

1 Current clinical translation of microbiome medicines

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6 Abstract

7 The microbiome is experiencing increasing scrutiny for its role in disease, with new research
8 describing microbiome-disease relationships currently being published at exponential
9 frequency. More and more, researchers are working to translate the emerging fundamental
10 science into microbiome medicines that will address important unmet needs in the clinic. In this
11 piece, we summarise the types of microbiome medicines showing the most translational
12 potential, alongside a detailed analysis of the current global microbiome medicines pipeline and
13 challenges facing clinical translation. At present, the regulatory pipeline is dominated by
14 probiotics intended for oral delivery to the gastrointestinal tract, however several non-living
15 biologics and small molecules provide notable distinctions. With the first microbiome medicine
16 set to begin the regulatory submission process in 2022, it is an exciting time to enter the field.

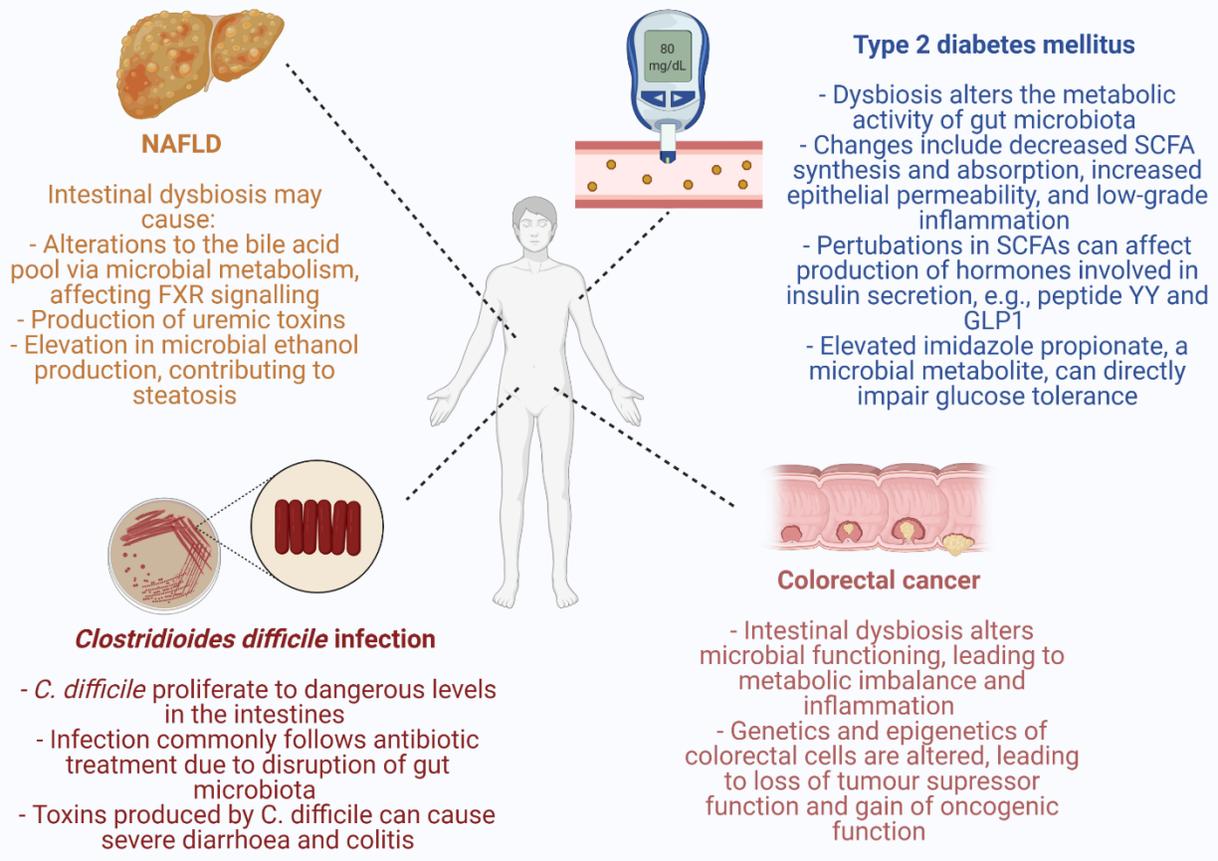
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18 Keywords

