

## Journal Pre-proofs

Harnessing Artificial Intelligence for the Next Generation of 3D Printed Medicines

Moe Elbadawi, Laura E. McCoubrey, Francesca K.H. Gavins, Jun Jie Ong, Alvaro Goyanes, Simon Gaisford, Abdul W. Basit

PII: S0169-409X(21)00179-4  
DOI: <https://doi.org/10.1016/j.addr.2021.05.015>  
Reference: ADR 13805

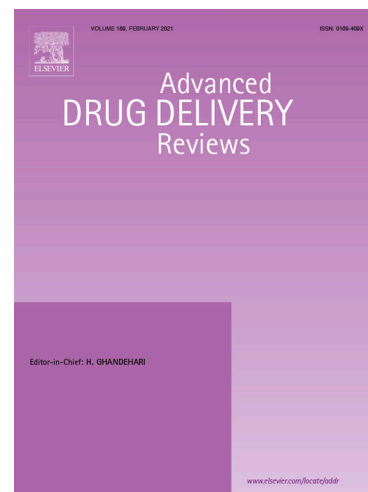
To appear in: *Advanced Drug Delivery Reviews*

Received Date: 19 February 2021  
Revised Date: 2 May 2021  
Accepted Date: 13 May 2021

Please cite this article as: M. Elbadawi, L.E. McCoubrey, F.K.H. Gavins, J. Jie Ong, A. Goyanes, S. Gaisford, A.W. Basit, Harnessing Artificial Intelligence for the Next Generation of 3D Printed Medicines, *Advanced Drug Delivery Reviews* (2021), doi: <https://doi.org/10.1016/j.addr.2021.05.015>

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2021 Published by Elsevier B.V.



1 **Harnessing Artificial Intelligence for the Next Generation of 3D**  
2 **Printed Medicines**

3  
4 Moe Elbadawi<sup>1</sup>, Laura E. McCoubrey<sup>1</sup>, Francesca K.H. Gavins<sup>1</sup>, Jun Jie Ong<sup>1</sup>, Alvaro Goyanes<sup>2,3</sup>, Simon  
5 Gaisford<sup>1,2</sup>, Abdul W. Basit<sup>1,2\*</sup>

6 <sup>1</sup>Department of Pharmaceutics, UCL School of Pharmacy, University College London, 29-39 Brunswick  
7 Square, London WC1N 1AX, UK.

8 <sup>2</sup>FabRx Ltd., 3 Romney Road, Ashford, Kent, TN24 0RW, UK.

9 <sup>3</sup>Departamento de Farmacología, Farmacia y Tecnología Farmacéutica, I+D Farma Group (GI-1645),  
10 Universidade de Santiago de Compostela, 15782, Spain.

11

12 \* Corresponding authors: Basit, A.W ([a.basit@ucl.ac.uk](mailto:a.basit@ucl.ac.uk)); Goyanes, A. ([a.goyanes@fabrx.co.uk](mailto:a.goyanes@fabrx.co.uk))

13

14

## 1 **Abstract**

2 Artificial intelligence (AI) is redefining how we exist in the world. In almost every sector of society, AI is  
3 performing tasks with super-human speed and intellect; from the prediction of stock market trends to  
4 driverless vehicles, diagnosis of disease, and robotic surgery. Despite this growing success, the  
5 pharmaceutical field is yet to truly harness AI. Development and manufacture of medicines remains  
6 largely in a 'one size fits all' paradigm, in which mass-produced, identical formulations are expected to  
7 meet individual patient needs. Recently, 3D printing (3DP) has illuminated a path for on-demand  
8 production of fully customisable medicines. Due to its flexibility, pharmaceutical 3DP presents  
9 innumerable options during formulation development that generally require expert navigation.  
10 Leveraging AI within pharmaceutical 3DP removes the need for human expertise, as optimal process  
11 parameters can be accurately predicted by machine learning. AI can also be incorporated into a  
12 pharmaceutical 3DP 'Internet of Things', moving the personalised production of medicines into an  
13 intelligent, streamlined, and autonomous pipeline. Supportive infrastructure, such as The Cloud and  
14 blockchain, will also play a vital role. Crucially, these technologies will expedite the use of  
15 pharmaceutical 3DP in clinical settings and drive the global movement towards personalised medicine  
16 and **Industry 4.0**.

17 **Keywords:** Additive Manufacturing; Digital pharmaceuticals and pharmaceutical sciences; Digital  
18 therapeutics and healthcare; Drug product design and development; Computer aided design of printlets;  
19 Computational modeling and finite element analysis; Fabricating gastrointestinal drug delivery systems  
20 and dosage forms; Personalized pharmaceuticals and medical devices; Mass customization and machine  
21 learning; Falsified and counterfeit oral pharmaceutical products.  
22

23

24

# 1 1 Intelligent 3D Printing of Personalised Medicines

2 The last 25 years have experienced a digital revolution: from the naissance of wireless internet access to  
3 global smart phone uptake, widespread use of cloud storage, and the permeation of social media into  
4 everyday life. At first, it was human intelligence that conceived and utilised these transformative  
5 technologies. Now, we find that technology is being hardwired for intelligence far beyond human  
6 capacity; allowing it to entertain us, highlight lucrative financial investments, and maintain our health, to  
7 name just a few applications [1-5]. The language of data is fast surpassing traditional spoken or written  
8 languages on the stage of global communication and connectivity. As data storage and capacity steadily  
9 mount with each passing year, systems are fed increasing information, allowing them to become  
10 smarter [6].

11 Artificial intelligence (AI) encompasses a plethora of technologies driving the current data  
12 revolution [7]. Applications of AI can be narrow, whereby intelligence is directed at single tasks, such as  
13 smartphone personal assistants, the discovery of novel drugs, or diagnosis of disease from medical  
14 images [8-10]. Alternatively, AI applications can be afforded cognitive ability similar to the human brain,  
15 by which robust AI systems retain memory and apply knowledge across different domains. The latter  
16 form of AI is growing in momentum, exemplified by the development of driverless cars that  
17 autonomously recognise unexpected obstructions, monitor exact lane position, and govern optimal  
18 vehicle functioning simultaneously [11]. An even more recent application of AI is its unification with  
19 networks of interconnected hardware, known as the 'Internet of Things' (IoT). In an IoT, devices with  
20 distinct capabilities are wirelessly connected to perform integrated functions. IoT has conceived the  
21 concept of smart houses, in which a network of sensors and control devices fully automate tasks of daily  
22 living: from the management of heating, lighting, and security, to ordering groceries and synchronising a  
23 morning alarm with breakfast [12]. Combined, AI and IoT permit the intelligent automation of limitless  
24 processes.

25 The Food and Drug Administration (FDA) has placed emphasis on innovation through utilising  
26 digital health technologies and developing novel analytical approaches to advance healthcare [13],  
27 which was answered by diagnostic companies, where recently the FDA has approved AI-based software  
28 for diagnostics [14, 15]. Compared to other fields, the development and supply of pharmaceuticals sits  
29 behind the forefront of modern technology, who employ *in silico* tools to expedite discoveries. BASF  
30 released Zoomlab™ for predicting the properties of formulations, such as tableability. The software is  
31 based on the SEDEM system that was developed 15 years ago but is yet to be widely adopted by

1 pharmaceutical researchers. It requires users to input 12 properties of the API, which include flowability,  
2 hygroscopicity, particle size and the homogeneity index [16, 17]. F-CAD is another software used in the  
3 industry to guide formulation development. Similar to Zoomlab™, the physical-chemical properties of  
4 the API are required, but in addition, so are the physical-chemical properties of the excipients [18]. The  
5 drawback with these software is that they can be difficult to readily incorporate into the current  
6 workflow and are exhaustive, costing both time and materials in order to gather the input data. Hence,  
7 these optimisation methodologies have not been widely adopted by pharmaceutical researchers. This  
8 lack of an *in silico* tool consequently positions the pharmaceutical field behind others in harnessing their  
9 capabilities to expedite discoveries.

10 Medicine largely remains in a 'one size fits all' paradigm, in which patients are administered  
11 mass-produced pharmaceutical products with very little flexibility on dose or formulation. The last  
12 decade has witnessed an awakening to the shortcomings of this inflexible treatment model, with a push  
13 for personalised medicines that meet individual patient needs [19, 20]. 3D printing (3DP) promises a  
14 nexus for personalised medicine [21-27]. The FDA approval of Spritam, **and the more investigation new**  
15 **drug (IND) clearance** for Triastek's T19 – indicated for rheumatoid arthritis [28] – has set the precedence  
16 for 3DP **as a viable manufacturing technology**, demonstrating that they are viable fabrication  
17 technologies. However, both these examples do not capitalise on 3DP ability to produce personalised  
18 dose. The modern catalogue of 3DP technologies provide the ability to produce medicines with fully  
19 customisable drug contents, morphology, release kinetics, aesthetics, and taste profiles: on-demand at  
20 the point of patient need [29-31]. Notable examples of patient-centred 3DP medicines include tablets  
21 with braille designs for the visually impaired; multi drug-loaded hearing aids with anti-biofilm properties;  
22 microdevices with stimuli-responsive release mechanisms; and abuse-deterrent opioid tablets [32-37].  
23 The first clinical study demonstrating the benefits of pharmaceutical 3DP over traditional manufacturing  
24 methods published its results in 2019, **accelerating the transition of 3DP** of medicines to mainstream  
25 clinical practice [38].

26 As a fully automated and digitalised technology, pharmaceutical 3DP is a natural partner to AI. In  
27 numerous fields, AI and physical devices are being united to create intelligent robots. Indeed, robotics is  
28 one of the most explored applications of AI. In medicine, intelligent robots are being increasingly applied  
29 to perform surgical procedures and aid remote patient assessment; their use spurred on by the COVID-  
30 19 pandemic [39, 40]. Within manufacturing technology, robotics and AI are predicted to come to the  
31 frontier of industry – permitting streamlined, autonomous production 24 hours a day with minimal

1 human intervention [41]. AI is likely to be a key facilitator in pharmaceutical 3DP's translation to the  
2 clinic. Machine learning (ML), a powerful subset of AI, can aid the formulation development process  
3 within pharmaceutical 3DP. Because 3DP of medicines offers a large number of possibilities over the  
4 final product, such as the different compositions of the starting materials, design considerations (e.g.  
5 shape and dimensions), and printing parameters (e.g. speed, temperature), the process of designing a  
6 formulation presents an innumerable number of options that ordinarily require expert navigation. Here,  
7 ML can be leveraged to learn from the large volume of pre-existing data to predict new outcomes,  
8 irrespective of the number of variables that need to be analysed. Consequently, the need for expert  
9 formulation scientists is reduced from the clinical setting, and ML can manage the formulation of 3DP  
10 medicines for any given scenario. ML can also guide the printing process by calculating ideal processing  
11 parameters, such as printing temperature, nozzle diameter, laser speed, or light exposure time. In  
12 contrast to Zoomlab™ and F-CAD, ML does not require specific material properties to make the  
13 prediction, and hence does not require the user to expend time and money collecting further data,  
14 although the option is there should the researcher wishes to include the properties. Moreover,  
15 continuous maintenance of printers can be AI-managed, ensuring that supply of medicines is not  
16 interrupted due to machine failures [42, 43]. An advanced goal of pharmaceutical 3DP is to achieve a  
17 fully autonomous and intelligent pipeline of personalised medicines supply in the healthcare setting.  
18 IoT-based technology can realise this vision: a network of robots will be connected to 3D printers to  
19 support formulation compounding, post-processing, quality control (QC), and packaging. As such, human  
20 resources, error, and bias will be almost entirely removed from pharmaceutical 3DP and patients will  
21 gain 24/7 access to quality, personalised medicines.

22 This review will focus on the next era of pharmaceutical 3DP, in which AI is harnessed to achieve  
23 the streamlined and autonomous production of 3DP medicines. As methods of pharmaceutical 3DP are  
24 manifold, we begin by providing an overview of technologies available, with consideration of challenges  
25 within each. Non-AI industrial techniques for process optimisation will then be discussed, namely design  
26 of experiments; mechanistic models; pharmacokinetic modelling; and finite element analysis. Next, a  
27 background on AI and ML will be covered, followed by how they overcome the pitfalls of traditional  
28 unintelligent techniques, and an in-depth analysis of how they can be leveraged for 3DP of medicines.  
29 Finally, an overview of IoT and an evaluation of the trajectory of the pharmaceutical 3DP field will be  
30 provided.

## 2 The Modern Catalogue of Pharmaceutical 3D Printing Technologies

Pharmaceutical 3DP represents a collection of distinct technologies that together allow the printing of almost any conceivable medicine. To understand where AI can align with pharmaceutical 3DP, it is first necessary to recognise the heterogeneity and the challenges within the various techniques. Each 3DP method contains its own unique features, advantages, and limitations, suited to the use of different excipients and drugs. An overview of contemporary pharmaceutical 3DP techniques is presented in

**Table 1.**

**Table 1.** An overview of pharmaceutical 3D printing technologies.

3D printing technology	Material	Mode of fusion	Advantages	Limitations
<b>Material Extrusion</b>				
<b>Fused Deposition Modelling (FDM)</b>	Thermoplastic polymers	Heat	<ul style="list-style-type: none"> <li>• Ease of use</li> <li>• Inexpensive</li> <li>• Different materials can be printed together</li> </ul>	<ul style="list-style-type: none"> <li>• Not suitable for heat-labile molecules</li> <li>• Relatively low resolution</li> <li>• Complex structures require support.</li> </ul>
<b>Direct powder extrusion (DPE)</b>	Thermoplastic polymers	Heat	<ul style="list-style-type: none"> <li>• Ease of use</li> <li>• Inexpensive</li> <li>• Different materials can be printed together</li> <li>• Single-step process</li> </ul>	<ul style="list-style-type: none"> <li>• Relatively low resolution</li> <li>• Complex structures require support</li> </ul>
<b>Semi-solid Extrusion (SSE)</b>	Gels, pastes		<ul style="list-style-type: none"> <li>• Suitable for heat-labile drugs, and biomaterials</li> <li>• Conducted at room temperature</li> </ul>	<ul style="list-style-type: none"> <li>• Relatively low resolution</li> <li>• Requires post-processing steps</li> </ul>
<b>VAT photopolymerization</b>				

<b>Stereolithography (SLA)</b>	Liquid photopolymer	Laser beam	<ul style="list-style-type: none"> <li>• High resolution</li> <li>• Relatively fast</li> <li>• Suitable for heat-labile drugs</li> </ul>	<ul style="list-style-type: none"> <li>• No FDA approved excipient suitable for oral delivery applications</li> <li>• Post-processing (curing) necessary</li> <li>• Overhangs require support</li> </ul>
<b>Digital Light Processing (DLP)</b>	Liquid photopolymer	Light	<ul style="list-style-type: none"> <li>• High resolution</li> <li>• Smooth finishing</li> <li>• Relatively fast</li> <li>• Suitable for heat-labile drugs</li> </ul>	<ul style="list-style-type: none"> <li>• No FDA approved excipient suitable for oral delivery applications</li> <li>• Overhangs require support</li> <li>• Post-processing required</li> </ul>
<b>Continuous Liquid Interface Production (CLIP)</b>	Liquid photopolymer	Light and oxygen	<ul style="list-style-type: none"> <li>• High resolution</li> <li>• Objects can be easily removed</li> <li>• Fast</li> <li>• Suitable for heat-labile drugs</li> </ul>	<ul style="list-style-type: none"> <li>• Expensive</li> <li>• No FDA approved excipient suitable for oral delivery applications</li> </ul>
<b>Material Jetting</b>				
<b>Ink Jet Printing (IJP)</b>	Liquid solvent	Evaporation, UV curing, reactive jetting	<ul style="list-style-type: none"> <li>• High resolution</li> <li>• Suitable for heat-labile drugs (only for piezoelectric ink jet printers)</li> </ul>	<ul style="list-style-type: none"> <li>• Performance dependent on formulation properties</li> <li>• Chemical stability of drugs in solvent</li> </ul>
<b>Powder Bed Fusion</b>				
<b>Selective Laser Sintering (SLS)</b>	Thermoplastic polymer, metal & ceramic	Laser beam	<ul style="list-style-type: none"> <li>• Does not require supports</li> <li>• High resolution</li> </ul>	<ul style="list-style-type: none"> <li>• Potential thermal degradation of drug due to short term exposure to heat</li> </ul>



			<ul style="list-style-type: none"> <li>• Feed material can be recycled and reused</li> <li>• Able to confer rapid disintegration</li> </ul>	<ul style="list-style-type: none"> <li>• Objects can be too friable</li> </ul>
<b>Binder Jetting</b>				
<b>Binder Jetting</b>	Polymer powder	Liquid binder	<ul style="list-style-type: none"> <li>• Does not require support</li> <li>• Suitable for heat-labile drugs</li> </ul>	<ul style="list-style-type: none"> <li>• Potential drug hydrolysis due to presence of solvent</li> <li>• Time consuming</li> </ul>

1

## 2 2.1 Material Extrusion

### 3 2.1.1 Fused Deposition Modelling (FDM)

4 FDM, a thermal material extrusion technology, is one of the most explored 3DP technologies within  
5 pharmaceutical research [44]. Its popularity is mostly attributed to its low costs, versatility, and its ability  
6 to produce products with high mechanical strength. A diverse range of drug delivery systems have been  
7 fabricated by FDM to meet patient-specific needs [24], including tablets [45, 46] (also referred to as  
8 Printlets™ [47]), capsules [48], beads and catheters [49], topical masks [50], orodispersible films [51,  
9 52], mouthguards [53], implants, transdermal microneedles [54], vaginal rings [55], scaffolds for tissue  
10 engineering [56], and subcutaneous devices [57, 58].

11 FDM 3DP is a two-step process, which can be achieved by coupling hot-melt extrusion (HME)  
12 with FDM 3DP [59]. In HME, raw pharmaceutical materials are fed into a hopper and are subject to heat  
13 and pressure whilst moving through a rotating screw, which produces long strands of filaments of solid  
14 dispersions. With HME, high drug loading of filaments can be achieved, as opposed to the alternative of  
15 impregnating filaments with a drug-containing solution [45, 46]. The balance of brittleness and stiffness  
16 of filaments are assessed, as well as softness, diameter, and uniformity. Subsequently, filament  
17 feedstocks are fed into the FDM printer, where molten material is deposited, layer-by-layer, onto a  
18 platform creating a 3D object. The resolution of the object is dependent upon the thickness of the  
19 extruded filament, typically 100 µm.

1 In general, excipients used are thermoplastic polymers, which include polylactic acid (PLA),  
2 polyvinyl alcohol (PVA) and hydroxypropyl methylcellulose (HPMC). By selecting specific polymers or  
3 blends of polymers [60, 61], desired quality attributes can be achieved. Drug release properties can be  
4 modified by tuning the infill percentage [62], polymer matrix composition [59], compartmentation [63],  
5 structural shape [64] and shell thickness. 'Polypills' have been fabricated using FDM, allowing the  
6 combination of several drugs in a single capsule with bespoke release patterns [65, 66]. FDM is also  
7 capable of fabricating complex structures like microneedles for parental delivery [67] and implants [57,  
8 68, 69].

9 Despite its versatility, a key limitation of FDM 3DP is its incompatibility with heat-labile drugs.  
10 While selected polymers have been deemed suitable for low-temperature printing (i.e. 70 °C), the  
11 majority of conventional polymers used for FDM printing necessitates high temperatures to be extruded  
12 [70]. Additionally, other 3DP technologies discussed below can achieve products with higher resolutions.  
13 A challenge common to every present-day pharmaceutical 3DP technology is the largely empirical  
14 process of selecting the appropriate process parameters and composition of drug product. The 3DP  
15 scientist must consider the parameter space for formulation, HME, and FDM particulars. Within each  
16 space there are numerous considerations, such as proportion of starting materials, use of excipients  
17 (such as lubricants, binders, plasticisers, disintegrant, antioxidant, and solubiliser), extrusion  
18 temperature, printing temperature, printing speed, horizontal and vertical resolution [44]. A more  
19 comprehensive list of parameters vital to FDM are enumerated in ref. [71].

### 20 2.1.2 Direct powder extrusion (DPE)

21 Direct powder extrusion is a material extrusion technology akin to FDM, wherein a powder mix  
22 containing the active pharmaceutical ingredient is directly extruded through the nozzle of the printer  
23 [72, 73]. Like in FDM, the powder mix is fused together through the application of heat and pressure as  
24 the particles flow through a rotating screw. However, unlike FDM, DPE obviates the HME step in FDM 3D  
25 printing. In this way, DPE permits the fabrication of pharmaceutical powder mixtures that might have  
26 been unsuitable for FDM printing due to inadequate mechanical characteristics of the HME filaments.  
27 While it shares several drawbacks with FDM 3DP, the one-step fabrication process of DPE confers  
28 simpler and faster manufacturing. Most of the reported DPE papers are single-screw, and hence face the  
29 same challenges as single-screw HME, such as poor mixing [74, 75]; expanding the system to twin-screw  
30 would require consideration on the effect of the travel speed, since more load will be carried.

### 1    **2.1.3 Semi-solid Extrusion (SSE)**

2    SSE is an extrusion-based 3DP technique involving the deposition of viscoelastic ‘ink’ onto a build plate  
3    [76]. Here, gels or pastes containing the active pharmaceutical ingredient are extruded through a  
4    syringe-based tool-head nozzle and deposited layer-by-layer on a platform to form a 3D object [77].  
5    Unlike other aforementioned material extrusion-based technologies, SSE can be achieved at room  
6    temperature, making it ideal for heat-labile compounds. It is for this feature that SSE is extensively used  
7    in bioprinting, where living cells are printed to form tissues and complex structures. **Examples of SSE**  
8    **applications in the pharmaceutical sphere include the fabrication of rectal suppositories [78, 79],**  
9    **paediatric-friendly tablets [80], orodispersible tablets [81], and implants [82].**

10        SSE is a technique that would benefit greatly from an optimised and automated means of  
11    formulation development. The quality of the final product is heavily influenced by numerous process  
12    parameters and physicochemical properties of the mixture. These include the rheological properties and  
13    miscibility of the mixture, the flow rate, the processing temperature, and the printing speed [83].  
14    Furthermore, as the diameter of nozzles used in SSE is often larger than that in FDM, the printing  
15    resolution achieved by SSE 3DP can be relatively lower than FDM 3DP. Post-processing steps, such as  
16    drying or cooling, are also necessary, during which the product might be distorted if the mechanical  
17    properties have not been optimised.

### 18    **2.2 Vat Photopolymerisation (SLA, DLP, CLIP)**

19    Vat polymerisation 3DP cures liquid photopolymerisable resins using light, sequentially building a  
20    desired solid object layer by layer [31, 84]. There are three main types of vat photopolymerisation 3DP:  
21    stereolithography (SLA), digital light processing (DLP), and continuous liquid interface production (CLIP)  
22    [85]. The three methods vary subtly. SLA employs a concentrated beam of ultraviolet (UV) light or a  
23    laser, to selectively sketch and harden layers of liquid photopolymer [86]. DLP projects light images,  
24    composed of square pixels, onto resin from a digital projector screen. CLIP shines UV light through an  
25    oxygen permeable window, which hardens the resin above. A key advantage of CLIP over SLA and DLP is  
26    that the bottom hardened layer of the printed product does not adhere to the printer, due to ‘inert  
27    space’ created by the oxygen permeable membrane. This means that products can be easily removed  
28    from the printer without mechanical force after 3DP. In terms of manufacturing speed, DLP printing  
29    briefly projects each resin layer with whole images, rather than drawing them with a UV beam or laser,  
30    thus is a significantly faster fabrication method than SLA. When first released, CLIP claimed to print

1 items 25 to 100 times faster than SLA and DLP, however, this was later proven to be generally  
2 unfounded for 3DP items that are not composed of thin lattice-like structures [85].

3 Together with material jetting 3DP, vat polymerisation printing has the advantage of producing  
4 items with the best surface resolutions of all additive manufacturing technologies. This is because the  
5 UV, visible, and laser light sources can be shone at resolutions as low as 5  $\mu\text{m}$ , allowing the production  
6 of highly intricate structures [87]. Moreover, the light curing methods of vat polymerisation are  
7 especially suited to the production of medicines, as avoidance of excess heat in the printing process  
8 evades the thermal degradation of susceptible drugs. Fast photopolymerisation also makes SLA, DLP,  
9 and CLIP some of the quickest 3DP methods, an important feature for printing medicines in a clinical  
10 setting, where demand may be urgent [33]. The unique properties of vat polymerisation have been  
11 successfully exploited for several pharmaceutical applications. Polypills containing several drugs in  
12 distinct layers, with tuneable release profiles, have been printed using SLA [33, 88]. Such methods are  
13 well suited to reducing tablet burden for patients with polypharmacy. Elsewhere, SLA has been  
14 employed to fabricate drug-loaded tablets with modified release characteristics, and drug-loaded  
15 hydrogels [89, 90]. DLP is similarly capable of printing modified release tablets and has also been used to  
16 fabricate antibacterial dental devices [91-93]. Interesting applications of CLIP in pharmaceuticals include  
17 anti-cancer drug loaded devices, and microneedles for the delivery of biotherapeutics over skin [94, 95].

18 As with all manufacturing processes, vat polymerisation has several disadvantages and  
19 challenges. A prominent issue is the biocompatibility of the uncured resin, which if not addressed has  
20 been reported to be toxic. Photopolymerisation reactions, initiated by free radicals, also have the  
21 propensity to react with drugs, potentially altering the drug release profile [88]. Depending on the  
22 materials used, reactive monomers in resin may be toxic or irritant, necessitating post-processing of  
23 printed products. Post-processing involves exposing a finished 3DP object to UV or visible light, to  
24 ensure all liquid resin monomers are polymerised and hardened. Without this step, harmful monomers  
25 may remain on or within the item, and the risk of post-printing conformational warping is increased  
26 [96]. Attention should also be paid to the compatibility of API with the photopolymer, as a recent study  
27 revealed the occurrence of a Michael addition reaction between amlodipine and PEGDA [88]. Vat  
28 polymerisation might also be unsuitable for heat labile drugs, as the temperature of the system might  
29 inadvertently increase as a result of the exothermic photopolymerisation reaction [31]. Lastly, any  
30 unsupported overhanging parts of structures must be supported by removable scaffolding in the vat  
31 polymerisation process, increasing production time and steps [85].

