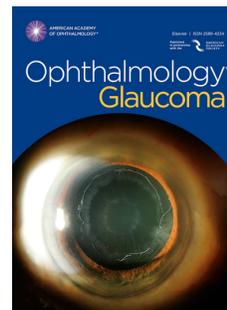


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Glaucoma Rose Plot Analysis: Detecting Early Structural Progression Using Angular Histograms

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1 Glaucoma Rose Plot Analysis: Detecting Early 2 Structural Progression Using Angular 3 Histograms

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45 Glaucoma Rose Plot Analysis
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61 Abstract

62

63 Purpose

64

65 To evaluate novel 'Rose Plot Analysis' (RPA) in the analysis and presentation of glaucoma structural
66 progression data.

67

68 Design

69

70 A case-control image analysis study using retrospective retinal imaging series

71

72 Subjects

73

74 Subjects with open-angle glaucoma, with at least five registered spectral-domain optical coherence
75 tomography (SD-OCT) scans.

76

77 Methods

78

79 Glaucoma RPA analysis was developed combining a novel application of angular histograms and
80 dynamic cluster analysis of circumpapillary RNFL (cRNFL) OCT data. RPA plots were created for
81 each eye and for each visit. Significant clusters of progression were indicated in red. Three masked
82 clinicians categorised all RPA plots (progressing/not progressing), in addition to the measuring of
83 significant RPA area. Masked OCT series assessment with linear regression of averaged global and
84 sectoral cRNFL thickness was conducted as the 'clinical imaging standard'.

85

86 Main Outcome Measures

87

88 Inter-observer agreement was compared between RPA and the clinical imaging standard.

89 Discriminative ability was assessed using receiver operating characteristic (ROC) curves. Time to
90 detection of progression was compared using Kaplan-Meier survival analysis, and agreement of RPA
91 with the clinical imaging standard was calculated.

92

93 Results

94

95 743 scans from 98 eyes were included in the study. Inter-observer agreement was significantly greater
96 when categorising RPA (kappa = 0.86, 95% CI 0.81 – 0.91) compared to OCT image series (kappa =
97 0.66, 95% CI 0.54 – 0.77). The discriminative power of RPA to differentiate progressing from non-
98 progressing eyes (AUC = 0.97, 95% CI 0.92 – 1.00) was greater than global cRNFL thickness (AUC
99 = 0.71 95% CI 0.59 – 0.82, $p < 0.0001$) and equivalent to sectoral cRNFL regression (AUC = 0.97,
100 95% CI 0.92 – 1.00). Kaplan-Meier survival analysis showed progression was detected 8.7 months
101 sooner by RPA than global cRNFL linear regression ($p < 0.0001$) in progressing eyes, however not
102 compared with sectoral cRNFL ($p = 0.06$). RPA showed substantial agreement with the presence of
103 significant thinning on sectoral cRNFL linear regression (kappa=0.715, 95% CI 0.578-0.853).

104

105 Conclusions

106

107 RPA has been shown to provide accurate and intuitive at-a-glance data analysis and presentation that
108 improves inter-observer agreement and may aid early diagnosis of glaucomatous disease progression.

109

110 Introduction

111

112 Retinal nerve fibre layer (RNFL) thickness is commonly used as a structural biomarker in the
113 investigation of glaucoma patients. This parameter represents the health of the ganglion cell
114 population from which the nerve fibres project. The speed and patient acceptability of optical
115 coherence tomography (OCT) imaging has encouraged widespread, frequent use in disease
116 monitoring, without harm to the patient. Thus, serial circumpapillary thickness measurements along a
117 circular path around the optic nerve head complement functional outcomes (visual field testing) to
118 inform clinicians on the stage, location and rate of ganglion cell loss, aiding diagnosis of glaucoma.

119

120 The thickness of the RNFL as measured by segmentation of cross-sectional OCT images has been
121 shown to demonstrate reasonable discriminative and predictive abilities, often ahead of confirmed
122 visual field loss^{1,2}. However, the rate of disease progression is now routinely being used to individualise
123 treatment in the context of existing glaucomatous damage, life expectancy, and comorbidities. The use
124 of RNFL thinning to represent disease progression is evidenced by its association with worsening of
125 visual field defects^{3,4}. Therefore, it is paramount structural biomarkers are developed, enabling early
126 detection of 'pre-perimetric' disease progression, and allowing timely instigation of therapy in order to
127 prevent visual loss and blindness from end-stage disease⁵.

128

129 'Progression analysis' of circumpapillary RNFL measures has been incorporated into the software of
130 all major OCT platforms, with average rate of progression globally and sectorally assessed using
131 linear regression over time. Although event-based analysis has been shown to possess equivalent
132 accuracy⁶, trend-based methods are less susceptible to anomalous results and are useful for
133 extrapolating future field loss in the context of patient age. In contrast to visual field testing which can
134 be limited by its subjective nature, a 'learning effect'⁷, and poor reproducibility in the context of
135 reduced visual acuity⁸, OCT technology lends itself well to determining 'rate of progression' through

136 serial measures with excellent repeatability in the majority of cases⁹. Variation in optic disc and
137 RNFL morphology can limit the diagnostic power of single scans relative to a normative database,
138 whereas within-subject longitudinal assessment may be used to detect small amounts of structural
139 change¹⁰.