19 Microbiota; biotechnology; drug discovery and development; postbiotics; dysbiosis; peptide
20 therapeutics.

21 Microbiome medicines: the emerging therapeutic class

22 In recent years research describing the **microbiome's** (see **Glossary**) relationship with human
23 disease has expanded exponentially, sparking the evolution of microbiome medicine.
24 Microbiome medicine can be characterised as the practice of preventing or treating disease by
25 manipulating the microbiome [1]. Humans have co-evolved alongside their microbiomes,
26 forming a symbiotic host-microbe relationship in which humans rely on microorganisms to
27 perform a range of essential functions, such as the synthesis of hormones and vitamins,
28 digestion of macronutrients, and the modulation of immune pathways [2]. Changes in
29 microbiome composition or functioning can lead to a state of **dysbiosis**, which may occur in
30 response to numerous factors, including exposure to **antimicrobials**, a fibre-deficient diet,
31 polypharmacy, psychological stress, and lack of physical activity [3-6]. Several diseases have
32 strong evidence underpinning their association with dysbiosis, including infections (e.g.,
33 ***Clostridioides difficile***), cancer (e.g., colorectal), and metabolic disease (e.g., type 2 diabetes
34 mellitus and non-alcoholic fatty liver disease) (**Figure 1**) [7]. Other diseases now well associated
35 with dysbiosis include inflammatory bowel disease [8, 9], several neurological disorders (e.g.,
36 multiple sclerosis and Parkinson's disease) [10, 11], and numerous immune-related conditions
37 (e.g., atopic dermatitis and chronic inflammation) [4, 12]. Many microbiome-associated
38 diseases currently lack licensed preventative or curative agents, therefore targeting these
39 diseases through the microbiome could address substantial unmet clinical need.



40

41 **Figure 1.** (see legend below 'References' section).

42 The identity of species forming an individual's microbiome is highly unique, with key influences
 43 including mode of birth, age, sex, lifestyle, geographical location, ethnicity, diet, medication
 44 use, and health status [3, 13-18]. Despite compositional heterogeneity, general microbiome
 45 functions (such as the gastrointestinal (GI) digestion of fibre) are well conserved between
 46 humans due to the ability of different microbial species to perform similar functions [19]. In this
 47 regard, therapeutics may seek to manipulate the microbiome in a general manner to achieve
 48 high-level benefits. For example, intake of **microbiota**-accessible carbohydrates has been
 49 shown to stimulate the microbial production of **short chain fatty acids** (SCFAs) across numerous
 50 human studies [20, 21]. On the other hand, some microbial functions can be highly strain-
 51 specific and thus cause distinct variation between individuals [22]. For example, the presence of
 52 certain strains of gut bacteria can lead to the inactivation or toxification of drugs in some
 53 patients but not others [23]. The outcomes of microbiome-targeted interventions can also be

54 dependent on patients' baseline microbial composition [24]. Hou et al. found that microbiome
55 response to administration of a *Lactobacillus casei* probiotic strain was closely related with
56 patients' basal gut microbiota [25]. This evidence signifies that microbiome medicine should
57 account for variation in patients' microbiomes where the variation forms an important part of a
58 condition's pathophysiology [26].

59 It is our opinion that the first microbiome medicines will be approved by regulatory agencies in
60 the next few years, and will ignite significant innovation in the field, increasing the
61 development of precision therapeutics with highly engineered mechanisms of action. It is our
62 aim in this piece to present the types of microbiome medicines currently receiving the most
63 research attention alongside an overview and analysis of the current global microbiome
64 medicines pipeline. The current barriers facing the translation of microbiome medicines will
65 also be discussed alongside suggestions to overcoming them. This information will hopefully
66 provide inspiration for researchers looking to translate microbiome science into the clinic.

67 The two classes of microbiome medicines

68 Probiotics

69 Strategies for therapeutically manipulating the microbiome can be broadly organised into two
70 groups: those that utilise living microorganisms and those that utilise non-living components.
71 Products containing viable microorganisms are commonly referred to as **probiotics**, and can be
72 administered by any route, though fermented foods are not classed as probiotics because
73 microbial benefits cannot be easily disaggregated from the food matrix itself [27]. Probiotics
74 typically aim to increase beneficial functional pathways in the microbiome by introducing
75 defined microbial strains that may colonise the host [28]. At present, no probiotic products
76 have been licensed as medicines and therefore cannot claim to prevent or treat disease.
77 **Probiotic supplements** must be safe for human consumption, though can vary widely in
78 efficacy [29]. In contrast, numerous probiotic strains are now under investigation as
79 investigational drug products (Table 2). These formulations will have defined indications and
80 mechanisms, and are commonly referred to as precision probiotics, next generation probiotics,
81 or live biotherapeutic products [30].

82 Interest surrounding probiotics is steadily increasing: in 2020 the global probiotics market was
83 valued at \$54.7 billion and is expected to grow by 7.2% from 2021 to 2028 [31]. The design and
84 selection of probiotics may increasingly utilise genetic engineering to impart selected
85 functionalities to the live microorganisms. Bacteria and other microbiota could be synthetically
86 programmed to bind to targets, sense and respond to pathogens, and secrete beneficial
87 peptides, nucleic acids, or metabolites for defined therapeutic purposes [32]. For example,
88 Verma et al. developed a strain of *Lactobacillus paracasei* that expressed a gene encoding
89 human angiotensin converting enzyme 2. When delivered by gavage, the engineered probiotic
90 was demonstrated to significantly reduce the severity of diabetic retinopathy in two murine
91 models compared to a wildtype bacterial strain [33]. Elsewhere, engineered probiotics have
92 exhibited promise for treatment of tumours [34], inflammation [35, 36], and metabolic
93 disorders [37], to name just a few applications. In addition to increasing therapeutic precision,
94 genetic engineering could be used to ensure the safety of probiotics. This could involve
95 imparting **auxotrophism** or preventing horizontal gene transfer and/or self-replication, to avoid
96 the replication of probiotics beyond their therapeutic abundance or acquisition of pathogenic
97 genes [24, 38].

