# $\label{eq:condination} \mbox{Impact of Coordination Environment on Single-atom-embedded $C_3N$} \\ \mbox{for Oxygen Electrocatalysis}$

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#### **ABSTRACT**

Herein, utilizing density functional theory (DFT) calculations, we have assessed the feasibility of single-atom-embedded  $C_3N$  with the various coordination environments of TM-C<sub>3</sub>, TM-C<sub>2</sub>N<sub>1</sub>, TM-C<sub>4</sub>, and TM-C<sub>2</sub>N<sub>2</sub> for oxygen electrocatalysis. It is proved that most TM-C<sub>x</sub>N<sub>y</sub> candidates are stable and all of them possess metallic features to ensure fast electron transfer. Importantly, Co-C<sub>2</sub>N<sub>2</sub> is considered as a bifunctional noble-metal-free catalyst with low OER and ORR overpotentials of 0.33 and 0.39 V. Furthermore, the impact of coordination environment on adsorption trend is revealed by the electronic properties for TM-C<sub>x</sub>N<sub>y</sub>. Considering both the number of TM-d orbital electrons and the electronegativity of TM atoms and coordinated C/N atoms, a universal descriptor  $\varphi$  is proposed and offers more understandings to the coordination-activity correlation. Our findings not only show the promising single-atom-embedded C<sub>3</sub>N candidates for oxygen electrocatalysis but also deeply unveil the impact of coordination environment on catalytic activity.

**KEYWORDS:** single-atom catalysts; coordination environment; oxygen evolution reaction; oxygen reduction reaction; first-principles calculations

## **INTRODUCTION**

Developing sustainable energy technologies is an attractive approach to solve the problem of fossil fuel depletion and environmental pollution. <sup>1, 2</sup> Electrochemical energy conversion strategies, incorporating water electrolysis, fuel cells, and metal-air batteries, have gained great interest with the merits of high efficiency and low emission. <sup>3-6</sup> Oxygen evolution reaction (OER) and oxygen reduction reaction (ORR) play a key role in these advanced technologies. <sup>7, 8</sup> Noble-metal-based Ru/Ir oxide and Pt have been known as efficient catalysts for OER and ORR, respectively. <sup>9-11</sup> Nevertheless, their widespread applications are hindered by the high cost and poor stability of these noble metals. <sup>12</sup> Accordingly, it is desirable to minimize or completely replace the usage of noble metals and maintain the comparable activity for the design of OER and ORR catalysts. <sup>13</sup>

The emerging single-atom catalysts (SACs) have attracted extensive attention with the isolated transition metal (TM) atoms anchored on substrates. <sup>14-16</sup> The high atomic utilization of SACs results in minimized metal usage, especially beneficial for noble metal-based catalysts. <sup>17, 18</sup> Moreover, SACs exert outstanding catalytic performance, high selectivity, and long-time stability, compared with metal nanoparticle catalysts. <sup>19, 20</sup> Owing to these features, SACs have been demonstrated as promising catalysts for various electrochemical reactions in both theoretical and experimental studies. <sup>20-23</sup> However, the single metal atoms would easily aggregate into clusters due to the high surface free energy. <sup>24, 25</sup> Two-dimensional (2D) materials, such as N-doped graphene, <sup>26-28</sup> graphitic carbon nitride, <sup>29-31</sup> Mxenes, <sup>32, 33</sup> and transition metal dichalcogenides, <sup>34, 35</sup>

have become the distinguished substrates to firmly anchor SACs owing to the large surface area and high stability. For example, the metal-nitrogen-carbon (M-N-C) materials such as Fe-N-C have been considered as outstanding catalysts for ORR.<sup>36-38</sup>

Recently, a novel 2D-layered polyaniline framework with the formula of C<sub>3</sub>N has been synthesized via the direct pyrolysis of hexaaminobenzene trihydrochloride single crystals.<sup>39</sup> The intrinsic electronic, mechanical, and chemical properties of C<sub>3</sub>N have been intensively explored.<sup>40,41</sup> It is predicted that C<sub>3</sub>N shows the prospects in metal-ion batteries, gas sensors, gas capture, and catalysis.<sup>42-45</sup> Particularly, the C<sub>3</sub>N framework can serve as a suitable support for SACs to achieve superior electrocatalytic performance.<sup>45-47</sup> The single or double C/N vacancies should be introduced to the holefree C<sub>3</sub>N plane for stabilizing SACs. In this regard, the TM atoms can be embedded in C<sub>3</sub>N with diverse coordinated C and N atoms, forming the TM-C<sub>x</sub>N<sub>y</sub> configurations. It is well-known that coordination engineering can effectively modulate the catalytic performance. Therefore, unveiling the effect of the coordination environment for C<sub>3</sub>N-supported SACs is an intriguing task, which can promote the understanding of the structure-activity relation and guide the design of highly active catalysts.

In this study, we have systematically evaluated the stability, electronic properties, and OER/ORR activity for TM-C<sub>x</sub>N<sub>y</sub> (including TM-C<sub>3</sub>, TM-C<sub>2</sub>N<sub>1</sub>, TM-C<sub>4</sub>, and TM-C<sub>2</sub>N<sub>2</sub>). It was demonstrated that Co-C<sub>2</sub>N<sub>2</sub> exhibited the low overpotentials of 0.33 and 0.39 V for OER and ORR, respectively, and thus was considered as bifunctional OER/ORR catalysts. The OER/ORR volcano plots and the OER/ORR polarization curves were drawn to further clarify the superior performance of catalysts. The

electronic properties of TM- $C_xN_y$  were analyzed to shed light on the impact of different coordination environments on catalytic activity. Moreover, a series of descriptors were examined to rationally explain the OER/ORR activity origin on TM- $C_xN_y$ . The discovery of the universal descriptor  $\varphi$  can provide guidance for the design and optimization of SACs.