140

141 With diagnostic technologies generating ever-increasing amounts of data, efficiently summarising and
142 communicating this information to facilitate clinical decision making requires the development of
143 effective analysis and visualisation methods. Appropriate analysis harnesses the power of the data to its
144 fullest extent, whilst intuitive data visualisation can better inform clinical decisions. Furthermore, the
145 evolution of such clinical tools ensures adaptations are made in order to manage the increasing number
146 of patients looked after worldwide¹¹. We present the development of ‘Rose Plot Analysis’ (RPA), a
147 novel method of circumpapillary RNFL (cRNFL) OCT data analysis and presentation that is able to
148 comprehensively summarise the rate and location of structural progression ‘at-a-glance’. The aim of
149 this study is to investigate its effect on the reproducibility of clinical decision making and its potential
150 for improving early diagnosis, using both qualitative and quantitative assessments of RNFL thickness
151 through time to represent the current ‘clinical imaging standard’ of care.

152

153

154 Methods

155

156 *RPA development*

157

158 RPA was iteratively developed by a team of clinical ophthalmologists and scientific software
159 developers. The unmet clinical need was described as ‘at-a-glance’ data presentation to
160 comprehensively summarise the progression status of glaucomatous eyes. RPA displays a unique
161 combination of information relating to an individual eye throughout the course of all visits, namely
162 the rate, location and significance of RNFL thinning. These data are displayed in an angular
163 histogram, and thus not summarised according to global or sectoral averages. Rate of thinning is
164 represented by height of the graph, anatomical location of thinning is represented as the
165 circumpapillary angular location on the angular histogram, and areas of thinning deemed to be
166 significant are highlighted in red, according to the application of dynamic clustering (Figure 1).

167

168 *Study participants*

169

170 This study was given ethical approval by the Health Regulatory Authority of the United Kingdom
171 (IRAS 282562). The study was carried out in accordance with the Declaration of Helsinki. Fully
172 anonymised datasets were used for the purposes of this study. Consecutive eligible eyes were enrolled
173 by clinicians from glaucoma clinics at the Western Eye Hospital, Imperial College Healthcare NHS
174 Trust, London, UK. Eligible eyes were diagnosed or under observation for open-angle glaucoma with
175 at least 5 referenced circumpapillary RNFL scans, and a minimum average global cRNFL of 50 μ m (to
176 avoid the floor effect¹²). Eyes were excluded with significant media opacity, concomitant neurological
177 or ocular disease were affecting optic nerve, retinal health or their morphology, or if extremes of
178 refractive error (\pm 6 dioptres spherical equivalent or \pm 3 dioptres of astigmatism) were present.

179

180 *Imaging data*

181

182 Images were acquired on the Spectralis spectral-domain imaging platform (SD-OCT, Heidelberg
183 Engineering, Heidelberg, Germany). Built-in eye tracking and averaging processes were used to
184 improve image quality. The in-built anatomical positioning system ensured accurate fovea-disc
185 orientation throughout. Images were anatomically aligned between visits and the RNFL layer
186 segmented automatically using the proprietary imaging software. Eyes were manually checked for
187 imaging artefacts or segmentation errors and failure (including that caused by media opacity), and
188 exclusions were made where present. No thresholding according to quality indicator was conducted to
189 avoid selection bias. Raw numerical cRNFL data were extracted using a custom patch. This
190 comprised of 768 A-scans from the 3.5mm diameter circumpapillary circle, centred on the optic nerve
191 head at each visit, in addition to global and sectoral averages (according to ‘Garway-Heath’ sectors¹³).
192 These data were imported into R (R Project for Statistical Computing¹⁴). Sectoral and global
193 progression was calculated using ordinary least squares linear regression through time from baseline
194 using R¹⁴.

195

196 The RPA protocol was as follows; for each patient visit, data were aligned and presented as
197 coordinate polar plots before ordinary least squares linear regression was performed at each of the 768
198 retinal layer thickness measurements through time (days), normalised to a baseline (first) visit. As
199 regions of progression were expected to be larger than individual A-scans, resulting slopes were
200 assessed for significant deviation from zero using a one-sample T-test (assessment for regions of
201 thinning only) before resulting p-values were combined using a clustering by fast search method as
202 previously described¹⁵ (parameters $\delta=0.2$, $\rho=2.0$). Assuming independence between resulting clusters,
203 p-values were pooled using Fisher’s method¹⁶ and cluster progression was declared when $\alpha < 1 \times 10^{-7}$
204 using the Holm-Bonferroni correction for multiple comparisons, with these regions presented in red.
205 For the purpose of this study, data from each eye were evaluated independently.

206

207 *Clinician OCT grading (‘Clinical imaging standard’)*

208

209 To define the clinical imaging standard, OCT image series from study eyes were graded by three
210 ophthalmologists (EN, PB, TY) independently, masked from any additional clinical information. The
211 images available to the graders included cRNFL profiles plotted against the manufacturer's default
212 normative database, with cRNFL thickness colour coded into green (within normal limits, $p>0.05$),
213 amber (borderline, $p<0.05$) and red (outside normal limits, $p<0.01$), seven linear regression plots of
214 averaged global and Garway-Heath sectoral cRNFL values through time, accompanied with the
215 regression slope ($\mu\text{m}/\text{year}$) and statistical significance (p value). Eyes were graded by masked
216 clinicians as 'progressing', 'stable', or 'unaffected', with the last two categories classed as 'non-
217 progressing'. Progressing eyes were marked as 'progressing' if they would be placed under enhanced
218 monitoring or treatment would be initiated/upgraded. The majority consensus ('progressing' or 'non-
219 progressing') was used as the clinical imaging standard.

220

221 *Quantitative OCT grading*

222

223 Quantitative assessment of OCT series was carried out using ordinary least squares linear regression
224 of cRNFL thickness through time (days). This was performed for the averaged global cRNFL
225 measurement, and each of the 6 averaged 'Garway-Heath sector' cRNFL values (superotemporal,
226 temporal, inferotemporal, inferonasal, nasal and superonasal) in the same manner as the proprietary
227 progression analysis module. To simulate progression analysis completed at each visit for each eye
228 through time, the regression of averaged global and sectoral RNFL thickness was carried out between
229 each visit and baseline, incorporating the data acquired in between. For continuous variable analysis,
230 the regression slope was used. For binary analysis ('progressing' or 'not progressing'), the presence of
231 progression was defined as any series with statistically significant thinning ($p<0.05$).

