

Physiological and clinical insights from reservoir-excess pressure analysis

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Sources of support:

MGS is supported by a National Health and Medical Research Council Early Research Career Fellowship (reference 1104731). ADH receives support from the British Heart Foundation (CS/13/1/30327, PG/13/6/29934, PG/15/75/31748, CS/15/6/31468, PG/17/90/33415, IG/18/5/33958), the National Institute for Health Research University College London Hospitals Biomedical Research Centre, the UK Medical Research Council (MR/P023444/1) and works in a unit that receives support from the UK Medical Research Council (MC_UU_12019/1). DSP is supported by a Menzies Community Postdoctoral Fellowship.

Conflicts of interest: None declared

Abstract

There is a growing body of evidence indicating that reservoir-excess pressure model parameters provide physiological and clinical insights above and beyond standard blood pressure (BP) and pulse waveform analysis. This information has never been collectively examined and was the aim of this review. Cardiovascular disease is the leading cause of mortality worldwide, with BP as the greatest cardiovascular disease risk factor. However, brachial systolic and diastolic BP provide limited information on the underlying BP waveform, missing important BP related cardiovascular risk. A comprehensive analysis of the BP waveform is provided by parameters derived via the reservoir-excess pressure model, which include reservoir pressure, excess pressure, and systolic and diastolic rate constants and P_{∞} . These parameters, derived from the arterial BP waveform, provide information on the underlying arterial physiology and ventricular-arterial interactions otherwise missed by conventional BP and waveform indices. Application of the reservoir-excess pressure model in the clinical setting may facilitate a better understanding and earlier identification of cardiovascular dysfunction associated with disease. Indeed, reservoir-excess pressure parameters have been associated with sub-clinical markers of end-organ damage, cardiac and vascular dysfunction, and future cardiovascular events and mortality beyond conventional risk factors. In the future, greater understanding is needed on how the underlying physiology of the reservoir-excess pressure parameters informs cardiovascular disease risk prediction over conventional BP and waveform indices. Additional consideration should be given to the application of the reservoir-excess pressure model in clinical practice using new technologies embedded into conventional BP assessment methods.

Keywords: hemodynamics, blood flow, modelling, windkessel, waves

1 **The burden of cardiovascular disease and hypertension**

2 Cardiovascular disease (CVD) remains the leading cause of mortality globally, despite
3 improvements in the management and prevention of CVD over the last 15 years.^{1,2} More
4 recently, the rate of decline in CVD mortality has abated in several high-income countries,
5 highlighting a need for concerted efforts to improve CVD prevention and management.^{2,3}
6 One potential area for improvement is in the assessment and control of blood pressure (BP).⁴
7 High BP (hypertension) is the leading risk factor for CVD, afflicting 1.1 billion individuals
8 globally.^{5,6} A 25% reduction in the prevalence of hypertension could prevent 1.7 million
9 deaths per year.⁷ Thus, BP measurement is one of the most important clinical tests, and
10 accurate assessment of BP associated CVD risk is critical for improving clinical outcomes.

11 BP is conventionally measured using an inflatable cuff at the upper arm, from which the
12 systolic and diastolic BP are estimated. Automated oscillometric devices use algorithms
13 applied to data from the digitally recorded arterial pressure waveform during cuff deflation.
14 This oscillometric method of BP assessment increasingly supersedes the auscultatory
15 technique that was first popularised in the 1896 paper by Scipione Riva-Rocci.^{8,9} Despite its
16 age, non-invasive cuff measured BP remains the clinical standard for the diagnosis and
17 management of hypertension worldwide. However, even when BP is measured and managed
18 appropriately (according to guidelines), there remains a portion of BP related CVD risk not
19 attributable to systolic and diastolic BP alone.¹⁰ This suggests that conventionally measured
20 systolic and diastolic BP does not provide a comprehensive picture of the harm caused by
21 raised BP. Indeed, systolic and diastolic BP represent only the peak and nadir of an otherwise
22 complex and featured BP waveform (Figure 1). Therefore, there may be clinically important
23 information embedded within the BP waveform that is missed by systolic and diastolic BP
24 alone.¹¹ This review will discuss the reservoir-excess pressure model, a novel method of BP

25 waveform analysis, and the extent to which model parameters provide important insights into
26 arterial physiology and BP related risk.