#### **COMPUTATIONAL METHODS**

All first-principles calculations were carried out based on spin-polarized density functional theory (DFT) methods, as implemented in the Vienna Ab initio Simulation Package. 48 We adopted the projector augmented wave (PAW) method to describe the interaction between valance and core electrons. The exchange-correlation energy was treated by generalized gradient approximation (GGA) in the form of Perdew-Burke-Ernzerhof (PBE) functional.<sup>49</sup> The HSE06 functional calculations were utilized to depict the band structures of pristine C<sub>3</sub>N.<sup>50</sup> The first Brillouin zone was sampled with the 3×3×1 Monkhorst-Pack k-point grid for structural optimization and a denser 7×7×1 sampling grid was adopted for electronic properties. All configurations were fully optimized until energy and force change within 10<sup>-5</sup> eV and 0.02 eV/Å, respectively. The vacuum gap of 20 Å along the z-direction was applied to avoid the interactions between two adjacent layers under periodic boundary conditions. The van der Waals interactions were described by Grimme's DFT-D3 scheme.<sup>51</sup> Considering the solvation effect can enhance the adsorption energy (Table S1), the implicit solvent model VASPsol was included with a dielectric constant of 78.4.52 The energy barriers of the OER/ORR process were computed by using the climbing-image nudged elastic band (CI-NEB) method<sup>53</sup>. The convergence criterion of force was set to 0.05 eV Å<sup>-1</sup> during CI-NEB calculations.

The Gibbs free energy change ( $\Delta G$ ) of OER/ORR elementary step can be calculated as  $^{54,\,55}$ 

$$\Delta G = \Delta E + \Delta Z P E - T \Delta S + \Delta U \tag{1}$$

where  $\Delta E$  denotes the total energy change.  $\Delta ZPE$  and  $\Delta S$  represent the zero-point energy and entropy corrections at 298.15 K, respectively (details in **Table S2**). The effect of the applied potential is considered in  $\Delta U = -eU$ , in which e and U are the number of transferred electrons and the applied potential, respectively.

To evaluate the catalytic activity, the OER and ORR overpotentials  $(\eta)$  are calculated as

$$\eta_{\text{OFR}} = \Delta G_{\text{max}} / e - 1.23 \tag{2}$$

$$\eta_{\text{ORR}} = 1.23 - \Delta G_{\text{max'}} / e \tag{3}$$

where  $\Delta G_{\text{max}}$  and  $\Delta G_{\text{max}}$  are the free energy changes of the most difficult step in the whole OER and ORR reaction, which is called the potential-determining step (PDS). More details for the OER/ORR activity evaluation are given in the supporting information.

#### RESULTS AND DISCUSSION

## Structure, stability, and electronic property

As shown in **Figure 1a**, the primitive cell of pristine  $C_3N$  is composed of six carbon atoms and two nitrogen atoms with the lattice parameter of 4.86 Å. The (3×3)  $C_3N$  supercell is adopted for further calculations, as presented in **Figure S1**. The

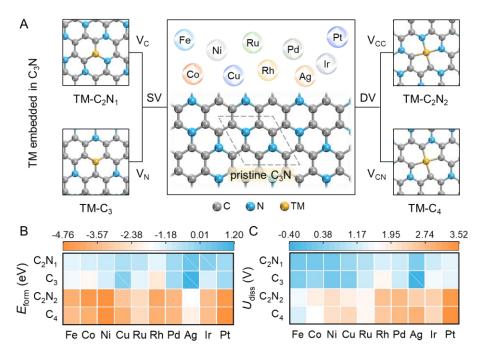
extended  $C_3N$  plane shows the graphene-like honeycomb structure with similar C-C and C-N bond lengths of 1.40 Å. Two kinds of single vacancies (SV:  $V_C$  and  $V_N$ ) and two kinds of double vacancies (DV:  $V_{CC}$  and  $V_{CN}$ ) can be formed on pristine  $C_3N$ . The configurations of the defective  $C_3N$  can be seen in **Figure S1**. To assess the formation possibility of  $V_C$ ,  $V_N$ ,  $V_{CC}$ , and  $V_{CN}$  in  $C_3N$ , the vacancy formation energies ( $E_{vf}$ ) are calculated as

$$E_{\rm vf} = E_{\rm V} - E_{\rm P} + \mu_{\rm C/N} \tag{4}$$

where  $E_{\rm V}$  and  $E_{\rm P}$  are the total energies of the defective  $C_3N$  and pristine  $C_3N$ , respectively.  $\mu_{\rm C/N}$  is the chemical potential of the carbon or nitrogen atom, which denotes the total energy of per C atom in graphene or per N atom in the  $N_2$  molecule, respectively. The  $E_{\rm vf}$  values for  $V_{\rm C}$ ,  $V_{\rm N}$ ,  $V_{\rm CC}$ , and  $V_{\rm CN}$  are 4.61, 4.82, 4.83, and 6.71 eV, respectively. For comparison, the vacancy formation energies of SV and DV in graphene are calculated as 8.09 and 8.20 eV, respectively. The lower  $E_{\rm vf}$  values of defective  $C_3N$  suggest the thermodynamically favorable formation of vacancies on  $C_3N$ .

The diverse forms of vacancies on the  $C_3N$  plane can provide different coordination environments for SACs. Specifically, the TM atoms can be embedded in  $V_C$ ,  $V_N$ ,  $V_{CC}$ , and  $V_{CN}$ , forming the structures of TM- $C_2N_1$ , TM- $C_3$ , TM- $C_2N_2$ , and TM- $C_4$ , respectively (**Figure 1a**). Ten TM atoms are investigated in this work (TM=Fe, Co, Ni, Cu, Ru, Rh, Pd, Ag, Ir, and Pt). All TM- $C_xN_y$  configurations embedded in  $C_3N$  are presented in **Figure S2-S5.** The details of bond lengths and magnetic moments for TM- $C_xN_y$  configurations are listed in **Table S3-S6**. Notably, the TM atoms in TM- $C_2N_1$  and TM- $C_3$  prefer to protrude the  $C_3N$  plane, while TM- $C_2N_2$  and TM- $C_4$  can maintain

planar structures.



