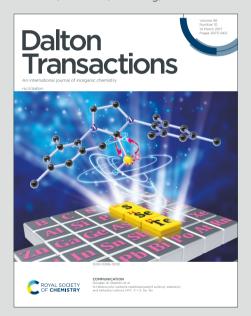
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Layered terbium hydroxides for simultaneous drug delivery and

imaging

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Abstract

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Layered rare-earth hydroxides have begun to gather increasing attention as potential theranostic platforms owing to their extensive intercalation chemistry combined with magnetic and fluorescent properties. In this work, the potential of layered terbium hydroxide (LTbH) as a platform for simultaneous drug delivery and fluorescence imaging was evaluated. LTbH-Cl ([Tb₂(OH)₅]Cl·yH₂O) was loaded with three nonsteroidal anti-inflammatory drugs (diclofenac, ibuprofen, and naproxen) via ion-exchange. Drug release studies in phosphate buffered saline (pH = 7.4) revealed all three formulations release their drug cargo rapidly over the course of approximately 5 hours. In addition, solid state fluorescence studies indicated that fluorescence intensity is strongly dependent on the identity of the guest anion. It was postulated that this feature may be used to track the extent of drug release from the formulation, which was subsequently successfully demonstrated for the ibuprofen loaded LTbH. Overall, LTbH exhibits good biocompatibility, high drug loading, and a strong, guest-dependent fluorescence signal, all of which are desirable qualities for theranostic applications.

Introduction

Development of theranostic platforms requires multifunctional materials, able to execute tasks such as carrying drug cargo and acting as contrast, photothermal or photodynamic agents. The use of inorganic systems to achieve this goal exploits the magnetic and fluorescence properties inherent to the metals used and can substantially simplify the synthetic process and performance outcomes compared to organic counterparts. For example, inorganic systems can exhibit strong magnetic resonance imaging (MRI) contrast simply by inclusion of paramagnetic iron (II/III) or gadolinium (III) species, and the addition of a drug moiety can often be performed in a 'one-pot' manner during synthesis.^{1–3} On the other hand, fully organic MRI theranostics (particularly those based on paramagnetic nitroxide), have historically been beset by poor relaxivity and short circulation times

that have so far limited their use in clinical settings.⁴ More recently, macromolecular polygraphic formulations conjugated to paramagnetic nitroxide have shown greatly improved performance comparable to commercially available gadolinium based contrast agents,^{5,6} though this enhancement in performance results in a significantly more involved, multi-step, synthetic procedure.

Materials which possess a layered internal structure are particularly attractive as candidates for theranostic platforms due to their versatility. In some cases, the basal spacing between the layers can vary widely depending on the size of the guest anion⁷ which allows a variety of species, such as drug anions, to be intercalated. Intercalation of drugs into a layered material can be achieved by several routes – most commonly by ion exchange (diffusion of drug ions from solution into the interlayer space)⁸⁻¹¹ and co-precipitation (synthesis in the presence of neutral or ionic drug species),¹²⁻¹⁵ but also reconstruction (calcination and reaction with drug solution),^{16,17} and exfoliation-reassembly (separation of layered material into sheets, and reassembly in drug solution).^{18,19} There exist many layered systems which are comprised of negatively charged layers and are therefore cation-exchangeable, for example metal oxides,²⁰ metal phosphates,²¹ and aluminophosphates.^{22,23} However, layered systems which are able to undergo anion exchange are fewer in number.

The most widely studied anion-exchangeable class of layered materials are the layered double hydroxides (LDHs). They consist of positively charged mixed-metal hydroxide sheets built up from edge-sharing octahedra. Research into the medical applications of LDHs has been fairly extensive, and they are well known to be highly biocompatible. Per Reported intercalates of LDHs include a variety of therapeutic guest species, most notably anti-cancer drugs, T-30 but also antimicrobials, Their ion-exchange properties have also made LDHs attractive for non-medical applications such as heterogeneous catalysis, Their ion-exchange properties have also pollutants from water and waste, T-44 and as materials for supercapacitor electrodes.

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Another class of anion-exchangeable layered materials are the layered rare-earth hydroxides (LRHs). LRHs have the general formula $[R_2(OH)_{6-m}](A^n_{m/n}) \cdot yH_2O$, where R is a rare-earth metal ion, and A is an intercalated counterion. Structurally, they are similar to LDHs but the comprising sheets are more complicated because the rare-earth metal ions form two distinct coordination polyhedra, with 8 and 9 vertices. The ability of LRHs to exhibit magnetic and fluorescent properties arising from the rare-earth metal ions they contain has fostered increasing interest in their applications in medical imaging, 48,49 as optical sensors, 50–53 and as tuneable phosphors. In addition, like LDHs, their layered structure also allows LRHs to be used in conventional applications such as sequestration and catalysis. The versatility of features that LRHs can exhibit makes them especially attractive as theranostic agents.

A promising theranostic platform based on layered gadolinium hydroxide (LGdH-Cl, $[Gd_2(OH)_5]Cl\cdot yH_2O)$ has been reported previously by our group. A mixture of nano and microparticles of the material was synthesised from a gadolinium chloride salt via hydrothermal synthesis. Through several methods, including ion exchange and co-precipitation, it was shown that drug ion intercalation into LGdH was facile using diclofenac, ibuprofen, and naproxen as model drugs. The drug-particle composites were shown to be unstable in acidic media, releasing toxic Gd^{3+} ions, but stable in neutral media and thus potentially suitable for oral delivery with an enteric coating. In terms of MRI performance, LGdH and all of the drug intercalate compounds were shown to be able to act as negative contrast agents (reducing T_2 MRI signal intensity). In addition, the materials were shown to be cytocompatible.

Other investigations into the use of LRH intercalates for diagnostic and drug delivery applications have so far been limited. LGdH and LDyH have been previously successfully intercalated with several amino acids and the antibiotic nalidixic acid,⁶⁰ but further performance assessments were not conducted. LGdH has also been intercalated with microRNA and shown to exhibit strong magnetic resonance contrast as well as intracellular therapeutic agent delivery in a breast cancer cell line.⁶¹

Gu et al. reported that the mixed metal LRH (LGd_{0.95}Eu_{0.05}H) intercalated with amino acids showed acide online reduction in Ln³⁺ fluorescence signal intensity, which the researchers suggested may be useful for diagnostic sensing uses. Further work by this group developed LEuH intercalated with naproxen (nap), and LTbH intercalated with aspirin, diclofenac, and indomethacin (asa, dic, and imc). Sa-65 It was demonstrated that LEuH-nap and the LTbH-drug intercalates have high biocompatibility (> 80%) in multiple human cancer cell lines at concentrations up to $100 \, \mu g$ / ml. The majority of drug was released from LEuH-nap and LTbH-asa in the first 3 hours, whereas LTbH-dic and LTbH-imc showed sustained release over 25 h. It was found also that LEuH and LTbH showed vastly increased luminescence intensities after intercalation with nap and asa respectively, owing to efficient energy transfer between the host and guest species.

