

# **Apolipoprotein E and cerebral small vessel disease markers in patients with intracerebral haemorrhage**

Isabel C Hostettler PhD<sup>1, 2, 3</sup>, David J Seiffge MD<sup>1,4,5</sup>, Andrew Wong PhD<sup>6</sup>, Gareth Ambler PhD<sup>7</sup>, Duncan Wilson PhD<sup>1</sup>, Clare Shakeshaft MSc<sup>1</sup>, Gargi Banerjee PhD<sup>1</sup>, Nikhil Sharma MRCP<sup>8</sup>, Hans R Jäger MD<sup>9</sup>, Hannah Cohen MD, FRCP<sup>10</sup>, Tarek Yousry MD<sup>9</sup>, Rustam Al-Shahi Salman PhD<sup>11</sup>, Gregory Y H Lip FRCP<sup>12, 13</sup>, Martin M Brown FRCP<sup>1</sup>, Keith W Muir MD FRCP<sup>14</sup>, Henry Houlden PhD<sup>2#</sup>, David J Werring FRCP PhD<sup>1#</sup> on behalf of the CROMIS-2 collaborators

*<sup>1</sup> Stroke Research Centre, University College London, Institute of Neurology, London, UK*

*<sup>2</sup> Neurogenetics Laboratory, The National Hospital of Neurology and Neurosurgery, London, UK*

*<sup>3</sup> Department of Neurosurgery, Cantonal Hospital St. Gallen, St. Gallen, Switzerland*

*<sup>4</sup> Stroke Centre, Department of Neurology and Department of Clinical Research, University of Basel and University Hospital Basel, Basel, Switzerland*

*<sup>5</sup> Department of Neurology and Stroke Centre, University Hospital Berne, Berne, Switzerland*

*<sup>6</sup> MRC Unit for Lifelong Health and Ageing at UCL, London, UK*

*<sup>7</sup> Department of Statistical Science, UCL, London, WC1E 6BT, UK*

*<sup>8</sup> Department of Clinical and movement Neuroscience, Institute of Neurology, London, UK*

*<sup>9</sup> Neuroradiological Academic Unit, Department of Brain Repair & Rehabilitation, University College London, Institute of Neurology, London, UK*

*<sup>10</sup> Haemostasis Research Unit, Department of Haematology, University College London, 51 Chenies Mews, London, UK*

<sup>11</sup> *Centre for Clinical Brain Sciences, School of Clinical Sciences, University of Edinburgh, Edinburgh, UK*

<sup>12</sup> *Liverpool Centre for Cardiovascular Science, University of Liverpool and Liverpool Heart & Chest Hospital, Liverpool, United Kingdom*

<sup>13</sup> *Department of Clinical Medicine, Aalborg University, Aalborg, Denmark*

<sup>14</sup> *Institute of Neuroscience & Psychology, University of Glasgow, Queen Elizabeth University Hospital, Glasgow, UK*

# these authors contributed equally

**Corresponding author:** Professor David Werring, FRCP, PhD, National Hospital of Neurology and Neurosurgery, Institute of Neurology, University College London, Queen Square, WC1N London, United Kingdom, Phone: +44 20 3447 5994, Fax: +44 20 7833 8613, Email: [d.werring@ucl.ac.uk](mailto:d.werring@ucl.ac.uk)

Isabel Charlotte Hostettler and Gareth Ambler conducted the statistical analysis.

**Character count title:** 101

**Word count abstract:** 293

**Word count text:** 2509

**Supplementary Data:** eTable 1, eTable 2

### **Keywords**

Intracerebral haemorrhage, Apolipoprotein E, neuroimaging markers, outcome

### **Sources of funding:**

HH and ICH received funding from the Alzheimer Research UK and Dunhill Medical Trust Foundation. DJW and DW receive funding from the Stroke Foundation/British Heart

Foundation. This work was undertaken at UCLH/UCL which receives a proportion of funding from the Department of Health's National Institute for Health Research (NIHR) Biomedical Research Centers funding scheme.

#### Disclosures

Isabel C Hostettler has no disclosures

David J Seiffge has no disclosures

Andrew Wong has no disclosures

Gareth Ambler has no disclosures

Duncan Wilson has no disclosures

Clare Shakeshaft has no disclosures

Gargi Banerjee has no disclosures

Nikhil Sharma has no disclosures

Hans R Jäger has no disclosures

Hannah Cohen has no disclosures

Tarek Yousry has no disclosures

Rustam Al-Shahi Salman has no disclosures

Gregory Y H Lip has no disclosures

Martin M Brown has no disclosures

Keith W Muir has no disclosures

Henry Houlden has no disclosures

David J Werring has no disclosures

## **ABSTRACT**

**Background and Objective:** We investigated the associations between Apolipoprotein E (APOE) genotype, intracerebral haemorrhage (ICH) and neuroimaging markers of cerebral amyloid angiopathy (CAA).

**Methods:** We included patients from a prospective, multi-centre UK observational cohort study of patients with ICH and representative UK population controls. First, we assessed association of APOE genotype with ICH (compared to controls without ICH). Second, among patients with ICH, we assessed the association of APOE status with haematoma location (lobar or deep) and brain computed tomography (CT) markers of CAA (finger like projections [FLP] and subarachnoid extension [SAE]).

