Causes of Sudden Unexpected Death in Childhood: Autopsy 1 2 findings from a Specialist Centre 3 Bryant VA¹, Jacques TS², Sebire NJ² 4 5 6 ¹ Department of Cellular Pathology, The Royal London Hospital, London, UK 7 ² Histopathology Department, NIHR GOSH Biomedical Research Centre and GOS 8 Institute of Child Health UCL, London 9 10 Correspondence 11 Prof NJ Sebire 12 Histopathology 13 Level 3 CBL Labs 14 **Great Ormond Street Hospital** 15 London WC1N 3JH 16 neil.sebire@gosh.nhs.uk 17 18 **Abstract** 19 **Objectives:** To investigate the aetiologies of sudden unexpected death from natural 20 causes in children aged 1-18 years by retrospective examination of autopsy records from 21 a single centre. 22 Materials and methods: The post-mortem findings from 548 children (1996-2015), 23 were examined. Details were entered into an established research database and 24 categorized according to >400 pre-defined criteria. 25 **Results:** There were 265 previously apparently healthy children and 283 with pre-26 existing, potentially life-limiting, conditions. There were more males than females (M:F 27 1.4:1), and deaths were more frequent in the winter. Infection was commonest 28 accounting for 43% of all deaths. Non-infectious diseases were identified as cause of 29 death in 28%, and 29% of all deaths were unexplained. There was no significant 30 difference in the proportions of deaths in each category between the previously healthy 31 children and those with pre-existing conditions.

32	Conclusion: Sudden unexpected death is a rare presentation of death in childhood and
33	those with pre-existing conditions may be more at risk. Standardization of the post-
34	mortem procedure in such cases may result in more ancillary investigations performed as
35	routine and may reduce the number of cases that are 'unexplained'.
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37	Key words: sudden unexpected death, autopsy, post-mortem, unexplained, pre-existing
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Introduction

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Around half of all childhood deaths in England and Wales are due to pre-existing conditions (PEC), most commonly malignant diseases and non-malignant neurological conditions. Of the approximate 5,000 deaths per annum in 0-19-year olds, around half affect infants (defined as aged <1 year), with the majority of these occurring in the neonatal period (0-28 days of age). In infants older than 28 days, the commonest single cause of death is 'unexplained', accounting for around 25% of all such deaths. Sudden unexpected death (SUD) in childhood is defined as the death of a child, over 1 year of age, which was not reasonably expected to occur 24 hours previously.² SUD is rare and may be due to natural or non-natural causes (such as accidents, trauma, suicides and nonaccidental injury). It can affect both apparently previously healthy children as well as those with pre-existing conditions (which we have termed SUD-PEC), defined as lifelimiting conditions for which there is no reasonable hope of cure.³ In the UK, investigation of SUD in childhood falls under the auspices of Her Majesty's Coroner (HMC) or Procurator Fiscal (PF) in Scotland, hence all are treated as medicolegal cases. As part of the investigation of such deaths HMC (or PF) will instruct that a post-mortem examination (autopsy) be performed usually by a registered specialist paediatric pathologist, with a review of the circumstances of death and previous medical history. In cases of suspected non-accidental injury the autopsy is performed in conjunction with a forensic pathologist. Fortunately, SUD in childhood is a rare

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The aim of this study is to provide data from a retrospective review of the autopsy findings from a large cohort of SUD in childhood investigated in a single centre.

has resulted in limited published evidence of the underlying aetiologies.

presentation of death. However, this, coupled with the need for specialist investigation,

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Materials and Methods

Great Ormond Street Children's Hospital (GOSH) is a tertiary referral centre for rare diseases of childhood. There is a team of specialist paediatric pathologists who perform post-mortem examinations on foetuses, infants, and children including consented hospital autopsies, and medicolegal autopsies at the behest of HMC.

This is a retrospective, observational study based on the autopsy records of a large series of consecutive post-mortem examinations performed in cases of SUD in childhood on behalf of HMC over a 20-year period from 1996-2015 inclusive. All autopsies were performed by or under the direct supervision of specialist paediatric pathologists.