Most recently, polymer composites of LRHs derived from Eu and Tb were investigated for their application in a 3D printed skin-like motion sensor.⁶⁶ It was found that that, by varying the molar content of Eu and Tb, the fluorescence emission colour of the resultant 3D printed hydrogels can be adjusted to be red, yellow, or green.⁶⁶ This high degree of fluorescence tunability provides a simple route to adjust the fluorescence properties of LRHs for different applications, for example to avoid overlap with autofluorescence in tissues.

The work described in this paper considers the layered terbium hydroxide (LTbH-Cl, $[Tb_2(OH)_5]Cl \cdot yH_2O$, Figure 1a) as a potential theranostic platform. The anti-inflammatory drugs diclofenac (dic), ibuprofen (ibu), and naproxen (nap, Figure 1b) are intercalated into LTbH-Cl *via* ion exchange to form LTbH-dic, LTbH-ibu, and LTbH-nap respectively. These intercalation compounds are characterised and their performance in terms of drug release, fluorescence, and biocompatibility is investigated.

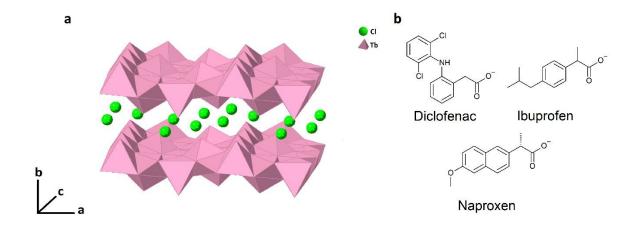


Figure 1 a.) A schematic diagram showing the lamellar structure of LTbH with intercalated Cl⁻ anions. b.) The chemical structures of the anions of diclofenac, ibuprofen, and naproxen.

Experimental

Materials

Terbium chloride hexahydrate and ibuprofen sodium were purchased from Sigma Aldrich. Diclofenac sodium was sourced from Cambridge Bioscience, and naproxen sodium from Fisher Scientific UK. All water used was deionised, and ethanol was of analytical grade.

Hydrothermal synthesis of layered terbium hydroxide (LTbH-Cl)

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An aqueous solution of TbCl₃.6H₂O (0.4 M, 15 ml) was added dropwise to a stirring aqueous solution of NaCl/NaOH (1.4 M and 2.1 M respectively, 5 ml). The resultant suspension was stirred for 10 min, and transferred to a Teflon lined hydrothermal reactor (23 ml). The reactor was heated to 150 °C for 15 h, at a heating and cooling rate of 10 °C / min, after which the white precipitate was collected by centrifugation. The product was washed with water (2 x 30 ml) and ethanol (2 x 30 ml), and subsequently dried at 60 °C for 24 h to yield the product, $[Tb_2(OH)_5]Cl\cdot yH_2O$ (LTbH-Cl).

Ion exchange reactions

LTbH-Cl (150 mg) was dispersed in water (15 ml), and the drug of interest was added to this solution in a three-fold molar excess (223 mg for ibuprofen sodium, 310 mg for diclofenac sodium, and 246 mg for naproxen sodium. The mixture was heated at 60 °C for 24 h, with stirring. The product was collected by centrifugation, washed with water (2 x 30 ml) and ethanol (2x 30 ml), and subsequently dried at 60 °C for 24 h.

As diclofenac is poorly soluble in water, the intercalation mixtures contained a large amount of undissolved drug. However, it is highly soluble in ethanol and any remaining undissolved drug was removed in the washing step. The poor drug solubility during intercalation did not affect final drug loading.

Characterisation

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Scanning electron microscopy (SEM)

Samples were sputter coated with gold and imaged using a JEOL JSM-6701F field emission scanning electron microscope. Particle size analysis on the resulting images was performed manually using ImageJ 1.52a software, and the results presented as mean ± standard deviation. The number of particles measured was 905, 771, 651, and 634 for LTbH-Cl, LTbH-dic, LTbH-ibu, LTbH-nap respectively.

Dynamic light scattering (DLS)

The hydrodynamic size and zeta potential of the LTbH particles were analysed using a Zetasizer Ultra instrument and ZS Xplorer software (Malvern Panalytical). Suspensions of the particles (0.1 mg / ml) were prepared by re-dispersing the samples in water by ultrasonication (5 min). Disposable folded capillary zeta cells (DTS1070, Malvern Panalytical) were used. Each suspension was measured in triplicate, and the results are given as mean ± standard deviation.

X-ray diffraction (XRD)

X-ray diffraction analysis was performed using a Rigaku Miniflex 600 diffractometer with Cu K α radiation ($\lambda = 1.5418$ Å). Patterns were collected over the 2 θ range 3-60°, at 40 kV and 15 mA.

Fourier-transform infrared spectroscopy (FTIR)

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Fourier transform infrared spectra were collected on a Perkin Elmer Spectrum 100 FTIR spectrometer. Spectra were collected over the range 650-4000 cm⁻¹ at a resolution of 1 cm⁻¹ and four scans.

Elemental Microanalysis

Carbon/hydrogen/nitrogen content analysis was performed using flash combustion on a Carlo Erba CE1108 elemental analyser.

Thermogravimetric analysis (TGA)

Thermogravimetric analysis was performed using a TA instruments Discovery TGA instrument controlled by the TA Trios software. The samples were heated from 40-900 °C at a rate of 20 °C / min, under nitrogen gas at a flow rate of 25 ml / min.

Cytotoxicity assay

To assess the cytotoxicity of the formulations, human colorectal adenocarcinoma cells (Caco-2) and human embryonic kidney cells (HEK 293) were used. Caco-2 cells were cultured at 37 °C under 5 % CO₂, in high glucose Dulbecco's modified Eagle's medium (DMEM-HG, 435 ml, Sigma Aldrich) supplemented with the following solutions: penicillin-streptomycin (5 ml, Life Technologies), L-glutamine (5 ml, Life Technologies), non-essential amino acid solution (5 ml, Life Technologies), and foetal bovine serum (50 ml, Gibco). HEK-293 cells were cultured at 37 °C under 5 % CO₂, in Dulbecco's modified Eagle's medium (DMEM, 440 ml, Sigma Aldrich) supplemented with the following solutions: penicillin-streptomycin (5 ml, Life Technologies), L-glutamine (5 ml, Life Technologies), and foetal bovine serum (50 ml, Gibco). The cell cultures were passaged at 50-75 % confluence by treatment with trypsin solution (0.25 % in EDTA, 2 ml, Sigma Aldrich). For cell viability experiments, the passage numbers used were 73-76 (Caco-2) and 9-12 (HEK 293).