27

28 **The arterial pulse**

29 Knowledge of the relationship between the arterial pulse (the physical manifestation of the
30 BP waveform) and disease dates back to the Ancient Egyptians in 1550 BC.¹² In 1863 Marey
31 provided the first continuous non-invasive recordings of the BP waveform using the
32 sphygmograph, a mechanical device that amplified the applanated pulse through a system of
33 levers.¹³ More recently, high-fidelity non-invasive transducers that digitally record the BP
34 waveform from superficial arteries has facilitated the use of pulse-wave analysis as a tool for
35 CVD risk assessment.¹⁴ In its most basic form, pulse-wave analysis derives indices from
36 morphological features defined by inflection points on the BP waveform, as well as area
37 under the curve analysis (Figure 2). However, data relating these conventional pulse-wave
38 analysis indices to hard clinical outcomes are inconsistent.¹⁴⁻¹⁷ Equally problematic is the
39 lack of consensus regarding the physiological interpretation of pulse-wave analysis indices.
40 For example, augmentation index is widely purported to be indicative of wave reflection or
41 vascular stiffness. Yet, consensus documentation recommends against the use of
42 augmentation index as a marker of arterial stiffness and the notion of aortic augmentation
43 index arising from discrete wave reflections has been debunked.¹⁸⁻²¹ Likewise, the textbook
44 notion that dP/dt max (a parameter derived from pulse-wave analysis) represents cardiac
45 contractility has also been discredited using invasive data in humans.²² Furthermore, evidence
46 suggests a more comprehensive understanding of the risk posed by BP may be achieved
47 through assessment of central (aortic) BP as opposed to conventional brachial BP measures.¹⁴
48 In this regard, pulse-wave analysis has been used to estimate aortic systolic and diastolic BP
49 via a generalised transfer function or proprietary algorithm.²³⁻²⁸ Accurate assessment of aortic
50 BP would likely provide incremental clinical value to standard brachial cuff BP risk

51 stratification. Still, assessment of aortic systolic and diastolic BP alone has similar
52 shortcomings as conventional brachial cuff BP measures by providing little detail of the
53 underlying BP waveform beyond its extremes. On the other hand, parameters derived from
54 the reservoir-excess pressure model have shown promise in providing additional
55 physiological and clinical insights relating to the underlying BP waveform beyond standard
56 systolic and diastolic BP or pulse-wave analysis techniques. Therefore, the reservoir-excess
57 pressure model represents a potential opportunity to improve the information obtained from
58 BP measurement, including offering the potential for earlier and more accurate identification
59 of BP-related CVD risk.

60

61 **Key reservoir-excess pressure model parameters**

62 The reservoir-excess pressure model provides a heuristic approach to the analysis of the
63 arterial BP waveform, interpreting it as a composite of reservoir pressure and excess
64 pressure.^{29,30} Key parameters derived from this model include reservoir pressure and excess
65 pressure (quantified either as integrals, peaks or amplitudes, where the amplitude is the peak
66 minus diastolic BP), systolic and diastolic rate constants, and P_{∞} , the asymptotic
67 minimum of the diastolic decay in pressure (Figure 3). Though still in its infancy, there is a
68 growing body of work detailing the physiological and clinical insights provided by the
69 reservoir-excess pressure model (see Table 1), yet these data have never been brought
70 together and discussed collectively. In the following pages, we outline the data relating to the
71 physiological representation of each of the reservoir-excess pressure parameters
72 (*physiological studies*) and how this underlying physiology may relate to the clinical value of
73 each reservoir-excess pressure parameter (*clinical studies*). Previous findings highlighting the
74 clinical value of the reservoir-excess pressure parameters have been summarised in Table 1,
75 eTable 1, and eFigure 1 and 2.

76

77 **Reservoir-excess pressure parameters, physiological and clinical data**

78 **Reservoir pressure**

79 *Physiological studies.* During systole, blood flow into the aorta exceeds outflow resulting in
80 increased aortic volume. The large elastic arteries (particularly the proximal aorta) act as a
81 dynamic capacitor for blood volume to which a pressure can be ascribed. This pressure,
82 attributable to changes in arterial blood volume, was termed the Windkessel pressure by
83 Frank.³¹ In the reservoir-excess pressure model, the windkessel pressure assumes the name of
84 reservoir pressure; though they are similar in concept, there are nuanced differences between
85 the windkessel and reservoir pressure that necessitate the notational distinction.^{32,33} In
86 particular this distinction emphasizes that reservoir pressure arises from waves and
87 propagates along the arterial tree at a finite speed, whereas the Windkessel pressure is
88 assumed to have a non-physiological infinite wave speed.

