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PII: S0033-3506(22)00208-6

DOI: <https://doi.org/10.1016/j.puhe.2022.07.013>

Reference: PUHE 4666

To appear in: *Public Health*

Received Date: 11 April 2022

Revised Date: 16 July 2022

Accepted Date: 19 July 2022

Please cite this article as: Hall JA, Harris RJ, Zaidi A, Dabrera G, Dunbar JK, Risk of hospitalisation or death in households with a case of COVID-19 in England: an analysis using the HOSTED dataset, *Public Health*, <https://doi.org/10.1016/j.puhe.2022.07.013>.

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Risk of hospitalisation or death in households with a case of COVID-19 in England: an analysis using the HOSTED dataset

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Conflict of interest statement

None declared

1 **Abstract**

2 **Objective**

3 To determine whether household contacts of confirmed cases of COVID-19 have an increased risk of
4 hospitalisation or death.

5 **Methods**

6 We used the HOSTED dataset of index cases of COVID-19 in England between June and November
7 2020, linked to Secondary Uses Service data on hospital episodes and Office for National Statistics'
8 mortality data. Multivariable logistic regression models of the odds of household contacts being
9 hospitalised or dying within six weeks of an index case, adjusted for case type, age, sex and calendar
10 month were calculated. Excess risk was determined by comparing the first six weeks after the index
11 case with 6-12 weeks after the index case in a survival analysis framework.

12 **Results**

13 Index cases were more likely to be hospitalised or die than either secondary cases or non-cases,
14 having adjusted for age and sex. There was an increased risk of hospitalisation for non-cases
15 (adjusted hazard ratio (aHR) 1.10 (95% CI 1.04, 1.16)) and of death (aHR 1.57 (95% CI 1.14, 2.16)) in
16 the first six weeks after an index case, compared to 6-12 weeks after.

17 **Conclusion**

18 Risks of hospitalisation and mortality are predictably higher in cases compared to non-cases. The
19 short-term increase in risks for non-case contacts following diagnosis of the index case may suggest
20 incomplete case ascertainment among contacts, although this was relatively small.**Abstract word**

21 **count:** 215

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23 Introduction

24 The Household Transmission Evaluation Dataset (HOSTED) [1] provides a unique opportunity to
25 explore the risk of hospitalisation and death in household contacts of confirmed cases of COVID-19
26 to determine if there is any excess risk to them, regardless of whether they are diagnosed with
27 COVID-19 themselves. This is particularly important in the scenario of limited testing where cases
28 may be missed, as was the case in England early in the pandemic.

29 Methods

30 The HOSTED methodology has been described elsewhere[1]; in brief, it is an ongoing surveillance
31 system that has identified the residential household contacts of laboratory confirmed cases in
32 England since 20th April 2020, including both Pillar 1 (testing of persons for a clinical need in
33 healthcare or as part of a public health investigation) and Pillar 2 (community-based testing
34 accessible to members of the public). Linkages with hospital episodes from Secondary Uses Service
35 (SUS) data (a national administrative dataset based on healthcare providers' clinical activities) and
36 ONS (Office for National Statistics) mortality data for all anonymised cases and contacts within
37 HOSTED enable us to investigate whether there is any increase risk in hospitalisation or death for
38 household contacts of confirmed cases of COVID-19.

39 There is very limited evidence on hospitalisation and deaths of household contacts of confirmed
40 COVID-19 cases. Data from a cohort study in Scotland has shown that between 1st March and 6th
41 June 2020 household contacts of cases of COVID-19 in the general public had a risk of admission
42 with COVID-19 of 0.05%; it was higher for healthcare workers and their household contacts[2].