**Results:** We included 907 patients with ICH and 2636 controls. Mean age was 73.2 (12.4 SD) years for ICH cases vs. 69.6 (0.2 SD) for population controls; 50.3% of cases and 42.1% of controls were female. Compared to controls, APOE  $\epsilon$ 2 allele was associated with all (lobar and non-lobar) as well as lobar ICH on its own in the dominant model, i.e. any APOE  $\epsilon$ 2 allele (OR 1.38, 95%CI 1.13-1.7,  $p=0.002$  and OR 1.50, 95%CI 1.1-2.04,  $p=0.01$ , respectively), but not deep ICH in an age-adjusted analyses (OR 1.26, 95%CI 0.97-1.63,  $p=0.08$ ) compared to controls. In the cases only analysis, APOE  $\epsilon$ 4 allele was associated with lobar compared to deep ICH in an age-adjusted analyses (OR 1.56, 95%CI 1.1-2.2,  $p=0.01$ ). When assessing CAA markers, APOE alleles were independently associated with FLP ( $\epsilon$ 4: OR 1.74, 95%CI 1.04-2.93,  $p=0.04$  and  $\epsilon$ 2/ $\epsilon$ 4: 2.56, 95%CI 0.99-6.61,  $p=0.05$ ). We did not find an association between APOE alleles and SAE.

**Discussion:** We confirmed associations between APOE alleles and ICH including lobar ICH. Our analysis shows selective associations between APOE  $\epsilon$ 2 and  $\epsilon$ 4 alleles with FLP, a CT marker of CAA. Our findings suggest that different APOE alleles might have diverging influences on individual neuroimaging biomarkers of CAA-associated ICH.

## INTRODUCTION

Non-traumatic intracerebral haemorrhage (ICH) accounts for 10-15% of all strokes in western countries such as the UK and USA with a higher rate especially in Asian countries, with a mortality of 40% at one month and 55% at one year<sup>1-4</sup>. Survivors frequently remain severely disabled<sup>5,6</sup>. Moreover, the incidence of ICH in the elderly population seems to be increasing, possibly due to the increased use of oral anticoagulation<sup>7,8</sup>. In over 80% of cases, non-traumatic ICH results from bleeding into the brain parenchyma from a small arteriole affected by cerebral small vessel diseases (SVD). The commonest sporadic SVD causing ICH are deep perforator arteriopathy (also termed hypertensive arteriopathy or arteriolosclerosis) and cerebral amyloid angiopathy (CAA). Deep perforator arteriopathy is associated with hypertension and is a frequent cause of deep ICH in the basal ganglia or brainstem; CAA is caused by amyloid beta deposition in cortical and leptomeningeal blood vessels and contributes to lobar ICH<sup>8</sup>. Computed tomography (CT) scans can detect brain imaging biomarkers of SVD including white matter changes, lacunes and atrophy (associated with both hypertensive arteriopathy and CAA) as well as ICH morphological features including finger-like projections (FLP) and subarachnoid extension (SAE) which are associated with CAA<sup>9,10</sup>.

Apolipoprotein E (APOE) has emerged as a strong genetic risk factor for ICH and its clinical consequences, possibly mediated by its role in membrane maintenance, neuronal repair, regulation, vascular integrity and synaptic remodelling<sup>11-13</sup>. The APOE genotype is the combination of two variants (rs7412 and rs429358) which form the APOE genotypes comprised of combinations of the  $\epsilon$ 2,  $\epsilon$ 3 and  $\epsilon$ 4 alleles. The most consistent and robust association is between APOE  $\epsilon$ 4 and CAA, with or without ICH, though APOE  $\epsilon$ 2 has been linked to ICH severity, perhaps due to increased vascular fragility<sup>14,15</sup>. Studies in non-ICH populations suggest that APOE alleles can influence neuroimaging biomarkers of cerebral small vessel disease<sup>16-21</sup>.

Despite these established associations between APOE alleles and ICH, we are not aware of any systematic studies in ICH linking them with neuroimaging markers of the underlying small vessel disease type and severity<sup>16-21</sup>. We therefore systematically investigated the associations of APOE with: ICH presence and location, and neuroimaging (CT) biomarkers of the underlying arteriopathy type and severity. We hypothesised that APOE  $\epsilon$ 2 and  $\epsilon$ 4 alleles are associated with neuroimaging biomarkers of CAA.

## **METHODS**

### **Study design and population**

We included patients with ICH from the prospective multi-centre cohort Clinical Relevance Of Microbleeds In Stroke (CROMIS-2) study (NCT02513316)<sup>22</sup> ICH cohort. Full study protocol and baseline clinical data collection in CROMIS-2 is published elsewhere<sup>22</sup>. For this analysis we included patients who had imaging-confirmed ICH; a blood sample available for genetic analysis; and baseline neuroimaging (CT) available for central neuroimaging analysis. The population controls were recruited from the Medical Research Council National Survey of Health and Development (MRC NSHD, 1946 British birth cohort)<sup>9</sup>. The NSHD is based on a social class stratified sample (n = 5,362) of all singleton births in one week in March 1946 in England, Scotland, and Wales, and has remained broadly representative of the general population<sup>23</sup>. The subjects in the study have been followed up to 24 times since birth. The study is uniquely placed to investigate life course factors associated with ageing.

We collected detailed baseline characteristics and clinical presentation of patients with ICH using a standardised report questionnaire and definition of variables. NSHD data were collected by trained research nurses using standardised questionnaires<sup>10</sup>. We included the following variables from both populations: age, sex, hypertension, diabetes mellitus, oral anticoagulation (defined as regular intake of any anticoagulation), antiplatelet medication

(defined as regular intake of any antiplatelet medication), statins medication, antihypertensive medication and smoker status.

