

# Post-Neolithic broadening of agriculture in Yunnan, China: Archaeobotanical evidence from Haimenkou

Yining Xue\*<sup>1</sup>, Rita Dal Martello<sup>\*2,6</sup>, Ling Qin<sup>3,4</sup>, Chris J. Stevens<sup>4</sup>, Rui Min<sup>5</sup> & Dorian Q Fuller<sup>6,7,1</sup>

1 Institute of Archaeological Science, Fudan University, 200433 Shanghai, China

2 Max Planck Institute for the Science of Human History, Kahlaische Str. 10, 07745 Jena, Germany

3 Center for the Study of Chinese Archaeology, Yiheyuan Rd. 5, Haidian District, Peking University, 10087 Beijing, China

4 School of Archaeology and Museology, Peking University, Yiheyuan Rd. 5, Haidian District, 10087 Beijing, China

5 Yunnan Province Institute for Cultural Relics and Archaeology, 650118 Kunming, China

6 Institute of Archaeology, University College London, Gordon Sq. 31-34, WC1H 0PY London, UK

7 School of Cultural Heritage, Northwest University, 710127 Xi'an, Shaanxi, China

Corresponding author: [dalmartello@shh.mpg.de](mailto:dalmartello@shh.mpg.de) ORCID: 0000-0001-8367-1309

\*these authors contributed equally to this work

**Abstract:** We report archaeobotanical results from systematic flotation obtained during the 2008 excavation of the site of Haimenkou, in Northwest Yunnan, dated to c. 1600-400 BC. Haimenkou is thus far the earliest site with evidence for wheat and barley in Yunnan and provides essential evidence for tracing the spread of the two crops into Yunnan, as well as for the understanding the agricultural production development in the province from the second millennium BC onward. People at Haimenkou were practicing a mixed-crop farming strategy based first on rice and millet, and with the addition of wheat from c. 1400 BC. Between c. 800-400 BC archaeobotanical remains attests to a general decrease of millet and rice production in favour of wheat, possibly linked with climate deterioration. Other important cultivars present include large quantities of *Chenopodium* (associated with other cereal crops remains such as rice and millets), *Perilla* (Shisoo) seeds, and few grains of buckwheat, all possibly utilized as crop. Additionally, *Cannabis* seeds have also been retrieved, but at present it is unclear whether this species was exploited for oil or to obtain textile fiber, or for other uses. Several fruits species have also been retrieved, including peaches (*Prunus persica*), apricots (*Prunus armeniaca*), raspberries (*Rubus* sp.), grape (*Vitis* sp.), melon (*Cucumis melo*), and jujube (*Ziziphus jujubas*), although these are present in minor quantity in relation to crops and might indicate that wild resources collection had a secondary role to crop cultivation.

**Keywords:** Paleoethnobotany, Wheat, Buckwheat, Bronze Age, Domestication, Yunnan

Word count: ~9,000

## 1. Introduction

Yunnan province in Southwest China lies on the crossroads of the Yangtze basin, the rivers of mainland Southeast Asia (the Salween and the Mekong), the Tibetan Plateau, and mountain tracts leading to the Indian subcontinent. It has been seen as a pivotal region in the spread of cultural traditions, including perhaps agriculture and likely bronze working into mainland Southeast Asia (i.e. Higham 1996; Yao et al. 2020), as well as providing potential routeways for the spread of crops moving in both directions. Yunnan may be involved with several south/west dispersal events, including rice between China to India (cf. Van Driem 2017; Silva et al. 2018), a later diffusion of glutinous rice varieties found in the Himalayan zone in India and associated with areas with Tibetan-Burman minority languages (Fuller et al. 2016b), and likely buckwheat and barley varieties associated with Tibetan and Bodic speakers (Hyslop and D'Alpoim Guedes 2020). In terms of west to east movements into Yunnan, there is discussion of cereals such as wheat and barley from India into East Asia (Lister et al. 2018; Liu et al. 2017), sorghum (Fuller and Stevens 2018), Indian sawa millet (*Echinochloa frumentacea*) and finger millet (*Eleusine coracana*) (Blench 2016), and pulses of Indian origin such as *Vigna radiata* or *Cajanus cajan*, the latter now being feral throughout the tropical south of China (Fuller et al. 2019). The topographic variability contributes to high biodiversity (Qian et al. 2020; Li and Yue 2020), and the region is also an ethnolinguistic diversity hotspot (Chirkova 2017). Until recently, however, archaeobotanical evidence for early farming in the region has been limited. With the increasing deployment of flotation during archaeological excavations in China, it is increasingly possible to assess when various crops were introduced to the region, including those originating elsewhere within China (such as rice, millets, soybean or hemp), those that originated outside China, such as wheat, barley or Indian crops like mungbean (*Vigna radiata*) or sawa millet (*Echinochloa frumentacea*), and potentially locally domesticated taxa (indigenous to Yunnan or adjacent areas), such as buckwheat (*Fagopyrum esculentum*), *Chenopodium album* or even soybean. The present paper reports on the rich archaeobotanical record from the site of Haimenkou, which spans the mid-second to the first millennium B.C, corresponding to the Late Neolithic through the Bronze Age of the province, and provides an up to date discussion of these issues.

## 2. Haimenkou site and excavations

Haimenkou is located in the Dali Bai Autonomous Prefecture in Jianchuan county, Northwest Yunnan (26.466914 N, 99.919778 E; Fig. 1). Jianchuan county is located in the wider Jinsha River Basin, a tributary of the Yangtze River, presenting a mountainous landscape, with elevations reaching between 3600-1000 m asl; the site of Haimenkou itself lies at 2190m asl (Min 2013). The influence of the subtropical monsoon produces distinct rainy and dry seasons, occurring respectively between May-October and November-April. Annual precipitation in this area is about 1000-1200ml, with only 5% of it occurring during the dry season (Zhang 1994). Annual average temperature is about 12-15 °C (Li and Walker 1986). The site was occupied at a time of general monsoon activity deterioration, with overall environmental conditions cooler and drier than the previous millennium and a climatic event associated with a sharp drop in the monsoon intensity taking place at c. 1500 BC and bringing climatic conditions in the region close to those of present day (i.e. Dearing et al. 2008; Shen et al. 2006; Shen et al. 2005; Dykoski et al. 2005).

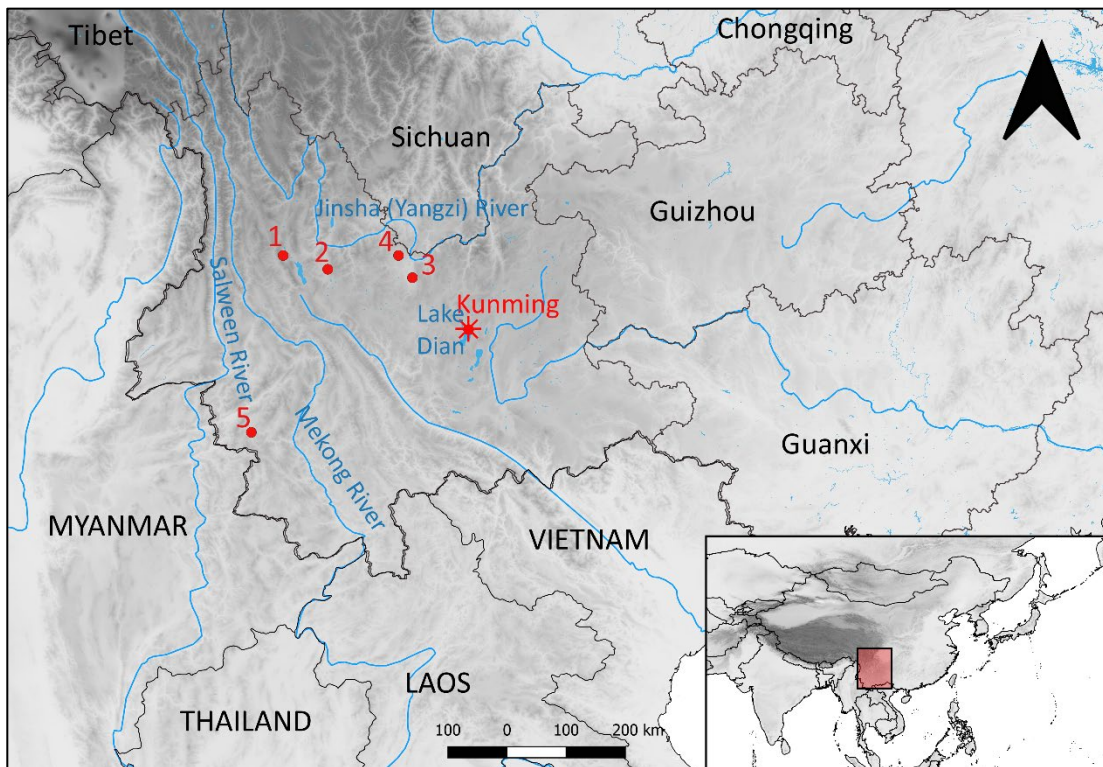


Figure 1. Map showing location of study area and sites mentioned in text: 1. Haimenkou; 2. Baiyangcun; 3. Dadunzi; 4. Mopandi; 5. Shifodong. Made with QGIS

Haimenkou was first discovered in 1957, and subsequently underwent several seasons of excavation; the first in March-April 1957 (YPM 1958); the second in April 1978 (Xiao 1991;

(Xiao 1995), and the third one in January-May 2008 (YIPCRA et al. 2009). Further excavations are currently being undertaken by Sichuan University, which has been leading annual campaigns at the site since 2016. The site extends over ~5 ha, and is regarded as the largest Neolithic site in Yunnan (Yao 2010) built in or adjacent to wetland on the Heihui river as it flows into Jianhu lake. The site features mostly represent rectilinear pile dwellings, with the bases of posts mostly of pine preserved by waterlogging, (Yao 2010; Gao et al. 2014). One especially large wooden structure may have been as large as 2 ha, the largest structure attributed to the Chinese Neolithic to date (Yao 2010). Finds of bronze objects at the site prompted decades-long debates surrounding the nature and the chronology of Haimenkou. Charred wood samples were taken in 1972 dating the site to 3115±90 BP/ 1150±90 BC (CASS 1972), with further samples were taken in 1990 providing dates of 2595±75 BP/ 645±75 BC and 2520±75 BP / 570±75 BC for the later levels (CASS 1990).

In order to clarify the chronology of the site, in 2008 the Yunnan Provincial Institute of Archaeology launched a new excavation campaign. On this occasion, a total area of 1395 m<sup>2</sup> divided in 27 trenches of 5\*10m size was excavated (Fig. 2). Ten cultural layers were individuated throughout the site. More than 3000 objects were unearthed, including ceramic remains, lithic and bone tools, wooden tools, bronze and iron objects. In regards to metal objects, none were found in the earliest deposits (layers 10-8), bronze objects were found from layers 6 to 4, and in layer 3 iron objects were found (see Li and Min 2014 for an analysis on the composition of metal objects from Haimenkou, and a discussion on early metal production in Yunnan). Over the 2008 season of excavation flotation was carried out and archaeobotanical samples were taken from trenches T1003, T1004, and T1005 (Fig. 2). This allowed for a more precise chronology to be obtained through the selection of charred annual plant remains, which were submitted for AMS radiocarbon dating, establishing the following chronology (from Xue 2010):

1. Phase I (Neolithic) 1600- 1400 cal BC layers 10-9-8;
2. Phase II (Neolithic/Bronze Age Transition) 1400-1100 cal BC layer 7-6;
3. Phase III (Bronze Age) 800-400 cal BC layers 5-4-3.

Detailed excavation reports for any excavation campaign have yet to be published. We report here on archaeobotanical evidence, incorporating initial sorting carried out by QL & DF to obtain dating samples, work by Xue (2010), and further work by Dal Martello (2020). A previous archaeobotanical assessment of Haimenkou was completed by Jin (2013) who

studied additional subsamples from trenches DT1003, DT1004, DT1005 (see Supplementary Material S1); those data counted waterlogged and charred seeds together. As our analysis focused on the charred dataset, we have excluded these from assessments of relative frequency, but we include them in overall assessment of ubiquity (Fig. 3). In general, those data reproduce the patterns found by Xue (2010). Finally, large charred and waterlogged plant remains were hand-picked during excavation (See Supplementary File S4); these are not included in the quantitative analysis here but pictures are provided as supplementary material.

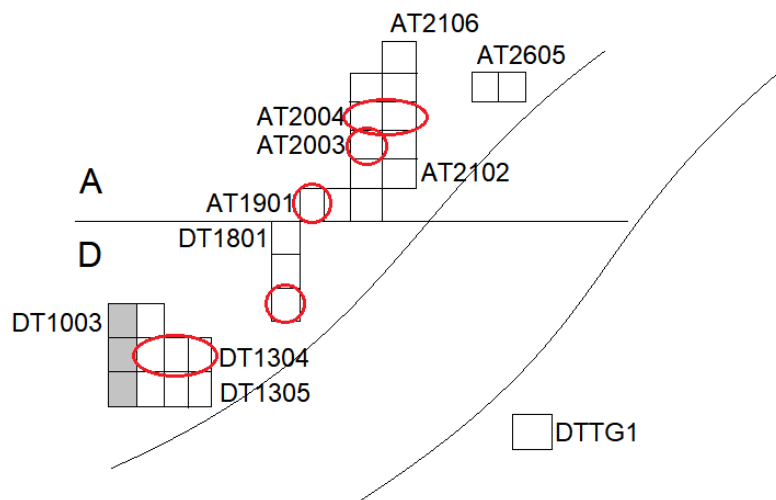


Figure 2. Excavation area and provenience of archaeobotanical samples from Haimenkou; systematically collected samples from trenches DT1003, DT1004, DT1005 shown in filled light grey; trench location of hand-picked samples indicated in red circles. Map redrawn from YPICRA (2009).

