

# Mitigating the effect of shipping on freshwater megafauna: the case study of the Yangtze finless porpoise

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**Authors' contributions**

Z Mei, Y Han, K Wang and D Wang conceived the ideas and designed methodology; Z Mei, Y Han, M Chen, P Lei, Y Hao, K Wang and Z Wang collected the data; Z Mei, G Nabi, S Turvey, J Barlow, Y Han and J Liu analysed the data; Z Mei, J Liu, J Barlow, Y Han, S Turvey, Z Wang and G Nabi led the writing of the manuscript. All authors contributed critically to the drafts and gave final approval for publication.

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**Competing interests**

There are no conflicts of interest to declare.

1 **Mitigating the effect of shipping on freshwater cetaceans: the case**  
2 **study of the Yangtze finless porpoise**

3

4 **Abstract:** Shipping has increasingly become a major threat to cetaceans due to its direct  
5 effect (e.g. ship strikes) and indirect effects (e.g. noise and habitat displacement). Most  
6 previous studies have focused on the deleterious effects of shipping traffic on marine  
7 species, while the effect of shipping on freshwater cetaceans has received little attention.  
8 The Yangtze River is a major trade artery in China, and shipping traffic there caused  
9 deaths of the now-extinct Yangtze river dolphin or baiji (*Lipotes vexillifer*). Here, we  
10 examine the distributional overlap of another cetacean species, the critically  
11 endangered Yangtze finless porpoise (*Neophocoena asiaorientalis asiaorientalis*) and  
12 cargo ships in a busy section of Yangtze River from Ezhou to Zhenjiang City. We use  
13 vessel-based survey data from 2006, 2012, and 2017 to quantify the distribution of  
14 porpoise. We use satellite images to quantify the distribution of cargo vessels travelling  
15 upstream. Most porpoise were concentrated within 300 m of the river banks. Shipping  
16 increased by 65% from 2006 to 2017, and ~60% of the upstream vessels was also within  
17 300 m of the banks. This increase in shipping may have caused an observed shift in  
18 porpoise distribution away from the banks after 2006. Enhanced enforcement of  
19 existing shipping regulations that limit vessels to established shipping lane and set  
20 refuges in the side channels could help reduce the distributional overlap of porpoise and  
21 ships and aid in porpoise conservation. This could be applied and benefit the cetacean  
22 conservation under continued growth of shipping in rivers.

23 **Key words:** River cetaceans, Yangtze River, Shipping management, conservation

24

## 25 1. Introduction

26 Cetaceans are flagship species with high ecological, cultural and social value (Enquist  
27 et al. 2019; Pirotta et al. 2019). Unfortunately, recent decades have witnessed a rapid  
28 decline of megafauna across the world's ecosystems due to overharvesting (Barnett et  
29 al. 2017; He et al. 2019), habitat degradation (Ripple et al. 2015) and climate change  
30 (Payne et al. 2016). In particular, freshwater cetaceans are severely at risk at a global  
31 scale due to a wide range of additional anthropogenic threats including vessel  
32 collisions, dam construction, pollution, and accidental mortality in fishing gear, as  
33 many freshwater systems now support high human populations and heavy industrial  
34 activity (He et al. 2017). Populations of freshwater megafaunal species decreased by  
35 94% from 1970 to 2012 (He et al. 2019). Targeted conservation responses have been  
36 made to address some of the issues associated with megafaunal declines. However,  
37 emerging threats to freshwater cetaceans in industrialized landscapes, such as the  
38 potential impact of global shipping, have rarely been addressed in previous studies  
39 (Pirotta et al. 2019).

40  
41 Shipping accounts for 80% of the world's commercial trade, and global ship traffic is  
42 an increasing threat to aquatic species (Merchant et al. 2014) by facilitating biological  
43 invasions (Seebens et al. 2013), release of pollutants such as spills and waste gas  
44 (Hassellöv et al. 2013), vessel strikes (Pirotta et al. 2019), and underwater noise  
45 (Merchant et al. 2014). Large-bodied mammal species in marine and freshwater  
46 systems are especially vulnerable to the effect of ship traffic. First, ship strikes  
47 constitute major direct impacts that are often fatal or result in serious injury to large  
48 aquatic mammals (van der Hoop et al. 2015) and can have population-level effects  
49 when species of concern are threatened and declining (Vanderlaan and Taggart 2007).  
50 For example, almost 30% of dead Yangtze River dolphin or baiji (*Lipotes vexillifer*)  
51 individuals found in the late twentieth century in the lower Yangtze River are thought  
52 to have been killed by ship strikes (Zhou and Li 1989). Second, underwater noise  
53 caused by shipping is one the largest contributors of anthropogenic noise in the ocean  
54 (Wilcock 2013) and is of particular concern for cetaceans due to their reliance on sound  
55 for navigation, feeding and communication (Blair et al. 2016). Consequently, shipping  
56 modifies animals' behaviour (e.g. through avoiding areas of high ship traffic), which  
57 can alter their habitat use and landscape-level distribution (Pirotta et al. 2019). Most  
58 previous studies on the effects of shipping have focused on marine species, and the lack  
59 of studies on the effect of shipping on freshwater cetaceans represents an important  
60 knowledge gap (Dey et al., 2019; Erbe et al., 2019). Furthermore, commonly suggested  
61 conservation mitigation approaches that have been adopted in marine environments are  
62 often inappropriate in riverine systems (Smith et al. 2000). Empirical studies on the  
63 ecological interactions and effects of ship traffic on freshwater cetaceans are therefore  
64 needed urgently, to inform specific conservation efforts for these highly threatened  
65 animals and to address broader concerns about biodiversity conservation and  
66 sustainability of human use of the world's river systems.