98 Non-living microbiome therapeutics

99 The second class of microbiome therapeutics encompasses any non-living component that
100 exerts a beneficial effect on the microbiome. Possible examples within this group vary from
101 **prebiotics**, to **postbiotics**, peptides, inactivated microorganisms, small molecules, and
102 **bacteriophages** (Table 1) [39]. Common types of prebiotics include fructans, galacto-
103 oligosaccharides, resistant starches, and non-carbohydrate oligosaccharides [40]. A key health-
104 promoting action of prebiotics is their digestibility to lactate and SCFAs by colonic bacteria.
105 SCFAs are known to suppress cancer cell proliferation and modulate intestinal motility, wound
106 healing, inflammation, and intestinal integrity among many other processes [8, 41]. Prebiotics
107 may also be combined with other microbiome therapeutics. Combinations of prebiotics and
108 probiotics are known as synbiotics and may achieve synergistic therapeutic effects [42]. Whilst
109 prebiotics have traditionally been regarded as dietary supplements, the investment and

110 positive results in prebiotic clinical trials demonstrates that they could play an important role in
 111 microbiome medicine [43-45].

112 **Table 1.** Promising types of non-living microbiome therapeutics.

Therapeutic type	Mechanism of action	Evidence to date
Bacteriophages	Repair of dysbiosis by selectively killing pathogenic bacterial species via lysis.	<p>A patented <i>Escherichia coli</i>-targeted bacteriophage cocktail, sold as a supplement (PreforPro®), has been shown to reduce human faecal <i>E. coli</i> load without disrupting wider bacterial communities [46].</p> <p>Other human studies with bacteriophages have largely failed clinical endpoints, potentially due to insufficient phage titres [47].</p> <p>Bacteriophages targeted to <i>E. faecalis</i> showed therapeutic benefit for alcoholic liver disease in human microbiota-colonised mice [7].</p>
Inactivated microorganisms	Strain-dependent immunomodulatory effects.	<p>When administered orally to neonatal mice, a heat-inactivated strain of <i>Lactobacillus paracasei</i> alleviated antibiotic-induced intestinal dysbiosis and abnormal expression of BDNF, GABA_{Aα1}, GABA_{b1}, and 5-HT_{1A} in the hippocampus [48].</p>

		Administration of pasteurised <i>Akkermansia muciniphila</i> raised intestinal and hepatic concentrations of SCFAs, bile acids, and polyamines to a greater extent than live <i>A. muciniphila</i> when administered to mice [49].
Inhibitors of microbial TMA synthesis	Inhibition of TMA synthesis could occur at the transcriptional or enzyme level. TMA is produced by intestinal bacteria and is a precursor to TMAO. TMAO is produced in the liver and is likely a key influence in the formation of atherosclerotic plaques and cardiovascular disease [50].	An inhibitor of microbial TMA lyases has been shown to reduce TMA production in polymicrobial communities and lower TMAO levels in mice. Mice treated with the TMA lyase inhibitor had reduced atherosclerotic development [7].
Liraglutide	A marketed GLP-1 agonist for type 2 diabetes mellitus, liraglutide may aid weight loss in obesity by increasing Bacteroidetes-to-Firmicutes ratio in the gut. This signature is associated with healthy body weight [7].	Liraglutide has been shown to increase the Bacteroidetes-to-Firmicutes ratio in the gut microbiomes of rats, changes which were associated with rodent weight loss [7].
Metformin	Reduction of metabolic dysfunction and systemic inflammation by altering gut microbiota composition and gene expression. May also alter	Several animal and human studies have demonstrated associations between metformin's microbiome effects and its impact on systemic

	bile acid production and associated intestinal FXR signalling.	glucose and inflammatory regulation [51].
n-3 PUFAs	Alteration of gut microbiome composition with possible prebiotic-like effects, leading to rectification of dysbiosis, mediation of the intestinal bile acid pool, and modulation of nuclear receptor activation [52, 53].	Daily supplementation with n-3 PUFAs has been shown to decrease the abundance of <i>Collinsella</i> species in the human gut, which are associated with NAFLD [52]. A meta-analysis has also found n-3 PUFAs to significantly improve biomarkers of NAFLD in patients [53].
SCFAs	SCFAs may be delivered as postbiotics , i.e., they exert their beneficial effects without the need for upstream elements, such as live bacteria or prebiotics. SCFAs can inhibit inflammatory cytokine production, e.g., through GPR43 activation, and promote epithelial barrier function, e.g., through HIF-1 stabilisation, among other beneficial actions [8].	Propionate has the strongest clinical evidence of the SCFAs to date. When bound to the prebiotic inulin, propionate has been shown to prevent an increase in intrahepatocellular lipids in patients with NAFLD. It has also reduced weight gain, deterioration in insulin sensitivity, appetite, and intraabdominal adipose tissue distribution in overweight adults [54].
Statins (e.g., simvastatin, atorvastatin)	Protective against the <i>Bacteroides2</i> (Bact2) enterotype , a gut microbiome composition	Associations between protection against Bact2 and statin therapy