Haimenkou has been important for the documentation of faunal remains. A study on the animal bones retrieved during the 2008 excavation has revealed the presence of both domestic and wild animals, including pig (*Sus domesticus*), the most prevalent taxa through the occupation of the site, sheep/goat (*Ovis/Capra* sp.), dog (*Canis familiaris*), and gaur (*Bos gaurus*). Sheep/goat remains, although present since the initial occupation of the site as well as at Baiyangcun (YPM 1981), a nearby site dated to between 2650- 1690 cal BC (Dal Martello et al. 2018), show an increase trend from c. 1400 BC onward, corresponding to the second and third phases of occupation and in conjunction with the appearance of wheat and barley at the site (Wang 2018); nevertheless the introduction of domesticated caprines prior to the start of Haimenkou implies that these livestock were introduced before wheat and barley. Gaur remains constitute the most prevalent taxa among the “wild” mammals, and Wang (2018) hypothesized it was under human management. Today, gaur presence is limited to

Southern Yunnan and nearby areas; gaur is also the wild progenitor of modern gayal (*Bos frontalis*), which herded today only sporadically amongst minority people across Northeast India and Myanmar (Simoons and Simoons 1968; Shaller 1967; Larson and Fuller 2014; Murphy and Fuller 2018: fig. 8). The find of gaur remains from second millennium BC northwest Yunnan could imply that initial gayal herding might have taken place further north in the past, and only later pushed southward from there to those regions where it is documented historically. Previous hypotheses on its domestication proposed that might have been domesticated around 2000 years ago (Larson and Fuller, 2014). Notably, no true cattle (*Bos taurus/ B. indicus*) nor water buffalo (*Bubalus bubalis*) remains have been found from Haimenkou. Finds of *B. taurus* are recorded in Central China from c. 2000 BC (Yuan 2010; Fuller et al. 2011; Huang et al. 2010; Peng et al. 2017), while domesticated water buffalo were not introduced to central China before the Bronze Age (Yang et al. 2008).

### **3. Materials and Methods**

Over the course of the 2008 excavation season, flotation was carried out and archaeobotanical samples were systematically taken covering a complete stratigraphic sequence from layer 3 to layer 10 from the bulks of trenches DT1003, DT1004, and DT1005; additional hand-picked samples were also taken from selected contexts in the rest of the excavation area. The samples from trenches DT1003, DT1004, DT1005 on the western side are from a sample column in the section taken after the stratigraphy was defined for the purposes of chronological modelling. The additional hand-picked samples were taken where the excavators encountered contexts rich in plant remains across the entire site. Of these, 9 were selected as a subset of the richest/largest samples with the aim of obtaining higher taxa diversity through the sequence and come from trenches DT1104, DT1204, DT1304, DT1803, AT1901, AT2003, AT2004, AT2104 (Fig. 2, Supplementary Materials S1 and S2). This paper presents the results from 58 samples, from 36 individual archaeological contexts, including both systematically collected (subsamples from trenches DT1003/1004/1005, see Fig. 2) and 9 selected hand-picked samples (from contexts DT1104, DT1204, DT1304, DT1803, AT1901, AT2003, AT2004, see Fig. 2); systematically collected samples were 5L on average (Table 1, Xue 2010). Floats were collected using a 0.3mm mesh, dried naturally and then sieved to obtain fractions of 2mm; 0.9mm; 0,45mm; and a fine fraction of >0.3mm. The samples presented in this paper were sorted at the Archaeobotanical Laboratory at Peking University,

Beijing, and at the Archaeobotany Laboratory at the UCL Institute of Archaeology, London. Macro-botanical remains were extracted from each fraction, and analyzed under a low power stereo binocular microscope, with magnification up to 40x. Identifications were recorded following the nomenclature of revised *Flora of China* (Wu et al 2013; www.efloras.org). Selected specimens were photographed or imaged by scanning electron microscope. While all studied samples are listed in Table S1, quantified data reported here (seed counts, relative frequency) include those studied by Xue (2010), Dal Martello (2020) and some additional hand-picked samples (Tables 1 and 2), as those reported in Jin (2013) did not differentiate charred and waterlogged specimens; presence/absence and ubiquity data regarding waterlogged remains can be found in Supplementary Material S1.

#### 4. Results:

##### 4.1. General features of the assemblage and key economic taxa

A total of 117,857 charred identifiable remains from about 20 families and over 30 species were recovered from the analysis of the Haimenkou samples, of which 23,242 from systematically collected samples and 94,609 from hand-picked samples (Tables 1, 2, and 3). Identifiable remains were divided in the following categories: crops, seeds of field weeds and other wild weedy species, pulses, wild fruits, and other possibly utilized economic plants (Supplementary Material Table S1; Fig. 8-9). In addition, many samples included uncharred, waterlogged taxa. Many of these are likely to have been deposited secondarily from natural wetland taxa due to water level rises in prehistory. Waterlogged taxa have been quantified and reported separately (see Supplementary Material S1, Table S1B and Fig. S1A).

	No. of analyzed contexts	Total ID remains	Density (items/L)	Sampling Strategy
Period 1	9	13,144	202.2	Systematically collected
	1	4	n/a	Hand-picked
Period 2	6	7794	103.9	Systematically collected
	7	84,263	n/a	Hand-picked
Period 3	7	2304	25.6	Systematically collected
	3	10,342	n/a	Hand-picked

Table 1. Summary of Haimenkou charred archaeobotanical assemblages.

Phase	1 1600- 1400 cal. BC	2 1400-1100 cal. BC	3 800-400 cal. BC
<b>Volume floated (liters)</b>	c. 65 L	c. 75 L	c. 90 L
<b>No. of contexts</b>	9	6	7
<b>Field crops</b>			
<i>Oryza sativa</i> (grains+fragments+spikelet bases)	2155	1464	35
<i>Triticum aestivum</i> (grains+fragments+rachises)	11 <sup>1</sup>	267	752
<i>Hordeum vulgare</i>	--	6	7
<i>Setaria italica</i>	2990	735	216
<i>Panicum miliaceum</i>	46	12	1
Indet. millets	21	2	--
<i>Fagopyrum cf. esculentum</i>	1	1	2
<b>Other inferred crops</b>			
<i>Cannabis sativum</i>	16	31	--
<i>Chenopodium cf. album</i>	6330	1754	7
<i>Perilla</i> sp.	--	--	--
<b>Pulses</b>			
<i>Glycine</i> cf. max	7	66	19
<b>Fruits and nuts</b>			
<i>Prunus</i> sp.	4	2	1
<i>Prunus persica</i>	--	--	--
<i>Prunus armeniaca</i>	--	--	--
<i>Rubus</i> sp.	3	34	21
<i>Vitis</i> sp.	--	2	--
Cucurbitaceae	--	--	--
<i>Cucumis melo</i>	--	--	--
Acorn indet.	--	--	--
Nut shells	1	--	--
<i>Euryale ferox</i>	--	--	--
<b>Grasses</b>			
<i>Setaria viridis</i>	108	54	3
<i>Setaria</i> cf. <i>verticillata</i>	--	18	--
<i>Echinochloa</i> sp.	--	38	--
<i>Digitaria</i> sp.	30	140	4
<i>Avena</i> cf	--	--	--
<b>Other wild weedy species</b>			
Indet. Poaceae, wild	34	46	3
<i>Verbena officinalis</i>	20	205	11
<i>Galeopsis</i> sp.	2	56	1
<i>Leonurus</i> sp.	--	48	--
<i>Stellaria</i> sp.	2	16	--
<i>Oxalis</i> sp.	4	2	--
<i>Torilis japonica</i>	--	--	--
<b>Sedges and other wetlands</b>			
<i>Cyperus</i> sp.	48	105	7
<i>Juncellus</i> sp.	21	116	3
Juncaceae	--	--	--
<i>Carex</i> sp.	425	698	109
<i>Polygonum</i> sp. (sensu stricto)	70	101	87
<i>Persicaria</i> sp.	5	55	57

<sup>1</sup> Eleven wheat grains recovered from layer 8 have been excluded in the quantitative analyses as direct radiocarbon dating revealed they were intrusive from later periods (see section 4.2.3 in text).



<i>Scirpus</i> sp. Type A	48	362	237
<i>Scirpus</i> sp. Type B	4	--	40
<i>Scirpus juncooides</i>	9	14	1
<i>Scirpus triangulatus</i>	--	11	--
Indet. sedge	442	624	41
<i>Najas</i> sp.	--	--	2
<i>Butomus</i> sp.	3	--	--
<i>Mosla</i> sp.	23	88	--
Brassicaceae	--	--	168
<b>Other wild species</b>			
<i>Bombax</i> sp.	--	22	--
<i>Hibiscus</i> sp.	--	--	--
Apiaceae	2	12	14
Asteraceae	22	34	14
Convolvulaceae	94	87	20
Fabaceae	--	--	--
Lamiaceae	9	33	13
<b>Unidentified remains</b>	134	433	408
<b>Total charred macro-remains</b>	<b>13,144</b>	<b>7794</b>	<b>2304</b>

Table 2. Summary of charred remains from Systematically collected flotation samples from trenches DT1003/1004/1005, with total counts of the main crops and species represented by macro-remains.

Phase	1 1600- 1400 cal. BC	2 1400-1100 cal. BC	3 800-400 cal. BC
<b>No. of contexts</b>	1	7	3
<b>Field crops</b>			
<i>Oryza sativa</i> (grains+fragments+spikelet bases)	--	220	2577
<i>Triticum aestivum</i> (grains+fragments+rachises)	--	262	17
<i>Hordeum vulgare</i>	--	2	1
<i>Setaria italica</i>	3	81,941	239
<i>Panicum miliaceum</i>	--	43	--
<i>Fagopyrum</i> cf. <i>esculentum</i>	--	2	--
<b>Other inferred crops</b>			
<i>Chenopodium</i> cf. <i>album</i>	--	788	7032
<i>Cannabis sativum</i>	--	769	--
<i>Perilla</i> sp.	--	130	456
<b>Pulses</b>			
<i>Glycine</i> cf. <i>max</i>	--	3	--
<b>Fruits and nuts</b>			
<i>Prunus</i> sp.	--	3	--
<i>Prunus persica</i>	--	3	--
<i>Prunus armeniaca</i>	--	4	--
<i>Rubus</i> sp.	--	6	--
Cucurbitaceae	--	1	1
<i>Cucumis melo</i>	--	--	1
Acorn indet.	--	--	1
Nut shells	--	2	1
<i>Euryale ferox</i>	--	1	1
<b>Grasses</b>			
<i>Setaria viridis</i>	1	9	6
<i>Setaria</i> cf. <i>verticillata</i>	--	5	--
<i>Echinochloa</i> sp.	--	1	--
<i>Digitaria</i> sp.	--	2	3
<i>Avena</i> cf	--	4	--
<b>Other wild weedy species</b>			
Indet. Poaceae, wild	--	14	1
<i>Verbena officinalis</i>	--	1	--
<i>Galeopsis</i> sp.	--	--	1
<i>Leonurus</i> sp.	--	4	--
<i>Torilis japonica</i>	--	1	--
<b>Sedges and other wetlands</b>			
<i>Cyperus</i> sp.	--	15	--
Juncaceae	--	1	--
<i>Carex</i> sp.	--	4	--
<i>Persicaria</i> sp.	--	11	1
<i>Najas</i> sp.	--	1	--
<i>Butomus</i> sp.	--	2	--
<i>Hibiscus</i> sp.	--	1	--
Asteraceae	--	4	--
Fabaceae	--	--	1
Lamiaceae	--	--	1
<b>Unidentified remains</b>	--	2	1
<b>Total charred macro-remains</b>	<b>4</b>	<b>84,263</b>	<b>10,342</b>

Table 3. Summary of charred remains from hand-picked samples from trenches DT1104, DT1204, DT1304, DT1803, AT1901, AT2003, AT2004.

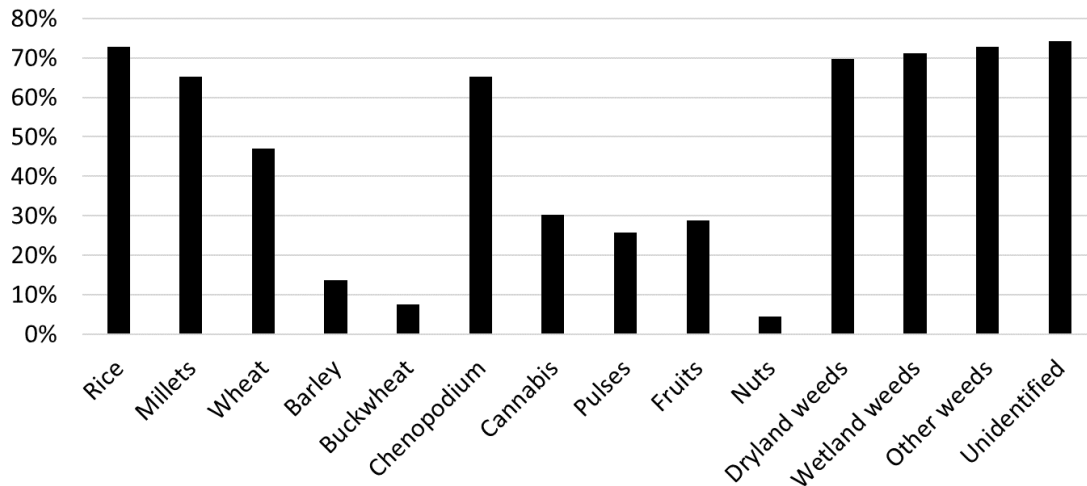


Figure 3. Ubiquity index of main archaeobotanical remains from all analysed samples (including systematically and hand-picked collected samples) from Haimenkou. Data from Xue (2010); Jin (2013); and Dal Martello (2020).

#### 4.2. Field Crops

Annual crops are well represented at Haimenkou. Species retrieved include: rice (*Oryza sativa*), foxtail millet (*Setaria italica*), wheat (*Triticum aestivum*), barley (*Hordeum vulgare*), broomcorn millet (*Panicum miliaceum*) and buckwheat (*Fagopyrum cf. esculentum*). *Chenopodium album* may also represent a crop, showing a ubiquity comparable to that of other cereal crops (Fig. 3). Crop remains are especially prevalent in hand-picked samples, and are the second most numerous categories after seeds of weedy taxa in the systematically collected samples from DT1003, DT1004, DT1005 (Tables 2 and 3).