Cell viability assessments were conducted using the CellTiter-Glo[™] assay, in white 96-well plates (Corning). Cell suspension was prepared at a concentration of 5.6×10^4 cells / ml, and deposited into the wells of the plate (180 µl each). To measure the cytotoxicity of the LTbH-drug intercalates, suspensions of LTbH-X (X = Cl, dic, ibu, nap) in culture medium (10 mg / ml) were prepared, and either 5 or 10 µl of these suspensions were added directly to the cells. To measure the cytotoxicity of the pure drug counterparts, solutions of each drug in culture medium (3 mg / ml, approximately equivalent to the amount present in the LTbH-X suspensions assuming a 30 % drug loading) were prepared, and either 5 or 10 µl of these suspensions were added directly to the cells. For background luminescence measurements, wells were prepared using only cell culture medium in place of cell suspension. For control cell luminescence measurements, wells were prepared by using cell culture medium in place of LTbH-X suspensions. The plates were then incubated at 37 °C for 24 h. After incubation, CellTiter-Glo[™] 2.0 assay reagent (100 µl, Promega) was added to each well, and left to react at room temperature for 30 min. The luminescence of the plates was read over the range 250–850 nm using a SpectraMax M2e microplate reader. Cell viability for each well was calculated according to the equation:

$$\label{eq:Viability} \textit{Viability (\%)} = \frac{\textit{(Sample luminescence} - background luminescence)}}{\textit{(Control luminescence} - background luminescence)}} x \ 100 \ \# (1)$$

Three independent experiments were performed, each with treatment conditions in triplicate online results presented as mean ± standard deviation.

Haemolysis assay

To assess the haemolytic activity of the formulations, an assay was performed using blood from adult female Wistar rats. Fresh whole blood (3.5 ml) was first centrifuged to separate the red blood cells (RBCs) from the plasma and buffy coat layers, which were discarded. The RBCs were then washed with Dulbecco's phosphate buffered saline (DPBS, 6 ml, Sigma Aldrich) three times, until the supernatant was colourless. The RBC suspension was then diluted with DPBS to a total volume of 50 ml.

Haemolysis assessments were conducted in clear 96-well plates (Corning). The RBC suspension was deposited into the wells of the plate (180 μ l each). To measure the haemolytic activity of the LTbH-drug intercalates, suspensions of LTbH-X (X = Cl, dic, ibu, nap) in DPBS (10 mg / ml) were prepared, and either 5 or 10 μ l of these suspensions were added directly to the cells. For negative control haemolysis measurements, wells were prepared by using DPBS in place of LTbH-X suspensions. For positive control haemolysis measurements, wells were prepared by using a solution of Triton-X in DPBS (10 % v/v) in place of LTbH-X suspensions. The plates were then incubated at 37 °C for 1 h. After incubation, the microplates were centrifuged, and 100 μ l of the supernatant from each well was transferred to a clean microplate. The absorbance of the plates at 540 nm was read using a SpectraMax M2e microplate reader. Extent of haemolysis for each well was calculated according to the equation:

$$Haemolysis (\%) = \frac{(Sample \ absorbance)}{(Positive \ control \ absorbance)} x \ 100 \ \#(2)$$

Three independent experiments were performed, each in triplicate, and results are presented as mean ± standard deviation.

Stability assay

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Stability of LTbH-Cl in aqueous suspension was evaluated over a range of pH values (pH = 1.5 - 10, in intervals of 0.5) using an Arsenazo-3 (AS-3) assay. A stock solution of AS-3 in water was prepared (2.5 mM), and stored in a container wrapped with foil until required. A calibration curve was generated using TbCl₃.6H₂O in distilled water. In a typical experiment, 5 mg of LTbH-Cl was suspended in 10 ml of distilled water (acidified or basified to the required pH using HCl or NaOH solutions respectively) and stirred at 37 °C for 24 h.

After time intervals of 2 h and 24 h, samples of the supernatant (50 μ l) were collected and were deposited into a clear 96-well plate. To each well AS-3 solution (50 μ l) and distilled water (200 μ l) were added. 50 μ l of the resulting solution was then transferred to another well plate, and diluted further by adding distilled water (200 μ l). Background absorbance wells were prepared with distilled water in place of sample solution. Absorbance at 652 nm was read using a SpectraMax M2e microplate reader. The measured absorbance values were first corrected by subtracting the average background absorbance, and subsequently converted to concentration of Tb³+ ions using the calibration curve. Finally, the extent of dissolution for each sample was calculated as follows:

Dissolution (%) =
$$\frac{\left[Tb^{3} + sample\right]}{\left[Tb^{3} + max\right]} \times 100 \#(3)$$

The concentration [Tb³⁺ max] is the maximum possible concentration of Tb³⁺ ions if 5 mg of LTbH-Cl was completely dissolved in 10 ml of water. In this case, this value was calculated based on the formula

 $[Tb_2(OH)_5]Cl_{0.9}(CO_3)_{0.05}.1.5H_2O$ with a molecular mass of 464.82 g mol⁻¹, which gives $[Tb^{3+}$ max] = 2.159 Micle Online mM. Three independent experiments were performed, each in triplicate, and results are given as mean \pm standard deviation.

In order to investigate the effect of the change in pH on the performance of the AS-3 assay, supernatant from the suspensions of LTbH-Cl at pH 1.5 and pH 7.4 was removed after 24 hours of incubation. This supernatant was reacted with AS-3 and diluted as described above. Negative control solutions (unbound AS-3) were prepared with distilled water in place of sample supernatant. Positive control solutions (bound AS-3) were prepared with aqueous solution of $TbCl_3.6H_2O$ in place of sample supernatant. Absorbance was then recorded over the wavelength range 400-800 nm.

To assess the effect of pH change on the absorbance at 652 nm specifically, a single-point experiment was performed in which solutions of $TbCl_3.6H_2O$ (1.25 mM) in water at the appropriate pH were reacted with AS-3 and diluted as described above. The absorbance of each sample was then measured at 652 nm. Three independent experiments were performed, each in triplicate, and results are given as mean \pm standard deviation.

Drug release

Low-volume drug release studies were conducted using a dialysis approach, with phosphate buffered saline (PBS, pH = 7.4) used as the release medium. The medium was prepared by dissolving 1 PBS tablet (Sigma Aldrich) in water (200 ml) and warming to 37 °C immediately prior to use. In a typical experiment, LTbH-drug intercalate (5 mg) was suspended in release medium (5 ml) and sealed in a length of dialysis tubing (3500 MWCO, Fisher Scientific). The sealed dialysis parcel was transferred to a centrifuge tube (50 ml) containing release medium (30 ml). The centrifuge tubes were placed in an incubator shaker at 50 rpm and 37 °C. Aliquots (100 μ l) were taken at predetermined time intervals, and analysed by UV-vis spectroscopy (Cary 100 spectrometer, Agilent) in a quartz semi-micro cell (Agilent) to determine absorbance. The measurements were conducted at λ_{max} values of 276 nm (diclofenac), 222 nm (ibuprofen) or 224 nm (naproxen). Absorbance was converted to drug concentration using calibration curves generated using solutions of pure drug salts in PBS. Release experiments were performed in triplicate, and results presented as a mean \pm standard deviation.

Truncated drug release experiments were preformed using LTbH-ibu in groups of four samples per experiment. Each sample was set up as described above, and designated to be terminated at one of the following time points: 15, 30, 60, or 270 minutes. The LTbH-ibu suspension was taken from the dialysis bag at the required time, and the supernatant of the suspension was removed immediately by centrifugation. The remaining solids were dried in an oven at 60 °C for 24 h, and subsequently analysed by solid state photoluminescence. Three independent experiments were performed.