### **Genotyping**

APOE genotype was determined using peripheral blood samples as follows. For CROMIS-2 patients, genomic DNA extraction was carried out by the laboratory staff of the neurogenetics laboratory at the National Hospital of Neurology and Neurosurgery (NHNN). APOE genotyping was performed as previously described<sup>24</sup>. The person genotyping the samples (ICH) was blinded to the clinical and neuroimaging data at the time of genotyping. See eTable 1 in the Supplement for primer sequence and reaction mix. Call rate was 94.9%. All samples were processed simultaneously in order to avoid batch effect. For the NSHD cohort, genotyping of the two SNPs, rs7412 and rs429358, used to determine APOE genotype was performed at the LGC Genomics Limited (Hertfordshire, UK) using KASP assay technology<sup>25</sup>.  
<sup>26</sup>.

For analysis purposes, we classified the different APOE genotypes as pre-specified into present or absent (dominant model), allele count (additive model) in order to evaluate a linear change and looked at  $\epsilon 2/\epsilon 4$  heterozygosity as a post-hoc analysis<sup>15, 27</sup>. See Figure 1 for the flow chart of patient inclusion for this study. We genotyped 965 individuals of the CROMIS-2 study and included 2636 population controls with available APOE genotype. We included the 53 patients with cerebellar ICH location in the overall analysis but excluded them from the analysis of the lobar and deep categories.

### **Neuroimaging analysis**

All routine neuroimaging (CT) of patients in CROMIS-2 was coded, collected and centrally stored at the Stroke Research Centre UCL Queen Square Institute of Neurology. Neuroimaging

analysis was performed by clinical research fellows (DW, ICH, GB, DS) all of whom were trained in neuroimaging rating and blinded to patient details. To evaluate raters accuracy, all raters independently rated a random sample of 50 CT scans. Haematoma location was defined as lobar or deep ( with locations in the thalamus, basal ganglia, internal capsule or brainstem but excluding cerebellar location) using a validated anatomical rating instrument (CHARTS)<sup>28</sup>. We excluded patients with multiple simultaneous ICH or cerebellar ICH n=53 from the ICH location sub-analyses<sup>29</sup>.

We evaluated the presence vs. absence of SAE (in the extra-axial space) and FLP (elongated extensions which arise from the haematoma, are longer than wide and can extend to the cortex but do not have to), as markers of CAA, using published criteria and using standardized training available online (<https://www.ed.ac.uk/clinical-sciences/edinburgh-imaging/education-teaching/short-courses/training-tools/edinburgh-criteria-for-caa-associated-ich-training>; see Figure 2 for an example of SAE and FLP, respectively)<sup>30, 31</sup>.

### **Statistical analysis**

We followed a pre-defined analysis plan completed in January 2018. We first analysed the association of APOE between individuals with ICH (“cases”) with individuals free of ICH (“controls”) using univariable and multivariable (adjusting only for age as a continuous variable) logistic regression models. In the second stage, we analysed APOE and its association with neuroimaging features in patients with ICH. We used univariable and again age-adjusted multivariable regression models to assess the association between APOE and haematoma location (deep vs lobar) and neuroimaging markers of CAA, i.e., SAE, FLP.

We present categorical variables using frequency and percentages and continuous variables using mean  $\pm$  standard deviation (SD). We investigated continuous variables for normal distribution. We compared categorical variables using  $\chi^2$  or Fisher exact test and continuous



variables using *t* test or Mann-Whitney rank sum as appropriate. Level of significance was set at 5 % ( $p = 0.05$ ).

We performed all statistical analysis (ICH) in STATA 15 (StataCorp. 2017. *Stata Statistical Software: Release 15*. College Station, TX: StataCorp LLC).

### **Standard Protocol Approvals, Registrations, and Patient Consents**

The CROMIS-2 study was approved by the National Research Ethics Service (reference: 10/H0716/64, clinical trial registration on clinicaltrials.gov, NCT02513316). The MRC NSHD study was approved by the Central Manchester Research Ethics Committee (reference: 07/H1008/168). Written informed consent was obtained from all patients, or from the relative or representative where there was lack of capacity.

### **Data Availability**

Anonymized data will be shared by request from any qualified investigator.

## **RESULTS**

### **Population summary**

Among the overall cohort of 1094 patients with ICH, APOE genotype was available in 907. The mean age was 73.2 years (SD 12.4 years) and 382 (42.1%) were female. Mean age of 2636 controls (all with APOE genotype available) was 69.5 years (SD 0.24) and 1326 (50.3%) were female. See Table 1 for baseline characteristics and APOE genotype frequency according to case-control status and ICH subgroup. Controls tended to be younger, more frequently female and less frequently suffered from hypertension as well as diabetes mellitus. With regards to drug intake, controls had a less frequent intake of all compared medications (oral anticoagulation, antiplatelets and statins). Of the 907 ICH patients, 371 (43.4%) had lobar and 483 (56.6%) deep ICH location (excluding 53 patients with cerebellar ICH). There was no difference between patients with genotype available and those not (data not shown).

### **Association of APOE and ICH**

Compared to controls (n=2636), we found an independent statistically significant association of APOE  $\epsilon$ 2 allele as a dominant variable with all ICH (n=907, OR 1.38, 95%CI 1.13-1.7, p=0.002) and lobar ICH (n=371, OR 1.50, 95%CI 1.1-2.04, p=0.01) in the age-adjusted multivariable analysis; this risk increased with increasing allele count (additive model; overall p-value 0.003; Table 2). We found a weak, non-significant association of APOE  $\epsilon$ 2 with deep ICH (n=483, OR 1.26, 95%CI 0.97-1.63, p=0.08). For APOE  $\epsilon$ 4, we found no association with all, lobar or deep ICH location compared to controls (Table 2, all p-values>0.05). There was also weak, non-significant evidence for an association of  $\epsilon$ 2/ $\epsilon$ 4 with lobar ICH location (OR 1.82, 95%CI 0.97-3.38, p=0.06).