232

233 *Clinician RPA grading*

234

235 All rose plots generated from RPA were graded independently by 3 ophthalmologists (EN, PB, TY)
236 masked from any other imaging (including OCT scans) or further clinical information. Clinicians
237 were asked to independently grade the plots as clinically ‘progressing’ or ‘not progressing’ after
238 reviewing an SOP explaining the concepts of RPA displays. Plots were presented to the clinicians and
239 graded in a custom program designed in R. The majority binary decision of three clinicians was taken
240 as the qualitative clinician RPA categorisation.

241

242 *Quantitative RPA grading*

243

244 Rose plots were quantitatively assessed by measuring the total area of red (statistically significant
245 cluster progression) in each plot, thus removing subjectivity and inter-observer variability. This
246 continuous variable was calculated independently of the script used to generate the RPA plots, and
247 quantified the area of red (in pixels) seen by the clinicians in each plot. Each plot was analysed using
248 an ImageJ¹⁷ macro to count red pixels.

249

250 *Statistical analysis*

251

252 Targeted analyses using the qualitative and quantitative gradings of both RPA and OCT imaging were
253 carried out, each to investigate particular aspects of novel RPA methodology. These included inter-
254 observer agreement to investigate reliability, receiver-operator characteristic (ROC) curves to
255 compare discriminative ability against objective measures, time-to-event analysis to investigate the
256 potential for early diagnosis, and 2-way tables to highlight agreement and safety (false negative rates).

257

258 Inter-observer agreement between three masked clinicians for both RPA and OCT series was assessed
259 using Fleiss’ kappa (κ) statistic. Agreement was regarded as ‘none to slight’ when between 0.01–0.20,
260 ‘fair’ when 0.21–0.40, ‘moderate’ when 0.41–0.60, ‘substantial’ when 0.61–0.80, and ‘almost
261 perfect’ when 0.81–1.00^{18,19}.

262

263 ROC curves were used to compare the discriminative ability of objective RPA assessment
264 (quantitative RPA grading) with objective OCT parameters (quantitative OCT grading), namely
265 global cRNFL linear regression slope, and global cRNFL thickness at final visit. This was carried out
266 using subjective and objective classifiers; the clinical imaging standard (clinician OCT categorisation)
267 and the presence of statistically significant sectoral cRNFL linear regression. Area under the ROC
268 curve (AUROC) of 0.5 was interpreted as discrimination equivalent to chance, and AUROC of 1
269 showing perfect discrimination. With a combination of parametric and non-parametric distributions of
270 data, a parametric approach to ROC was maintained, as described previously²⁰. DeLong's method was
271 used to determine any significant differences between AUROCs²¹. Adjustment of p-values for
272 multiple comparisons used the conservative Bonferroni method²². Quantitative RPA assessment (red
273 area) was correlated with linear regression of cRNFL values. Correlation was termed 'strong' when
274 the absolute value of r was greater than 0.7, 'moderate' when r was greater than 0.5, and 'weak' when
275 greater than 0.3. All statistical analyses were carried out using R. ROC curves were plotted using
276 ROCit²³.

277

278

279 Two-way tables were used to investigate the level of agreement of clinician RPA grading with three
280 classifiers; the clinical imaging standard (clinician OCT grading), and the presence or absence of a
281 statistically significant negative trend ($p < 0.05$) in global cRNFL, or any cRNFL sector using
282 percentage agreement and Cohen's kappa (κ) statistic. Kaplan-Meier survival analysis was used as
283 time-to-event analysis to simulate detection of progression through time in all eyes, and also just
284 limited to those clinically labelled as 'progressing' by the clinical imaging standard. Time to detection
285 of progression was compared between clinician RPA grading, versus the occurrence of a statistically
286 significant linear trend ($p < 0.05$) in global cRNFL, and the presence of a statistically significant linear
287 trend ($p < 0.05$) in any cRNFL sector. Statistical significance was declared when $p < 0.05$ throughout.

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290 Results

291

292 *Study population*

293

294 Seven hundred and forty-three scans from 98 eyes met the inclusion criteria for this study. The mean
295 age of the patients (\pm SD) was 68.2 ± 12.0 years. 47% of patients were male, and 53% were female.
296 55% were right eyes and 45% left eyes. Mean starting visual field mean deviation (MD) was $-4.0 \pm$
297 5.0 dB. Mean starting global average cRNFL was 79.1 ± 16.8 μ m. The mean number of visits per eye
298 was 7.6 ± 3.2 scans. These demographics and baseline statistics are separated into eyes classed as
299 'progressing' and 'not progressing' by the clinical imaging standard. There was a significantly thinner
300 mean global cRNFL thickness in the 'progressing' group ($p = <0.0001$). There was no significant
301 difference in starting MD between 'progressing' and 'not progressing' groups ($p = 0.534$). There was
302 also no significant difference between the number of visits in each image series between groups
303 ($p=0.058$) (Table 1).

304

305 *Inter-observer agreement*

306

307 The three-way agreement between the clinicians when categorising OCT series to form the clinical
308 imaging standard was 83% (kappa 0.657, 0.542 – 0.771). Three-way agreement between the clinicians
309 when categorising RPA was significantly greater at 90% (kappa 0.860, 95% CI 0.809 – 0.907) (Figure
310 2).