**Figure 1.** (a) Configurations of pristine  $C_3N$  and TM-embedded  $C_3N$ . The TM atoms can be anchored at single vacancies (SV:  $V_C$  and  $V_N$ ) and double vacancies (DV:  $V_{CC}$  and  $V_{CN}$ ) on  $C_3N$  with the moiety of TM- $C_xN_y$  (TM- $C_2N_1$ , TM- $C_3$ , TM- $C_2N_2$ , and TM- $C_4$ ). The heatmaps of (b) formation energies ( $E_{form}$ ) and (c) dissolution potentials ( $U_{diss}$ ) for TM- $C_xN_y$  configurations.

To prove the thermodynamic stability, the formation energy ( $E_{\text{form}}$ ) is calculated as

$$E_{\text{form}} = E_{\text{TM-C3N}} - E_{\text{V-C3N}} - E_{\text{TM}}$$
 (5)

where  $E_{\text{TM-C3N}}$ ,  $E_{\text{V-C3N}}$ , and  $E_{\text{TM}}$  denote the total energies of TM-embedded C<sub>3</sub>N, defective C<sub>3</sub>N, and TM atom in bulk, respectively. The criterion of  $E_{\text{form}} < 0$  should be satisfied for a thermodynamically stable TM-C<sub>x</sub>N<sub>y</sub> configuration. The heatmap of  $E_{\text{form}}$  values for all TM-C<sub>x</sub>N<sub>y</sub> configurations is plotted in **Figure 1b** and the detailed formation energies are summarized in **Table S7**. It is indicated that TM-C<sub>2</sub>N<sub>2</sub> and TM-C<sub>4</sub> exhibit the more negative  $E_{\text{form}}$  and thus are more thermodynamically stable than

TM-C<sub>2</sub>N<sub>1</sub> and TM-C<sub>3</sub>. In addition, most TM-C<sub>x</sub>N<sub>y</sub> candidates are stable without the risk of aggregation, except for Ag-C<sub>2</sub>N<sub>1</sub>, Ir-C<sub>2</sub>N<sub>1</sub>, Cu-C<sub>3</sub>, and Ag-C<sub>3</sub>. Besides, considering the formation process of TM-C<sub>x</sub>N<sub>y</sub> relative to pristine C<sub>3</sub>N, the  $E_{vf} + E_{form}$  values are calculated as listed in **Table S8**. Similarly, TM atoms embedded in DV-C<sub>3</sub>N exert lower  $E_{vf} + E_{form}$  values and thus show higher stability than those embedded in SV-C<sub>3</sub>N.

Moreover, to investigate the electrochemical stability, the dissolution potential  $(U_{
m diss})$  is defined as

$$U_{\rm diss} = U_{\rm diss'} - E_{\rm form} / (n_e e) \tag{6}$$

in which  $U_{\rm diss}$  denotes the standard dissolution potential for TM in the bulk phase and  $n_{\rm e}$  represents the number of transferred electrons during dissolution. The positive dissolution potential ( $U_{\rm diss} > 0$ ) means the stable TM-C<sub>x</sub>N<sub>y</sub> structure under electrochemical conditions.<sup>56</sup> The heatmap of  $U_{\rm diss}$  values for TM-C<sub>x</sub>N<sub>y</sub> systems is plotted in **Figure 1c** and the detailed  $U_{\rm diss}$  values are listed in **Table S9-S12**. The calculated results prove that TM-C<sub>2</sub>N<sub>2</sub> and TM-C<sub>4</sub> are more electrochemically stable with more positive  $U_{\rm diss}$  values, compared with TM-C<sub>2</sub>N<sub>1</sub> and TM-C<sub>3</sub>. The dissolution potentials for almost all TM-C<sub>x</sub>N<sub>y</sub> configurations are positive, except for Ag-C<sub>3</sub>. Note that the unstable configurations (Ag-C<sub>2</sub>N<sub>1</sub>, Ir-C<sub>2</sub>N<sub>1</sub>, Cu-C<sub>3</sub>, and Ag-C<sub>3</sub>) are still remained in the following discussion to guarantee sufficient samples for the analysis of OER/ORR trend and the design of universal descriptor.

Electrical conductivity is an essential factor to assess electrocatalytic activity. The superior electrical conductivity can guarantee the fast charge transfer during OER and ORR.<sup>57</sup> **Figure S6** shows the electronic band structures of pristine C<sub>3</sub>N, revealing

the  $C_3N$  is a semiconductor with the bandgap of 1.04 eV at the HSE06 level, in line with the previous report.<sup>58</sup> The semiconducting properties prohibit electrochemical applications of  $C_3N$ . After embedding TM atoms, TM- $C_xN_y$  candidates show metallic properties. The total density of states (TDOS) for all TM- $C_xN_y$  configurations are presented in **Figure S7-S10**. It is found that electronic states distribute across the Fermi level for all TM- $C_xN_y$  systems, proving the outstanding electronic conductivity.

## **OER/ORR** performance

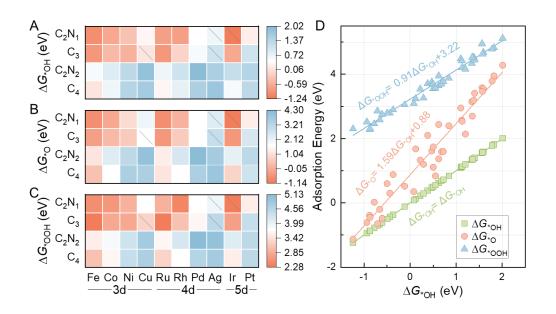
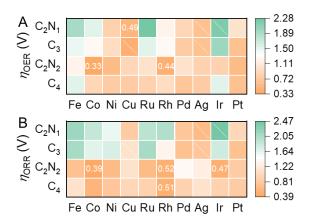


Figure 2. The adsorption energies of (a) \*OH, (b) \*O, and (c) \*OOH ( $\Delta G_{*OH}$ ,  $\Delta G_{*O}$ , and  $\Delta G_{*OOH}$ ) for TM-C<sub>x</sub>N<sub>y</sub> configurations. (d) The scaling relations among  $\Delta G_{*OH}$ ,  $\Delta G_{*O}$ , and  $\Delta G_{*OOH}$  on TM-C<sub>x</sub>N<sub>y</sub>.

