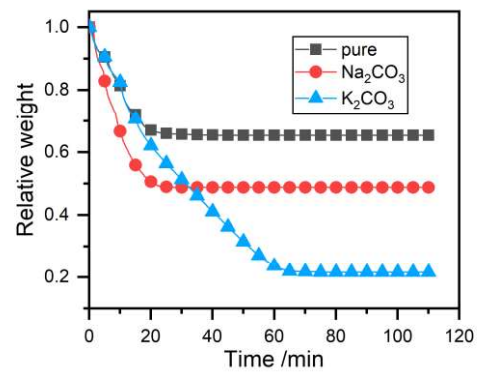
with temperature, which leads to a decrease in reactivity.

368

3.2 Effects of catalyst types under direct radiation gasification



(a) Time evolution of CO molar flow rates



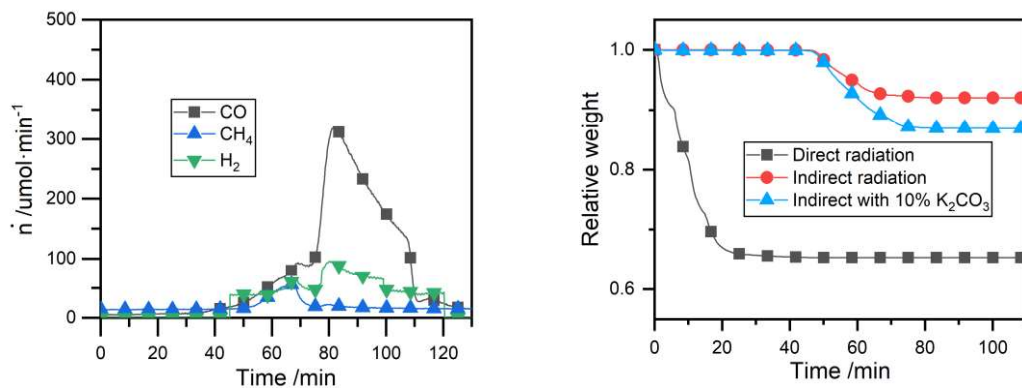
(b) Time evolution of weight loss

369 Figure 7 Direct radiation gasification characteristics of different catalysts

370 Fig. 7 (b) shows that radiation gasification cannot reach a high conversion under  
 371 this temperature (1073.15 K). The means to increase the conversion are high pressure  
 372 or catalysis. We usually choose to add appropriate catalysts under laboratory conditions.  
 373 We selected two common catalysts for coal gasification,  $\text{Na}_2\text{CO}_3$ , and  $\text{K}_2\text{CO}_3$ . Fig. 7 (a)  
 374 shows that the molar flow rate of CO after adding  $\text{Na}_2\text{CO}_3$  is consistent with the direct  
 375 radiation gasification without adding any catalyst and reaches the peak at almost the  
 376 same time point. At the same time, Fig. 7 (b) shows that the conversion rate after adding  
 377  $\text{Na}_2\text{CO}_3$  is higher than that without adding any catalyst, which is about 20%. These  
 378 results show that the catalytic effect of  $\text{Na}_2\text{CO}_3$  on the Boudouard reaction is poor in  
 379 the form of direct radiation gasification at this temperature.

380 However, the situation is different with  $\text{K}_2\text{CO}_3$  added. Fig. 7 (a) shows that the  
 381 peak value of CO molar flow rate with  $\text{K}_2\text{CO}_3$  is about twice that of the other two groups.  
 382 Meanwhile, the conversion rate after adding  $\text{K}_2\text{CO}_3$  is the highest. Thus, we used  
 383  $\text{K}_2\text{CO}_3$  as the catalyst for direct radiation gasification in subsequent experiments.

384 3.3 Effects of  $\text{K}_2\text{CO}_3$  on direct or indirect radiation gasification



(a) Time evolution of CO production rate (indirect radiation with  $K_2CO_3$ )

(b) Time evolution of weight loss

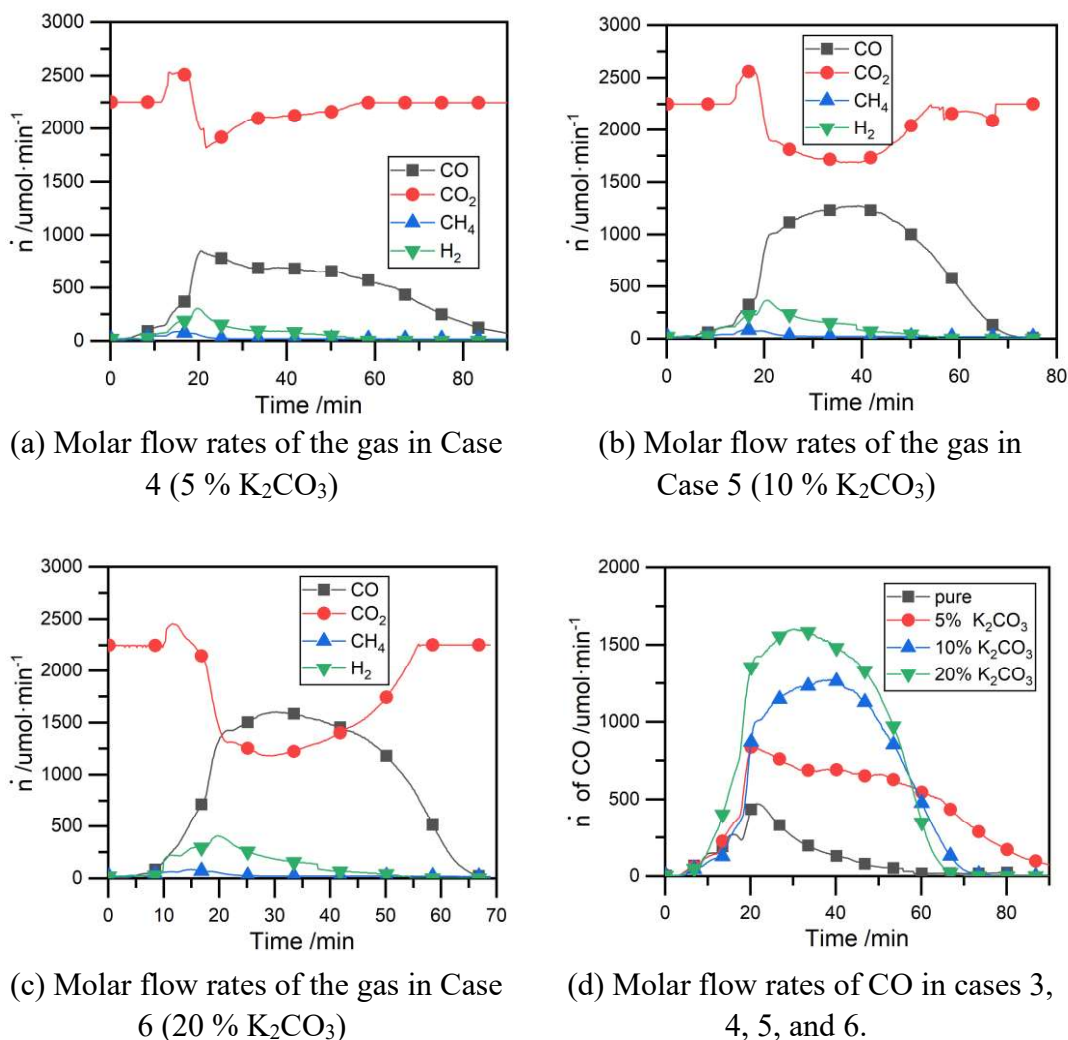
Figure 8 Gasification characteristics of different radiation forms