	that has been positively associated with obesity and systemic inflammation.	have been demonstrated in 3 large human cross-sectional studies [55].
Synthetic self-assembling peptides	Peptides partition through the membranes of select bacterial species responsible for dysbiosis, leading to interrupted transmembrane potential and impaired cell growth.	Orally administered cyclic d,l- α -peptides were found to reverse changes to gut microbiome composition induced by a Western diet; plasma total cholesterol; atherosclerotic plaques; and production of pro-inflammatory cytokines in mice. Animals' gut barrier integrity and intestinal immune markers were also improved [56].
Traditional prebiotics (e.g., fructans, GOS, RS, and non-carbohydrate oligosaccharides)	Colonic microbiota digest prebiotics to lactic acid and SCFAs, which exert a number of beneficial local and systemic effects on the host [8].	Human studies have demonstrated benefits of prebiotics in numerous disease states, such as atopic dermatitis, cardiovascular disease, cognitive-impairment, constipation, HIV, and type 2 diabetes mellitus [40, 57]. However, high doses of prebiotics are typically required to confer a therapeutic effect.

113 BDNF: brain-derived neurotrophic factor; FXR: Farnesoid X Receptor; GABAA α 1: γ -aminobutyric acid type A receptor α 1; GABAb1: γ -
114 aminobutyric acid type B receptor 1; GOS: galacto-oligosaccharides; GPR43: G protein-coupled receptor 43; HIF-1: hypoxia-inducible factor 1;
115 NAFLD: non-alcoholic fatty liver disease; n-3 PUFAs: n-3 polyunsaturated fatty acids; RS: resistant starch; SCFAs: short chain fatty acids; TMA:
116 trimethylamine; 5-HT1A: 5-hydroxytryptamine receptor 1A.

117 Typically required at much lower doses than prebiotics are microbiome targeted small
118 molecules, peptides, and bacteriophages. Due to the global antimicrobial resistance crisis there
119 is great need for selective antimicrobial compounds with novel mechanisms of action. Such
120 therapeutics would have use in fighting infections and could also be used to target defined
121 strains of microbiota implicated in dysbiosis. Chen et al. have demonstrated selective microbial
122 killing with their synthetic self-assembling cyclic d,l- α -peptides (Table 1) [56]. The specificity of
123 bacteriophages also holds great potential, as they can selectively kill strains of bacteria whilst
124 posing no threat to human cells [46]. If safely administered to the site of action, bacteriophages
125 could allow direct remodelling of dysbiotic communities by targeting pathogenic microbiota
126 and facilitating expansion of symbionts.

127 Distinct to other examples are drugs that have had their positive microbiome effects discovered
128 after being licensed [23]. A prominent case is metformin, licensed for type 2 diabetes mellitus,
129 which was found to alter gut bacterial composition and gene expression decades after it was
130 approved for market use [51]. The drug is now hypothesised to promote the growth of
131 beneficial microbiota over pathogenic species in the GI tract, subsequently influencing
132 inflammatory pathways and improving glucose control. These findings have supported initiation
133 of the TAME (Targeting Aging by METformin) trial, which aims to examine whether metformin
134 could be repurposed to support healthy ageing [51]. It is likely that numerous other marketed
135 drugs could show potential for repurposing based on their interactions with microbiota. To
136 identify promising candidates, developers could screen large libraries of licensed drugs for pre-
137 specified interactions with the microbiome [18].

138 The global microbiome medicines pipeline

139 Despite the therapeutic potential of the microbiome, to date no products have been specifically
140 developed and licensed as microbiome medicines. To achieve licensing in the US and EU for the
141 prevention or treatment of disease, therapeutics must demonstrate a positive benefit to risk
142 balance by meeting the same standards as other classes of medicines seeking regulatory
143 approval [58]. To accomplish this, investigatory products are expected to fulfil criteria outlined
144 by the **International Council for Harmonization of Technical Requirements for**
145 **Pharmaceuticals for Human Use** (ICH) ¹. Table 2 presents a number of investigational

146 microbiome medicines currently undergoing the regulatory process. The findings demonstrate
 147 that most novel microbiome therapeutics undergoing translation contain live bacteria, with the
 148 small molecule sibofimloc, inactivated bacterium EDP1815, and Enterome’s multi-peptide
 149 vaccines as notable non-living distinctions ^{II-III} [59].

150 **Table 2.** Summary of the global microbiome medicines pipeline as of Q1 2022 featuring
 151 products with at least clinical trial phase I progression.