311

312 *Discriminative ability of RPA*

313

314 The ability of RPA to discriminate between OCT series categorised as 'progressing' and 'not
315 progressing' by the clinical imaging standard was AUC = 0.97, 95% CI 0.92 – 1.00, significantly
316 superior to that of global cRNFL thickness (AUC = 0.71 95% CI 0.59 – 0.83) (DeLong's method

317 $p < 0.0001$), and comparable to linear regression of global cRNFL thickness (AUC = 0.97, 95% CI
318 0.92 – 1.00, $p = 1.00$) (Figure 3).

319

320 The ability of RPA to discriminate between OCT series categorised as ‘progressing’ and ‘not
321 progressing’ by the presence of any significant sectoral cRNFL thinning was (AUC = 0.95, 95% CI
322 0.90 – 0.99), significantly superior to that of global cRNFL thickness (AUC = 0.61, 95% CI 0.50 –
323 0.73, $p < 0.0001$), and comparable to linear regression of global cRNFL thickness (AUC = 0.94, 95%
324 CI 0.89 – 0.99, $p = 1.00$) (Figure 3).

325

326 *Agreement of RPA with the clinical imaging standard*

327

328 Agreement of clinician RPA categorisation with the clinical imaging standard was 77.6% (kappa
329 0.555, 95% CI 0.389-0.721). In all eyes where there was disagreement, RPA was categorised as
330 ‘progressing’, whilst the clinical imaging standard categorised them as ‘not progressing’ (Appendix
331 3).

332

333 *Agreement of RPA with linear regression of OCT data*

334

335 Agreement of clinician RPA categorisation with the presence of a statistically significant trend in
336 global cRNFL thinning was 68.4% (kappa 0.375, 95% CI 0.165-0.585). In all eyes where there was
337 disagreement, RPA was categorised as ‘progressing’ whilst the clinical imaging standard categorised
338 them as ‘not progressing’ (Appendix 3).

339

340 Agreement of clinician RPA categorisation with the presence of a statistically significant trend in
341 sectoral cRNFL thinning was 85.7% (kappa 0.715, 95% CI 0.578-0.853). In the eyes where there was
342 disagreement, 11.2% of eyes were marked as progressing with RPA, and 3% marked as progressing
343 with linear regression of sectoral cRNFL (Appendix 3). Examples of RPA plots that were in
344 agreement and disagreement with the clinical imaging standard are displayed in Appendix 1.

345

346 *Correlation of RPA with linear regression*

347

348 RPA red area was significantly different between those graded as progressing and non-progressing
349 with the clinical imaging standard ($p < 0.0001$, $n = 743$). There was a strong positive correlation
350 between RPA red area and rate of *global* cRNFL thinning in eyes categorised as 'progressing' by the
351 clinical imaging standard ($r = 0.930$, $R^2 = 0.865$, $p < 0.0001$). To a much lesser extent, a weak
352 correlation was present with the eyes labelled 'non-progressing' by the clinical imaging standard ($r =$
353 0.415 , $R^2 = 0.172$, $p < 0.001$). There was a strong positive correlation between RPA red area and rate
354 of *sectoral* cRNFL thinning (fastest progressing sector) in eyes both categorised as 'progressing' ($r =$
355 0.730 , $R^2 = 0.533$, $p < 0.0001$) and 'non-progressing' ($r = 0.738$, $R^2 = 0.545$, $p < 0.0001$) by the clinical
356 imaging standard (Appendix 2).

357

358

359 *Survival analysis*

360

361 Kaplan-Meier survival analysis revealed statistically significant earlier detection of progression using
362 clinician RPA categorisation compared to the presence of significant thinning of global cRNFL using
363 linear regression.

364

365 Amongst *all* patients at the end of year 1, 10% were marked progressing with global cRNFL linear
366 regression and 41% with RPA, and at the end of year 2, 56% with global cRNFL and 78% with RPA
367 ($p < 0.0001$ overall). Median survival was greater than 912 days (95% CI 883 – >912) with global
368 cRNFL, and 511 days (95% CI 378 – 699) with RPA, a reduction of at least 401 days ($p < 0.0001$).
369 Exclusively amongst those eyes categorised as 'progressing' by the clinical imaging standard, 21%
370 were marked progressing with global cRNFL and 71% with RPA at the end of year 1, and 79% with
371 global cRNFL and 96% with RPA at the end of year 2. Median survival was 527 days (95% CI 456 –

372 598) with global cRNFL, and 263 days (95% CI 182 – 365) with RPA, a reduction of 264 days (p
373 <0.0001) (Figure 4).

374

375 Amongst *all* patients at the end of year 1, 32% were marked progressing with sectoral cRNFL linear
376 regression (any sector) compared to 41% with RPA, and at the end of year 2, 73% with sectoral
377 cRNFL compared to 78% with RPA. Median survival with sectoral cRNFL was 699 days (95% CI
378 502-875), and 511 days (95% CI 378-699) with RPA (p = 0.064). Amongst patients categorised as
379 ‘progressing’ at by the clinical imaging standard, 61% were marked progressing with sectoral cRNFL
380 compared with 71% with RPA at the end of year 1, and 93% with sectoral cRNFL compared to 96%
381 with RPA at the end of year 2. Median survival was 306 days (95% CI 239-462) with sectoral
382 cRNFL, and 262 days (95% CI 182-365) with RPA (p = 0.270) (Figure 4).

383

384

385

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387

388

389

390 Discussion

391

392 This study has demonstrated RPA as a novel analysis and visualisation tool that is able to accurately
393 and intuitively display comprehensive structural progression data. Incorporated in the output are the
394 rate, location, and significance of RNFL thinning, creating a powerful ‘at-a-glance’ plot that is
395 anatomically orientated and easily interpretable. This study has demonstrated that RPA can improve
396 agreement between clinicians and potentially assist in the early diagnosis of glaucoma progression.