385 We also investigate the addition of  $K_2CO_3$  under indirect radiation gasification. The  
 386 effects of  $K_2CO_3$  and radiation form (direct or indirect radiation) on gasification are  
 387 compared in the subsequent analysis. Fig. 8 (a) shows the time evolution of gas product  
 388 rates under indirect radiation with  $K_2CO_3$ . Compared to Fig. 5 (b), there is only one  
 389 peak in CO molar flow rate. This is because the gasification reactivity is strengthened  
 390 under the catalysis of  $K^+$ , and the Boudouard reaction of coal char begins at a lower  
 391 temperature[32].

392 However, Fig. 8 (b) shows direct, indirect, and indirect catalytic radiation  
 393 gasification weight loss. We found that the catalyst can enhance the reactivity of coal  
 394 gasification and improve coal conversion to a certain extent, but this improvement is  
 395 limited on indirect radiation form. In Fig. 8 (b), although direct radiation can enhance  
 396 the reactivity of gasification, the weight loss rate can be further improved. Meanwhile,  
 397 in Fig. 7 (b), the weight loss rate sees a big increase with the addition of catalyzes on  
 398 direct radiation form. Therefore, we will add catalysts under direct radiation  
 399 gasification in the follow-up research. The product's production rate under direct

400 radiation with  $K_2CO_3$  would be present in the next section.

401 3.4 Effects of different catalyst ratios on gasification reaction under direct radiation



(a) Molar flow rates of the gas in Case 4 (5 %  $K_2CO_3$ )

(b) Molar flow rates of the gas in Case 5 (10 %  $K_2CO_3$ )

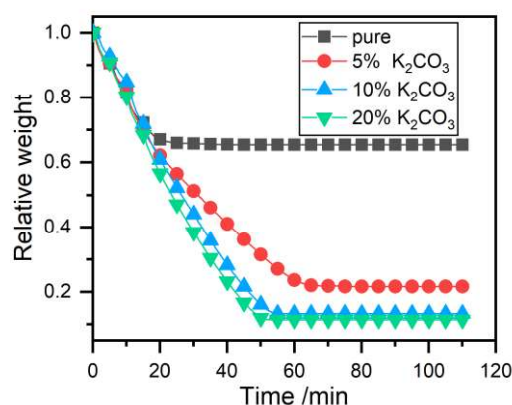
(c) Molar flow rates of the gas in Case 6 (20 %  $K_2CO_3$ )

(d) Molar flow rates of CO in cases 3, 4, 5, and 6.

Figure 9 Molar flow rates of the cold product gas in different proportions of catalyst

402 We investigated the influence of different  $K_2CO_3$  ratios (5%, 10%, and 20%) on  
403 gasification distribution. Figure 9 shows the mole flow rates of the gas products in  
404 different ratios and the CO molar flow rates comparison of four cases. As shown in Fig.  
405 9 (a), the molar flow rate of CO<sub>2</sub> increases with temperature, marking the beginning of  
406 pyrolysis. And the peak value of CO<sub>2</sub> reached about 2500  $\mu\text{mol}/\text{min}$  with the progress  
407 of primary pyrolysis. Then, the gasification reaction (the Boudouard reaction) begins

408 with the decrease of CO<sub>2</sub>, and CO starts to generate, with a peak value of about 800  
 409 umol/min. With the progress of the reaction, the coal char is subject to secondary  
 410 pyrolysis, and the end sign is that the generation of H<sub>2</sub> stops. Fig. 9 (b) shows that the  
 411 decrease of CO<sub>2</sub> increases with the ratio of K<sub>2</sub>CO<sub>3</sub>, indicating the rise in the gasification  
 412 reaction rate. The rate curves of CO<sub>2</sub> and CO intersect in Fig. 9 (c), which means the  
 413 Boudouard reaction is more intense. Fig. 9 (d) shows that the molar flow rate of CO  
 414 increases with the addition and ratios of K<sub>2</sub>CO<sub>3</sub>, and the peak generation rate increases  
 415 from about 500 umol/min without a catalyst to about 800 umol/min (5 % K<sub>2</sub>CO<sub>3</sub>), then  
 416 to about 1650 umol / min (20 % K<sub>2</sub>CO<sub>3</sub>). The catalytic effect is pronounced. However,  
 417 the increase in peak value decreases with the ratio of K<sub>2</sub>CO<sub>3</sub>, which is the same trend  
 418 as the gasification study of Jan et al. [31]. With the massive use of CO<sub>2</sub>, the solar  
 419 gasification system produces chemical feedstocks. This process realizes the low carbon  
 420 use of coal resources.

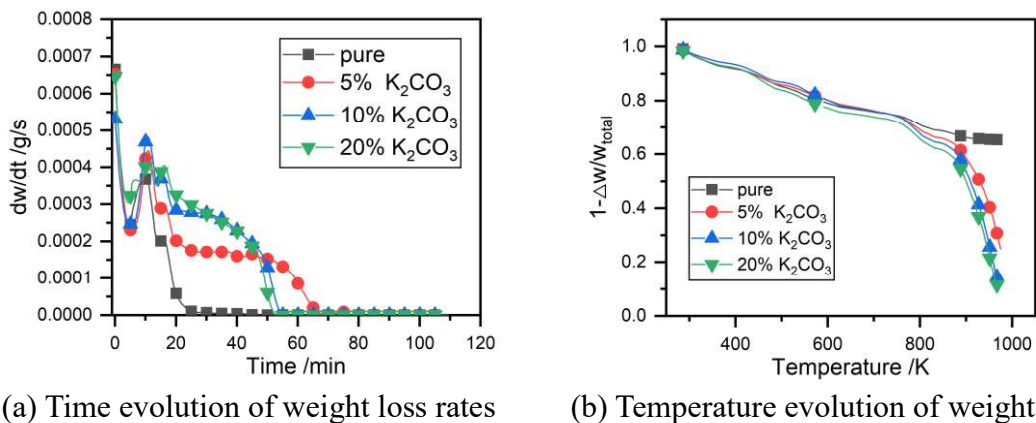


421  
 422 Figure 10 Time evolution of weight loss with different K<sub>2</sub>CO<sub>3</sub> ratios

423 Figure 10 shows the time evolution of different relative weight K<sub>2</sub>CO<sub>3</sub> ratios. In  
 424 combination with the results in Fig. 9 (d) and Fig. 10, the addition of K<sub>2</sub>CO<sub>3</sub> can make  
 425 the Boudouard reaction more thorough and the coal conversion higher. The addition of



426 catalyst also leads to the Boudouard reaction taking longer than non-K<sub>2</sub>CO<sub>3</sub>. However,  
 427 the catalysis Boudouard reaction time is shortened by about 20 mins with K<sub>2</sub>CO<sub>3</sub> ratios  
 428 increase. Comparing the thermogravimetric and molar flow rates of CO in Fig. 9 (d)  
 429 and Fig. 10, 20 % K<sub>2</sub>CO<sub>3</sub> can only improve a few compared to 10 % K<sub>2</sub>CO<sub>3</sub>. These  
 430 results mean that 10 % K<sub>2</sub>CO<sub>3</sub> can make gasification thorough.