43 We considered individuals in households where the index case occurred between 1st June 2020 and
44 8th November 2020, extracted on the 31st January 2021, to allow for complete follow up of
45 hospitalisations within six weeks of the index case, and a further six weeks buffer in case of reporting
46 delays in the SUS data. Hospitalised individuals were grouped using ICD-10 codes in to "COVID" (U07
47 and derivatives), "pneumonia (B97 and J12) and possible interest", and "other" (all other ICD-10

48 codes). ICD-10 codes of 'possible interest' were co-morbidities thought to be risk factors for adverse
49 outcomes of COVID-19 on discussion with clinicians. This predominantly included diseases of the
50 cardiovascular, cerebrovascular, respiratory and renal systems, cancer, diseases that cause
51 immunosuppression or require treatment with immunosuppressives, diabetes, and obesity. The
52 pneumonia codes were selected in case of misdiagnosis of a COVID-19 case as another viral
53 pneumonia. This grouping together captured people in whom a diagnosis of COVID-19 could have
54 been missed. We had data on whether the person had died, but not their cause of death.

55 **Statistical analysis**

56 The proportion hospitalised or dying within 6 weeks of the index case testing positive (starting from
57 the specimen date of the positive test) was modelled using logistic regression; covariates included
58 case category (index case, secondary case, contact without positive test), age group (0-34, 35-54, 55-
59 69 and 70+) and sex. Time trends were considered by including calendar month, and age-specific
60 trends. In order to ascertain whether there was any excess risk of hospitalisation in non-cases, we
61 examined hospitalisation rates in the first six weeks after the index case compared to 6-12 weeks in
62 a survival analysis framework. Hazards were assumed constant within each time interval and
63 estimated hazard ratios (HR) adjusted for age, sex and calendar time. Analysis was restricted to
64 patients with 18 weeks observable follow-up.

65 **Ethics approval**

66 The HOSTED surveillance system was reviewed and approved by the PHE Research Ethics
67 Governance Group. The data was collected and linked by NHS Digital. The data was processed
68 lawfully under GDPR Article 6(1)e and 9(2)i and shared under Regulation 3(4) of the Health Service
69 (Control of Patient Information) Regulations 2002.

70

71 Results

72 In England there were 1.68 million individuals living in a household in which a confirmed case
73 occurred between 1st June and 8th November 2020. The median household size was 4 (interquartile
74 range, IQR: 3-5); the median age of individuals in the dataset was 32 (IQR 19-50), with 326,606
75 children under age 16 (19.4%) and 63,994 age 70+ (3.8%). In 74.5% of the data for this time period,
76 the index case occurred in October/November, when cases were increasing rapidly in England, but
77 before the emergence of the Alpha variant.

78 Hospitalisation

79 49,516 individuals (2.95%) were hospitalised within 42 days of the index case date. 28,843/477,034
80 (6.05%) index cases were hospitalised and 4,685/92,243 (5.08%) secondary cases. In comparison,
81 among household contacts without laboratory diagnosed COVID-19, 13,876 were hospitalised out of
82 1.05 million (1.32%).

83 In logistic regression of all persons in the dataset, index cases were most likely to be hospitalised
84 (aOR 4.49 95%CI 4.40, 4.59) compared to non-cases, after adjusting for age and sex, as were
85 secondary cases (aOR 3.54 95%CI 3.40, 3.65). Rates of hospitalisation increased with age, as did the
86 risk associated with being a case compared to a contact, regardless of reason for admission. Of those
87 aged over 70, 27.9% were admitted with COVID-19 within six weeks of laboratory confirmation and
88 4.14% were admitted with pneumonia or other potentially relevant ICD-10 code, compared to 1.26%
89 and 0.35% respectively in index cases aged 0-34. Among household contacts who were not
90 laboratory confirmed COVID-19 cases, 0.35% of over 70s were admitted with COVID-19, 3.18% with
91 pneumonia or other relevant condition and 0.58% for other reasons, according to the SUS data. For
92 every age group the percentages of non-COVID-19 admissions are higher in COVID-19 cases than
93 those not diagnosed with COVID-19.

94 Hospitalisations due to any cause in index cases of COVID-19 fell in all age groups from June to
95 October 2020, though the risks decreased most for those under 35. Hospitalisation in non-cases also
96 fell slightly in every age group between June-October 2020, and younger age groups were
97 consistently more likely to be hospitalised for reasons other than COVID-19/pneumonia.

98 Examining only the non-COVID-19 admissions, both index and secondary cases were more likely to
99 be admitted to hospital in the six weeks following a positive test for SARS-CoV-2, particularly if they
100 were over 70 years old, both for pneumonia/other relevant conditions or for another reason.