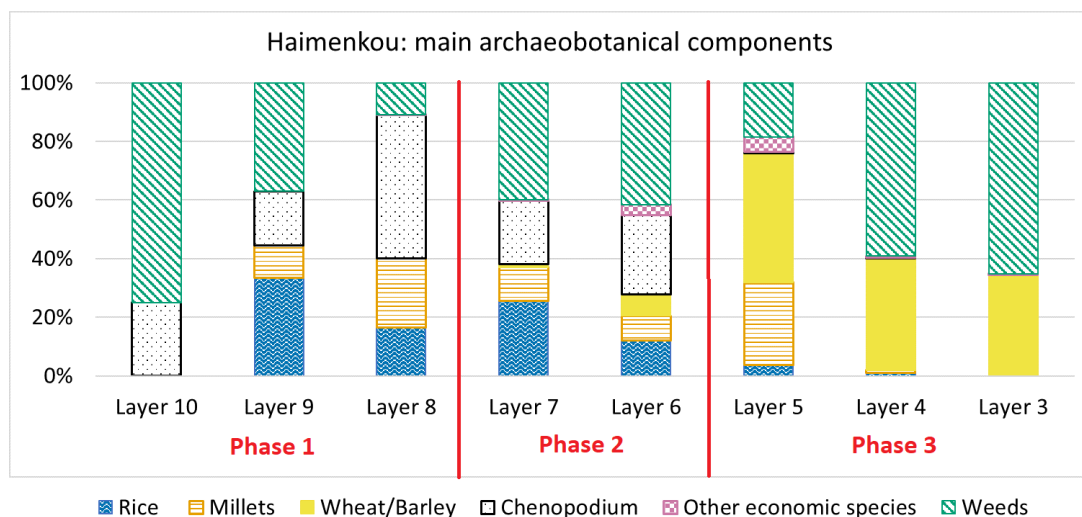


Figure 4a. Main archaeobotanical categories from systematically collected samples at Haimenkou plotted by layer, indeterminate remains have been excluded (See Supplementary Material Table S2 for total counts).

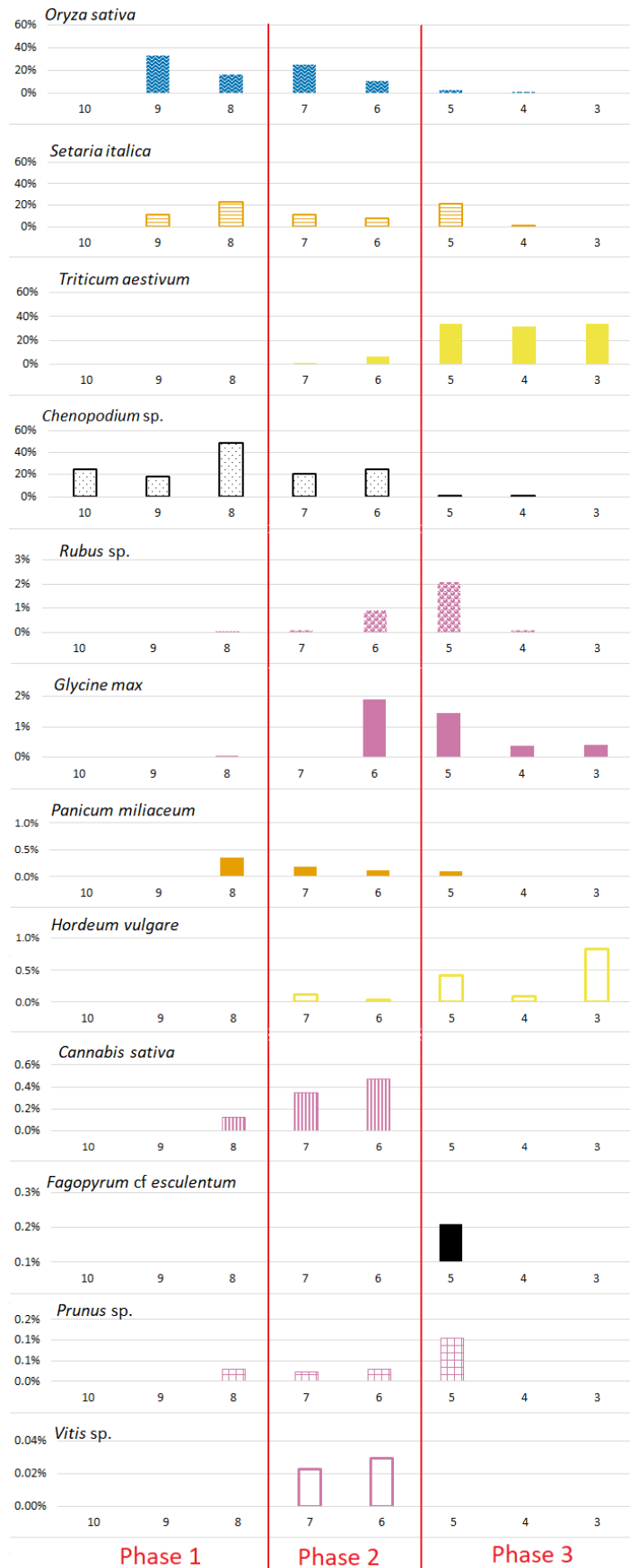


Figure 4b. Frequency of main archaeobotanical species from systematically collected samples plotted by layer (see Supplementary Material Table S2 for absolute counts).

#### 4.2.1. Rice- *Oryza sativa*

From a stratigraphic point of view, rice remains were recovered from layer 9 to layer 4, however only 1 sample from layer 3 was analyzed and contained only few archaeobotanical remains (Supplementary Material Table S2; Fig. 4a, 4b). Rice remains include whole and fragmented grains, half of which had visible husks, and over 1600 spikelet bases (Table S2). These were mostly recovered from deposits from layer 8 to 6 (corresponding to the first and second phases of occupation, Fig. 4b). Rice spikelet bases recovered were almost all of the domesticated type. In hand-picked contexts DT1304⑥, DT1304⑤, and AT1901⑥ large “lumps” of charred rice have been recovered (Figs. 6; S4A; S4B); additionally, straws bundles have been retrieved from hand-picked context AT2004⑥ (Fig. S4K), however whether or not these represent rice straws is unclear.

Rice remains decrease over time in the DT1003, DT1004, DT1005 sequence, with a sharp decline occurring between the second and third phase of occupation; however, over 2000 rice grains have been recovered from hand-picked sample DT1304⑤, but these have not been directly radiocarbon dated. It is therefore unclear as to whether there was a strong temporal trend in rice or rather spatial variation across the settlement in terms of frequency of rice versus millet/wheat processing.

Ninety-eight whole and well-preserved rice grains were measured; the average width was 2.8mm (stdev 0.3mm); average L/W ratio was 1.7mm (stdev 0.19mm). All measured grains but one had a L/W <2.2mm (Supplementary Material Table S3), therefore, rice from Haimenkou has been classified as *Oryza sativa* subsp. *japonica* (as per Castillo et al. 2016). These grains fit amongst other domesticated *japonica* rice reported from China and Yunnan (e.g. Fuller et al. 2010; Deng et al. 2015; Dal Martello et al. in press). One rice grain from layer 9 (the earliest deposit where rice grains were found) was submitted for AMS radiocarbon dating and furnished a date of 1600- 1440 cal BC (Xue 2010).



Figure 5. Charred lumps of rice from context DT1304⑤. Photos by Rita Dal Martello (From Dal Martello 2020).

Early remains of rice have been found through flotation analyses in Yunnan at the sites of Baiyangcun (2600-2200 cal BC, Dal Martello et al. 2018; Dal Martello 2020) and Dadunzi (2200-1600 cal BC, Jin et al. 2014). It is generally believed that rice was brought to Yunnan from the Yangzi valley (Zhang and Hung 2010; Yao 2010), where it was cultivated by at least the seventh millennium BC and domesticated by the fifth millennium BC (Deng et al. 2015; Fuller et al. 2016a). No earlier rice remains are known from the province, and at Baiyangcun and Dadunzi rice is found together with millet remains from the earliest deposits. This would seem to suggest that both rice and millets reached Yunnan together already domesticated, possibly from Sichuan, where both rice and millet are found at the Baodun site (2700-1700 BC, d'Alpoim Guedes et al. 2013; D'Alpoim Guedes 2013).

#### 4.2.2. Millets- *Setaria italica* and *Panicum miliaceum*

Two species of millet were found at Haimenkou: *Setaria italica* (foxtail millet), and *Panicum miliaceum* (broomcorn millet), although the latter is present in very low quantity (less than 0.3%), suggesting that this was rarely cultivated as a crop; it might have been a minor crop or even have persisted as a weed in fields of foxtail millet. Around half of all the millet grains recovered were husked, and charred lumps of millets were recovered from DT1003⑧, as well as hand-picked sample DT2004⑥; a close examination of these lumps revealed that they represent whole millet panicles, with grains still attached to the inflorescence (Fig. 6), an indication that they charred before most of crop-processing activities. This could be indicative that harvested millets (*Setaria italica*) were sometimes stored unprocessed and on the spike. One traditional method for storing panicles of foxtail millet that have been selected for propagation, rather than consumption, is to hang them in bundles and only thresh them the

following spring before sowing (Li and Wu 1996). Similar storage of unthreshed spikes/panicles is also common among African millets (e.g. Cappers 2019).

A foxtail millet grain from layer 9 (the earliest deposit where foxtail millet remains were found) was submitted for AMS radiocarbon dating and furnished a date of 1610-1420 cal BC (Xue 2010). This date is in line with rice remains from the same deposit, indicating the importance of these two crops from the period of initial site foundation. Among the hand-picked samples, one contained an extremely high quantity of foxtail millet grains (AT2006⑥), most likely representing a storage unit.

A total of 54 grains of *Setaria italica* from Haimenkou were measured, averaging 1.30mm in length (stdev 0.10mm), 1.33mm in width (stdev 0.11mm), and 1.15mm in thickness (stdev 0.15mm). Average L/W ratio was 0.98mm (stdev 0.11mm). These measurements are comparable to domesticated foxtail millet reported from sites in central China (e.g. d'Alpoim Guedes et al. 2013; Stevens, unpublished data), and later periods in Yunnan (e.g. Yang 2016; Dal Martello et al. 2021). Moreover, two grains of *Panicum miliaceum* were also measured: average length was 1.66mm, width 1.98mm, and thickness 1.40mm; average L/W was 0.09mm (Table S3); these fit amongst domesticated *P. miliaceum* grains from central China from the Late Neolithic (Stevens et al. 2020).

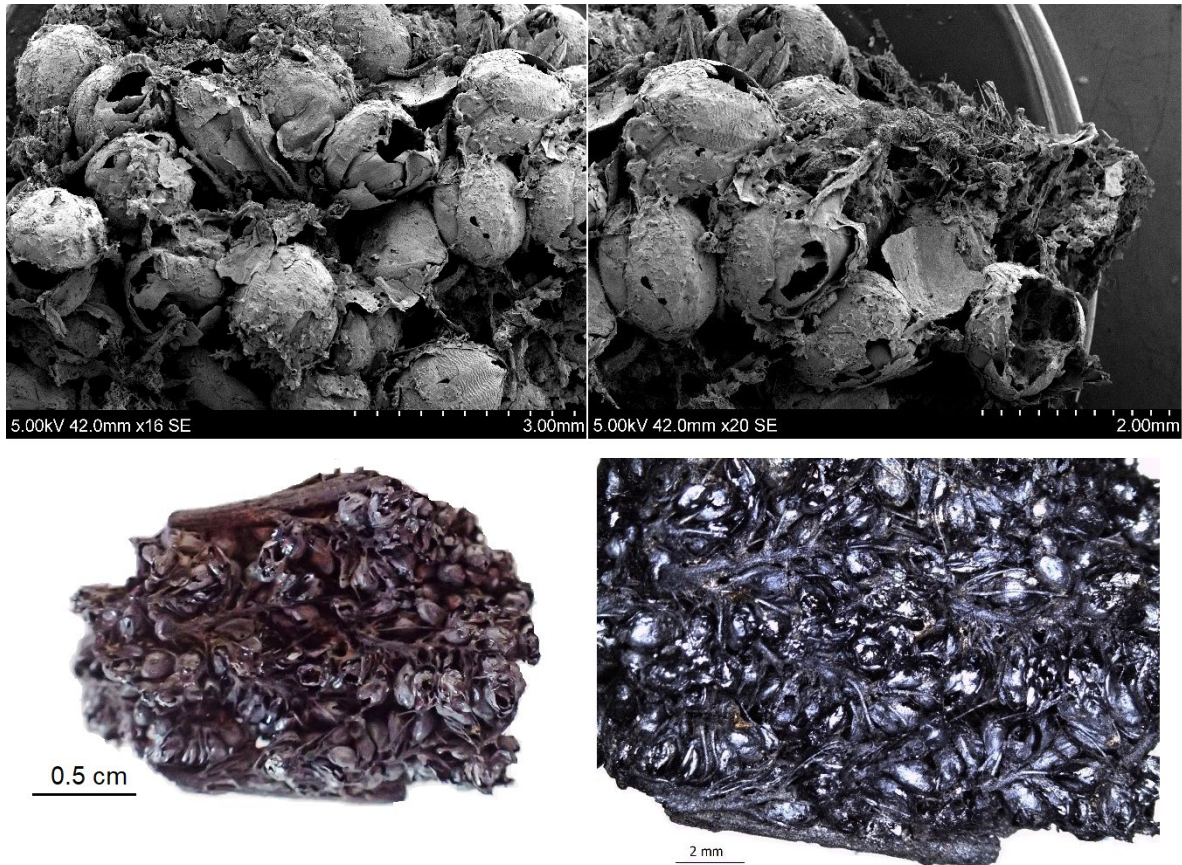


Figure 6. Top: SEM pictures of charred foxtail millet spike from context DT1003<sup>⑧</sup>. Bottom: Photo and close-up of charred foxtail millet spike from context AT2004<sup>⑥</sup>. Photos by Rita Dal Martello; from Dal Martello (2020).