The OER and ORR activity highly correlate with the adsorption of \*OH, \*O, and \*OOH. The configurations of \*OH, \*O, and \*OOH intermediates adsorbed on TM- $C_xN_y$  are fully relaxed (**Figure S11-S14**) to analyze adsorption energies of \*OH, \*O, and \*OOH ( $\Delta G_{*OH}$ ,  $\Delta G_{*O}$ , and  $\Delta G_{*OOH}$ ). Similar trends of \*OH, \*O, and \*OOH

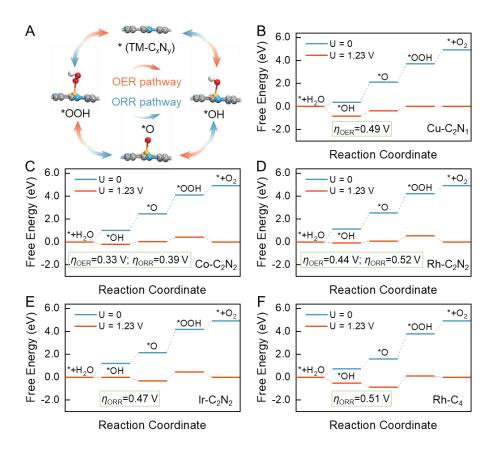
adsorption variation on different TM-C<sub>x</sub>N<sub>y</sub> moieties are observed in **Figure 2a-c**. The adsorption energies of OER/ORR intermediates gradually get weaker from left to right in a row. For example, the  $\Delta G_{*OH}$  values for Fe-C<sub>2</sub>N<sub>1</sub>, Co-C<sub>2</sub>N<sub>1</sub>, Ni-C<sub>2</sub>N<sub>1</sub>, and Cu-C<sub>2</sub>N<sub>1</sub> are -0.94, -0.66, -0.37, 0.38 eV, respectively. Additionally, the adsorption strength of intermediates on TM-C<sub>2</sub>N<sub>1</sub> and TM-C<sub>3</sub> is much stronger than that on TM-C<sub>2</sub>N<sub>2</sub> and TM-C<sub>4</sub>, suggesting the significant effect of coordination environment on adsorption behavior. The detailed  $\Delta G_{*OH}$ ,  $\Delta G_{*O}$ , and  $\Delta G_{*OOH}$  values are listed in **Table S13-S16**. Moreover, the formation of similar TM-O bonds when intermediates (\*OH, \*O, and \*OOH) adsorbed on TM-C<sub>x</sub>N<sub>y</sub> leads to the scaling relations among  $\Delta G_{*OH}$ ,  $\Delta G_{*O}$ , and  $\Delta G_{*OOH}$  (**Figure 2d**). In this regard,  $\Delta G_{*O}$  and  $\Delta G_{*OOH}$  can be expressed as  $\Delta G_{*O}$  = 1.59 $\Delta G_{*OH}$  +0.88 and  $\Delta G_{*OOH}$  = 0.91 $\Delta G_{*OH}$  + 3.22. Therefore, the OER/ORR activity volcano can be drawn based on the linear scaling relations among  $\Delta G_{*OH}$ ,  $\Delta G_{*O}$ , and  $\Delta G_{*OOH}$ , which will be discussed later.



**Figure 3.** The heatmaps of (a) OER and (b) ORR overpotentials ( $\eta_{OER}$  and  $\eta_{ORR}$ ) for TM-C<sub>x</sub>N<sub>y</sub>.

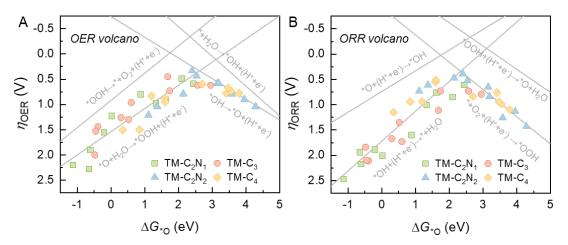
We then calculate the free energy change of each OER/ORR elementary step, as summarized in **Table S17-S20**. The OER and ORR overpotentials ( $\eta_{OER}$  and  $\eta_{ORR}$ ) for

all TM-C<sub>x</sub>N<sub>y</sub> candidates can be seen in **Figure 3** (detail values in **Table S21**). Encouragingly, Cu-C<sub>2</sub>N<sub>1</sub>, Co-C<sub>2</sub>N<sub>2</sub>, and Rh-C<sub>2</sub>N<sub>2</sub> exhibit outstanding OER performance with the  $\eta_{OER}$  of 0.49, 0.33, and 0.44 V, respectively, which are comparable to RuO<sub>2</sub> ( $\eta_{OER}$ =0.42 V).<sup>59</sup> Moreover, Co-C<sub>2</sub>N<sub>2</sub>, Rh-C<sub>2</sub>N<sub>2</sub>, Ir-C<sub>2</sub>N<sub>2</sub>, and Rh-C<sub>4</sub> possess superior ORR performance with the  $\eta_{ORR}$  of 0.39, 0.52, 0.47, and 0.51 V, respectively. The four candidates are expected to show comparable ORR activity to Pt(111) ( $\eta_{ORR}$ =0.45 V).<sup>54</sup> Particularly, Co-C<sub>2</sub>N<sub>2</sub> and Rh-C<sub>2</sub>N<sub>2</sub> can serve as bifunctional OER/ORR catalysts and simultaneously drive OER and ORR.



**Figure 4.** (a) The OER and ORR pathway on TM- $C_xN_y$ . The OER/ORR free energy diagrams for (b) Cu- $C_2N_1$ , (c) Co- $C_2N_2$ , (d) Rh- $C_2N_2$ , (e) Ir- $C_2N_2$ , and (f) Rh- $C_4$ , respectively. The applied potential is set to 0 and 1.23 V, respectively. The OER process is from left to right, while the ORR process is reversed.