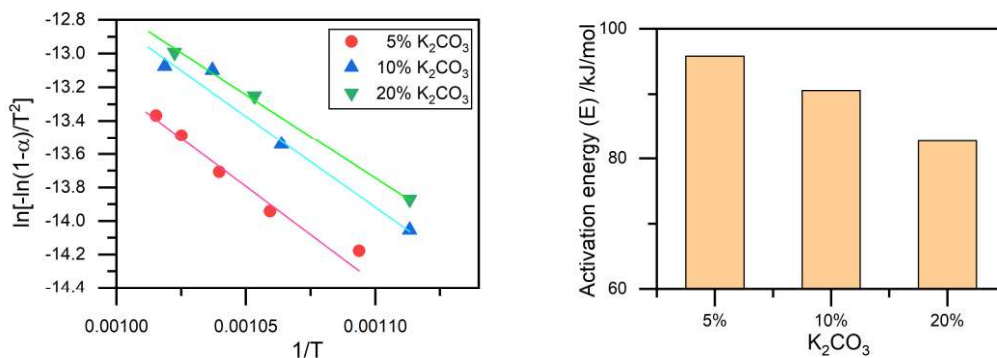


431 Figure 11 Kinetic with different K<sub>2</sub>CO<sub>3</sub> ratios

432 Fig. 11 (a) shows the time evolution of weight loss rates with different K<sub>2</sub>CO<sub>3</sub> ratios.  
 433 All four curves see decreases immediately at the beginning of the devolatilization  
 434 reaction. After removing volatiles, CO<sub>2</sub> enters the coal char particles for a gas-solid  
 435 two-phase reaction. The progress of the Boudouard reaction will lead to the  
 436 consumption of the solid components of the coal char and expose more pores in the  
 437 coal char. The gas-solid contact area increases with pore size, and the specific surface  
 438 area of the Boudouard reaction increases. Therefore, the weight loss rate increases at  
 439 this stage. When the Boudouard reaction proceeds to a certain extent, the consumption  
 440 of coal char substantially decreases, and the gas-solid contact area decreases gradually.  
 441 The specific surface area and reaction rate of the Boudouard reaction are reduced with  
 442 the crosslinking or collapse of the pore structure in the coal char. Besides that, K<sub>2</sub>CO<sub>3</sub>

443 has no catalytic effect on the devolatilization reaction. Sharma[45] et al. investigated the  
 444 relationship between coal gasification reactivity and catalyst addition. The  
 445 experimental results show that the gasification reactivity of coal char increases with  
 446 catalyst addition, but there is an optimal addition. When the optimum addition amount  
 447 is higher than that, the activity of the excess catalyst decreases because their mutual  
 448 accumulation will increase the average particle size of coal char but reduce the contact  
 449 area of the coal char surface.

450 Fig. 11 (b) shows the temperature evolution of weight loss with different  $K_2CO_3$   
 451 ratios. When the temperature reaches 750 K, the decreasing slopes of the four curves  
 452 increase—the gasification reaction stage at this temperature. The pure coal curve has a  
 453 minor descending slope and the smallest descending magnitude. However, direct  
 454 radiation catalytic gasification is remarkable. As the catalyst addition ratio increased  
 455 from 5% to 10%, the volume of the decrease also increased. However, the improvement  
 456 was limited when the catalyst ratio was increased from 10% to 20%. At the same time,  
 457 we can also see from Fig. 11 (b) that the separate temperature between the pure curve  
 458 and the others is about 900 K, which indicates that the  $K_2CO_3$  starts to catalyze at about  
 459 900 K.



(a) Arrhenius plot

(b) Comparison of different ratios

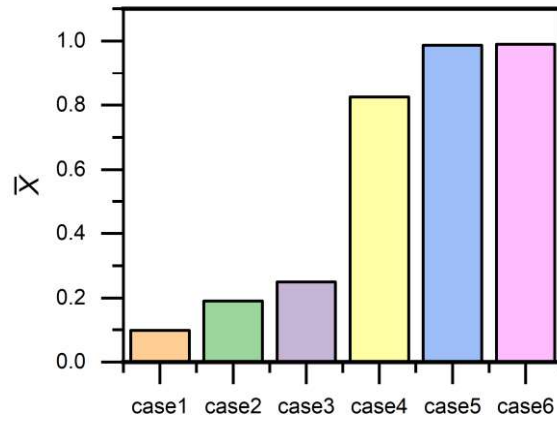
Figure 12 Kinetics analysis at cases 4, 5, and 6

460 Figure 12 shows kinetic analysis using the Coats-Redfern method for different  
461 catalyst ratios (5%, 10%, and 20%) of direct radiation catalytic gasification. Fig. 12 (a)  
462 shows the fitting Arrhenius plot for cases 4, 5, and 6. The activation energy obtained is  
463 95.81 kJ/mol, 90.54 kJ/mol, and 82.86 kJ/mol in Fig. 12 (b).

464 In the initial reaction stage, the temperature is low, but the heating rate is high, and  
465 the volatiles will be rapidly removed. During the second half of the reaction, the coal  
466 char and catalyst undergo the typical behavior of pore development during coke  
467 conversion, which can lead to higher surface area and faster rates. And the activation  
468 energy decreases with the catalyst ratio. At higher conversion rates, the gasification rate  
469 decreases due to pore collapse and larger potassium clusters. The latter is formed as the  
470 surface carbon is released as carbon monoxide gas, and the amount of potassium  
471 relative to the carbon increases. The release of residual volatiles, the diffusion of  
472 reactive gases, and the expansion of porosity in the coal char all affect the activation  
473 energy distribution of gasification.

474 4 Analysis of radiation gasification performance indicators

475 4.1 Element C conversion rate



476

477

Figure 13 Distribution of elemental C conversion rate

478

As shown in Fig. 13, we investigate the conversion degree of the coal during

479

radiation gasification by the conversion rate of the C element. The conversion rate of

480

the C element in Case 1 is 0.098. And the conversion rate of Case 3 is 0.25, which is

481

about 2.5 times that of Case 1. The catalyst further improved the C element conversion

482

rates, and the rates of cases 4-6 were 0.825, 0.987, and 0.99, respectively. Case 2 is

483

indirect radiation catalytic gasification, but it is only 0.19. These results show that direct

484

radiation is more effective. The introduction of catalyst alone cannot directly improve

485

the conversion of C element with indirect radiation. The synergistic effect of light and

486

catalyst can significantly improve the conversion of the C element at this temperature.