## 1 **2.3 Material Jetting**

### 2 **2.3.1 Inkjet Printing**

3 Inkjet printing (IJP) is a material jetting-based 3DP techniques involving the deposition of viscoelastic  
4 'ink' onto a build plate. Here, droplets of solvent are generated either through vaporisation of ink within  
5 the printer nozzle, or through the use of a piezoelectric material that vibrates and ejects droplets upon  
6 the application of a voltage [97]. Droplets are commonly deposited onto an edible substrate, but studies  
7 have also explored the fabrication of complete tablets obviating the need for a substrate [98]. Deposited  
8 droplets are subsequently solidified through various means, including solvent evaporation, UV curing, or  
9 reactive jetting. Apart from flexible dosing, IJP enables the fabrication of high-resolution patterns  
10 through the precise control of droplet extrusion rate and positioning. Various studies have exploited this  
11 unique feature to fabricate 2D QR encoded dosage forms, either through the deposition of drug-loaded  
12 ink into the pattern of the QR code [99], or by printing QR codes and data matrices onto the surface of  
13 FDM-produced tablets [100]. These studies support ongoing efforts to combat counterfeit medicines,  
14 ensuring safe transport of medicines through the supply chain and safeguarding patient safety [101].  
15 **With the aid of UV curing, 3D structures can be obtained using IJP [102-104].**

16 Formulation development is a key challenge within IJP. Satisfactory printing performance is  
17 highly dependent on the combined physical properties of the formulation, such as surface tension,  
18 viscosity, and density [105]. Suboptimal physical properties can cause issues such as splashing of the  
19 droplet upon impact with the substrate and nozzle blockage. While the carrier fluid forms the bulk  
20 component and largely drives the formulation's physical properties, drugs and excipients will  
21 nevertheless influence viscosity, surface tension, and therefore printability. Beyond physical  
22 considerations, the stability of drugs and excipients is critical in such solvent-based systems. While  
23 thermal degradation can be avoided by using a piezoelectric inkjet printer, chemical stability is primarily  
24 determined by the choice of carrier fluid and drug. The most commonly reported carrier fluid in  
25 pharmaceutical inkjet printing is water; alternative solvents must be developed for drugs prone to  
26 hydrolysis. Clearly, novel means of formulation development will greatly alleviate time and labour  
27 investment within inkjet printing and other 3DP technologies.

### 28 **2.3.2 Powder Bed Fusion (SLS, DMLS, EBM, SLM)**

29 In powder bed fusion, heat is used to bind powder particles that are deposited in a build area or bed to  
30 build up the 3D object. These include Selective Laser Sintering (SLS), Direct Metal Laser Sintering (DMLS),  
31 Electron Beam Melting (EBM) and Selective Laser Melting (SLM) [30, 106]. To date, only SLS has been

1 explored for the manufacture of pharmaceuticals. Here, a laser traces a pattern and fuses powder  
2 particles on the surface of the build plate. The process is repeated each time a fresh layer of powder is  
3 deposited by a roller until the entire 3D object is printed. SLS offers unique advantages for printing orally  
4 administered medicines, such as intricate and complex geometries [32, 107], and orally disintegrating  
5 structures [108, 109]. A recent review has provided a comprehensive overview on the principles and  
6 applications of SLS [110].

7           Though heat is applied only momentarily during powder bed fusion, thermal degradation of the  
8 active pharmaceutical ingredient (API) can be a limitation with some types of SLS printers, especially  
9 when printing heat-labile compounds [110]. Additionally, SLS and other powder bed fusion technologies  
10 produce powder waste, causing its cost-effectiveness to suffer. Finally, while the porosity of SLS-printed  
11 tablets can confer rapid oral disintegration properties, it can also lead to unacceptable friability.  
12 Consequently, there is a need to optimise manufacturing parameters, such as temperature and powder  
13 composition, for the production of tablets with satisfactory mechanical properties, disintegration  
14 properties, and thermal stability.

### 15 **2.3.3 Binder Jetting**

16 Similar to powder bed fusion technologies, binder jetting involves the layer-by-layer build-up of a 3D  
17 object through the binding of powder particles [111]. However, unlike powder bed fusion technologies,  
18 thermal energy is not used to fuse the particles together. Instead, a liquid binder is selectively extruded  
19 and deposited across the powder bed. Notably, the licensed 3DP medicine Spritam<sup>®</sup> is fabricated using  
20 binder jetting. Though heat is not applied during printing, drug stability remains a concern as the  
21 application of the liquid binder may result in hydrolysis. In addition, binder jetting tends to be time-  
22 consuming as the printed object must be left for up to 48 hours to allow solvent evaporation. In addition  
23 to general 3DP features, binder jetting also requires consideration of factors concerning the liquid  
24 binder, including its viscosity and stability.

### 25 **2.3.4 Electrohydrodynamic Printing**

26 Electrohydrodynamic Printing (EHDP) is another material jetting technology that is distinct from other  
27 3DP technologies in that an external electric field is used to jet the material [112]. It is this feature that  
28 has allowed EHDP to garner attention, which provides EHDP with the ability to achieve smaller printing  
29 resolutions and faster printing times compared to similar 3DP setups where an electric field is not  
30 incorporated [113-115]. EHDP has been applied in pharmaceuticals to primarily fabricate films [116-120].

1 However, EHDP has been reported to produce unstable jets, which can result in large batch-to-batch  
2 variation, as well as limited thus far to vertically small products [121, 122].

### 3 **3 Alternative Optimisation Techniques to Machine Learning in 3D** 4 **Printing**

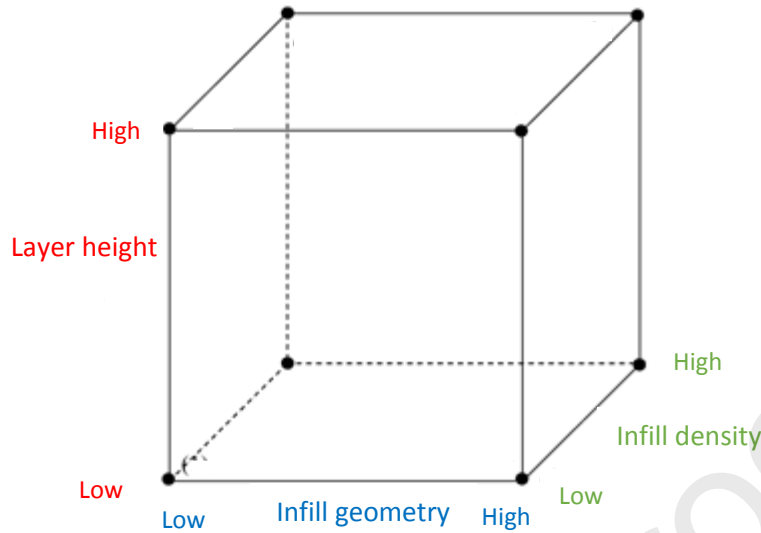
5  
6 Due to the complexity of pharmaceutical 3DP, a trial-and-error approach to the development of new  
7 medicines often wastes time, money, materials, and importantly may not result in an optimal product.  
8 There are many choices to be made when developing a novel 3DP medicine, ranging from the macro:  
9 such as printing technology and formulation components; to the micro: including printer settings and  
10 fine morphological features. Whilst the experience of experts is often sought for research projects, this  
11 is less feasible in clinical settings where printing demand far outstrips the availability of experienced 3DP  
12 practitioners. Moreover, the knowledge-led approach is not standardised or structured. For this reason,  
13 predictive tools are useful in identifying optimal process parameters as they apply existing scientific  
14 knowledge to the production of new medicines. Several optimisation techniques are already established  
15 in industry, with varying scopes of utility. These techniques can be used to ascertain pharmaceutical 3DP  
16 'rules' that may be applied to print medicines without the presence of an expert, to achieve desired  
17 medicine characteristics. Optimisation techniques are key tools in multiple sectors owing to their ability  
18 to minimise both cost and resource wastage, whilst accelerating innovation. The pharmaceutical  
19 industry has come to rely on traditional methods of process optimisation for various formulation  
20 development tasks [86, 87]. Recent work has demonstrated how such tools can accelerate project  
21 timelines from years to months [85]. In some cases, techniques can predict how medicines will behave  
22 *in vivo*, thus reducing requirements for animal experiments. To fully recognise where AI can provide  
23 benefit to pharmaceutical 3DP, it is important to recognise the modalities of existing tools and their  
24 limitations. The applications of four non-AI optimisation techniques within pharmaceutical 3DP will  
25 henceforth be discussed.

#### 26 **3.1 Design of Experiment**

27 Design of experiments (DoE) is a non-learning mathematical technique extensively used in  
28 pharmaceuticals. DoE is a systematic model for process optimisation that studies how input parameters  
29 (e.g. drug loading) relate to each other and the desired output (e.g. tablet strength) [123]. For example,  
30 variables that could impact tablet breaking force include binder content and excipient porosity and

1 friability [124]. DoE allows mapping of the extent that variables affect the experimental outcome, alone  
2 and in combination. DoE projects generally follow a similar structure. Firstly, the research objective is  
3 defined, such as 'optimise the strength of 3DP tablets'. Next, researchers must consider what process  
4 variables are likely to have a considerable impact on the outcome [125]. This step requires specialist  
5 process knowledge, careful consideration of all possibilities, and elimination of bias. Once all significant  
6 process variables have been agreed upon, researchers must select which to investigate in their DoE  
7 model. If researchers choose to investigate many variables, then greater time, money, and consumables  
8 will be required to build an accurate and robust model. Following variable selection, levels of variables  
9 to investigate need to be chosen. Two-level DoE models are very common, though more levels can be  
10 investigated if researchers are comfortable in building larger, more complicated models. In a two-level  
11 design with numerical variables, a 'low' and 'high' point are selected for each (**Figure 1**). This selection  
12 will define the range over which the model can be used. For example, if printing temperatures of 50 °C  
13 and 100 °C are chosen as the two levels, then researchers would not be able to use the resulting DoE  
14 model to predict printing outcomes outside 50 – 100 °C [123]. Once experiment variables and their  
15 levels are decided upon, then model design can be determined. DoE model design can be a complex  
16 task, and other sources go into substantial depth on this process [126]. These decisions will be  
17 influenced by available resources, statistical power, and operational considerations. If a full-factorial  
18 design is chosen, then all possible experimental permutations are performed. Fractional factorial designs  
19 sample a subset of the full-factorial, which can be used to reduce the number of experiments required,  
20 albeit at the expense of reduced statistical power. In this way, the experimental space is covered  
21 without having to perform all possible iterations. DoE designs are followed by performing experiments  
22 that sequentially alter variables' levels, testing how individual variables affect the outcome, and often  
23 whether there are compounding effects between variables. Once data collection is complete, then  
24 statistical methods such as ANOVA are used to analyse how variable levels relate to the outcome.  
25 Researchers can then use the model to predict what variable settings will result in an optimal outcome.  
26 To date, DoE in 3DP of pharmaceuticals has been applied to evaluate structure–function relationships of  
27 various parameters for FDM, SLS and SSE [127-131].





1

2 **Figure 1** Two-level full-factorial DoE design considering three numerical independent variables  
 3 implicated in 3D printing.

4

### 5 **3.2 Finite Element Analysis and Computational Fluid Dynamics**

6 Similar to DoE, finite element analysis (FEA) and computational fluid dynamics (CFD) **are** another  
 7 standard optimisation techniques used in both academia and industry, applied in fields such as  
 8 aerospace, electronics and biomechanics. In fact, the FDA is actively investing in CFD for medical devices  
 9 and biological fluids [132]. The wide adoption of both techniques can be attributed to the high degree of  
 10 accuracy that can be achieved, which in some instances has been found to be more accurate than  
 11 results obtained from experimental measurements [133, 134]. An additional appeal is that simulations  
 12 can be performed that are experimentally challenging to conduct [135]. **Both modelling techniques are**  
 13 able to simulate a range of forces that products are subjected to, including mechanical stress, heat and  
 14 fluid dynamics, which seamlessly allows researchers to optimise their design thereafter. The process  
 15 involves loading the design of interest and applying stresses that are anticipated for the design, factoring  
 16 in both magnitude and direction. The results of the stresses on the design can be observed by the user,  
 17 and hence provides a 'white-box' effect. This has been leveraged by pharmaceutical researchers in 3DP  
 18 to visualise the stress distribution in microneedles, thermodynamic behaviours in FDM filaments, air  
 19 flow in inhalers, and rupture behaviour of coated capsules [136-139].

### 3.3 Mechanistic Modelling

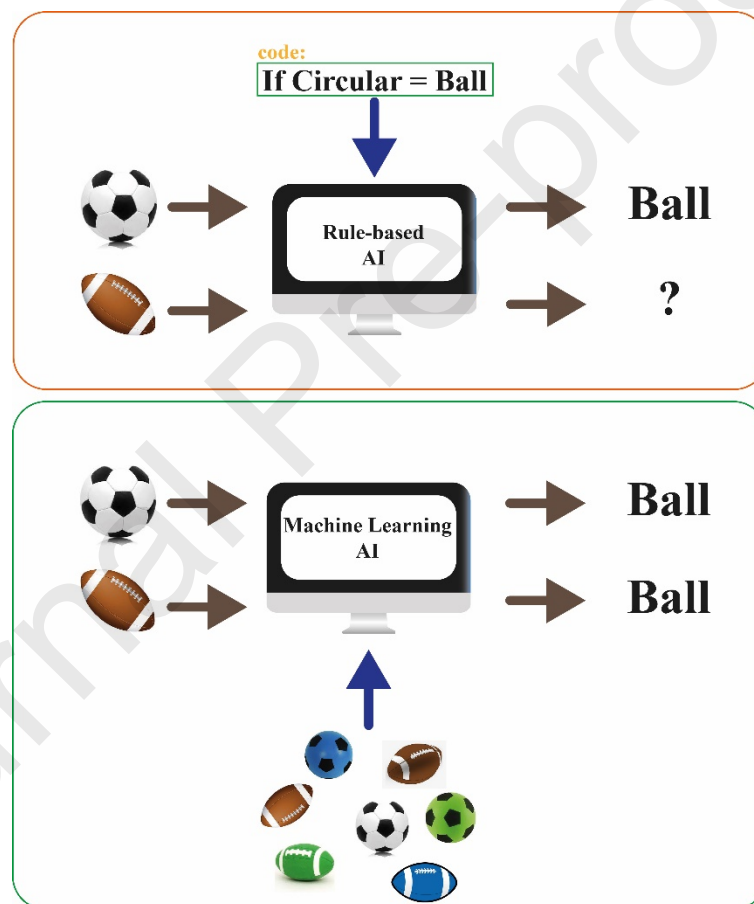
Mechanistic models are mathematical models built using physical laws to explain process variables, and have been applied to 3DP [140]. These types of models require domain expertise, which depending on the model developed, will require knowledge of thermodynamics, particle physics, and fluid dynamics [141]. A salient advantage of mechanistic models is that they can be regarded as ‘white-box’ modelling since the dependent variable is clearly explainable [142]. Mechanistic modelling has been explored for 3DP, with relevant models covering filler impregnation, predicting mechanical properties, photopolymerisation kinetics, and heat absorption in powder-bed technologies [140, 143-146]. However, for pharmaceutical 3DP mechanistic modelling has not been thoroughly employed. Unlike other techniques (DoE and FEA), there are no readily available software to simulate mechanistic models for 3DP. Two notable studies incorporating mechanistic models within pharmaceutical 3DP have been conducted by Zidan et al. (2019), whereby rheological characteristics of formulations were modelled. Rheology is an invaluable tool to understanding processing conditions [147], which in the study ultimately led to improving the flow rate of pastes during printing [148, 149].

## 4 Artificial Intelligence and Fundamentals of Machine Learning

ML is one of the main AI technologies [150]. The goal of AI is to achieve super-human intelligence. Classic AI, also referred to as symbolic AI, was able to achieve this through a rule-based system, whereby rules were hard-coded into models through human intervention. Hence, symbolic AI requires researchers to first learn the rules and then code the relationship into an algorithm. This is a drawback because time and resources are needed to first identify relationships. Moreover, rules will need to be revised if new rules are identified, which consequently makes symbolic AI difficult to scale-up. ML AI on the other hand uses statistical learning techniques that allow a machine to establish its own relationship between explanatory and response variables. Therefore, ML is able to adapt as the training data changes (**Figure 2**). ML algorithms can work at speeds well beyond human intellect, with a much lower risk of error, therefore it is unsurprising how ML has come to transform so many contemporary disciplines and processes [8, 151-154].

The ML process involves a series of stages that combine to form an overall pipeline (**Figure 3**). Typically, data must be pre-processed and possibly vectorised prior to any learning taking place. The pre-processing stage is to ensure the data is cleaned and ML-friendly. In a survey conducted, it was found that ML practitioners spend most of their time, up to 60-80%, on cleaning data and pre-

1 processing [155]. For one, datasets are rarely ML compatible, with issues encountered include missing  
 2 data, incorrect data, and outliers. Such anomalies can impact the performance of a ML model and in  
 3 some cases, lead to invalid predictions. In addition, pre-processing can enrich data, which in turn can  
 4 facilitate the ML technique in discerning patterns. Such methodologies include removing noisy variables  
 5 or reducing the number of features considered by an algorithm. Although ML can be approached in a  
 6 *plug-and-play* manner, whereby unprocessed data is directly fed to an algorithm, taking the additional  
 7 steps to clean and pre-process data can significantly improve prediction performance. An adage used  
 8 within physical experimentation also applies to ML: by taking the additional steps to ensure the starting  
 9 materials are properly **pre-treated**, one can improve the consistency of the end product.



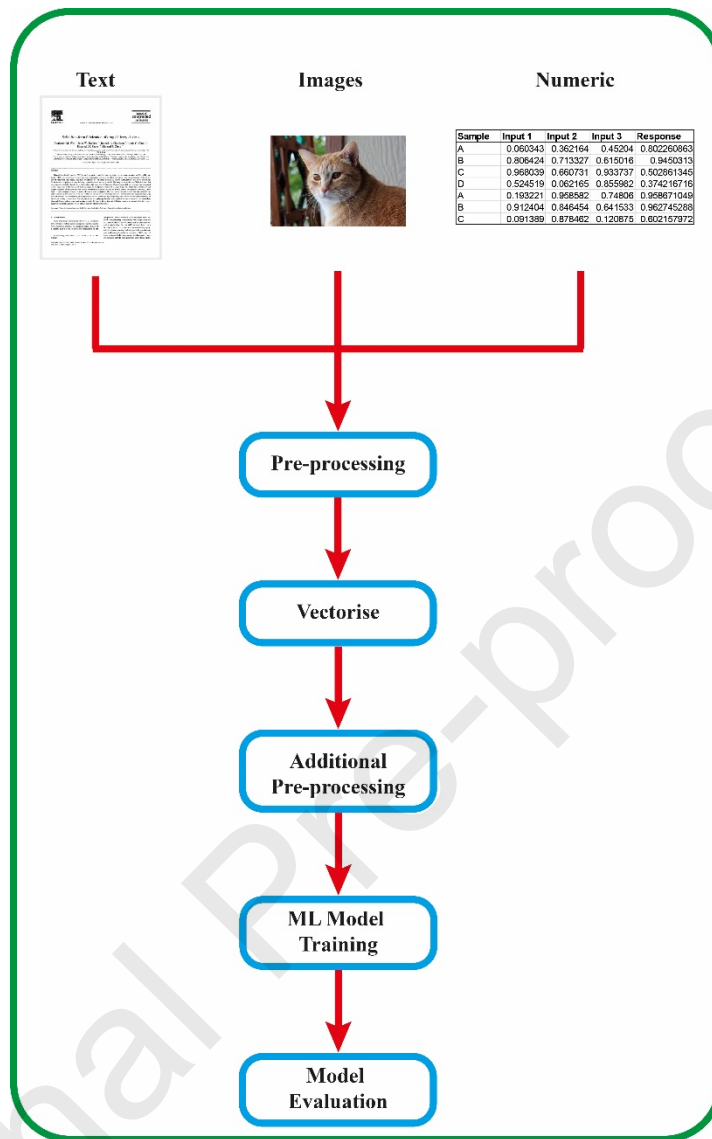
10

11 **Figure 2.** The difference between rule-based and machine learning AI. The former requires a  
 12 user to explicitly code, in this example, the definition of a ball; whereas the latter is given images  
 13 of the target and asked to learn from the dataset.

14

1           Once data is clean, learning can begin. Mode of learning varies depending on the specific ML  
2 technique used and is discussed in more depth in **Sections 4.1.1-4.1.5**. Generally, algorithms are trained  
3 to recognise patterns in data, which they can then attach rules to, hence 'learning' how data features  
4 map to outcomes. Once data has been fed into a ML algorithm, and a model is formed, then predictions  
5 for new data can be made. There are various metrics that are used to evaluate the performance of ML  
6 techniques since there is no one metric that holistically describes predictive performance. Thus, a  
7 frequent practice is to evaluate the performance using several metrics. For classification techniques,  
8 metrics include accuracy, precision, recall, specificity, Cohen's kappa, and Matthew's correlation  
9 coefficient. For regression analysis, common metrics include the root mean squared error, mean  
10 absolute error and coefficient of determinations ( $r^2$ ). Metrics can be additionally useful for comparing  
11 the performance of different ML techniques or different pre-processing strategies [156].

12



1

2 **Figure 3.** Overview of a typical ML pipeline. ML can handle text, images and numeric data formats.  
 3 Though a ‘plug-and-play’ approach can be taken with ML, pre-processing can help enrich input data and  
 4 ultimately improve model performance.

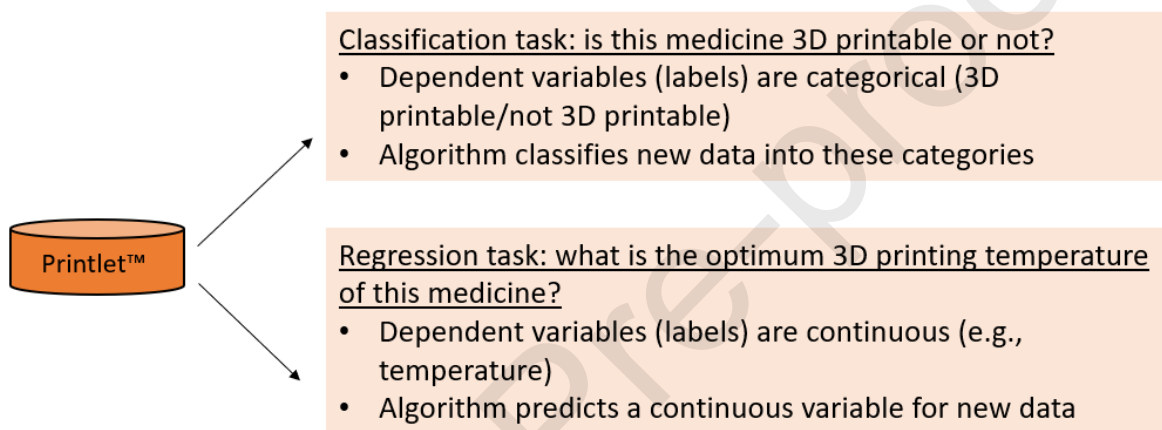
5

## 6 4.1 Machine Learning Techniques

### 7 4.1.1 Supervised learning

8 There are several subclasses of ML, of which supervised learning is one. Supervised learning involves  
 9 directing an algorithm to solve a specific question. The algorithm is presented with data that has been  
 10 labelled, describing the question of interest. For example, labels could be medicine 3D printability, or  
 11 optimum 3DP temperature [156]. The former label in this example illustrates a classification ML task, as

1 medicines are classified as being 3D printable, or alternatively, not 3D printable. The latter exemplifies a  
 2 regression task, because a specific printing temperature is given from a continuous range (**Figure 4**). A  
 3 supervised ML algorithm takes a subset of the labelled data, known as the training data, and uses it to  
 4 learn how dataset features relate to labels; e.g. how the physical properties of a medicine affect its 3D  
 5 printability. After learning how data features relate to data labels, the ML algorithm can use a second  
 6 subset of the data, known as testing data, which is unseen to the machine, to verify how accurate its  
 7 predictions are. Supervised learning has been used to classify gene-disease association, pattern  
 8 recognition of pharmaceutical raw ingredients [157, 158].



9

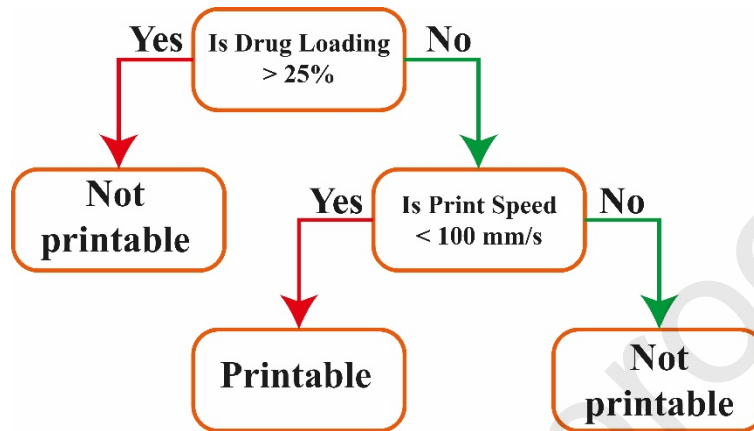
10 **Figure 4.** Difference between classification and regression ML tasks.

11

12 Frequently used supervised algorithms include multilinear regression, decision trees, random  
 13 forest, support vector machine, and artificial neural networks (ANN). Multilinear regression is a series of  
 14 linear regression calculations, seeking to fit a regression line through a multi-dimensional space [159]. As  
 15 the name suggests, decision trees make their predictions by learning classification rules within data  
 16 based on the dataset features (**Figure 5**). A decision tree consists of nodes and branches, where each  
 17 node splits into further nodes until the terminal node. The user can define how each node splits. For  
 18 classification tasks, a popular splitting decision is based on probability, where the algorithm learns the  
 19 split with the greatest probability of obtaining the correctly labelled class [160]. Multiple decision trees  
 20 can be used to establish the best prediction, which are referred to as random forest. Essentially, random  
 21 forests are a collection of decision trees that are randomly divided, learning random subsets of

1 explanatory variables. The final step is then to pool the results together, and depending on the user-  
 2 defined method, random forest can then obtain an average or the majority vote [160].