### **APOE and location of ICH (cases-only analysis)**

In the multivariable age-adjusted analyses, the APOE  $\epsilon$ 4 allele was associated with lobar ICH location compared to deep ICH location (OR 1.56, 95%CI 1.1-2.2, p=0.01, Table 3), and the strength of association increased with increasing allele count (OR of 1.38 for one and 4.66 for two alleles (95%CI 0.97-1.99 and 1.75-12.39 respectively, overall p=0.003)). In the multivariable age-adjusted analyses, APOE  $\epsilon$ 2/ $\epsilon$ 4 heterozygosity was associated with lobar ICH location (OR 2.26, 95%CI 1.05-4.83, p=0.04).

### **APOE and neuroimaging markers of CAA**

In patients with lobar ICH, APOE  $\epsilon$ 4 was associated with FLP as a dominant (OR 1.74, 95%CI 1.04-2.93, p=0.04) as well as an additive variable (overall p-value=0.03, Table 4). Heterozygosity for  $\epsilon$ 2/ $\epsilon$ 4 was also associated with FLP (OR 2.56, 95%CI 0.99-6.61, p=0.05). None of the APOE genotypes were associated with SAE in patients with lobar ICH either in the univariable nor in the age-adjusted multivariable analysis (Table 4).

We have conducted a sensitivity analysis adjusting the multivariable analysis for ICH volume in addition to age, which did not significantly change our results (eTable 2).

## **DISCUSSION**

In this analysis of a large well-phenotyped ICH cohort we found the APOE alleles  $\epsilon 2$  and  $\epsilon 4$  were independently associated with lobar ICH; APOE  $\epsilon 2$  when compared to controls, APOE  $\epsilon 4$  when compared with ICH patients itself. Our main new observation is that the APOE  $\epsilon 4$  and  $\epsilon 2/\epsilon 4$  alleles are selectively associated with FLP but not SAE. Our findings suggest that different APOE alleles have diverging influences on individual neuroimaging biomarkers of CAA-associated ICH.

Our study confirms and extends findings from prior studies: we found APOE  $\epsilon 2$  and  $\epsilon 2/\epsilon 4$  to be associated with all ICH (compared to population controls) as well as  $\epsilon 4$  and  $\epsilon 2/\epsilon 4$  with lobar ICH location (compared to deep ICH location)<sup>27</sup>. Previous association findings of APOE genotype with lobar and deep ICH location have been inconsistent<sup>27, 32-34</sup>. Biffi et al. found an association between APOE  $\epsilon 4$  and deep ICH location whereas Woo et al. did not<sup>27, 32</sup>. On the other hand, another previous study failed to find an association of APOE  $\epsilon 2$  and lobar ICH<sup>34</sup>. There could be several reasons for inconsistent findings: definition and assessment of the ICH phenotype is crucial, and even slight changes could change associations with APOE genotype. In the CROMIS-2 study, all imaging data were collected and rated centrally, but this is not always the case<sup>27</sup>. In line with other studies, we excluded cerebellar ICH location when assessing the subgroups of lobar and deep ICH<sup>27</sup>. However, this is not routinely done and might also explain some inconsistencies<sup>34</sup>.

In recent years, rating of different neuroimaging markers have been developed for CT imaging additionally to MRI making access to neuroimaging markers more widely available<sup>30, 31, 35</sup>. The recently reported associations between FLP and SAE with pathologically verified CAA

prompted us to investigate whether these new biomarkers are associated with different APOE genotypes in our ICH cohort<sup>30</sup>. Our data show that different neuroimaging markers show different associations with APOE genotype:  $\epsilon 2/\epsilon 4$  heterozygosity was consistently associated with an increased likelihood of FLP in patients with lobar ICH, while  $\epsilon 4$  (in both dominant and additive models) was associated with FLP. By contrast, we found no association of APOE genotype with SAE. These findings suggest that APOE may modify the manifestations of specific neuroimaging biomarkers of CAA. This in turn raises the possibility that APOE influences distinct pathological processes in CAA. For example, it is possible that FLP represent severe parenchymal amyloid deposition, while SAE could relate to large volume ICH (with leakage into the subarachnoid space) or severe leptomeningeal CAA<sup>36-38</sup>. This is additionally interesting regarding the idea that there are two different pathological CAA subtypes: type 1 which is associated with  $\epsilon 4$  and capillary CAA, and type 2 associated with  $\epsilon 2$  and CAA in larger vessels<sup>39</sup>. A previous meta-analysis evaluating the association of APOE and cortical superficial siderosis (cSS), but not cortical subarachnoid haemorrhage, showed an increased likelihood of cSS in patients harbouring APOE  $\epsilon 2$  genotypes<sup>40</sup>. Cortical SS is a sign of cortical subarachnoid haemorrhage having previously taken place keeping in mind that cSAH is a strong risk factor of subsequent ICH in patients with CAA<sup>41</sup>. Therefore, these findings combined with ours might indicate that in ICH where SAE is visible, SAE might be the initial haemorrhage which then extends into an ICH although, depending on the volume of a haemorrhage, SAE might not be visible anymore. APOE  $\epsilon 2$  was not significantly associated with SAE in our cohort. In our cohort a subanalysis of patients with available MRI (175 patients) and therefore cSS, APOE  $\epsilon 2$  was not associated with cSS (data not shown),

Our study has strengths. We included a large prospective cohort with extensive phenotype data, including standardised assessment of neuroimaging characteristics associated with CAA and SVD presence and severity.