487

#### 4.2 Energy conversion efficiency

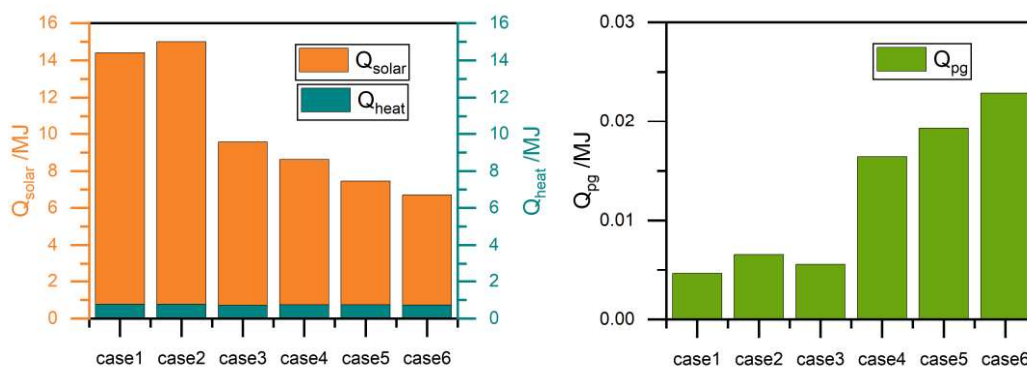


Figure 14 (a) Solar energy and sensible heat

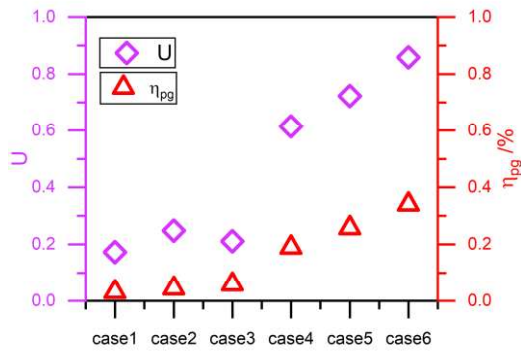


Figure 14 (b) The heat value of the product gas

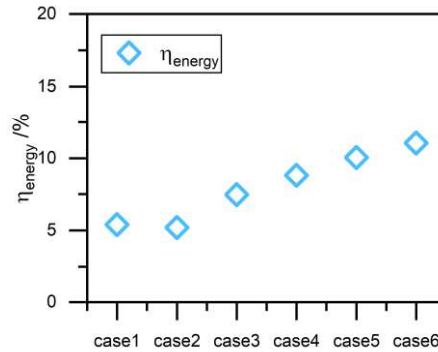


Figure 14 (c) U and  $\eta_{pg}$

Figure 14 (d) Energy conversion efficiency

488

Figure 14 System energy distribution

489

Figure 14 shows the radiant gasification system's energy, including the insulation's sensible heat ( $Q_{heat}$ ), the heat value of the product gas ( $Q_{pg}$ ), and the input solar energy ( $Q_{solar}$ ). At the same time, we calculated energy conversion efficiency ( $\eta_{energy}$ ), product gas energy efficiency ( $\eta_{pg}$ ) and energy upgrade factor ( $U$ ).

493

Indirect radiation gasification (Case 1 and Case 2) requires more solar energy due to its long reaction time. The reaction time for direct radiation gasification is shortened with the catalyst addition. The required solar energy input is also reduced to 6.25 MJ in Figure 14 (a). The catalyst can improve  $Q_{pg}$  of indirect radiation gasification (Case 2), but under the synergistic effect of direct radiation (Case 5),  $Q_{pg}$  will see a significant increase, about three times that of Case 2 in Figure 14 (b). For  $Q_{heat}$ , the temperature rises of each working condition are the same, and there is little difference.

500

The solar system efficiency under direct radiation gasification will increase from 5.39 % (Case 1) to 7.47 % (Case 3) in Figure 14 (d). The addition of catalysts will further advance this trend, reaching a maximum level of 11%. The trend of product gas

501

502

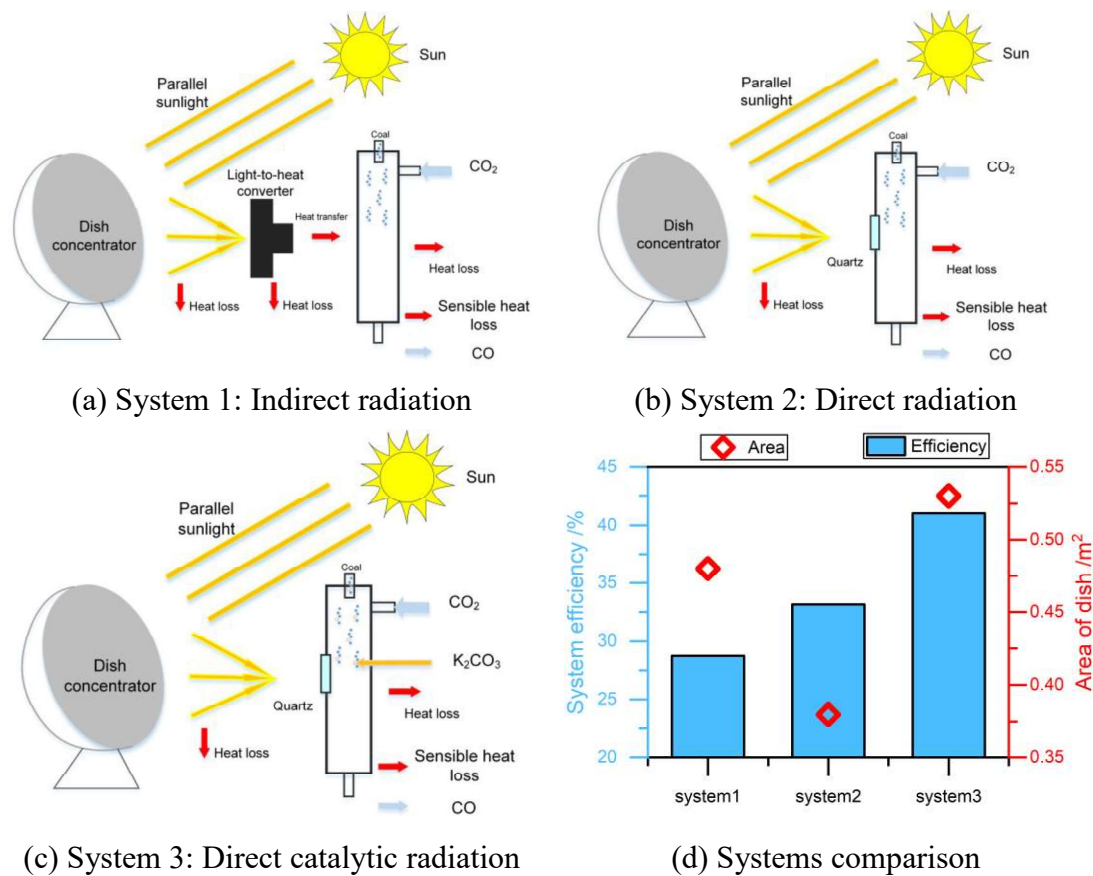
503 efficiency is similar to solar system efficiency, which is also greatly improved by the  
504 synergistic effect of direct radiation and catalyst. Compared with the conversion rate of  
505 the C element, the energy upgrade factor is to analyze the radiation gasification system  
506 from the perspective of energy. Adding a catalyst can improve the energy upgrade factor  
507 of indirect radiation gasification. Direct radiation gasification can also enhance the  
508 energy upgrade factor, which indicates that radiation's incoming form will affect energy  
509 absorption and utilization. At the same time, in the form of direct radiation, the addition  
510 of a catalyst can significantly improve the energy upgrade factor. Moreover, the  
511 increase ratio is proportional to the ratio of catalyst, and the highest can reach about  
512 0.86 in Figure 14 (c). The data in Figure 14 are only for the analysis of the experimental  
513 process and evaluation. The solar energy entering the gasifier is converted into forms  
514 such as chemical energy, which is the storage process of solar gasification. This process  
515 is an important means of low carbon use of coal resources.