Millets, both *Panicum miliaceum* and *Setaria italica*, were domesticated in North China by the sixth millennium BC (Stevens et al. 2020; Liu et al. 2019; Shelach-Lavi et al. 2019; Stevens and Fuller 2017; Crawford et al. 2016; Zhao 2011; Barton et al. 2009; Lu et al. 2009). Several domestication centers have been proposed in Hebei, Henan, Shandong, Gansu and Inner Mongolia (see Stevens et al. 2020; Liu et al. 2018; Stevens and Fuller 2017; Lu et al. 2009; Cohen 2011; Yan 1992; Zhu 2013; Bettinger et al. 2010; Zhao 2011; Ren et al. 2016). Some finds have suggested even earlier cultivation by 8000 BC (Zhao et al. 2020; Yang et al. 2012; Yang et al. 2015; Bestel et al. 2014; Zhao 2014). Nevertheless, in Southwest China, millets were introduced already domesticated, reaching Sichuan before 5000 years ago, and Tibet and Yunnan by ca. 4500 years ago (see Dal Martello et al., 2018; D'Alpoim Guedes 2013; d'Alpoim Guedes et al. 2015; d'Alpoim Guedes 2018; Zhao and Chen 2011). The earliest finds from Yunnan come from the Neolithic site of Baiyangcun, dating from ca. 2600 BC onwards (Dal Martello et al., 2018). At both Baiyangcun and Dadunzi (Jin et al., 2014), millets occupy a



major percentage of the species recovered at the sites, suggesting a major role in the subsistence regime.

#### 4.2.3. Wheat- *Triticum aestivum*

Wheat remains represent the third most popular crop at Haimenkou, appearing from the second phase of occupation (c. 1400 BC, layer 7) and showing an increase trend (Fig. 4a). Although a few grains of wheat were found in layer 8, direct AMS dating from sample DT1005⑧ of both rice and wheat grains furnished 1610-1460 cal BC and 1420-1300 cal BC respectively, and a further wheat grain from DT1005⑦ furnished a date of 1440-1310 cal BC (Xue 2010), providing a time frame for the arrival of this western domesticate to Haimenkou in the second period of occupation of the site (corresponding stratigraphically to layers 7 and 6 and dating to c. 1400-1000 BC), and highlighting the importance of directly dating cereal grains (Deng et al. 2020; Long et al. 2018).

Forty-one grains of wheat were measured (Table S3). Their average width was 3.37mm (stdev 0.37mm); L/W ratio was 1.46mm (stdev 0.17mm).

Glume wheat species (*Triticum monococcum sensu lato*, *T. dicoccon*) were originally domesticated in southwest Asia between 9500-7000 BC, with *T. cf. timopheevi* domesticated somewhat after this (Allaby et al. 2017; Arranz-Otaegui et al. 2016; Oliveira et al. 2020; Fuller et al. 2012; Lucas and Fuller 2018). Free-threshing wheat, including the hybrid bread wheat (*Triticum aestivum*) also evolves in this same general region, with early free-threshing tetraploids in the Levant by ca. 7500 BC (Feldman and Kislev 2007) and hexaploid bread wheats present in central Turkey before 7000 BC (Bogaard et al. 2017). In the eastward dispersal of the wheats, which had reached southern central Asia and Pakistan by ca. 6000 BC (Stevens et al. 2016), bread wheat becomes increasingly prominent, and is the only wheat species known to have been adopted in northern central Asia after 3000 BC (Spengler et al. 2016; Liu et al. 2016; Liu et al. 2017; Zhou et al. 2020) and in part of northern or eastern China after 2500 BC (Liu et al. 2017; Deng et al. 2020; Chen et al. 2020). On the northern edge of the Tibetan Plateau, wheat appeared around 1600-1500 BC, along with barley and sheep pastoralism (Chen et al. 2015). Haimenkou is the earliest site in Yunnan where wheat remains have been found so far. The evidence from Haimenkou is nearly contemporary to wheat and barley from the Ashaonao site in western Sichuan (1400–1000 BC, d'Alpoim Guedes et al.

2015) and a few centuries earlier than other finds in Southwest China, such as in Tibet at the Changguogou site (c. 1450-800 BC; Fu 2001). Stylistic similarities in ceramics, as well as an increase of sheep/goat animal bones in conjunction with the introduction of wheat and barley, suggests that the species came into Yunnan from Northwest China (Li and Min 2013).

#### 4.2.4. Barley- *Hordeum vulgare*

Sixteen grains of barley have also been found in samples from layers 6 to 3; this represents less than 1% of the total identifiable remains (Table S2, Fig. 4b). These appear to be naked barley, based on rounded grain profiles (Fig. 8-F), unlike the hulled barley found at the later Dian era site of Dayingzhuang (Dal Martello et al. 2021). Like wheat, barley originated in Southwest Asia and these two crops tended to spread together eastwards to Central Asia and South Asia (Stevens et al. 2016), although some wild barley populations also occur into Central Asia (Morrell and Clegg 2007; Fuller and Weisskopf 2014). In China, however, finds of wheat are rarely accompanied by barley in the Early Bronze Age (Boivin et al. 2012), and on the basis on modern genetic diversity patterning, it has been suggested barley could have also come to China via the Southwest from India (Lister et al. 2018; Liu et al. 2017). In the higher elevation of Qinghai and the Tibetan plateau, barley is especially well-suited and it became a prominent crop in these regions from 1600-1500 BC (i.e. Chen et al. 2015; Lu et al. 2020). At Haimenkou, the co-occurrence of barley with wheat suggests that it was a minor crop or even contaminant that accompanied wheat into the region, also at about 1400-1100 BC. The distinction between naked barley at Haimenkou (ca. 1400 BC) and hulled barley at later sites, including Dayingzhuang (ca. 400 BC) (Dal Martello et al. 2021) and Guangfentou (Li and Liu 2016), suggests at least two introduction of different barley varieties. Further evidence is needed to disentangle the number of time and routes by which barley came into parts of China.

#### 4.2.5. Buckwheat- *Fagopyrum esculentum*

Six nutlets of buckwheat were found in five different samples across all phases of occupation of Haimenkou (Table S2; Fig. 8-H). Reports of buckwheat remains from archaeological contexts in China are not numerous; a recent review by Hunt and colleagues (Hunt et al. 2018) individuated a total of 26 occurrences in published literature. Of these, 14 referred to pollen remains, of which only one from an archaeological cultural layer from a historic site in Inner Mongolia, and the remnant from loess-paleosol, alluvial sediments, peat

and lake cores; two starch remains, and 10 macro-fossils from archaeological sites both from North and South China (Hunt et al. 2018). Based on this review, Hunt et al. (2018) suggest that buckwheat was probably initially domesticated at the margins of its wild distribution in North China by the fourth millennium BC, which is earlier than the inference of third millennium BC domestication proposed by other scholars (Weisskopf and Fuller 2013). Currently, the earlier evidence for buckwheat in China is based on inferred dates associated with reported pollen and starch finds (Hunt et al. 2018). Of potential concern, is therefore whether either of these micro-remains can be securely identified to domesticated as opposed to wild *Fagopyrum*, and how secure associated dating is. More securely identifiable macro-remains, however, are currently still rather few.

At present, Haimenkou buckwheat represents the earliest macro-fossil remains found so far in archaeological sites in China; in Yunnan, 149 charred buckwheat seeds have also been reported from the site of Xueshan (south of Kunming), which has been dated by cultural association to the Dian Culture period (c. 700-400 BC; Wang 2014), indicating that buckwheat might have been exploited in the area from at least the late second millennium BC onward. The identification of *Fagopyrum* wild progenitor in the hills of Northwest Yunnan and Southwest Sichuan (Ohnishi and Matsuoka 1996; Ohnishi and Konishi 2001; Ohnishi 2004; Konishi et al. 2005; Konishi and Ohnishi 2007) supports Southwest China as the center of buckwheat domestication. Additionally, recent linguistic research on East Bodish languages from Eastern Bhutan suggested that the Eastern Himalayas might also have been a possible center of domestication of bitter buckwheat (*Fagopyrum tartaricum*) more than 2500 years ago (Hyslop and d'Alpoim-Guedes 2020), but it remains the case that any sequence of domestication process is not available.

### **4.3. Pulses**

#### **4.3.1. Soybean- *Glycine cf. max***

A total of 65 whole soybean grains, 18 half grains and 9 hylums have been found in the systematically collected samples. The majority of soybean grains (45) come from only one sample, DT1004⑥, belonging to the second phase of occupation of the site (c. 1400-1100 BC; Table S2; Fig. 8-J).

Soybean remains from early sites in Southwest China are rather rare, and in Yunnan only one other site has revealed soybean remains, Baiyangcun, which is located close to

Haimenkou in the Jinsha Basin, and has been dated to about 2600-2050 cal BC (Dal Martello et al. 2018). The finds from Baiyangcun are consistent, in terms of size, with being morphologically wild soybean, and there are wild populations of soybeans in Southwest China (Dong et al. 2001), interpreted as a disjunct from the core wild distribution in central and northern China. At Haimenkou, soybean remains are consistent with domesticated size and morphology (Table S3; Fig. 8; i.e. Fuller et al. 2014). In Sichuan, soybean remains have only been reported from one site, at Yingpanshan, and dated to about 3300 BC (Zhao and Chen, 2011), but it is unclear if these are similar in size to the semi-domesticated soybeans in the Yellow River region of this period (see Fuller et al. 2014). The lack of similar finds therefore from the surrounding regions (Sichuan and Middle Yangzi Basin) makes it challenging to investigate whether soybean came to Southwest China together with rice and millet as part of a package. These finds, therefore, raise interesting questions regarding the possibility of either a local domestication process from the wild population represented at Baiyangcun or a dispersal process of this crop in post-Neolithic times and later than the spread of rice and millets. Further evidence is needed on the early soybeans of southwestern China.

#### **4.4. Other inferred crops**

##### **4.4.1. Hemp- *Cannabis sativa***

Over 800 *Cannabis* seeds have been recovered at Haimenkou, of which over 700 coming from a single context dated to 1400-1100 BC (1204⑥; Table S2). No hemp seeds have been found from the samples belonging to the third phase of occupation of the site (c. 800- 400 BC). Early Chinese texts such as the *Shi Jing* (Book of Odes) and *Zhou Li* (Rites of Zhou) have references in the use of *Cannabis* as food, fiber, and for medicinal and/or recreational purposes since at least the early first millennium BC (Li 1974a; Li 1974b; Huang 2000; Clarke and Merlin 2013; Ren et al. 2019). A recent review of the available archaeological evidence relating to *Cannabis* use, including pollen, achenes, fibers and textile impressions on ceramics, proposed that this species might have been domesticated multiple times across the Old World, as evidenced by early remains both in Europe and East Asia (Long et al. 2017). Within China, North China is traditionally considered the most likely center of origin, where *Cannabis* grains and hemp fiber impressions have been reported from as early as the fifth millennium BC (Long et al., 2017). The large quantities of *Cannabis* seeds from Haimenkou, suggest cultivation as an edible oilseed at this site, although other uses cannot be ruled out, and one

unidentified textile fragment and a rope bundle have also been found from hand-picked sample AT2003⑥ (Figs. S4E and S4F).

#### 4.4.2. *Chenopodium album* sensu lato

Over 15,000 charred *Chenopodium* seeds were recovered from the Haimenkou samples, making this species among the most prevalent at the site (Figs. 3 and 5). Charred lumps of *Chenopodium* have been recovered from hand-picked sample DT1304⑤ (Fig. 7) in association of similarly preserved remains of rice. In general, we infer an increasing importance in *Chenopodium* in the initial and last phases of occupation of the site. In the DT1003, DT1004, DT1005 sequence there is marked increase from the early to middle levels. In the later period of site, *Chenopodium* remains from systematically collected samples decrease, however, large concentrations of *Chenopodium* have been retrieved from hand-picked sample DT1304⑤ (Table S2). The fact that large numbers of *Chenopodium* grains have been found in crop rich contexts, alongside rice and millet grains, and are as concentrated in those samples where rice and millet also occur also suggests the identification of *Chenopodium* as another crop (Table S2).

Varieties of *Chenopodium album* (syn. *C. giganteum*) are cultivated today in the Indian Himalayan region as well as in Tibetan villages in southern Gansu as a minor crop (Partap and Kapoor 1985a; Partap and Kapoor 1985b; Partap and Kapoor 1987; Kang et al. 2014; Kang et al. 2013). Modern *Chenopodium* cultivation is also attested among the Formosan tribes in highland Taiwan, where it is grown for its leaves and seeds (e.g. Fogg 1983). Many domesticated *Chenopodium* varieties had larger seeds with lighter coloured seeds and thinner coats, as recognized among Indian cultivars (Partap and Kapoor 1985a; 1985b; 1987), and illustrated by Fuller and Allaby (2009) on the basis on Taiwanese domesticated *Chenopodium album*. Many of the *Chenopodium* seeds appear larger and more rounded in profile than typical *Chenopodium* seed encountered as likely weeds in central Chinese sites. These observations together the sheer quantity of *Chenopodium* recommends a more detailed study of potential morphological domestication evidence through assemblages like those of Haimenkou (work is ongoing). It has been noted recently (Gao 2021) at several sites across Sichuan and Yunnan have produced substantial quantities of *Chenopodium*, as well as from western Han tombs from the Yangling mausoleum (Yang et al. 2009), further raising the prospect that this species was under cultivation in the later prehistory of South China.

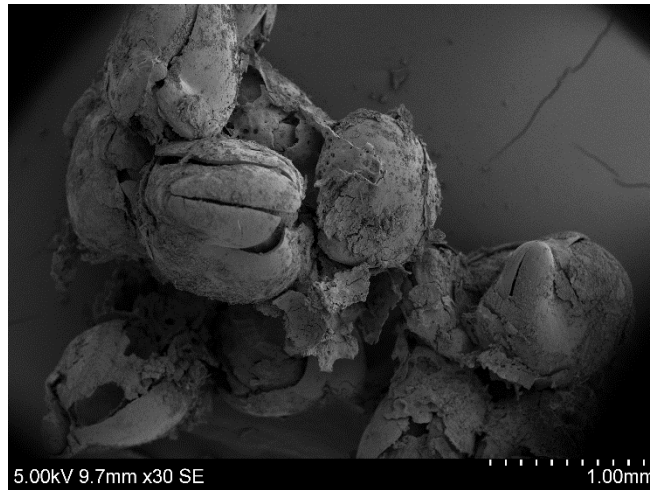


Figure 7. SEM picture of a charred lump of Chenopodium remains from context DT1304⑤. Photo by Rita Dal Martello (from Dal Martello 2020).