Solid state photoluminescence

Photoluminescence measurements were performed on a Renishaw inVia Qontor microscope at an excitation wavelength of 325 nm, at 1 % laser intensity to prevent sample degradation. Measurements were taken over the range 326 - 700 nm at a resolution of 0.026 nm.

In order to determine the extent of drug release for the truncated drug release experiments, emission spectra were first normalised to the intensity at 542 nm (arising from the $^5D_4 \rightarrow ^7F_5$ electronic transition in Tb^{3+}). The intensity of each spectrum at 512 nm (a wavelength chosen because it shows

a marked change in intensity before and after intercalation of ibuprofen) was then used to calculate intercalation of ibuprofen was the calculate intercalation of ib

$$Cumulative release (\%) = 100 - \left[\frac{(Sample intensity - negative control intensity)}{(Positive control intensity - negative control intensity)} x 100 \right]$$
(4)

The negative control is the intensity (at 512 nm) of LTbH-Cl (which represents a theoretical cumulative release of 100 %), and the positive control is the intensity (at 512 nm) of LTbH-ibu (which represents a theoretical cumulative release of 0 %).

Statistical analysis

One-way analysis of variance (ANOVA) was used to determine the statistical significance (at the p = 0.05 level) in the difference of means for viability and particle size measurements. Either a Tukey or Fisher's LSD (if sample means had unequal variance) post hoc test was used to determine which sample means differed significantly from the control.

Results and Discussion

SEM

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SEM images of LTbH-Cl and LTbH-drug intercalate particles are shown in Figure 2. In all formulations, the particles exhibit rod and plate shaped morphologies, with individual particle size ranging from around 100 nm up to 4.5 μ m (Figure 2, Table 1). The average particle size measured for LTbH-Cl was 670 \pm 564 nm. It can be seen that the morphology becomes less regular after intercalation. The particle sizes are similar to those previously reported for LGdH-Cl prepared using an identical synthetic protocol (which had sizes 100 nm - 2 μ m).²

The particles were seen to aggregate to some extent in all formulations, but this was particularly evident for LTbH-ibu and LTbH-nap, with aggregates as large as 15 μ m (Figure S1). Statistical analysis indicated that there is no significant difference in the particle size before and after drug intercalation, except in the case of LTbH-ibu where average particle size appears to increase relative to LTbH-Cl. This is in contrast to what was reported for LGdH-Cl and its intercalation compounds, which were found to have decreased particle size after ion exchange. However, this difference is most likely to be a result of particle aggregation, which increases the average size of the particles seen in SEM images, rather than a true increase in the primary particle size.

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Figure 2 SEM images of the LTbH-Cl and LTbH-drug intercalates.

DLS

DLS was used to determine the hydrodynamic size and zeta potential of aqueous suspensions of LTbH-Cl and LTbH-drug intercalates (Figure S2, Table 1). The hydrodynamic diameter of the particles is approximately 100 nm larger than the size measured from SEM images, which is to be expected as this diameter includes solvent molecules associated with the particles being measured. Therefore, the DLS and SEM data are in good agreement. All samples appear to exhibit some polydispersity, and in most cases a secondary population peak can be seen at around 5-6 microns (Figure S2). In all cases, the zeta potential of the suspended particles was positive, indicating a positively charged surface (as would be expected for LRH materials). For LTbH-dic, the zeta potential was markedly lower than the other samples, which suggests it is less stable with respect to aggregation in aqueous suspension. This is believed to be related to the highly hydrophobic nature of diclofenac and may explain why the hydrodynamic diameter measured for LTbH-dic was larger than that of the other samples.

Table 1 Summary of the data obtained by SEM and DLS. Data are shown as mean ± S.D. from three independent experimentsticle Online
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Sample Size from SEM Intensity Polydispersity index Zeta potential

Sample	Size from SEM (nm)	Intensity distribution size from DLS (nm)	Polydispersity index	Zeta potential (mV)
LTbH-Cl	670 ± 564	754 ± 58	0.38 ± 0.08	+ 28.7 ± 0.2
LTbH-dic	614 ± 480	903 ± 78	0.41 ± 0.07	+ 8.0 ± 0.4
LTbH-ibu	753 ± 474	858 ± 66	0.45 ± 0.05	+ 36.4 ± 0.4
LTbH-nap	730 ± 526	819 ± 40	0.49 ± 0.06	+ 31.6 ± 0.4

XRD

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XRD patterns of the starting materials and the drug intercalation compounds of LTbH obtained by ion exchange are depicted in Figure 3. For LTbH-Cl, the pattern contains no residual peaks from the parent terbium salt, showing that a full conversion has taken place. The pattern is consistent with the calculated pattern based on the analogous reported structure⁶⁷ for an ytterbium based LRH. In all cases, the presence of strong basal reflections confirms the layered structure of LTbH. In the drug intercalation compounds, the basal reflections are broader and weaker compared to LTbH-Cl, indicating that a loss in crystallinity occurs as a result of the drug anion intercalation. Additionally, the reflections are seen to shift to lower diffraction angles, which is consistent with the layers moving farther apart to accommodate the larger drug anions.^{2,68} The reflections arising from LTbH-Cl and the drugs used for intercalation are not visible in the patterns for LTbH-dic, LTbH-ibu, and LTbH-nap, confirming that ion exchange was successful.

All intercalation compounds appear to be phase pure. The interlayer spacing for LTbH-Cl, LTbH-dic, LTbH-ibu, and LTbH-nap was calculated to be 8.35, 22.01, 25.27 and 22.04 Å respectively. In the case of LTbH-dic and LTbH-nap, these values are consistent with previous reports for LTbH-dic⁶⁵ and for similar LGdH systems.² A previously reported LGdH-ibu² material prepared by ion exchange revealed that two phases formed, with interlayer spacings of 23.46 and 20.73 Å. These are somewhat lower than the interlayer spacing found for LTbH-ibu (25.27 Å). All intercalates can be indexed to the orthorhombic Pca2₁ space group, and their refined unit cell parameters are summarised in Table 2.

Table 2 Summary of (010) reflection positions and unit cell parameters of LTbH-Cl and LTbH-drug intercalates.