## 516 5 Chemical process analysis

### 517 5.1 Energy analysis

518 We set up three model forms: indirect radiation, direct radiation, and direct catalytic  
519 radiation gasification, to investigate the feasibility of applying solar heat sources in the  
520 actual coal gasification chemical process. In the actual process, the forms of solar  
521 energy utilization include tower type, trough type, and dish type. For the coal  
522 gasification chemical process, the disc type has the advantages of flexible installation,  
523 concentrated light spot energy, and high temperature, convenient for installation in  
524 various terrain. Therefore, we use the dish type to collect and utilize solar energy and

525 analyze the chemical process energy and exergy. For the whole system, we consider the  
 526 energy loss of each part. See the calculation process in Appendix B for details. At the  
 527 same time, we use the corresponding experimental conditions for different systems to  
 528 predict the product distribution and then carry out the model calculation of coal  
 529 gasification reaction. At the same time, we compare the overall efficiency of the three  
 530 systems and the receiver dish area of the disc system.



531 Figure 15 Different solar energy coal gasification systems

532 Table 3 Experimental gas products distribution

Products	Volume distribution (%)			Mass distribution (%)		
	System 1	System 2	System 3	System 1	System 2	System 3
CO	68.16	56.22	68.74	66.60	66.73	70.22
CH <sub>4</sub>	12.68	7.64	2.30	7.08	5.19	1.34
H <sub>2</sub>	2.12	22.08	11.77	0.15	1.87	0.859
CO <sub>2</sub>	17.04	14.05	17.19	26.17	26.21	27.58

533 Fig. 15 shows three radiation coal gasification systems and their comparison.

534 According to the experimental data above, the gas product distribution is in Table 3.

535 For System 1, the heat loss from the concentrator to the reactor was considered. The

536 input coal rate is 135.05 g/h (1 kW heat value). With the same gasification agent and

537 coal ratio as the experiment, the flow rate of CO<sub>2</sub> is 405 L/h, and the flow rate of N<sub>2</sub> is

538 607.5 L/h. Estimate the sensible heat loss carried by gases at 800 °C, referring to the

539 heat value of various gases in [46]. The heat at the reactor output is expressed as

$$540 \quad \dot{Q}_{output} = \dot{Q}_{sensible} + \dot{Q}_{pg} \quad (19)$$

541 where  $\dot{Q}_{output}$  is the output total heat rate,  $\dot{Q}_{sensible}$  is the sensible heat rate, and

542  $\dot{Q}_{pg}$  is the low heat value rate of gas products.

543 (a) First of all, in System 1:

$$544 \quad \dot{Q}_{output} = 492.32 \text{ W}$$

545 The heat rate required by the gasifier is

$$546 \quad \dot{Q}_{gasifier} = \dot{Q}_{output} + \dot{Q}_{wall} \quad (20)$$

547 where  $\dot{Q}_{wall}$  is the heat loss through the wall, and it is 1.7% of  $\dot{Q}_{coal}$  [47]. Then

548 reverse the solar input energy. The system efficiency can be expressed as follows:

$$549 \quad \eta_{system} = \frac{\dot{m}_p LHV_p}{\dot{Q}_{solar} + \dot{m}_{coal} LHV_{coal}} \quad (21)$$

550 The efficiency from obtaining solar energy to input energy into the reactor ( $\eta_{SE}$ ) is

551 75.31 %, the system efficiency ( $\eta_{system}$ ) is 28.76 %, and the dish area ( $s$ ) is 0.48 m<sup>2</sup>.

552 (b) Secondly, in System 2:

553 There is no converter in System 2. Thus, the energy calculation does not consider the

554 converter's transfer and radiation energy loss. We assume that the absorption coefficient

555 of coal is 0.9. We think that the temperature in the reactor is the same as in System 1.

$$556 \quad \dot{Q}_{output} = 522.77 \text{ W}$$



557 The system efficiency ( $\eta_{\text{system}}$ ) is 33.15 %, and the dish area ( $s$ ) is 0.38 m<sup>2</sup>.

558 (c) Last but not least, in System 3:

559 The gasification in System 3 is direct radiation with K<sub>2</sub>CO<sub>3</sub> addition.

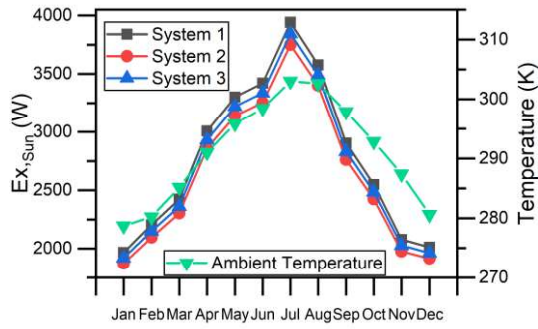
$$560 \quad \dot{Q}_{\text{output}} = 723.58 \text{ W}$$

561 The system efficiency ( $\eta_{\text{system}}$ ) is 41.04 %, and the dish area ( $s$ ) is 0.53 m<sup>2</sup>.

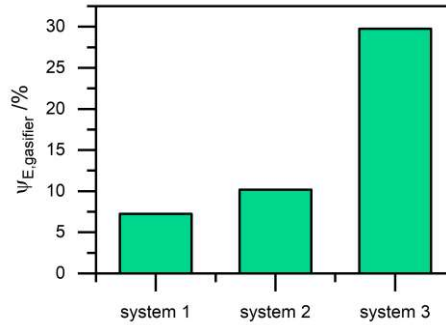
562 Fig. 15 (d) compares three different systems on efficiency and the dish area. Under  
563 the premise of ensuring the same reactor temperature, direct radiant gasification can  
564 obtain higher system energy conversion efficiency. Simultaneously, adding a catalyst  
565 can further increase this advantage. Industrial production is often accompanied by  
566 large-scale production. Considering the economics of construction, the area of the dish  
567 is an important reference indicator. Compared with System 1 and System 3, the dish  
568 diameter increases by 5 %, but the efficiency increases by 13 %. The greatly improved  
569 system efficiency can further enhance the economic benefits of the large-scale chemical  
570 process.