3

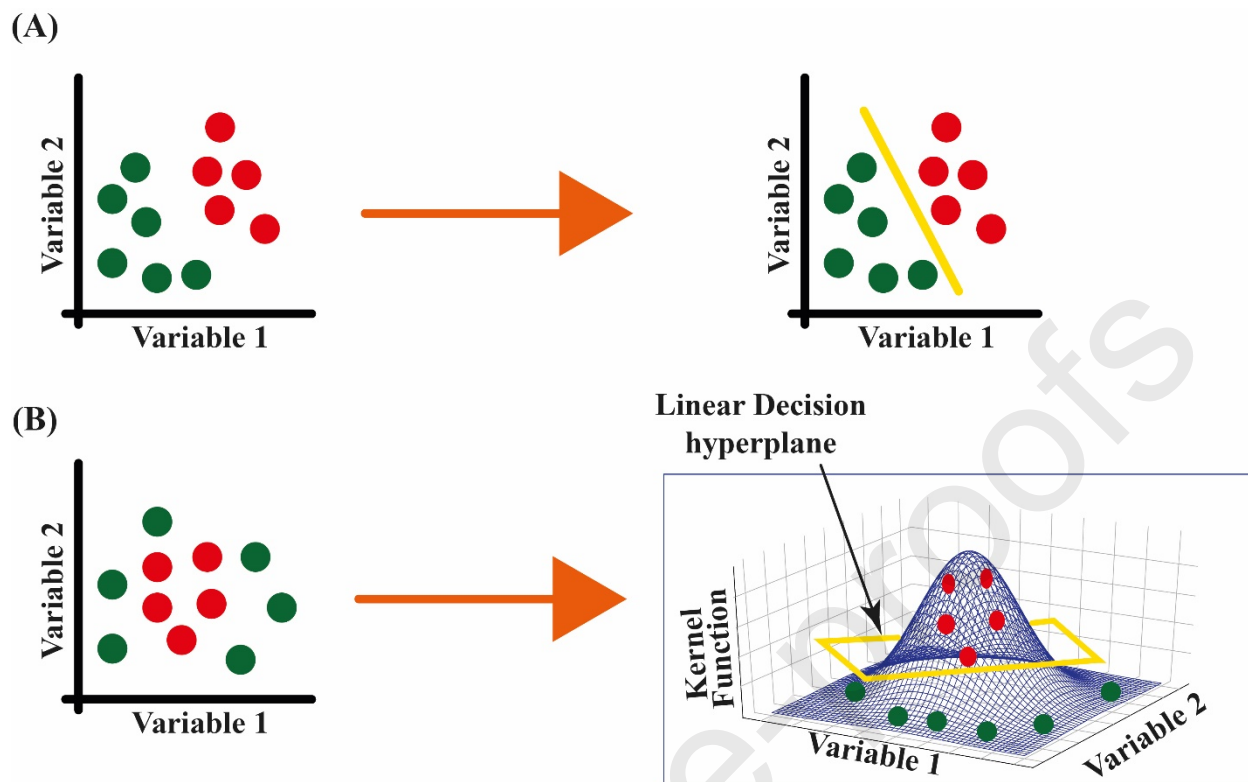


4

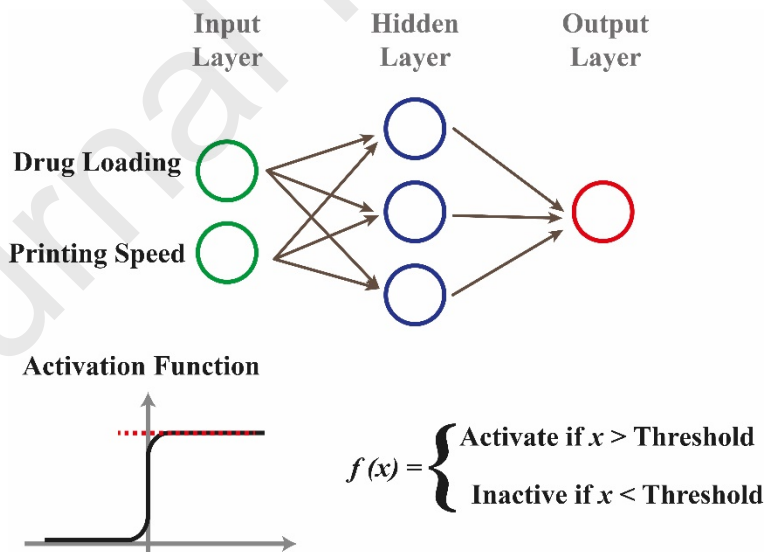
5 **Figure 5.** A schematic depicting an example of a simple decision tree. In this example, a  
 6 decision tree is learning the rules for determining printability based on drug loading and print  
 7 speed.

8

9 Support vector machines create a decision boundary seeking to separate the different classes.  
 10 The decision boundary consists of a linear hyperplane and support vectors, where the latter determines  
 11 the margin of the decision boundary [161] (**Figure 6 (A)**). Hence, the input data needs to possess a  
 12 linear relationship. For non-linear datasets, the *kernel trick* can be employed for SVM, whereby the data  
 13 is projected onto a high-dimensional feature space, and subsequently a linear hyperplane is fitted  
 14 (**Figure 6 (B)**). With the *kernel trick*, SVM is an attractive ML technique for both linear and non-linear  
 15 datasets. Artificial neural networks are another commonly used algorithm, first modelled in 1943.  
 16 Inspired by biological neurons, an ANN consists of interconnected nodes, which are connected by edges.  
 17 Each node performs a calculation, factoring in the weighted values received from preceding nodes,  
 18 where if a given threshold is reached, then the node is activated, and the signal is propagated to the  
 19 next layer (**Figure 7**) [162].



1  
2 **Figure 6.** Schematic illustrating the classification principle behind SVM. This algorithm learns  
3 through creating hyperplanes in order to separate different classes. If the input data is non-linear,  
4 then the ‘kernel trick’ is used to find linearly separable hyperplanes between different classes.

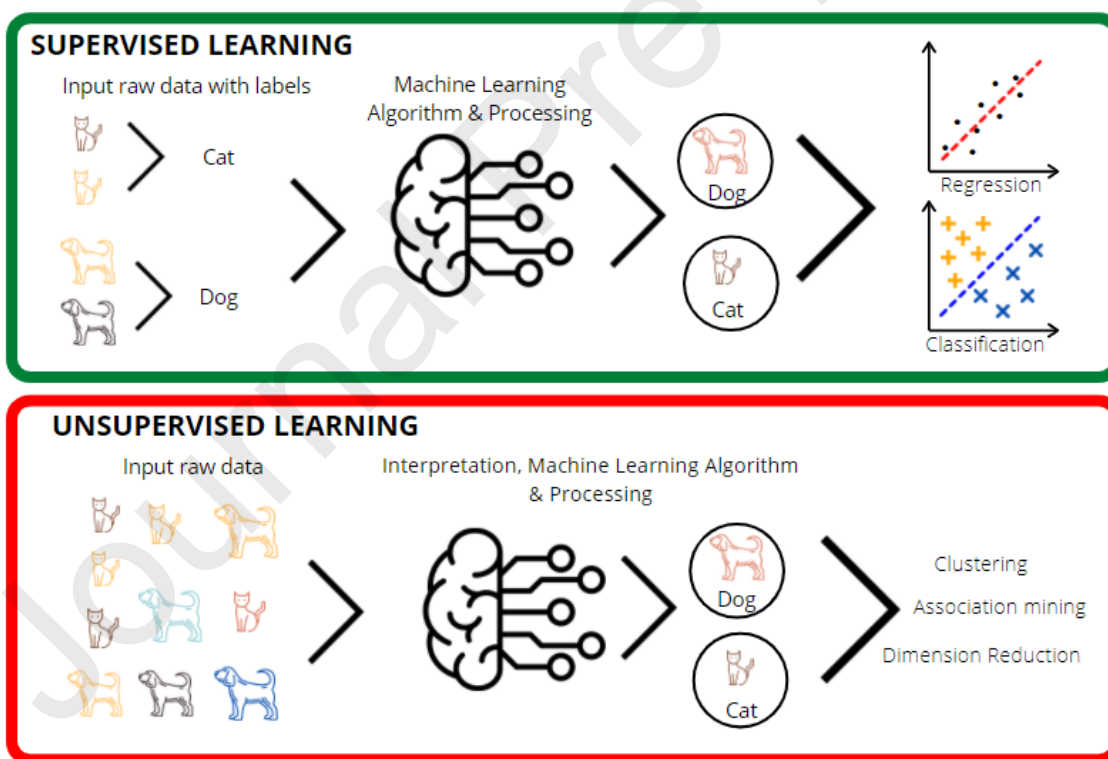


5  
6 **Figure 7.** Schematic of ANN. Inspired by biological neurons, the algorithm consists of nodes  
7 (coloured circles) and edges (arrows) that communicate together provided the threshold for the  
8 activation function has been reached. ANN is inspired by the workings of a biological neurone.  
9



### 1 4.1.2 Unsupervised learning

2 Unsupervised learning involves the identification of patterns in data, without access to labels. For this  
 3 reason, no predefined questions are asked of the algorithm; the algorithm identifies differences in data  
 4 without being told what differences to look for [163, 164]. For example, an unsupervised algorithm  
 5 could be supplied with the pharmaceutical properties of thousands of 3D printed tablets, and of its own  
 6 accord **find if** there is a relationship between tablet porosity and disintegration speed. Unsupervised  
 7 learning provides researchers with a powerful tool to analyse data without human bias [165]. By  
 8 choosing to not ask specific questions, algorithms may find patterns in data that researchers had not  
 9 previously considered. A common unsupervised ML technique is clustering, in which a model learns  
 10 differences between data points, and clusters them into groups for visualisation of a data trends [166].  
 11 Key clustering algorithms include hierarchical clustering, k-means, and divisive analysis [167].  
 12 Unsupervised learning has been used to classify P-glycoprotein inhibitors [168]. The difference between  
 13 supervised and unsupervised learning is portrayed in **Figure 8**.



14

15 **Figure 8.** Illustration depicting the difference between supervised and unsupervised learning.  
 16 Supervised learning requires the input data to be annotated by the user, and can perform both  
 17 regression and classification tasks. Unsupervised learning does not require the data to be  
 18 annotated, and thereby saving time. Instead, unsupervised learning requires the algorithm to

1 inherently identify difference between groups. Unsupervised learning is generally used for  
2 clustering, association mining and dimension reduction.

### 3 **4.1.3 Semi-supervised learning**

4 Semi-supervised learning, as its name suggests, sits at the intersection between supervised and  
5 unsupervised methods [169]. Semi-supervised projects begin with a dataset that is partially labelled. In  
6 the modern world of big data, partially labelled datasets are a common occurrence [170]. Unsupervised  
7 learning techniques are used to label unlabelled data by drawing inferences from data with labels [171].  
8 Subsequently, supervised techniques are then used to identify relationships between data features and  
9 their labels. Semi-supervised learning is a useful approach for increasing the quantity of useable data in  
10 a set. Increasing the amount of data is often sought after to increase the external validity of a ML model.  
11 As with all experiments, increased sampling typically leads to more reliable and transferable results.  
12 Labelling of data by humans can require significant time, money, and is prone to mistakes. In  
13 juxtaposition, the same task carried out by unsupervised ML methods is often fast, efficient, and  
14 fastidious.

### 15 **4.1.4 Reinforcement learning**

16 Reinforcement learning is a goal-directed technique applied to unlabelled data [172]. Reinforcement  
17 algorithms are set a goal and then the ML model works towards accomplishing this in an iterative, self-  
18 teaching manner. For example, a reinforcement algorithm has mastered the boardgame Go through  
19 self-teaching alone, achieving super-human performance [173]. During reinforcement learning actions  
20 are applied, and their success is judged based on how close they bring the algorithm to its end goal. For  
21 example, a 3D printer with in-built reinforcement learning would tweak printing parameters and  
22 quantify what effect they have on tablet hardness. If a chosen parameter results in an outcome that  
23 deviates from the end goal, the algorithm experiences 'punishment', and learns not to carry out such an  
24 operation again. Conversely, if a parameter moves the system closer to the goal, then the algorithm will  
25 experience 'reward', and will learn that this is a positive action. With time reinforcement algorithms  
26 learn how to avoid punishment and maximise reward, eventually leading them to achieve their goal  
27 [174].

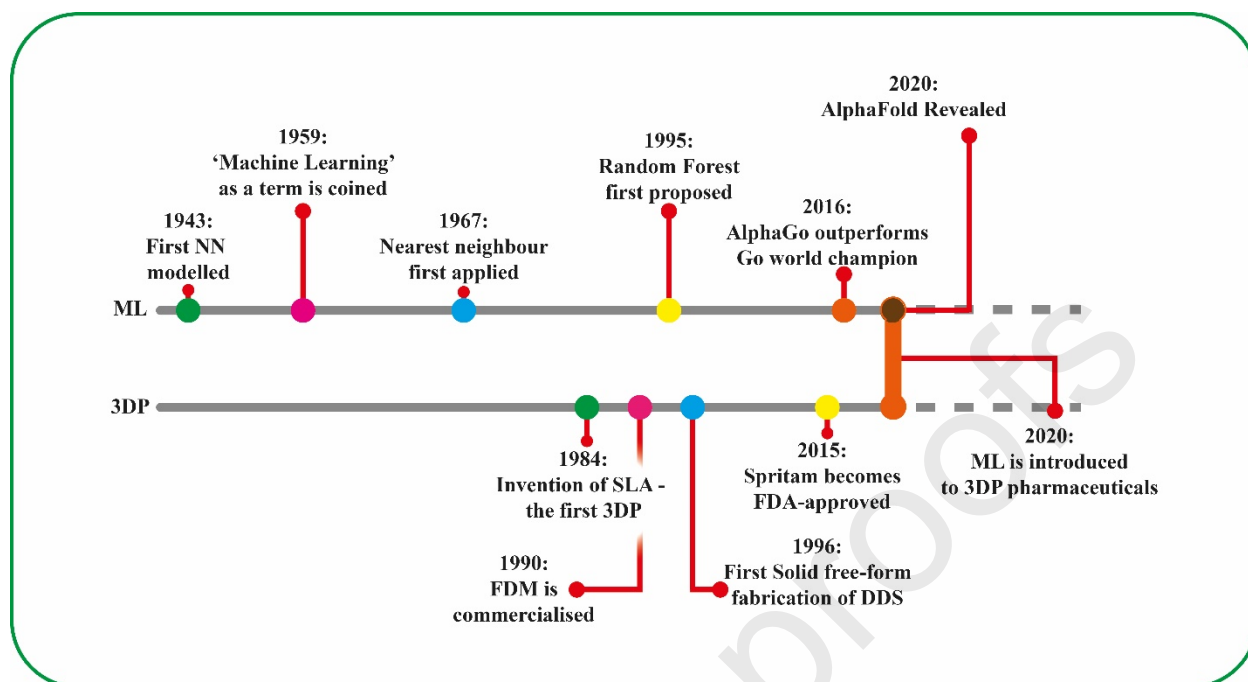
### 28 **4.1.5 Deep Learning**

29 Deep learning is a subset of ML that is garnering increasing attention in recent years [175]. Deep  
30 learning is an extension of artificial neural networks, whereby networks extend more deeply and thus  
31 interact at higher complexities [176]. ANN typically have three layers: an input, a hidden layer, and an

1 output layer. In deep learning, the number of hidden layers can extend into the 100s [177]. This has  
2 resulted in deep learning being able to outperform other ML algorithms for large datasets, and to easily  
3 model complex interactions between features [176, 178]. Additionally, the neural architecture can be  
4 made such that deep learning can be used for either supervised, unsupervised or reinforcement  
5 learning, and thus expands deep learning's application.

## 6 **5 Applications of ML in Pharmaceutical 3D Printing**

7 Both 3DP and ML are enabling features of the fourth industrial revolution, Industry 4.0, whereby  
8 traditional manufacturing methods are advanced and automated [179]. Despite both technologies  
9 existing for decades, it was only recently that the two began to merge (**Figure 9**). ML has the potential  
10 to drastically change how research in 3DP is approached in both research and clinical settings. Recently,  
11 Gongora et al. found that ML can reduce the number of FDM experiments by 60-fold [180], whilst  
12 Ruberu et al. reported that process optimisation through ML can considerably reduce the number of  
13 bioprinting experiments to below 50, out of a possible 6,000 to 10,000 [181]. Evidently, these will  
14 expedite research discoveries and facilitate personalised, on-demand printing of medicines. ML has  
15 been applied to different stages of the 3DP pipeline, which here are categorised as pre-printing, in-situ  
16 or real-time printing, and post-printing.



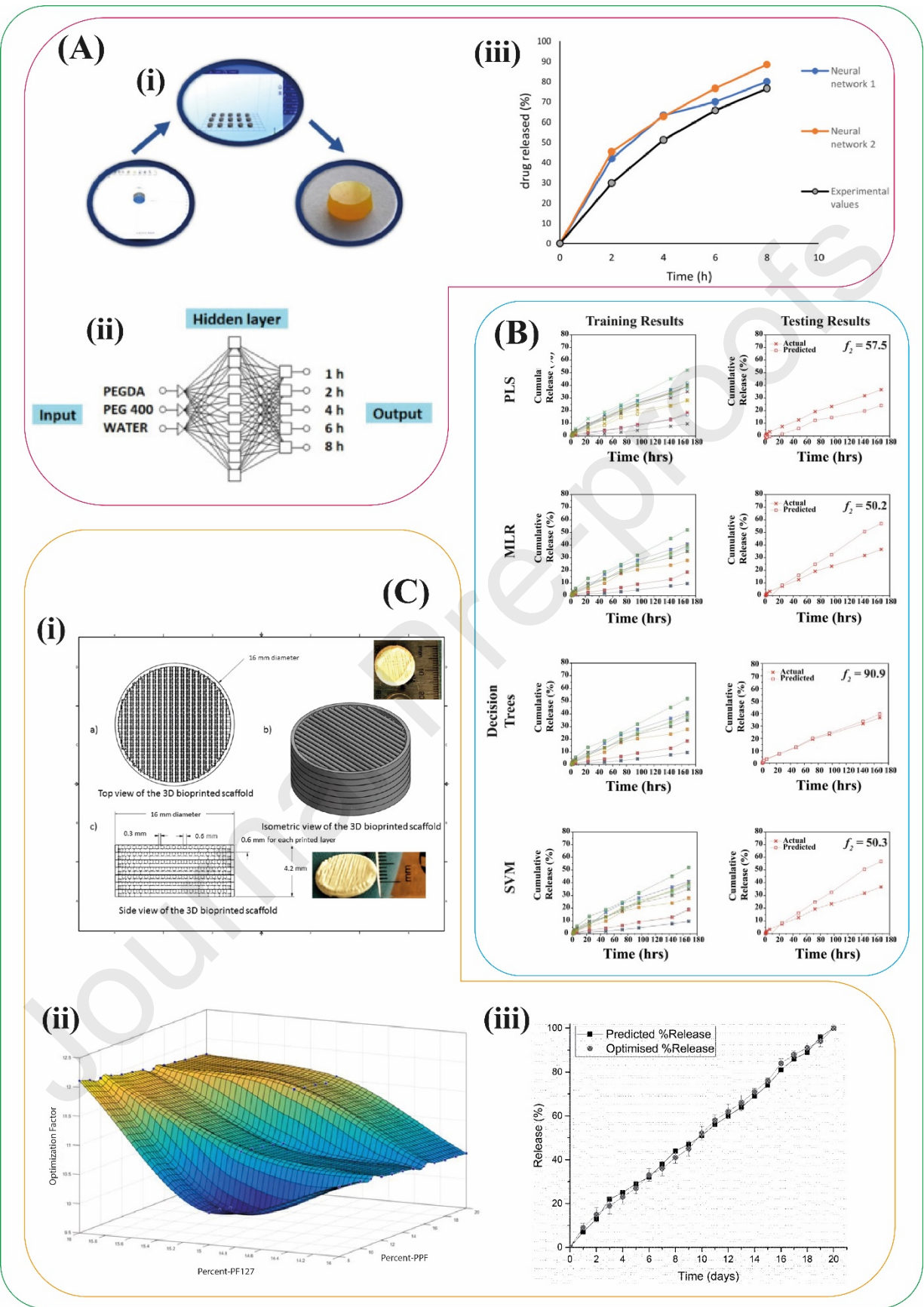
1  
2 **Figure 9.** Side-by-side timeline depicting key landmarks in ML and 3DP research until 2020. Although both  
3 technologies align with Industry 4.0, they have been mostly researched independently to one another. In  
4 2020 articles combining ML and 3DP of pharmaceuticals begin to be published. (NN: neural network).

5

## 6 **5.1 Machine Learning in the Pre-Printing Stage**

7 Pharmaceutical formulation is a complex task ordinarily requiring expert experience. Even seemingly  
8 insignificant changes to formulation design can significantly affect the final medicine characteristics and  
9 *in vivo* behaviour. For example, tablet geometry can considerably affect drug dissolution rate, and the  
10 choice of excipients can affect bioavailability [182, 183]. In pharmaceutical 3DP, formulations are often  
11 personalised and thus different from one batch to the next. Thus, specialists in the field must rely on  
12 their knowledge to adapt formulations to suit the pharmaceutical needs of the individual [50]. There are  
13 many factors to consider during personalised formulation design, some include: patient's swallowing  
14 capacity, flavour preferences, required drug dose, required drug release kinetics, presence of disease,  
15 sex, age, motor skills, and coadministered medications [184-199]. ML has the capacity to consider all  
16 these factors and predict optimal formulation design features based on an individual's requirements  
17 [154, 200-202]. Within the pharmaceutical formulation field, ML has been used to predict medicines'  
18 stability, drug loading capacity, drug release kinetics, and clinical patient response, to name a few  
19 applications [203-208]. These are all directly applicable to formulation of 3DP medicines.

1 In the pharmaceutical world, ML has mostly been used to predict and optimise drug release  
2 [209-220]. Medicines' drug dissolution profiles are a fundamental characterisation technique in  
3 pharmaceutics [221]. Traditional evaluation of drug dissolution is time-consuming, expending large  
4 quantities of consumables, such as buffers, and requiring apparatuses with high capital costs, such as  
5 UV-Vis spectrophotometers and dissolution baths. Therefore, ML prediction of medicines' dissolution  
6 behaviour could allow researchers to **experimentally** screen only the formulations predicted to have the  
7 best results **(i.e. formulations of interest)**; hence allowing scientists to redirect time and resources to  
8 other aspects of the formulation process. Several studies have used ML to predict dissolution profiles of  
9 3DP medicines (**Figure 10**). ANN has been used to predict the dissolution behaviour of DLP-fabricated  
10 Printlets™ [222]. **Two ANN were compared, where one model only used the material composition as an**  
11 **input, and the second ANN model used both the material composition and the DLP exposure time. It**  
12 **was revealed that the ANN architecture using solely the material composition obtained an  $R^2$  of 0.981**  
13 **when compared to the experimental data, whereas the ANN architecture factoring exposure time**  
14 **yielded an  $R^2$  of 0.996, thus inferring the exposure time to be a pertinent input.** Another study compared  
15 the performance of four different ML techniques to predict the dissolution profiles of FDM products,  
16 using rheological properties as inputs [210]. The study revealed that a non-linear technique, decision  
17 trees, outperformed other linear techniques in predicting drug release profiles. A third study  
18 investigating ML for prediction of drug release **using the material composition as input** found ANN to  
19 achieve near perfect predictions, **as depicted in Figure 10 (Ciii)**, thus highlighting the utility of ML in  
20 such applications [223]. These studies have shown that ML models can learn how drug release works, in  
21 that drug concentration, at succeeding time points, will be equal to or greater than preceding time  
22 points.

