mRNA (BNT162b2) and inactivated (CoronaVac) COVID-19 vaccination and risk of adverse events and acute diabetic complications in patients with Type 2 Diabetes Mellitus: A population-based study

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Short running header: COVID-19 vaccine safety for Type 2 diabetes patients

Abstract

Introduction: In Hong Kong, CoronaVac and BNT162b2 have been approved for emergency use owing to the COVID-19 pandemic. Reactions towards the vaccine and the risk of post-vaccination adverse events may be different between recipients with and without T2DM.

Objective: The aim was to evaluate the risk of Adverse Events of Special Interest (AESI) and acute diabetic complications in type 2 diabetes mellitus (T2DM) population after COVID-19 vaccination in Hong Kong.

Research Design and Methods: Self-controlled case series analysis was conducted. Patients with T2DM who received at least one dose of BNT162b2 or CoronaVac between February 23, 2021 and January 31, 2022 from electronic health records in Hong Kong were included. The incidence rates of 29 Adverse Events of Special Interest and acute diabetic complications (any of severe hypoglycemia, diabetic ketoacidosis or hyperosmolar hyperglycemic syndrome) requiring hospitalization within 21 days after the first or second dose of vaccination were reported. The risks of these outcomes were evaluated using conditional Poisson regression.

Results: Among 141,224 BNT162b2 recipients and 209,739 CoronaVac recipients with T2DM, the incidence per 100,000 doses and incidence per 100,000 person-years of individual AESIs and acute diabetic complications ranged from 0-24.4 and 0-438.6 in BNT162b2 group, and 0-19.5 and 0-351.6 in CoronaVac group. We did not observe any significantly increased risk of individual AESIs or acute diabetic complications after first or second doses of BNT162b2 or CoronaVac vaccine. Subgroup analysis based on HbA1c <7% and ≥7% also did not show significantly excess risk after vaccination.

Conclusions: Patients with T2DM do not appear to have higher risks of AESI and acute diabetic complications after BNT162b2 or CoronaVac vaccination. Moreover, given the low incidence of AESI and acute diabetic complications after vaccination, the absolute risk increment was likely minimal.

Key points

1. The risk of AESI and acute diabetic complications were not increased after COVID-19 vaccination.

2. The benefits of COVID-19 vaccination in T2DM patients outweigh its potential risk since T2DM was associated with severe adverse outcomes and mortality in COVID-19.

1   Introduction

Type 2 diabetes mellitus (T2DM) increases the risk of severe adverse outcomes and mortality in coronavirus disease 2019 (COVID-19) [1, 2]. Therefore, the diabetic population has been prioritized for COVID-19 vaccination in countries such as the United States and the United Kingdom [3, 4]. In Hong Kong, two vaccines have been approved for emergency use owing to the COVID-19 pandemic, namely CoronaVac from Sinovac Biotech (Hong Kong) Limited (equivalent to Sinovac Life Sciences Company Limited) and BNT162b2 from Fosun Pharma/BioNTech (equivalent to BioNTech vaccine marketed by Pfizer outside China).

Adverse events associated with COVID-19 vaccination have been one of the major concerns. Occasional case reports describing the occurrence of acute hyperglycemic crisis, blood glucose fluctuation and acute myocardial injury in pre-diabetic or diabetic patients after vaccination brought about safety concerns of vaccine in patients with T2DM [5-7]. The randomized controlled trials of BNT162b2 and CoronaVac and a population-based cohort study in the United States showed no major adverse events including myocarditis and thromboembolism after vaccination [8-10], contradicting to a few observational studies revealing potentially increased risk [11-13]. Conflicting findings from these studies warrant further evaluation of vaccine safety. Besides, as these studies included relatively small proportion of patients with T2DM, their findings in general population may not be directly applicable to the T2DM population. Moreover, analytical study specifically evaluating the risk of acute diabetic complications, such as severe hypoglycemia, diabetic ketoacidosis and hyperosmolar hyperglycemic syndrome, after COVID-19 vaccination are lacking. Due to the potential immune dysfunction in patients with T2DM [14-17], reactions towards the vaccine and the risk of post-vaccination adverse events may be different between those with and without T2DM. Prior studies have shown that concerns of vaccine safety is a major reason for COVID-19

vaccine hesitancy [18, 19]. In view of this, our study aims to evaluate whether there is excess risk of post-vaccination adverse events in patients with T2DM, using a population-based diabetes cohort in Hong Kong.