All autopsies in children aged 1-18 years who died suddenly and unexpectedly from natural causes were included. Cases were divided into two groups: the SUD cohort included all previously apparently healthy, normally developed children in whom death occurred unexpectedly and without evidence of significant antecedent illness (i.e. the child was reported to be in good health, or with symptoms developing less than 24 hours prior to death which were not considered to be life-threatening by guardians or medical professionals). The SUD-PEC cohort included those children with pre-existing debilitating conditions who were reported to be in relatively good health prior to a sudden unexpected death. Consented autopsies, suspected non-natural deaths, second post-mortem examinations, incomplete autopsies, and deaths associated with recent invasive procedures were not included.

Data were extracted from the post-mortem report and entered into a research database containing >400 standardised pre-defined fields. This included clinical and demographic information, details of external and internal macroscopic and microscopic findings, results of ancillary investigations, and the cause of death as diagnosed by the examining pathologist. For each case the cause of death was categorised into infectious causes, non-infectious or unexplained, based on objective pre-defined criteria and definitions.⁴ The database was anonymised with no personal identifiers entered, and birth dates were

96 reassigned to the 1st day of the birth month with linked changes to the date of death to 97 preserve the age at death. 98 99 Where no cause of death was identified from the post-mortem examination, the death 100 was classified as 'unexplained'. Unexplained SUD-PEC required that there be no 101 evidence of an acute event to account for the death, and for the circumstances and 102 severity of the pre-existing condition considered by the examining pathologist to be 103 insufficient to explain the death. 104 105 Statistical analysis was performed using R in R-studio version 3.2.0. Non-parametric 106 tests were used for non-normally distributed data, continuous variables were presented as 107 median and range, or mean and standard deviations if normally distributed. Differences 108 between groups were analysed using chi-squared test, Fisher's exact test, Wilcoxon test 109 and Kruskal-Wallis rank sum tests as appropriate. 110 The level of statistical significance was set at $p \le .05$. 111 112 The study was approved by the local NHS Research Ethics Committee 113 (BloomsburyREC16/LO/0910). 114 115 Results 116 During the 20-year period, 762 coronial post-mortem examinations were performed in 117 children 1-18 years old at GOSH. Of these, 548 met the inclusion criteria, including 265 118 SUD and 283 SUD-PEC. The remaining 214 included 75 non-sudden or expected deaths, 119 70 non-natural deaths, 48 deaths related to invasive procedures, and 21 cases with 120 incomplete data. 121 122 Of the 548 cases included, there was a male predominance with a male-to-female ratio of 123 1.4:1. There was no significant difference between SUD and SUD-PEC cohorts in sex

124 distribution, median age at death, or post-mortem interval (PMI) – defined as the time 125 between death and post-mortem examination (Table 1). 126 127 Pre-existing neurological conditions were reported in 53% of SUD-PEC, of which one 128 fifth were associated with prematurity. Of note, gestational age at birth was not available 129 in all cases. Cardiovascular disease accounted for 17% of all pre-existing conditions. 130 Non-cardiac, non-neurological syndromes affected 11%, and the others were a mix of 131 conditions including two children under investigation for ongoing debilitating symptoms 132 without a diagnosis made in life (Figure 1). 133 134 Infection was the commonest cause of death (43% overall) with similar proportions in 135 each cohort: 46% of SUD versus 40% of SUD-PEC (p=.18). Post-mortem diagnosis of 136 infection was dependant on a combination of macroscopic, histological and/or 137 microbiological investigations. If there was evidence of a primary focus of infection, for 138 example pneumonia which required histological evidence of acute neutrophilic 139 inflammation within alveoli, then this was determined to be the cause of death. 140 Septicaemia was diagnosed on the basis of pure growth of a known pathogen in blood 141 cultures in the absence of an identified primary focus of infection. Sepsis was determined 142 to be cause of death in the absence of definitive microbiology or histology, based on a 143 combination of features including the clinical history and circumstances of death with 144 supportive macroscopic and microscopic findings. 145 Respiratory tract infection accounted for 50% of infection related deaths, with 146 significantly more in the SUD-PEC cohort compared to SUD, 67% versus 35% 147 respectively (p<0.0001). Diagnoses of septicaemia and sepsis accounted for almost 23% 148 of all infection related deaths and were diagnosed more frequently in SUD compared to 149 SUD-PEC, 30% versus 20% respectively. Acute myocarditis was responsible for 15% of 150 infection related deaths with significantly more in the SUD cohort compared to SUD-151 PEC, 22% versus 8% respectively (p=.002). Less than 4% of all deaths were from