Figure 4a presents the illustration of the OER and ORR pathway on TM-C<sub>x</sub>N<sub>y</sub>. The OER pathway includes four elementary steps in acid conditions with the process of \*+ $H_2O \rightarrow *OH \rightarrow *O \rightarrow *OOH \rightarrow *+O_2$ , while the ORR is the reversed reaction of OER. The OER/ORR free energy diagrams for Cu-C<sub>2</sub>N<sub>1</sub>, Co-C<sub>2</sub>N<sub>2</sub>, Rh-C<sub>2</sub>N<sub>2</sub>, Ir-C<sub>2</sub>N<sub>2</sub>, and Rh-C<sub>4</sub> are plotted in Figure 4b-f. It is seen that all OER elementary steps are endothermic without applied potential. When the equilibrium potential of 1.23 V is applied, more energy input is still required to overcome the PDS. The PDS of the OER process is the second step (\*OH  $\rightarrow$  \*O) on Cu-C<sub>2</sub>N<sub>1</sub> (1.72 eV) and Co-C<sub>2</sub>N<sub>2</sub> (1.56 eV), while the OER process is limited by the third step (\*O  $\rightarrow$  \*OOH) on Rh-C<sub>2</sub>N<sub>2</sub> (1.67 eV). As for the ORR process, the PDS is the fourth step (\*OH  $\rightarrow$  \*+H<sub>2</sub>O) on Co-C<sub>2</sub>N<sub>2</sub> (-0.84 eV) and Rh-C<sub>4</sub> (-0.72 eV). The first ORR elementary step (\*+O<sub>2</sub>  $\rightarrow$  \*OOH) becomes PDS on Rh-C<sub>2</sub>N<sub>2</sub> (-0.71 eV) and Ir-C<sub>2</sub>N<sub>2</sub> (-0.76 eV). The mild free energy changes of PDS on Cu-C<sub>2</sub>N<sub>1</sub>, Co-C<sub>2</sub>N<sub>2</sub>, Rh-C<sub>2</sub>N<sub>2</sub>, Ir-C<sub>2</sub>N<sub>2</sub>, and Rh-C<sub>4</sub> result in their low OER/ORR overpotentials. The OER/ORR free energy diagrams for the other TM- $C_xN_v$ candidates can be found in Figure S15-S18.



**Figure 5.** (a) OER and (b) ORR volcano plots ( $\eta_{OER}$  vs.  $\Delta G_{*O}$  and  $\eta_{ORR}$  vs.  $\Delta G_{*O}$ ) for TM-C<sub>x</sub>N<sub>y</sub> established by the scaling relations among  $\Delta G_{*OH}$ ,  $\Delta G_{*O}$ , and  $\Delta G_{*OOH}$ . The

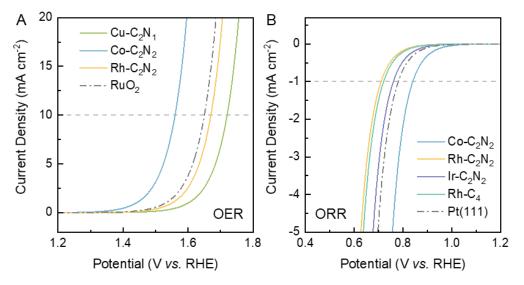
solid lines represent the OER/ ORR elementary steps on TM-C<sub>x</sub>N<sub>y</sub>.

According to the scaling relations among  $\Delta G_{*OH}$ ,  $\Delta G_{*O}$ , and  $\Delta G_{*OOH}$  discussed in **Figure 2d**, the adsorption energy of intermediates (*e.g.*  $\Delta G_{*O}$ ) can be the onefold descriptor to reveal OER and ORR activity trend. **Figure 5** presents the OER and ORR volcano plots, which are established by the volcano-shaped relationship between  $\eta_{OER}$  and  $\Delta G_{*O}$ , as well as between  $\eta_{ORR}$  and  $\Delta G_{*O}$ . The solid lines represent the OER/ORR elementary steps on TM-C<sub>x</sub>N<sub>y</sub>. It is indicated in **Figure 5a** that the OER process is impeded by the step from \*O to \*OOH when the adsorption is too strong, while the process of \*OH  $\rightarrow$  \*O becomes PDS for OER when the adsorption is too weak. The ORR volcano plot in **Figure 5b** suggests that the steps of \*OH  $\rightarrow$  \*+H<sub>2</sub>O and \*O<sub>2</sub>  $\rightarrow$  \*OOH are the main PDS for ORR.

Based on the Sabatier principle,<sup>60</sup> the too weak or too strong binding of intermediates prohibits the adsorption or desorption, respectively, leading to the poor OER/ORR activity. The too strong adsorption of \*O on TM-C<sub>2</sub>N<sub>1</sub> and TM-C<sub>3</sub> accounts for the sluggish OER and ORR. On the contrary, TM-C<sub>2</sub>N<sub>2</sub> and TM-C<sub>4</sub> show more efficient OER/ORR activity owing to the moderate adsorption strength. The OER volcano peak corresponds to the  $\Delta G_{*O}$  of around 2.60 eV and the best ORR catalysts approximately possess the  $\Delta G_{*O}$  of 2.40 eV. The promising OER catalysts (Cu-C<sub>2</sub>N<sub>1</sub>, Co-C<sub>2</sub>N<sub>2</sub>, and Rh-C<sub>2</sub>N<sub>2</sub>) locate near the OER volcano peak with the moderate  $\Delta G_{*O}$ . Co-C<sub>2</sub>N<sub>2</sub>, Rh-C<sub>2</sub>N<sub>2</sub>, Ir-C<sub>2</sub>N<sub>2</sub>, and Rh-C<sub>4</sub> stand near the ORR volcano peak and are considered desirable ORR catalysts. Especially, Co-C<sub>2</sub>N<sub>2</sub> and Rh-C<sub>2</sub>N<sub>2</sub> possess  $\Delta G_{*O}$  of 2.40 and 2.54 eV and exhibit bifunctional OER/ORR activity with the  $\eta_{OER}/\eta_{ORR}$  of

0.33/0.39 and 0.44/0.52 V, respectively. The sum of OER and ORR overpotentials  $(\eta_{OER}+\eta_{ORR})$  for Co-C<sub>2</sub>N<sub>2</sub> and Rh-C<sub>2</sub>N<sub>2</sub> is calculated, as seen in **Table S22**. It is indicated that Co-C<sub>2</sub>N<sub>2</sub> can serve as a superior OER/ORR catalyst with a low  $\eta_{OER}+\eta_{ORR}$  value, compared with other reported catalysts.