67

68 Following the recent likely extinction of the baiji, the Yangtze finless porpoise  
69 (*Neophocaena asiaeorientalis asiaeorientalis*) is now the largest freshwater mammal  
70 in the Yangtze River system of eastern China (Turvey et al. 2007). It is endemic to the  
71 middle and lower reaches of the main Yangtze River and the adjoining Poyang and  
72 Dongting Lakes, and has recently been uplisted to Critically Endangered on the IUCN  
73 Red List due to rapid recent population decline (Mei et al. 2014). The Yangtze River is  
74 now the world's busiest navigable inland waterway. Ship traffic has increased from  
75 hundreds of vessels per year in the 1970s to hundreds per day in the 2010s (Zhang et  
76 al. 2013), and is projected to continue increasing (Mei et al. 2014). In 2006 alone, at  
77 least 19,830 large shipping vessels (1000-30,000 tonnage, 50-200 m length), equating  
78 to more than 1 vessel per 100 m, were counted in the main Yangtze channel during a  
79 freshwater cetacean survey between Yichang and Shanghai (Turvey et al. 2007). This  
80 dramatic level of ship traffic is considered a major threat to the Yangtze finless porpoise  
81 (Wang 2009; Turvey et al. 2013). Although the fishers reported that mortalities caused  
82 by vessel strikes have increased over time (Turvey et al. 2013), evidence of porpoise  
83 directly killed by vessel strikes is rarely observed according to our systematic collection  
84 of porpoise carcasses since 1978. Unlike the Yangtze River dolphin, the frequency of  
85 echolocation signals of the Yangtze finless porpoise far exceeds the range of ship  
86 navigation noise (Fang et al., 2015), and the ship speeds are too slow (6-8 knot/h) to  
87 cause vessel strikes. Moreover, increased vessel traffic noise within cetacean habitat  
88 leads significant avoidance behavior of the Yangtze finless porpoise may be one of the  
89 reasons why few finless porpoises are hit by ships. Excluding the risk of ship collision,  
90 the direct impact of shipping on animals is the avoiding behavior which can alter their  
91 habitat use and landscape-level distribution (Richardson et al. 1995; Zhao et al. 2008).  
92 These impacts cannot be observed directly which led to the lower estimation of the  
93 effect from shipping in the Yangtze River. So far, there is no research on shipping  
94 effects on habitat uses of the Yangtze finless porpoise and no actual measurement  
95 was taken.

96  
97 The Yangtze finless porpoise prefers habitats close to river banks (Wei et al. 2002; Yu  
98 et al. 2001; Zhang et al. 1993). These environments generally have muddier substrates  
99 with algae and submerged vascular plants that provide important habitats for small  
100 fishes, which are the porpoise's primary food resource (Mei et al. 2017). Areas close to  
101 river banks also constitute important sites for porpoise reproduction and nursing (Yu et  
102 al. 2001). These areas also have moderate slopes and slow water speeds, which facilitate  
103 energy-saving behavior in porpoises (Kasuya and Kureha 1979). However, these  
104 hydrodynamic properties of near-shore environments are also optimal conditions for  
105 ships travelling upstream in the Yangtze to avoid strong currents in the mid-channel  
106 (Zhang et al. 2018). Considering that the Yangtze finless porpoise is sensitive to vessel  
107 noise and avoids boats (Wang et al. 2014; Zhao et al. 2008), it is therefore important  
108 for Yangtze finless porpoise conservation management to determine whether these  
109 preferred habitats are impacted by ship traffic, and to investigate whether the species  
110 has experienced a shift in habitat use and distribution in response to the effect of  
111 shipping.

112

113 In this study, 1) we investigate the potential effect of ship traffic on the Yangtze finless  
114 porpoise in the main Yangtze River channel between Ezhou and Zhenjiang, by  
115 estimating spatial overlap of areas used by porpoises and ship traffic in the river. 2) We  
116 evaluate whether porpoises have adapted their habitat use in response to ship traffic, by  
117 comparing their distribution in this river section over time as determined by range-wide  
118 surveys conducted in 2006, 2012 and 2017. To better guide managements on shipping,  
119 3) we also investigate the relationship between vessel size and distance to river bank.  
120 We use our findings to suggest a compensatory conservation management approach,  
121 which could be applied more widely to support the conservation of freshwater cetaceans  
122 in other heavily industrialized river systems.

123

## 124 **2. Material and methods**

### 125 *2.1 Study area and porpoise data collection*

126 The study area from Ezhou City, Hubei Province to Zhenjiang City, Jiangsu Province  
127 represents a ~650 km section along the main Yangtze River channel (Fig. 1). Total  
128 counts of 439, 180 and 238 porpoise sightings were made within the Ezhou-Zhenjiang  
129 river section in 2006, 2012, and 2017, respectively. This river section contains almost  
130 80% of the surviving Yangtze finless porpoise population present in the main Yangtze  
131 River channel (Huang et al. 2019; Mei et al. 2014; Zhao et al. 2008). It supports heavy  
132 ship traffic and has a river width of 1.5 to 2.5 km (Zhao et al. 2008). In comparison, the  
133 upstream river section from Yichang to Ezhou is less than 1.2 km in width and has few  
134 porpoises (encounter rate ~0.025) and a relatively low shipping density, whereas the  
135 downstream section below Zhenjiang is estuarine (river width >8 km in most areas) and  
136 has intensive shipping but very few porpoise sightings (encounter rate 0.054, Huang et  
137 al. 2019).

138

139 Yangtze finless porpoise sighting data were collected during range-wide visual boat-  
140 based surveys conducted in 2006 (Zhao et al. 2008), 2012 (Mei et al. 2014), and 2017  
141 (Huang et al. 2019). Two boats each ~33 m long, with ~4 m-high viewing platforms  
142 were used to carry out independent observations for each survey, and one boat covering  
143 each side of the channel; full details of survey methods are provided in these references.  
144 During these surveys, the positions of all porpoise sightings were recorded with a  
145 portable GPS receiver (Garmin eTrex Legend C), and the distance between observed  
146 porpoises and the nearest river bank was estimated by the observer. Calibration tests  
147 were conducted weekly using a Bushnell range-finder to maintain accurate observer  
148 distance estimation; all observers showed a significant improvement in distance  
149 estimation after a week of training (Mei et al. 2014; Zhao et al. 2008). Two survey  
150 vessels operated independently at all times during each survey, keeping separate records  
151 and not sharing information about porpoise sightings during the survey. Since there are  
152 no statistical differences in the visual sighting data from the two vessels for each survey,  
153 the data were analyzed as a single dataset in each survey year (Huang et al. 2019; Mei  
154 et al. 2014; Zhao et al. 2008).