Company	Product	Indication	Developmental stage
4D Pharma (Aberdeen, UK)	Blautix [®] : single-strain human gut bacteria (<i>B. hydrogenotrophica</i>) capable of consuming H ₂ gases ^{IV}	IBS (both constipation and diarrhoea predominant)	Phase IIb complete. Expected market launch 2025 (US) and 2026 (EU)
4D Pharma (Aberdeen, UK)	Thetanix [®] : GR capsule containing live <i>B. thetaiotaomicron</i> , a human gut bacterium that stimulates colonic mucus production and attenuates inflammation [60]	Crohn’s disease	Phase Ib complete
4D Pharma (Aberdeen, UK)	MRx0518: capsule containing a live biotherapeutic in combination with pembrolizumab (Keytruda) ^{® V}	Numerous solid-tumour cancers in patients with secondary resistance to immune checkpoint inhibitors	Phase I/II underway

Enterome/Takeda (Paris, France)	Sibofimloc: orally administered gut restricted, small molecule type 1 fimbrial FimH adhesin antagonist [59]	Crohn's disease	Phase II underway, expected market launch 2025
Enterome (Paris, France)	EO2401 and EO2463: multi-peptide vaccines based on homologies between tumour-associated antigens and microbiome-derived peptides, coadministered with or without nivolumab (administration route unclear) ^{VI-VII}	Glioblastoma and adrenal cancer (EO2401) B-cell malignancies (EO2463)	Phase I/II
Ferring Pharmaceuticals (Saint-Prex, Switzerland)	RBX2660: liquid suspension containing live microbiota sourced from the stools of healthy donors [61]. Administered via enema.	Recurrent <i>C. difficile</i> infection	Phase III underway, FDA has granted Orphan Drug status, Fast Track status and Breakthrough Therapy Designation
Ferring Pharmaceuticals (Saint-Prex, Switzerland)	RBX7455: capsules containing lyophilised live microbiota sourced from the stools of healthy donors [62]	Recurrent <i>C. difficile</i> infection	Phase I complete

Finch Therapeutics (Massachusetts, US)	CP101: hypromellose capsules containing lyophilised live microbiota sourced from healthy human donors viii	Recurrent <i>C. difficile</i> infection	Phase III underway
NuBiyota (New Jersey, US)	Microbial Ecosystem Therapeutic-2 (MET-2): capsule containing 40 live gut bacteria from a healthy donor ^{ix}	Major depression, ulcerative colitis, Recurrent <i>C. difficile</i> infection	Phase I (ulcerative colitis and recurrent <i>C.</i> <i>difficile</i> infection) Phase II (major depression)
NuBiyota (New Jersey, US)	Microbial Ecosystem Therapeutic-3 and 5 (MET-3, MET-5): capsules containing live gut bacteria from healthy donor ^x	Hypertriglyceridemia	Phase II
KoBioLabs (Seoul, South Korea)	KBL697: capsule containing live bacteria with IL-10 inducing (anti- inflammatory) properties xi	Psoriasis and IBD	Phase II
KoBioLabs (Seoul, South Korea)	KBL693: oral dosage form comprising <i>Lactobacillus Crispatus</i> KBL693 showing attenuation of histamine secretion properties [63]	Asthma and atopic dermatitis	Phase I complete

AOBiome (Massachusetts, US)	B244: a live single strain of <i>Nitrosomonas eutropha</i> (D23), an ammonia-oxidising bacterium (topical administration) ^{xii}	Acne, pruritis/atopic dermatitis, and rosacea	Phase IIb completed (acne) Phase IIb recruited (pruritis/atopic dermatitis) Phase IIa complete (rosacea)
Evelo Biosciences (Massachusetts, US)	EDP1815: capsules containing single inactivated strain of <i>Prevotella histicola</i> , an anti-inflammatory bacterium isolated from the duodenum of a healthy donor ^{xiii} [64]	COVID-19, psoriasis, atopic dermatitis	COVID-19 (phase II/III), psoriasis (phase II), atopic dermatitis (phase Ib)
Vedanta Biosciences (Massachusetts, US)	VE303: capsules containing 8 human gut bacterial strains in powdered form, sourced from clonal cell banks ^{xiv}	Recurrent <i>C. difficile</i> infection	Phase II complete, FDA has granted Orphan Drug Status. Phase III to begin in 2022.
Seres Therapeutics (Massachusetts, US)	SER-109: capsules containing Firmicutes spores ^{xv}	Recurrent <i>C. difficile</i> infection	Phase III, FDA has granted Breakthrough Therapy Designation and Orphan Drug Status

152 EU: European Union; GR: gastro-resistant; IBD: inflammatory bowel disease; IBS: irritable bowel syndrome; UK: United Kingdom; US: United
153 States of America.

154 Whilst no microbiome therapeutics have been formally licensed, there is an accepted
155 treatment used in clinical practice that does not require formal approval as a drug under certain

156 restrictions, known as **faecal microbial transplant (FMT)** [27]. Because FMTs contain undefined
 157 populations of microbiota they are not formally classed as probiotics but have been suggested
 158 as tissue or biological treatments [27]. FMT has been researched for a range of indications,
 159 including IBD, Parkinson’s disease, and obesity. However, the only disease permitted to be
 160 treated with FMT in the US and many other countries without a drug licence is recurrent *C.*
 161 *difficile* infection (rCDI). Frequently, first line antibiotics metronidazole and vancomycin are
 162 ineffective at treating rCDI with reported recurrence rates of 27.1% and 24.0%, respectively
 163 [65]. Systemic antibiotic treatment can also have long lasting negative effects on gut
 164 microbiome diversity. In comparison, FMT has a reported 92% resolution rate for rCDI and as
 165 such is recognised as a potentially life-saving treatment [66].