## 571 5.2 Exergy analysis

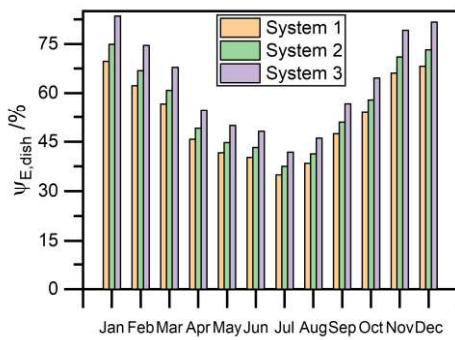
572 This work investigates the exergy analysis in the solar gasification chemical  
573 process, which include the solar concentrator, coal gasifier, and the whole system. The  
574 exergy efficiency of different modules provides a reference for the solar gasification  
575 chemical process.



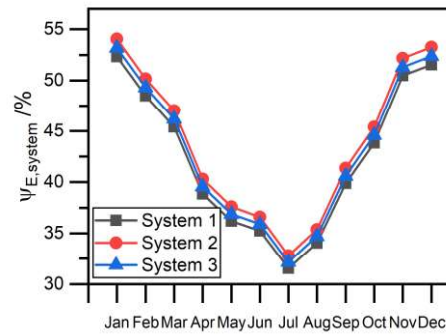
(a) The monthly evolution of the solar exergy and ambient temperature



(b) The gasifier exergy efficiency of different systems



(c) The monthly evolution of the solar dish exergy efficiency



(d) The monthly evolution of system exergy efficiency

576

Figure 16 The module exergy analysis results

577

Fig. 16 (a) shows the monthly evolution of solar dish module exergy analysis and

578

ambient temperature[48]. The solar dish module exergy relates to the ambient

579

temperature. It reaches its peak in summer ( $3941.18 \text{ W/m}^2$ ), and the least value of

580

exergy content belongs in December ( $1871.57 \text{ W/m}^2$ ). This trend is similar to the solar

581

power module in Ref. [26]. The areas of different systems in the exergy analysis are

582

calculated in Section 5.1 which meets the thermal power needed by the gasifier. The

583

area of the solar dish influences the solar exergy according to eq. (12). The value of

584

solar exergy in system 1 is the highest, as well as that in system 2, which is the least

585

due to different dish areas in the same month. Fig. 16 (b) shows the gasifier exergy

586

efficiency in different systems. The gasifier exergy efficiencies are influenced by the

587 product distribution and gasification efficiency. It can be concluded that the highest  
588 amount of gasifier exergy efficiency belongs to the direct catalytic radiation gasification  
589 (system 3, 29.7 %). Fig. 16 (c) shows the monthly evolution of the solar dish exergy  
590 efficiency. It can be seen that exergy efficiency decreases with an increase in thermal  
591 losses. This law is in good agreement with the values reported in Ref [49]. Fig. 16 (c)  
592 also shows that the chemical process of solar gasification needs to be considered with  
593 the impact of seasons. This chemical process includes not only the influence of reaction  
594 temperature but also the influence of ambient temperature with effects on the exergy  
595 efficiency of solar modules. For any month, System 3 always had the highest exergy  
596 efficiency of solar modules.

597 Fig. 16 (d) shows the monthly evolution of the system exergy efficiency in different  
598 solar gasification systems, the trend of which is similar to the study [26]. The reason  
599 for the decrease in summer is the increase in entropy caused by higher temperature, but  
600 the gasifier exergy remains unchanged, resulting in an increase in the exergy loss of the  
601 system and a decrease in the exergy efficiency. The highest amount of system efficiency  
602 belongs to system 2, as well as the least to system 1.

603 On one hand, the energy efficiency and the exergy efficiency calculated in the solar  
604 dish module of this study are 70 % and 35 – 55 %, respectively. Kasaeian [50] et al.  
605 investigated the concentrated solar dish system considering the same heat loss as this  
606 work and the same work fluids. They concluded that the energy efficiency is around  
607 70 % and the exergy efficiency is above 30 % in the solar dish system. On the other  
608 hand, Table 3 shows the distribution of the products in this work which is within the

609 range of direct radiation gasification at the same temperature studied by Kodama [51]  
610 et. al and the range of indirect radiation gasification studied by Li [52] et. al. Meanwhile,  
611 the system energy efficiency is improved from 37.2 % in the Ref. [52].

## 612 6 Conclusion

613 In summary, this work designed a novel experimental solar radiation gasification  
614 thermogravimetric device. Furthermore, this work set up a solar gasification system  
615 energy and exergy analysis model. With the technological analysis of thermodynamics,  
616 energy, and exergy, this work investigated the performances of different radiation forms  
617 on solar catalytic gasification. Besides that, this work studied the energy and exergy  
618 efficiency of the solar, gasifier module, and the system, respectively. With thermal from  
619 solar energy, coal and CO<sub>2</sub> are used as reactants, and the products are used in the  
620 chemical industry. This chemical process is an important industrial approach to the low-  
621 carbon use of coal resources. Limited by the experimental conditions, the economic  
622 analysis of different CO<sub>2</sub> mass flow radiation catalytic gasification will be investigated  
623 in the future to meet industrial production. The specific main results are as follows:

624 (1) Direct radiation gasification can improve the average carbon conversion rate. This  
625 work compares two radiation forms: direct and indirect radiation gasification. It was  
626 found that the carbon conversion rate of direct radiation was 15.2 % higher than that of  
627 indirect radiation gasification. Meanwhile, the energy conversion efficiency was  
628 increased by 3.73 %.

629 (2) The reaction degree is more intense with high gas production rate under direct  
630 radiation gasification. From the kinetic analysis, the TG curve of direct radiation

631 gasification decreases more rapidly at the same temperature, and the DTG curve shifts  
632 upward by 34%.

633 (3) Only adding catalysts cannot solve the low efficiency of indirect radiation  
634 gasification at this experimental condition. Catalyst addition can only increase the  
635 weight loss rate of indirect radiation from 8 % to 13.2 %, but that of direct radiation is  
636 34.65 %. The addition of catalyst and direct radiation can significantly improve the  
637 efficiency of coal gasification. In direct catalytic radiation gasification, the weight loss  
638 reaches up to 90 % with the synergistic effect of light and heat (the remaining is ash).

639 (4) Meanwhile, this work investigates the catalyst ratios in kinetics under direct  
640 catalytic radiation gasification. It was found that the 10% ratio is more suitable for  
641 system analysis. Direct catalytic radiation at a lower gasification temperature (800 °C)  
642 can improve the carbon conversion to 99 %, the energy upgrading factor to 0.86, and  
643 the energy conversion efficiency to 11 %.

644 (5) In dish-type concentrated solar systems, solar and catalyst synergistic effects can  
645 increase system efficiency up to 41%. Compared to indirect radiation, direct radiation  
646 catalytic gasification increases the dish diameter by only 5% but the efficiency by 13%.  
647 The gasification conditions are often harsh (high temperature and pressure). But the  
648 direct radiation system and catalyst will allow solar coal thermochemical conversion to  
649 be available.