155

## 156 2.2 Upstream cargo travelling vessel and environmental feature data collection

157 We counted upstream travelling cargo vessels (UTCVs) and recorded their locations  
158 and distance from the river bank using high-resolution (~2 meter) aerial images of the  
159 study area obtained from Google Earth Pro version 7.1.5.1557 (Google Ltd.). Google  
160 Earth Pro maintains a history of images, so we were able extract historical shipping  
161 data to correspond with the timing of the three range-wide surveys. However, available  
162 satellite imagery did not cover the whole study area for 2006 and 2012, so the associated  
163 satellite imagery collection times were expanded to 2004-2008 and 2010-2014  
164 (respectively), assuming that there was minimal change in ship traffic density across  
165 these five-year periods according to our field observations. Available images covered  
166 the study area in 2017. Imagery in each time period was selected closest to the survey  
167 periods of December 2006, 2012 and 2017 (supplementary kml files). We only counted  
168 upstream ships because they will choose to sail close to the riverbanks while  
169 downstream ships will use currents to navigate in the middle area of the river. This  
170 makes upstream ships are more likely to overlap with the potential distribution of the  
171 finless porpoise (Fig. 2). Travel direction was determined from the direction of the wake  
172 in aerial images. We calculated relative vessel size (i.e., vessel length  $\times$  vessel width,  
173 for the year of 2017), and also identified above-water margins of river banks and  
174 boundaries of sand bars in Google Earth Pro from satellite imagery to allow direct  
175 assessment of porpoise habitat use (Fig. 3).

## 177 2.3 Spatial analyses and statistics

178 We converted porpoise and UTCV sighting/count data and habitat boundary data to  
179 KML files and used them to construct data layers in ArcGIS (ESRI, ArcGIS, 10.3.2).  
180 We used the “near” function in ArcGIS to calculate the distance of each porpoise  
181 sighting to the nearest sand bar and the distance of each UTCV to the nearest river bank,  
182 and used these distance data to model porpoise and UTCV distribution patterns in  
183 relation to sand bars and river banks respectively.

184  
185 We identified areas within 300 meters of river banks and sand bars as representing most  
186 important habitat for Yangtze finless porpoises in the main Yangtze River channel,  
187 based on previous assessment of local porpoise habitat use (Wei et al. 2002; Yu et al.  
188 2001; Zhang et al. 1993). We buffered the most important porpoise habitat within the  
189 study area and calculated its percentage area in 2017 using complete-coverage satellite  
190 imagery, and calculated the density of porpoise sightings and UTCVs inside and outside  
191 critical porpoise habitat across all three survey years.

192  
193 Despite the five-year time windows used, satellite imagery did not cover the entire  
194 study area for the 2004 to 2008 time period. We therefore used one-way ANOVAs to  
195 compare UTCV densities within river sections with high-definition imagery across the  
196 three survey years. The distribution pattern of UTCVs in 2006 was calculated by data  
197 within these sections, while data from across the whole study area was used in 2012  
198 and 2017. We compared porpoise and UTCV distribution patterns in relation to distance  
199 from river bank across the three survey years using two-tailed Kolmogorov-Smirnov

200 tests. Porpoise distribution patterns in relation to the nearest sand bars were also  
201 compared using Kolmogorov-Smirnov tests across the three survey years. We also used  
202 Spearman's rank correlation coefficient to investigate the relationship between vessel size  
203 and distance to nearest river bank. All statistical analyses were conducted in R (R 3.5.3).

204

### 205 **3. Results**

#### 206 *3.1 Porpoise sightings and UTCVs*

207 Of these total porpoise counts, almost 55% were observed within 300 meters from the  
208 bank; and more than 78% were observed within 500 meters from the bank. There was  
209 no change in porpoise distribution in relation to river bank between 2012 and 2017  
210 ( $p=0.150$ ). The proportion of porpoises within 300 m of the bank in 2012 and 2017 was  
211 significantly lower than in 2006 (Table 1, Fig. 3), especially within 100 m of the bank  
212 (2006 vs 2012,  $p=0.000$ ; 2006 vs 2017,  $p=0.014$ ).

213

214 The distance of UTCVs from the river bank did not change significantly across the three  
215 survey years (2006 vs 2012,  $p=0.059$ ; 2012 vs 2017,  $p=0.093$ ; 2006 vs 2017,  $p=0.263$ ).  
216 Over 16% of UTCVs were travelling within 100 meters from the bank, around 60%  
217 were travelling within 300 meters from the bank, and around 80% were travelling  
218 within 500 meters from the bank (Table 1, Fig. 3).

219

220 Within our 650 km study area, high-resolution satellite images were available for 356  
221 km of river section in the 2004 to 2008 time period, mostly between Hukou and  
222 Zhenjiang. We counted 770 UTCVs in the 2006 dataset, with a density of 2.16  
223 vessels/km. UTCVs increased significantly in this comparative 356 km section from  
224 2006 to 2012 (2012:  $N=1084$ , density of 3.04 vessels/km;  $p<0.001$ ) and also from 2012  
225 to 2017 (2017:  $N=1272$ , density of 3.57 vessels/km;  $p<0.001$ ). In total, 1657 UTCVs  
226 were identified in 2012 (mean density, 2.55 vessels/km), and 1898 UTCVs were  
227 identified in 2017 (mean density, 2.92 vessels/km) (Fig. 3).

228

229

230 A total of 1839 UTCVs were identified in 2017. Mean vessel size was 1008.43 m<sup>2</sup>  
231 (SD=713.76), which equates to around 2500 tons. Quartile boat size was 513–1335 m<sup>2</sup>,  
232 corresponding to 600–3000 tons (Fig. 4). There was a slight positive relationship  
233 between vessel size and distance to river bank ( $\rho=0.081$ ,  $p<0.001$ ).