166 To standardise FMT and remove the risk of disease transfer, several companies are exploring
 167 the oral delivery of microbiota for rCDI, which unlike traditional FMT will require regulatory
 168 approval. **Table 3** expands on the information provided in **Table 2** by comparing the oral FMT
 169 therapeutics’ doses and most recent clinical trial results.

170 **Table 3.** A comparison of the oral faecal microbial transplant (FMT) therapies currently
 171 undergoing clinical trials.

Company	Product	Storage conditions	Dose	Stage at which results are available	Most recent results
Ferring Pharmaceuticals	RBX7455: capsules containing lyophilised live microbiota sourced from the stools of healthy donors [62]	Room temperature for up to 12 months	<i>Cohort 1:</i> 4 capsules BD for 4 days <i>Cohort 2:</i> 4	Phase I	Overall, 90% of patients were rCDI-free at 8 weeks (cohort 1: 9/10, cohort

			capsules BD for 2 days <i>Cohort 3:</i> 2 capsules BD for 2 days		2: 8/10, cohort 3: 10/10 patients rCDI- free). No serious adverse events observed.
Finch Therapeutics	CP101: hypromellose capsules containing lyophilised live microbiota sourced from healthy human donors ^{VIII}	Room temperature stable for at least 4 days (extended stability studies ongoing)	1-2 isolated doses. Each dose likely 2-3 capsules, based on past literature [67].	Phase II extension	80.3 % sustained clinical cure rate for rCDI at 8 weeks. 88.2% cure rate in patients who received 2 doses. No serious adverse events observed.
Vedanta Biosciences	VE303: capsules containing 8 human gut bacterial strains in powdered form,	Unpublished	<i>Cohort 1:</i> high dose (10 capsules OD for 14 days)	Phase II	86.2% patients on high dose treatment were rCDI- free at 8

	sourced from clonal cell banks ^{XIV}			<i>Cohort 2:</i> low dose (2 capsules OD for 14 days)	weeks. Low dose treatment did not outperform placebo. No serious treatment-related adverse events observed ^{XVII} .
Seres Therapeutics	SER-109: capsules each containing 1 x 10 ⁸ Firmicutes spores ^{XV}	Unpublished	4 capsules OD for 3 days.	Phase III	88.9% of patients were rCDI-free at 8 weeks. No serious treatment-related adverse events observed ^{XVIII} .
NuBiyota	Microbial Ecosystem Therapeutic-2 (MET-2): capsule containing 40 live gut bacteria from a healthy donor ^{IX}	Room temperature stable for 9 months	Initially 10 capsules OD for 2 days then 3 capsules	Phase I	79% patients had no rCDI after the initial dose. After 130 days, 84% patients were

OD for 8 days. If rCDI reoccurred, then 20 capsules for 2 days followed by 3 capsules for 8 days was administered.

rCDI-free. No serious treatment-related adverse events observed [68].

172 BD: twice daily; OD: once daily; rCDI: recurrent *Clostridioides difficile* infection.

173 Oral delivery of faecal microbiota has strong commercial potential, as it has been shown as
174 equally effective as FMT delivered via colonoscopy, better accepted by patients, and to result in
175 fewer adverse effects [69]. Whilst similar, the oral FMT interventions under commercial
176 development have significant differences. For one, their administration regimens are distinct,
177 which could be a key determining factor in product success, as the latest evidence suggests that
178 the therapies have similar efficacies and safety profiles (Table 3). At present, VE303 from
179 Verdanta Biosciences comprises the highest capsule burden for patients, and CP101 from Finch
180 Therapeutics the least. A shorter treatment duration involving fewer capsules will likely be
181 more popular with prescribers and patients. Further, the cost of therapeutics will be a pivotal
182 consideration. The cost of a single intra-colonic FMT administration has been estimated at
183 around £3,000 (~\$4,100 USD), thus new oral FMT dosage forms should not be priced well above
184 this as to prohibit their use [70]. The necessary storage conditions will play a role in
185 therapeutics' cost and accessibility; currently RBX7455 from Ferring Pharmaceuticals has

186 published the longest room temperature stability, showing sustained microbial viability over 12
187 months [62]. RBX7455 is a reformulated version of RBX2660 (Table 2), whereby the RBX2660
188 liquid suspension for rectal administration was redesigned into a capsule containing lyophilised
189 microbiota to enhance storage stability and ease of administration. The newer RBX7455 also
190 seems to increase treatment efficacy, as the RBX2660 formulation achieved a 60% response
191 rate in patients receiving ≥ 1 dose during phase II trials [61]. Specific colonic delivery of
192 microbiota may also improve treatment efficacy, as demonstrated using a targeted coating
193 technology, Phloral[®] [71]. In a 2019 study, Phloral[®] coated capsules accomplished an 80.6%
194 rCDI cure rate compared to 75% for untargeted capsules; the coated capsules were proven safe
195 and produced superior microbial engraftment patterns in patients [72]. Of all the oral FMT
196 agents under investigation, SER-109 appears to be closest to entering the market, as Seres
197 Therapeutics have announced that they will begin the FDA regulatory submission process in
198 early 2022 ^{xix}.