650 (6) Solar irradiation and ambient temperature can affect the solar dish exergy.  
651 Meanwhile, the catalysis addition and the direct radiation form can improve the value  
652 of the exergy efficiency of solar coal gasification. The solar dish exergy reaches its peak

653 in summer ( $3941.18 \text{ W/m}^2$ ), and the least value of exergy content belongs in December  
654 ( $1871.57 \text{ W/m}^2$ ). The highest amount of gasifier exergy efficiency belongs to the direct  
655 catalytic radiation gasification (system 3, 29.7 %), as well as the least value of gasifier  
656 exergy efficiency implies indirect radiation gasification (system 1, 7.23 %). These  
657 results will potentially improve the popularity of new energy sources and the efficiency  
658 of resourceful and low-carbon utilization of coal in chemical process.

659

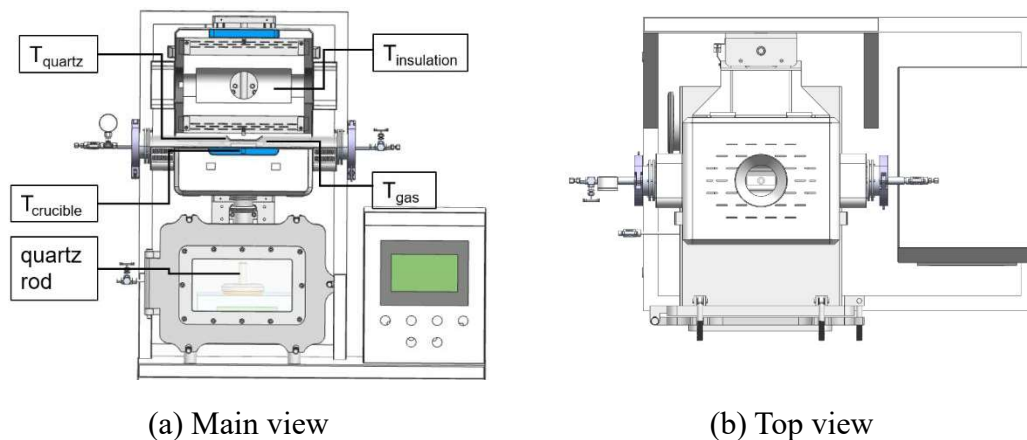
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665

## 666 Appendix A

### 667 A.1 Reactor

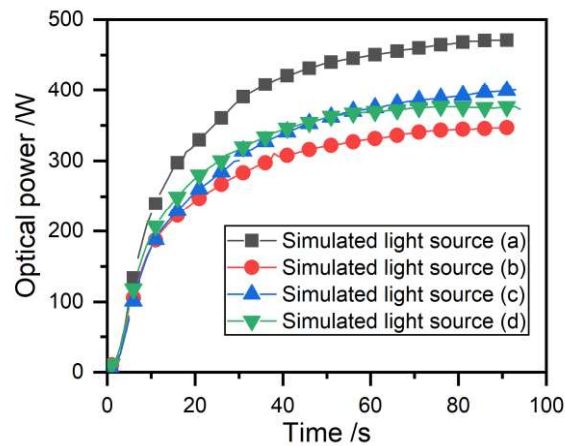


668

Figure A1 Reactor

669 The reactor in the radiation gasification-thermogravimetric test bench based on full-

670 spectrum concentrated solar energy is shown in Figure A1 (a). Figure A1 (b) shows the  
671 top view after the covered insulation. The core reaction zone is made of quartz. The  
672 insulation material adopts alumina fiber, which has the characteristics of low thermal  
673 conductivity, and thermocouples are arranged in the insulation. The upper part of the  
674 insulation is drilled to inject the simulated solar light at a specific angle, and the shell  
675 is supported by 5 mm stainless steel (SS304). The T-tube is smoothed in the area  
676 irradiated by the simulated sunlight. At the same time, the T-tube is connected to the  
677 thermogravimetric chamber. There is an analytical balance with a range of 0-220g and  
678 an accuracy of 0.001g in the room. We put a quartz rod to jack up the crucible made of  
679  $\text{Al}_2\text{O}_3$  to connect the balance and the crucible.  $\text{N}_2$  flows into the left side of the  
680 thermogravimetric chamber to avoid high-temperature damage to the electronic balance.  
681 A.2 Optical power of simulated solar lights



682

683 Figure A2 Time evolution of simulated lights' power

684 The spot power of the xenon lamp irradiated to the crucible was measured using an  
685 optical power detection device, HP100A-4KW-HE, provided by Gentec, and Figure A2  
686 shows the measurement results. After 80 s of irradiation, all four simulated lights (a, b,

687 c and d) reached a steady state. The radiation power delivered to the radiation gasifier  
 688 needs to be adjusted in a typical radiation gasification experiment. The heat stages  
 689 include preheating, rated heating, and steady-state heating in sequence. The calculation  
 690 gives a total simulated solar radiation input power of 1594.44 W

691

## 692 Appendix B

693 Appendix B introduces the calculation process of the energy analysis model and the  
 694 monthly evolution solar irradiation model of solar concentrating radiation gasification.

### 695 B.1 Energy analysis model

696 Assume that the average input of the sun to the dish concentrator is  $1000\text{W}/\text{m}^2$  [7]:

$$697 \quad G = 1000 \text{ W}/\text{m}^2 \quad (\text{B1})$$

698 The focal length ( $f$ ) and condensing ratio ( $C$ ) are calculated as follows [53]:

$$699 \quad f = \frac{d_d}{4\tan(\psi_{rim}/2)} \quad (\text{B2})$$

$$700 \quad C = \left(\frac{d_d}{d_{ap}}\right)^2 \quad (\text{B3})$$

701 where  $d_d$  is the diameter of the dish,  $d_{ap}$  is the diameter of the aperture,  $\psi_{rim}$  is the  
 702 rim angle.

703 Dish solar concentrators use a dual-axis tracking mechanism that continuously  
 704 tracks the sun to collect maximum sunlight, so the angle of incidence is always equal  
 705 to zero.

706 Table B1 The technical specifications of solar collector

System	$f$	$C$	Operating type
System 1	0.47	243.36	Dual-Axis mode
System 2	0.42	196.00	Dual-Axis mode



System 3	0.49	268.96	Dual-Axis mode
----------	------	--------	----------------

707 In our model, the collection and gasifier are integrated, so that the collection  
708 temperature is the same as the gasification temperature (1073 K), which is determined.  
709 Meanwhile, the concentration ratios used were 243.36, 196.00, and 268.96, respectively.  
710 With the same collection temperature and similar concentration, the energy efficiency  
711 can be compared to show the catalyst performance. The collection efficiency of systems  
712 is 62.2 %, 55.49%, and 64.85 %, respectively. The effect of concentration ratio is  
713 included in the system efficiency as a dependent variable, not an independent variable.