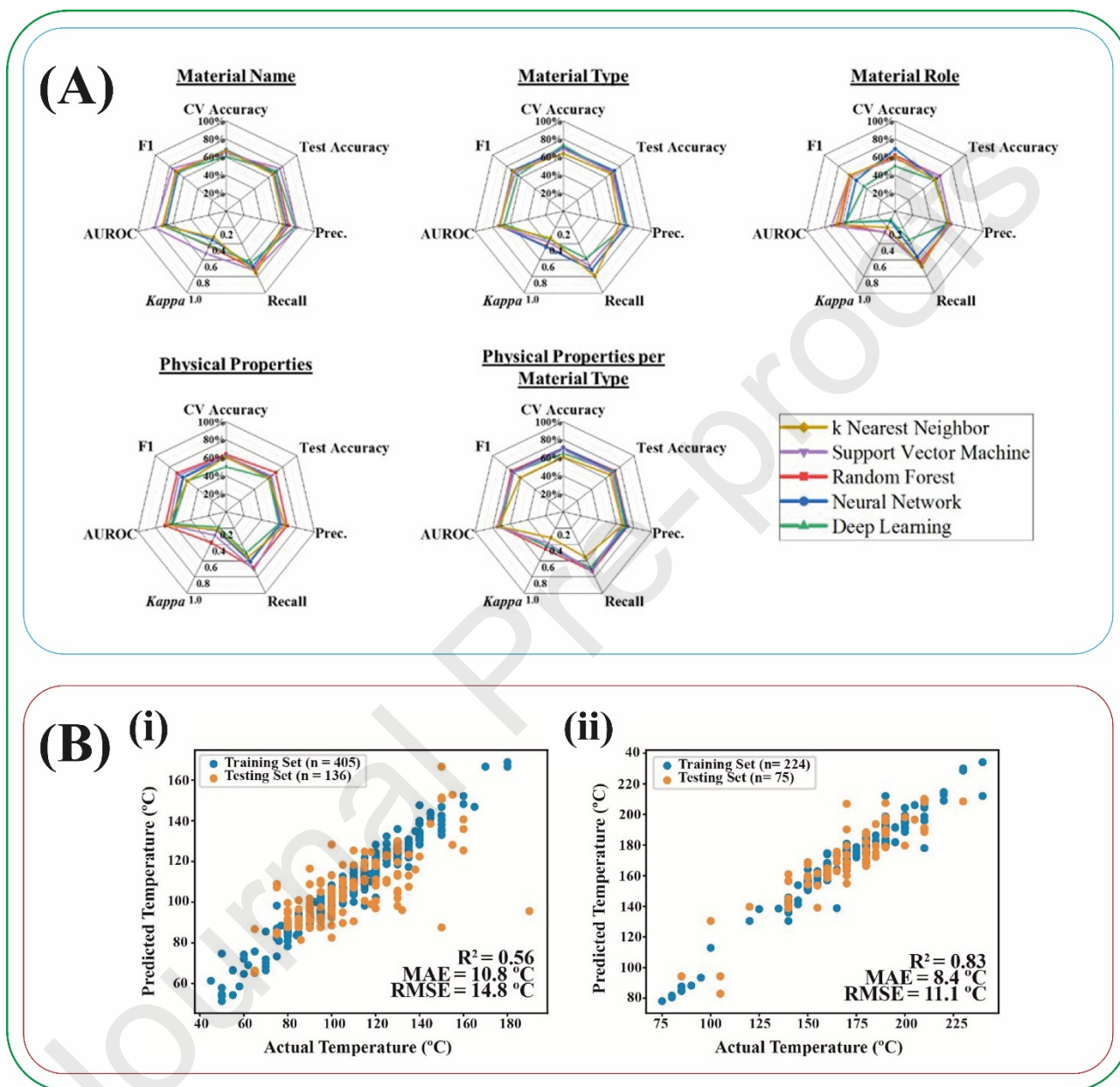


1 **Figure 10.** Machine learning applied to predict 3DP medicines' drug release profiles. **(A)** The inputs for **(i)**  
2 SLA formulated printlet were processed using **(ii)** ANN to **(iii)** predict the dissolution profile [222]. **(B)**  
3 Several MLTs were compared, where it was determined decision tree produced the most accurate  
4 predicted dissolution profile [210]. **(C)** ANN were also used on **(i)** bioprinted scaffold to determine **(ii)** the  
5 correlation between inputs and outputs, to **(iii)** ultimately determine the release profile [223].

6  
7 ML has also been used to predict the printability of formulations: a key consideration of 3DP formulation  
8 design [156]. In the first study using big pharmaceutical 3DP data with ML, researchers built a dataset  
9 comprised of 614 drug-loaded formulations for FDM filaments produced by HME, incorporating 145  
10 distinct excipients. Each formulation was labelled according to the filament mechanical characteristics  
11 (e.g. good, brittle, flexible), printability (i.e. printable or not), and both extrusion and printing  
12 temperatures. With this labelled dataset it was possible to employ supervised learning to predict  
13 filaments' printability (**Figure 11**). The study investigated several methods of supervised learning. The  
14 model was able to predict the qualitative filament mechanical properties, such as whether the filament  
15 was flexible, brittle or good. Solely using the weighted fraction of the materials in a formulation as  
16 inputs, a printability accuracy of 76% was obtained.

17 As mentioned in **Section 4**, pre-processing of data prior to ML can help improve the  
18 performance of a model. With this in mind, the authors of [156] created an additional four feature sets  
19 using their pharmaceutical domain expertise. One of the limitations of using material names as an input  
20 is it means predictions cannot be made for materials not existing within the training dataset. Hence, the  
21 rationale for engineering new feature sets was to improve the generalisability of the model. A feature  
22 set called Physical Properties was engineered. This feature sought to use weighted physical properties of  
23 a formulation as inputs; the glass transition temperature ( $T_g$ ), melting temperature, and molecular  
24 weight. Hence, if a material did not exist in the dataset, or if the material was used as a primary polymer  
25 rather than a plasticiser (e.g. PEG), then this was not a problem for the ML model as it could consider  
26 the weighted properties of the formulation. Although the model accuracy when using Physical  
27 Properties decreased to 70%, it afforded the ability to apply ML models to formulations with new  
28 materials without having to re-train the model using new materials. As a transparency check to ensure  
29 the ML models were learning the correct information, random forest was used to rank the importance  
30 of materials in formulations on response variables. It was subsequently discovered that the  
31 concentration of the primary polymer was the main determinant for predicting printability, followed by

- 1 plasticiser concentration, which are formulations variables that experienced 3DP practitioners would
- 2 agree influence the printability of a formulation.



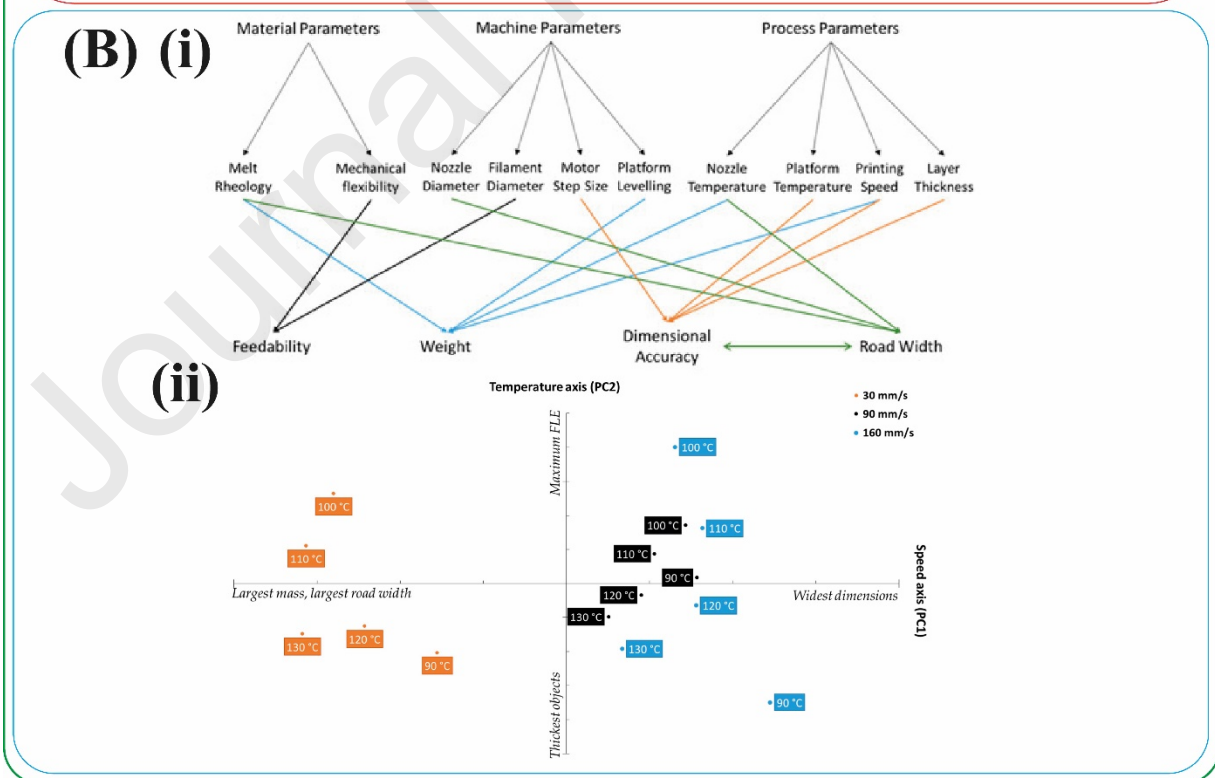
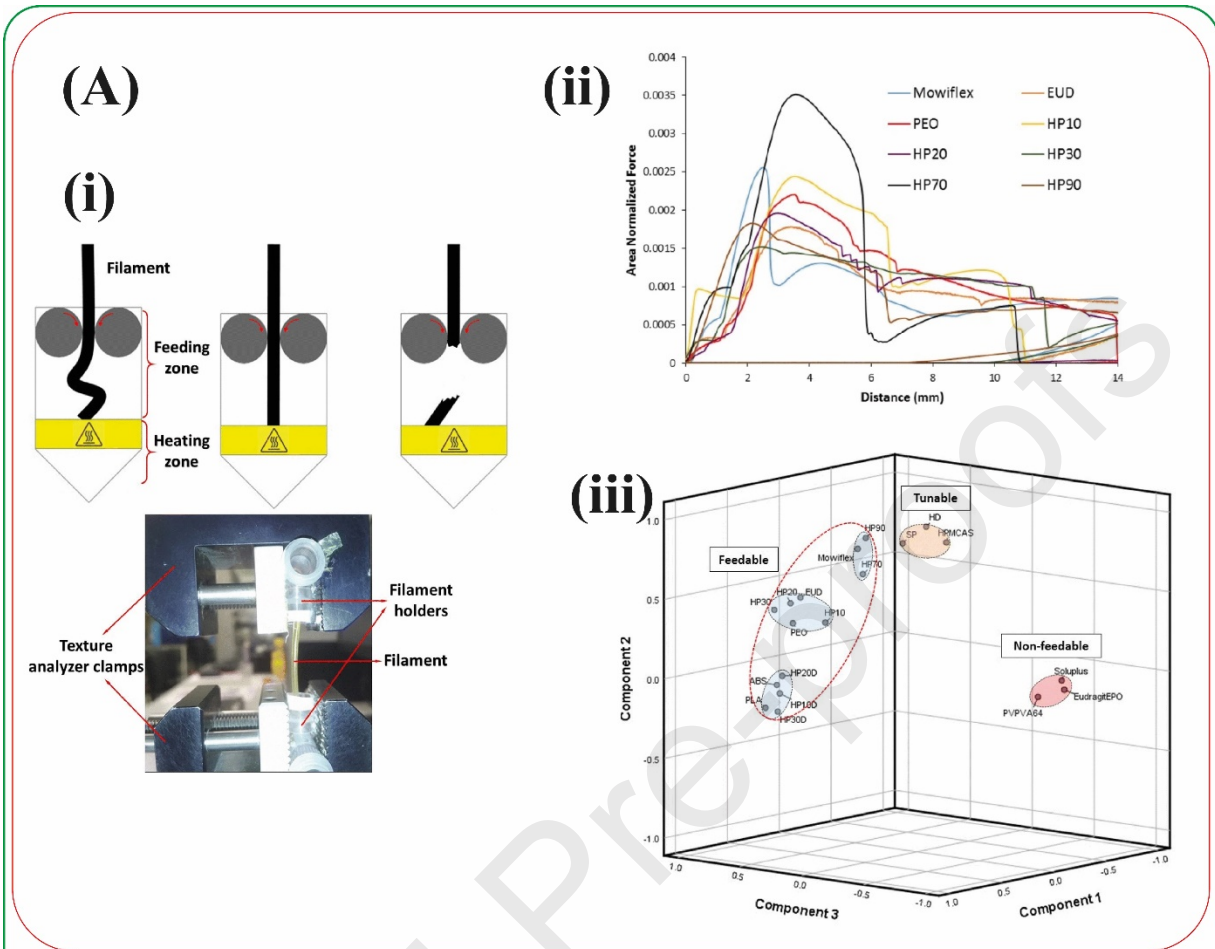
3  
4 **Figure 11. (A)** Machine learning performances for determining the printability of FDM formulations  
5 using five different feature sets. **(B)** Random Forest predictions for the (i) extrusion and (ii) printing  
6 temperature [156].

7 Further to supervised learning, unsupervised learning has also been applied to support the pre-  
8 printing stage. As mentioned, unsupervised learning does not require labelling of data with explanatory



1 variables. An advantage is that models are not influenced by subjective or erroneous human labelling,  
2 allowing algorithms to naturally establish patterns in the dataset. An unsupervised ML technique that  
3 has been widely used is principal component analysis (PCA). PCA learns a transformation that maps  
4 high-dimensional data to low-dimensional representations, capturing the variation in the data [224,  
5 225]. As well as being used as a ML technique in its own right, PCA's powerful dimensionality reduction  
6 can also be applied to the pre-processing stage of ML to reduce dataset noise. PCA has been used to  
7 predict the feedability of filaments for FDM pharmaceutical printing ( **Figure 12 (A)**) [226]. By  
8 measuring mechanical properties of filaments, and generating a force-distance profile, PCA was found to  
9 cluster similar filaments together, which were termed as 'feedable', 'tunable' or 'non-feedable'. Here  
10 PCA shows that complex mechanical plots can be made more interpretable with ML, allowing the  
11 discernment of patterns. As illustrated in **Figure 12(A)**, it is visually easier to interpret PCA results than  
12 raw data. Alternatively, PCA can be paired with another unsupervised technique, k-means, to further  
13 streamline ML [227]. K-means seeks to cluster neighbouring points, which in the example in **Figure**  
14 **12(A)** would have been able to distinguish between feedable and non-feedable filaments. With this  
15 combination the raw data could have been directly fed to a k-means algorithm, outputting a filament's  
16 feedability without needing to visually inspect the PCA plot (**Figure 12 (A iii)**).

17         Clearly, ML has many applications in the pre-printing stage of medicines manufacture.  
18 Researchers can harness computer intelligence to streamline formulation development, producing 3D  
19 printable formulations that will result in products personalised to individual patients. Whereas manual  
20 compounding and testing of many iterations of formulations could take weeks to find a suitable product,  
21 AI can dramatically reduce this timeline. Ultimately, this will mean that development of personalised  
22 3DP medicines will be accelerated; granting patients access to bespoke pharmaceuticals with shorter  
23 lead times. This will be particularly useful in time-sensitive clinical situations.



1 **Figure 12.** Models developed using PCA, an unsupervised learner, to predict the printability of  
2 formulations. **(A) (i)** An in-house tester was made to replicate the feeding behaviour of filaments  
3 during FDM. **(ii)** A force-distance plot was generated from the tester, which was **(iii)** subsequently  
4 analysed by PCA to determine the 'feedability' of filaments [226]. **(B) (i)** Summary of the  
5 interaction between material properties and processing parameters. **(ii)** A biplot generated using  
6 PCA depicting the relationship between processing parameters and print properties [228].

7

## 8 **5.2 Automated 3D Printing of Medicines**

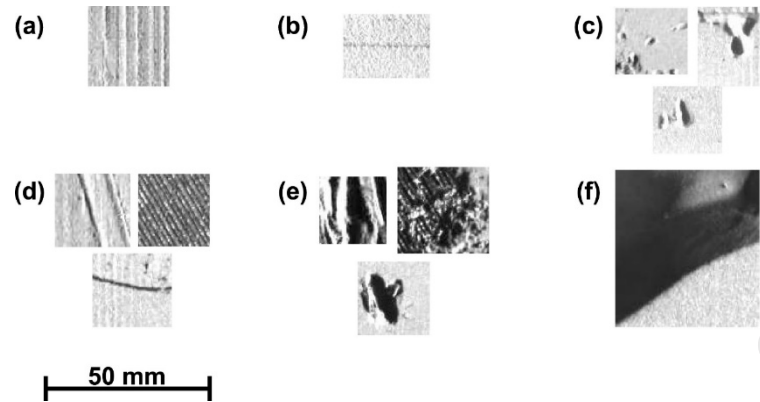
9 A key goal of pharmaceutical 3DP is to leverage AI to create a seamless, autonomous 3DP process.  
10 Currently, researchers are required to input printing process parameters before each batch of medicines  
11 is produced. Setting fixed parameters is not an option in the production of personalised  
12 pharmaceuticals, as printer settings can directly affect the performance of an end product. For example,  
13 printing temperature will need to be controlled when printing heat-labile drugs. Moreover, light  
14 exposure time in DLP printing can affect the mechanical properties of a product, consequently affecting  
15 drug release profile [229]. ML algorithms have the capability to transform 3DP into an autonomous  
16 process, facilitating the printing of medicines without the need for on-hand expert advice.

17 Both supervised and unsupervised methods of ML have begun to be used to predict optimal  
18 printing conditions for medicines. One study demonstrated how PCA can determine how FDM printing  
19 parameters such as printing speed and temperature will affect the final product quality [228]. The  
20 analysis allowed rapid interpretation of the relationship between multiple variables. For example, using  
21 a PCA biplot, it was observed that printing speed was negatively correlated with product road width and  
22 product mass. **Figure 12 (Bii)** illustrates that samples printed with the same printing speed clustered  
23 together. Besides these categorical features, another key dependent variable are the processing  
24 temperatures. Historically, recommended starting HME temperature for formulations is guided by a *rule*  
25 *of thumb*, which recommends starting with anywhere between 15-60 °C above the  $T_g$  of the formulation.  
26 Recently, supervised ML techniques were used to predict optimal HME and FDM printing temperatures,  
27 where accuracies of  $\pm 8.9$  and  $\pm 8.9$  °C, respectively, were achieved (**Figure 11 (B)**) [156]. The benefit  
28 with this approach over the *rule of thumb* is it obviates the need to perform time-consuming differential  
29 scanning calorimetry measurements to determine the  $T_g$ . In addition, the recommended starting  
30 temperature output by ML is narrower than the *rule of thumb* [230]. Hence, ML offers a rapid and  
31 cheaper alternative to recommending the HME extrusion temperature. To date, there are no *rule of*  
32 *thumbs* or standardised predictive algorithms for the FDM temperature, and thus the study was the first

1 to establish the ideal printing temperature. The ML techniques were combined to produce a web-based  
2 software, which allows users to take advantage of predicting both the extrusion and printing  
3 temperature, as well as filament aspect and printability (<http://www.m3diseen.com/predictions/>).

4 Another application of AI during pharmaceutical 3DP is the automatic *in situ* detection of  
5 manufacturing defects. Intelligent recognition of deviations from optimal printing would allow  
6 researchers to leave pharmaceutical 3DP to work autonomously, thus facilitating 24/7 supply of  
7 personalised medicines in healthcare settings. One approach to achieving *in situ* printing correction is to  
8 pair ML with computer vision, another subset of AI. Computer vision, also referred to as machine vision,  
9 seeks to achieve super-human interpretation of images or videos [231]. A recent example of merging ML  
10 with computer vision was developed for detecting anomalies during laser powder bed additive  
11 manufacturing [232]. The printer was fitted with a camera to take images and monitor the printing  
12 process. The algorithm was then trained to detect multiple anomalies through algorithm adaptation, a  
13 feature superior to traditional computer vision algorithms that are used to detect one event per image.  
14 The algorithm was trained on a dataset containing pixels that were classed as either anomaly-free, or  
15 one of the six potential anomalies frequented during the printing process (**Figure 13**). Positively, the  
16 algorithm was found to achieve 100% accuracy in detecting absence of anomalies and 89% accuracy for  
17 detecting an anomaly.

18 Aside from photographic images, videos can also be processed by ML techniques, made possible  
19 by advancements in deep learning, namely handling of copious and complex information. Pairing deep  
20 learning with live video monitoring was demonstrated to autonomously correct FDM printing for both  
21 over- and under-extrusion [233]. Prior to achieving autonomy, a training procedure was performed off-  
22 line to train the model, which was used to classify 'over-extrusion', 'under-extrusion', or 'good-quality'  
23 printing. The model was then applied real-time, whereupon detecting irregular extrusion, the FDM  
24 printer was able to adjust the printing speed, flow rate or nozzle height. Deep learning was discovered  
25 to achieve an accuracy of above 98% in predicting the quality of the part, and predictions were made at  
26 times considerably faster than human reactions permit.

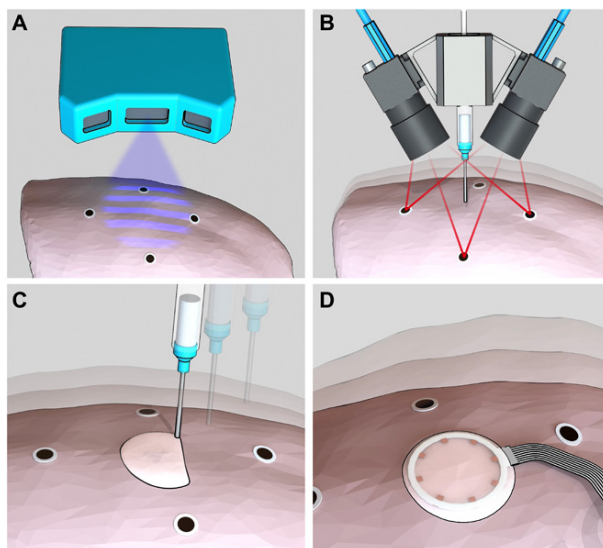


1

2 **Figure 13.** For detecting anomalies during laser powder bed additive manufacturing, an algorithm was  
 3 trained on pixels containing one of six anomalies presented in figures (a-f) [232].

4

5 Building advanced modalities into application of *in situ* monitoring results in cutting-edge 3DP  
 6 applications. One case was demonstrated by Zhu et al. (2020), where ML was leveraged to print directly  
 7 on live organs [234]. A schematic of the process is illustrated in **Figure 14**. The challenge of 3DP on live  
 8 organs is that the surface is non-planar and dynamic, which is in contrast to 3DP on build-plates that  
 9 have a flat surface and the movement thereof is encoded and known via the .gcode (i.e. the command  
 10 code for the printer). The study recognised these issues for printing on porcine lungs, and exploited ML  
 11 to predict tissue surface deformation occurring during the lung breathing. The potential primary  
 12 advantages of ML-guided *in situ* 3DP, like robotic surgery, include higher precision, better safety profiles,  
 13 and a reduction in invasiveness [235]. In the context of pharmaceuticals, *in situ* printing can be exploited  
 14 to fabricate intricate drug-eluting devices or sensors for therapeutic drug monitoring inside the body  
 15 [236, 237].



**Figure 14.** Schematic depicting the steps of printing hydrogels on lungs. The process begins by (A) scanning the lung surface, combined with (B) live tracking of the lung breathing. This allows the printer to predict the changes in lung deformation and (C) print accordingly. (D) Depicts the final print, which was an electrical impedance tomography sensor [234].

### 5.3 Machine Learning in the Post-Printing Stage

Building machine intelligence into the post-printing phase of pharmaceutical 3DP would facilitate the timely release of medicines to patients. ML has been used after printing as a quality control (QC) measure for 3DP drug products, which irrespective of the fabrication technique, is an important issue [238, 239]. ML can be leveraged to support process analytical technology (PAT), a mechanism designed to control the quality production of pharmaceuticals. PAT has been widely implemented by the pharmaceutical industry, motivated by regulatory guidelines such as the FDA *Pharmaceutical Quality for the 21<sup>st</sup> Century Initiative* [240]. Such guidelines were proposed to achieve maximum efficiency, flexibility, and agile pharmaceutical manufacturing that reliably produces high-quality medicines without extensive regulatory oversight [241]. In pharmaceutical 3DP, intelligent PAT systems could be employed as a QC measure to enable real-time approval of 3DP medicines, facilitating pharmaceutical 3DP's transition to widespread clinical use. For such applications, non-destructive analysers are required, due to their ability to preserve the integrity of the final product, as well as requiring minimal sample preparation. Widely used non-destructive tools are vibrational spectroscopy technologies, such as Raman or near-infrared (NIR) spectroscopy. Vibrational spectroscopy spectra can be processed using

1 multivariate analysis to build a predictive model relating the spectra with different parameters e.g. the  
2 concentration of drugs [242]. In other words, vibrational spectroscopy and multivariate analysis can be  
3 combined to quantify the drug concentration in formulations.

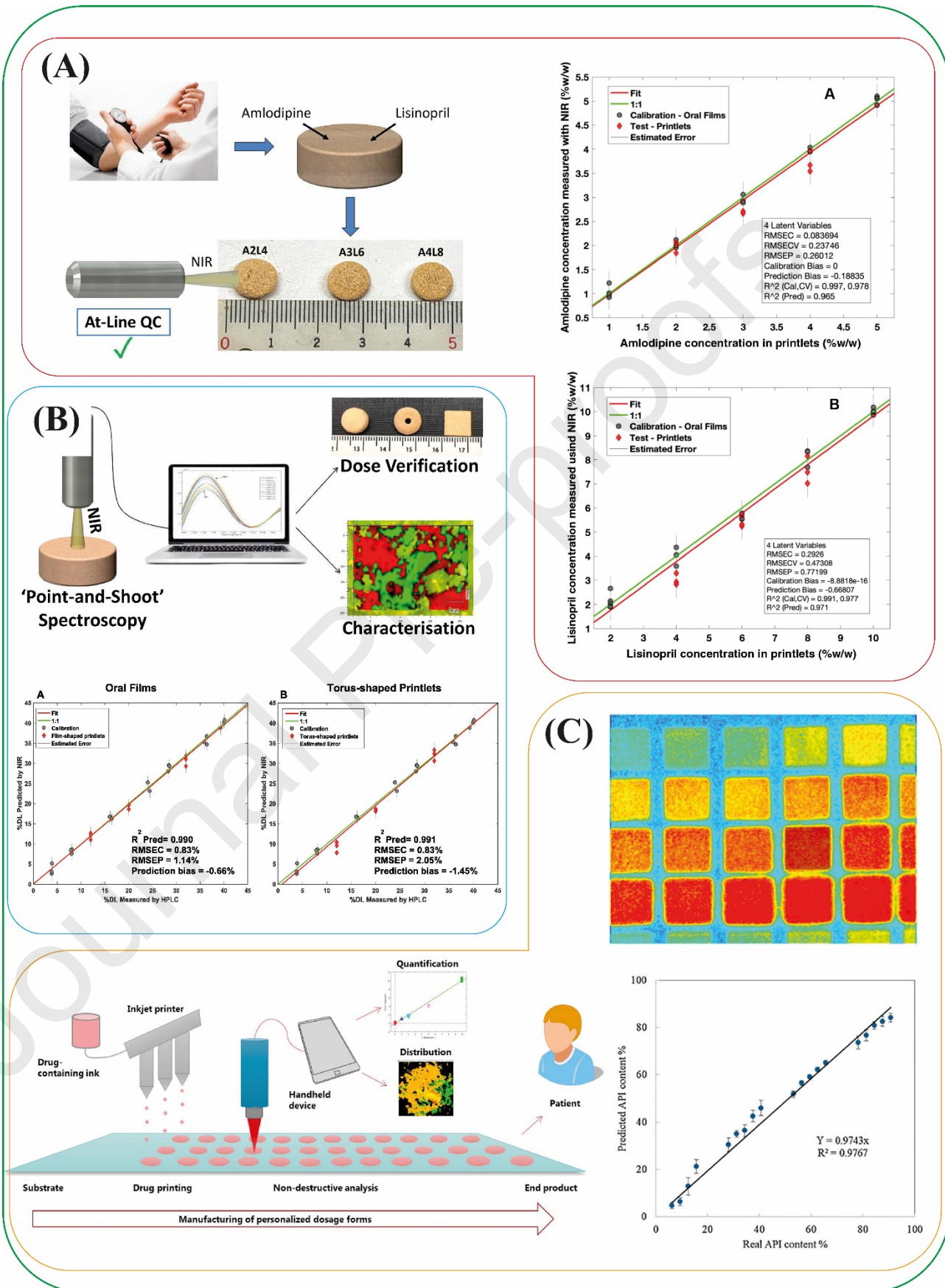
4 A popular ML technique used for multivariate analysis is partial least square (PLS) , a supervised  
5 learning technique [243]. Similar to PCA, PLS is a dimension reduction method that first identifies the  
6 latent variables from the explanatory data, then fits a linear model using least squares. In contrast to  
7 PCA, PLS determines these new features in a supervised manner, as well as computing the covariance  
8 between variables [243]. The use of NIR with PLS was recently exploited for dose verification of two  
9 separate drugs in a single SLS-printed product (**Figure 15 (A)**) [244]. The authors noted that the QC  
10 measure was able to provide rapid dose prediction in 10 seconds per tablet. In a separate study by the  
11 same research group, a portable NIR device was used to predict drug concentration in tablets across a  
12 range of 4 to 40 w/w% (**Figure 15 (B)**) [245]. The model developed, again using PLS, was able to achieve  
13 high accuracies for tablets of different geometries and formulation type. Drug concentration detected  
14 by the portable NIR was compared to that obtained by high performance liquid chromatography, where  
15 a paired *t*-test showed there was no significant difference between the two methods. PLS has also been  
16 used to predicted the crystallinity of lopinavir in SLS-printed products, providing valuable insight into the  
17 potential solubility of a drug [246].