234

#### 235 *3.2 Habitat preferences*

236 Proportions of porpoise sightings decreased progressively with distance from sand bars  
237 in all three survey years (Fig. 5): more than 55% (56.72% in 2006, 61.11% in 2012,  
238 55.04% in 2017) were observed within 2 km of a sand bar, and around 30% (28.25% in  
239 2006, 26.11% in 2012, 30.26% in 2017) were observed more than 4 km from a sand  
240 bar, with distribution patterns not significantly different across the three survey years  
241 (2006 vs 2012,  $p=0.128$ ; 2012 vs 2017,  $p=0.598$ ; 2006 vs 2017,  $p=0.202$ ). Important  
242 porpoise habitat (areas within 300 meters of river banks and sand bars) covered 709.23  
243 km<sup>2</sup> of the river section between Ezhou and Zhenjiang, representing 30.21% of the total



244 study area in 2017. This habitat area included 53.75% of porpoise sightings and 62.12%  
245 of identified UTCVs. Therefore, it was clear that there is a significant overlap between  
246 preferred habitats of Yangtze finless porpoise and shipping zones.

247

#### 248 4. Discussion

##### 249 4.1 The effect of shipping on Yangtze finless porpoise distribution

250 Increasing studies have realized the importance of shipping traffic as a potential threat  
251 to aquatic megafauna (Gomez et al. 2016). However, most previous studies have  
252 focused on the deleterious effects of shipping traffic on marine megafauna, such as  
253 humpback whales (*Megaptera novaeangliae*) and North Atlantic right whales (Blair et  
254 al. 2016; Kraus et al. 2007) (*Eubaleana glacialis*). Unlike marine ecosystems, the  
255 movement of vessels transiting river systems is highly restricted, and therefore the  
256 physical overlap between freshwater megafaunal habitats and shipping zones is higher  
257 than in open-ocean environments. Our study provides important new evidence that  
258 shipping traffic occupies the priority habitat of a Critically Endangered range-restricted  
259 freshwater cetacean, the Yangtze finless porpoise, and that porpoises have altered their  
260 distribution pattern within the Yangtze River over the past 12 years, possibly in response  
261 to this anthropogenic disturbance.

262

263 Previous studies have revealed that the Yangtze finless porpoise prefers habitats close  
264 to river banks and sand bars (Wei et al. 2002; Yu et al. 2001; Zhang et al. 1993), which  
265 have more food resources and slow water speeds that reduce energy costs (Kasuya and  
266 Kureha 1979). For example, studies in 2002 and 2005 found that 80% of Yangtze  
267 finless porpoises were found within 200 m of the bank (Wei et al. 2002; Yu et al. 2001).  
268 Unfortunately, ships travelling upstream in the main Yangtze channel also navigate  
269 relatively close to the bank to avoid stronger currents in the mid-channel (Zhang et al.  
270 2018). We found that there is a substantial overlap between shipping zones and optimal  
271 porpoise habitats in the Yangtze, with nearly 80% of observed large cargo vessels being  
272 distributed within 500 meters from a bank, and more than 60% within 300m from a  
273 bank.

274

275 This observed spatial overlap between shipping and porpoise habitat has a significant  
276 impact on the distribution of the Yangtze finless porpoise. First, large vessel shipping  
277 routes act like roads in terrestrial environments (Laurance et al. 2014), directly  
278 impacting large-bodied animals via collisions and hampering animal movements by  
279 altering wave climate and water turbidity (Pirotta et al. 2019). Second, large vessels  
280 produce relatively broadband noise that can interfere with Yangtze finless porpoise  
281 communication and foraging (Li et al. 2005). Moreover, the impact of vessel noise on  
282 finless porpoises may be greater than the other species because they have wider hearing  
283 bandwidths (Mooney et al. 2011). Patterns of direct porpoise mortality associated with  
284 both ship strikes and other factors remain poorly understood in the Yangtze River,  
285 (Turvey et al. 2013), but the Yangtze finless porpoises exhibit clear ship-avoidance  
286 behavior, with very few porpoises observed within 50 m of survey vessels in the 2006  
287 range-wide Yangtze survey (Zhao et al. 2008). As such, frequent movements to avoid

288 ship traffic can result in unnecessary energy waste and decreased fitness (Rolland et al.  
289 2012). Other short-term responses to shipping noise in cetaceans include long-term  
290 diving, shorter surfacing behavior, changes in sound characteristics, increased  
291 swimming speed, and moving away from affected areas (Pine et al. 2018). In addition,  
292 young porpoises may be unable to communicate effectively with their mothers and so  
293 experience increased mortality risk (Li et al. 2005), with elevated juvenile mortality a  
294 major driver of population decline in porpoises (Mei et al. 2012). From a long-term  
295 perspective, the impact of shipping noise is also presumed to be the deterioration of the  
296 porpoises' acoustic environment and the impact on animal immunity and reproduction  
297 rates (Nabi et al. 2018; Richardson et al. 2013).

298 Our data suggest that Yangtze finless porpoises may be shifting their habitat use to  
299 waters further from the river bank in order to reduce the effect of high shipping traffic.  
300 Such habitat range shifts to potentially more suboptimal mid-channel regions with less  
301 prey availability and stronger currents might therefore accelerate the population decline  
302 of the Yangtze finless porpoise. Our findings are comparable to those of several studies  
303 in terrestrial ecosystems, where primary forests have been transformed into agricultural  
304 landscapes and many animal species have been forced to use suboptimal habitats,  
305 resulting in population declines (Liu and Slik 2014).