Material	(010) 2θ /°	a/Å	b/Å	c/Å
LTbH-Cl	10.60	12.66 ± 0.01	8.33 ± 0.01	7.03 ± 0.01
LTbH-dic	4.00	12.37 ± 0.06	22.06 ± 0.07	7.04 ± 0.04
LTbH-ibu	3.52	12.37 ± 0.01	25.28 ± 0.03	7.01 ± 0.01
LTbH-nap	3.98	12.41 ± 0.02	22.04 ± 0.03	7.02 ± 0.01

Based on a model using the previously determined structure of an analogous ytterbium based LRH system,⁶⁷ the single layer thickness of LTbH can be approximated as 6.0 Å. Using this value, the gallery heights in LTbH-dic, LTbH-ibu, and LTbH-nap are approximately 16.1, 19.1, and 16.2 Å respectively. These values are 1.3-1.4 times greater than the molecular dimensions of dic and nap (11.8 Å, 10.3 Å)^{2,69} and 1.9 times greater than that of ibu (12.9 Å).² This strongly suggests that the anions within the interlayer space adopt an intertwined bilayer arrangement (Figure 4), which has been previously posited for LRH systems.^{2,70} The greater interlayer distance noted with ibu is likely due to the amphipathic nature of the ibu molecule, which leads to a surfactant-like arrangement in which the hydrophobic hydrocarbon chains are clustered in the middle of the interlayer space while the hydrophilic anionic groups interact with the positive LRH layers above and below. In contrast, dic and

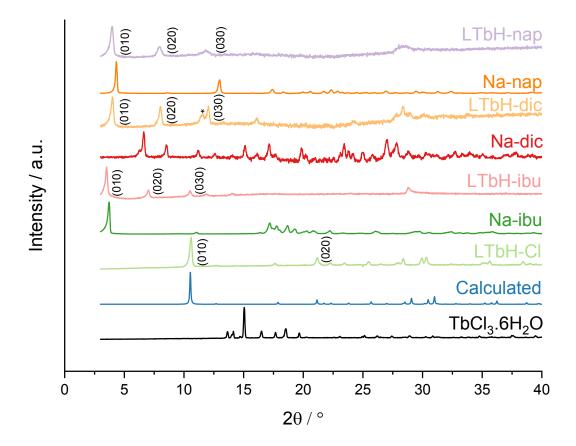


Figure 3 XRD patterns for starting materials and intercalation compounds of LTbH prepared by ion exchange. *: The (030) reflection of LTbH-dic is seen to partially overlap with the (011) reflection. Calculated pattern based on LYbH-Cl (ICSD collection code 419745).

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Figure 4 Schematic diagram illustrating the bilayer arrangement of intercalated diclofenac within the LTbH interlayer gallery.

FTIR

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The FTIR spectra for all obtained intercalation compounds and reagents used are shown in Figure 5. The precursor compound LTbH-Cl exhibits multiple broad stretches over the range 3652-3336 cm⁻¹ due to O-H stretching vibrations arising from intercalated water molecules and hydroxide groups coordinated to Tb^{3+} . An additional band at 1652 cm⁻¹ is seen (Figure 5a), which is attributed to O-H bending vibrations. This is consistent with values reported for a similar system based on gadolinium.² In addition, the stretch at 1616 cm⁻¹, associated with the O-H δ -bend in $TbCl_3.6H_2O$, is not seen, which confirms that no residual reagent is present.

All drug intercalation compounds exhibit the broad O-H stretching seen in LTbH-Cl. Additionally, the intercalates show similar features to the pure drug spectra, particularly in the fingerprint region. In some cases, the band positions in the drug intercalates are shifted to lower wavenumbers. This is particularly evident in the LTbH-nap intercalate, where the 1578 cm⁻¹ carboxylate band is seen to shift to 1542 cm⁻¹ after intercalation (Figure 5b). These shifts are a result of electrostatic interactions between the anionic carboxylate groups on the drug and the cationic LRH layers⁶⁸.

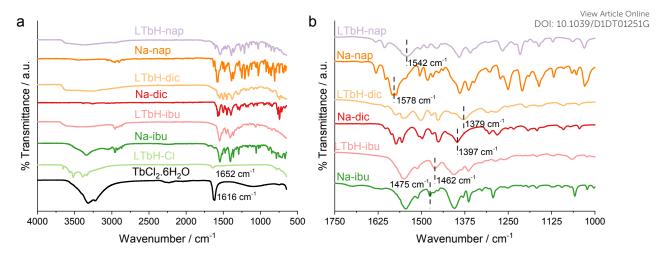


Figure 5 a.) FTIR spectra for starting materials and the intercalation compounds of LTbH prepared by ion exchange. b.) Enlarged view showing band shifting in LTbH-drug intercalate spectra relative to the pure drug spectra.

Elemental analysis and TGA data (Table S1, Figure S3) were used to calculate formulae of the intercalation compounds (Table 3). It is presumed that residual Cl⁻ anions are present in the structures of LTbH-dic and LTbH-nap, due to incomplete ion exchange. This leads to drug anions and Cl⁻ anions being distributed within the same layers of LTbH,² as there is no evidence of any remaining LTbH-Cl phase present in the respective XRD patterns. In addition, in the case of LTbH-Cl and LTbH-dic, the elemental analysis data indicate the presence of CO_3^{2-} anions between the layers. This is believed to be due to the dissolution of atmospheric carbon dioxide into the solution during the synthetic or ion exchange process, which is a problem previously reported for LDH systems.^{71,72} The average drug loading in the formulations is approximately 33 % by mass, which corresponds to 80-100 % of the maximum capacity as determined by charge balance considerations.

Loading capacity of 33 % w/w would be adequate to deliver single doses of dic, ibu, and nap (75 mg, 400 mg, and 500 mg respectively) $^{73-75}$. In regards to intravenously delivered chemotherapeutic drugs such as doxorubicin or paclitaxel, which are usually administered at a minimum dosage of 60 mg and 135 mg per m² of body surface area respectively, 76,77 the loading capacity of LTbH is more than sufficient given that the effective dose for intratumoral delivery is expected to be lower than that for systemic delivery.

Table 3 Summary of formulae obtained from TGA/elemental analysis

Material	Formula	Drug loading / %
LTbH-Cl	[Tb ₂ (OH) ₅]Cl _{0.9} (CO ₃) _{0.05} .1.5H ₂ O	0
LTbH-dic	$[Tb_2(OH)_5]Cl_{0.1}(CO_3)_{0.05}(C_{14}H_{10}Cl_2NO_2)_{0.8}.2H_2O$	34.6
LTbH-ibu	$[Tb_2(OH)_5](C_{13}H_{17}O_2).1.5H_2O$	32.3
LTbH-nap	$[Tb_2(OH)_5]Cl_{0.1}(C_{14}H_{13}O_3)_{0.9}.1.5H_2O$	32.2

TGA

Thermograms of LTbH-Cl and LTbH-drug intercalates are given in Figure S3. For all compounds, mass loss below 350 °C occurs in two or three distinct stages, as has been previously reported for LDH systems. The first mass loss event between 100-170 °C is attributed to the loss of interlayer water (Table S1). This corresponds to 1.5 water molecules per formula unit in LTbH-Cl/ibu/nap, and 2 water molecules per formula unit in LTbH-dic. The second mass loss event, between 200-250 °C, is attributed to the dehydroxylation of the LTbH layers to the terbium oxide form Tb_2O_3 and a residual proton,

which is subsequently used to liberate intercalated Cl $^{-}$ and CO $_{3}^{2-}$ as HCl and CO $_{2}$ gases $_{0:10.1039}^{80,81}$ above dicte online approximately 500 °C. In the case of LTbH-dic, the second mass loss event overlaps with the loss due to thermal decomposition of intercalated diclofenac, whereas for LTbH-ibu and LTbH-nap the thermal decomposition of intercalated drug is visible as a separate event above approximately 400 °C.