397

398 The imperfect agreement between clinical endpoints when detecting glaucoma progression has
399 previously been demonstrated²⁴. Furthermore, poor inter-observer agreement plagues reproducibility
400 of clinical decisions and equality in standards of care²⁵⁻²⁷. When assessing optic disc photographs and
401 standard automated perimetry, inter-rater kappa has been quoted as 0.16 and 0.13 respectively²⁶.

402 Although OCT has been shown to accurately and consistently detect pre-existing glaucomatous
403 damage²⁶, refinement of progression analysis is required to differentiate those with progressive
404 disease requiring more aggressive treatment. The use of objective progression analysis to aid
405 assessment of change between visits has been demonstrated in visual field testing²⁸. However, the
406 OCT equivalent using linear regression confined to predefined circumpapillary sectors is time-
407 consuming to review, and increases the potential for inter-observer variability. Consequently, the lack
408 of an agreed systematic approach results in clinicians giving varying degrees of importance to
409 biomarkers when assessing patients. Hood et al. suggested a single-page display of OCT data to guide
410 systematic assessment for structural damage, also aiding correlation to functional data²⁹. In a similar
411 manner, our study demonstrates that RPA can act as a decision-making tool for progression analysis.

412 The improved inter-observer agreement promises great potential to provide better consistency and
413 standardisation of treatment decisions, with RPA displaying circumferential progression data in a
414 single circular plot. Furthermore, due to the ease with which the results can be anatomically
415 correlated, the plot is a compelling qualitative and quantitative novel assessment tool.

416

417 The widespread uptake of structural imaging is believed to have increased diagnostic sensitivity for
418 glaucoma, with many OCT studies differentiating glaucomatous from healthy eyes. A recent meta-
419 analysis¹ reported a pooled AUROC of 0.897 (95% CI 0.887 – 0.906) for circumpapillary RNFL
420 measures. However, stratifying eyes in such a way does not confer the rate of progression, whereas
421 the clinical objective is often to highlight those in most need of urgent treatment. Thus, plotting a
422 dynamic process such as in RPA has more important implications on the future trajectory of the
423 patient's visual status and the immediate need for treatment. In the evolution of visual field
424 progression analysis, event-based and trend-based approaches have been compared, with the results
425 demonstrating similar performance between the two methods³⁰. Similar comparisons of progression
426 analysis methodology have been made for OCT imaging, with some superiority of the trend-based
427 approach adopted in RPA³¹ reported. In further developing trend-based approaches, pointwise linear
428 regression in visual field testing increased the resolution of analysis in comparison to regression of
429 summary measures^{32,33}, and in analogous fashion, pointwise linear regression of circumpapillary
430 thickness points has been built into RPA with the aim of identifying earlier structural changes.

431

432 The use of angular histograms in glaucoma progression is a novel application in the field of medical
433 imaging. However, the plots have been successfully applied in other fields, namely meteorology³⁴.
434 The unique ability to plot vectors in a circumferential manner conveys both magnitude and spatial
435 information for applications such as wind speed and direction, where both may be critically important.
436 In the case of glaucoma, it is characteristically the supertemporal and inferotemporal nerve fibre
437 bundles that appear particularly vulnerable to damage and thus may be differentiated from other
438 patterns of nerve fibre loss²⁹.

439

440 In contrast to averaged circumpapillary sectors commonly used in clinical practice,¹³ the variable
441 sector size of RPA allows intelligent multi-dimensional rose formation whilst giving more statistical
442 weight to clusters of progressing circumpapillary points. Not only does this function as effective
443 noise-filtration, but also is likely to allow for a greater range of developing RNFL defect sizes to be
444 highlighted³⁵. For instance, small discrete bundles of nerve fibres can often display subtle thinning in

445 early disease³⁶, that may not be of sufficient size to influence summary values based on sectoral or
446 global averages. The multi-dimensional intelligence of RPA displays has the potential for yet further
447 expansion by incorporating multiple circle scan diameters in transparent rose plots to emphasise
448 thinning at multiple circumpapillary diameter. Thus, the improved granularity of RPA is in keeping
449 with better diagnostic sensitivity, avoiding the pitfalls of diagnosing ‘red’ and ‘green’ disease when
450 summary measures are taken at face value³⁷. This theory is matched by the results of this study,
451 demonstrating significantly reduced time to detect progression using RPA compared to the global
452 RNFL linear regression (and near significance with the sectoral indices). The excellent results in
453 terms of sensitivity (very few cases where RPA did not detect progression labelled by other methods),
454 when compared with regression indices mean that RPA is a safe tool in reassuring clinicians of the
455 absence of progression, potentially helping over-burdened services³⁸.

456

457 Limitations of this study include the exclusion of functional outcomes, whilst instead comparing with
458 a clinical imaging standard that is used as structural information as part of the patient assessment. The
459 exact relationship between structure and function assessed with the biomarkers available is complex
460 and continues to be fully characterised^{39,40} and therefore, the challenge in glaucoma research remains
461 finding a ‘gold standard’ allowing full adherence to the recommended STARD (Standards for
462 Reporting of Diagnostic Accuracy Studies) guidelines^{41,42} for diagnostic accuracy studies. It is critical
463 that studies of any new assessment of the clinical utility of a new diagnostic technique follows such
464 guidelines; our study was not designed in this way. In order to link the earliest changes observed on
465 RPA with functional loss, longitudinal prospective studies with regular visual field monitoring would
466 be required. Within this, recruitment could be stratified by baseline disease severity in order to
467 ascertain the effect on RPA sensitivity as the minimum cRNFL ‘floor’ thickness is approached¹², and
468 conversely to exclusively examine ‘pre-perimetric’ patients, where RPA has the greatest potential to
469 improve early diagnosis. Prior work associating points of visual field loss with circumpapillary
470 structural locations²⁹ may then be used to incorporate existing functional losses on the rose plots or
471 even predict vulnerable points on the visual field⁴⁰.