306

307 Impacts of ship traffic are not just a concern for the Yangtze finless porpoise, but also  
308 for many other freshwater species. Other freshwater cetaceans such as the Irrawaddy  
309 dolphin (*Orcaella brevirostris*) and Ganges River Dolphin (*Platanista gangetica*  
310 *gangetica*) are also threatened by shipping lanes (Whitty 2016; Dey et al. 2019), and  
311 freshwater fish species respond with increased cortisol secretion when exposed to ship  
312 noise (Wysocki et al. 2006). Shipping traffic is increasing globally. Recent estimates  
313 suggest that there will be a twofold increase of global shipping traffic by 2050 (Sardain  
314 et al. 2019). The Yangtze River is China's most important shipping route, and our data  
315 indicate that large cargo vessels have increased from 2.16 vessels per kilometer in 2006  
316 to 3.57 vessels per kilometer in 2017. Shipping will continue to increase regionally into  
317 the future with the development in the highly commercial region, and is likely to  
318 exacerbate ecological problems such as species invasions, habitat fragmentation, and  
319 pollution (Hassellöv et al. 2013; Seebens et al. 2013; Seebens et al. 2016). It has to be  
320 kept in mind that we only analyzed upstream ships, and this might underestimate the  
321 vessel impacts on the porpoise when downstream vessels were included. How to reduce  
322 the impact of shipping on the Yangtze finless porpoise is therefore a crucial question  
323 that has so far received little conservation attention, and our study calls for urgent  
324 research into the effects of shipping on freshwater cetaceans and more widely on  
325 freshwater biodiversity.

326

#### 327 4.2 Conservation policy recommendations

328 Current conservation efforts for the Yangtze finless porpoise are mostly focused on  
329 setting priority protected areas (Zhao et al. 2013), and reducing the impact of fisheries  
330 bycatch and competition (Mei et al. 2019; Wang 2009). However, these efforts have not  
331 yet taken habitat quality into consideration. Whereas most of the middle and lower

332 reaches of the Yangtze River are around 2 km wide, we demonstrate that most porpoises  
333 are found within 300 meters from the river bank. It is therefore a management priority  
334 to relocate shipping routes away from this important porpoise habitat. Indeed, to  
335 guarantee shipping safety, the current designated shipping routes in most sections of the  
336 Yangtze River mainstem are also far from the river banks. However, our study shows  
337 that vessels heading upstream generally choose to travel in the shallow waters near the  
338 banks to take advantage of lower flow rates. It is therefore critical to strengthen the  
339 effectiveness of Yangtze law enforcement and strictly limit upstream vessels to travel  
340 only within designated navigation channels.

341

342 Our results also show that Yangtze finless porpoises are distributed relatively close to  
343 sandbars, on the other side of main channel of the sandbars which might form shallow  
344 secondary channels that contain reduced ship traffic (Chen et al. 2018; Mei et al. 2014).  
345 These areas might be able to constitute formal protected “porpoise refuges”, where  
346 navigation and mooring should be completely prohibited (Fig.2).

347

348 Our results show a slight positive relationship between cargo vessel size and distance  
349 from the bank, indicating that porpoises might be particularly threatened by very large  
350 vessels within their optimal near-bank habitat. However, many of the vessels travelling  
351 close to the bank are relatively small cargo ships (around 2000 tonnage based on boat  
352 size). These ships are generally relatively poorly powered and may be difficult to  
353 navigate in the main channel. Though slower ship speeds were proved to be efficient to  
354 reduce vessel impact by less underwater noise. But this might be ruled out in the  
355 Yangtze River because the heavy shipping and relatively narrow space and most of the  
356 upstream vessels were already travelling quite slowly, like 6-8 knot/hour. We therefore  
357 recommend that further research should also be conducted into the relative impacts of  
358 different navigation patterns and vessel types on Yangtze finless porpoise, and promote  
359 the elimination of those high impact vessels (mostly small cargo ships) to achieve  
360 standardization of shipping vessels. At the same time, the standardization can also slow  
361 down the growth trend of shipping along the Yangtze River. Finally, we also  
362 recommend applying models to conducting research on ship collision risk as a way  
363 forward to explore the impacts of shipping on Yangtze finless porpoises in the coming  
364 years (Martin et al. 2016).

365

366

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524

525 **Figures**

526

527 **Figure 1.** Study area between Ezhou and Zhenjiang, a ~650 km section along the  
528 main Yangtze River channel. Porpoise sightings are shown for 2006, 2012 and 2017  
529 (blue dots, the dot size represent the porpoise sighting size).

530

531 **Figure 2.** High-resolution satellite images used to identify cargo vessels travelling  
532 upstream (yellow labels). Sub-channel areas around sand bars could constitute  
533 protected “porpoise refuges” where navigation and mooring could be prohibited  
534 (green polygon).

535

536 **Figure 3.** Distribution patterns (distance from river bank) of (a) cargo vessels  
537 travelling upstream, and (b) Yangtze finless porpoises. Histograms show distribution  
538 probabilities and line graphs show cumulative distribution probabilities. The black  
539 dotted line indicates important porpoises habitats (within 300 meters of river bank).