Cytotoxicity assay

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Cytotoxicity of the LTbH formulations was evaluated using *in vitro* cell viability experiments on Caco-2 and HEK 293 cell lines, the results of which are presented in Figure 6. For the Caco-2 cell line, there is some cell death seen in all treatment groups as compared to the untreated cells control. At the higher concentration of 526 μg / ml, all three drug intercalate formulations show statistically significant cytotoxicity, with the viability of cells treated with LTbH-dic decreasing the most to an average of 71%. LTbH-Cl does not appear to be significantly cytotoxic at either concentration. This suggests that the cytotoxicity seen arises from the intercalated drug anions. However, this contrasts with findings from a previous study on LGdH-Cl, LGdH-dic, and LGdH-ibu, which reported an increase in Caco-2 cell viability in the presence of these materials at a concentration of 270 μg / ml.² The precise source of this difference is not known, but it may be related to differences in cell uptake or drug release rate.

For the HEK 293 cell line, a degree of cytotoxicity is seen in the LTbH-Cl and LTbH-nap treatment groups at both concentrations, which is comparable to the slight decrease in cell viability seen in the Caco-2 cell line. Conversely, the LTbH-dic and LTbH-ibu groups appear to encourage cell proliferation, even at the higher concentration of 526 μg / ml, with average cell viabilities statistically higher than those of the untreated control. Overall, the biocompatibility of the formulations appears to be good even at high concentrations of the formulations.

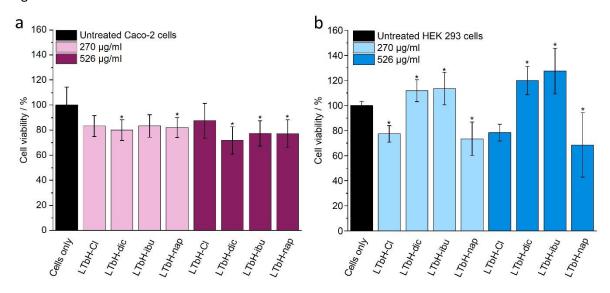


Figure 6 The results of in vitro cell viability assays a.) Caco-2 and b.) HEK 293 cell lines, performed with LTbH intercalates at two concentrations (270 μ g / ml and 526 μ g / ml). The assay experiment was performed three separate times, each in triplicate. Results are presented as mean \pm standard deviation. * denotes groups with a significantly different mean viability from the control, at the p = 0.05 level.

The treatment of cancer cell lines (HeLa, fibroblast, and rhabdomyosarcoma) with diclofenac has been reported to cause significant decreases in cell viability at a concentration of just 11 μ M. ⁸² Likewise, the cytotoxicity of ibuprofen has been previously studied using adenocarcinoma gastric cell line (AGS), and cell viability found to decrease after 24 h exposure to ibuprofen at a concentration of just 100 μ M. ⁸³ Naproxen has been reported to cause significant cell death compared to untreated cells controls in urinary bladder cancer cell lines (UM-UC-5 and UM-UC-14) at a concentration of 500 μ M. ⁸⁴

The findings of these studies therefore seem to support the assertion that the cytotoxicity exhibited to the by the LTbH-drug intercalates may in fact arise from the intercalated drug ions as opposed to the presence of the particles themselves.

To probe if this is the case, a further cytotoxicity experiment was conducted using pure drug solutions at a concentration approximately equivalent to the LTbH-drug intercalates (assuming 30 % drug loading). The results of this experiment are shown in Figure S4. It was found that for Caco-2, all three drugs exhibited a mild cytotoxic effect at the higher drug concentration of 158 μ g / ml, but cell viability was not significantly affected at the lower drug concentration of 81 μ g / ml. In the case of HEK 293 cells, ibuprofen was well tolerated and showed no cytotoxic effect at either concentration, whereas diclofenac and naproxen showed a statistically significant impact on viability. When taken together, the data seem to suggest any cytotoxic effects seen may be due to the presence of a relatively high concentration of LTbH particles which may interfere with the growth of cells, along with contributing effects arising from the presence of the pure drugs.

Haemolysis assay

The haemolytic potential of the LTbH intercalates on red blood cells (RBCs) from rats was studied, and the outcome of this investigation is shown in Figure 7. Compared to the untreated RBCs and the RBCs treated with the surfactant Triton-X, it can be seen that the presence of LTbH-Cl or any of the LTbH-drug intercalates does not cause any substantial degree of haemolysis. This is the case at both concentrations of 270 and 526 μ g / ml. This indicates that LTbH particles do not disrupt the membrane of RBCs, and therefore supports the idea that formulations containing LTbH could be used for intravenous or intratumoral administration without haemotoxic effects.

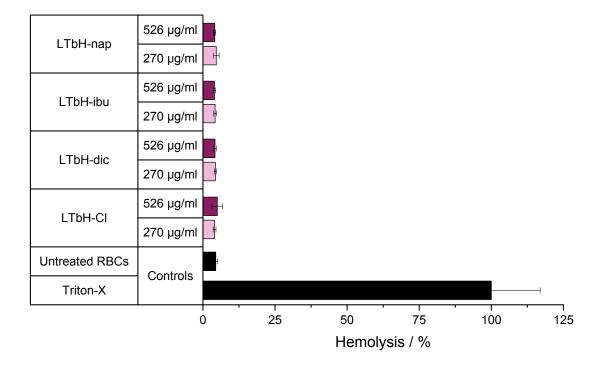


Figure 7 The results of in vitro haemolysis assays with LTbH intercalates at two concentrations (270 μ g / ml and 526 μ g / ml. The assay experiment was performed three separate times, each in triplicate. Results are presented as mean \pm standard deviation. All treatment groups differed significantly from the Triton-X treated RBC control at the p = 0.05 level.

Stability assay

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It is known that LDH materials are unstable in acidic suspensions, ⁸⁵ as metal hydroxides undergo acid hydrolysis which results in the dissolution of the LDH and the subsequent release of free metal ions into the solution. As LRH materials are analogous in structure, and by extension in chemistry, to LDH materials, it was of interest to establish the pH range over which LTbH-Cl is stable in aqueous suspension. This is particularly important because the toxicology of Tb³⁺ has not been evaluated in detail, but free Gd³⁺ ions are well known to be toxic to humans.⁸⁶ For biomedical applications, it is necessary to minimise the release of free ions with potential toxicity issues. For this reason, a stability assay using arsenazo-3 (AS-3) was conducted on LTbH-Cl in aqueous suspensions at a range of pH values (pH = 1.5-10). The extent of dissolution of LTbH-Cl in each sample at 2 and 24 hours after immersion was then quantified using a colorimetric assay which tests for the presence of free Tb³⁺ ions. The results of this assay are given in Figure 8.