2   Research Design and Methods

2.1   Study design

This self-controlled case series (SCCS) study was conducted to investigate the excess risk of adverse events of special interest (AESI) and acute diabetic complications in patients with T2DM after BNT162b2 and CoronaVac vaccination. SCCS is a study design that was specifically developed to assess vaccine safety, and was applied in several COVID-19 and other vaccine safety studies [12, 20-27]. It is able to compare risks of outcomes in different periods, including exposure and non-exposure periods, within an individual. Hence, SCCS can minimize any measured or unmeasured time-invariant confounding effects by treating the same individual as control.

2.1.1   Data source

The electronic health records from the clinical management system of the Hospital Authority (HA) were used in this study. HA is a statutory administrative body in Hong Kong Special Administrative Region (HKSAR) which manages 43 public hospitals, 49 specialist outpatient clinics and 73 primary care clinics. More than 20 million attendances at these public healthcare facilities and over 70% of hospitalization in Hong Kong were recorded in the year 2018-2019 [28, 29]. All medical records from public hospitals, ambulatory clinics, specialist clinics, general out-patient clinics, and emergency rooms in the HA could be accessed via the clinical management system, including demographic information, diagnoses, medication and laboratory tests for each patient. Data from this system have been utilized to conduct

pharmacovigilance studies including ones that evaluated vaccines against COVID-19 [30-32]. Two COVID-19 vaccines, namely BNT162b2 and CoronaVac, have been currently available under emergency use by the government for people aged 16 years old or above in Hong Kong since February 23, 2021. Rollout schedule of the vaccination program is stated in Supplementary information 1. Details of the vaccination status, which were linked with the data from the clinical management system using a de-identified unique identifier for each patient, were obtained from the Department of Health of the HKSAR Government.

2.1.2 Study population

This study included patients aged 16 years or above who have received at least one dose of BNT162b2 or CoronaVac in Hong Kong between February 23, 2021 and January 31, 2022. Individuals who had a documented diagnosis of T2DM from January 1, 2005 to February 23, 2021, or had a prescription of any oral antidiabetic drugs within 90 days before February 23, 2021, were considered to be patients with T2DM and included in this study. Patients who had a documented diagnosis of type 1 diabetes mellitus from January 1, 2005 to February 23, 2021 were excluded. The diagnosis of T2DM was determined by the International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) code of 250.x0 or 250.x2, or the International Classification of Primary Care, Second edition (ICPC-2) code of T90.

2.1.3 Outcomes

AESI was selected from a list of events advocated by the WHO Global Advisory Committee on Vaccine Safety [33]. A total of 29 AESI were studied including autoimmune diseases (Guillain-Barré Syndrome, acute disseminated encephalomyelitis, narcolepsy, acute aseptic arthritis, [idiopathic] thrombocytopenia and subacute thyroiditis), cardiovascular diseases (microangiopathy, heart failure, stress cardiomyopathy, coronary artery disease, arrhythmia and myocarditis), adverse events involving circulatory system (thromboembolism, haemorrhagic

disease, single organ cutaneous vasculitis), hepato-renal system (acute liver injury, acute kidney injury and acute pancreatitis), peripheral and central nervous systems (generalized convulsion, meningoencephalitis, transverse myelitis and Bell's palsy), respiratory system (acute respiratory distress syndrome), skin and mucous membrane, joints (erythema multiforme and chilblain-like lesions) and others (anaphylaxis, anosmia, Kawasaki disease and rhabdomyolysis). The other set of outcomes of interest is related to glycaemia, which included acute diabetic complications, namely severe hypoglycemia, hyperosmolar hyperglycemic syndrome and diabetic ketoacidosis. All the above outcomes were primarily defined based on inpatient diagnosis with ICD-9-CM codes and procedure codes. The detailed definition of each outcome is shown in Supplementary Table 1.