472

473 The future of structural biomarkers in glaucoma may lie in developing discerning combinations of
474 multi-modal imaging specifically tailored to the clinical task at hand, for clinicians appropriately
475 qualified to interpret the data in the context of the whole patient. Increasing attention is being given to
476 glaucoma as a retinal disease, with posterior pole scanning protocols providing glaucoma diagnosis
477 with AUROC of 0.885 (95% CI 0.869 to 0.901) for the macular ganglion cell complex, along with
478 mapping of structural changes to functional losses in other novel methods of data presentation⁴³.
479 Trend-based progression analyses using these data are still to be refined. However, to utilise historical
480 circumpapillary RNFL data, RPA is well-placed to ‘signpost’ attention to particular regions of interest
481 that can be extrapolated to examination findings and other investigations in keeping with
482 topographical structure-functional relationships⁴⁴. With our results demonstrating the multi-faceted
483 potential that RPA has in clinical glaucoma care, we propose its clinical introduction as a structural
484 representative incorporated into the comprehensive patient assessment in order to improve clinical
485 decision making and the care of our patients.
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601 **Legends**

602

603 **Figure 1.** Examples of RPA plots from two patients. RPA uses centripetal height of the graph to
604 show rate of thinning, the circumferential position to show circumpapillary location of thinning, and
605 colour of the graph to show statistical significance, in a single ‘at-a-glance’ plot. Top row: A patient
606 with a significantly progressing right eye and stable left eye. Bottom row: A patient with a
607 progressing right eye, and a left eye with progression only highlighted using RPA. ‘Garway-Heath’
608 sectors are included centrally for anatomical orientation. R = right eye, L = left eye, TS =
609 superotemporal, NS = superonasal, N = nasal, NI = inferonasal, TI = inferotemporal, T = temporal.
610 Further examples are shown in Appendix 1.

611

612 **Table 1.** Baseline characteristics of eyes included in the study. The data has also been subdivided
613 into those eyes categorised as ‘progressing’ and ‘not progressing’ by the clinical imaging standard
614 (masked clinician assessment of OCT series).

615

616 **Figure 2.** Comparison of masked clinician inter-observer agreement assessing Rose Plot Analysis
617 (RPA) and OCT series (clinical imaging standard). RPA demonstrated significantly greater agreement
618 (Fleiss’ kappa 0.86, 95% CI 0.81 – 0.91 vs 0.66, 95% CI 0.54 – 0.77) when assessed for disease
619 progression.

620

621 **Figure 3.** Receiver-operating characteristic curves showing the ability RPA (red area), the rate of
622 global cRNFL thinning, and mean global cRNFL thickness (latest) to discriminate between eyes with
623 ‘progressing’ and ‘non-progressing’ disease. Area under the curve (AUROC, 95% CI) is shown for
624 each plot. Eyes were classified as ‘progressing’ and ‘non-progressing’ by two methods. Upper row:
625 clinician assessment of OCT series – clinical imaging standard. Bottom row: the presence of at least
626 one circumpapillary sector demonstrating significant thinning using linear regression. A significant

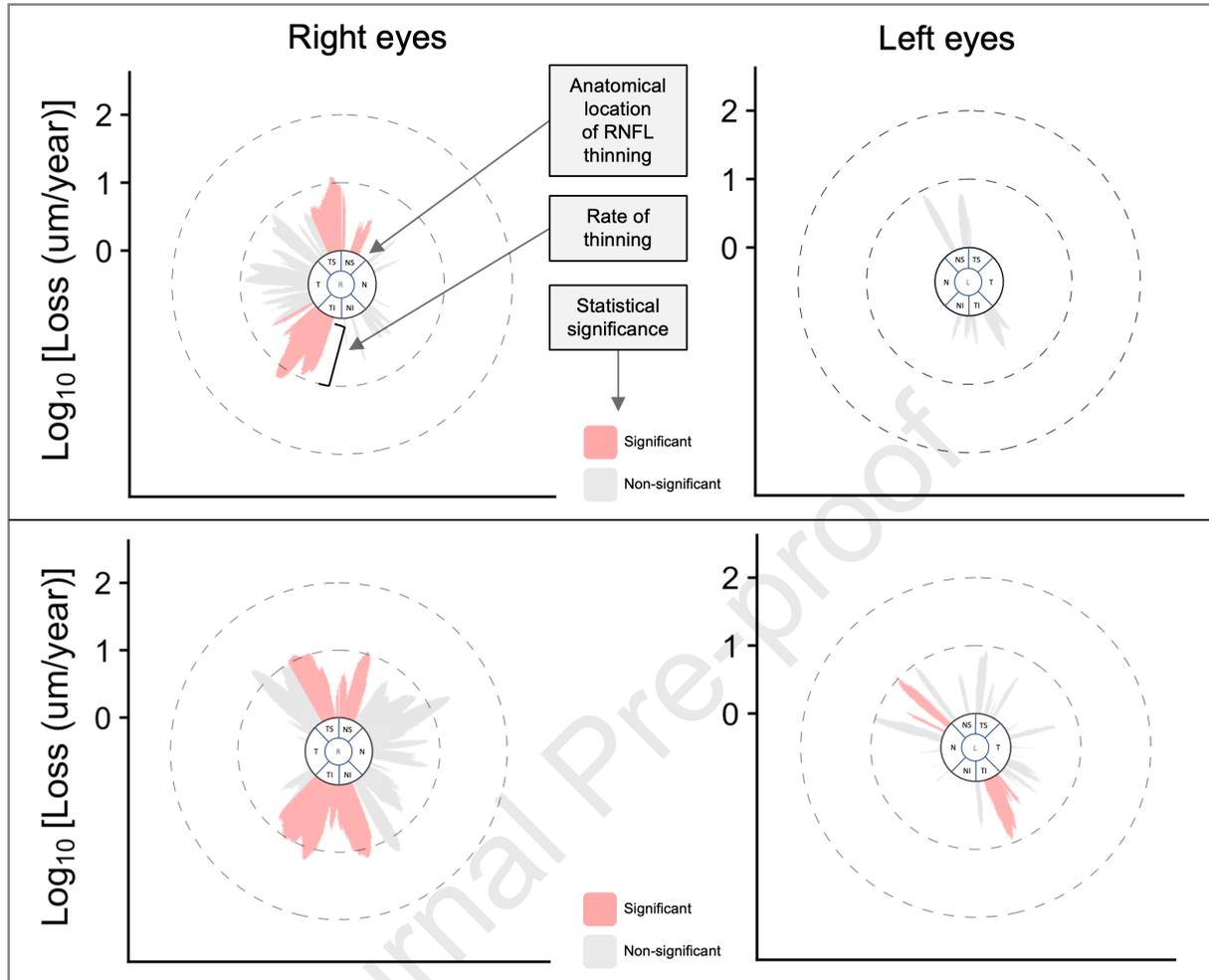
627 difference was found between the AUROCs of gRNFL thickness and both RPA and global cRNFL
628 regression in the case of both classifiers (DeLong's method, adjusted p-value < 0.0001).