Significant dissolution of LTbH-Cl is seen in the pH range 1-5-3.0, which corresponds to the typical pH values seen in the gastric environment (pH = 1.0 -2.0 in the fasted state, up to a median pH = 5.0 in the fed state).⁸⁷ Therefore, formulations containing LTbH materials which are designed for oral delivery may require the use of an enteric coating to prevent degradation and the release of free Tb³⁺ ions. Above this pH range, however, the suspensions exhibit high stability with minimal dissolution (approximately 5 %) seen after 24 hours. This includes the average physiological pH $(7.4)^{88}$ as well as the slightly acidic extracellular environment often found in tumours (pH = 6.4 – 7.0).⁸⁹ Assuming a theoretical LTbH-ibu dose of 1300 mg (which contains the equivalent of a single dose of ibuprofen), 5% dissolution would equate to 33 mg of free Tb³⁺ ions released. In a person weighing 60 kg, this is equivalent to 0.55 mg Tb³⁺ per kg body mass – several orders of magnitude below the reported LD₅₀ for intravenously injected GdCl₃ (100 mg per kg body mass in mice, ⁹⁰ equivalent to 60 mg of free Gd³⁺ ions per kg body mass). Whilst discussion of how Tb³⁺ ions may behave in vivo is beyond the scope of this study, the cytotoxicity studies and dissolution stability data seem to indicate that LTbH-based drug delivery systems may be safe to use for short-term treatments where accumulation of free lanthanide ions would be limited.

It is important to note that the AS-3 assay is known to be pH dependant, with the positions of absorbance peaks varying with pH.⁹¹ The characteristic absorbance peaks for both complexed and unbound AS-3 were seen to appear at a slightly lower wavelength at pH = 1.5 than at pH = 7.4 (Figure S5). However, this difference in absorbance maxima positions does not reduce the ability of the assay to qualitatively verify whether or not LTbH-Cl dissolves at a particular pH, and the absorbance at 652 nm is approximately constant across the pH range studied (see Figure S6). Therefore, for the purposes of quantifying the extent of dissolution of LTbH across this range of pH values, the performance of the AS-3 assay appears to be satisfactory.

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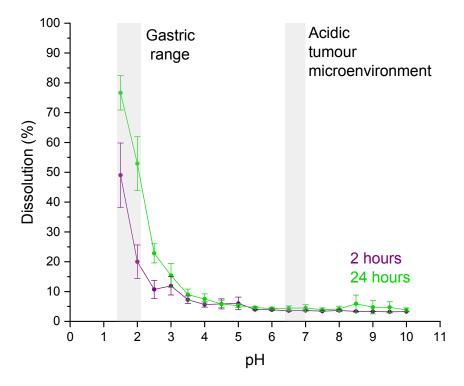


Figure 8 pH stability assay of LTbH-Cl in aqueous suspension, after 2 and 24 hours. Three independent experiments were performed, each in triplicate, and the results are shown as mean \pm standard deviation.

Drug release

Drug release from LTbH-dic, LTbH-ibu, and LTbH-nap was studied using phosphate buffered saline (PBS, pH = 7.4) as the release medium. The results are given in Figure 9. It can be seen that the drug release profiles are very similar in the three formulations, with the majority of release occurring rapidly within the first 5 hours. Further release after around 6 hours is negligible. The mean final release percentage in all cases is between 91 - 96%.

These release profiles are consistent with previous reports for LTbH-asa (approx. 85 % release in 6 hours),⁶³ and LEuH-nap (complete release within 200 minutes).⁶⁴ However, previously reported release profiles for LTbH-dic and LTbH-imc (approx. 35 % and 30 % in 6 hours respectively)⁶⁵ differ significantly. This may be related to the particle morphology of LTbH used in those studies – though no particle size analysis was presented in the previous study,⁶⁵ SEM images showed large and clustered crystals which may introduce a longer diffusion path to the intercalated drug molecules and therefore lead to extended release kinetics.

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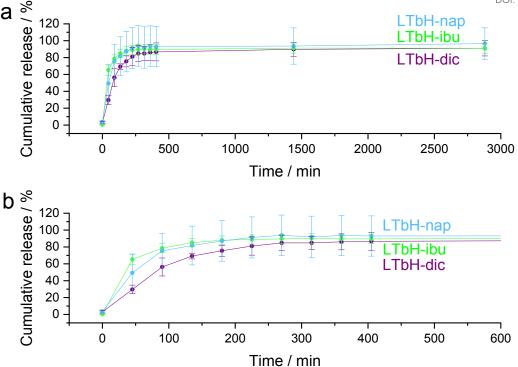


Figure 9 Drug release curves for LTbH-dic, LTbH-ibu, and LTbH-nap in phosphate buffered saline (PBS, pH = 7.4) a.) over 48 h and b.) an enlarged view of the first 10 h. Results are presented as mean \pm standard deviation.

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The drug release data were fitted with the Bhaskar and Avrami models (Equations 5 and 6 respectively); α is extent of reaction, equivalent to the fraction of intercalated drug released, k is the rate constant, t is time, and n is a coefficient related to the reaction mechanism.

$$\ln (1 - \alpha) = kt^{0.65} \# (5)$$

$$\ln (-\ln (1 - \alpha)) = n\ln(t) + \ln (k) \# (6)$$

Fits of the Avrami and Bhaskar models to the LTbH-drug intercalates are shown in Figure S7. The Avrami equation can be used to describe a wide range of solid-state kinetic processes (usually involving the generation and growth of nuclei), and appears to be a good fit to the drug release data from LTbH. In values, derived from the slope of the line of best fit in the plots, are all close in value to 1. Whilst this does not definitely determine the precise release mechanism, it is consistent with a 2D diffusion controlled process in which the rate of nucleation is zero.^{2,92,93} In the context of the LTbH-drug intercalates, this is sensible because new nucleation sites (the positively charged layers with adsorbed drug molecules) are not generated during the course of the reaction. The rate of reaction is thus controlled by the diffusion of the drug ions between the layers (hence, 2D).

The Bhaskar model was originally developed to describe the diffusion of drug cations out of an ion exchangeable resin,⁹⁴ and is therefore a good approximation for the diffusion of drug anions out of LRH particles. The model is based on the assumption that rate of release is controlled by diffusion of the drug through the particle, and the good fit of this model to the LTbH-drug release data strongly suggests that this is also the case in LTbH systems. These findings are in good agreement with those for LGdH-drug intercalates.² If it was desired to increase the length of time over which sustained release occurs from LTbH, a possible approach would be to use a polymeric coating to increase the diffusion path for the drug molecule, as has been demonstrated on many occasions with other materials – including LDHs.^{95,96}

Solid state photoluminescence

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Solid state emission spectra of LTbH-Cl, the LTbH-drug intercalates, and the pure drug salts (Na-dic, Na-ibu, Na-nap) were measured at an excitation wavelength of λ = 325 nm (Figure 10 a-d). The photoluminescence emission spectrum of LTbH-Cl shows well resolved fine features and clear evidence of peak splitting arising from the low symmetry coordination environment⁶⁷ of Tb³⁺.