18 Hyperspectral imaging, also referred to as chemical imaging, is another vibrational  
19 spectroscopy-based technique with applications in pharmaceutical 3DP QC [247]. The advantage of this  
20 technique is that it combines both spectral and spatial information, whereby materials invisible to the  
21 naked eye are made evident. Images mapping product drug concentration or distribution are produced  
22 by generating a spectrum for each pixel from a basic original image. This results in a 3D array for each  
23 sample, where the x- and y-coordinates represent the spatial coordinates, and the z-coordinate reflects  
24 wavenumbers [248]. Thus, hyperspectral images are multivariate in nature, and can be overwhelming to  
25 interpret in their raw form. Fortunately, ML can be used to analyse this type of data, including cutting  
26 edge deep learning [249, 250].

27 Hyperspectral imaging has been used to reveal the distribution of drugs in polymeric matrices,  
28 also elucidating the state of the drug (e.g. molecularly dispersed) [251]. PCA can be utilised to eliminate  
29 noise in the data and identify patterns of spectral data, facilitating rapid interpretation of hyperspectral  
30 images [252]. As an example, PCA has been used to colour-code the concentration of drug on images of  
31 tablets, with the colour shade signifying PCA score: reflecting drug concentration [253]. To date,

1 hyperspectral imaging paired with PCA has been used to visualise the concentration of theophylline in  
2 IJP-printed products [254] (**Figure 15 (C)**), clindamycin palmitate hydrochloride in SLS tablets [255], and  
3 indomethacin in FDM printed products [251]. Such research demonstrates the use of ML in providing  
4 pharmaceutical insight at a microstructural level, aiding understanding of the performance of a printed  
5 product. The benefits of this approach will be further realised as more complex formulations are subject  
6 to ML QC, such as multi-drug polyprints.





1 **Figure 15.** Applications of ML post-print. **(A)** PLS, a supervised learner, was combined with NIR  
2 spectroscopy for non-destructive verification of a Printlet™ with two drugs [244]. **(B)** Example  
3 of PLS-NIR spectroscopy used for dose verification in Printlets™ with varying geometries [245].  
4 **(C)** NIR-chemical imaging combined with PCA, an unsupervised learner, used for qualitatively  
5 visualising the distribution of drug and excipient [254].  
6

## 7 **6 Machine Learning vs. Non-ML Techniques**

8 Numerous industrial sectors have come to rely on traditional optimisation techniques (such as DoE,  
9 mechanistic modelling, pharmacokinetics (PK) modelling, and FEA), so are ML techniques really  
10 favourable for adoption in pharmaceutical 3DP? In short, ML is the future of process optimisation, and  
11 will likely combine with elements of traditional tools or supersede them entirely [206, 256, 257].  
12 Whereas traditional techniques are often limited by their scope of use (e.g., PK modelling focuses on *in*  
13 *vivo* drug behaviour), ML can cover the breadth of existing non-AI tools combined. **For example, one**  
14 **goal of ML is to develop end-to-end application, where the end product can be predicted from the start;**  
15 **in the context of 3DP pharmaceuticals, the goal would be to predict PK behaviour, for example, using**  
16 **the composition of the formulation.** This is because ML algorithms do not need to be pre-coded with  
17 'rules' on a system, instead they are coded to learn rules autonomously. As such, ML techniques can be  
18 trained to learn patterns within any dataset and thus solve problems across all subjects. This is useful for  
19 pharmaceutical 3DP, as the field inherently contains numerous disciplines: chemistry, **mechanical**  
20 **engineering**, pharmacokinetics, and pharmaceutics, to name a few. ML can be applied to consider how  
21 all of these factors interplay in the pharmaceutical 3DP pipeline.

22 Whilst DoE can also be applied to a breadth of fields, its low data capacity limits its utility in  
23 pharmaceutical 3DP. ML algorithms can seamlessly handle datasets with thousands of entries; this  
24 would entirely overwhelm DoE and would demand an infeasible number of manual experiments. Due to  
25 the large number of options within the pharmaceutical 3DP process, DoE models would be too narrow  
26 to model complex processes with many interacting factors. Another drawback of DoE compared to ML is  
27 that it often requires operators to perform experiments that they know will be unsuccessful, yet DoE  
28 demands the unsuccessful results to build its model. For example, researchers may know in advance  
29 that combinations of variables in a factorial design will not result in an optimal process outcome,  
30 however they must waste time and resources performing the permutation anyway to satisfy the model's

1 statistical methods. In comparison, ML does not set rigid boundaries on how input data should be  
 2 organised within a parameter search space. This is clearly an advantage where researchers have large  
 3 volumes of data that was not collected with DoE in mind; with ML, it can still be used and interpreted  
 4 [154]. On the other hand, without specialist human input some ML models (such as reinforcement  
 5 techniques) may suggest parameters that are not feasible in a specific setting (e.g., very slow printing  
 6 speeds in an emergency medical unit). Thus, it is prudent that specialists still check ML decisions,  
 7 especially when outputs will directly affect patient care.

8 ML can be combined with DoE, FEA, and mechanistic models to form hybrid models, which are  
 9 yet to be thoroughly explored in 3DP [257-260]. For example, the optimisation cycle in FEA can become  
 10 both costly and time-intensive, and ML has been used to address this issue [261, 262]. A further  
 11 drawback to FEA is that specialised knowledge is required. Take for example FEA applied to tableting,  
 12 where domain expertise in particle physics is needed to understand the deformation particles are  
 13 subjected to [263]. Whereas ML does not require in-depth knowledge, provided a sufficient amount of  
 14 data is available. Moreover, ML provides the opportunity for continuous processes, which has the  
 15 potential to achieve intelligent 3DP automation [264, 265]. Nevertheless, there is an opportunity for  
 16 existing DoE, FEA and mechanistic modellers to exploit ML to further enrich their research.

17 Just as 3DP can be integrated with other technologies (e.g., medical imaging) so can ML,  
 18 resulting in a closed-loop system suitable for IoT. Here, *in situ* sensors will indeed play a crucial part in  
 19 maintaining autonomy, in addition to computer vision techniques. An enabling aspect of ML is the ability  
 20 to process different data formats, such as images, videos, and other data formats, which the non-AI  
 21 techniques discussed herein are unable to do. Regarding the implementation of ML, open-source  
 22 programming languages like Python, R, and Java can be used to construct ML models. **Table 2** provides  
 23 a summary of the unique benefits and drawbacks for all the discussed techniques.

24

25 **Table 2.** Summary of the advantages and drawbacks of each optimisation technique. All techniques do  
 26 provide benefits, however comparing the advantages with respect to one another helps to highlight ML's  
 27 strengths.

Technique	Benefits	Limitations
DoE	<ul style="list-style-type: none"> <li>In common use by pharmaceutical industry</li> </ul>	<ul style="list-style-type: none"> <li>Commercial software is expensive</li> <li>Restricted to small datasets</li> </ul>

	<ul style="list-style-type: none"> <li>• Not subject specific</li> </ul>	<ul style="list-style-type: none"> <li>• Restricted data formats</li> <li>• Additional experiments needed</li> </ul>
FEA	<ul style="list-style-type: none"> <li>• Physical phenomenon extrapolates well to new designs</li> </ul>	<ul style="list-style-type: none"> <li>• Computationally demanding</li> <li>• Additional experiments needed</li> <li>• Restricted data formats</li> </ul>
Mechanistic Modelling	<ul style="list-style-type: none"> <li>• 'White box' effect</li> <li>• No commercial software required</li> <li>• PK modelling is widely used in the pharmaceutical industry</li> <li>• PK modelling can reduce the number of animal experiments</li> </ul>	<ul style="list-style-type: none"> <li>• Expertise in physical phenomenon needed</li> <li>• Complex experiments needed</li> <li>• Restricted data formats</li> </ul>
ML	<ul style="list-style-type: none"> <li>• Can process both linear and non-linear relationships</li> <li>• Can process high-dimensional datasets</li> <li>• Processes various data formats</li> <li>• No commercial software required</li> <li>• Instantaneous predictions</li> <li>• Continuous learning</li> <li>• Facilitates <i>in situ</i> predictions</li> <li>• Models can be developed for end-to-end applications</li> <li>• Compatible with 'Internet of Things'</li> </ul>	<ul style="list-style-type: none"> <li>• 'Black box' effect</li> <li>• Requires deep mathematical knowledge to model and interpret results</li> <li>• Pre-processing data can be time-consuming with unstructured data</li> <li>• Processing videos can be computationally demanding</li> <li>• Still subject to bias if input data is not managed correctly</li> </ul>

1

2

3

4

5

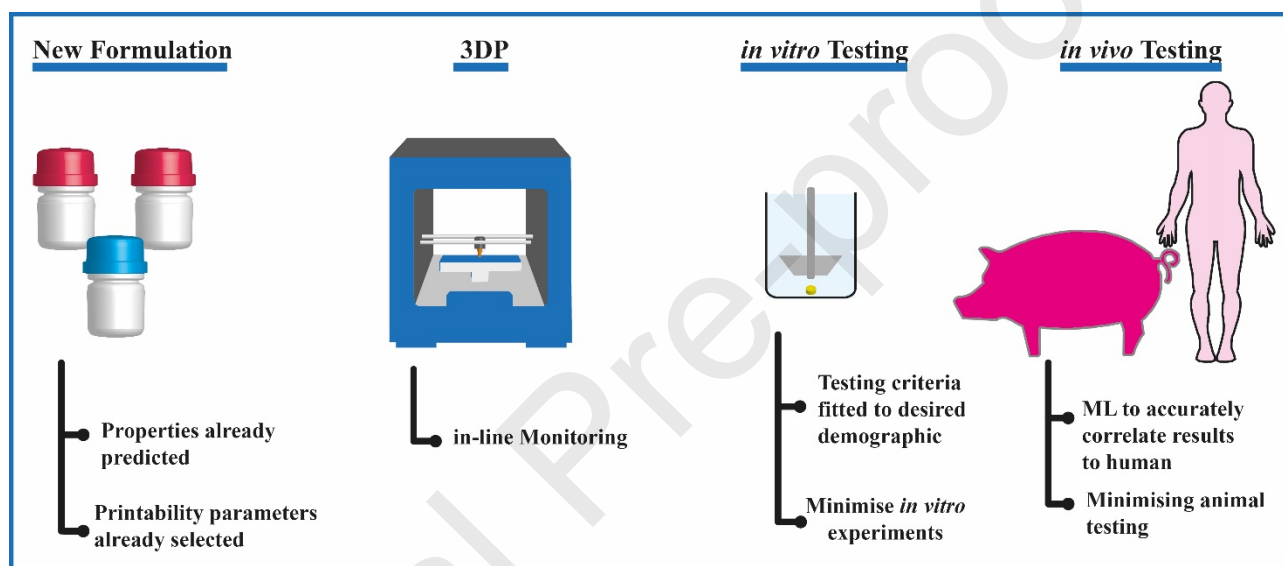
One salient drawback of ML that should be considered is the 'black box' effect. As ML models deal with more and more complex datasets, their decision processes typically follow suite. Complex decision processes within algorithms can become difficult for humans to interpret and importantly, sense check. Transparency in ML techniques' methods are paramount in clinical settings, where

1 clinicians and patients need to trust algorithm outputs [266]. This therefore applies to pharmaceutical  
2 3DP, where ML algorithms could be autonomously controlling the personalised production of medicines  
3 [267]. A number of steps can be taken to avoid the 'black box' effect [268]. Firstly, developers should  
4 verify the quality of the data being fed to ML algorithms. The axiom 'garbage in equals garbage out' still  
5 rings true in the age of AI. The quality of an algorithm's output is defined substantially by the quality of  
6 the data fed to it. ML does not yet offer a bypass for meticulous data collection. When implementing ML  
7 in pharmaceutical 3DP, it should be assured that data is accurate and fully descriptive of a wide variety  
8 of 3DP techniques and patient populations. There has recently been a drive to ensure that AI data is fully  
9 inclusive across genders, ethnicities, socioeconomic statuses, and cultures; without recognition of  
10 diversity AI is not suitable for mainstream use [269, 270]. ML algorithms can be adapted to be more  
11 transparent. For example, models can output relationships they have found between data features and  
12 produce graphics that outline decision processes [271, 272]. Ultimately, transparency is key if AI is to be  
13 successful combined with 3DP in healthcare settings. Policy makers must be sure that technology is  
14 enhancing patient care, rather than mystifying it. AI systems within 3DP must also ensure data security,  
15 especially when dealing with sensitive patient data. Such data will require secure Cloud storage and  
16 protection from hacking. Decisions made by algorithms will require stringent record keeping for audit  
17 and regulatory purposes. Blockchain, a digital tamper-proof ledger, will be ideally suited to this purpose,  
18 allowing end-to-end traceability of AI activity throughout the pharmaceutical 3DP pipeline [273].

## 19 **7 Internet of Things for Pharmaceutical 3D Printing**

20 IoT technology will be transformative for many processes within medicine and manufacturing, offering  
21 significant utility in the 3DP of medicines [274]. At present, the pharmaceutical 3DP pipeline contains  
22 multiple separate processes that require human interaction: formulation design, formulation  
23 compounding, 3DP, potential post-processing, and finally QC and medicine release. As demonstrated in  
24 this review, ML can facilitate each stage of the pipeline. Additionally, an interconnected network of  
25 devices and robots could remove the need for human hands to carry out tasks and move materials  
26 between development stages. Moreover, an intelligent and interconnected network of devices and  
27 robots could even obviate the need for human brainpower: realising the vision of fully autonomous  
28 production of personalised 3DP medicines. This is the future of Industry 4.0. When IoT and AI are  
29 combined, they result in a cyber-physical system [275]. As pharmaceutical 3DP itself is already a  
30 digitalised process, it is perfectly aligned for incorporation into a cyber-physical system. Though once  
31 the upfront cost of sensors, robots, and other hardware would prohibit complete digitalisation of the

1 pharmaceutical 3DP pipeline, these elements are consistently becoming cheaper and more accessible  
 2 [237]. Essentially, ML would provide actionable insight from data, to which 3DP will execute. Whilst  
 3 building a pharmaceutical 3DP cyber-physical system may still present relatively large upfront costs, the  
 4 resultant obviation of human labour will dramatically reduce expenditure in the medium to long term.  
 5 Moreover, machines and AI algorithms can work 24/7 at full capacity without increasing error or the  
 6 need for rest; hence facilitating high throughput production of patient-centred medicines at all hours of  
 7 the day, every day of the year. **Figure 16** is an illustration depicting stages of the 3DP workflow that can  
 8 be interconnected with IoT and AI.



9  
 10 **Figure 16.** Stages of pharmaceutical 3DP that can be interconnected with IoT and AI, facilitating  
 11 a fully autonomous pipeline.

## 12 8 Pharmaceutical 3D Printing's Intelligent Trajectory

13 It is only a matter of time until AI plays an integral role in the development and manufacture of  
 14 medicines. Compared to other industries, such as the entertainment and financial sectors, the  
 15 pharmaceutical industry sits well behind the adoption of AI curve. At this time, it would be wise for the  
 16 pharmaceutical industry to combine its current cutting-edge techniques with AI-guided 3DP, because  
 17 3DP is already digital and aligned with personalised medicine. This move would drive the pharmaceutical  
 18 industry forward to fully harness modern technological capabilities. Adopting AI-guided 3DP now will  
 19 accelerate the translation of 3DP medicines into healthcare settings, upgrading patient care to a  
 20 personalised model sooner. **The majority of ML studies in 3DP medicines has been applied to oral-**

1 formulations, and further research needs to explore the feasibility of ML in fabricating other delivery  
2 devices [276]. A concerted effort is being made to address the current challenges of combining AI with  
3 pharmaceutical 3DP; such as lack of AI skillset, algorithm decision-making transparency, and production  
4 of ML techniques that provide high performances even with small datasets [8, 277]. These issues are  
5 universally felt across both academia and industry, irrespective of the research field, thus driving a  
6 collective impetus. There are also challenges specific to pharmaceutical 3DP that can be resolved. For  
7 instance, consideration should be given to producing a unified database relevant to pharmaceutical 3DP  
8 that will facilitate data mining. As progress continues, it will become increasingly tiresome to data-mine  
9 directly from individual published articles or produce data in house. A structured database will readily  
10 allow the extraction of ML-friendly relevant data for use by all, which could be achieved through a  
11 strategic and unified approach to data collection. These efforts will ultimately aid policymakers in  
12 assessing AI's contribution to pharmaceutical 3DP, expediting clinical translation.

## 13 **9 Conclusion**

14 In this review we have highlighted how AI can be combined with pharmaceutical 3DP pipeline. It is  
15 paramount that medicine moves away from its longstanding 'one size fits all' paradigm of  
16 pharmaceutical provision and embraces administration of personalised medicines. Pharmaceutical 3DP  
17 can provide the supply of personalised medicines in the clinic, but currently requires the presence and  
18 expertise of experienced 3DP practitioners. Multiple methods of traditional process optimisation  
19 techniques, such as FEA, mechanistic modelling, and DoE, exist; however none are equipped to fully  
20 optimise the multiple stages of pharmaceutical 3DP. In comparison, ML can provide intelligent  
21 optimisation of each stage of 3DP medicines' production. This will eventually remove the need for  
22 constant expert input into 3DP medicine development, thus removing barriers to clinical adoption of the  
23 technology. Moreover, each stage of the pharmaceutical 3DP pipeline can be built into an intelligent IoT,  
24 in which smart hardware can handle every stage of development: from formulation design to final  
25 product release. Such an outcome would remove the need for human labour in the pharmaceutical 3DP  
26 entirely: granting patients 24/7 supply of bespoke, personalised medicines.

## 27 **10 Acknowledgements**

28 The authors thank the Engineering and Physical Sciences Research Council (EPSRC), UK for its financial  
29 support (EP/S009000/1, EP/S023054/1, and EP/L01646X).

## 1   **References**

- 2   [1] Z. Halim, R. Kalsoom, S. Bashir, G. Abbas, Artificial intelligence techniques for driving safety and  
3   vehicle crash prediction, *Artificial Intelligence Review*, 46 (2016) 351-387.
- 4   [2] R.J. Meuth, P. Robinette, D.C. Wunsch, Computational intelligence meets the NetFlix prize, 2008 IEEE  
5   International Joint Conference on Neural Networks (IEEE World Congress on Computational  
6   Intelligence), 2008, pp. 686-691.
- 7   [3] A. Bahrammirzaee, A comparative survey of artificial intelligence applications in finance: artificial  
8   neural networks, expert system and hybrid intelligent systems, *Neural Computing and Applications*, 19  
9   (2010) 1165-1195.
- 10   [4] M. May, Eight ways machine learning is assisting medicine, *Nat Med*, 27 (2021) 2-3.
- 11   [5] A.W. Senior, R. Evans, J. Jumper, J. Kirkpatrick, L. Sifre, T. Green, C. Qin, A. Zidek, A.W.R. Nelson, A.  
12   Bridgland, H. Penedones, S. Petersen, K. Simonyan, S. Crossan, P. Kohli, D.T. Jones, D. Silver, K.  
13   Kavukcuoglu, D. Hassabis, Improved protein structure prediction using potentials from deep learning,  
14   *Nature*, 577 (2020) 706-710.
- 15   [6] M. Hilbert, P. López, The World's Technological Capacity to Store, Communicate, and Compute  
16   Information, *Science*, 332 (2011) 60.
- 17   [7] A. Esteva, A. Robicquet, B. Ramsundar, V. Kuleshov, M. DePristo, K. Chou, C. Cui, G. Corrado, S.  
18   Thrun, J. Dean, A guide to deep learning in healthcare, *Nature Medicine*, 25 (2019) 24-29.
- 19   [8] M. Elbadawi, S. Gaisford, A.W. Basit, Advanced machine-learning techniques in drug discovery, *Drug*  
20   *Discov Today*, (2020).
- 21   [9] U.R. Acharya, S.L. Oh, Y. Hagiwara, J.H. Tan, H. Adeli, Deep convolutional neural network for the  
22   automated detection and diagnosis of seizure using EEG signals, *Computers in Biology and Medicine*,  
23   100 (2018) 270-278.
- 24   [10] A. Statnikov, C.F. Aliferis, I. Tsamardinos, D. Hardin, S. Levy, A comprehensive evaluation of  
25   multicategory classification methods for microarray gene expression cancer diagnosis, *Bioinformatics*,  
26   21 (2005) 631-643.
- 27   [11] S. Kato, E. Takeuchi, Y. Ishiguro, Y. Ninomiya, K. Takeda, T. Hamada, An Open Approach to  
28   Autonomous Vehicles, *IEEE Micro*, 35 (2015) 60-68.
- 29   [12] M. Alaa, A.A. Zaidan, B.B. Zaidan, M. Talal, M.L.M. Kiah, A review of smart home applications based  
30   on Internet of Things, *Journal of Network and Computer Applications*, 97 (2017) 48-65.
- 31   [13] P. Shah, F. Kendall, S. Khozin, R. Goosen, J. Hu, J. Laramie, M. Ringel, N. Schork, Artificial intelligence  
32   and machine learning in clinical development: a translational perspective, *npj Digital Medicine*, 2 (2019)  
33   69.
- 34   [14] FDA, FDA Permits Marketing of Artificial Intelligence-based Device to Detect Certain Diabetes-  
35   related Eye Problems., 2018.



- 1 [15] FDA, FDA Permits Marketing of Clinical Decision Support Software for Alerting Providers of a  
2 Potential Stroke in Patients, 2018.
- 3 [16] P. Pérez, J.M. Suñé-Negre, M. Miñarro, M. Roig, R. Fuster, E. García-Montoya, C. Hernández, R. Ruhí,  
4 J.R. Ticó, A new expert systems (SeDeM Diagram) for control batch powder formulation and  
5 preformulation drug products, *European Journal of Pharmaceutics and Biopharmaceutics*, 64 (2006)  
6 351-359.
- 7 [17] F.R. Ahmed, M.H. Shoaib, R.I. Yousuf, T. Ali, K.E. Geckeler, F. Siddiqui, K. Ahmed, F. Qazi, Clay  
8 nanotubes as a novel multifunctional excipient for the development of directly compressible diclofenac  
9 potassium tablets in a SeDeM driven QbD environment, *European Journal of Pharmaceutical Sciences*,  
10 133 (2019) 214-227.
- 11 [18] H. Leuenberger, M.N. Leuenberger, Impact of the digital revolution on the future of pharmaceutical  
12 formulation science, *European Journal of Pharmaceutical Sciences*, 87 (2016) 100-111.
- 13 [19] S.J. Trenfield, A. Awad, C.M. Madla, G.B. Hatton, J. Firth, A. Goyanes, S. Gaisford, A.W. Basit,  
14 Shaping the future: recent advances of 3D printing in drug delivery and healthcare, *Expert Opinion on*  
15 *Drug Delivery*, 16 (2019) 1081-1094.
- 16 [20] A.M. Vargason, A.C. Anselmo, S. Mitragotri, The evolution of commercial drug delivery  
17 technologies, *Nature Biomedical Engineering*, (2021).
- 18 [21] A.J. Capel, R.P. Rimington, M.P. Lewis, S.D.R. Christie, 3D printing for chemical, pharmaceutical and  
19 biological applications, *Nature Reviews Chemistry*, 2 (2018) 422-436.
- 20 [22] A. Awad, S.J. Trenfield, A. Goyanes, S. Gaisford, A.W. Basit, Reshaping drug development using 3D  
21 printing, *Drug Discov Today*, 23 (2018) 1547-1555.
- 22 [23] R. Durga Prasad Reddy, V. Sharma, Additive manufacturing in drug delivery applications: A review,  
23 *Int J Pharm*, 589 (2020) 119820.
- 24 [24] J. Norman, R.D. Madurawe, C.M. Moore, M.A. Khan, A. Khairuzzaman, A new chapter in  
25 pharmaceutical manufacturing: 3D-printed drug products, *Adv Drug Deliv Rev*, 108 (2017) 39-50.
- 26 [25] S.H. Lim, H. Kathuria, J.J.Y. Tan, L. Kang, 3D printed drug delivery and testing systems - a passing fad  
27 or the future?, *Adv Drug Deliv Rev*, 132 (2018) 139-168.
- 28 [26] C.I. Gioumouxouzis, C. Karavasili, D.G. Fatouros, Recent advances in pharmaceutical dosage forms  
29 and devices using additive manufacturing technologies, *Drug Discov Today*, 24 (2019) 636-643.
- 30 [27] M.A. Alhnan, T.C. Okwuosa, M. Sadia, K.W. Wan, W. Ahmed, B. Arafat, Emergence of 3D Printed  
31 Dosage Forms: Opportunities and Challenges, *Pharm Res*, 33 (2016) 1817-1832.
- 32 [28] Y. Zheng, F. Deng, B. Wang, Y. Wu, Q. Luo, X. Zuo, X. Liu, L. Cao, M. Li, H. Lu, S. Cheng, X. Li, Melt  
33 Extrusion Deposition (MEDTM) 3D Printing Technology- A Paradigm Shift in Design and Development of  
34 Modified Release Drug Products, *International Journal of Pharmaceutics*, (2021) 120639.