152	meningitis, and the remainder were from various conditions including a single case of
153	tuberculosis, and a case of Falciparum malaria.
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155	Non-infectious diseases accounted for 28% of all deaths with the same proportion in both
156	cohorts. Non-infectious respiratory causes of death were commonest accounting for 36%
157	of these with no significant difference between cohorts, 29% of SUD versus 41% of
158	SUD-PEC (p=.2). Of these, acute asthma was the single most common diagnosis,
159	accounting for 16% overall. Cardiovascular diseases were responsible for 26%, followed
160	by gastro-intestinal, 18%, and central nervous system diseases, 9% - all with similar
161	proportions in each cohort.
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163	Thirty percent of deaths remained unexplained following post-mortem examination and
164	there were similar proportions in each cohort: 26% SUD versus 33% SUD-PEC (p=.117;
165	Table 3).
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167	Significantly more deaths occurred in winter compared to other seasons (p=.005, Figure
168	2), but deaths per season did not differ significantly different between cohorts (p>.05).
169	The main differences in numbers of deaths occurred in summer versus winter (p=.005)
170	and autumn versus winter (p=.002), and were due to infectious causes (p=.0002, Table
171	4). There was no statistically significant seasonal variation in deaths from non-infectious
172	diseases or unexplained deaths (p>.05).
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Discussion

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175 This study is the largest single centre series of sudden unexpected death in childhood. 176 The findings have demonstrated that the single commonest identifiable cause of death is 177 infection accounting for more than 40% of cases. However, 30% of deaths remained 178 unexplained following specialist post-mortem examination. The proportion of deaths in 179 each major category is similar between previously apparently healthy children and those 180 who died suddenly and expectedly on a background of pre-existing conditions. 181 182 A literature search identified 14 autopsy studies (Figure 3), which included cases of 183 sudden unexpected death in childhood and the results of these were compared with the current series (Table 5, Figure 4).⁵⁻¹⁸ Several studies also included data from adults.⁵⁻ 184 185 8,8,10,12 In some studies, various age ranges were grouped: for example, 1-10 year-olds and 11-20 year-olds, 8 1-14 year- olds and 15-25 year-olds. 10,12 Several focused on the very 186 young, often pre-school age children (< 5 years-old)¹¹ and the majority of their cases 187 were infants <1 year-old. 14-18 Only three published studies separately identified those 188 dving with pre-existing conditions.⁵⁻⁷ 189 190 Several studies were concerned only with particular autopsy diagnoses; one focussed on infection and the others on non-infectious diseases, not further defined.¹² Some were 191 192 most concerned with unexplained deaths, and it was not always possible to determine 193 accurate numbers of infection and non-infectious disease-related deaths. 9,10,12,14,16,17 In six studies the sex distribution was reported or could be calculated from the published data.⁶⁻ 194 9,11,14 All demonstrated a male predominance which is also consistent with the current 195 196 series. An increased rate of deaths in males is also reported in sudden unexpected deaths 197 in infancy (SUDI) and 60% of sudden infant death syndrome (SIDS) deaths affect males. 19 198 199 200 In nine studies, infection was reported as the cause of SUD in childhood in 12-66% of 201 cases. The two studies with very low proportions of infection-related deaths, reported as