#### 4.4.3. Sishoo- *Perilla frutescens*

*Perilla frutescens* occurs in large quantity in some hand-picked samples, suggesting that it was present at Haimenkou as a crop, although this species is also known to grow as a weed. The wild form of this species (*P. frutescens* var. *purpurascens*, and related wild diploid *Perilla* spp.) occurs throughout southern China, as well as Japan, Korea and the Indian Himalaya (Nitta et al. 2003; 2005; Hedge 1990). Cultivated forms include var. *crispa* (Chinese *zisu* 紫苏), which is grown for its aromatic leaves, and var. *frutescens* (Chinese *baisu* 白苏) grown for its seeds, which are significantly larger and softer (Lee and Onishi 2001). While this species is considered to have been domesticated in China, the possibility of more than one origin of cultivation might be suggested by separation between northern and southern oilseed varieties in some genetic investigations (e.g. Ma et al. 2019), while early finds in Jomon Japan, at least as early as those in China, suggest a separate domestication there (Crawford 2011).

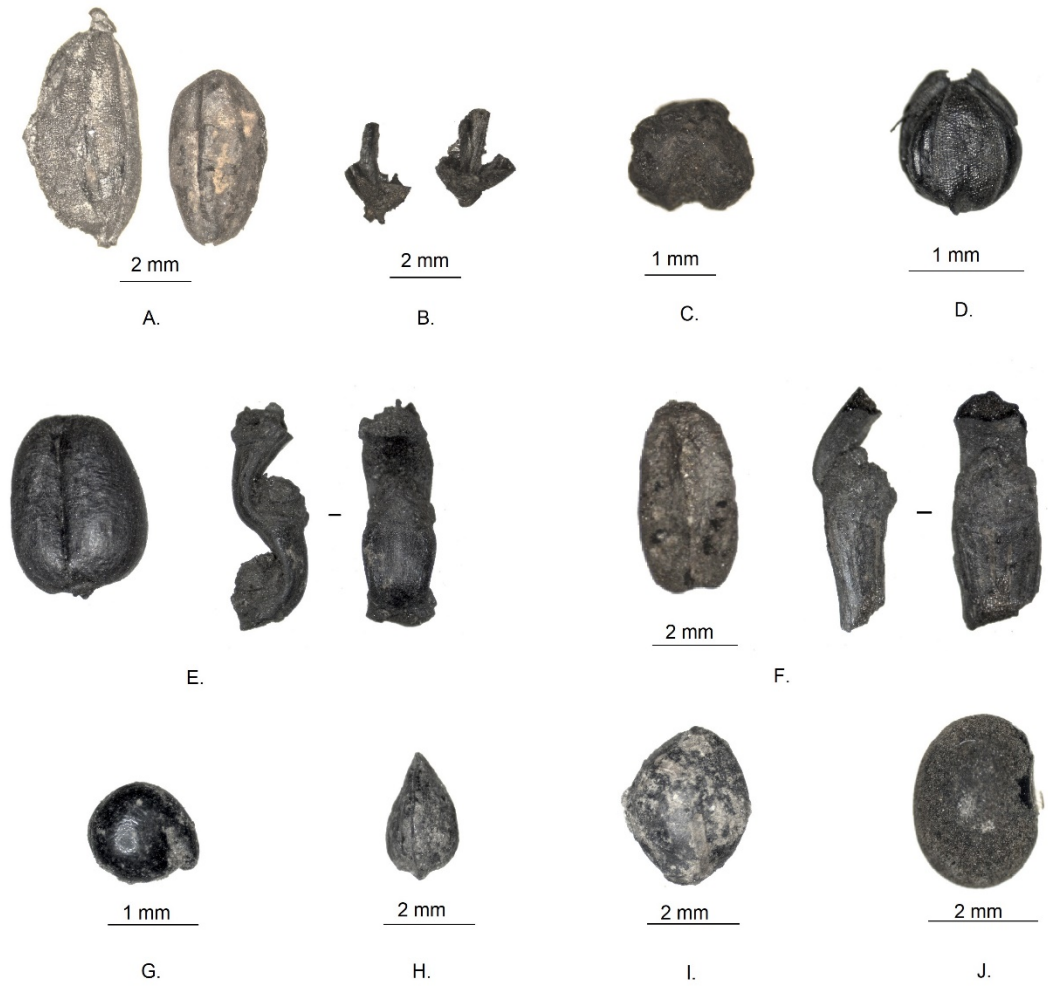


Figure 8. Archaeobotanical remains from Haimenkou: A: *Oryza sativa* grains; B. Rice spikelet bases; C. *Panicum miliaceum*; D. *Setaria italica* (husked); E. *Triticum aestivum* grain and rachis fragment; F. *Hordeum vulgare* grain and rachis fragment; G. *Chenopodium* grain. H. *Fagopyrum cf. esculentum* grain; I. *Cannabis* grain; J. *Glycine max.*

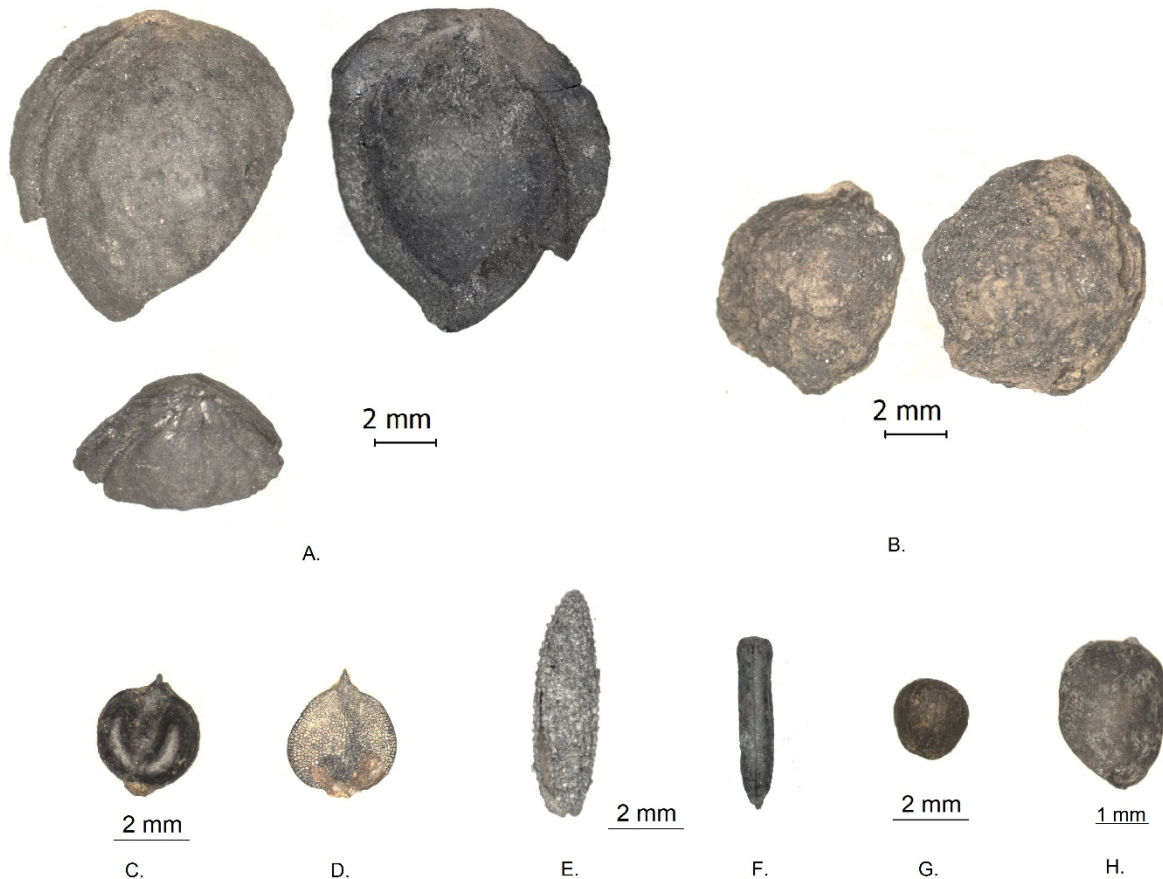


Figure 94. Archaeobotanical remains from Haimenkou: A. *Prunus cf persica*; B. *Prunus cf armeniaca*; C. *Polygonum persicaria*; D. *Carex* sp.; E. *Najas* sp.; F. *Butomus* sp.; G. *Perilla* sp.; H. *Galeopsis* sp.

#### 4.5. Field weeds

Remains of several field weed species have been found in the archaeobotanical assemblage of Haimenkou, especially from samples from the DT1003, DT1004, DT1005 trenches, where these account for c. 22% of the total identified remains (Table S2, Fig. 4a). *Carex* sp. is the most frequently found field weed species, followed by *Scirpus* sp. Those two species together account for c. 8% of the total identifiable remains from systematically collected samples. As expected, hand-picked samples, which were taken during excavation due to visible concentrations of rice and millets, especially in form of lumps (see Supplementary material S4), have negligible quantities of seeds of field weeds (c. 3%).



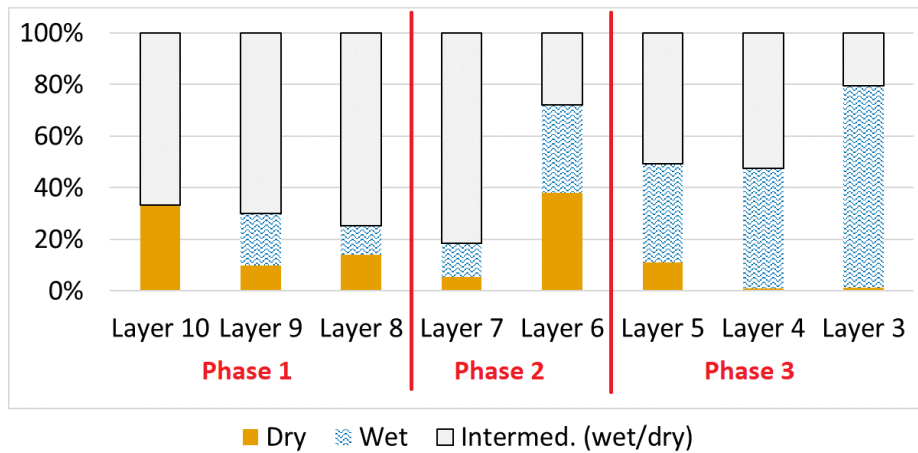


Figure 10. Comparison of field weeds category at Haimenkou plotted per layer (see Table S1 for absolute counts).

#### 4.6. Fruits and Nuts

Several species of fruit have been recovered from the Haimenkou samples: 58 seeds of *Rubus* sp. (raspberry), 3 fragments of *Prunus* sp., 2 seeds of *Vitis* sp. (grape), and 1 seed of *Cucumis melo* have been recovered from trenches DT1003, DT1004, DT1005 (Table S2). Additional fruit remains were recovered from hand-picked samples, including 6 *Rubus* sp. seeds, 10 *Prunus* sp. fragments, 4 fragments of *Prunus armeniaca* (apricot, Fig. S4H in Supplementary Material S4), 3 fragments of *Prunus persica* (peach, Fig. S4I), two waterlogged stones of *Prunus cf salicina* (Japanese plum, Fig. S4G), and a waterlogged fragment of a jujube-*Ziziphus jujubas* fruit (Fig. S4J). Fruit remains represent less than 0,3% of the total identifiable remains and this low occurrence in the samples analyzed might indicate that fruit was a relatively minor component of the diet. Nevertheless, regional syntheses suggest that the apricots and peaches at this site are likely already domesticated forms that had dispersed from central China (Fuller and Stevens 2019). Length increase in peach stones in the Lower Yangtze region suggests the evolution of domesticated, larger-fruited forms was already underway in the third millennium BC, and that these had dispersed even outside China to Kashmir and Japan before the end of the second millennium BC (Fuller 2018). Hand collected peach stones from Haimenkou measure 26-27mm (Fig. S4I), comparable to domesticated second millennium BC and later specimens elsewhere. Similarly seed size data for melons from the Lower Yangtze indicates domestication processes during the third millennium BC (Zheng and Chen 2006; Fuller et al. 2014). The grapes, which have short stalk typical of wild

species, are presumably a locally gathered wild species. Another gathered were wild raspberries (*Rubus* sp.), although these are only represented amongst waterlogged remains.

In addition, there is evidence for some possible used of wild nuts, but these are likely very minor. In the charred assemblages there is evidence for the aquatic foxnut (*Euryale ferox*), while hand-collected samples included acorns of *Lithocarpus* and cones of *Pinus yunnanensis*, which might have used for its oily edible seeds (pine nuts) (see Figs. S4C, S4D, S4L). Additionally, a section of rolled bark has been retrieved from hand-picked sample DT1305⑧ (Fig. S4M). Similar, rolled plant remains have been reported from Hulijia, a 3<sup>rd</sup> millennium BC site in Qinghai, western China, where it has been hypothesized it was consumed as food (Wang et al. 2015). Early Chinese texts, such as the second century AD *Sheng Nong Beng Cao Jing* (The Classic of Herbal Medicine), report the use of bark (especially of *Eucommia ulmoides* and *Magnolia officinalis*; i.e. Forrest 1995; Hu 1979) for medicinal purposes. Historical and ethnographic traditions from Scandinavia and North America, also attest to bark exploitation as a subsistence strategy (i.e. Östlund et al. 2004; Östlund et al. 2009; Bergman et al. 2004; Rautio et al. 2014; Swetnam 1984). How tree bark contributed to the early forest exploitation and management of Yunnan and broader China is an issue that deserves further study. *Euryale* and acorns were both amongst the wild food remains recovered from earlier Neolithic samples from Baiyangcun (Dal Martello et al. 2018). Their presence, together with fruits like *Vitis* and *Rubus*, indicates some continuity of gathering of wild forest products, probably focused on later summer or early autumn, but these were at most a minor supplement to economy focused on grain agriculture.

## 5. Comparative Discussion

Three main cereal crop species constitute the basis of the assemblage: rice, foxtail millet (by 1600 BC), and later wheat (introduced from the second period of occupation, c. 1400 BC onward). Shifts in abundance occur for rice, millets and wheat across time (Fig. 4a). Rice and millets are prevalent during period 1 and 2, with a shift toward wheat at the end of the occupation (from 800 BC). *Chenopodium* likely constituted another starchy staple, a pseudo-cereal, especially during periods 1 and 2 alongside rice and millet.