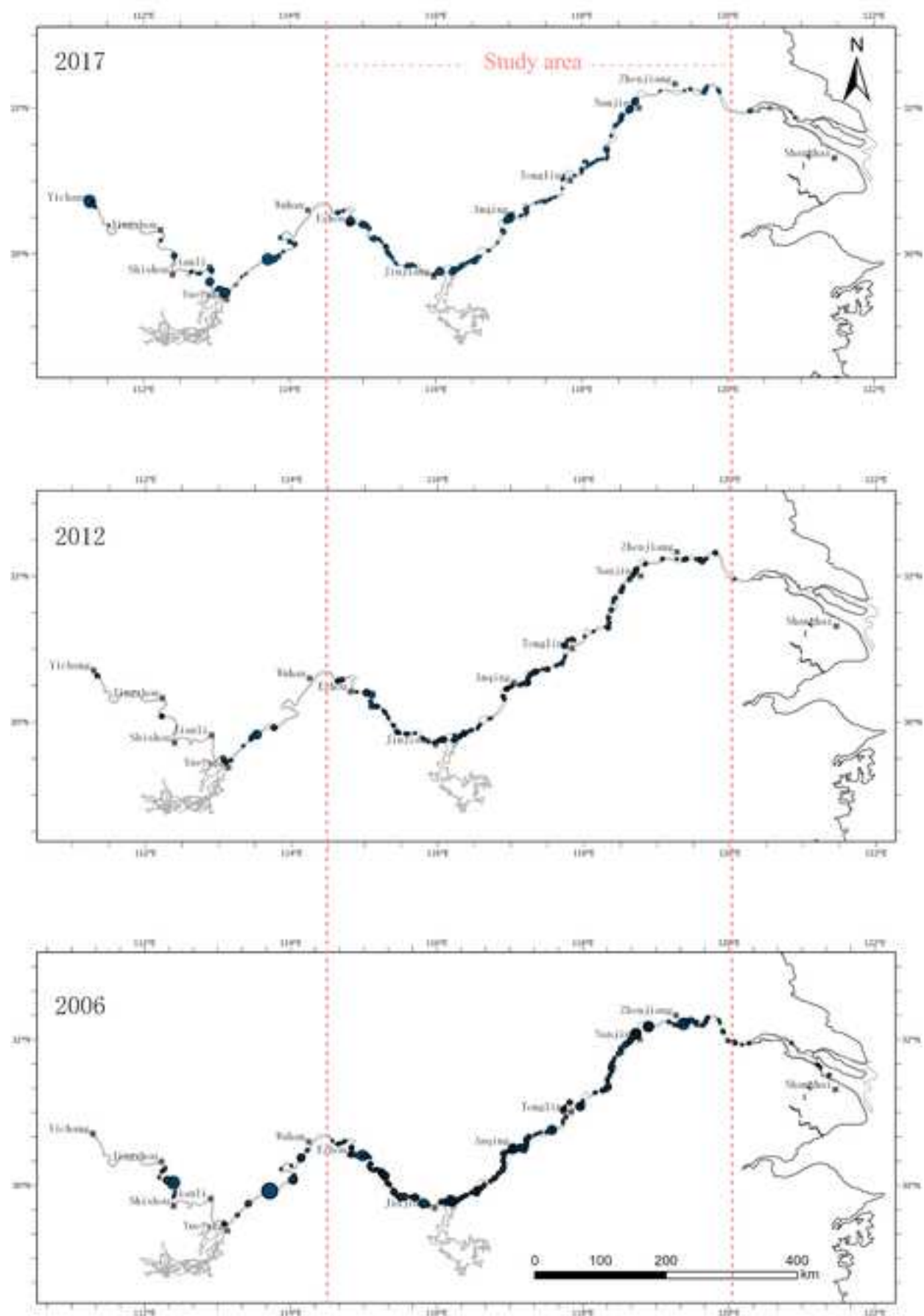
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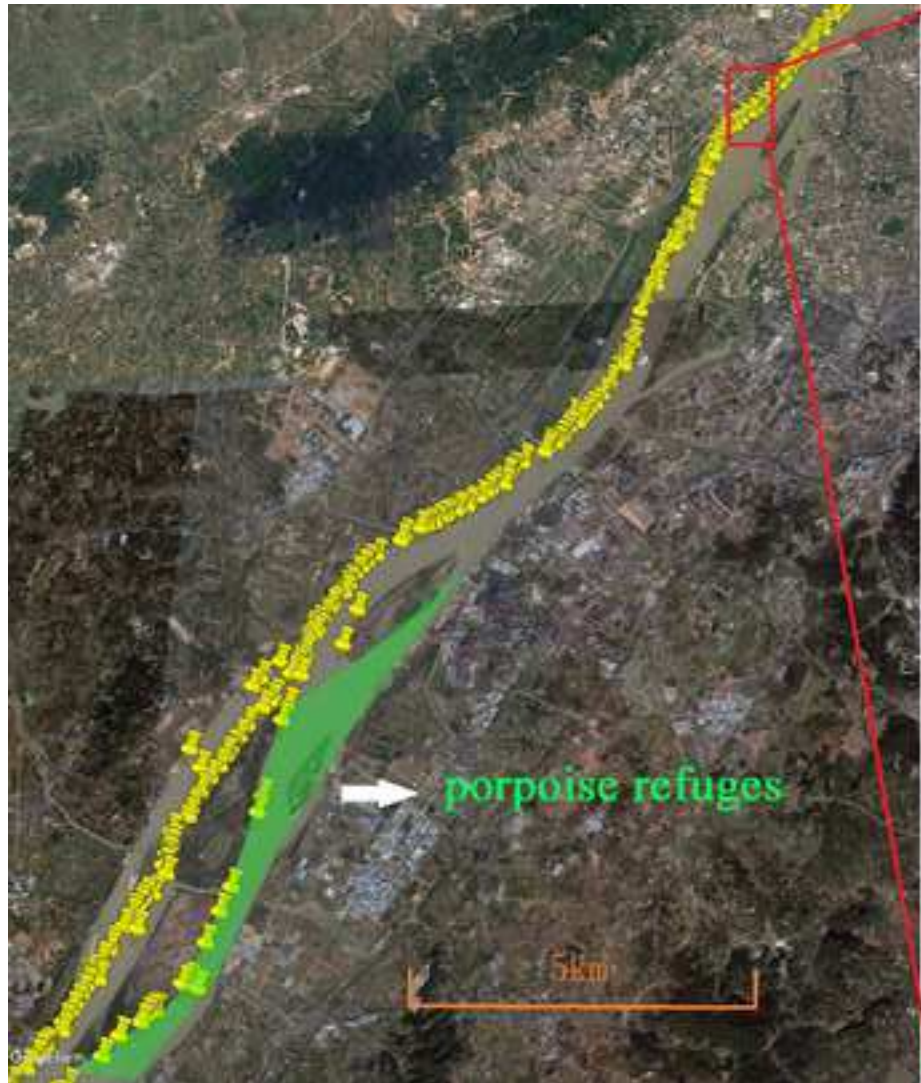
541 **Figure 4.** Relationship between distance from bank and log size of shipping vessels.  
542 The square brackets means including this distance and the round brackets means not  
543 including.