- 1 [29] A. Melocchi, M. Uboldi, M. Cerea, A. Foppoli, A. Maroni, S. Moutaharrik, L. Palugan, L. Zema, A.  
2 Gazzaniga, A Graphical Review on the Escalation of Fused Deposition Modeling (FDM) 3D Printing in the  
3 Pharmaceutical Field, *Journal of Pharmaceutical Sciences*, 109 (2020) 2943-2957.
- 4 [30] A. Awad, F. Fina, A. Goyanes, S. Gaisford, A.W. Basit, 3D printing: Principles and pharmaceutical  
5 applications of selective laser sintering, *Int J Pharm*, 586 (2020) 119594.
- 6 [31] X. Xu, A. Awad, P. Robles-Martinez, S. Gaisford, A. Goyanes, A.W. Basit, Vat photopolymerization 3D  
7 printing for advanced drug delivery and medical device applications, *J Control Release*, (2020).
- 8 [32] A. Awad, A. Yao, S.J. Trenfield, A. Goyanes, S. Gaisford, A.W. Basit, 3D printed tablets (Printlets) with  
9 braille and moon patterns for visually impaired patients, *Pharmaceutics*, 12 (2020).
- 10 [33] P. Robles-Martinez, X. Xu, S.J. Trenfield, A. Awad, A. Goyanes, R. Telford, A.W. Basit, S. Gaisford, 3D  
11 printing of a multi-layered polypill containing six drugs using a novel stereolithographic method,  
12 *Pharmaceutics*, 11 (2019).
- 13 [34] J.J. Ong, A. Awad, A. Martorana, S. Gaisford, E. Stoyanov, A.W. Basit, A. Goyanes, 3D printed opioid  
14 medicines with alcohol-resistant and abuse-deterrent properties, *Int J Pharm*, 579 (2020) 119169.
- 15 [35] M. Vivero-Lopez, X. Xu, A. Muras, A. Otero, A. Concheiro, S. Gaisford, A.W. Basit, C. Alvarez-Lorenzo,  
16 A. Goyanes, Anti-biofilm multi drug-loaded 3D printed hearing aids, *Materials Science and Engineering C*,  
17 119 (2021).
- 18 [36] M. Falahati, P. Ahmadvand, S. Safaee, Y.-C. Chang, Z. Lyu, R. Chen, L. Li, Y. Lin, Smart polymers and  
19 nanocomposites for 3D and 4D printing, *Materials Today*, (2020).
- 20 [37] R. Govender, S. Abrahmsén-Alami, A. Larsson, S. Folestad, Therapy for the individual: Towards  
21 patient integration into the manufacturing and provision of pharmaceuticals, *European Journal of*  
22 *Pharmaceutics and Biopharmaceutics*, 149 (2020) 58-76.
- 23 [38] A. Goyanes, C.M. Madla, A. Umerji, G. Duran Piñeiro, J.M. Giraldez Montero, M.J. Lamas Diaz, M.  
24 Gonzalez Barcia, F. Taherali, P. Sánchez-Pintos, M.L. Couce, S. Gaisford, A.W. Basit, Automated therapy  
25 preparation of isoleucine formulations using 3D printing for the treatment of MSUD: First single-centre,  
26 prospective, crossover study in patients, *International Journal of Pharmaceutics*, 567 (2019).
- 27 [39] A. Zemmar, A.M. Lozano, B.J. Nelson, The rise of robots in surgical environments during COVID-19,  
28 *Nature Machine Intelligence*, 2 (2020) 566-572.
- 29 [40] N. Chen, M. Zhou, X. Dong, J. Qu, F. Gong, Y. Han, Y. Qiu, J. Wang, Y. Liu, Y. Wei, J. Xia, T. Yu, X.  
30 Zhang, L. Zhang, Epidemiological and clinical characteristics of 99 cases of 2019 novel coronavirus  
31 pneumonia in Wuhan, China: a descriptive study, *The Lancet*, 395 (2020) 507-513.
- 32 [41] J. Lee, H. Davari, J. Singh, V. Pandhare, Industrial Artificial Intelligence for industry 4.0-based  
33 manufacturing systems, *Manufacturing Letters*, 18 (2018) 20-23.
- 34 [42] J. Qin, Y. Liu, R. Grosvenor, Multi-source data analytics for AM energy consumption prediction,  
35 *Advanced Engineering Informatics*, 38 (2018) 840-850.

- 1 [43] L. Kong, X. Peng, Y. Chen, P. Wang, M. Xu, Multi-sensor measurement and data fusion technology  
2 for manufacturing process monitoring: a literature review, *International Journal of Extreme*  
3 *Manufacturing*, 2 (2020) 022001.
- 4 [44] A. Awad, S.J. Trenfield, S. Gaisford, A.W. Basit, 3D printed medicines: A new branch of digital  
5 healthcare, *Int J Pharm*, 548 (2018) 586-596.
- 6 [45] A. Goyanes, A.B. Buanz, G.B. Hatton, S. Gaisford, A.W. Basit, 3D printing of modified-release  
7 aminosaliclylate (4-ASA and 5-ASA) tablets, *Eur J Pharm Biopharm*, 89 (2015) 157-162.
- 8 [46] J. Skowrya, K. Pietrzak, M.A. Alhnan, Fabrication of extended-release patient-tailored prednisolone  
9 tablets via fused deposition modelling (FDM) 3D printing, *Eur J Pharm Sci*, 68 (2015) 11-17.
- 10 [47] A. Goyanes, F. Fina, A. Martorana, D. Sedough, S. Gaisford, A.W. Basit, Development of modified  
11 release 3D printed tablets (printlets) with pharmaceutical excipients using additive manufacturing, *Int J*  
12 *Pharm*, 527 (2017) 21-30.
- 13 [48] A. Maroni, A. Melocchi, F. Parietti, A. Foppoli, L. Zema, A. Gazzaniga, 3D printed multi-compartment  
14 capsular devices for two-pulse oral drug delivery, *J Control Release*, 268 (2017) 10-18.
- 15 [49] J.A. Weisman, J.C. Nicholson, K. Tappa, U. Jammalamadaka, C.G. Wilson, D.K. Mills, Antibiotic and  
16 chemotherapeutic enhanced three-dimensional printer filaments and constructs for biomedical  
17 applications, *Int J Nanomedicine*, 10 (2015) 357-370.
- 18 [50] A. Goyanes, U. Det-Amornrat, J. Wang, A.W. Basit, S. Gaisford, 3D scanning and 3D printing as  
19 innovative technologies for fabricating personalized topical drug delivery systems, *J Control Release*, 234  
20 (2016) 41-48.
- 21 [51] W. Jamroz, M. Kurek, E. Lyszczarz, J. Szafraniec, J. Knapik-Kowalczyk, K. Syrek, M. Paluch, R.  
22 Jachowicz, 3D printed orodispersible films with Aripiprazole, *Int J Pharm*, 533 (2017) 413-420.
- 23 [52] G.K. Eleftheriadis, C. Ritzoulis, N. Bouropoulos, D. Tzetzis, D.A. Andreadis, J. Boetker, J. Rantanen,  
24 D.G. Fatouros, Unidirectional drug release from 3D printed mucoadhesive buccal films using FDM  
25 technology: In vitro and ex vivo evaluation, *European Journal of Pharmaceutics and Biopharmaceutics*,  
26 144 (2019) 180-192.
- 27 [53] K. Liang, S. Carmone, D. Brambilla, J.-C. Leroux, 3D printing of a wearable personalized oral delivery  
28 device: A first-in-human study, *Science Advances*, 4 (2018) eaat2544.
- 29 [54] M.A. Luzuriaga, D.R. Berry, J.C. Reagan, R.A. Smaldone, J.J. Gassensmith, Biodegradable 3D printed  
30 polymer microneedles for transdermal drug delivery, *Lab Chip*, 18 (2018) 1223-1230.
- 31 [55] J. Fu, X. Yu, Y. Jin, 3D printing of vaginal rings with personalized shapes for controlled release of  
32 progesterone, *Int J Pharm*, 539 (2018) 75-82.
- 33 [56] H. Chim, D.W. Hutmacher, A.M. Chou, A.L. Oliveira, R.L. Reis, T.C. Lim, J.T. Schantz, A comparative  
34 analysis of scaffold material modifications for load-bearing applications in bone tissue engineering, *Int J*  
35 *Oral Maxillofac Surg*, 35 (2006) 928-934.

- 1 [57] S.A. Stewart, J. Dominguez-Robles, V.J. McIlorum, E. Mancuso, D.A. Lamprou, R.F. Donnelly, E.  
2 Larraneta, Development of a Biodegradable Subcutaneous Implant for Prolonged Drug Delivery Using 3D  
3 Printing, *Pharmaceutics*, 12 (2020).
- 4 [58] N. Genina, J. Hollander, H. Jukarainen, E. Makila, J. Salonen, N. Sandler, Ethylene vinyl acetate (EVA)  
5 as a new drug carrier for 3D printed medical drug delivery devices, *Eur J Pharm Sci*, 90 (2016) 53-63.
- 6 [59] J. Zhang, X. Feng, H. Patil, R.V. Tiwari, M.A. Repka, Coupling 3D printing with hot-melt extrusion to  
7 produce controlled-release tablets, *Int J Pharm*, 519 (2017) 186-197.
- 8 [60] M. Alhijaj, J. Nasereddin, P. Belton, S. Qi, Impact of Processing Parameters on the Quality of  
9 Pharmaceutical Solid Dosage Forms Produced by Fused Deposition Modeling (FDM), *Pharmaceutics*, 11  
10 (2019).
- 11 [61] Y.J.N. Tan, W.P. Yong, H.R. Low, J.S. Kochhar, J. Khanolkar, T.S.E. Lim, Y. Sun, J.Z.E. Wong, S. Soh,  
12 Customizable drug tablets with constant release profiles via 3D printing technology, *International*  
13 *Journal of Pharmaceutics*, 598 (2021) 120370.
- 14 [62] M. Sadia, B. Arafat, W. Ahmed, R.T. Forbes, M.A. Alhnan, Channelled tablets: An innovative  
15 approach to accelerating drug release from 3D printed tablets, *J Control Release*, 269 (2018) 355-363.
- 16 [63] N. Genina, J.P. Boetker, S. Colombo, N. Harmankaya, J. Rantanen, A. Bohr, Anti-tuberculosis drug  
17 combination for controlled oral delivery using 3D printed compartmental dosage forms: From drug  
18 product design to in vivo testing, *J Control Release*, 268 (2017) 40-48.
- 19 [64] A. Goyanes, P. Robles Martinez, A. Buanz, A.W. Basit, S. Gaisford, Effect of geometry on drug  
20 release from 3D printed tablets, *International Journal of Pharmaceutics*, 494 (2015) 657-663.
- 21 [65] B.C. Pereira, A. Isreb, M. Isreb, R.T. Forbes, E.F. Oga, M.A. Alhnan, Additive Manufacturing of a  
22 Point-of-Care "Polypill:" Fabrication of Concept Capsules of Complex Geometry with Bespoke Release  
23 against Cardiovascular Disease, *Adv Healthc Mater*, (2020) e2000236.
- 24 [66] A. Goyanes, J. Wang, A. Buanz, R. Martínez-Pacheco, R. Telford, S. Gaisford, A.W. Basit, 3D Printing  
25 of Medicines: Engineering Novel Oral Devices with Unique Design and Drug Release Characteristics,  
26 *Molecular Pharmaceutics*, 12 (2015) 4077-4084.
- 27 [67] C.P.P. Pere, S.N. Economidou, G. Lall, C. Ziraud, J.S. Boateng, B.D. Alexander, D.A. Lamprou, D.  
28 Douroumis, 3D printed microneedles for insulin skin delivery, *International Journal of Pharmaceutics*,  
29 544 (2018) 425-432.
- 30 [68] N. Yang, H. Chen, H. Han, Y. Shen, S. Gu, Y. He, S. Guo, 3D printing and coating to fabricate a hollow  
31 bullet-shaped implant with porous surface for controlled cytoxan release, *International Journal of*  
32 *Pharmaceutics*, 552 (2018) 91-98.
- 33 [69] A. Melocchi, N. Inverardi, M. Uboldi, F. Baldi, A. Maroni, S. Pandini, F. Briatico-Vangosa, L. Zema, A.  
34 Gazzaniga, Retentive device for intravesical drug delivery based on water-induced shape memory  
35 response of poly(vinyl alcohol): design concept and 4D printing feasibility, *International Journal of*  
36 *Pharmaceutics*, 559 (2019) 299-311.

- 1 [70] G. Kollamaram, D.M. Croker, G.M. Walker, A. Goyanes, A.W. Basit, S. Gaisford, Low temperature  
2 fused deposition modeling (FDM) 3D printing of thermolabile drugs, *International Journal of*  
3 *Pharmaceutics*, 545 (2018) 144-152.
- 4 [71] I.J. Solomon, P. Sevel, J. Gunasekaran, A review on the various processing parameters in FDM,  
5 *Materials Today: Proceedings*, 37 (2021) 509-514.
- 6 [72] A. Goyanes, N. Allahham, S.J. Trenfield, E. Stoyanov, S. Gaisford, A.W. Basit, Direct powder extrusion  
7 3D printing: Fabrication of drug products using a novel single-step process, *International Journal of*  
8 *Pharmaceutics*, 567 (2019) 118471.
- 9 [73] M. Fanous, S. Gold, S. Muller, S. Hirsch, J. Ogorka, G. Imanidis, Simplification of fused deposition  
10 modeling 3D-printing paradigm: Feasibility of 1-step direct powder printing for immediate release  
11 dosage form production, *International Journal of Pharmaceutics*, 578 (2020) 119124.
- 12 [74] R. Thakkar, R. Thakkar, A. Pillai, E.A. Ashour, M.A. Repka, Systematic screening of pharmaceutical  
13 polymers for hot melt extrusion processing: a comprehensive review, *International Journal of*  
14 *Pharmaceutics*, 576 (2020) 118989.
- 15 [75] D.K. Tan, M. Maniruzzaman, A. Nokhodchi, Advanced pharmaceutical applications of hot-melt  
16 extrusion coupled with fused deposition modelling (FDM) 3D printing for personalised drug delivery,  
17 *Pharmaceutics*, 10 (2018) 203.
- 18 [76] I. Seoane-Viaño, P. Januskaite, C. Alvarez-Lorenzo, A.W. Basit, A. Goyanes, Semi-solid extrusion 3D  
19 printing in drug delivery and biomedicine: Personalised solutions for healthcare challenges, *Journal of*  
20 *Controlled Release*, 332 (2021) 367-389.
- 21 [77] K. Vithani, A. Goyanes, V. Jannin, A.W. Basit, S. Gaisford, B.J. Boyd, An Overview of 3D Printing  
22 Technologies for Soft Materials and Potential Opportunities for Lipid-based Drug Delivery Systems,  
23 *Pharmaceutical Research*, 36 (2018) 4.
- 24 [78] I. Seoane-Viaño, N. Gómez-Lado, H. Lázare-Iglesias, X. García-Otero, J.R. Antúnez-López, Á. Ruibal,  
25 J.J. Varela-Correa, P. Aguiar, A.W. Basit, F.J. Otero-Espinar, M. González-Barcia, A. Goyanes, A. Luzardo-  
26 Álvarez, A. Fernández-Ferreiro, 3D Printed Tacrolimus Rectal Formulations Ameliorate Colitis in an  
27 Experimental Animal Model of Inflammatory Bowel Disease, *Biomedicines*, 8 (2020) 563.
- 28 [79] I. Seoane-Viaño, J.J. Ong, A. Luzardo-Álvarez, M. González-Barcia, A.W. Basit, F.J. Otero-Espinar, A.  
29 Goyanes, 3D printed tacrolimus suppositories for the treatment of ulcerative colitis, *Asian Journal of*  
30 *Pharmaceutical Sciences*, (2020).
- 31 [80] P. Januskaite, X. Xu, S.R. Ranmal, S. Gaisford, A.W. Basit, C. Tuleu, A. Goyanes, I Spy with My Little  
32 Eye: A Paediatric Visual Preferences Survey of 3D Printed Tablets, *Pharmaceutics*, 12 (2020) 1100.
- 33 [81] J. Conceição, X. Farto-Vaamonde, A. Goyanes, O. Adeoye, A. Concheiro, H. Cabral-Marques, J.M.  
34 Sousa Lobo, C. Alvarez-Lorenzo, Hydroxypropyl- $\beta$ -cyclodextrin-based fast dissolving carbamazepine  
35 printlets prepared by semisolid extrusion 3D printing, *Carbohydrate Polymers*, 221 (2019) 55-62.
- 36 [82] A. Liaskoni, R.D. Wildman, C.J. Roberts, 3D printed polymeric drug-eluting implants, *International*  
37 *Journal of Pharmaceutics*, 597 (2021) 120330.

- 1 [83] M. Elbadawi, D. Nikjoo, T. Gustafsson, S. Gaisford, A.W. Basit, Pressure-assisted microsyringe 3D  
2 printing of oral films based on pullulan and hydroxypropyl methylcellulose, *International Journal of*  
3 *Pharmaceutics*, 595 (2021) 120197.
- 4 [84] G. Chen, Y. Xu, P. Chi Lip Kwok, L. Kang, *Pharmaceutical Applications of 3D Printing*, *Additive*  
5 *Manufacturing*, 34 (2020) 101209.
- 6 [85] O. Diegel, A. Nordin, D. Motte, *A Practical Guide to Design for Additive Manufacturing*, Springer,  
7 Singapore, 2020.
- 8 [86] P. Robles Martinez, A.W. Basit, S. Gaisford, The history, developments and opportunities of  
9 stereolithography, *AAPS Advances in the Pharmaceutical Sciences Series*, 2018, pp. 55-79.
- 10 [87] J. Stampfl, S. Baudis, C. Heller, R. Liska, A. Neumeister, R. Kling, A. Ostendorf, M. Spitzbart,  
11 Photopolymers with tunable mechanical properties processed by laser-based high-resolution  
12 stereolithography, *Journal of Micromechanics and Microengineering*, 18 (2008) 125014.
- 13 [88] X. Xu, P. Robles-Martinez, C.M. Madla, F. Joubert, A. Goyanes, A.W. Basit, S. Gaisford,  
14 Stereolithography (SLA) 3D printing of an antihypertensive polyprintlet: Case study of an unexpected  
15 photopolymer-drug reaction, *Additive Manufacturing*, 33 (2020).
- 16 [89] P.R. Martinez, A. Goyanes, A.W. Basit, S. Gaisford, Fabrication of drug-loaded hydrogels with  
17 stereolithographic 3D printing, *International Journal of Pharmaceutics*, 532 (2017) 313-317.
- 18 [90] J. Wang, A. Goyanes, S. Gaisford, A.W. Basit, Stereolithographic (SLA) 3D printing of oral modified-  
19 release dosage forms, *International Journal of Pharmaceutics*, 503 (2016) 207-212.
- 20 [91] H. Kadry, S. Wadnap, C. Xu, F. Ahsan, Digital light processing (DLP)3D-printing technology and  
21 photoreactive polymers in fabrication of modified-release tablets, *European Journal of Pharmaceutical*  
22 *Sciences*, 135 (2019) 60-67.
- 23 [92] M. Krkobabić, D. Medarević, S. Cvijić, B. Grujić, S. Ibrić, Hydrophilic excipients in digital light  
24 processing (DLP) printing of sustained release tablets: Impact on internal structure and drug dissolution  
25 rate, *International Journal of Pharmaceutics*, 572 (2019).
- 26 [93] Z. Li, C. Wang, W. Qiu, R. Liu, Antimicrobial Thiol–ene–acrylate Photosensitive Resins for DLP 3D  
27 Printing, *Photochemistry and Photobiology*, 95 (2019) 1219-1229.
- 28 [94] C.J. Bloomquist, M.B. Mecham, M.D. Paradzinsky, R. Januszewicz, S.B. Warner, J.C. Luft, S.J.  
29 Mecham, A.Z. Wang, J.M. DeSimone, Controlling release from 3D printed medical devices using CLIP and  
30 drug-loaded liquid resins, *Journal of Controlled Release*, 278 (2018) 9-23.
- 31 [95] C.L. Caudill, J.L. Perry, S. Tian, J.C. Luft, J.M. DeSimone, Spatially controlled coating of continuous  
32 liquid Interface production microneedles for transdermal protein delivery, *Journal of Controlled Release*,  
33 284 (2018) 122-132.
- 34 [96] P.J. Bartolo, *Stereolithography Materials, Processes and Applications*, Springer2011.

- 1 [97] S.E. Evans, T. Harrington, M.C. Rodriguez Rivero, E. Rognin, T. Tuladhar, R. Daly, 2D and 3D inkjet  
2 printing of biopharmaceuticals – A review of trends and future perspectives in research and  
3 manufacturing, *International Journal of Pharmaceutics*, 599 (2021) 120443.
- 4 [98] H.K. Cader, G.A. Rance, M.R. Alexander, A.D. Gonçalves, C.J. Roberts, C.J. Tuck, R.D. Wildman,  
5 Water-based 3D inkjet printing of an oral pharmaceutical dosage form, *International Journal of*  
6 *Pharmaceutics*, 564 (2019) 359-368.
- 7 [99] M. Edinger, D. Bar-Shalom, N. Sandler, J. Rantanen, N. Genina, QR encoded smart oral dosage forms  
8 by inkjet printing, *International Journal of Pharmaceutics*, 536 (2018) 138-145.
- 9 [100] S.J. Trenfield, H. Xian Tan, A. Awad, A. Buanz, S. Gaisford, A.W. Basit, A. Goyanes, Track-and-trace:  
10 Novel anti-counterfeit measures for 3D printed personalized drug products using smart material inks,  
11 *International Journal of Pharmaceutics*, 567 (2019) 118443.
- 12 [101] W. World Health Organization, Substandard and falsified medical products, 2018.
- 13 [102] E.A. Clark, M.R. Alexander, D.J. Irvine, C.J. Roberts, M.J. Wallace, S. Sharpe, J. Yoo, R.J.M. Hague,  
14 C.J. Tuck, R.D. Wildman, 3D printing of tablets using inkjet with UV photoinitiation, *International Journal*  
15 *of Pharmaceutics*, 529 (2017) 523-530.
- 16 [103] E.A. Clark, M.R. Alexander, D.J. Irvine, C.J. Roberts, M.J. Wallace, J. Yoo, R.D. Wildman, Making  
17 tablets for delivery of poorly soluble drugs using photoinitiated 3D inkjet printing, *International Journal*  
18 *of Pharmaceutics*, 578 (2020) 118805.
- 19 [104] G.F. Acosta-Vélez, T.Z. Zhu, C.S. Linsley, B.M. Wu, Photocurable poly(ethylene glycol) as a bioink  
20 for the inkjet 3D pharming of hydrophobic drugs, *International Journal of Pharmaceutics*, 546 (2018)  
21 145-153.
- 22 [105] R. Daly, T.S. Harrington, G.D. Martin, I.M. Hutchings, Inkjet printing for pharmaceuticals – A review  
23 of research and manufacturing, *International Journal of Pharmaceutics*, 494 (2015) 554-567.
- 24 [106] A. Awad, A. Goyanes, S. Gaisford, A.W. Basit, Advances in powder bed fusion 3D printing in drug  
25 delivery and healthcare, *Advanced Drug Delivery Reviews*, in press (2021).
- 26 [107] F. Fina, A. Goyanes, C.M. Madla, A. Awad, S.J. Trenfield, J.M. Kuek, P. Patel, S. Gaisford, A.W. Basit,  
27 3D printing of drug-loaded gyroid lattices using selective laser sintering, *International Journal of*  
28 *Pharmaceutics*, 547 (2018) 44-52.
- 29 [108] F. Fina, C.M. Madla, A. Goyanes, J. Zhang, S. Gaisford, A.W. Basit, Fabricating 3D printed orally  
30 disintegrating printlets using selective laser sintering, *International Journal of Pharmaceutics*, 541 (2018)  
31 101-107.
- 32 [109] N. Allahham, F. Fina, C. Marcuta, L. Kraschew, W. Mohr, S. Gaisford, A.W. Basit, A. Goyanes,  
33 Selective Laser Sintering 3D Printing of Orally Disintegrating Printlets Containing Ondansetron,  
34 *Pharmaceutics*, 12 (2020) 110.
- 35 [110] A. Awad, F. Fina, A. Goyanes, S. Gaisford, A.W. Basit, 3D printing: Principles and pharmaceutical  
36 applications of selective laser sintering, *International Journal of Pharmaceutics*, 586 (2020) 119594.