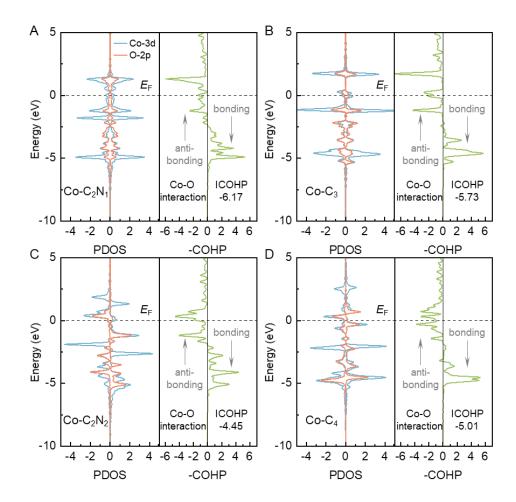
Moreover, to clarify the OER/ORR kinetic feasibility of Co-C<sub>2</sub>N<sub>2</sub>, we perform CI-NEB calculations and analyze the kinetic energy barriers. The configurations of initial states (IS), transition states (TS), and final states (FS) during the OER/ORR process are presented in **Figure S19**. It can be found that the second step (from \*OH to \*O) is the OER rate-determining step (RDS) on Co-C<sub>2</sub>N<sub>2</sub>, with the largest energy barrier of 1.80 eV. As for the ORR process, the fourth step (from \*OH to \*+H<sub>2</sub>O) exhibits the highest barriers of 0.60 eV and thus becomes the RDS of ORR. Therefore, these results suggest that the most difficult OER/ORR thermodynamic step on Co-C<sub>2</sub>N<sub>2</sub> also possesses the highest kinetic energy barrier.



**Figure 6.** (a) The theoretical OER polarization curves for Cu-C<sub>2</sub>N<sub>1</sub>, Co-C<sub>2</sub>N<sub>2</sub>, and Rh-C<sub>2</sub>N<sub>2</sub> compared with that of RuO<sub>2</sub>. (b) The theoretical ORR polarization curves for Co-C<sub>2</sub>N<sub>2</sub>, Rh-C<sub>2</sub>N<sub>2</sub>, Ir-C<sub>2</sub>N<sub>2</sub>, and Rh-C<sub>4</sub> compared with that of Pt(111).

To elucidate the OER and ORR activity in practice, we simulate the OER polarization curves for Cu-C<sub>2</sub>N<sub>1</sub>, Co-C<sub>2</sub>N<sub>2</sub>, and Rh-C<sub>2</sub>N<sub>2</sub>, as well as the ORR polarization curves for Co-C<sub>2</sub>N<sub>2</sub>, Rh-C<sub>2</sub>N<sub>2</sub>, Ir-C<sub>2</sub>N<sub>2</sub>, and Rh-C<sub>4</sub>. The benchmarks for OER and ORR are chosen as RuO<sub>2</sub> and Pt(111), respectively. This simulated method has been developed and applied to theoretically visualize the OER and ORR performance, filling the gap between the calculated and experimental results (details in the supporting information).<sup>61-63</sup> As plotted in **Figure 6a**, the OER onset potentials (*U*<sub>OER</sub> at the current density of 10 mA·cm<sup>-2</sup>) are 1.72, 1.57, and 1.67 V for Cu-C<sub>2</sub>N<sub>1</sub>, Co-C<sub>2</sub>N<sub>2</sub>, and Rh-C<sub>2</sub>N<sub>2</sub>, respectively. **Figure 6b** presents that Co-C<sub>2</sub>N<sub>2</sub>, Rh-C<sub>2</sub>N<sub>2</sub>, Ir-C<sub>2</sub>N<sub>2</sub>, and Rh-C<sub>4</sub> exert the ORR onset potentials (*U*<sub>ORR</sub> at the current density of 1 mA·cm<sup>-2</sup>) of 0.84, 0.71, 0.76, and 0.72 V, respectively. Compared with the *U*<sub>OER</sub> (1.65 V) for RuO<sub>2</sub> and *U*<sub>ORR</sub> (0.78 V) for Pt(111), Co-C<sub>2</sub>N<sub>2</sub> exhibits better catalytic performance for both OER and ORR and serves as promising noble-free SACs for oxygen electrocatalysis.

## **OER/ORR** activity origin



**Figure 7.** Partial density of states (PDOS) and projected crystal orbital Hamilton population (pCOHP) for \*O adsorbed on (a) Co-C<sub>2</sub>N<sub>1</sub>, (b) Co-C<sub>3</sub>, (c) Co-C<sub>2</sub>N<sub>2</sub>, and (d) Co-C<sub>4</sub>. The interaction between Co-3d and O-2p orbitals in the PDOS and pCOHP are analyzed. The bonding and antibonding states in pCOHP are drawn on the right and left sides, respectively. The Fermi level ( $E_F$ ) is set to 0 eV.