89 Upon first outlining their reservoir-excess pressure model, Wang et al.²⁹ showed that the
90 mathematically derived reservoir pressure was proportional to cyclic variations in aortic
91 volume over the cardiac cycle in the dog aorta. More recently, the equivalency of reservoir
92 pressure to changes in aortic volume has been observed in man (Figure 4).³⁴ The capacity of
93 the large elastic arteries to expand and buffer the rapid influx of blood volume from the heart
94 during systole theoretically protects distal vessels from potentially damaging pulsatile
95 hemodynamics.^{35,36} In this regard, reservoir pressure may be considered a composite marker
96 dependent on multiple factors, including left ventricular output, global arterial compliance,
97 systemic arterial resistance, and aortic characteristic impedance.

98 Increased aortic stiffness (i.e., reduced large artery compliance) is an established independent
99 risk factor for CVD and all-cause mortality and may, at least partly, underlie the association
100 of reservoir pressure and CVD risk, where a high reservoir pressure indicates higher arterial

101 stiffness and worse CVD outcomes.³⁷ Furthermore, several numerical works have explored
102 the theoretical basis of the reservoir pressure.^{38–40} Parker et al.³⁸ showed that the reservoir
103 pressure represents the theoretical minimum hydraulic work performed by the left ventricle to
104 generate a stroke volume. Consequently, a higher reservoir pressure would suggest that
105 greater work would be needed to be performed by the heart in order to eject a given stroke
106 volume. This hypothesis helps explain previously observed associations between reservoir
107 pressure and left ventricular mass index (LVMI).^{41,42}

108 Moreover, the reservoir pressure is associated with systolic BP and pulse pressure but is
109 relatively uniform throughout the arterial tree.^{43,44} Therefore, the CVD risk predictive value
110 of reservoir pressure derived from central artery BP waveforms may apply to reservoir
111 pressure measured from peripheral artery locations as well. This is a potentially important
112 concept as it provides a rationale for facilitating the accurate estimation of central artery
113 reservoir-excess pressure indices from peripheral artery waveform recordings. Altogether, the
114 reservoir pressure may provide useful information relating, not only to global arterial
115 properties, but also to the hemodynamic load experienced by the heart and ventricular-arterial
116 coupling.

117 ***Clinical studies.*** In prospective studies, the peak, amplitude, and integral of reservoir
118 pressure derived from central artery BP waveforms are associated with CVD events and
119 mortality independently of conventional CVD risk factors.^{45–47} In 674 individuals with an
120 indication for a coronary angiography procedure, Hametner et al.⁴⁵ found a higher reservoir
121 pressure amplitude was associated with all-cause mortality and CVD events (myocardial
122 infarction, stroke, and revascularization). Notably, model parameters were derived from non-
123 invasively recorded BP waveforms and adjustment for confounders in multivariable models
124 was comprehensive, bar the omission of systolic BP.

125 In a separate study comprising 1272 individuals with untreated hypertension and
126 normotensive individuals, higher values for both the peak and amplitude of reservoir pressure
127 were associated with CVD mortality.⁴⁶ In this study, adjustment for traditional CVD risk
128 factors was wide-ranging, but models were not adjusted for heart rate. Yet, in the same study,
129 neither the peak nor amplitude of reservoir pressure remained significantly associated with
130 CVD mortality in an independent community-based cohort free of CVD (n=2211).⁴⁶

131 In 2539 individuals from a community-based Framingham Heart Study cohort, the amplitude
132 of reservoir pressure was positively associated with CVD events, including myocardial
133 infarction, coronary insufficiency, heart failure, and stroke. Importantly, these relationships
134 remained after adjustment for traditional CVD risk factors, including systolic BP and heart
135 rate, providing arguably the most robust evidence for the CVD risk predictive value of the
136 reservoir pressure to date.⁴⁷ In a sub-study of the Anglo-Scandinavian Cardiac Outcome trial,
137 reservoir pressure (peak and integral) derived from untransformed peripheral artery
138 waveforms was not associated with increased risk of CVD events; whether this difference
139 relates to the sample studied or the use of peripheral rather than central estimates of reservoir
140 pressure is unknown.⁴¹ It should also be noted that, among patients with end stage renal
141 disease, an inverse relationship between the reservoir pressure integral and all-cause mortality
142 has previously been reported.⁴⁸ Finally, in two cross-sectional studies, reservoir pressure
143 derived from radial artery BP waveforms was positively associated with LVMI, consistent
144 with the notion that, physiologically, reservoir pressure provides useful information on
145 ventricular-arterial coupling.^{41,42}