- 1 [111] S.J. Trenfield, C.M. Madla, A.W. Basit, S. Gaisford, Binder Jet Printing in Pharmaceutical  
2 Manufacturing, 3D Printing of Pharmaceuticals, Springer, Cham2018, pp. 41-54.
- 3 [112] X. Wang, L. Xu, G. Zheng, J. Jiang, D. Sun, W. Li, Formation of suspending beads-on-a-string  
4 structure in electrohydrodynamic printing process, Materials & Design, 204 (2021) 109692.
- 5 [113] J. Plog, Y. Jiang, Y. Pan, A.L. Yarin, Electrostatically-assisted direct ink writing for additive  
6 manufacturing, Additive Manufacturing, 39 (2021) 101644.
- 7 [114] I. Liashenko, J. Rosell-Llompart, A. Cabot, Ultrafast 3D printing with submicrometer features using  
8 electrostatic jet deflection, Nature Communications, 11 (2020) 753.
- 9 [115] C. Wei, J. Dong, Direct fabrication of high-resolution three-dimensional polymeric scaffolds using  
10 electrohydrodynamic hot jet plotting, Journal of Micromechanics and Microengineering, 23 (2013)  
11 025017.
- 12 [116] S. Wu, Z. Ahmad, J.-S. Li, M.-W. Chang, Fabrication of flexible composite drug films via foldable  
13 linkages using electrohydrodynamic printing, Materials Science and Engineering: C, 108 (2020) 110393.
- 14 [117] J.-C. Wang, H. Zheng, M.-W. Chang, Z. Ahmad, J.-S. Li, Preparation of active 3D film patches via  
15 aligned fiber electrohydrodynamic (EHD) printing, Scientific Reports, 7 (2017) 43924.
- 16 [118] S. Wu, J.-S. Li, J. Mai, M.-W. Chang, Three-Dimensional Electrohydrodynamic Printing and Spinning  
17 of Flexible Composite Structures for Oral Multidrug Forms, ACS Applied Materials & Interfaces, 10 (2018)  
18 24876-24885.
- 19 [119] B. Wang, X. Chen, Z. Ahmad, J. Huang, M.-W. Chang, 3D electrohydrodynamic printing of highly  
20 aligned dual-core graphene composite matrices, Carbon, 153 (2019) 285-297.
- 21 [120] B. Wang, S. Wu, Z. Ahmad, J.-s. Li, M.-W. Chang, Co-printing of vertical axis aligned micron-scaled  
22 filaments via simultaneous dual needle electrohydrodynamic printing, European Polymer Journal, 104  
23 (2018) 81-89.
- 24 [121] D. Gao, J.G. Zhou, Designs and applications of electrohydrodynamic 3D printing, Int J Bioprint, 5  
25 (2018) 172-172.
- 26 [122] M. Mao, J. He, X. Li, B. Zhang, Q. Lei, Y. Liu, D. Li, The emerging frontiers and applications of high-  
27 resolution 3D printing, Micromachines, 8 (2017) 113.
- 28 [123] J.R. Wagner, E.M. Mount, H.F. Giles, 25 - Design of Experiments, in: J.R. Wagner, E.M. Mount, H.F.  
29 Giles (Eds.) Extrusion (Second Edition), William Andrew Publishing, Oxford, 2014, pp. 291-308.
- 30 [124] M. Wikberg, G. Alderborn, Compression characteristics of granulated materials II. Evaluation of  
31 granule fragmentation during compression by tablet permeability and porosity measurements,  
32 International Journal of Pharmaceutics, 62 (1990) 229-241.
- 33 [125] D. Moldenhauer, D.C.Y. Nguyen, L. Jescheck, F. Hack, D. Fischer, A. Schneeberger, 3D screen  
34 printing – An innovative technology for large-scale manufacturing of pharmaceutical dosage forms,  
35 International Journal of Pharmaceutics, 592 (2021).



- 1 [126] P. Goos, B. Jones, *Optimal Design of Experiments*, 1 ed., John Wiley & Sons, Ltd., West Sussex,  
2 United Kingdom, 2011.
- 3 [127] J. Zhang, R. Thakkar, Y. Zhang, M. Maniruzzaman, Structure-function correlation and personalized  
4 3D printed tablets using a quality by design (QbD) approach, *International Journal of Pharmaceutics*, 590  
5 (2020) 119945.
- 6 [128] E. Carlier, S. Marquette, C. Peerboom, L. Denis, S. Benali, J.M. Raquez, K. Amighi, J. Goole,  
7 Investigation of the parameters used in fused deposition modeling of poly(lactic acid) to optimize 3D  
8 printing sessions, *International Journal of Pharmaceutics*, 565 (2019) 367-377.
- 9 [129] A.Q. Vo, J. Zhang, D. Nyavanandi, S. Bandari, M.A. Repka, Hot melt extrusion paired fused  
10 deposition modeling 3D printing to develop hydroxypropyl cellulose based floating tablets of cinnarizine,  
11 *Carbohydrate Polymers*, 246 (2020) 116519.
- 12 [130] E. Tsintavi, D.M. Rekkas, R. Bettini, Partial tablet coating by 3D printing, *International Journal of*  
13 *Pharmaceutics*, 581 (2020) 119298.
- 14 [131] S.F. Barakh Ali, E.M. Mohamed, T. Ozkan, M.A. Kuttolamadom, M.A. Khan, A. Asadi, Z. Rahman,  
15 Understanding the effects of formulation and process variables on the printlets quality manufactured by  
16 selective laser sintering 3D printing, *International Journal of Pharmaceutics*, 570 (2019) 118651.
- 17 [132] D. Roush, D. Asthagiri, D.K. Babi, S. Benner, C. Bilodeau, G. Carta, P. Ernst, M. Fedesco, S.  
18 Fitzgibbon, M. Flamm, J. Griesbach, T. Grosskopf, E.B. Hansen, T. Hahn, S. Hunt, F. Insaideo, A. Lenhoff, J.  
19 Lin, H. Marke, B. Marques, E. Papadakis, F. Schlegel, A. Staby, M. Stenvang, L. Sun, P.M. Tessier, R. Todd,  
20 E. von Lieres, J. Welsh, R. Willson, G. Wang, T. Wucherpfennig, O. Zavalov, Toward in silico CMC: An  
21 industrial collaborative approach to model-based process development, *Biotechnology and*  
22 *Bioengineering*, 117 (2020) 3986-4000.
- 23 [133] M.J. Jafari, M. Pouyakian, A. khanteymooori, S.M. Hanifi, Development of a framework for dynamic  
24 risk assessment of environmental impacts in chemicals warehouse using CFD-BN, *International Journal*  
25 *of Environmental Science and Technology*, (2021).
- 26 [134] M. Nurhaniza, M.K.A. Ariffin, A. Ali, F. Mustapha, A.W. Noraini, Finite element analysis of  
27 composites materials for aerospace applications, *IOP Conference Series: Materials Science and*  
28 *Engineering*, 11 (2010) 012010.
- 29 [135] M. Aghaamoo, Z. Zhang, X. Chen, J. Xu, Deformability-based circulating tumor cell separation with  
30 conical-shaped microfilters: Concept, optimization, and design criteria, *Biomicrofluidics*, 9 (2015)  
31 034106.
- 32 [136] I. Xenikakis, M. Tzimtzimis, K. Tsongas, D. Andreadis, E. Demiri, D. Tzetzis, D.G. Fatouros,  
33 Fabrication and finite element analysis of stereolithographic 3D printed microneedles for transdermal  
34 delivery of model dyes across human skin in vitro, *European Journal of Pharmaceutical Sciences*, 137  
35 (2019) 104976.
- 36 [137] H.S. Ramanath, C.K. Chua, K.F. Leong, K.D. Shah, Melt flow behaviour of poly- $\epsilon$ -caprolactone in  
37 fused deposition modelling, *Journal of Materials Science: Materials in Medicine*, 19 (2008) 2541-2550.

- 1 [138] Y. Yang, J. Fang, L. Shen, W. Shan, Simulation and evaluation of rupturable coated capsules by  
2 finite element method, *International Journal of Pharmaceutics*, 519 (2017) 220-229.
- 3 [139] H.-J. Lee, I.-H. Kwon, H.-G. Lee, Y.-B. Kwon, H.-M. Woo, S.-M. Cho, Y.-W. Choi, J. Chon, K. Kim, D.-  
4 W. Kim, C.-W. Park, Spiral mouthpiece design in a dry powder inhaler to improve aerosolization,  
5 *International Journal of Pharmaceutics*, 553 (2018) 149-156.
- 6 [140] H.L. Wei, T. Mukherjee, W. Zhang, J.S. Zuback, G.L. Knapp, A. De, T. DebRoy, Mechanistic models  
7 for additive manufacturing of metallic components, *Progress in Materials Science*, 116 (2021) 100703.
- 8 [141] T. DebRoy, T. Mukherjee, H.L. Wei, J.W. Elmer, J.O. Milewski, *Metallurgy, mechanistic models and*  
9 *machine learning in metal printing*, *Nature Reviews Materials*, (2020).
- 10 [142] S. Eyerman, K. Hoste, L. Eeckhout, Mechanistic-empirical processor performance modeling for  
11 constructing CPI stacks on real hardware, (IEEE ISPASS) *IEEE International Symposium on Performance*  
12 *Analysis of Systems and Software*, 2011, pp. 216-226.
- 13 [143] F. Wang, G. Wang, F. Ning, Z. Zhang, Fiber–matrix impregnation behavior during additive  
14 manufacturing of continuous carbon fiber reinforced polylactic acid composites, *Additive*  
15 *Manufacturing*, (2020) 101661.
- 16 [144] F. Wang, Z. Zhang, F. Ning, G. Wang, C. Dong, A mechanistic model for tensile property of  
17 continuous carbon fiber reinforced plastic composites built by fused filament fabrication, *Additive*  
18 *Manufacturing*, 32 (2020) 101102.
- 19 [145] T. Hafkamp, G. van Baars, B. de Jager, P. Etman, Real-time feedback controlled conversion in vat  
20 photopolymerization of ceramics: A proof of principle, *Additive Manufacturing*, 30 (2019) 100775.
- 21 [146] A.S.J. Suiker, Mechanical performance of wall structures in 3D printing processes: Theory, design  
22 tools and experiments, *International Journal of Mechanical Sciences*, 137 (2018) 145-170.
- 23 [147] M. Elbadawi, J. Rivera-Armenta, B. Cruz, *Polymeric Additive Manufacturing: The Necessity and*  
24 *Utility of Rheology*, *Polymer Rheology*, 10 (2018).
- 25 [148] A. Zidan, A. Alayoubi, S. Asfari, J. Coburn, B. Ghammraoui, S. Aqueel, C.N. Cruz, M. Ashraf,  
26 Development of mechanistic models to identify critical formulation and process variables of pastes for  
27 3D printing of modified release tablets, *Int J Pharm*, 555 (2019) 109-123.
- 28 [149] A. Zidan, A. Alayoubi, J. Coburn, S. Asfari, B. Ghammraoui, C.N. Cruz, M. Ashraf, Extrudability  
29 analysis of drug loaded pastes for 3D printing of modified release tablets, *Int J Pharm*, 554 (2019) 292-  
30 301.
- 31 [150] T. Davenport, R. Kalakota, The potential for artificial intelligence in healthcare, *Future Healthc J*, 6  
32 (2019) 94-98.
- 33 [151] R. Mazumder, T. Hastie, R. Tibshirani, Spectral regularization algorithms for learning large  
34 incomplete matrices, *Journal of Machine Learning Research*, 11 (2010) 2287-2322.

- 1 [152] J.B. Heaton, N.G. Polson, J.H. Witte, Deep learning for finance: deep portfolios, *Applied Stochastic*  
2 *Models in Business and Industry*, 33 (2017) 3-12.
- 3 [153] E.J. Topol, High-performance medicine: the convergence of human and artificial intelligence, *Nat*  
4 *Med*, 25 (2019) 44-56.
- 5 [154] L.E. McCoubrey, M. Elbadawi, M. Orlu, S. Gaisford, A.W. Basit, Harnessing machine learning for  
6 development of microbiome therapeutics, *Gut Microbes*, 13 (2021) 1-20.
- 7 [155] T. Economist, Not So Big, *The Economist*, 435 (2020) S5-S6.
- 8 [156] M. Elbadawi, B. Muniz Castro, F.K.H. Gavins, J.J. Ong, S. Gaisford, G. Perez, A.W. Basit, P. Cabalar,  
9 A. Goyanes, M3DISEEN: A novel machine learning approach for predicting the 3D printability of  
10 medicines, *Int J Pharm*, 590 (2020) 119837.
- 11 [157] À. Bravo, J. Piñero, N. Queralt-Rosinach, M. Rautschka, L.I. Furlong, Extraction of relations between  
12 genes and diseases from text and large-scale data analysis: implications for translational research, *BMC*  
13 *Bioinformatics*, 16 (2015) 55.
- 14 [158] L. Sun, C. Hsiung, C.G. Pederson, P. Zou, V. Smith, M. von Gunten, N.A. O'Brien, Pharmaceutical  
15 Raw Material Identification Using Miniature Near-Infrared (MicroNIR) Spectroscopy and Supervised  
16 Pattern Recognition Using Support Vector Machine, *Applied Spectroscopy*, 70 (2016) 816-825.
- 17 [159] G. James, D. Witten, T. Hastie, R. Tibshirani, *Linear Regression, An Introduction to Statistical*  
18 *Learning: with Applications in R*, Springer New York, New York, NY, 2013, pp. 59-126.
- 19 [160] G. James, D. Witten, T. Hastie, R. Tibshirani, *Tree-Based Methods, An Introduction to Statistical*  
20 *Learning: with Applications in R*, Springer New York, New York, NY, 2013, pp. 303-335.
- 21 [161] R. Rodríguez-Pérez, M. Vogt, J. Bajorath, Support Vector Machine Classification and Regression  
22 Prioritize Different Structural Features for Binary Compound Activity and Potency Value Prediction, *ACS*  
23 *Omega*, 2 (2017) 6371-6379.
- 24 [162] J. Zou, Y. Han, S.-S. So, Overview of Artificial Neural Networks, in: D.J. Livingstone (Ed.) *Artificial*  
25 *Neural Networks: Methods and Applications*, Humana Press, Totowa, NJ, 2009, pp. 14-22.
- 26 [163] Y.h. Taguchi, Identification of candidate drugs using tensor-decomposition-based unsupervised  
27 feature extraction in integrated analysis of gene expression between diseases and DrugMatrix datasets,  
28 *Scientific Reports*, 7 (2017) 13733.
- 29 [164] H. Bisgin, Z. Liu, H. Fang, X. Xu, W. Tong, Mining FDA drug labels using an unsupervised learning  
30 technique - topic modeling, *BMC Bioinformatics*, 12 (2011).
- 31 [165] D. Wulsin, B. Litt, An unsupervised method for identifying regions that initiate seizures on  
32 intracranial EEG, *Proceedings of the Annual International Conference of the IEEE Engineering in*  
33 *Medicine and Biology Society, EMBS*, 2011, pp. 3091-3094.
- 34 [166] S. Ko, J. Choi, J. Ahn, GVES: machine learning model for identification of prognostic genes with a  
35 small dataset, *Sci Rep*, 11 (2021) 439.

- 1 [167] R. Xu, D. Wunsch li, Survey of clustering algorithms, IEEE Transactions on Neural Networks, 16  
2 (2005) 645-678.
- 3 [168] Y.-H. Wang, Y. Li, S.-L. Yang, L. Yang, Classification of Substrates and Inhibitors of P-Glycoprotein  
4 Using Unsupervised Machine Learning Approach, Journal of Chemical Information and Modeling, 45  
5 (2005) 750-757.
- 6 [169] D.M. Camacho, K.M. Collins, R.K. Powers, J.C. Costello, J.J. Collins, Next-Generation Machine  
7 Learning for Biological Networks, Cell, 173 (2018) 1581-1592.
- 8 [170] M. Chen, S. Mao, Y. Liu, Big Data: A Survey, Mobile Networks and Applications, 19 (2014) 171-209.
- 9 [171] Y. Wang, Y. Yang, Y. Liu, A.A. Bharath, A Recursive Ensemble Learning Approach With Noisy Labels  
10 or Unlabeled Data, IEEE Access, 7 (2019) 36459-36470.
- 11 [172] E.O. Neftci, B.B. Averbeck, Reinforcement learning in artificial and biological systems, Nature  
12 Machine Intelligence, 1 (2019) 133-143.
- 13 [173] D. Silver, J. Schrittwieser, K. Simonyan, I. Antonoglou, A. Huang, A. Guez, T. Hubert, L. Baker, M.  
14 Lai, A. Bolton, Y. Chen, T. Lillicrap, F. Hui, L. Sifre, G. van den Driessche, T. Graepel, D. Hassabis,  
15 Mastering the game of Go without human knowledge, Nature, 550 (2017) 354-359.
- 16 [174] I.J. Sledge, J.C. Príncipe, Balancing exploration and exploitation in reinforcement learning using a  
17 value of information criterion, 2017 IEEE International Conference on Acoustics, Speech and Signal  
18 Processing (ICASSP), 2017, pp. 2816-2820.
- 19 [175] M. Abadi, P. Barham, J. Chen, Z. Chen, A. Davis, J. Dean, M. Devin, S. Ghemawat, G. Irving, M. Isard,  
20 M. Kudlur, J. Levenberg, R. Monga, S. Moore, D.G. Murray, B. Steiner, P. Tucker, V. Vasudevan, P.  
21 Warden, M. Wicke, Y. Yu, X. Zheng, TensorFlow: A system for large-scale machine learning, Proceedings  
22 of the 12th USENIX Symposium on Operating Systems Design and Implementation, OSDI 2016, 2016, pp.  
23 265-283.
- 24 [176] J. Jiménez-Luna, F. Grisoni, G. Schneider, Drug discovery with explainable artificial intelligence,  
25 Nature Machine Intelligence, 2 (2020) 573-584.
- 26 [177] W. Nash, T. Drummond, N. Birbilis, A review of deep learning in the study of materials  
27 degradation, npj Materials Degradation, 2 (2018) 37.
- 28 [178] M. Wainberg, D. Merico, A. Delong, B.J. Frey, Deep learning in biomedicine, Nature Biotechnology,  
29 36 (2018) 829-838.
- 30 [179] N. Sarah Arden, A.C. Fisher, K. Tyner, L.X. Yu, S.L. Lee, M. Kopcha, Industry 4.0 for Pharmaceutical  
31 Manufacturing: Preparing for the Smart Factories of the Future, International Journal of Pharmaceutics,  
32 (2021) 120554.
- 33 [180] A.E. Gongora, B. Xu, W. Perry, C. Okoye, P. Riley, K.G. Reyes, E.F. Morgan, K.A. Brown, A Bayesian  
34 experimental autonomous researcher for mechanical design, Science Advances, 6 (2020) eaaz1708.

- 1 [181] K. Ruberu, M. Senadeera, S. Rana, S. Gupta, J. Chung, Z. Yue, S. Venkatesh, G. Wallace, Coupling  
2 machine learning with 3D bioprinting to fast track optimisation of extrusion printing, *Applied Materials*  
3 *Today*, 22 (2021) 100914.
- 4 [182] I. Karakurt, A. Aydoğdu, S. Çikrikçi, J. Orozco, L. Lin, Stereolithography (SLA) 3D printing of ascorbic  
5 acid loaded hydrogels: A controlled release study, *International Journal of Pharmaceutics*, 584 (2020).
- 6 [183] Y. Mai, D.A.I. Ashiru-Oredope, Z. Yao, L. Dou, C.M. Madla, F. Taherali, S. Murdan, A.W. Basit,  
7 Boosting drug bioavailability in men but not women through the action of an excipient, *International*  
8 *Journal of Pharmaceutics*, 587 (2020) 119678.
- 9 [184] C. Stillhart, K. Vucicevic, P. Augustijns, A.W. Basit, H. Batchelor, T.R. Flanagan, I. Gesquiere, R.  
10 Greupink, D. Keszthelyi, M. Koskinen, C.M. Madla, C. Matthys, G. Miljus, M.G. Mooij, N. Parrott, A.L.  
11 Ungell, S.N. de Wildt, M. Orlu, S. Klein, A. Mullertz, Impact of gastrointestinal physiology on drug  
12 absorption in special populations--An UNGAP review, *Eur J Pharm Sci*, 147 (2020) 105280.
- 13 [185] G.B. Hatton, C.M. Madla, S.C. Rabbie, A.W. Basit, All disease begins in the gut: Influence of  
14 gastrointestinal disorders and surgery on oral drug performance, *Int J Pharm*, 548 (2018) 408-422.
- 15 [186] G.B. Hatton, C.M. Madla, S.C. Rabbie, A.W. Basit, Gut reaction: impact of systemic diseases on  
16 gastrointestinal physiology and drug absorption, *Drug Discovery Today*, 24 (2019) 417-427.
- 17 [187] F.J. Varum, G.B. Hatton, A.W. Basit, Food, physiology and drug delivery, *Int J Pharm*, 457 (2013)  
18 446-460.
- 19 [188] L. Dou, F.K.H. Gavins, Y. Mai, C.M. Madla, F. Taherali, M. Orlu, S. Murdan, A.W. Basit, Effect of food  
20 and an animal's sex on p-glycoprotein expression and luminal fluids in the gastrointestinal tract of wistar  
21 rats, *Pharmaceutics*, 12 (2020).
- 22 [189] H.A. Merchant, F. Liu, M. Orlu Gul, A.W. Basit, Age-mediated changes in the gastrointestinal tract,  
23 *International Journal of Pharmaceutics*, 512 (2016) 382-395.
- 24 [190] T. Vallet, H. Michelon, M. Orlu, Y. Jani, P. Leglise, S. Laribe-Caget, M. Piccoli, A.L. Fur, F. Liu, F. Ruiz,  
25 V. Boudy, Acceptability in the older population: The importance of an appropriate tablet size,  
26 *Pharmaceutics*, 12 (2020) 1-11.
- 27 [191] Z. Vinarov, B. Abrahamsson, P. Artursson, H. Batchelor, P. Berben, A. Bernkop-Schnürch, J. Butler,  
28 J. Ceulemans, N. Davies, D. Dupont, G.E. Flaten, N. Fotaki, B.T. Griffin, V. Jannin, J. Keemink, F.  
29 Kesisoglou, M. Koziolk, M. Kuentz, A. Mackie, A.J. Meléndez-Martínez, M. McAllister, A. Müllertz, C.M.  
30 O'Driscoll, N. Parrott, J. Paszkowska, P. Pavek, C.J.H. Porter, C. Reppas, C. Stillhart, K. Sugano, E. Toader,  
31 K. Valentová, M. Vertzoni, S.N. De Wildt, C.G. Wilson, P. Augustijns, Current challenges and future  
32 perspectives in oral absorption research: An opinion of the UNGAP network, *Advanced Drug Delivery*  
33 *Reviews*, 171 (2021) 289-331.
- 34 [192] Y. Mai, L. Dou, Z. Yao, C.M. Madla, F.K.H. Gavins, F. Taherali, H. Yin, M. Orlu, S. Murdan, A.W. Basit,  
35 Quantification of P-Glycoprotein in the Gastrointestinal Tract of Humans and Rodents: Methodology,  
36 Gut Region, Sex, and Species Matter, *Molecular Pharmaceutics*, (2021).

- 1 [193] T. von Erlach, S. Saxton, Y. Shi, D. Minahan, D. Reker, F. Javid, Y.-A.L. Lee, C. Schoellhammer, T.  
2 Esfandiary, C. Cleveland, L. Booth, J. Lin, H. Levy, S. Blackburn, A. Hayward, R. Langer, G. Traverso,  
3 Robotically handled whole-tissue culture system for the screening of oral drug formulations, *Nature*  
4 *Biomedical Engineering*, 4 (2020) 544-559.
- 5 [194] Z. Vinarov, M. Abdallah, J.A.G. Agundez, K. Allegaert, A.W. Basit, M. Braeckmans, J. Ceulemans, M.  
6 Corsetti, B.T. Griffin, M. Grimm, D. Keszthelyi, M. Koziolok, C.M. Madla, C. Matthys, L.E. McCoubrey, A.  
7 Mitra, C. Reppas, J. Stappaerts, N. Steenackers, N.L. Trevaskis, T. Vanuytsel, M. Vertzoni, W. Weitschies,  
8 C. Wilson, P. Augustijns, Impact of gastrointestinal tract variability on oral drug absorption and  
9 pharmacokinetics: An UNGAP review, *European Journal of Pharmaceutical Sciences*, 162 (2021) 105812.
- 10 [195] G.B. Hatton, V. Yadav, A.W. Basit, H.A. Merchant, Animal Farm: Considerations in Animal  
11 Gastrointestinal Physiology and Relevance to Drug Delivery in Humans, *Journal of Pharmaceutical*  
12 *Sciences*, 104 (2015) 2747-2776.
- 13 [196] H.M. Fadda, T. Sousa, A.S. Carlsson, B. Abrahamsson, J.G. Williams, D. Kumar, A.W. Basit, Drug  
14 Solubility in Luminal Fluids from Different Regions of the Small and Large Intestine of Humans, *Molecular*  
15 *Pharmaceutics*, 7 (2010) 1527-1532.
- 16 [197] M. Vertzoni, P. Augustijns, M. Grimm, M. Koziolok, G. Lemmens, N. Parrott, C. Pentafragka, C.  
17 Reppas, J. Rubbens, J. Van Den Abeele, T. Vanuytsel, W. Weitschies, C.G. Wilson, Impact of regional  
18 differences along the gastrointestinal tract of healthy adults on oral drug absorption: An UNGAP review,  
19 *European Journal of Pharmaceutical Sciences*, 134 (2019) 153-175.
- 20 [198] M. Koziolok, S. Alcaro, P. Augustijns, A.W. Basit, M. Grimm, B. Hens, C.L. Hoad, P. Jedamzik, C.M.  
21 Madla, M. Maliepaard, L. Marciani, A. Maruca, N. Parrott, P. Pávek, C.J.H. Porter, C. Reppas, D. van Riet-  
22 Nales, J. Rubbens, M. Statelova, N.L. Trevaskis, K. Valentová, M. Vertzoni, D.V. Čepo, M. Corsetti, The  
23 mechanisms of pharmacokinetic food-drug interactions – A perspective from the UNGAP group,  
24 *European Journal of Pharmaceutical Sciences*, 134 (2019) 31-59.
- 25 [199] C.M. Christine M. Madla, F.K.H. Gavins, H.A. Merchant, M. Orlu, S. Murdan, A.W. Basit, Let's Talk  
26 About Sex: Differences in Drug Therapy in Males and Females, *Advanced Drug Delivery Reviews*, in press  
27 (2021).
- 28 [200] B. Bhatarai, W.P. Walters, C.E.C.A. Hop, G. Lanza, S. Ekins, Opportunities and challenges using  
29 artificial intelligence in ADME/Tox, *Nature Materials*, 18 (2019) 418-422.
- 30 [201] S.A. Damiani, *Digital Pharmaceutical Sciences*, AAPS PharmSciTech, 21 (2020) 206.
- 31 [202] K.K. Mak, M.R. Pichika, Artificial intelligence in drug development: present status and future  
32 prospects, *Drug Discov Today*, 24 (2019) 773-780.
- 33 [203] R. Han, H. Xiong, Z. Ye, Y. Yang, T. Huang, Q. Jing, J. Lu, H. Pan, F. Ren, D. Ouyang, Predicting  
34 physical stability of solid dispersions by machine learning techniques, *Journal of Controlled Release*, 311-  
35 312 (2019) 16-25.
- 36 [204] C. Huang, E.A. Clayton, L.V. Matyunina, L.D.E. McDonald, B.B. Benigno, F. Vannberg, J.F. McDonald,  
37 Machine learning predicts individual cancer patient responses to therapeutic drugs with high accuracy,  
38 *Scientific Reports*, 8 (2018).