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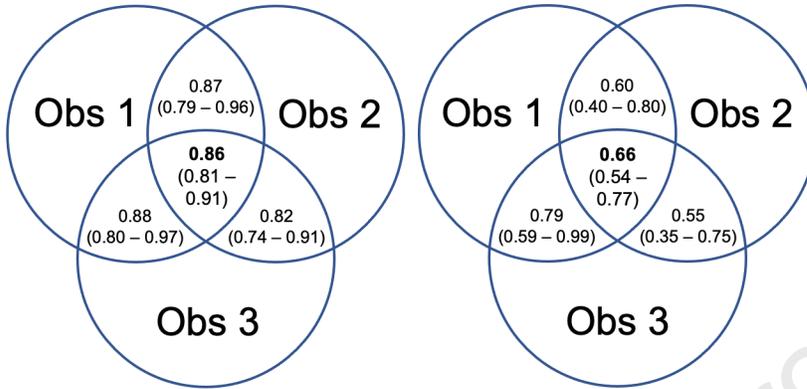
630 **Figure 4.** Kaplan-Meier survival curves comparing the detection of progression using RPA (green)
631 and linear regression (red) in the same eyes with time (1 event = detection of progression). Majority
632 clinician assessment of RPA was compared against two other markers: the presence of global and
633 sectoral cRNFL statistically significant thinning on linear regression. This was examined in two
634 cohorts: in all study eyes (top row), and in those graded as 'progressing' by the clinical imaging
635 standard (bottom row). Statistically significant improvements were seen with RPA vs the global
636 cRNFL thinning in both cohorts (Median survival, all eyes: LinReg G >912.5 days (95% CI 883 –
637 >912.5), RPA 511 days (95% CI 378 – 699) (p<0.0001), 'Progressing' only: LinReg G 527 days
638 (95% CI 456 – 598), RPA 263 days (95% CI 182 – 365), (p <0.0001)), but not compared to sectoral
639 cRNFL thinning (Median survival, all eyes: LinReg S 699 days (95% CI 502-875), RPA 511 days
640 (95% CI 378-699) (p = 0.064), "Progressing" only: LinReg S 306 days (95% CI 239-462), RPA 262
641 days (95% CI 182-365) (p = 0.270)). LinReg G – Linear regression of global cRNFL, LinReg S –
642 Linear regression of sectoral cRNFL.

643

644



Inter-observer agreement



Rose Plot
Analysis

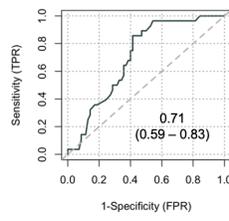
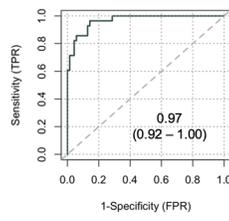
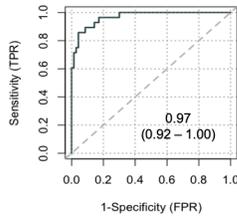
Clinical imaging
standard

Classifier: Clinical Imaging Standard

RPA

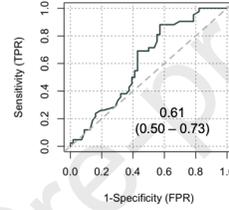
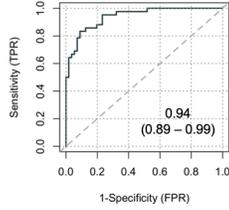
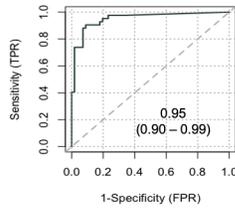
Global cRNFL regression