146 **Excess pressure**

147 *Physiological studies.* Excess pressure is calculated as the difference between total measured
148 BP and reservoir pressure and has been proposed to represent additional or ‘unnecessary’
149 work performed by the heart in ejecting the stroke volume.³⁸ Consequently, elevated excess

150 pressure may be indicative of an inefficient interaction between the ventricular and vascular
151 systems, and representative of superfluous hemodynamic load on the heart. Assuming an
152 average heart rate of 70 beats per minute, the heart beats \approx 100 thousand times per day, it is
153 not hard to imagine that even small inefficiencies compound over time, leading to structural
154 adaptations in the heart and adverse cardiovascular-related outcomes (consistent with
155 previously observed associations of excess pressure with LVMI and CVD events).^{42,49}

156 When derived from aortic or carotid BP waveforms, excess pressure is proportional to aortic
157 blood flow.^{29,50} Viewing the circulatory system as 3-element Windkessel (Westkessel), the
158 equivalency of excess pressure to flow arises due to the constant of proportionality between
159 excess pressure and aortic flow which should be related to the characteristic impedance of the
160 aorta.³³ Outside the aorta, the relationship between peripheral artery excess pressure and
161 blood flow velocity was recently confirmed in the brachial and radial arteries.⁵¹ The
162 relationship of excess pressure to aortic blood flow may partly explain associations between
163 excess pressure and markers of CVD, such as carotid intima-media thickening, reductions in
164 brain grey matter volume, and reduced renal function.^{41,52,53} For these reasons, excess
165 pressure may be indicative of local hemodynamic forces linked to endothelial dysfunction
166 and site-specific predilection for atherosclerotic disease.

167 ***Clinical studies.*** In a longitudinal study among 2069 individuals participating in a
168 randomized clinical trial of antihypertensive therapy, Davies et al.⁴¹ showed that the integral
169 of excess pressure was positively associated with adverse CVD events independent of
170 traditional CVD risk factors, including systolic BP, but not heart rate. A notable feature of
171 this study was the use of the un-transformed radial artery BP waveform for the derivation of
172 excess pressure. Because non-invasive recording of radial artery BP waveforms is somewhat

173 uncomplicated, excess pressure may represent a suitable candidate for translation into the
174 clinical setting.

175 Similar findings from other prospective studies have shown that the excess pressure integral
176 and amplitude is associated with CVD events and mortality, and all-cause mortality among
177 individuals with heart failure, acute coronary syndrome or end stage renal disease.^{48,54,55}

178 Furthermore, among healthy individuals, the integral of excess pressure is positively
179 associated with declining renal function, but this was observed in a small sample with limited
180 adjustment for confounders (age, sex, body mass index, systolic BP and heart rate).⁵³

181 New data from a Framingham Heart Study community-based cohort with a 15 year follow up
182 observed that the excess pressure amplitude but not excess pressure integral estimated from
183 carotid artery tonometry using a pressure-dependent rate constants was positively associated
184 with future CVD events after adjustment for age and sex, but this association was attenuated
185 after further adjustment for conventional CVD risk factors.⁴⁷ It is uncertain whether
186 differences between this finding and previous studies reflect differences in the study sample
187 (the Framingham Heart Study cohort was free of overt CVD), or the use of carotid as
188 opposed to peripheral waveforms. Notably, excess pressure derived from carotid artery BP
189 waveforms was not significantly associated with CVD outcomes in the Second Australian
190 National Blood Pressure Study cohort.⁵⁶ It is possible that the value of excess pressure as a
191 marker of CVD risk may be most applicable among individuals with higher baseline CVD
192 risk. Indeed, excess pressure in the Framingham Heart Study cohort was lower (median 5.3
193 mmHg.s; interquartile range 4, 7.1 mmHg.s) and had fewer individuals with high excess
194 pressure compared to values previously reported by Davies et al. ⁴¹ among individuals with
195 hypertension (median 6 mmHg.s; interquartile range 1.8, 17.0 mmHg.s).

196 Lastly, cross-sectional associations of excess pressure include LVMI (positive association),
197 carotid intima-media thickness (positive association), and brain grey matter volume (negative
198 association).^{41,52} Consistent with excess pressure representing the superfluous work
199 performed by the heart, high excess pressure has been positively correlated with LVMI.⁴¹
200 Associations with carotid intima-media thickness and loss of brain grey matter volume may
201 owe to high excess pressure representing the transmission of damaging pulsatile wave energy
202 into the peripheral vasculature. Thus, high excess pressure may be a useful marker denoting
203 early identification of both cardiac and vascular dysfunction. Notably, cross-sectional
204 associations of excess pressure have only been reported among clinical populations
205 (individuals with hypertension or type 2 diabetes). Still, these data help understand previous
206 associations between excess pressure and CVD events and mortality and all-cause mortality.