*Chenopodium* remains present a ubiquity comparable to cereal crops rice, millets and wheat, and concentrate in samples from layers 8 to 6 (c. 1600- 1100 BC); this is often present in higher absolute quantities than any other species (Table S2). This prevalence and

association with rice and millets supports its identification as a food crop. Charred clusters of *Chenopodium* seeds further suggest a stored food crop, given the presence of similar clusters of millet and rice in the same samples. Another pseudo-cereal present throughout the site's occupation was buckwheat (*Fagopyrum esculentum*), although the very small quantities mean we cannot infer it to have been very important at Haimenkou.

A wide variety of other economic species included pulses (soybean), hemp (*Cannabis sativus*), and some cultivated fruits (mostly peaches and apricots). Other wild fruits and nuts were present, including grape and foxnut, but are generally of minor importance.

Seeds of field weeds concentrate on the lower and top levels (levels 10, 9, 7, and 4) and these provide potential information about cultivation ecology and crop-processing related activity patterns. Nevertheless, interpretation of these patterns is hampered by the mixed farming nature of the site as weeds may come from different crops. In all periods there is a mix of dryland and wetland weeds those that are here classes as "intermediate" as they can occur in wet or dry systems. The wet weeds presumably come mostly from rice cultivation in fields that were flooded, in low lying wetlands, or irrigated. Such field also will return large numbers of "intermediate" weeds. The dry weeds could come from millets, barley or wheat. With increasing intensification of irrigated rice, we would expect increasing wet weeds and fewer intermediate types (as per the pattern seed in Iron Thailand: Castillo et al 2018).

Stratigraphically, at the transition between period 1 and 2 (corresponding to layers 8 and 7), rice is the prevalent crop species retrieved across the site; seeds of wetland field weeds are slightly more numerous than dryland weeds, suggesting a generally suitable environmental for rice production in the wetlands of the river valley and lake basin. The high diversity of sedges and Polygonaceae, as well as a few charred examples of true aquatics, such as *Butomus*, argue for wet, flooded rice cultivation. A shift occurs from layer 6 upward, where wheat remains appear, seeds of dryland field weeds increase (Fig. 10), and at the same time, rice remains decrease (Fig. 4a). After the abandonment of the site at the end of period 2 and its re-occupation after c. 300 years, wheat and millet are the prevalent crops on the DT1003, DT1004, DT1005 sequence. However, field weed remains are mostly constituted by wet and intermediate types (Fig. 10), possibly indicating that although the general production of rice decreased in favour of wheat, irrigation was likely practiced. One possible contributing factor for the decreased prominence of rice was the inferred deterioration of the monsoon in this period that might have affected rice productivity and resulted in an increased emphasis

on wheat production (d'Alpoim Guedes and Butler 2014). Weeds such as *Galeopsis* and *Verbena* were likely hitchhikers with the introduction of wheat. Of note, is the appearance of wild oats (*Avena* sp.) in Period 2, the same horizon as wheat and barley, as this genus (*A. sterilis* and *A. fatua*) is native to the Mediterranean through western Asia and likely spread east as a weed of wheat or barley (Baum 1977; Loskutov 2008). Although *Avena* was found only hand-picked sampled, its presence is significant as marker the translocation of the wild/weedy form to this region. *Avena* cf. *fatua* type oats are also reported from Xishanping in Gansu as early as 4000-5000 BP. The establishment of *A. fatua* is important, as in due course it is secondarily domesticated to become hexaploidy Chinese naked oats (*Avena chinensis*), not to be confused with the diploid naked oats of western Europe (*A. nuda*). *A. chinensis* eventually became an important crop across parts of Mongolia, northwestern China and Tibet (Nakao 1951; Zheng and Zhang 2011).

To date, Haimenkou is the only second millennium BC site in Yunnan that has undergone systematic archaeobotanical investigation; two more sites, Mopandi and Shifodong, dated to the second millennium BC through cultural association, have limited, non-systematically retrieved archaeobotanical results. At Shifodong, a cave site located on the Mekong basin in western Yunnan, remains of rice and millets have been reported (Zhao 2010; Liu and Dai 2008), as well as two tree legumes, one of which has been identified as *Tamarindus* cf. *indicus* (Dal Martello 2020). At Mopandi, rice remains were hand-picked during excavation (YPICRA 2003; Zhao 2003).

Our knowledge of the agricultural strategies in Yunnan during the first millennium BC is comparatively better than the previous millennium as more sites have recently undergone systematic archaeological research, including archaeobotanical analyses. Most of these sites, however, are located in the surrounding of Lake Dian in central Yunnan, and culturally associated with the Dian Culture. At these sites, it is increasingly evident that a highly mixed farming strategy was carried out, incorporating rice, millets, and wheat, taking full advantage of the vertical landscape zonation peculiar of Yunnan and possibly implementing a two-season agriculture, with the cultivation of summer rice in the lowlands, and a rotation cycle of summer millets and winter wheat in the surrounding hills (Dal Martello et al. 2021).

## 6. Conclusion

Archaeobotanical remains at Haimenkou suggest people were practicing a mixed-crop farming strategy, initially based on rice and millets, and from the mid-second millennium BC onward, with the addition of wheat. Cold and draught resistant crops such as millet and wheat seem to become more prevalent during the later phases of occupation of the site, this could be ascribable to the continued decline of the monsoon which would have affected the ability to successfully produce rice. *Chenopodium* remains are also found in very high numbers and show a comparable presence to other crop species, being found in more than half of the samples analyzed. *Chenopodium* is also strongly associated with other crop remains (rice and millets), indicating that people were exploiting this species, possibly as part of a risk reducing strategy in times of high climatic instability such as that attested for the region between the late second and early first millennium B.C.

### Acknowledgements:

Yu Gao and Yuchao Jiang helped sample processing and primitive recording of hand-collected samples at Jianchuan in 2013.

**Funding:** Excavation, hand-collection sampling and flotation work led by MR was supported by the National Administration of Cultural Heritage, China. RDM's doctorate research was funded by the Arts and Humanities Research Council (AHRC) London Arts and Humanities Partnership (LAHP) doctoral studentship. Initial laboratory research (QL, DQF) was supported by a grant from the UK Natural Environment Research Council (NERC), entitled 'The Identification of Arable Rice Systems in Prehistory' (NE/G005540/1). Travel for fieldwork by RDM, CJS and DQF and aspects of laboratory analysis were supported by the European Research Council (ERC) advanced grant "Comparative Pathways to Agriculture" (no. 323842).

**Declarations of conflict of interest:** None declared.

**Authors contribution:** Yining Xue: Formal analysis, Investigation, Data Curation, Writing-review & editing. Rita Dal Martello: Conceptualization, Formal Analysis, Investigation, Data Curation, Writing-original draft, Visualisation, Funding acquisition. Ling Qin: Initial Investigation, Resources, Writing-review & editing. Chris Stevens: Initial investigation, Writing-review & editing. Rui Min: Resources, Project administration, Funding acquisition. Dorian Q Fuller: Conceptualization, Initial investigation, Writing- original draft, review & editing, Funding acquisition.

### References

Allaby, Robin G, Chris Stevens, Leilani Lucas, Osamu Maeda, and Dorian Q Fuller. 2017. Geographic mosaics and changing rates of cereal domestication. *Philosophical Transactions of the Royal Society B: Biological Sciences* 372 (1735):20160429.

- Arranz-Otaegui, Amaia, Sue Colledge, Lydia Zapata, Luis Cesar Teira-Mayolini, and Juan José Ibáñez. 2016. Regional diversity on the timing for the initial appearance of cereal cultivation and domestication in southwest Asia. *Proceedings of the National Academy of Sciences* 113 (49):14001-14006.
- Barton, Loukas, Seth D Newsome, Fa-Hu Chen, Hui Wang, Thomas P Guilderson, and Robert L Bettinger. 2009. Agricultural origins and the isotopic identity of domestication in northern China. *Proceedings of the National Academy of Sciences* 106 (14):5523-5528.
- Baum, BR. 1977. *Oats: wild and cultivated, a monograph of the genus Avena L. Poaceae*. Minister of Supply and Services. Ottawa: Canada Department of Agriculture.
- Bergman, Ingela, Lars Östlund, and Olle Zackrisson. 2004. The use of plants as regular food in ancient subarctic economies: A case study based on Sami use of Scots Pine innerbark. *Arctic anthropology* 41 (1):1-13.
- Bestel, Sheahan, Gary W Crawford, Li Liu, Jinming Shi, Yanhua Song, and Xingcan Chen. 2014. The evolution of millet domestication, Middle Yellow River region, North China: evidence from charred seeds at the late Upper Paleolithic Shizitan Locality 9 site. *The Holocene* 24 (3):261-265.
- Bettinger, Robert L, Loukas Barton, Christopher Morgan, Fahu Chen, Hui Wang, Thomas P Guilderson, Duxue Ji, and Dongju Zhang. 2010. The transition to agriculture at Dadiwan, People's Republic of China. *Current Anthropology* 51 (5):703-714.
- Bogaard, Amy, Dragana Filipović, Andrew Fairbairn, Laura Green, Elizabeth Stroud, Dorian Fuller, and Michael Charles. 2017. Agricultural innovation and resilience in a long-lived early farming community: the 1,500-year sequence at Neolithic to early Chalcolithic Çatalhöyük, central Anatolia. *Anatolian Studies* 67:1-28.
- Boivin, Nicole, Dorian Q Fuller, and Alison Crowther. 2012. Old World globalization and the Columbian exchange: comparison and contrast. *World Archaeology* 44 (3):452-469.
- Cappers, R. 2019. Cereal founder crops of sub-Saharan Africa and southwest Asia: advantages and limitations. In *Trees, Grasses and Crops. People and Plants in Sub-Saharan Africa and Beyond.*, eds. B. Eichhorn, and A. Hohn, 63-72. Bonn: Verlag Dr. Rudolf Habelt GmbH.
- CASS, Chinese Academy of Social Sciences, Institute of Archaeology, Radiocarbon Laboratory. 1990. Fangshengxing tansu ceding niandai baogao (yiqi) (Radiocarbon dates report vol. 1). *Kaogu (Archaeology)* 7:663-668.
- CASS, Chinese Academy of Social Sciences, Institute of Archaeology, Radiocarbon Laboratory 1972. Fangshengxing tansu ceding niandai baogao (erqi) (Radiocarbon dates report vol. 2). *Kaogu (Archaeology)* 5:56-58.
- Castillo, Cristina Cobo, Katsunori Tanaka, Yo-Ichiro Sato, Ryuji Ishikawa, Bérénice Bellina, Charles Higham, Nigel Chang, Rabi Mohanty, Mukund Kajale, and Dorian Q Fuller. 2016. Archaeogenetic study of prehistoric rice remains from Thailand and India: evidence of early japonica in South and Southeast Asia. *Archaeological and Anthropological Sciences* 8 (3):523-543.
- Chen, Fahu H, Guanghui H Dong, Dongju J Zhang, Xinyi Y Liu, Xia Jia, Cheng-Bang An, Minmin M Ma, Yaowen W Xie, Loukas Barton, and XY Ren. 2015. Agriculture facilitated permanent human occupation of the Tibetan Plateau after 3600 BP. *Science* 347 (6219):248-250.
- Chen, Xuexiang, Shi-Yong Yu, Qingzhu Wang, Xiaoxi Cui, and Anne P Underhill. 2020. More direct evidence for early dispersal of bread wheat to the eastern Chinese coast ca. 2460–2210 BC. *Archaeological and Anthropological Sciences* 12 (10):1-12.
- Chirkova, Katia. 2017. The non-Sinitic languages of Yunnan-Sichuan. *Encyclopedia of Chinese Languages and Linguistics*, III, Brill. 215-218.
- Clarke, Robert C, and Mark D Merlin. 2013. *Cannabis: evolution and ethnobotany*. Univ of California Press.
- Cohen, David Joel. 2011. The beginnings of agriculture in China: A multiregional view. *Current Anthropology* 52 (S4):S273-S293.