714 Convective heat transfer coefficient through the receiver cavity, Nussle number  
715 natural convective heat transfer coefficient, forced convective heat transfer coefficient,  
716 and total convective heat transfer coefficient are expressed as [54]:

$$717 \quad Nu_{natural} = 0.088 \cdot Gr^{1/3} \cdot \left(\frac{T_{cav}}{T_{amb}}\right)^{0.18} \cdot (\cos\theta)^{2.47} \cdot \left(\frac{d_{ap}}{d_{cav}}\right)^{-0.982} \cdot \left(\frac{d_{ap}}{d_{cav}}\right)^{+1.12} \quad (B4)$$

$$718 \quad h_{forced} = 0.1967 \cdot v^{1.849} \quad (B5)$$

$$719 \quad h_{total} = h_{natural} + h_{forced} \quad (B6)$$

720 where  $Gr$  is Grashov number,  $T_{cav}$  is the temperature of the receiver cavity,  $T_{amb}$  is  
721 the temperature of ambient,  $\theta$  is the incident angle,  $v$  is the wind speed (m/s).

722 Reflected and emitted radiative heat transfer from the receiver cavity:

$$723 \quad \dot{Q}_{reflected} = (1 - \alpha_{eff}) \cdot \eta_{conc} \cdot G \cdot A_d \quad (B7)$$

$$724 \quad \dot{Q}_{emitted} = \varepsilon \cdot A_{ap} \cdot \sigma(T_{cav}^4 - T_{amb}^4) \quad (B8)$$

725 Where

$$726 \quad \alpha_{eff} = \alpha_{eff} / [\alpha_{cav} + (1 - \alpha_{cav}) / (A_{ap} / A_{cav})] \quad (B9)$$

727  $\alpha$  is the absorptivity,  $A$  is the area, and  $\eta_{conc}$  is the efficiency of the concentrator.

728 The conduction, convection, radiation, and total heat losses of the receiver are  
729 expressed as:

730 
$$\dot{Q}_{conduction} = \frac{T_{cav} - T_{amb}}{\ln \left[ \frac{\frac{d_{cav} + \delta_{insul}}{2}}{\frac{d_{cav}}{2}} \right] / (2\pi k_{insul} L_{cav})} \quad (B10)$$

731 
$$\dot{Q}_{convection} = h_{total} \cdot A_{cav} \cdot (T_{cav} - T_{amb}) \quad (B11)$$

732 
$$\dot{Q}_{radiation} = \dot{Q}_{reflected} + \dot{Q}_{emitted} \quad (B12)$$

733 
$$\dot{Q}_{L,dish} = \dot{Q}_{conduction} + \dot{Q}_{convection} + \dot{Q}_{radiation} \quad (B13)$$

734 where  $\delta_{insul}$  is the thickness of insulation.

735 The efficiency of the receiver is calculated as

736 
$$\eta_{rec} = 1 - \frac{\dot{Q}_{total}}{\eta_{conc} \cdot G \cdot A_d} \quad (B14)$$

737 The energy input to the coal gasification reactor is

738 
$$\dot{Q}_{input} = \eta_{rec} \cdot \eta_{conc} \cdot G \cdot A_d \quad (B15)$$

739 The heat loss through the wall is about 1.7% of the heat value of coal input [29]:

740 
$$\dot{Q}_{wall} = 17 W \quad (B16)$$

741 Sensible heat loss in output gas [46]:

742 
$$\dot{Q}_{sensible} = (\dot{m}_{CO} \cdot c_{CO} + \dot{m}_{CO_2,out} \cdot c_{CO_2} + \dot{m}_{CH_4} \cdot c_{CH_4} + \dot{m}_{H_2} \cdot c_{H_2} + \dot{m}_{N_2} \cdot c_{N_2}) \cdot T_{output} \quad (B17)$$

744 
$$\dot{Q}_{pg} = \dot{m}_p LHV_p \quad (B18)$$

745 where  $T_{output}$  is the temperature of the reactor export,  $\dot{m}$  is the mass flow, and  $c$  is

746 the specific heat capacity.

747 The specific heat capacity is expressed as:

748 
$$C_{p_i} = a + bT + cT^2 + dT^3 \quad (B19)$$

749 where a, b, c, and d are the specific gas product specific heat capacity coefficient[55].

## 750 B.2 The monthly evolution solar irradiation model

751 The solar irradiation outside the earth ( $G_{on}$ ) is expressed according to eq. (B20)

752 [56]:

753 
$$G_{on} = G_{SC}(1.000110 + 0.034221\cos B + 0.001280\sin B + 0.000719\cos 2B +$$

754  $0.000077\sin 2B)$  (B20)

755 where  $G_{SC}$  is the solar constant ( $1367 \text{ W/m}^2$ ),  $B = (n - 1) \times 360/365$ ,  $n$  is the  $n$ -  
756 th of one year.

757 The declination angle ( $\delta$ ) is expressed according to eq. (B21) :

758 
$$\delta = \left(\frac{180}{\pi}\right)(0.006918 - 0.399912\cos B + 0.070257\sin B - 0.006758\cos 2B +$$
  
759  $0.000907\sin 2B - 0.002697\cos 3B + 0.00148\sin 3B)$  (B21)

760 The zenith angle ( $\theta_z$ ) is expressed according to eq. (B22):

761 
$$\cos\theta_z = \cos\varphi\cos\delta\cos\omega + \sin\varphi\sin\delta$$
 (B22)

762 where  $\varphi$  is the latitude ( $^\circ$ ), and  $\omega$  is the time angle ( $^\circ$ ).

763 The atmospheric transmittance ( $\tau_b$ ) is expressed according to eq. (B23):

764 
$$\tau_b = a_0 + a_1\exp\left(-\frac{k}{\cos\theta_z}\right)$$
 (B23)

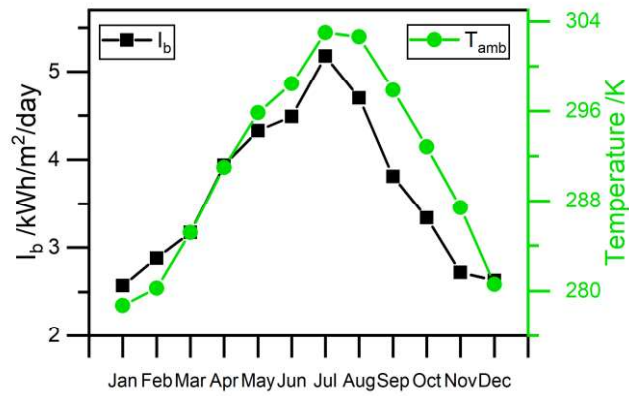
765 where  $a_0, a_1$  are the constant of the atmospheric transmittance formula, and  $k$  is  
766 thermal conductivity ( $\text{W}/(\text{m} \cdot \text{K})$ )

767 Solar irradiation ( $I_b$ ) on inclined surfaces can be expressed according to eq. (B24):

768 
$$I_b = G_{on}\tau_b\cos\theta$$
 (B24)

769 where  $\theta$  is the incidence angle ( $^\circ$ ).

770 Dish solar concentrators use a dual-axis tracking mechanism that continuously  
771 tracks the sun to collect maximum sunlight, so the angle of incidence is always equal  
772 to zero ( $\cos\theta = 1$ ).



773

774

Figure B1 The monthly evolution of  $I_b$  and  $T_{amb}$ .

775

With this calculation process, Figure B1 shows the monthly evolution of solar

776

irradiation. Meanwhile, we obtain the monthly evolution of ambient temperature in

777

Hangzhou on the website [48].

778

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**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.