101 **Mortality**

102 6,414 individuals (0.38%) died within 42 days of the index case date. 5,230 of 477,034 index cases
103 died (1.10%), 596 of 92,243 secondary cases died (0.65%), and 419 of 1.05 million non-cases died
104 (0.04%).

105 Death was considerably more likely in index cases (aOR 22.9 95%CI 20.7, 25.3) and secondary cases
106 (aOR 13.1 95%CI 11.5, 14.8) than in individuals not diagnosed with COVID-19, having adjusted for
107 age and sex. In terms of trends, risk of death in index cases reduced over time with a similar pattern
108 to hospitalisation, with the greatest reduction over time in the younger groups. Trends are more
109 stable for secondary cases, although data are sparse and confidence intervals wide. For those not
110 diagnosed, there are declining trends in the youngest and oldest age groups, similar to
111 hospitalisations, but confidence intervals are wide and the results are not significant.

112 **Excess risk**

113 The adjusted hazard ratio (HR) for hospitalisation within six weeks vs. 6-12 weeks was 1.10 (95% CI
114 1.04, 1.16), indicating a modest increase in hospitalisation rates in non-cases around the time of the
115 index case. For mortality, the adjusted HR was 1.57 (95% CI 1.14, 2.16) for the first six weeks after

116 the index case compared to 7-12 weeks after. As shown in Figure 1, this was driven by increased
117 hospitalisation and deaths in the over 55s.

118 <<< FIGURE 1 HERE >>>>

119 Discussion

120 Index cases had the highest risk of hospitalisation and death followed by secondary cases compared
121 to household contacts who did not become laboratory-confirmed cases (non-cases). The higher risk
122 in index cases could be due to testing being biased towards more severe index cases, whereas case
123 ascertainment may be less dependent on severity for secondary cases.

124 We found some evidence of a modest increase risk of hospitalisation in household contacts of
125 laboratory confirmed cases of COVID-19 who did not become laboratory confirmed cases
126 themselves. This suggests that, over the timeframe considered, case ascertainment has been good, if
127 not complete. We found a higher risk of admission than the Scottish study which is likely because we
128 included all hospitalisations, not only those for COVID-19(2).

129 Mortality may be increased by around 50% in non-cases immediately following the index case,
130 although absolute mortality rates remain low and confidence intervals for any excess risk were
131 relatively wide. This may indicate incomplete case ascertainment if a person died before being
132 tested. Routine post-mortem testing for SARS-CoV-2 could reveal the true burden of the disease[3].

133 Strengths and Limitations

134 The HOSTED dataset is large, covering all laboratory confirmed cases and their household contacts in
135 the England. However, as a passive surveillance system, the data are subject to a number of
136 limitations, including incomplete case ascertainment and a lack of information on testing uptake
137 which could introduce bias. Without genomics data we cannot confirm household transmission
138 versus secondary cases having acquired their infection elsewhere. However, self-isolation of
139 households following COVID-19 symptoms even prior to confirmation reduces the likelihood of

140 acquiring an infection outside of the household. Previous sensitivity analysis showed that secondary
141 attack rates within the household were robust to changing the definition of a secondary case from 2-
142 14days after the index case to 4-14 days after the index case.

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148 Funding

149 This work was undertaken as part of the core functions of Public Health England in relation to the
150 surveillance of communicable diseases and outbreak response. Funding: None

151

152 Conflict of interest statement

153 None declared

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155 Acknowledgements

156 The authors wish to thank Heather Pinches, Phillip Bowker and Paul Ellingham as well as the rest of
157 the NHS Digital team whose hard work and dedication enabled the creation of these data during the
158 UK Covid19 pandemic response. The authors also wish to thank Sarah Woodhall for her integral role
159 in developing the HOSTED project.

160

161 Data Availability Statement

162 The data underlying this article cannot be shared publicly due to the legal and policy controls placed
163 on data used as part of the government's response to the Covid19 pandemic.

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181 **Figure legend**

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183 **Figure 1.** Proportion of non-diagnosed contacts hospitalised (any cause) or dying per week since date
184 of index case positive, by age. Y-axis scales vary between panels.

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