Regarding the sample size calculation, assuming the relative risk of 1.5 to 3.0, the total sample size required was from 40 to 376 to achieve 80% power at 0.05 significance level for the SCCS analyses, respectively. A detailed sample size calculation for SCCS analysis is provided in supplementary Figure 1.

2.2   Statistical analysis

Descriptive statistics were used to summarize baseline characteristics. When analyzing each outcome event (except for anaphylaxis), persons with a previous diagnosis of that event before February 23, 2021 were excluded, and any new diagnosis within 21 days after the first dose or the second dose of vaccine was interpreted as incident outcomes. The 21 days follow-up period after each dose was considered to be sufficient to identify an early excess risk of adverse events, and this risk period has been used in other COVID-19 vaccine safety studies [10, 11]. Since it would be difficult to classify allergic reactions with symptom onset of 2 days or more after vaccination, the risk period of anaphylaxis was defined as 2 days (i.e. 0-1 day post-vaccination). This approach has also been applied by the Centers for Disease Control and Prevention in the

United States when monitoring anaphylaxis after BNT162b2 vaccination [34]. Incidence per 100,000 doses, incidence per 100,000 persons and incidence rate (cases per 100,000 person-years) with 95% confidence interval (CI) were calculated for the vaccinated group.

2.2.1   SCCS analysis

Two exposure periods, which were 0-20 days after the first dose and 0-20 days after the second dose, were evaluated in SCCS analysis. The rest of the time during observation period from February 23, 2021 to January 31, 2022 was defined as baseline periods, as illustrated in Figure 1. Starting from January 1, 2022 onwards, the new arrangement of the booster dose was implemented to adults who were already fully vaccinated with the second dose received six months ago [35]. However, our vaccination records retrieved was only up to January 31, 2022 so majority of the vaccine recipients have not yet received the booster dose. Therefore, to minimize the effect of the booster dose, the individual observation period started on February 23, 2021 and ended on January 31, 2022 or the day before the booster dose, whichever was earlier. SCCS analysis was only conducted when the total number of outcome events were at least five. Theoretically, there are three assumptions when using the SCCS model [36]. Firstly, outcome events should be independently recurrent such that events would not be affected by previous occurrences. However, this assumption might be violated in this study as some of the outcomes of interest might occur recurrently and were likely to increase the risk of future episodes. In such condition, only first incident event should be used for analysis [36]. Therefore, we only took the first incident of outcome events since 2005, the earliest date of data availability, during the observation period into consideration. The second assumption is that the episodes of outcome events should not affect the exposure. Hence, it may not be appropriate to fit this study into a standard (classical) SCCS model as patients with history of the outcomes of interest are less likely to receive the vaccine in Hong Kong due to vaccine hesitancy. The third assumption is that the event should not be censored by outcome event or mortality during

the whole observation period. To avoid violating the second and third assumption, we used a modified SCCS model, which is proposed by the inventors of SCCS to evaluate the COVID-19 vaccine safety [22], for this study. Modified SCCS model was specifically designed to handle outcomes that would affect exposure. Unvaccinated people who developed the outcome of interest were also included in the modified SCCS model to estimate the probability of receiving vaccination among people with outcome events. Therefore, the association between vaccination and risk of outcomes could be adjusted accordingly. No censoring during the whole observation period was needed in this study as the modified SCCS already takes mortality into account [22]. The modified SCCS has been widely used in the evaluation of COVID-19 vaccine safety [24-27].

The modified SCCS for investigating event dependent exposure was conducted using the R function "eventdepenexp" in the R-package "SCCS". This study adjusted the seasonal effect by month. Conditional Poisson regression was applied to estimate the adjusted incidence rate ratio (IRR) and 95% CI by comparing the incidence rate of outcomes in different risk periods with that in the baseline periods. Each outcome of interest was investigated individually and people with a history of the corresponding outcome before February 23, 2021 were excluded from the analysis respectively. We calculated risk differences per 100,000 persons between the incidences in risk periods and the baseline period, where a lower value of risk difference indicates no or less excess risk after vaccination. To ensure robustness, we conducted five sensitivity analyses. Length of risk periods was changed from 21 days to 14 days, 28 days and 49 days in the first three sensitivity analyses. As COVID-19 potentially increased the risk of AESI, patients who had COVID-19 before or during the study period were excluded in the fourth sensitivity analysis. In the fifth sensitivity analysis, people who received their first dose on or after December 31, 2021 were excluded to ensure a minimum follow-up period of 30

days after vaccination. Subgroup analysis also was conducted by stratifying patients with HbA1c <7% and ≥ 7%.