199 Of the other microbiome therapeutics presented in Table 2, the work underway by AOBiome is
200 striking in the sense it is the sole treatment intended for topical administration. AOBiome has
201 patented a strain of *Nitrosomonas eutropha* (D23), an ammonia-oxidising bacterium (AOB), as a
202 treatment for acne, pruritis/atopic dermatitis, and rosacea ^{xii}. AOB are thought to be natural
203 skin microbiota that have been depleted by modern hygiene practices in recent decades. There
204 is evidence that *Nitrosomonas eutropha* D23 has immunomodulatory actions through its
205 suppression of overactive helper T cell (type 2 CD4+) polarisation and production of
206 inflammatory cytokines (IL-5, IL-13, IL-4) [73]. This is the expected mechanism for the
207 probiotic's benefits in treatment of pruritis and eczema, the indication that AOBiome appear to
208 be prioritising in their clinical pipeline. Whilst *Nitrosomonas eutropha* D23 achieved positive
209 results in phase IIb trials in 2017, statistically reducing acne severity compared to a control,
210 AOBiome cites that its more recent work involving pruritis/eczema is currently being prioritised
211 over phase III trials for acne ^{xvi}. If successful in clinical trials, *Nitrosomonas eutropha* D23 will
212 become the first licensed probiotic for topical administration, marking a milestone for
213 dermatology.

214 Similarly striking, for its ability to produce a therapeutic effect without living microorganisms, is
215 the EDP1815 formulation from Evelo Biosciences (Table 2). EDP1815 is comprised of a single
216 inactivated strain of *Prevotella histicola*, a bacterium isolated from the duodenum of a healthy
217 donor [64]. Inactivated *P. histicola* has been found to modulate systemic inflammation through
218 the enteric immune system by interacting with antigen presenting cells at the small intestinal
219 epithelium. These interactions stimulate passage of intestinal immune cells to the mesenteric
220 lymph nodes and eventual modulation of systemic T cells. In this manner, EDP1815 can reduce
221 inflammation throughout the body without entering systemic circulation or colonising the gut
222 [64]. A 2021 press release from Evelo Biosciences has confirmed positive results for EDP1815 in
223 its phase II trials for psoriasis^{XX}. Based on a Bayesian analysis, the probability that EDP1815 was
224 superior to placebo was estimated as 80 - 90%. Interestingly, the 3 investigated doses found no
225 dose-response relationship, signifying that one capsule containing 0.8×10^{11} cells taken daily for
226 16 weeks was sufficient to elicit a clinically significant immune response. This cell count per
227 dose is similar to that in effective probiotic formulations, demonstrating that preserving the
228 viability of microorganisms may not always confer additional efficacy [28, 49]. EDP1815's
229 mechanism of action could provide inspiration for the development of other medicines based
230 on inactivated microbiota, which seek to instigate a systemic response via the microbiome
231 without altering microbial composition.

232 The challenges facing microbiome medicine

233 As highlighted, there is clearly substantial opportunity to prevent or treat human disease via
234 the microbiome. At present the number of disease-microbiome relationships described in the
235 literature far outnumbers the successful attempts to harness such relationships. One
236 contributory factor to the low translation rate of microbiome science to microbiome medicine
237 could be the substantial heterogeneity found between studies. Whereas a certain enterotype
238 or microbial species may be positively associated with a disease state in one study, in others it
239 could be negatively associated with the same pathology [7]. This uncertainty underlying the
240 basic science could dissuade drug developers from entering the field. Instead of focusing on
241 taxonomic signatures, it may be more effective to mechanistically explore disease-microbiome
242 relationships using functional analyses. Here, **omics** technologies could be leveraged to identify

243 causative relationships between the microbiome and pathophysiology [2, 9, 74, 75]. An
244 example of this is the discovery that a four-gene cluster within bacteria is responsible for the
245 synthesis of TMA; the cluster's abundance was subsequently correlated with plasma TMAO and
246 cardiovascular risk in humans [50].

247 Another barrier facing the approval of microbiome medicines is inappropriate study design. The
248 microbiome shows substantial inter-individual variability, therefore microbiome-targeted
249 therapeutics could be variably effective across populations [25]. Due to this, trial results could
250 show large variation unless patients can be screened for inclusion suitability prior to initiation.
251 This could involve identifying a target patient population based on the presence of a specific
252 microbiome signature, and only offering the treatment to this target population. This
253 methodology is used in other fields, for example in oncology trastuzumab (Herceptin) is only
254 used for tumours that overexpress human epidermal growth factor receptor-2 (HER2) [76]. In
255 addition, the microbiome is susceptible to alteration by many external factors, which could
256 confound the results of clinical trials [7]. Researchers should attempt to account for common
257 confounding variables relating to the microbiome in their studies, such as diet, medication use,
258 and lifestyle [77]. Doing so will provide more accurate representations of treatments'
259 outcomes. The effective dose of investigational treatments should also be thoroughly
260 investigated before and within human studies; insufficient dosing has been hypothesised as
261 contributing to the failure of three high profile bacteriophage studies (Table 1) [47]. Other
262 pertinent factors to consider before trials include the probability of food interactions and
263 production of anti-treatment antibodies, where the latter have been documented as occurring
264 in response to bacteriophage therapy [47, 78].