The partial density of states (PDOS) and projected crystal orbital Hamilton population (pCOHP) for \*O adsorbed on Co-C<sub>x</sub>N<sub>y</sub> are analyzed to better unveil the effect of different coordination environments. The significant hybridization between Co-3d and O-2p orbitals can be found in **Figure 7a-d**. The bonding states of the Co-O

interaction are mainly located at -5~-3 eV and antibonding states distribute above -2 eV. Notably, the clear peaks of the unoccupied antibonding states are detected at around +2 eV for \*O adsorbed on Co-C<sub>2</sub>N<sub>1</sub> and Co-C<sub>3</sub> (**Figure 7a-b**). However, similar peaks are not observed in Figure 7c-d. Moreover, the integrated COHP (ICOHP) values are obtained by integrating the energy up to  $E_{\rm F}$ , which can provide a more quantitative explanation. As shown in **Figure S20**, ICOHP linearly correlates with  $\Delta G_{*0}$ . The more negative ICOHP value indicates the stronger TM-O interaction. The ICOHP values for Co-O interaction on Co-C<sub>2</sub>N<sub>1</sub>, Co-C<sub>3</sub>, Co-C<sub>2</sub>N<sub>2</sub>, and Co-C<sub>4</sub> are -6.17, -5.73, -4.45, and -5.01, respectively. Thus, compared with DV-C<sub>3</sub>N supported SACs (e.g. Co-C<sub>2</sub>N<sub>2</sub> and Co-C<sub>4</sub>), the SACs in SV-C<sub>3</sub>N (e.g. Co-C<sub>2</sub>N<sub>1</sub> and Co-C<sub>3</sub>) possess stronger adsorption for intermediates due to the larger proportion of unoccupied antibonding states and more negative ICOHP values. Particularly, Co-C<sub>2</sub>N<sub>2</sub> possesses the most moderate Co-O interaction and thus exhibits the best OER/ORR activity (Figure S21). On the contrary, Co-C<sub>2</sub>N<sub>1</sub>, Co-C<sub>3</sub>, and Co-C<sub>4</sub> suffer from too strong Co-O interaction and show poor OER/ORR activity.

We then focus on finding suitable descriptors to reveal the variation trend of adsorption strength on TM- $C_xN_y$ . The elements of TM atoms are expanded with the range from Ti to Au, which is beneficial to explore correlations between descriptors and adsorption strength in a broader set of samples. The detailed values for the considered descriptors and the corresponding  $\Delta G_{*O}$  values on TM- $C_xN_y$  are summarized in **Table S23-S26**. Given that the key role of TM-d orbitals in the adsorption of intermediates, the d-band centers ( $\varepsilon_d$ ) of TM- $C_xN_y$  and the number of TM-d orbital electrons ( $N_d$ ) are

firstly considered as promising descriptors. **Figure 8a-b** shows the relationships between  $\varepsilon_d$  and  $\Delta G_{*0}$ , as well as between  $N_d$  and  $\Delta G_{*0}$ . Both  $\varepsilon_d$  and  $N_d$  correlate linearly with  $\Delta G_{*0}$  for every single TM-C<sub>x</sub>N<sub>y</sub> system, exhibiting the correlation coefficient (R<sup>2</sup>) of 0.71~0.83 and 0.91~0.93, respectively. Moreover, considering the electronegativity of TM atoms ( $E_{TM}$ ), the number of TM-d orbital electrons multiplied by the electronegativity of TM atoms ( $N_d \times E_{TM}$ ) is further created as a modified descriptor. **Figure 8c** indicates that  $N_d \times E_{TM}$  descriptor is also related to  $\Delta G_{*0}$  (R<sup>2</sup>=0.84~0.88). Whereas, it is noted that the three descriptors ( $\varepsilon_d$ ,  $N_d$ , and  $N_d \times E_{TM}$ ) fail to reflect the overall adsorption variation trend for all TM-C<sub>x</sub>N<sub>y</sub> systems. This is because the diverse coordination environments of TM-C<sub>x</sub>N<sub>y</sub> can effectively influence the intermediates adsorption (demonstrated in **Figure 7**). However, the three descriptors just simply consider the effect of TM atoms but neglect the effect of coordination environments.

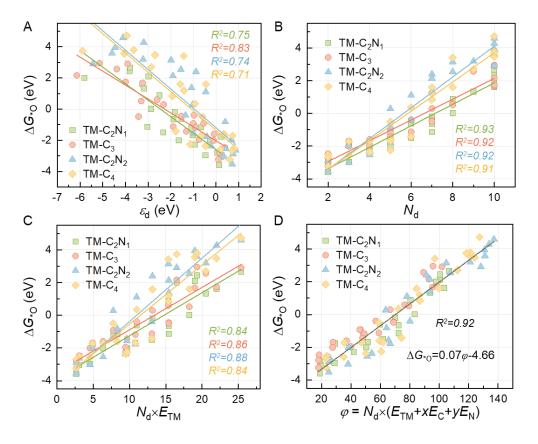
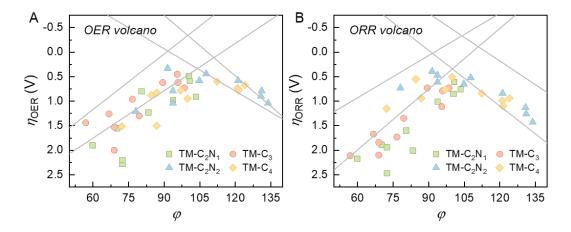


Figure 8. The correlations between the possible descriptors and  $\Delta G_{*O}$ . The descriptors are considered as (a) d-band center ( $\varepsilon_d$ ), (b) the number of TM-d orbital electrons ( $N_d$ ), (c) the number of TM-d orbital electrons multiplied by the electronegativity of TM atoms ( $N_d \times E_{TM}$ ), and (d) the number of TM-d orbital electrons multiplied by the electronegativity of TM atoms and coordinated C/N atoms ( $\varphi = N_d \times (E_{TM} + xE_C + yE_N)$ ).



**Figure 9.** (a) OER and (b) ORR volcano plots ( $\eta_{OER}$  vs.  $\varphi$  and  $\eta_{ORR}$  vs.  $\varphi$ ) for TM-C<sub>x</sub>N<sub>y</sub> established by the linear relationship between  $\varphi$  and  $\Delta G_{*O}$ . The solid lines represent the OER/ORR elementary steps on TM-C<sub>x</sub>N<sub>y</sub>.