Our study also has limitations. CROMIS-2 has a bias towards ICH survivors as the patient, or a representative, had to consent for the patient to be included into the study. Therefore, the most severe ICH patients were not able to be included into CROMIS-2. Independent large cohorts are needed to verify our findings. Finally, ethnicity does influence ICH risk per APOE genotype<sup>42</sup>. We did not have the information on ethnicity in our population controls and some variable of interest, such as hypertension and anticoagulation, had a high missingness rate precluding safe multiple imputation so only very limited statements about frequency could be made about them. Additionally, ethnicity for our ICH patients was self-reported. Ethnicity should ideally be checked with multiple dimensional scaling analysis as reported and genotyped ethnicity can diverge significantly<sup>43, 44</sup>.

## **CONCLUSION**

We confirm previously reported association between APOE alleles and lobar ICH. In addition, we show a selective association between the APOE  $\epsilon 2$  and  $\epsilon 4$  alleles with a CT-based neuroimaging marker of CAA, namely FLP. This might indicate that not all APOE alleles have the same effect on neuroimaging biomarkers of CAA-associated ICH. However, these results need to be replicated in and larger external, independent cohort and ideally other populations in order to verify, strengthen these findings and assess generalizability.

## REFERENCES

1. Bamford J, Sandercock P, Dennis M, Burn J, Warlow C. A prospective study of acute cerebrovascular disease in the community: the Oxfordshire Community Stroke Project--1981-86. 2. Incidence, case fatality rates and overall outcome at one year of cerebral infarction, primary intracerebral and subarachnoid haemorrhage. *Journal of neurology, neurosurgery, and psychiatry* 1990;53:16-22.
2. Hong KS, Bang OY, Kang DW, et al. Stroke statistics in Korea: part I. Epidemiology and risk factors: a report from the Korean stroke society and clinical research center for stroke. *J Stroke* 2013;15:2-20.
3. Toyoda K. Epidemiology and registry studies of stroke in Japan. *J Stroke* 2013;15:21-26.
4. van Asch CJ, Luitse MJ, Rinkel GJ, van der Tweel I, Algra A, Klijn CJ. Incidence, case fatality, and functional outcome of intracerebral haemorrhage over time, according to age, sex, and ethnic origin: a systematic review and meta-analysis. *The Lancet Neurology* 2010;9:167-176.
5. Moulin S, Labreuche J, Bombois S, et al. Dementia risk after spontaneous intracerebral haemorrhage: a prospective cohort study. *The Lancet Neurology* 2016;15:820-829.
6. Sudlow C, Martinez Gonzalez NA, Kim J, Clark C. Does apolipoprotein E genotype influence the risk of ischemic stroke, intracerebral hemorrhage, or subarachnoid hemorrhage? Systematic review and meta-analyses of 31 studies among 5961 cases and 17,965 controls. *Stroke; a journal of cerebral circulation* 2006;37:364-370.
7. Bejot Y, Cordonnier C, Durier J, Aboa-Eboule C, Rouaud O, Giroud M. Intracerebral haemorrhage profiles are changing: results from the Dijon population-based study. *Brain : a journal of neurology* 2013;136:658-664.
8. Lovelock CE, Molyneux AJ, Rothwell PM, Oxford Vascular S. Change in incidence and aetiology of intracerebral haemorrhage in Oxfordshire, UK, between 1981 and 2006: a population-based study. *The Lancet Neurology* 2007;6:487-493.
9. Kuh D, Wong A, Shah I, et al. The MRC National Survey of Health and Development reaches age 70: maintaining participation at older ages in a birth cohort study. *Eur J Epidemiol* 2016;31:1135-1147.
10. Wadsworth M, Kuh D, Richards M, Hardy R. Cohort Profile: The 1946 National Birth Cohort (MRC National Survey of Health and Development). *International journal of epidemiology* 2006;35:49-54.
11. Martinez-Gonzalez NA, Sudlow CL. Effects of apolipoprotein E genotype on outcome after ischaemic stroke, intracerebral haemorrhage and subarachnoid haemorrhage. *Journal of neurology, neurosurgery, and psychiatry* 2006;77:1329-1335.
12. Bell RD, Winkler EA, Singh I, et al. Apolipoprotein E controls cerebrovascular integrity via cyclophilin A. *Nature* 2012;485:512-516.
13. Zlokovic BV. Cerebrovascular effects of apolipoprotein E: implications for Alzheimer disease. *JAMA neurology* 2013;70:440-444.
14. Rannikmae K, Samarasekera N, Martinez-Gonzalez NA, Al-Shahi Salman R, Sudlow CL. Genetics of cerebral amyloid angiopathy: systematic review and meta-analysis. *Journal of neurology, neurosurgery, and psychiatry* 2013;84:901-908.