- Crawford, Gary W, Xuexiang Chen, Fengshi Luan, and Jianhua Wang. 2016. People and plant interaction at the Houli Culture Yuezhuang site in Shandong Province, China. *The Holocene* 26 (10):1594-1604.
- D'Alpoim Guedes, Jade. 2013. *Adaptation and invention during the spread of agriculture to southwest China*. PhD thesis. Harvard University.
- d'Alpoim Guedes, Jade. 2018. Did foragers adopt farming? A perspective from the margins of the Tibetan Plateau. *Quaternary International* 489:91-100.
- d'Alpoim Guedes, Jade A , Hongliang Lu, Anke M Hein, and Amanda H Schmidt. 2015. Early evidence for the use of wheat and barley as staple crops on the margins of the Tibetan Plateau. *Proceedings of the National Academy of Sciences* 112 (18):5625-5630.
- d'Alpoim Guedes, Jade A, and Ethan E Butler. 2014. Modeling constraints on the spread of agriculture to Southwest China with thermal niche models. *Quaternary International* 349:29-41.
- d'Alpoim Guedes, Jade A , Ming Jiang, Kunyu He, Xiaohong Wu, and Zhanghua Jiang. 2013. Site of Baodun yields earliest evidence for the spread of rice and foxtail millet agriculture to southwest China. *Antiquity* 87 (337):758.
- Dal Martello, Rita. 2020. *Agricultural Trajectories in Yunnan, Southwest China: a comparative analysis of archaeobotanical remains from the Neolithic to the Bronze Age*. PhD thesis. UCL (University College London).
- Dal Martello, Rita, Dorian Q Fuller, and Xiaorui Li. 2021. Two season agriculture and irrigated rice during the Dian: radiocarbon dates and archaeobotanical remains from Dayingzhuang, Yunnan, Southwest China. *Archaeological and Anthropological Sciences*, 13(4): 1-21.
- Dal Martello, Rita, Rui Min, Chris Stevens, Charles Higham, Thomas Higham, Ling Qin, and Dorian Q Fuller. 2018. Early agriculture at the crossroads of China and Southeast Asia: archaeobotanical evidence and radiocarbon dates from Baiyangcun, Yunnan. *Journal of Archaeological Science: Reports* 20:711-721.
- Dearing, John A, RT Jones, J Shen, X Yang, JF Boyle, GC Foster, DS Crook, and MJD Elvin. 2008. Using multiple archives to understand past and present climate–human–environment interactions: the lake Erhai catchment, Yunnan Province, China. *Journal of Paleolimnology* 40 (1):3-31.
- Deng, Zhenhua, Dorian Q Fuller, Xiaolong Chu, Yanpeng Cao, Yuchao Jiang, Lizhi Wang, and Houyuan Lu. 2020. Assessing the occurrence and status of wheat in late Neolithic central China: the importance of direct AMS radiocarbon dates from Xiazhai. *Vegetation history and archaeobotany* 29 (1):61-73.
- Deng, Zhenhua, Ling Qin, Yu Gao, Alison Ruth Weisskopf, Chi Zhang, and Dorian Q Fuller. 2015. From early domesticated rice of the middle Yangtze Basin to millet, rice and wheat agriculture: Archaeobotanical macro-remains from Baligang, Nanyang Basin, Central China (6700–500 BC). *PLoS one* 10 (10):e0139885.
- Dong, YS, BC Zhuang, LM Zhao, H Sun, and MY He. 2001. The genetic diversity of annual wild soybeans grown in China. *Theoretical and Applied Genetics* 103 (1):98-103.
- Dykoski, Carolyn A, R Lawrence Edwards, Hai Cheng, Daoxian Yuan, Yanjun Cai, Meiliang Zhang, Yushi Lin, Jiaming Qing, Zhisheng An, and Justin Revenaugh. 2005. A high-resolution, absolute-dated Holocene and deglacial Asian monsoon record from Dongge Cave, China. *Earth and Planetary Science Letters* 233 (1-2):71-86.
- Feldman, Moshe, and Mordechai E Kislev. 2007. Domestication of emmer wheat and evolution of free-threshing tetraploid wheat. *Israel Journal of Plant Sciences* 55 (3-4):207-221.
- Fogg, Wayne H. 1983. Swidden cultivation of foxtail millet by Taiwan aborigines: a cultural analogue of the domestication of *Setaria italica* in China. *The origins of Chinese civilization*:95-115.
- Forrest, Todd. 1995. Two thousand years of eating bark: *Magnolia officinalis* var. *biloba* and *Eucommia ulmoides* in traditional Chinese medicine. *Arnoldia* 55 (2):12-18.
- Fu, DX. 2001. Xizang Changguogou yizhi xin shiqi shidai nongzuowu yicun de faxian, jianing he yanjiu (Discovery, identification and study of agricultural crops from the Neolithic site of Changuogou, Tibet). *Kaogu* 3:66-74.

- Fuller, D. Q. , and A. Weisskopf. 2014. Barley: Origins and Development. In *Encyclopedia of Global Archaeology* ed. Claire Smith, 763-766. New York: Springer.
- Fuller, Dorian Q. 2018. Long and attenuated: comparative trends in the domestication of tree fruits. *Vegetation history and archaeobotany* 27 (1):165-176.
- Fuller, Dorian Q, Tim Denham, Manuel Arroyo-Kalin, Leilani Lucas, Chris J Stevens, Ling Qin, Robin G Allaby, and Michael D Purugganan. 2014. Convergent evolution and parallelism in plant domestication revealed by an expanding archaeological record. *Proceedings of the National Academy of Sciences* 111 (17):6147-6152.
- Fuller, Dorian Q, Yo-ichiro Sato, Cristina Castillo, Ling Qin, Alison R Weisskopf, Eleanor J Kingwell-Banham, Jixiang Song, Sung-Mo Ahn, and Jacob Van Etten. 2010. Consilience of genetics and archaeobotany in the entangled history of rice. *Archaeological and Anthropological Sciences* 2 (2):115-131.
- Fuller, Dorian Q, Chris Stevens, Leilani Lucas, Charlene Murphy, and Ling Qin. 2016a. Entanglements and entrapments on the pathway toward domestication. *The archaeology of entanglement. Walnut Creek, CA*:151-172.
- Fuller, Dorian Q, Jacob Van Etten, Katie Manning, Cristina Castillo, Eleanor Kingwell-Banham, Alison Weisskopf, Ling Qin, Yo-ichiro Sato, and Robert J Hijmans. 2011. The contribution of rice agriculture and livestock pastoralism to prehistoric methane levels: An archaeological assessment. *The Holocene* 21 (5):743-759.
- Fuller, Dorian Q, Alison R Weisskopf, and Cristina Cobo Castillo. 2016b. Pathways of rice diversification across Asia. *Archaeology International*.
- Fuller, Dorian Q, George Willcox, and Robin G Allaby. 2012. Early agricultural pathways: moving outside the 'core area' hypothesis in Southwest Asia. *Journal of experimental botany* 63 (2):617-633.
- Gao, Jingran, Li Jian, Qiu Jian, and Guo Menglin. 2014. Degradation assessment of waterlogged wood at Haimenkou site. *Frattura ed Integrità Strutturale* 8 (30):495-501.
- Gao, Yuanyuan. 2021. Research on Chenopodium in ancient Southwest China. In *IOP Conference Series: Earth and Environmental Science*: IOP Publishing.
- Higham, Charles. 1996. *The bronze age of Southeast Asia*. Cambridge University Press.
- Hu, Shiu-ying. 1979. A contribution to our knowledge of tu-chung—*Eucommia ulmoides*. *The American journal of Chinese medicine* 7 (01):5-37.
- Huang, Y, Henan Institute of Cultural Relics, and Archaeology. 2010. A quantitative analysis of faunal remains and the development of animal domestication. *Zooarchaeology* 1:1-31.
- Hunt, Harriet V, Xue Shang, and Martin K Jones. 2018. Buckwheat: a crop from outside the major Chinese domestication centres? A review of the archaeobotanical, palynological and genetic evidence. *Vegetation history and archaeobotany* 27 (3):493-506.
- Hyslop, Gwendolyn, and Jade d'Alpoim-Guedes. 2020. Linguistic evidence supports a long antiquity of cultivation of barley and buckwheat over that of millet and rice in Eastern Bhutan. *Vegetation history and archaeobotany*:1-9.
- Jin, Hetian, Xu Liu, Min Rui, Xiaorui Li, and Xiaohong Wu. 2014. Early subsistence practices at prehistoric Dadunzi in Yuanmou, Yunnan: new evidence for the origins of Early Agriculture in Southwest China. *The 'Crescent-Shaped Cultural-Communication Belt': Tong Enzheng's Model in Retrospect*. BAR International Series 2679: 133-140.
- Kang, Yongxiang, Łukasz Łuczaj, Jin Kang, Fu Wang, Jiaojiao Hou, and Quanping Guo. 2014. Wild food plants used by the Tibetans of Gongba Valley (Zhouqu county, Gansu, China). *Journal of ethnobiology and ethnomedicine* 10 (1):20.
- Kang, Yongxiang, Łukasz Łuczaj, Jin Kang, and Shijiao Zhang. 2013. Wild food plants and wild edible fungi in two valleys of the Qinling Mountains (Shaanxi, central China). *Journal of ethnobiology and ethnomedicine* 9 (1):26.
- Konishi, Takehiko, and Ohmi Ohnishi. 2007. Close genetic relationship between cultivated and natural populations of common buckwheat in the Sanjiang area is not due to recent gene flow



- between them—an analysis using microsatellite markers. *Genes & Genetic Systems* 82 (1):53-64.
- Konishi, Takehiko, Yasuo Yasui, and Ohmi Ohnishi. 2005. Original birthplace of cultivated common buckwheat inferred from genetic relationships among cultivated populations and natural populations of wild common buckwheat revealed by AFLP analysis. *Genes & Genetic Systems* 80 (2):113-119.
- Larson, Greger, and Dorian Q Fuller. 2014. The evolution of animal domestication. *Annual review of ecology, evolution, and systematics* 45:115-136.
- Lee, J.K. and Ohnishi, O., 2001. Geographic differentiation of morphological characters among *Perilla* crops and their weedy types in East Asia. *Breeding science*, 51(4): 247-255.
- Lee, Ju Kyong, and Ohmi Ohnishi. 2003. Genetic relationships among cultivated types of *Perilla frutescens* and their weedy types in East Asia revealed by AFLP markers. *Genetic Resources and Crop Evolution* 50 (1):65-74
- Li, Hui-Lin. 1974a. An archaeological and historical account of cannabis in China. *Economic Botany* 28 (4):437-448.
- Li, Hui-Lin. 1974b. The origin and use of Cannabis in eastern Asia linguistic-cultural implications. *Economic Botany* 28 (3):293-301.
- Li, K, and R Min. 2014. The site of Haimenkou: New research on the chronology of the Early Bronze Age in Yunnan. *The 'Crescent-Shaped Cultural-Communication Belt': Tong Enzheng's Model in Retrospect. An Examination of Methodological, Theoretical and Material Concerns of Long-Distance Interactions in East Asia*. BAR International Series 2679:123-132.
- Li, Rong, and Juan Yue. 2020. A phylogenetic perspective on the evolutionary processes of floristic assemblages within a biodiversity hotspot in eastern Asia. *Journal of Systematics and Evolution* 58 (4):413-422.
- Li, X., Dodson, J., Zhou, X., Zhang, H. and Masutomoto, R., 2007. Early cultivated wheat and broadening of agriculture in Neolithic China. *The Holocene*, 17(5): 555-560.
- Li, Xiwen, and D Walker. 1986. The plant geography of Yunnan Province, southwest China. *Journal of Biogeography*:367-397.
- Li, Yu, and Shuzhi Wu. 1996. Traditional maintenance and multiplication of foxtail millet (*Setaria italica* (L.) P. Beauv.) landraces in China. *Euphytica* 87 (1):33-38.
- Lister, Diane L, Huw Jones, Hugo R Oliveira, Cameron A Petrie, Xinyi Liu, James Cockram, Catherine J Kneale, Olga Kovaleva, and Martin K Jones. 2018. Barley heads east: Genetic analyses reveal routes of spread through diverse Eurasian landscapes. *PloS one* 13 (7):e0196652.
- Liu, X, and Z Dai. 2008. 3000 Nian qian de Xueju Shenghuo: Gengma Shifodong Yizhi (Cave life from 3000 years ago: the site of Shifodong, Gengma). *Zhongguo Wenhua Yichan* 6:84-87.
- Liu, Xinyi, Penelope J Jones, Giedre Motuzaitė Matuzevičiūtė, Harriet V Hunt, Diane L Lister, Ting An, Natalia Przelomska, Catherine J Kneale, Zhijun Zhao, and Martin K Jones. 2019. From ecological opportunism to multi-cropping: Mapping food globalisation in prehistory. *Quaternary Science Reviews* 206:21-28.
- Liu, Xinyi, Diane L Lister, Zhijun Zhao, Cameron A Petrie, Xiongsheng Zeng, Penelope J Jones, Richard A Staff, Anil K Pokharia, Jennifer Bates, and Ravindra N Singh. 2017. Journey to the east: Diverse routes and variable flowering times for wheat and barley en route to prehistoric China. *PloS one* 12 (11):e0187405.
- Liu, Xinyi, Diane L Lister, Zhijun Zhao, Richard A Staff, Penelope J Jones, Liping Zhou, Anil K Pokharia, Cameron A Petrie, Anubha Pathak, and Hongliang Lu. 2016. The virtues of small grain size: Potential pathways to a distinguishing feature of Asian wheats. *Quaternary International* 426:107-119.
- Liu, Xinyi, Giedre Motuzaitė Matuzevičiūtė, and Harriet V Hunt. 2018. *From a fertile idea to a fertile arc: the origins of broomcorn millet 15 years on*. McDonald Institute for Archaeological Research.

- Long, Tengwen, Christian Leipe, Guiyun Jin, Mayke Wagner, Rongzhen Guo, Oskar Schröder, and Pavel E Tarasov. 2018. The early history of wheat in China from 14 C dating and Bayesian chronological modelling. *Nature plants* 4 (5):272-279.
- Long, Tengwen, Mayke Wagner, Dieter Demske, Christian Leipe, and Pavel E Tarasov. 2017. Cannabis in Eurasia: origin of human use and Bronze Age trans-continental connections. *Vegetation history and archaeobotany* 26 (2):245-258.
- Loskutov, Igor G. 2008. On evolutionary pathways of *Avena* species. *Genetic Resources and Crop Evolution* 55 (2):211-220.
- Li, X., & Liu, X. (2016). Yunnan Jiangchuan Guangfentou Yizhi Zhiwu yicun Fuxuan Jieguo ji Fenxi (An analysis on the carbonized seeds and fruits from Guangfentou site in Jiangchuan, Yunnan). *Nongyue Kaogu*, 3: 20-27.
- Lu, Hongliang, Li Tang, Robert N Spengler III, Nicole Boivin, Jixiang Song, Shargan Wangdue, Xinzhou Chen, Xinyi Liu, and Zhengwei Zhang. 2020. The transition to a barley-dominant cultivation system in Tibet: First millennium BC archaeobotanical evidence from Bangga. *Journal of Anthropological Archaeology* 61:101242.
- Lu, Houyuan, Jianping Zhang, Kam-biu Liu, Naiqin Wu, Yumei Li, Kunshu Zhou, Maolin Ye, Tianyu Zhang, Haijiang Zhang, and Xiaoyan Yang. 2009. Earliest domestication of common millet (*Panicum miliaceum*) in East Asia extended to 10,000 years ago. *Proceedings of the National Academy of Sciences* 106 (18):7367-7372.
- Lucas, L, and D Fuller. 2018. From intermediate economies to agriculture: trends in wild food use, domestication and cultivation among early villages in southwest Asia. Dataset.
- Ma, S.J., Sa, K.J., Hong, T.K. and Lee, J.K., 2019. Genetic diversity and population structure analysis in *Perilla* crop and their weedy types from northern and southern areas of China based on simple sequence repeat (SSRs). *Genes & genomics*, 41(3): 267-281.
- Min, Rui. 2013. Haimenkou yizhi zonghe yaniu (Comprehensive study of the Haimenkou site). *Xueyuan* 15:6-9.
- Morrell, Peter L, and Michael T Clegg. 2007. Genetic evidence for a second domestication of barley (*Hordeum vulgare*) east of the Fertile Crescent. *Proceedings of the National Academy of Sciences* 104 (9):3289-3294.
- Murphy, CA, and DQ Fuller. 2018. *Agricultural Origins and Frontiers in the Indian Subcontinent: A Current Synthesis*. The Mythic Society.
- Nakao, Sasuke (1951) On the Mongolian naked oats, with special reference to their origins. *Scientific report of the Faculty of Agriculture*. Naniwa University 1951(1): 7-24
- Nitta, M., Lee, J.K. and Ohnishi, O., 2003. Asian *Perilla* crops and their weedy forms: their cultivation, utilization and genetic relationships. *Economic botany*, 57(2): 245-253.
- Nitta, M., Lee, J.K., Kang, C.W., Katsuta, M., Yasumoto, S., Liu, D., Nagamine, T. and Ohnishi, O., 2005a. The distribution of *Perilla* species. *Genetic Resources and Crop Evolution*, 52(7): 797-804.
- Nitta, M., Lee, J.K., Kobayashi, H., Liu, D. and Nagamine, T., 2005b. Diversification of multipurpose plant, *Perilla frutescens*. *Genetic Resources and Crop Evolution*, 52(6): 663-670.
- Ohnishi, Ohmi. 2004. On the origin of cultivated buckwheat. *Advances in Buckwheat research*:16-21.
- Ohnishi, Ohmi, and Takehiko Konishi. 2001. Cultivated and wild buckwheat species in eastern Tibet. *Fagopyrum* 18:3-8.
- Ohnishi, Ohmi, and Yoshihiro Matsuoka. 1996. Search for the wild ancestor of buckwheat II. Taxonomy of *Fagopyrum* (Polygonaceae) species based on morphology, isozymes and cpDNA variability. *Genes & Genetic Systems* 71 (6):383-390.
- Oliveira, Hugo R, Lauren Jacocks, Beata I Czajkowska, Sandra L Kennedy, and Terence A Brown. 2020. Multiregional origins of the domesticated tetraploid wheats. *PLoS one* 15 (1):e0227148.
- Östlund, Lars, Lisa Ahlberg, Olle Zackrisson, Ingela Bergman, and Steve Arno. 2009. Bark-peeling, food stress and tree spirits—the use of pine inner bark for food in Scandinavia and North America. *Journal of Ethnobiology* 29 (1):94-112.