Inspired by the recent studies on the universal descriptors for structure-activity correlation,  $^{16, 64, 65}$  the intrinsic descriptor ( $\varphi$ ) is defined as

$$\varphi = N_{\rm d} \times (E_{\rm TM} + xE_{\rm C} + yE_{\rm N}) \tag{7}$$

where  $E_{\text{C/N}}$  and x/y refer to the electronegativity and number of coordinated C/N atoms, respectively. As presented in **Figure 8d**,  $\varphi$  correlates with  $\Delta G_{*0}$  for all TM-C<sub>x</sub>N<sub>y</sub> systems, which can be fitted as  $\Delta G_{*0}$ =0.07 $\varphi$ -4.66 (R<sup>2</sup>=0.92). The linear relationship between  $\varphi$  and  $\Delta G_{*0}$  suggests that the larger  $\varphi$  value corresponds to the weaker adsorption strength. Furthermore, using the simple descriptor  $\varphi$  to replace  $\Delta G_{*0}$  in **Figure 5**, we can derive OER and ORR volcano plots for  $\eta_{\text{OER}}$  and  $\eta_{\text{ORR}}$  versus  $\varphi$ , as

shown in **Figure 9**. It can be found that the too small or too large  $\varphi$  value will lead to relatively high OER and ORR overpotentials. The optimal  $\varphi$  values for OER and ORR are 104 and 100, respectively. Nevertheless, most TM-C<sub>x</sub>N<sub>y</sub> candidates exert too small  $\varphi$  value and suffer from the too strong adsorption of intermediates. Based on the definition of  $\varphi$ , we can be guided to rationally tune the  $\varphi$  value and adjust the adsorption strength of intermediates. Taking Fe-C<sub>2</sub>N<sub>2</sub> (too strong adsorption of \*O, 1.11 eV) as an example, the following strategies can be adopted to improve  $\varphi$  value and weaken the adsorption strength (Figure S22): (1) Replacing the TM active site to the late TM atoms with more d orbital electrons. Co- $C_2N_2$  possesses a larger  $\varphi$  value than Fe- $C_2N_2$  and exhibits weaker adsorption of \*O (2.40 eV). (2) Introducing the coordinated atoms with larger electronegativity, such as O or F. The \*O adsorption strength on Fe-C<sub>1</sub>N<sub>2</sub>O<sub>1</sub> and Fe-C<sub>2</sub>N<sub>1</sub>O<sub>2</sub> configurations can be weakened to be 1.27 and 1.35 eV, respectively. (3) Adding axial ligands for SACs to form a higher coordinate structure. Fe-C<sub>2</sub>N<sub>2</sub> with the added OH axial ligand shows the  $\Delta G_{*0}$  of 2.24 eV. Therefore, it is concluded that the descriptor  $\varphi$  can provide the universal explanation to OER/ORR activity origin and show guidance for the discovery of efficient catalysts.

## **CONCLUSIONS**

In summary, we have conducted comprehensive first-principles calculations to investigate the prospects of single-atom-embedded C<sub>3</sub>N with the coordination environment of TM-C<sub>x</sub>N<sub>y</sub> for electrocatalytic OER and ORR. Compared with TM-C<sub>2</sub>N<sub>1</sub> and TM-C<sub>3</sub>, the configurations of TM-C<sub>2</sub>N<sub>2</sub> and TM-C<sub>4</sub> are more thermodynamically and electrochemically stable, and exert weaker bindings for OER/ORR intermediates.

Among all TM- $C_xN_y$  candidates, Cu- $C_2N_1$ , Co- $C_2N_2$ , and Rh- $C_2N_2$  are promising OER catalysts with the  $\eta_{OER}$  of 0.49, 0.33, and 0.44 V, respectively. Co- $C_2N_2$  ( $\eta_{ORR}$ =0.39 V), Rh- $C_2N_2$  ( $\eta_{ORR}$ =0.52 V), Ir- $C_2N_2$  ( $\eta_{ORR}$ =0.47 V), and Rh- $C_4$  ( $\eta_{ORR}$ =0.51 V) exhibit superior ORR activity. Notably, both Co- $C_2N_2$  and Rh- $C_2N_2$  can simultaneously drive the process of OER and ORR and serve as bifunctional OER/ORR catalysts. Moreover, we have analyzed the electronic properties of TM- $C_xN_y$  to reveal the impact of different coordination environments on OER/ORR activity origin. Furthermore, it is validated that  $\varphi$  is an intrinsic and universal descriptor to unveil the coordination-activity correlation for single-atom-embedded  $C_3N$  with TM- $C_xN_y$  configurations. This work not only develops the outstanding  $C_3N$ -supported SACs for oxygen electrocatalysis but also offers insights into the effect of coordination environment on OER/ORR activity. We hope this work can facilitate the discovery of advanced SACs.

#### ASSOCIATED CONTENT

## **Supporting Information**

The Supporting Information is available free of charge at http://pubs.acs.org.

OER/ORR activity evaluation; OER/ORR polarization curve simulation; Adsorption energies with solvation effect; Zero-point energies and entropies; Configurations of pristine/defective C<sub>3</sub>N and TM-C<sub>x</sub>N<sub>y</sub>; Bond lengths and magnetic moments; Formation energies and dissolution potentials; Band structures and density of states; Configurations of intermediates adsorbed on TM-C<sub>x</sub>N<sub>y</sub>; Adsorption energies of \*OH, \*O, and \*OOH; OER/ORR free energy changes, overpotentials, and free

energy diagrams; OER/ORR kinetic energy barriers on Co-C<sub>2</sub>N<sub>2</sub>; Correlation between ICOHP and  $\Delta G_{*O}$ ; Descriptors ( $\varepsilon_d$ ,  $N_d$ ,  $N_d \times E_{TM}$ , and  $\varphi$ ) for TM-C<sub>x</sub>N<sub>y</sub>; Strategies for improving  $\varphi$  value and weakening the adsorption strength (PDF)

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#### **Notes**

The authors declare no competing financial interests in this work.

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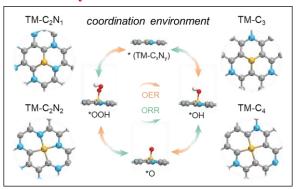
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# **Synopsis**

The feasibility of single-atom-embedded  $C_3N$  with the various coordination environments of TM- $C_xN_y$  is evaluated for oxygen electrocatalysis.