15. Biffi A, Anderson CD, Jagiella JM, et al. APOE genotype and extent of bleeding and outcome in lobar intracerebral haemorrhage: a genetic association study. *The Lancet Neurology* 2011;10:702-709.
16. Schilling S, DeStefano AL, Sachdev PS, et al. APOE genotype and MRI markers of cerebrovascular disease: systematic review and meta-analysis. *Neurology* 2013;81:292-300.
17. Lemmens R, Gorner A, Schrooten M, Thijs V. Association of apolipoprotein E epsilon2 with white matter disease but not with microbleeds. *Stroke; a journal of cerebral circulation* 2007;38:1185-1188.
18. Luo X, Jiaerken Y, Yu X, et al. Associations between APOE genotype and cerebral small-vessel disease: a longitudinal study. *Oncotarget* 2017;8:44477-44489.
19. Utter S, Tamboli IY, Walter J, et al. Cerebral small vessel disease-induced apolipoprotein E leakage is associated with Alzheimer disease and the accumulation of amyloid beta-protein in perivascular astrocytes. *Journal of neuropathology and experimental neurology* 2008;67:842-856.
20. Rannikmae K, Kalaria RN, Greenberg SM, et al. APOE associations with severe CAA-associated vasculopathic changes: collaborative meta-analysis. *Journal of neurology, neurosurgery, and psychiatry* 2014;85:300-305.
21. Nelson PT, Pious NM, Jicha GA, et al. APOE-epsilon2 and APOE-epsilon4 correlate with increased amyloid accumulation in cerebral vasculature. *Journal of neuropathology and experimental neurology* 2013;72:708-715.
22. Charidimou A, Wilson D, Shakeshaft C, et al. The Clinical Relevance of Microbleeds in Stroke study (CROMIS-2): rationale, design, and methods. *Int J Stroke* 2015;10 Suppl A100:155-161.
23. Stafford M, Black S, Shah I, et al. Using a birth cohort to study ageing: representativeness and response rates in the National Survey of Health and Development. *Eur J Ageing* 2013;10:145-157.
24. Crook R, Hardy J, Duff K. Single-day apolipoprotein E genotyping. *Journal of neuroscience methods* 1994;53:125-127.
25. Rousseau K, Vinall LE, Butterworth SL, et al. MUC7 haplotype analysis: results from a longitudinal birth cohort support protective effect of the MUC7\*5 allele on respiratory function. *Ann Hum Genet* 2006;70:417-427.
26. Rawle MJ, Davis D, Bendayan R, Wong A, Kuh D, Richards M. Apolipoprotein-E (ApoE) epsilon4 and cognitive decline over the adult life course. *Transl Psychiatry* 2018;8:18.
27. Biffi A, Sonni A, Anderson CD, et al. Variants at APOE influence risk of deep and lobar intracerebral hemorrhage. *Annals of neurology* 2010;68:934-943.
28. Charidimou A, Schmitt A, Wilson D, et al. The Cerebral Haemorrhage Anatomical Rating Instrument (CHARTS): Development and assessment of reliability. *Journal of the neurological sciences* 2017;372:178-183.
29. Pasi M, Marini S, Morotti A, et al. Cerebellar Hematoma Location: Implications for the Underlying Microangiopathy. *Stroke; a journal of cerebral circulation* 2018;49:207-210.
30. Rodrigues MA, Samarasekera N, Lerpiniere C, et al. The Edinburgh CT and genetic diagnostic criteria for lobar intracerebral haemorrhage associated with cerebral amyloid angiopathy: model development and diagnostic test accuracy study. *The Lancet Neurology* 2018;17:232-240.
31. Samarasekera N, Rodrigues MA, Toh PS, Al-Shahi R. Imaging features of intracerebral hemorrhage with cerebral amyloid angiopathy: Systematic review and meta-analysis. *PloS one* 2017;12:e0180923.

32. Woo D, Deka R, Falcone GJ, et al. Apolipoprotein E, statins, and risk of intracerebral hemorrhage. *Stroke* 2013;44:3013-3017.
33. Chen C, Hu Z. ApoE Polymorphisms and the Risk of Different Subtypes of Stroke in the Chinese Population: A Comprehensive Meta-Analysis. *Cerebrovasc Dis* 2016;41:119-138.
34. Woo D, Kaushal R, Chakraborty R, et al. Association of apolipoprotein E4 and haplotypes of the apolipoprotein E gene with lobar intracerebral hemorrhage. *Stroke* 2005;36:1874-1879.
35. Arba F, Inzitari D, Ali M, et al. Small vessel disease and clinical outcomes after IV rt-PA treatment. *Acta neurologica Scandinavica* 2017;136:72-77.
36. Maas MB, Nemeth AJ, Rosenberg NF, et al. Subarachnoid extension of primary intracerebral hemorrhage is associated with poor outcomes. *Stroke; a journal of cerebral circulation* 2013;44:653-657.
37. Chen G, Arima H, Wu G, et al. Subarachnoid extension of intracerebral hemorrhage and 90-day outcomes in INTERACT2. *Stroke; a journal of cerebral circulation* 2014;45:258-260.
38. Saito S, Ikeda Y, Ando D, Carare RO, Ishibashi-Ueda H, Ihara M. Cerebral Amyloid Angiopathy Presenting as Massive Subarachnoid Haemorrhage: A Case Study and Review of Literature. *Front Aging Neurosci* 2020;12:538456.
39. Thal DR, Ghebremedhin E, Rub U, Yamaguchi H, Del Tredici K, Braak H. Two types of sporadic cerebral amyloid angiopathy. *Journal of neuropathology and experimental neurology* 2002;61:282-293.
40. Charidimou A, Zonneveld HI, Shams S, et al. APOE and cortical superficial siderosis in CAA: Meta-analysis and potential mechanisms. *Neurology* 2019.
41. Hostettler IC, Wilson D, Fiebelkorn CA, et al. Risk of intracranial haemorrhage and ischaemic stroke after convexity subarachnoid haemorrhage in cerebral amyloid angiopathy: international individual patient data pooled analysis. *Journal of neurology* 2021.
42. Sawyer RP, Sekar P, Osborne J, et al. Racial/ethnic variation of APOE alleles for lobar intracerebral hemorrhage. *Neurology* 2018;91:e410-e420.
43. Shraga R, Yarnall S, Elango S, et al. Evaluating genetic ancestry and self-reported ethnicity in the context of carrier screening. *BMC Genet* 2017;18:99.
44. Lee YL, Teitelbaum S, Wolff MS, Wetmur JG, Chen J. Comparing genetic ancestry and self-reported race/ethnicity in a multiethnic population in New York City. *J Genet* 2010;89:417-423.