All statistical tests were two-sided, and only p values less than 0.05 were considered significant. R version 4.0.3 were used to conduct all the statistical analyses. At least two investigators (YW, WX and VKCY) independently conducted the analyses for quality assurance.

3 Results

A total of 1,743,081 and 1,333,533 received at least one dose of BNT162b2 and CoronaVac, respectively, during February 23, 2021 to January 31, 2022. Among these vaccine recipients, 141,224 (8.1%) and 209,739 (15.7%) patients with T2DM were in BNT162b2 and CoronaVac group, respectively. 89.3% (n=126,199) of BNT162b2 recipients and 77.6% (n=162,772) of CoronaVac recipients completed two doses of vaccine within the study period. The total number of administered doses for BNT162b2 and CoronaVac was 267,423 and 372,511, respectively. Table 1 shows the characteristics of the study cohort. The average age, Charlson comorbidity index and proportion of male were 64.68 (SD: 11.37), 2.98 (SD: 1.45) and 55.1% in the BNT162b2 group, and 68.14 (SD: 10.74), 3.34 (SD: 1.43) and 50.6% in the CoronaVac group. Supplementary Table 2 shows the comorbidities and medications used for these patients.

Table 2 display the incidence of AESI and hypoglycemia within 21 days after BNT162b2 and CoronaVac vaccination. In general, the incidence of AESI, diabetic complication and all-cause mortality within 21 days after BNT162b2 or CoronaVac vaccination was rare. In the BNT162b2 group, the incidence ranged from 0 to 24.4 per 100,000 doses; from 0 to 46.4 per 100,000 persons; and incidence rate from 0 to 438.6 per 100,000 person-years. For CoronaVac

recipients, the incidence ranged from 0 to 19.5 per 100,000 doses; from 0 to 34.8 per 100,000 persons; and incidence rate from 0 to 351.6 per 100,000 person-years. The following AESI events were not observed after vaccination: acute disseminated encephalomyelitis, thrombocytopenia, subacute thyroiditis, microangiopathy, stress cardiomyopathy, single organ cutaneous vasculitis, transverse myelitis, chilblain-like lesions, anosmia and Kawasaki disease. There was no incidence of erythema multiforme and diabetic ketoacidosis after BNT162b2 vaccination, and there was no incidence of meningoencephalitis after CoronaVac vaccination. Meanwhile, only four outcomes, including coronary artery disease, arrhythmia, thromboembolism, narcolepsy (in BNT16b2 group only), were with incidence of higher than 20 per 100,000 persons after BNT162b2 or CoronaVac vaccination.

Figure 2 and Table 3 suggests that there was no significantly increased risk of individual AESI or acute diabetic complications within 21 days after first or second dose of BNT162b2 and CoronaVac vaccination. In general, the risk difference of individual AESI or acute diabetic complications were small, which ranged from -29.8 to 7.5 incidence per 100,000 persons in BNT162b2 group, and ranged from -21.5 to 3.1 incidence per 100,000 persons in CoronaVac group.

Five sensitivity analyses were performed and they did not reveal significantly increased risk of the individual AESI or acute diabetic complications after first or second dose of BNT162b2 and CoronaVac vaccination (Supplementary table 3-7). Subgroup analysis based on HbA1c < 7% and ≥ 7% also did not show significantly excess risk of each class of AESI and acute diabetic complications after vaccination (Supplementary table 8).

4   Discussion

Our current territory-wide study provided reassuring data on the safety of BNT162b2 and CoronaVac for the diabetic population, which could help to minimize vaccine hesitancy among this population at risk of severe COVID-19 outcomes. We did not observe any significant increase in the risk of AESI in patients with T2DM after either BNT162b2 or CoronaVac vaccination. Furthermore, regarding glycemic control, the two vaccines were not associated with a heightened risk of acute diabetic complications. For infrequent outcomes, we cannot rule out the possibility of false negatives due to insufficient power of the current study. Nonetheless, since the number of incidences after vaccination was small, the absolute risk increments were minute even if the relative risks were statistically significant.

