

1 **Use of simulation to visualise healthcare worker exposure to aerosol in the operating room**

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4 **Authors:**

5 Claire Frauenfelder<sup>1,,2 \*</sup>

6 Andrew Hall<sup>1</sup>

7 Bill Walsh<sup>1</sup>

8 Alasdair Ross<sup>1,3</sup>

9 Emma Broughton<sup>1,3</sup>

10 Robert E. Hynds<sup>4,5</sup>

11 Reema Nandi<sup>1</sup>

12 Prof Christopher O'Callaghan<sup>5</sup>

13 Colin R. Butler<sup>1, 6</sup>

14

15 **Affiliations:**

16 <sup>1</sup> Great Ormond Street Hospital for Children NHS Foundation Trust, London, UK

17 <sup>2</sup> Division of Surgery, University of Adelaide, South Australia

18 <sup>3</sup> Great Ormond Street Hospital for Children Clinical Simulation Centre

19 University College London, UCL Respiratory - Lungs for Living Research Centre, London, UK

20 <sup>4</sup> University College London Cancer Institute, CRUK Lung Cancer Centre of Excellence, London,

21 UK

22 <sup>5</sup> University College London Institute of Child Health, Infection, Immunity & Inflammation,

23 London, UK

24 <sup>6</sup> UCL Great Ormond Street Institute of Child Health, London, UK

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26

27 **Corresponding Author:**

28 Dr Claire Frauenfelder

29 Claire.frauenfelder@adelaide.edu.au

30 @CFrau

31 c/Department of Paediatric Otolaryngology

32 Great Ormond Street Hospital

33 London, United Kingdom, WC1N 3JH

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37 Summary statement

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40 Simulation resources offer an opportunity to highlight aerosol dispersion within the operating

41 room environment. We demonstrate our methodology with a supporting video that can offer

42 operating room teams support in their practical understanding of aerosol exposure and the

43 importance of personal protective equipment.

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53 Protecting staff from occupational exposure to aerosol dispersed from the airway has become a  
54 priority during the COVID-19 pandemic due to the infection risk posed by viral deposition.

55 Healthcare worker (HCW) SARS-CoV-2 infection rates worldwide are concerning with evidence  
56 of high rates of seroconversion in up to 45% of staff in high-prevalence areas. While droplet

57 and contact spread are the main modes of transmission, substantial concerns remain for

58 healthcare staff during aerosol-generating procedures. The paucity of literature describing

59 movement of aerosols in this environment prevents development of an evidence-based

60 approach to personal protective equipment (PPE) requirements for operating room teams.

61 Simulation offers an opportunity for operating room teams to develop a greater awareness and

62 understanding of aerosol spread within this setting.

63

64 We present our method and supporting video highlighting our visual model of aerosol

65 dispersion from a simulated patient in the operating room with the aim to inform healthcare

66 workers, policymakers, and non-clinical researchers tackling this issue. It was developed

67 through a multi-disciplinary group collaborating between otolaryngology, anaesthesiology,

68 simulation and respiratory medicine. The model mimics normal tidal breathing during routine

69 airway procedures. By using light sources to visualize the aerosol plume we are able to

70 demonstrate the efficacy of several interventions aimed at reducing occupational exposure to

71 aerosols.

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74 Methodology

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76 Simulations were undertaken in a standard operating room (7.2m x 6m x 3m) with laminar flow.

77 Relative humidity was 42.8%. Temperature was 22.1°C.

78

79 A GE Carestation 650 anaesthesia machine (GE medical systems, Illinois, USA) simulated

80 spontaneous expiration. The breathing circuit (Intersurgical anaesthetic breathing system 3.2M,

81 Intersurgical LTR, Berkshire, UK) was attached to the distal end of a TruCorp AirSim Child

82 Combo X mannequin (Trucorp, Lurgan, Northern Ireland) trachea. Pressure control ventilation

83 parameters were selected to best mimic physiological expiration in a spontaneously breathing

84 5-year old, 18 kg child. A spirometer (SPIRO, Philips Medizin System, Boeblingen, Germany) was

85 positioned distal to the breathing circuit to measure the expired minute volume generated. An

86 inspired pressure of 5cmH<sub>2</sub>O was selected on the anaesthetic machine to mimic the low

87 positive pressure generated in the alveoli during passive expiration. A rate of 20 breaths per

88 minute and an I:E ratio of 2:1 was selected. The reverse ratio was used as the ventilator

89 inspiratory time was simulating mannequin expiration. A simulated expiratory phase of 2

90 seconds was produced. With these ventilation parameters, fresh gas flow was titrated to

91 measured expiratory volume. A flow fresh gas flow of 1.2 L/min of air generated an expired

92 tidal volume of 148 ml and an expired minute volume of 2.97 L/min. Although these volumes

93 are representative, the decelerating flowrate pattern of the 'closed lung' was not demonstrated

94 with this model.

95

96 An aerosol delivery system (Aerogen Pro-X Controller, Aerogen Ltd, Galway, Ireland) was also  
97 incorporated into the circuit, combining aerosolized water into the exhaled breath. This has a  
98 mass median aerodynamic diameter range of 1-5  $\mu\text{m}$  without providing additional flow to the  
99 circuit. Aerosol distribution was visualised and recorded in front of a black screen with  
100 backlighting with both white light or utilising a DeWalt DW088 cross-hair green laser beam  
101 (wavelength 630-680 nm).

102

103 Examples included were: airway manoeuvres (jaw-thrust), pre-oxygenation, endotracheal tube  
104 cuff inflation, and laryngeal mask airways (See video, Supplementary Digital Content 1,  
105 healthcare worker exposure to aerosol in the operating room & see transcript, Supplementary  
106 Digital Content 2, transcript of video voiceover).

107

108 Video recordings of procedures performed on the model demonstrate the dispersion of the  
109 aerosol plume and potential operator exposure with the mannequin head appropriately  
110 orientated according to the intended procedure. White light from dual sources was used to  
111 enhance visualization of local aerosol distribution (See Figure, Supplementary Digital Content 3,  
112 Comparison of aerosol dispersal around airway devices in the operating theatre), whilst a green  
113 laser light source generating 2D plane light sheet demonstrated aerosol movement along  
114 eddies of turbulent operating theatre airflow.

115

116 Interventions such as the application of a facemask markedly reduced the aerosol exposure of  
117 the healthcare worker. The use of a cuffed endotracheal tube offered a striking reduction in

118 dispersion. These videos have been promoted locally to inform healthcare providers. Although  
119 limited as a qualitative, non-validated demonstration of an airway plume, our experience is  
120 through making '*the invisible*' now '*visible*', the importance of correct usage of personal  
121 protective equipment is further emphasized. The equipment required was sourced within our  
122 existing hospital resources and applying our methodology through 'in situ' simulation may allow  
123 others to utilise within healthcare worker education.

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125 The COVID-19 pandemic has raised awareness of aerosol dispersion in hospitals, particularly  
126 during routine medical procedures. Correlating aerosol exposure and risk of infection will be  
127 key to developing safe, effective techniques to minimise healthcare worker exposure to  
128 infection. As healthcare services recover from the pandemic peak, vigilance in the use of  
129 protective measures should be maintained, but in order to return to capacity, quantitative  
130 studies are now required to inform evidence-based guidelines and policies that define  
131 appropriate ways to maintain safe practice as we up-scale services with sustainable use of PPE.