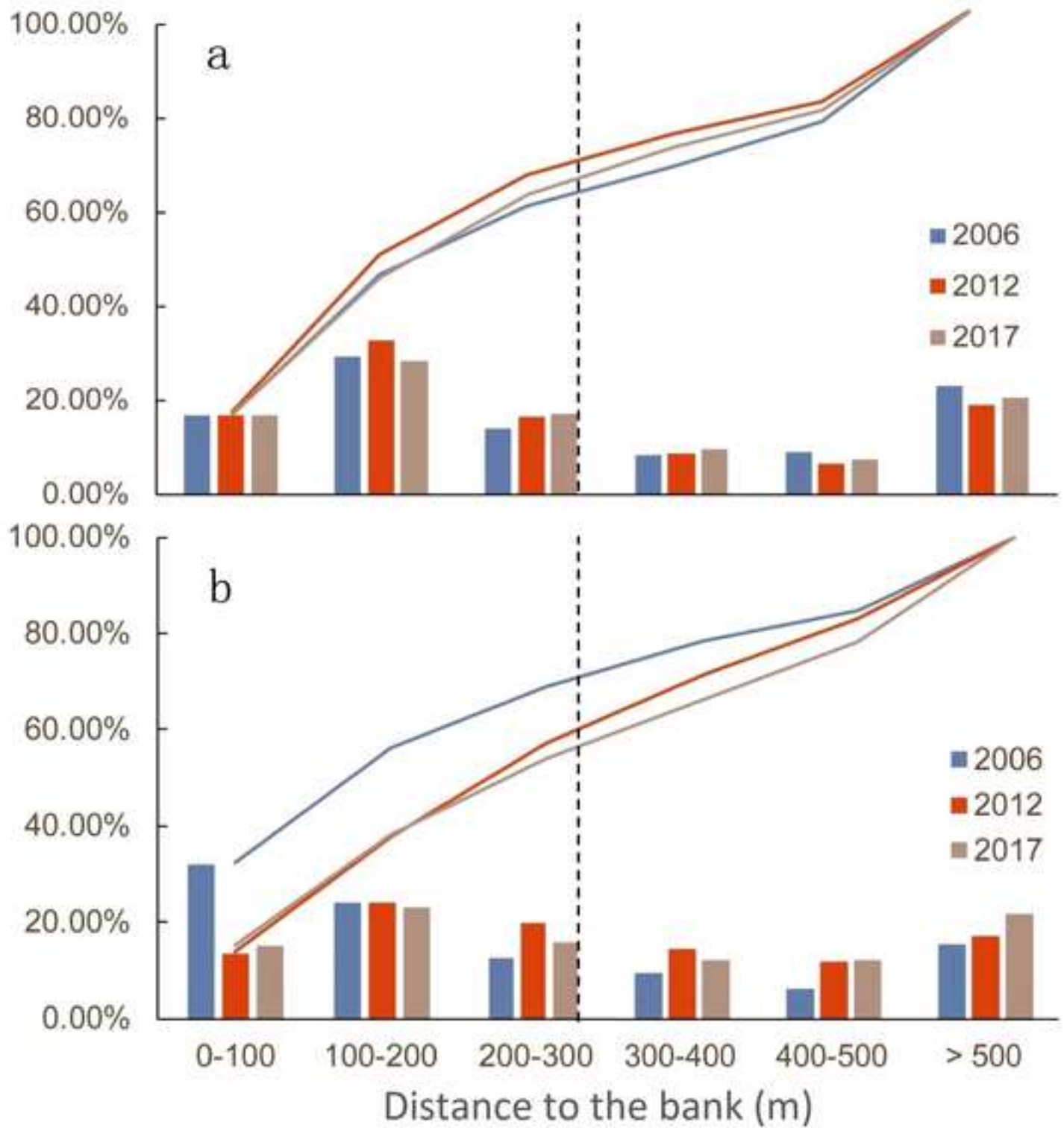
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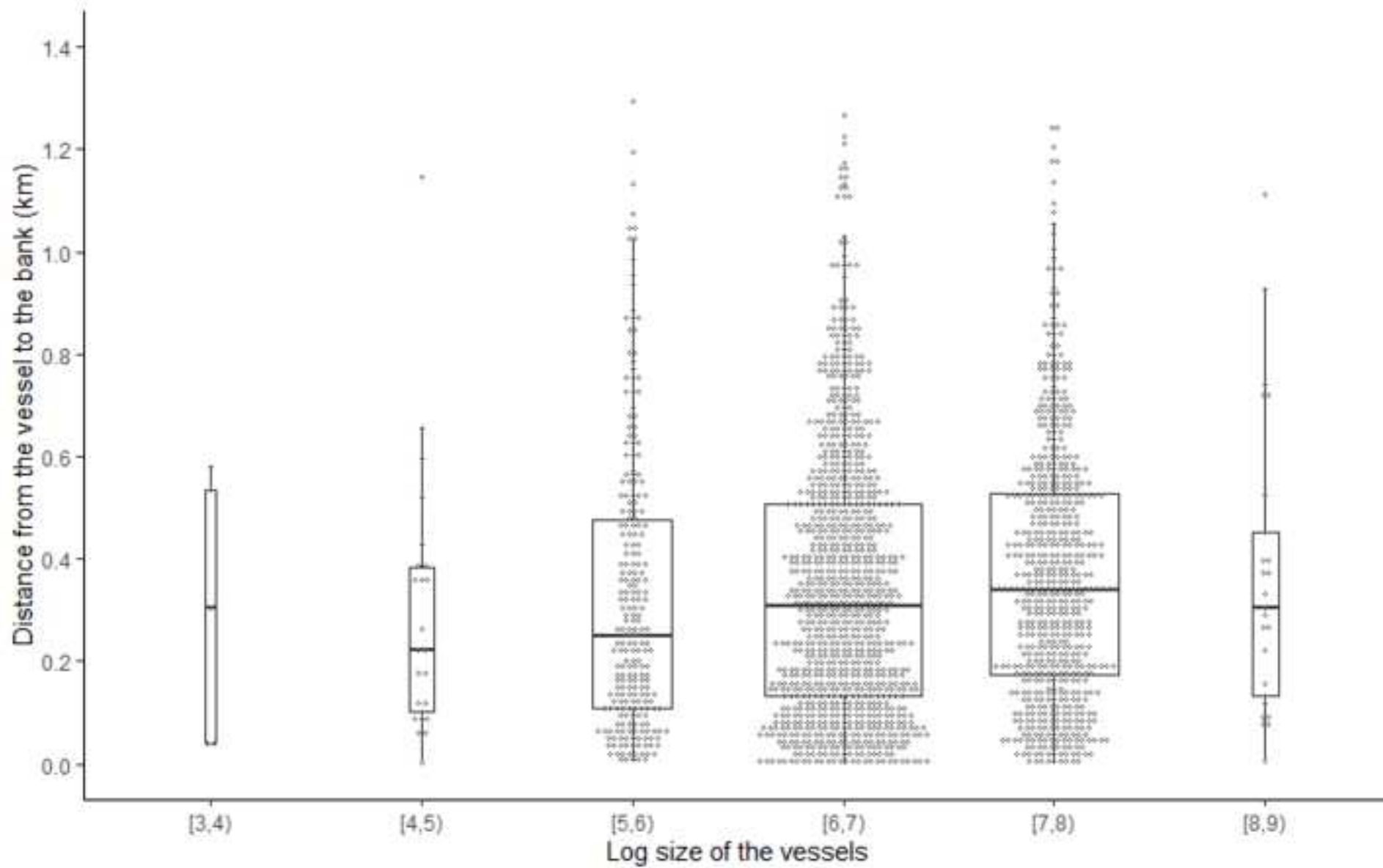
545 **Figure 5.** Distribution probabilities of Yangtze finless porpoises to the nearest sand  
546 bars in 2006 (blue), 2012 (brown), and 2017 (gray). The square brackets means  
547 including this distance and the round brackets means not including.