# 1 TABLES

2 Table 1: Baseline characteristics of controls and ICH (all, lobar, deep)

Variable	Controls (n=2636)	Cases					
		All ICH (n=907)	Lobar ICH (n=371)	Deep ICH (excluding cerebellar) (n=483)			
Age, mean (SD)	69.6 (0.2)	73.2 (12.4)	75.4 (10.8)	71.6 (13.2)			
Female Sex, N (%)	1326 (50.3)	382 (42.1)	172 (46.4)	183 (37.9)			
Hypertension, N (%)	574/1822 (31.5)	586/890 (65.8)	230/364 (63.2)	316/475 (66.5)			
Diabetes mellitus, N (%)	182/1940 (9.4)	162/900 (18)	69/367 (18.8)	83/480 (17.3)			
Smoker, N (%)	197/2084 (9.5)	88/875 (10.1)	28/355 (7.9)	54/468 (11.5)			
OAC, N (%)	82/1819 (4.5)	349/903 (38.7)	154/370 (41.6)	164/480 (34.2)			
Antiplatelet drugs, N (%)	277/1819 (15.2)	219/901 (24.3)	91/369 (24.7)	121/479 (25.3)			
Statins, N (%)	637 /1819 (35)	459/896 (51.2)	194/368 (52.7)	234/475 (49.3)			
Family history ICH		84/859 (9.8)	31/350 (8.9)	49/461 (10.6)			
Previous ICH		30/887 (3.4)	15/359 (4.2)	12/476 (2.5)			
Previous ischemic stroke		116/890 (13)	43/361 (11.9)	64/477 (13.4)			
<b>APOE, N (%)</b>					<b>SAE (139/817)</b>	<b>FLP (89/817)</b>	
APOE ε2	Any allele	394 (15)	188 (20.7)	92 (24.8)	89 (18.4)	37 (26.6)	23 (25.8)
	1 allele	374 (14.2)	173 (19.1)	83 (22.4)	83 (17.2)	32 (23.0)	21 (23.6)
	2 alleles	20 (0.7)	15 (1.6)	9 (2.4)	6 (1.2)	5 (3.6)	2 (2.3)
APOE ε3	Any allele	2465 (93.5)	832 (91.7)	327 (88.1)	457 (94.6)	119 (85.6)	77 (86.5)
	1 allele	945 (35.8)	336 (37)	144 (38.8)	175 (36.2)	51 (36.7)	39 (43.8)
	2 alleles	1520 (57.7)	496 (54.7)	183 (49.3)	282 (58.4)	68 (48.9)	38 (42.7)
APOE ε4	Any allele	789 (29.9)	255 (28.1)	115 (31)	123 (25.5)	43 (30.9)	36 (40.5)
	1 allele	705 (26.7)	228 (25.1)	99 (26.7)	115 (23.8)	37 (26.6)	43 (38.2)
	2 alleles	84 (3.2)	27 (3)	16 (4.3)	8 (1.7)	6 (4.3)	2 (2.3)
ε2/ε4	67 (2.5)	32 (3.5)	19 (5.1)	11 (2.3)	9 (6.5)	8 (9.0)	

3 APOE=Apolipoprotein E; FLP=finger-like projections; ICH=intracerebral haemorrhage; OAC=oral  
4 anticoagulation; SAE=subarachnoid extension, SD=standard deviation

5 Table 2: Associations of APOE with ICH (all, lobar, deep)

	Univariable		Multivariable (age-adjusted)	
	OR (95% CI)	p-value	OR (95% CI)	p-value
<b>APOE ε2 dominant</b>				
All ICH	1.49 (1.23-1.8)	<b>&lt;0.001</b>	1.38 (1.13-1.7)	<b>0.002</b>
Lobar ICH	1.88 (1.45-2.43)	<b>&lt;0.001</b>	1.50 (1.1-2.04)	<b>0.01</b>
Deep ICH	1.29 (1-1.66)	<b>0.05</b>	1.26 (0.97-1.63)	0.08
<b>APOE ε2 additive</b>				
All ICH				
1 allele	1.44 (1.18-1.76)	<b>&lt;0.001</b>	1.34 (1.09-1.65)	<b>0.003</b>
2 alleles	2.34 (1.19-4.59)		2.09 (1.02-4.28)	
Lobar ICH				
1 allele	1.78 (1.36-2.33)	<b>&lt;0.001</b>	1.38 (1-1.91)	<b>0.003</b>
2 alleles	3.62 (1.63-8.02)		3.79 (1.57-9.15)	
Deep ICH				
1 allele	1.26 (0.97-1.64)	0.12	1.25 (0.96-1.63)	0.2
2 alleles	1.71 (0.68-4.28)		1.44 (0.55-3.77)	
<b>APOE ε4 dominant</b>				
All ICH	0.92 (0.77-1.08)	0.3	0.96 (0.81-1.14)	0.63
Lobar ICH	1.05 (0.83-1.33)	0.68	1.09 (0.83-1.42)	0.55
Deep ICH	0.80 (0.64-1)	<b>0.05</b>	0.84 (0.67-1.05)	0.12
<b>APOE ε4 additive</b>				
All ICH				
1 allele	0.92 (0.77-1.09)	0.59	0.96 (0.8-1.14)	0.88
2 alleles	0.91 (0.58-1.42)		0.99 (0.63-1.56)	
Lobar ICH				
1 allele	1.01 (0.79-1.3)	0.52	1.00 (0.75-1.34)	0.14
2 alleles	1.37 (0.79-2.38)		1.79 (1-3.19)	
Deep ICH				
1 allele	0.84 (0.67-1.05)	0.06	0.88 (0.7-1.1)	0.12
2 alleles	0.49 (0.23-1.02)		0.51 (0.24-1.06)	
<b>APOE ε2/ε4</b>				
All ICH	1.40 (0.91-2.15)	0.12	1.33 (0.85-2.08)	0.21
Lobar ICH	2.07 (1.23-3.49)	<b>0.006</b>	1.82 (0.97-3.38)	0.06
Deep ICH	0.90 (0.47-1.71)	0.74	0.90 (0.47-1.73)	0.76