As it was not possible to control for the mass of sample used in each measurement (in each case, *ca.* 5 mg), the differences in intensity between samples cannot be quantified. However, it can be assumed that small differences in mass of sample would not fully account for the large difference in the magnitude of intensity between LTbH-ibu or LTbH-nap relative to LTbH-Cl or LTbH-dic in the data before normalisation (Figure 6a). This indicates that the interaction between the LTbH matrix and dic differs in nature to that of LTbH with ibu or nap, leading to a different relaxation pathway. This is also supported by the significant shift in peaks arising from dic after intercalation, which can be seen in the spectra after normalisation, and the much reduced degree of peak shifting in LTbH-ibu and LTbH-nap (Figure 6 b-c). The decreased fluorescence intensity of LTbH-dic is in contrast with a previous study, which reported increased fluorescence intensity after intercalation of dic.⁶⁵ However, the excitation wavelength used was 356 nm (as opposed to 325 nm), and therefore the emission spectra obtained in the previous study cannot be directly compared to those in the present work.

Since the spectra for the LTbH-drug intercalates show a combination of features from the pure drugs and a strong peak at 542 nm arising from Tb³⁺, it was thought that it may be possible to use the ratio between the height of the 542 nm peak and the height of the surrounding background to establish the extent of drug release. Presumably, as more drug is released from the formulation, the height of the peak arising from Tb³⁺ would increase relative to the signal arising from the drug, and the spectrum would become increasingly similar to the spectrum of LTbH-Cl. Similar real-time tracking of drug release has been reported before, for instance for doxorubicin release from silica nanoparticles (using fluorescence resonance energy transfer),⁹⁷ or rhodamine release from a hydrogel (by multispectral fluorescence imaging on live mice).⁹⁸

To test this hypothesis, further drug release experiments were conducted using LTbH-ibu, in which the experiment was terminated at specific time points and the solids recovered for photoluminescence analysis. The results of one such experiment are given in Figure 10 e. It can be seen that the spectra from samples that have the shortest release times (15 min, 30 min) are more similar in character to the spectrum of LTbH-ibu, whereas those with a longer release time (1 h, 4.5 h) more closely resemble that of LTbH-Cl. The halo-like intensity arising from the presence of the drug appears to decrease in magnitude with length of release time. This is particularly evident in the region between the first two Tb³⁺ transition peaks, and the intensity of each sample at 512 nm was chosen as a reference point for further calculations.

Assuming that the spectra of LTbH-ibu and LTbH-Cl represent the theoretical 0 % and 100 % release respectively, the cumulative release in each of the experimental samples was calculated using their intensity at 512 nm. The resultant drug release curve obtained is shown in Figure 10f. It can be seen that this release curve closely resembles the one obtained by UV-vis, and therefore it is feasible to use the change in fluorescence emission to quantify drug release from LTbH-ibu. It should be noted that due to the nature of the experiment, it is not possible to truly terminate drug release at exactly the required time point: release will continue to some extent whilst the sample is wet. Therefore, the extent of release obtained in this manner is likely to be an overestimate of the true extent of release at a particular point. This is believed to be the reason why the release curve obtained from photoluminescence measurements appears to be shifted to the left relative to the release curve obtained by UV-vis. Nevertheless, it is clear that there is a high degree of correlation between the

fluorescence properties and the extent of drug release, and thus the distinct possibility of using the dist

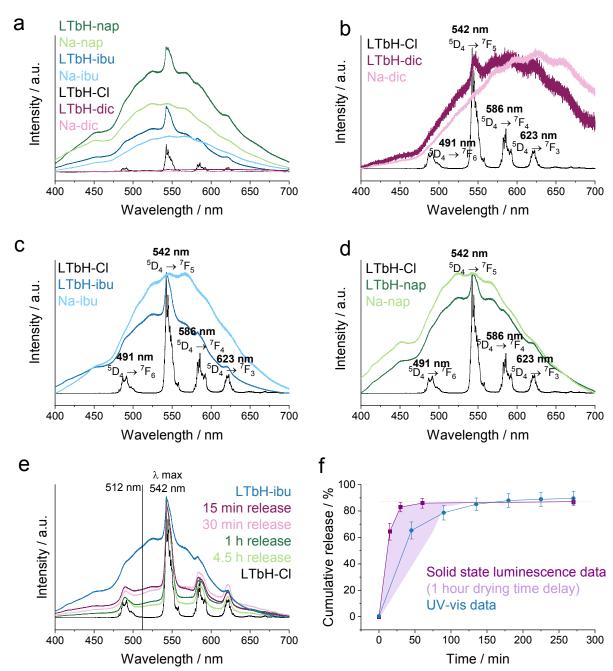


Figure 10 Solid state photoluminescence emission spectra of LTbH-Cl, LTbH-drug intercalates, and the pure drug salts (Na-dic, Na-ibu, Na-nap) obtained by solid state photoluminescence at an excitation wavelength of 325 nm and laser power of 1 %. a.) Raw data, b-d.) Normalised data. e.) Normalised solid state photoluminescence emission spectra of LTbH-ibu (pure) and LTbH-ibu at different stages of release (15 min, 30 min, 1 h, and 4.5 h) as compared to LTbH-Cl. f.) Drug release curve obtained from photoluminescence compared to the drug release curve obtained by UV-vis. Results presented as mean \pm standard deviation.

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Conclusions

This work considers the theranostic applications of the layered rare-earth hydroxide LTbH-Cl. We report the hydrothermal synthesis of LTbH-Cl and subsequent intercalation of the model drugs diclofenac, ibuprofen, and naproxen (dic, ibu, and nap) via ion exchange, as confirmed by XRD and FTIR characterisation. Elemental microanalysis and TGA experiments revealed high drug loadings of 80-100 % based on charge balance considerations (or ca. 33 wt %). The majority of the drug cargo was released over approximately 5 h when the formulations were incubated in phosphate buffered saline at pH = 7.4. The haemo- and cytocompatibility of all formulations was found to be high, even at concentrations far exceeding those that would be required for therapeutic applications (up to 526 µg / ml). Photoluminescence studies revealed characteristic peaks associated with Tb³⁺ and the presence of two different coordination environments in LTbH-Cl, consistent with previous reports in the literature. It was shown that intercalation of ibu and nap resulted in a significant increase in fluorescence intensity, whereas the intercalation of dic lead to the quenching of the fluorescence signal associated with Tb3+. These findings imply that the LTbH-intercalates could act as fluorophores for fluorescence imaging. Moreover, it was demonstrated using LTbH-ibu that it is feasible to use the changing fluorescence emission spectrum upon drug release to quantify the extent of drug which had de-intercalated. This property could provide a non-invasive way to quantify the real-time drug release kinetics in vivo, determine the precise location where drug release is taking place, as well as derive the required delivery frequency to maintain the local therapeutic levels of drug. In particular, this property could be useful for personalised cancer theranostics, where treatment efficacy may be greatly improved by using an image-guided, localised approach. The LTbH formulations developed in this work hence have great potential for use as theranostic agents and bolster the recent interest in LRH materials towards personalised medicine applications.

Conflicts of Interest

There are no conflicts to declare.

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