Some conditions included in the list of AESI, such as thromboembolism and acute pancreatitis, may be more commonly seen in individuals with T2DM as suggested by previous studies [37, 38]. Hence, it is highly relevant to evaluate the risk of these AESI associated with COVID-19 vaccination. The present study did not identify any significant increased risk of AESI in vaccinated individuals with T2DM, which is in line with previous large-scale studies in the general population that confirm safety of the vaccines [10, 11]. Meanwhile, there have been growing concerns over the heightened risks of thromboembolism after COVID-19 vaccination. The excess risk of thromboembolic events was identified in another COVID-19 vaccine ChAdOx1 [39], and a previous study suggested that free DNA in the vaccine might have potentially induced antibodies against platelet factor 4, thereby activating platelets and causing immune thrombotic thrombocytopenia [40]. Since COVID-19 vaccines are of different technology platforms, whether enhanced platelet activation observed in ChAdOx1 would be reproduced in BNT162b2 and CoronaVac requires further elucidation. Indeed, a large-scale SCCS study showed increased risk of arterial thromboembolism after BNT162b2 vaccination

[12], while several population-based cohort studies reported no association between BNT162b2 and thromboembolic events [10, 11, 39, 41], revealing that current evidence remains largely inconclusive. In this study, patients with T2DM were not shown to be associated with higher risks of thromboembolic events after COVID-19 vaccination. Since our study is the first large scale analytic study on the safety of COVID-19 vaccines in patients with T2DM, further studies are still needed to confirm our findings.

The incidence of myocarditis after BNT162b2 vaccination in healthy male adolescents was reported in multiple studies [13, 42], but such an association was not observed in our T2DM cohort. Although current evidence does not explain the increased risk of myocarditis in young males, age appears to play an important role because cases were mainly observed in younger (i.e. 12-24 years) age group [43]. It has been hypothesized that mRNA vaccines could trigger an intense immune response in a small subgroup of vulnerable adolescents, and asymptomatic infection of COVID-19 is more prevalent in young people, hence resulting in a higher incidence of post-vaccination systemic inflammatory response which may subsequently lead to myocarditis [44]. This possibly explains why increased incidence of myocarditis was not demonstrated in the current study because our population is generally older and they are less susceptible to immune-mediated myocarditis.

Apart from AESI, it is crucial to evaluate the impact of COVID-19 vaccines on the glycemic control of patients with T2DM, so as to clarify concerns raised by previous case reports regarding the possibility of COVID-19 vaccine disrupting glucose homeostasis and worsening glycemic control [5] that resulted in acute diabetic complications even in patients who had good glycemic control at baseline [45, 46]. In the present study, increased incidence of hypoglycemic episodes, diabetic ketoacidosis and hyperosmolar hyperglycemic syndrome was

not detected among the vaccinated individuals. It was hypothesized that vaccination which mimics natural infection may trigger systemic inflammatory response, causing hyperglycemia [46]. Whether this hypothesis fully explains fewer hypoglycemic events in the current study is unknown. Nonetheless, in a Chinese study that recruited healthy volunteers to receive two doses of inactivated COVID-19 vaccine from another manufacturer, a consistent rise of hemoglobin A1c (HbA1c) was noted by day 28 regardless of the vaccination schedule [47]. A downtrend in HbA1c was observed afterwards, yet the value was still higher after 3 months when compared to baseline [47]. Therefore, long-term follow-up is deemed necessary to determine whether the effect of vaccine on HbA1c is transient or clinically significant, and future studies in larger populations that received BNT162b2 and CoronaVac are anticipated. Since only events that led to hospitalization were taken into consideration, we could not rule out the possibility that some patients experienced mild or self-limiting hypo- or hyperglycemia after vaccination. However, severe post-vaccination acute complications that require inpatient management are unlikely. Additional studies are warranted to investigate the effect of COVID-19 vaccines on diabetic control.