265 Concluding remarks and future perspectives

266 Considerable research activity over the last 20 years has defined modern understanding of
267 disease-microbiome relationships and illuminated the therapeutic potential of the microbiome.
268 Many types of therapeutics have been investigated to prevent or treat dysbiosis, however they
269 can be broadly classified into two groups: living microorganisms and non-living agents. Living
270 microorganisms are commonly known as probiotics, and non-living agents could include
271 prebiotics, postbiotics, peptides, inactivated microorganisms, small molecules, and

272 bacteriophages. The current global microbiome medicines pipeline demonstrates a major focus
273 on oral administration of probiotics for action in the GI tract. That said, instances of topical
274 formulations and non-living therapeutics are also presenting promising results. Whilst no
275 microbiome-targeted medicines have yet been approved as formal medicinal products, the
276 entry of several candidates into phase III clinical trials suggests that the first will soon enter the
277 market (see **Outstanding Questions**). The closest candidate to approval is likely Seres
278 Therapeutics' SER-109, an oral capsule containing Firmicutes spores for the treatment of rCDI,
279 as its FDA submission process is expected to begin in early 2022.

280 Looking towards the future, it is advisable that researchers focus on functional aspects of the
281 microbiome when designing new treatments. Therapeutics that target functional pathways
282 within the microbiome with clear links to pathophysiology are more likely to be successful than
283 those aimed at achieving a general shift in microbiome composition. Moreover, more attention
284 should be given to identifying target patient populations for new therapeutics, and potential
285 confounding variables in microbiome clinical studies. It is our prediction that the approval of
286 the first microbiome medicines will spark heightened innovation within the field. This
287 movement will be apparent by an increase in translational studies entering the literature and
288 an upswing in global investment into microbiome medicine. At present, the microbiome likely
289 holds many unexploited therapeutic opportunities. If targeted effectively, these opportunities
290 could be translated into commercially successful medicines that improve patients' lives by
291 addressing unmet clinical needs.

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Glossary

- **Antimicrobials:** an agent that results in microorganism death or prevention of growth.
- **Auxotrophism:** inability to synthesise own key nutrients.
- **Bacteriophages:** naturally occurring viruses composed of a nucleic acid molecule surrounded by a protein casing that can infect bacterial cells.
- ***Clostridioides difficile* infection:** a life-threatening form of dysbiosis in which intestinal *C. difficile* proliferate to dangerous levels, producing toxins and causing severe diarrhoea with intestinal damage.
- **Dysbiosis:** a state of imbalance in microbiome composition or functioning leading to promotion of host disease.
- **Enterotype:** a type of microbiome composition that can be identified across human populations and may be associated with specific phenotypes.
- **Faecal microbial transplant:** the transfer of faecal material from a healthy donor to the GI tract of a patient with dysbiosis.
- **International Council for Harmonization of Technical Requirements for Pharmaceuticals for Human Use:** a body recognised by the North American and European regulatory agencies that provides guidelines on proving medicines' quality, safety, and efficacy.
- **The microbiome:** The trillions of microorganisms that inhabit the human body and their theatre of activity, which encompasses environmental conditions, microbial metabolites, and microbial structural elements.
- **Microbiota:** living microorganisms within the microbiome, for example bacteria, protists, fungi, and archaea.
- **Omics:** technologies with the suffix -omics, such as transcriptomics, metabolomics, genomics, and metagenomics.
- **Postbiotics:** Preparations of inanimate microorganisms and/or their components that confer a health benefit on the host.
- **Prebiotic:** a substrate that is selectively utilised by host microorganisms conferring a health benefit.

- 322
- **Probiotic:** live microorganisms which when administered in adequate amounts confer a health benefit on the host.
- 323
- **Probiotic supplements:** products containing live microorganisms that are freely available to purchase by the public without professional advice.
- 324
- **Short chain fatty acids:** fatty acids with less than 6 carbon atoms; the main metabolites from bacterial fermentation of polysaccharides in the colon.
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- **Untargeted oral dosage forms:** orally administered formulations that do not deliver drugs to a specific region of the gastrointestinal tract, typically releasing drug in the stomach or small intestine.
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Resources

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574 Figure legends

575 Figure 1. The microbiome's pathophysiological role in the development of four diseases, in
576 which evidence underpinning the microbiome-disease relationship has been classed as strong
577 [7]. FXR: Farnesoid X receptor; GLP-1: Glucagon-like peptide-1; SCFAs: short chain fatty acids.

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