6 APOE=Apolipoprotein E; ICH=intracerebral haemorrhage; OR=Odds Ratio

7 Table 3: Association of APOE within ICH patients

8

APOE		Deep ICH n=483	Lobar ICH, n=371	Univariable		Multivariable (age-adjusted)	
				P-value unadj.	OR unadj.	P-value adj.	OR adj. for age
APOE ε2, N (%)	Any allele	89 (18.4)	92 (24.8)	<b>0.02</b>	1.46 (1.05-2.03)	0.2	1.29 (0.88-1.88)
	1 allele	83 (17.2)	83 (22.4)	0.06	1.41 (1.00-1.99)	0.15	1.31 (0.93-1.86)
	2 alleles	6 (1.2)	9(2.4)		2.12 (0.75-6.02)		1.99 (0.69-5.72)
APOE ε4, N (%)	Any allele	123 (25.5)	115 (31)	0.07	1.31 (0.97 -1.78)	<b>0.012</b>	1.56 (1.1-2.2)
	1 allele	115 (23.8)	99 (26.7)	<b>0.04</b>	1.21 (0.89-1.66)	<b>0.003</b>	1.38 (0.97-1.99)
	2 alleles	8 (1.7)	16 (4.3)		2.81 (1.19-6.67)		4.66 (1.75-12.39)
ε2/ε4		11 (2.3)	19 (5.1)	<b>0.03</b>	2.32 (1.09-4.93)	<b>0.04</b>	2.26 (1.05-4.83)

9  
 10 APOE=Apolipoprotein E; CAA=cerebral amyloid angiopathy; CMB=cerebral microbleeds; ICH=intracerebral  
 11 haemorrhage; IS=ischemic stroke; N=Number; OR=Odds Ratio comparing deep to lobar ICH location;  
 12 PHO=perihematoma oedema; SAH=subarachnoid haemorrhage; SVD=small vessel disease; WML=white  
 13 matter lesions

14 Table 4: Association of APOE with CT neuroimaging markers in lobar ICH  
 15

		Univariable		Multivariable (age-adjusted)	
<b>SAH extension</b>					
APOE		OR (95%CI)	p-value	OR (95%CI)	p-value
APOE ε2	Any allele	1.22 (0.74-1.99)	0.43	1.19 (0.72-1.96)	0.5
	1 allele	1.15 (0.68-1.92)	0.52	1.11 (0.65-1.88)	0.54
	2 alleles	2.06 (0.54-7.85)		2.07 (0.54-7.89)	
APOE ε4	Any allele	0.95 (0.6-1.52)	0.84	0.96 (0.6-1.53)	0.86
	1 allele	0.94 (0.58-1.53)	0.97	0.94 (0.58-1.54)	0.96
	2 alleles	1.03 (0.35-2.98)		1.07 (0.37-3.11)	
ε2/ε4		1.44 (0.57-3.64)	0.44	1.43 (0.57-3.62)	0.45
<b>Finger-like projections</b>					
APOE		OR (95%CI)	p-value	OR (95%CI)	p-value
APOE ε2	Any allele	1.19 (0.68-2.09)	0.55	1.20 (0.68-2.12)	0.53
	1 allele	1.21 (0.68-2.18)	0.81	1.22 (0.68-2.22)	0.8
	2 alleles	1.00 (0.2-4.97)		1.01 (0.2-5)	
APOE ε4	Any allele	1.75 (1.04-2.94)	<b>0.03</b>	1.74 (1.04-2.93)	<b>0.04</b>
	1 allele	1.98 (1.16-3.38)	<b>0.03</b>	1.96 (1.15-3.34)	<b>0.03</b>
	2 alleles	0.63 (0.14-2.88)		0.65 (0.14-2.97)	
ε2/ε4		2.61 (1.01-6.72)	<b>0.05</b>	2.56 (0.99-6.61)	<b>0.05</b>
<b>Severe WML</b>					
APOE		OR (95%CI)	p-value	OR (95%CI)	p-value
APOE ε2	Any allele	1.09 (0.65-1.82)	0.75	0.96 (0.56-1.63)	0.87
	1 allele	1.20 (0.71-2.03)	0.42	1.04 (0.6-1.8)	0.56
	2 alleles	0.31 (0.04-2.53)		0.32 (0.04-2.68)	
APOE ε4	Any allele	0.97 (0.6-1.58)	0.91	1.01 (0.61-1.68)	0.96
	1 allele	0.86 (0.51-1.45)	0.37	0.84 (0.49-1.45)	0.1
	2 alleles	1.88 (0.67-5.22)		2.96 (0.1-8.76)	
ε2/ε4		1.45 (0.55-3.79)	0.45	1.36 (0.5-3.68)	0.55

16  
 17 APOE=Apolipoprotein E; OR=Odds Ratio; SAH=subarachnoid haemorrhage; SVD=small vessel disease;  
 18 Reference group is the absence of the corresponding allele.

19 **FIGURE**

20 Figure 1. Study Flow Chart

21 Figure 2. Example of subarachnoid extension (SAE; A) and finger-like projections (FLP; B).

22