12% ¹⁵ and 16% ⁶, included only small numbers of children, and also reported relatively high proportions of unexplained deaths, 76% and 44% respectively. A crude comparison with our SUD cohort (previously apparently healthy children) revealed some interesting results: overall, infection related deaths reported in the nine studies accounted for 42% (100/241) of the total SUD in childhood, which is similar to our finding of 46% (p>.05). SUD in childhood from non-infectious disease was reported in 12-57% of deaths in eight studies, in total amounting to 28% (63/223) of all SUD in childhood in these series, which is identical to our findings. Unexplained deaths were reported in twelve studies accounting for 14-76% of SUD in childhood, overall, this amounted to 32% (131/409) from the studies which is comparable to the 26% of unexplained SUD in our series (p>0.5). Comparison of our SUD-PEC cohort, however, was very different. Only one death was reported to be from infection out of a total of 107 SUD-PEC cases in the literature. This was in the largest published study which included 92 SUD-PEC with 89% of deaths being from non-infectious causes.⁵ This is totally at odds with the findings of our series and suggests that the autopsy protocols may have differed between SUD presenting in previously healthy children compared to those with pre-existing conditions. In that study the extent of microbiological investigations is not discussed, and although 'all available' histological sections were reported to be examined by the authors - the extent of histology sampling in the children with pre-existing conditions is not elaborated. Furthermore, only one death was unexplained which also does not concur with our findings. We wonder how many SUD in children with pre-existing conditions have been attributed to that condition in the absence of other findings? This is the largest autopsy series of SUD in childhood to date including 548 cases over a 20-year period which provides more data than the other published studies combined. In our series, absolute numbers of SUD and SUD-PEC were similar. However, given that pre-existing conditions affect less than 10% of children >1 year-old ³ this must surely

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reflect a greater relative frequency of sudden unexpected death in those children with pre-existing conditions. Broadly speaking the causes of death were similar in both cohorts with infection being the commonest cause overall. However, the frequency of respiratory tract infections was significantly higher in SUD-PEC versus SUD from infectious cause, 67% versus 35% respectively (p=.0001). We suspect that this may be related to the high proportion of pre-existing neurological conditions in this cohort, and further investigation is warranted. Conversely acute myocarditis and septicaemia/sepsis were more frequently observed in our SUD cohort compared to SUD-PEC. The reasons for this may be multifactorial and extend to social and environmental considerations which are beyond the scope of this study.

We recognise that the post-mortem diagnosis of sepsis as cause of death is a grey area with no specific markers for such a diagnosis. In this series only 9 (1.6%) cases were given sepsis as cause of death – whether a comprehensive review of the cases including histology would have resulted in a different outcome was beyond the scope of the current study.

The proportions of unexplained deaths are high which may, in part, be explained by the lack of a standardised protocol for the post-mortem investigation during the time period under investigation (1996-2015). In addition, autopsies were performed by ten different pathologists whose interpretation of findings could be variable, which could be a reflection on an individual's training and experience. Detailed analysis of the autopsy procedure revealed that in many cases there were further investigations which may have provided more information had they been utilized. his is particularly pertinent for metabolic investigations which historically were seldom performed in the investigation of unexpected deaths in children > 1 year-old. Today we know that metabolic diseases can manifest themselves at any age and may present as sudden unexpected death. ²⁰⁻²⁵
Toxicology was not routinely performed with only 21% of all unexplained deaths having toxicology investigations.

258 However, the proportion of unexplained childhood deaths in this series is significantly 259 lower than that reported in a study of SUDI from the same centre, in which unexplained 260 SUDI accounted for around 60%.4 261 262 We recognise the limitations of this retrospective observational study which was 263 performed in a finite time period. The study did not involve a review of histology, rather 264 relying on individual's descriptions and interpretations of findings as documented in the 265 post-mortem report. As such subjectivity and observer bias was inevitable; this was 266 mitigated by using predefined objective criteria for all entries into the database to 267 standardise interpretation. 268 269 Lack of standardised post-mortem protocol was an unavoidable limiting factor. However, 270 in 2016, the Royal Colleges of Pathologists, and Paediatrics and Child Health (RCPath 271 and RCPCH) collaborated to produce multi-agency guidelines for the investigation of 272 sudden unexpected death in infancy and childhood. ² This is an update on the previous 273 Kennedy guideline from 2004 which focussed on infant deaths. ²⁶ The updated guidelines 274 may help to standardise the post-mortem procedure and may result in more 275 comprehensive use of ancillary investigations in the investigation of sudden unexpected 276 death in childhood. This could potentially result in fewer deaths remaining unexplained. 277 278 **Acknowledgements:** Mr John Booth for information technology support. 279 **Declaration of Conflicting Interests:** The authors declare that there is no conflict of 280 interest. 281 Funding: VAB was funded by the Lullaby Trust, NJS was part funded by GOSHCC. 282 Funders played no direct role in the data analysis or manuscript publication. TSJ is 283 grateful for funding from the Brain Tumour Charity, Children with Cancer UK, Great 284 Ormond Street Hospital Children's Charity, Olivia Hodson Cancer Fund, Cancer

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