- 1 [205] A.C. King, M. Woods, W. Liu, Z. Lu, D. Gill, M.R.H. Krebs, High-throughput measurement,  
2 correlation analysis, and machine-learning predictions for pH and thermal stabilities of Pfizer-generated  
3 antibodies, *Protein Science*, 20 (2011) 1546-1557.
- 4 [206] Y. Li, M.R. Abbaspour, P.V. Grootendorst, A.M. Rauth, X.Y. Wu, Optimization of controlled release  
5 nanoparticle formulation of verapamil hydrochloride using artificial neural networks with genetic  
6 algorithm and response surface methodology, *European Journal of Pharmaceutics and*  
7 *Biopharmaceutics*, 94 (2015) 170-179.
- 8 [207] Y. Yang, Z. Ye, Y. Su, Q. Zhao, X. Li, D. Ouyang, Deep learning for in vitro prediction of  
9 pharmaceutical formulations, *Acta Pharmaceutica Sinica B*, 9 (2019) 177-185.
- 10 [208] H.M. Zawbaa, J. Szłęk, C. Grosan, R. Jachowicz, A. Mendyk, Computational Intelligence Modeling of  
11 the Macromolecules Release from PLGA Microspheres—Focus on Feature Selection, *PLoS One*, 11  
12 (2016) e0157610.
- 13 [209] M. Madzarevic, D. Medarevic, A. Vulovic, T. Sustersic, J. Djuris, N. Filipovic, S. Ibric, Optimization  
14 and Prediction of Ibuprofen Release from 3D DLP Printlets Using Artificial Neural Networks,  
15 *Pharmaceutics*, 11 (2019).
- 16 [210] M. Elbadawi, T. Gustaffson, S. Gaisford, A.W. Basit, 3D printing tablets: Predicting printability and  
17 drug dissolution from rheological data, *International Journal of Pharmaceutics*, 590 (2020) 119868.
- 18 [211] Y. Baranwal, A.D. Román-Ospino, G. Keyvan, J.M. Ha, E.P. Hong, F.J. Muzzio, R. Ramachandran,  
19 Prediction of dissolution profiles by non-destructive NIR spectroscopy in bilayer tablets, *International*  
20 *Journal of Pharmaceutics*, 565 (2019) 419-436.
- 21 [212] E. Hernandez, P. Pawar, G. Keyvan, Y. Wang, N. Velez, G. Callegari, A. Cuitino, B. Michniak-Kohn,  
22 F.J. Muzzio, R.J. Romañach, Prediction of dissolution profiles by non-destructive near infrared  
23 spectroscopy in tablets subjected to different levels of strain, *Journal of Pharmaceutical and Biomedical*  
24 *Analysis*, 117 (2016) 568-576.
- 25 [213] D.L. Galata, A. Farkas, Z. Könyves, L.A. Mészáros, E. Szabó, I. Csontos, A. Pálos, G. Marosi, Z.K.  
26 Nagy, B. Nagy, Fast, Spectroscopy-Based Prediction of In Vitro Dissolution Profile of Extended Release  
27 Tablets Using Artificial Neural Networks, *Pharmaceutics*, 11 (2019) 400.
- 28 [214] M.P. Freitas, A. Sabadin, L.M. Silva, F.M. Giannotti, D.A. do Couto, E. Tonhi, R.S. Medeiros, G.L.  
29 Coco, V.F.T. Russo, J.A. Martins, Prediction of drug dissolution profiles from tablets using NIR diffuse  
30 reflectance spectroscopy: A rapid and nondestructive method, *Journal of Pharmaceutical and*  
31 *Biomedical Analysis*, 39 (2005) 17-21.
- 32 [215] Y. Zhao, W. Li, Z. Shi, J.K. Drennen, C.A. Anderson, Prediction of Dissolution Profiles From Process  
33 Parameters, Formulation, and Spectroscopic Measurements, *Journal of Pharmaceutical Sciences*, 108  
34 (2019) 2119-2127.
- 35 [216] J. Petrović, S. Ibrić, G. Betz, Z. Đurić, Optimization of matrix tablets controlled drug release using  
36 Elman dynamic neural networks and decision trees, *International Journal of Pharmaceutics*, 428 (2012)  
37 57-67.

- 1 [217] A.O. Abioye, A. Kola-Mustapha, G.T. Chi, S. Ilya, Quantification of in situ granulation-induced  
2 changes in pre-compression, solubility, dose distribution and intrinsic in vitro release characteristics of  
3 ibuprofen–cationic dextran conjugate cristanules, *International Journal of Pharmaceutics*, 471 (2014)  
4 453-477.
- 5 [218] G. Stanojević, D. Medarević, I. Adamov, N. Pešić, J. Kovačević, S. Ibrić, Tailoring Atomoxetine  
6 Release Rate from DLP 3D-Printed Tablets Using Artificial Neural Networks: Influence of Tablet Thickness  
7 and Drug Loading, *Molecules (Basel, Switzerland)*, 26 (2020).
- 8 [219] D. Reker, Y. Shi, A.R. Kirtane, K. Hess, G.J. Zhong, E. Crane, C.-H. Lin, R. Langer, G. Traverso,  
9 Machine Learning Uncovers Food- and Excipient-Drug Interactions, *Cell Reports*, 30 (2020) 3710-  
10 3716.e3714.
- 11 [220] D. Reker, Y. Rybakova, A.R. Kirtane, R. Cao, J.W. Yang, N. Navamajiti, A. Gardner, R.M. Zhang, T.  
12 Esfandiary, J. L'Heureux, T. von Erlach, E.M. Smekalova, D. Leboeuf, K. Hess, A. Lopes, J. Rogner, J.  
13 Collins, S.M. Tamang, K. Ishida, P. Chamberlain, D. Yun, A. Lytton-Jean, C.K. Soule, J.H. Cheah, A.M.  
14 Hayward, R. Langer, G. Traverso, Computationally guided high-throughput design of self-assembling  
15 drug nanoparticles, *Nature Nanotechnology*, (2021).
- 16 [221] P. Costa, J.M. Sousa Lobo, Modeling and comparison of dissolution profiles, *European Journal of*  
17 *Pharmaceutical Sciences*, 13 (2001) 123-133.
- 18 [222] M. Madzarevic, D. Medarevic, A. Vulovic, T. Sustersic, J. Djuris, N. Filipovic, S. Ibric, Optimization  
19 and prediction of ibuprofen release from 3D DLP printlets using artificial neural networks,  
20 *Pharmaceutics*, 11 (2019) 544.
- 21 [223] P.J. Kondiah, P.P. Kondiah, Y.E. Choonara, T. Marimuthu, V. Pillay, A 3D Bioprinted Pseudo-Bone  
22 Drug Delivery Scaffold for Bone Tissue Engineering, *Pharmaceutics*, 12 (2020) 166.
- 23 [224] M. Luo, F. Nie, X. Chang, Y. Yang, A.G. Hauptmann, Q. Zheng, Avoiding Optimal Mean  $\ell_{2,1}$ -Norm  
24 Maximization-Based Robust PCA for Reconstruction, *Neural Computation*, 29 (2017) 1124-1150.
- 25 [225] M. Khodayar, O. Kaynak, M.E. Khodayar, Rough Deep Neural Architecture for Short-Term Wind  
26 Speed Forecasting, *IEEE Transactions on Industrial Informatics*, 13 (2017) 2770-2779.
- 27 [226] J.M. Nasereddin, N. Wellner, M. Alhijaj, P. Belton, S. Qi, Development of a Simple Mechanical  
28 Screening Method for Predicting the Feedability of a Pharmaceutical FDM 3D Printing Filament,  
29 *Pharmaceutical Research*, 35 (2018) 151.
- 30 [227] C. Ding, X. He, K-means clustering via principal component analysis, *Proceedings of the twenty-*  
31 *first international conference on Machine learning*, Association for Computing Machinery, Banff,  
32 Alberta, Canada, 2004, pp. 29.
- 33 [228] M. Alhijaj, J. Nasereddin, P. Belton, S. Qi, Impact of Processing Parameters on the Quality of  
34 Pharmaceutical Solid Dosage Forms Produced by Fused Deposition Modeling (FDM), *Pharmaceutics*, 11  
35 (2019) 633.
- 36 [229] Y. Yang, Y. Zhou, X. Lin, Q. Yang, G. Yang, Printability of external and internal structures based on  
37 digital light processing 3D printing technique, *Pharmaceutics*, 12 (2020).



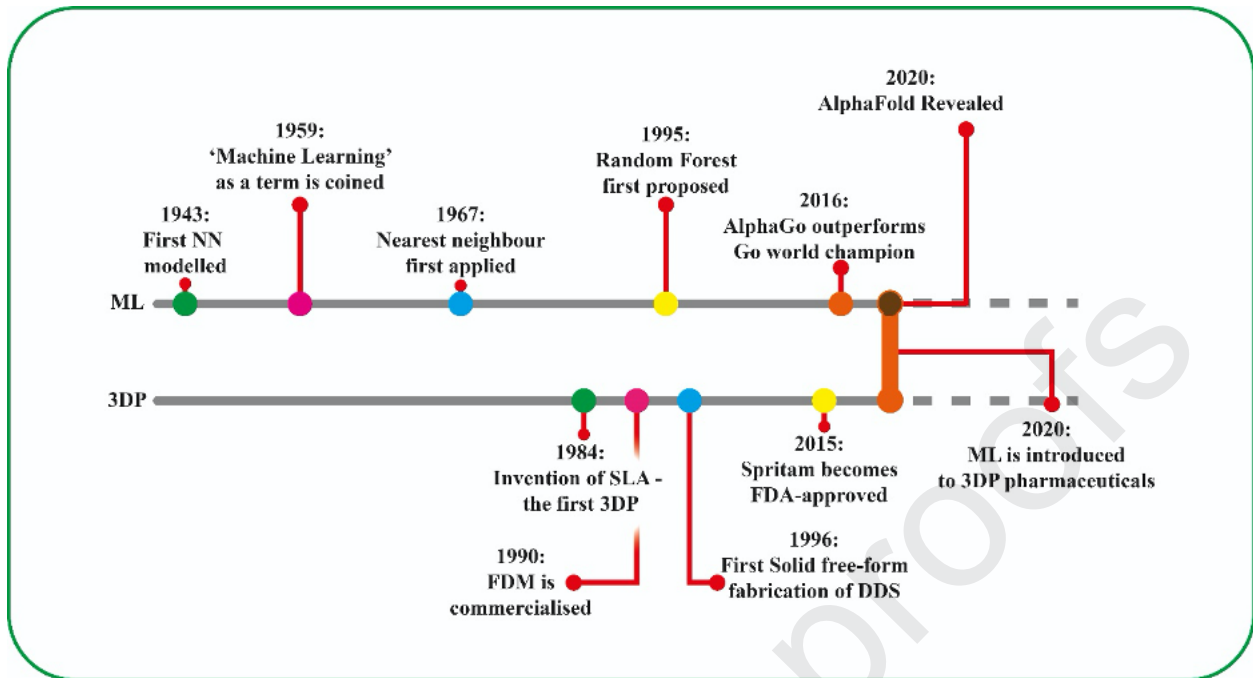
- 1 [230] G.P. Andrews, O. Abu-Diak, F. Kusmanto, P. Hornsby, Z. Hui, D.S. Jones, Physicochemical  
2 characterization and drug-release properties of celecoxib hot-melt extruded glass solutions, *Journal of*  
3 *Pharmacy and Pharmacology*, 62 (2010) 1580-1590.
- 4 [231] E.R. Davies, *Computer vision: principles, algorithms, applications, learning*, Academic Press 2017.
- 5 [232] L. Scime, J. Beuth, Anomaly detection and classification in a laser powder bed additive  
6 manufacturing process using a trained computer vision algorithm, *Additive Manufacturing*, 19 (2018)  
7 114-126.
- 8 [233] Z. Jin, Z. Zhang, G.X. Gu, Autonomous in-situ correction of fused deposition modeling printers  
9 using computer vision and deep learning, *Manufacturing Letters*, 22 (2019) 11-15.
- 10 [234] Z. Zhu, H.S. Park, M.C. McAlpine, 3D printed deformable sensors, *Science Advances*, 6 (2020)  
11 eaba5575.
- 12 [235] Y. Seto, K. Mori, S. Aikou, Robotic surgery for esophageal cancer: Merits and demerits, *Annals of*  
13 *Gastroenterological Surgery*, 1 (2017) 193-198.
- 14 [236] M. Elbadawi, J.J. Ong, T. Pollard, S. Gaisford, A.W. Basit, Additive Manufacturable Materials for  
15 Electrochemical Biosensor Electrode, *Advanced Functional Materials*, (2020).
- 16 [237] T.D. Pollard, J.J. Ong, A. Goyanes, M. Orlu, S. Gaisford, M. Elbadawi, A.W. Basit, Electrochemical  
17 biosensors: a nexus for precision medicine, *Drug Discov Today*, (2020).
- 18 [238] A. Biancolillo, F. Marini, Chemometric Methods for Spectroscopy-Based Pharmaceutical Analysis,  
19 *Frontiers in Chemistry*, 6 (2018).
- 20 [239] A. Melocchi, F. Briatico-Vangosa, M. Uboldi, F. Parietti, M. Turchi, D. von Zeppelin, A. Maroni, L.  
21 Zema, A. Gazzaniga, A. Zidan, Quality considerations on the pharmaceutical applications of fused  
22 deposition modeling 3D printing, *International Journal of Pharmaceutics*, 592 (2021) 119901.
- 23 [240] C.C. Corredor, D. Bu, G. McGeorge, Chapter 9 - Applications of MVDA and PAT for Drug Product  
24 Development and Manufacturing, in: A.P. Ferreira, J.C. Menezes, M. Tobyn (Eds.) *Multivariate Analysis in*  
25 *the Pharmaceutical Industry*, Academic Press 2018, pp. 211-234.
- 26 [241] T.F. O'Connor, L.X. Yu, S.L. Lee, Emerging technology: A key enabler for modernizing  
27 pharmaceutical manufacturing and advancing product quality, *International Journal of Pharmaceutics*,  
28 509 (2016) 492-498.
- 29 [242] P.S. Sampaio, A. Soares, A. Castanho, A.S. Almeida, J. Oliveira, C. Brites, Optimization of rice  
30 amylose determination by NIR-spectroscopy using PLS chemometrics algorithms, *Food Chemistry*, 242  
31 (2018) 196-204.
- 32 [243] G. James, D. Witten, T. Hastie, R. Tibshirani, *Linear Model Selection and Regularization*, An  
33 *Introduction to Statistical Learning: with Applications in R*, Springer New York, New York, NY, 2013, pp.  
34 203-264.

- 1 [244] S.J. Trenfield, H.X. Tan, A. Goyanes, D. Wilsdon, M. Rowland, S. Gaisford, A.W. Basit, Non-  
2 destructive dose verification of two drugs within 3D printed polyprintlets, *International Journal of*  
3 *Pharmaceutics*, 577 (2020) 119066.
- 4 [245] S.J. Trenfield, A. Goyanes, R. Telford, D. Wilsdon, M. Rowland, S. Gaisford, A.W. Basit, 3D printed  
5 drug products: Non-destructive dose verification using a rapid point-and-shoot approach, *International*  
6 *Journal of Pharmaceutics*, 549 (2018) 283-292.
- 7 [246] R. Hamed, E.M. Mohamed, Z. Rahman, M.A. Khan, 3D-printing of lopinavir printlets by selective  
8 laser sintering and quantification of crystalline fraction by XRPD-chemometric models, *International*  
9 *Journal of Pharmaceutics*, 592 (2021) 120059.
- 10 [247] P.Y. Sacré, C. De Bleye, P.F. Chavez, L. Netchacovitch, P. Hubert, E. Ziemons, Data processing of  
11 vibrational chemical imaging for pharmaceutical applications, *Journal of Pharmaceutical and Biomedical*  
12 *Analysis*, 101 (2014) 123-140.
- 13 [248] C.L.M. Morais, P.L. Martin-Hirsch, F.L. Martin, A three-dimensional principal component analysis  
14 approach for exploratory analysis of hyperspectral data: identification of ovarian cancer samples based  
15 on Raman microspectroscopy imaging of blood plasma, *Analyst*, 144 (2019) 2312-2319.
- 16 [249] W. Zhao, S. Du, Spectral–Spatial Feature Extraction for Hyperspectral Image Classification: A  
17 Dimension Reduction and Deep Learning Approach, *IEEE Transactions on Geoscience and Remote*  
18 *Sensing*, 54 (2016) 4544-4554.
- 19 [250] K. Golhani, S.K. Balasundram, G. Vadamalai, B. Pradhan, A review of neural networks in plant  
20 disease detection using hyperspectral data, *Information Processing in Agriculture*, 5 (2018) 354-371.
- 21 [251] N. Scoutaris, S.A. Ross, D. Douroumis, 3D Printed “Starmix” Drug Loaded Dosage Forms for  
22 Paediatric Applications, *Pharmaceutical Research*, 35 (2018) 34.
- 23 [252] M. Akbari Lakeh, A. Tu, D.C. Muddiman, H. Abdollahi, Discriminating normal regions within  
24 cancerous hen ovarian tissue using multivariate hyperspectral image analysis, *Rapid Communications in*  
25 *Mass Spectrometry*, 33 (2019) 381-391.
- 26 [253] M. Edinger, D. Bar-Shalom, J. Rantanen, N. Genina, Visualization and Non-Destructive  
27 Quantification of Inkjet-Printed Pharmaceuticals on Different Substrates Using Raman Spectroscopy and  
28 Raman Chemical Imaging, *Pharmaceutical Research*, 34 (2017) 1023-1036.
- 29 [254] H. Vakili, R. Kolakovic, N. Genina, M. Marmion, H. Salo, P. Ihalainen, J. Peltonen, N. Sandler,  
30 Hyperspectral imaging in quality control of inkjet printed personalised dosage forms, *International*  
31 *Journal of Pharmaceutics*, 483 (2015) 244-249.
- 32 [255] E.M. Mohamed, S.F. Barakh Ali, Z. Rahman, S. Dharani, T. Ozkan, M.A. Kuttolamadom, M.A. Khan,  
33 Formulation Optimization of Selective Laser Sintering 3D-Printed Tablets of Clindamycin Palmitate  
34 Hydrochloride by Response Surface Methodology, *AAPS PharmSciTech*, 21 (2020) 232.
- 35 [256] J. Freiesleben, J. Keim, M. Grutsch, Machine learning and Design of Experiments: Alternative  
36 approaches or complementary methodologies for quality improvement?, *Quality and Reliability*  
37 *Engineering International*, 36 (2020) 1837-1848.

- 1 [257] Y. Kosugi, N. Hosea, Prediction of Oral Pharmacokinetics Using a Combination of In Silico  
2 Descriptors and In Vitro ADME Properties, *Molecular Pharmaceutics*, (2021).
- 3 [258] B. Cao, L.A. Adutwum, A.O. Oliynyk, E.J. Lubber, B.C. Olsen, A. Mar, J.M. Buriak, How To Optimize  
4 Materials and Devices via Design of Experiments and Machine Learning: Demonstration Using Organic  
5 Photovoltaics, *ACS Nano*, 12 (2018) 7434-7444.
- 6 [259] Y. Wang, J.M. Lamim Ribeiro, P. Tiwary, Machine learning approaches for analyzing and enhancing  
7 molecular dynamics simulations, *Current Opinion in Structural Biology*, 61 (2020) 139-145.
- 8 [260] N. Gaw, A. Hawkins-Daarud, L.S. Hu, H. Yoon, L. Wang, Y. Xu, P.R. Jackson, K.W. Singleton, L.C.  
9 Baxter, J. Eschbacher, A. Gonzales, A. Nespodzany, K. Smith, P. Nakaji, J.R. Mitchell, T. Wu, K.R. Swanson,  
10 J. Li, Integration of machine learning and mechanistic models accurately predicts variation in cell density  
11 of glioblastoma using multiparametric MRI, *Scientific Reports*, 9 (2019) 10063.
- 12 [261] I. Baturynska, O. Semeniuta, K. Martinsen, Optimization of Process Parameters for Powder Bed  
13 Fusion Additive Manufacturing by Combination of Machine Learning and Finite Element Method: A  
14 Conceptual Framework, *Procedia CIRP*, 67 (2018) 227-232.
- 15 [262] G.X. Gu, C.-T. Chen, D.J. Richmond, M.J. Buehler, Bioinspired hierarchical composite design using  
16 machine learning: simulation, additive manufacturing, and experiment, *Materials Horizons*, 5 (2018)  
17 939-945.
- 18 [263] R.W. Lewis, D.T. Gethin, X.S. Yang, R.C. Rowe, A combined finite-discrete element method for  
19 simulating pharmaceutical powder tableting, *International Journal for Numerical Methods in*  
20 *Engineering*, 62 (2005) 853-869.
- 21 [264] H. Ko, P. Witherell, N.Y. Ndiaye, Y. Lu, Machine Learning based Continuous Knowledge Engineering  
22 for Additive Manufacturing, 2019 IEEE 15th International Conference on Automation Science and  
23 Engineering (CASE), 2019, pp. 648-654.
- 24 [265] A. Gioiello, A. Piccinno, A.M. Lozza, B. Cerra, The Medicinal Chemistry in the Era of Machines and  
25 Automation: Recent Advances in Continuous Flow Technology, *Journal of Medicinal Chemistry*, 63  
26 (2020) 6624-6647.
- 27 [266] C.K. Lee, M. Samad, I. Hofer, M. Cannesson, P. Baldi, Development and validation of an  
28 interpretable neural network for prediction of postoperative in-hospital mortality, *NPJ Digit Med*, 4  
29 (2021) 8.
- 30 [267] T. Wuest, D. Weimer, C. Irgens, K.-D. Thoben, Machine learning in manufacturing: advantages,  
31 challenges, and applications, *Production & Manufacturing Research*, 4 (2016) 23-45.
- 32 [268] C.B. Azodi, J. Tang, S.-H. Shiu, Opening the Black Box: Interpretable Machine Learning for  
33 Geneticists, *Trends in Genetics*, 36 (2020) 442-455.
- 34 [269] S. Leavy, Gender bias in artificial intelligence: the need for diversity and gender theory in machine  
35 learning, *Proceedings of the 1st International Workshop on Gender Equality in Software Engineering*,  
36 Association for Computing Machinery, Gothenburg, Sweden, 2018, pp. 14-16.

- 1 [270] A. Noseworthy Peter, I. Attia Zachi, C. Brewer LaPrincess, N. Hayes Sharonne, X. Yao, S. Kapa, A.  
2 Friedman Paul, F. Lopez-Jimenez, Assessing and Mitigating Bias in Medical Artificial Intelligence,  
3 *Circulation: Arrhythmia and Electrophysiology*, 13 (2020) e007988.
- 4 [271] M. Moradi, M. Samwald, Post-hoc explanation of black-box classifiers using confident itemsets,  
5 *Expert Systems with Applications*, 165 (2021).
- 6 [272] R. Piltaver, M. Luštrek, S. Džeroski, M. Gjoreski, M. Gams, Learning comprehensible and accurate  
7 hybrid trees, *Expert Systems with Applications*, 164 (2021).
- 8 [273] F. Leal, A.E. Chis, S. Caton, H. González-Vélez, J.M. García-Gómez, M. Durá, A. Sánchez-García, C.  
9 Sáez, A. Karageorgos, V.C. Gerogiannis, A. Xenakis, E. Lallas, T. Ntounas, E. Vasileiou, G. Mountzouris, B.  
10 Otti, P. Pucci, R. Papini, D. Cerrai, M. Mier, Smart Pharmaceutical Manufacturing: Ensuring End-to-End  
11 Traceability and Data Integrity in Medicine Production, *Big Data Research*, 24 (2021).
- 12 [274] N.A. Fountas, N.M. Vaxevanidis, Optimization of fused deposition modeling process using a virus-  
13 evolutionary genetic algorithm, *Computers in Industry*, 125 (2021) 103371.
- 14 [275] T.A. Dixon, T.C. Williams, I.S. Pretorius, Sensing the future of bio-informational engineering, *Nature*  
15 *Communications*, 12 (2021) 388.
- 16 [276] M. Elbadawi, L.E. McCoubrey, F.K.H. Gavins, J.J. Ong, A. Goyanes, S. Gaisford, A.W. Basit,  
17 Disrupting 3D Printing of Medicines with Machine Learning, *Trends in Pharmacological Sciences*, in  
18 press.
- 19 [277] H. Narayanan, F. Dingfelder, A. Butté, N. Lorenzen, M. Sokolov, P. Arosio, Machine Learning for  
20 Biologics: Opportunities for Protein Engineering, Developability, and Formulation, *Trends in*  
21 *Pharmacological Sciences*, 42 (2021) 151-165.

22



1