- Östlund, Lars, Ingela Bergman, and Olle Zackrisson. 2004. Trees for food- a 3000 year record of subarctic plant use. *Antiquity* 78 (300).
- Partap, Tej, and Promila Kapoor. 1985a. The Himalayan grain chenopods. I. Distribution and ethnobotany. *Agriculture, ecosystems & environment* 14 (3-4):185-199.
- Partap, Tej, and Promila Kapoor. 1985b. The Himalayan grain chenopods. II. Comparative morphology. *Agriculture, ecosystems & environment* 14 (3-4):201-220.
- Partap, Tej, and Promila Kapoor. 1987. The Himalayan grain chenopods. III. An under-exploited food plant with promising potential. *Agriculture, ecosystems & environment* 19 (1):71-79.
- Qian, Li-Shen, Jia-Hui Chen, and H Sun. 2020. Plant diversity of Yunnan: current situation and future. *Plant Divers* 42.
- Rautio, Anna-Maria, Torbjörn Josefsson, and Lars Östlund. 2014. Sami resource utilization and site selection: Historical harvesting of inner bark in northern Sweden. *Human Ecology* 42 (1):137-146.
- Ren, Meng, Zihua Tang, Xinhua Wu, Robert Spengler, Hongen Jiang, Yimin Yang, and Nicole Boivin. 2019. The origins of cannabis smoking: Chemical residue evidence from the first millennium BCE in the Pamirs. *Science advances* 5 (6):eaaw1391.
- Ren, Xiaolin, Ximena Lemoine, Duowen Mo, Tristram R Kidder, Yuanyuan Guo, Zhen Qin, and Xinyi Liu. 2016. Foothills and intermountain basins: Does China's Fertile Arc have 'Hilly Flanks'? *Quaternary International* 426:86-96.
- Shaller, Georg B. 1967. *The deer and the tiger-a study of wildlife in India*. Chicago: University of Chicago Press.
- Shelach-Lavi, Gideon, Mingyu Teng, Yonaton Goldsmith, Ido Wachtel, Chris J Stevens, Ofer Marder, Xiongfei Wan, Xiaohong Wu, Dongdong Tu, and Roi Shavit. 2019. Sedentism and plant cultivation in northeast China emerged during affluent conditions. *PLoS one* 14 (7):e0218751.
- Shen, Ji, Richard T Jones, Xiangdong Yang, John A Dearing, and Sumin Wang. 2006. The Holocene vegetation history of Lake Erhai, Yunnan province southwestern China: the role of climate and human forcings. *The Holocene* 16 (2):265-276.
- Shen, Ji, Liyuan Yang, Xiangdong Yang, Ryo Matsumoto, Guobang Tong, Yuxin Zhu, Zhenke Zhang, and Sumin Wang. 2005. Lake sediment records on climate change and human activities since the Holocene in Erhai catchment, Yunnan Province, China. *Science in China Series D: Earth Sciences* 48 (3):353-363.
- Silva, Fabio, Alison Weisskopf, Cristina Castillo, Charlene Murphy, Eleanor Kingwell-Banham, Ling Qin, and Dorian Q Fuller. 2018. A tale of two rice varieties: Modelling the prehistoric dispersals of japonica and proto-indica rices. *The Holocene* 28 (11):1745-1758.
- Simoons, Frederick J, and Elizabeth S Simoons. 1968. *Ceremonial ox of India; the mithan in nature, culture, and history, with notes on the domestication of common cattle*. Texas Univ at Austin.
- Spengler, Robert N III, Natalia Ryabogina, Pavel E Tarasov, and Mayke Wagner. 2016. The spread of agriculture into northern Central Asia: Timing, pathways, and environmental feedbacks. *The Holocene* 26 (10):1527-1540.
- Stevens, Chris J, and Dorian Q Fuller. 2017. The spread of agriculture in Eastern Asia: Archaeological bases for hypothetical farmer/language dispersals. *Language Dynamics and Change* 7 (2):152-186.
- Stevens, Chris J, Charlene Murphy, Rebecca Roberts, Leilani Lucas, Fabio Silva, and Dorian Q Fuller. 2016. Between China and South Asia: A Middle Asian corridor of crop dispersal and agricultural innovation in the Bronze Age. *The Holocene* 26 (10):1541-1555.
- Stevens, Chris J., Gideon Shelach-Lavi, Hai Zhang, Mingyu Teng, and Dorian Q. Fuller. 2020. A model for the domestication of *Panicum miliaceum* (common, proso or broomcorn millet) in China. *Vegetation history and archaeobotany*. doi:10.1007/s00334-020-00804-z.
- Swetnam, Thomas W. 1984. Peeled ponderosa pine trees: a record of inner bark utilization by Native Americans. *Journal of Ethnobiology* 4 (2):177-190.

- Van Driem, George. 2017. The domestications and the domesticators of Asian rice. *Language dispersal beyond farming*:183-214.
- Wang, Juan. 2018. *A zooarchaeological study of the Haimenkou Site, Yunnan Province, China*. Archaeology of East Asia: BAR international series 2902.
- Wang, Q. 2014. *Yunnan Dengjiang Xian Xueshan Yizhi Zhiwu yicun fenxi (Analysis of the archaeobotanical remains found at Xueshan site, in Dengjiang county, Yunnan)*. MA thesis. Shandong University, Jilin.
- Wang, Shuzhi , Zenglin Wang, Xuelian Zhang, Maolin Ye, and Linhai Cai. 2015. The use of inner bark as food in prehistory: a case study based on roll carbonized remains unearthed from Hulija site, Qinghai province, western China. Paper presented at the The 80th Annual Meeting of the Society for American Archaeology, San Francisco, California,
- Weisskopf, A., and D Fuller. 2013. *Buckwheat: origins and development*. Encyclopedia of Global Archaeology: Springer.
- Xiao, Minghua 1991. Jianchuan Haimenkou 1978 nian fajue suohuo tongqi yiji youguan wenti (On the bronzes recovered from the 1978 excavation of Haimenkou, Jianchuan). *Qingtong Wenhua Lunwenji (Essays Collection on Bronze Cultures)*. Kunming: Yunnan People Press.
- Xiao, Minghua 1995. Yunnan Jianchuan Haimenkou Qingtong Shidai Zaoqi Yizhi (The early Bronze Age site of Haimenkou, Jianchuan, Yunnan). *Kaogu (Archaeology)* 9:775- 787.
- Xue, Yining. 2010. *Yunnan Jianchuan Haimenkou Yizhi Zhiwu Yicun Chubu Yanjiu (a preliminary investigation on the archaeobotanical material from the site of Haimenkou in Jianchuan County, Yunnan)*. MA Thesis. Department of Archaeology and Museology. Peking University, Beijing.
- Yan, Wenming. 1992. Origins of agriculture and animal husbandry in China. *Pacific Northeast Asia in prehistory*:113-123.
- Yang, W. 2016. *Yunnan Hebosuo he Yubeidi yizhi zhiwu yicun fenxi (Analyses on the archaeobotanical remains from Hebosuo and Yubeidi)*. MA thesis. Shandong University.
- Yang, XiaoYan, ChangJiang Liu, JianPing Zhang, WuZhan Yang, XiaoHu Zhang, and HouYuan Lü. 2009. Plant crop remains from the outer burial pit of the Han Yangling Mausoleum and their significance to Early Western Han agriculture. *Chinese Science Bulletin* 54 (10):1738-1743.
- Yang, Xiaoyan, Zhikun Ma, Jun Li, Jincheng Yu, Chris Stevens, and Yijie Zhuang. 2015. Comparing subsistence strategies in different landscapes of North China 10,000 years ago. *The Holocene* 25 (12):1957-1964.
- Yang, Xiaoyan, Zhiwei Wan, Linda Perry, Houyuan Lu, Qiang Wang, Chaohong Zhao, Jun Li, Fei Xie, Jincheng Yu, and Tianxing Cui. 2012. Early millet use in northern China. *Proceedings of the National Academy of Sciences* 109 (10):3726-3730.
- Yao, Alice. 2010. Recent developments in the archaeology of southwestern China. *Journal of Archaeological Research* 18 (3):203-239.
- Yao, Alice, Valentín Darré, Jiang Zhilong, Wengcheong Lam, and Yang Wei. 2020. Bridging the time gap in the Bronze Age of Southeast Asia and Southwest China (long title). *Archaeological Research in Asia* 22:100189.
- YPICRA, Yunnan Provincial Institute of Cultural Relics and Archaeology. 2003. Yunnan Yongren Caiyuanzi Mopandi Yizhi 2001 nian fajue baogao (Report on the 2001 excavation campaign of the sites of Caiyuanzi and Mopandi in Yongren, Yunnan). *Kaogu Xuebao* 2:263-296.
- YPICRA, Yunnan Provincial Institute of Cultural Relics and Archaeology et al. 2009. Yunnan Haimenkou Yizhi di san ci fajue (The third excavation campaign of the site of Haimankou in Jianchuan, Yunnan). *Kaogu (Archaeology)* 2:3-22.
- YPM, Yunnan Provincial Museum. 1981. Yunnan Binchuan Baiyangcun yizhi (The site of Baiyangcun in Binchuan, Yunnan). *Kaogu Xuebao* 3:349-368.
- YPM, Yunnan Provincial Museum 1958. Jianchuan Haimenkou Guwenhua Yizhi Qingli Jianbao (Preliminary report on the excavation of the ancient cultural site of Haimenkou, Jianchuan). *Kaogu Tongxun*: 5-12.

- Yuan, Jing. 2010. Zooarchaeological study on the domestic animals in ancient China. *Quaternary sciences* 30 (2):298-306.
- Zhang, Chi, and Hsiao-chun Hung. 2010. The emergence of agriculture in southern China. *Antiquity* 84 (323):11-25.
- Zhao, Z, C Zhao, J You, C Wang, T Cui, and J Guo. 2020. Beijing donghuilin yizhi zhiwu yicun fuxuan jieguo ji fenxi (Report on the analyses of the archaeobotanical remains from the Donghuilin site, Beijing). *Kaogu (Archaeology)* (7):99-106.
- Zhao, Zhijun. 2003. Yunnan Yongren Mopandi Xinshiqi Shidai yizhi chutu daobu yicun fenxi (Preliminary analysis on the archaeobotanical remains from the site of Mopandi, Yongren, Yunnan). *Kaogu Xuebao* 4:294-296.
- Zhao, Zhijun. 2010. Shifodong yizhi zhiwu yicun fenxi baogao (Report on the analysis of the plant remains from the Shifodong site). In *Gengma Shifodong*. Beijing: Science Press.
- Zhao, Zhijun. 2011. New archaeobotanic data for the study of the origins of agriculture in China. *Current Anthropology* 52 (S4):S295-S306.
- Zhao, Zhijun, and Jian Chen. 2011. Sichuan Maoxian Yingpanshan Yizhi Fuxuan Jieguo ji Fenxi (Results of the flotation carried out at the site of Yingpanshan in Maoxian County, Sichuan). *Nanfang Wenwu* 3:60-67.
- Zhao, ZJ. 2014. The process of origin of agriculture in China: Archaeological evidence from flotation results. *Quaternary sciences* 34 (1):73-84.
- Zheng, Y, and X Chen. 2006. The archaeological study of the origin of melon, based on unearthed Cucumis seeds from the Lower Yangzte. *Zhejiang Province Institute of Cultural Relics and Archaeology (ed.), Remembering* 70:578-585.
- Zheng, D. & Z. Zhang (2011) Discussion on the origins and taxonomy of Naked Oat (*Avena nuda* L.) *Journal of Plant Genetic Resources* 12(5): 667-60 [in Chinese]
- Zhou, Xinying, Jianjun Yu, Robert Nicholas Spengler, Hui Shen, Keliang Zhao, Junyi Ge, Yige Bao, Junchi Liu, Qingjiang Yang, and Guanhan Chen. 2020. 5,200-year-old cereal grains from the eastern Altai Mountains redate the trans-Eurasian crop exchange. *Nature plants* 6 (2):78-87.
- Zhu, Yanping. 2013. The early Neolithic in the Central Yellow River valley, c. 7000–4000 BC. *A companion to Chinese archaeology*:171-193.