This study has several strengths. First, the evidence on safety profile of COVID-19 vaccine in particular CoronaVac among diabetic population was limited, and this study addressed a novel research question. Second, the vaccination records covering the whole population in Hong Kong was officially provided by the Government, conferring high reliability and population representativeness. Our database also included the laboratory parameters so that we could evaluate the safety profile of COVID-19 vaccine in different levels of HbA1c. Third, given a very low infection rate of COVID-19 (12,168 COVID-19 cases as of January 31, 2022 in Hong Kong population of around 7.5 million) [48], the impact from COVID-19 and its complications on our observation of the safety of vaccines should be minimal. Fourth, several sensitivity

analyses were conducted to ensure the robustness of the results. Given a very low number of mortality events in observation period after COVID-19 vaccination and similar results in sensitivity analysis including patient with mortality, the third assumption of SCCS analysis was less likely violated in this study. Nevertheless, there were a few limitations in this study. First, the present study may not have sufficient statistical power to detect very rare events. Ideally, this study should be repeated in a larger population of DM patients. Second, our database only captured patients who have ever utilized public health services under the HA. It is possible that some DM patients who were managed in the private sector and have never attended clinics under the HA were not included in the current study. However, it was reported that more than 90% of the DM patients were managed under the HA because public healthcare is heavily subsidized by the government [49] and more than 70% of hospitalizations were admitted to the public hospitals [50]. Furthermore, this limitation does not affect SCCS analysis as it is intra-subject comparison. Third, bias may arise from underdiagnosis or misclassification since the outcome events were defined by diagnosis coding. However, coding accuracy of the current electronic health database has been demonstrated by previous studies as the diagnosis codes had high positive predictive values [51]. Fourth, our cohort only included patients with T2DM diagnosed on or before February 23, 2021, and thus patients with newly diagnosed T2DM after the inclusion period were not captured in this study. Lastly, we could not eliminate the possibility that some events occur after 21 days post vaccination. This will be elucidated with a longer-term monitoring for adverse events after vaccination among DM patients.

5 Conclusions

Patients with T2DM were not found to be associated with elevated risks of AESI and acute diabetic complications within 21 days after BNT162b2 or CoronaVac vaccination. We cannot rule out the possibility of false negatives due to insufficient power of the current study for a

few rare disease outcomes. However, given the low incidence of rare diseases outcomes after vaccination, the absolute risk increment was likely minimal. Since T2DM is a known risk factor for poor outcome associated with COVID-19, the proven benefits of COVID-19 vaccination in the diabetic population still outweigh its potential risks. Ongoing safety surveillance of the vaccines is warranted.

6   Declarations

6.1   Funding

6.2   Conflict of interest

6.3   Ethical approval

Ethical approval for this study was granted by the Institutional Review Board of the University of HK/HA HK West Cluster (UW21-149 and UW21-138) and the Department of Health Ethics Committee (LM21/2021).

6.4   Consent to participate and consent for publication

Consent from participants was not required as this study extracted anonymous data from an electronic health database under Hong Kong regulations and approval from the Hospital Authority and the Department of Health,

6.5 Availability of data and material

Data will not be available for others as the data custodians have not given permission.

6.6 Code availability

The modified SCCS for investigating event dependent exposure was conducted using the R function "eventdepenexp" in the R-package "SCCS". The R code will not be shared as it contains information about the dataset which is confidential.

6.7 Authors' contributions

EYFW, CSLC and ICKW had the original idea for the study, contributed to the development of the study, extracted data from the source database, constructed the study design and the statistical model, reviewed the literature, and act as guarantors for the study. EYFW, WX and VKCY undertook the statistical analysis. EYFW, AHYM and ICKW wrote the first draft of the manuscript. ICKW is the principal investigator and provided oversight for all aspects of this project. CSLC, AHYM, FFTL, XL. CKHW, EWYC, DTWL, KCBT, IFNH, CLKL and GML provided critical input to the analyses, design and discussion. All authors contributed to the interpretation of the analysis, critically reviewed and revised the manuscript, and approved the final manuscript as submitted.

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Figure legends

Figure 1. Observation timeline of a hypothetical patient in the self-controlled case series

Figure 2. Adjusted incidence rate ratio and risk difference of adverse event of special interest

and acute diabetes complications after vaccination of BNT162b2 and CoronaVac