gRNFL thickness

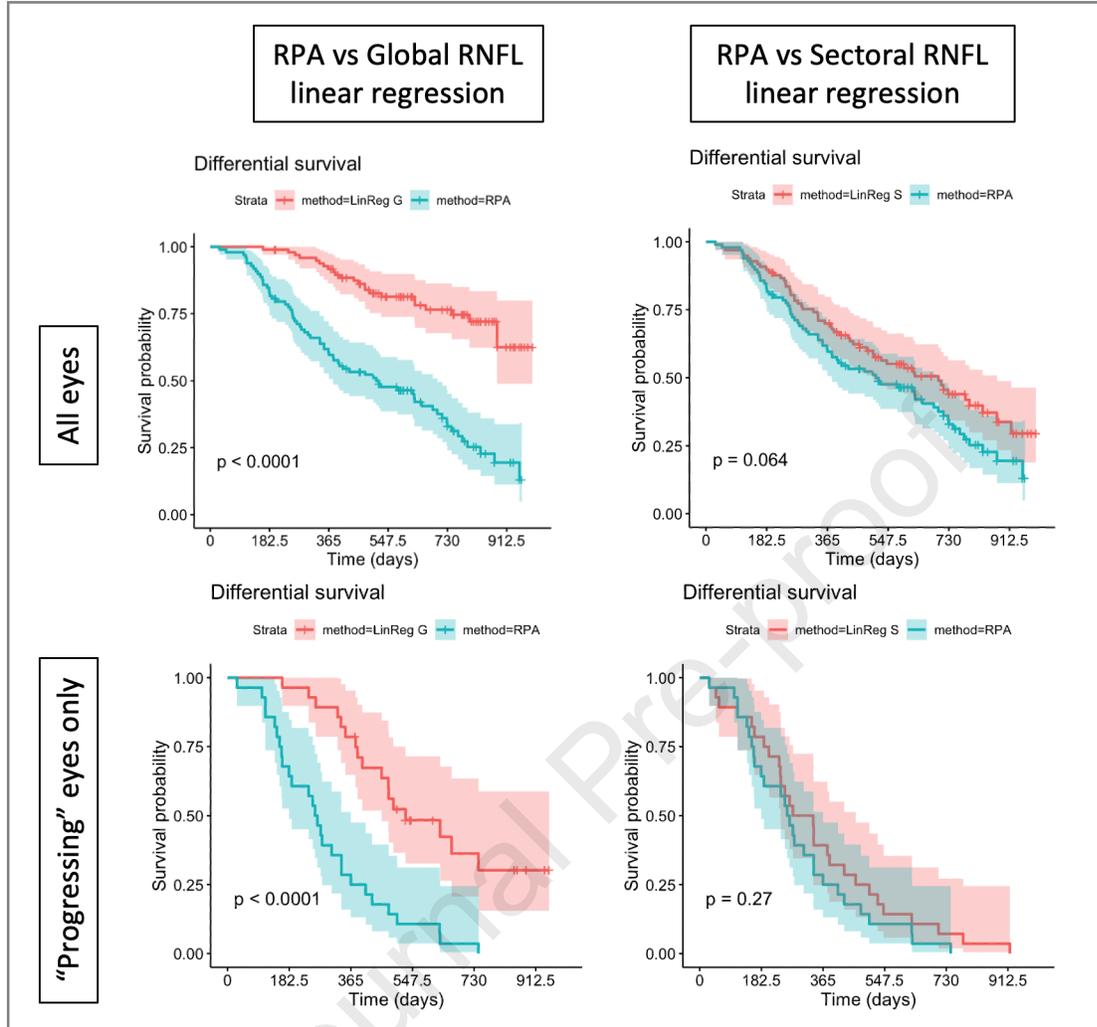


$p = 1.00$ (between RPA and Global cRNFL regression)
 $p < 0.0001$ (between Global cRNFL regression and gRNFL thickness)
 $p < 0.0001$ (between RPA and gRNFL thickness)

Classifier: Sectoral cRNFL regression



$p = 1.00$ (between RPA and Global cRNFL regression)
 $p < 0.0001$ (between Global cRNFL regression and gRNFL thickness)
 $p < 0.0001$ (between RPA and gRNFL thickness)



Patient Characteristics				
	Overall	"Progressing"	"Not progressing"	p
Patients, n	91	25	66	-
Eyes, n	98	28	70	-
Mean age \pm SD, years	67.8 \pm 12.3	65.4 \pm 11.6	68.7 \pm 12.5	0.255
Gender, M:F %	47% : 53%	52% : 48%	45% : 55%	0.396
Laterality (OD : OS)	55% : 45%	48% : 52%	57% : 43%	0.257
Starting MD \pm SD, dB	-4.0 \pm 5.0	-4.5 \pm 4.5	-3.8 \pm 5.2	0.534
Starting global cRNFL thickness \pm SD, μ m	79.1 \pm 16.8	74.3 \pm 11.7	81.1 \pm 18.2	<0.0001
Mean number of visits \pm SD, n	7.5 \pm 3.2	8.5 \pm 3.8	7.1 \pm 2.8	0.058

Table 1. Baseline characteristics of eyes included in the study. The data has also been subdivided into those eyes categorised as 'progressing' and 'not progressing' by the clinical standard (masked clinician assessment of OCT series).

		RPA (consensus)		
		Stable	Prog	
CLINICAL STANDARD	Stable	48	22	70
	Prog	0	28	28
		48	50	98

Agreement	77.6%
kappa	0.555
kappa se	0.085
kappa lower	0.389
kappa upper	0.721

		RPA (consensus)		
		Stable	Prog	
Global cRNFL prog	Stable	48	31	79
	Prog	0	19	19
		48	50	98

Agreement	68.4%
kappa	0.375
kappa se	0.107
kappa lower	0.165
kappa upper	0.585

		RPA (consensus)		
		Stable	Prog	
Sectoral cRNFL prog	Stable	45	11	56
	Prog	3	39	42
		48	50	98

Agreement	85.7%
kappa	0.715
kappa se	0.070
kappa lower	0.578
kappa upper	0.853

Table 2. Two-way tables demonstrating agreement of masked RPA assessments with a) the clinical standard (clinician OCT series assessment) b) the presence of global or c) sectoral cRNFL thinning with linear regression.

Glaucoma Rose Plots are a novel progression analysis tool displaying circumpapillary OCT progression in a single at-a-glance plot. Their use improves inter-observer agreement on progression and detects progression faster than the current clinical standard.

Journal Pre-proof