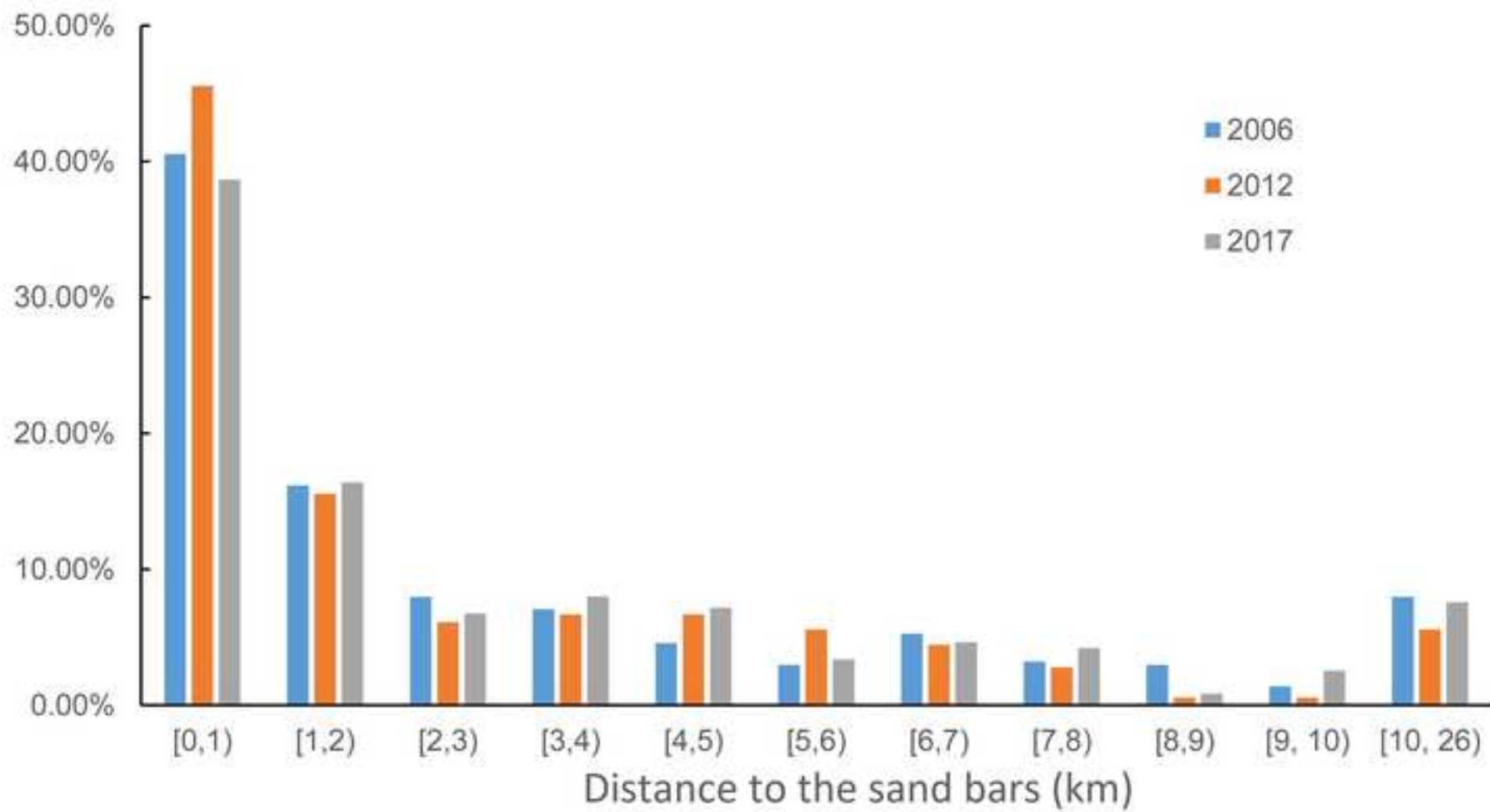


Table 1 Distribution patterns (distance from river bank) of cargo vessels travelling upstream (UTCVs) and Yangtze finless porpoises (Porpoise) in the three time-periods.

Distance from river bank	2006		2012		2017	
	UTCVs	Porpoise	UTCVs	Porpoise	UTCVs	Porpoise
0-100 m	16.62%	32.10%	16.84%	13.30%	16.65%	15.02%
100-200 m	29.09%	23.99%	32.59%	23.94%	28.40%	22.92%
200-300 m	14.03%	12.55%	16.48%	19.68%	17.07%	15.81%
300-400 m	8.18%	9.59%	8.75%	14.36%	9.69%	12.25%
400-500 m	9.09%	6.27%	6.52%	11.70%	7.53%	12.25%
> 500 m	22.99%	15.50%	18.83%	17.02%	20.65%	21.74%