207 **Diastolic rate constant**

208 *Physiological studies.* The diastolic rate constant is a parameter derived from the reservoir
209 pressure curve. Therefore, much of the discussion regarding the physiology of the reservoir
210 pressure is relevant to the diastolic rate constant as well. During systole, the large elastic
211 arteries expand to accommodate blood volume ejected from the heart. During diastole, the
212 aortic valve is closed, flow into the aorta stops and the large arteries recoil, buffering the
213 pressure as it falls to diastolic BP.

214 The rate at which reservoir pressure decays during diastole (diastolic rate constant) depends
215 on the compliance of the systemic arteries that comprise the reservoir and the resistance to
216 outflow via the microcirculation (Figure 3). The diastolic rate constant is simply the inverse
217 of the time constant (τ) of the diastolic decay, which for a simple 2-element Windkessel
218 (assuming constant compliance and resistance with a zero asymptotic pressure) is
219 proportional to the product of systemic arterial resistance and compliance.⁵⁷ With reductions
220 in both systemic vascular resistance and arterial compliance, discharge of the reservoir

221 pressure occurs faster, and the rate of diastolic decay is increased. A higher diastolic rate
222 constant could lead to greater transmission of detrimental pulsatile forces into the peripheral
223 vasculature potentially causing end-organ damage. This may be one mechanism underpinning
224 previously observed associations between the diastolic rate constant and CVD events and
225 mortality.

226

227 Zero-flow pressure (Pzf) represents the pressure at which flow through the microcirculation
228 stops, often termed critical closing pressure. The Pzf has a substantial influence on estimates
229 of τ ⁵⁸ and there is extensive evidence that it is not zero; detailed discussion can be found in
230 the recent studies by Hughes et al.³³ and Behnam et al.⁴⁷ Originally, Pzf was thought to equal
231 venous pressure but evidence (summarised in³³) suggests that Pzf is higher than mean
232 systemic filling pressure and is typically ~23 to 30mmHg.³³ Pinfinitiy is the pressure
233 asymptote of the diastolic decay (Figure 3) and is assumed to be equal to Pzf. Traditionally,
234 Pinfinitiy is taken as a free parameter estimated from fitting a mono-exponential function with
235 a constant to the diastolic pressure decay. However, this may result in an over estimation of
236 Pzf, particularly when the diastolic decay exhibits high concavity.⁴⁷ Highlighting the effect of
237 Pinfinitiy on τ , Behnam et al.⁴⁷ observed values of τ 50% lower when Pinfinitiy was fixed
238 (at 20 mmHg) compared to when Pinfinitiy was taken as a free parameter. Consequently,
239 estimates of compliance will also be 50% lower when using the fixed versus free Pinfinitiy;
240 however the amplitudes of reservoir pressure and excess pressure were similar between the
241 two approaches (fixed verses free).⁴⁷ Overall, when interpreting τ , and therefore the
242 diastolic rate constant, consideration should be given to the method of waveform fitting, and
243 particularly the calculation of Pinfinitiy.

244 **Clinical studies.** There is a relative dearth of studies reporting associations between the
245 diastolic rate constant and CVD risk. In one study reporting data from two independent
246 cohorts, one healthy community-based and one consisting of normotensive and untreated
247 hypertensive individuals, Cheng et al.⁴⁶ observed a higher diastolic rate constant was
248 associated with greater CVD mortality after adjusting for multiple traditional CVD risk
249 factors (including age, sex, systolic BP, body mass index, fasting glucose, triglycerides, low-
250 density lipoprotein cholesterol, high-density lipoprotein cholesterol, smoking, and alcohol).
251 Similarly, among the healthy community-based Framingham Heart Study cohort, the diastolic
252 time constant was associated with CVD events in models adjusted for traditional CVD risk
253 factors (including age, sex, total cholesterol, high-density lipoprotein cholesterol, smoking,
254 antihypertensive medication, and diabetes mellites).⁴⁷ However, the relationship between the
255 diastolic time constant and CVD risk was attenuated after additional adjustment for systolic
256 BP and heart rate.

257 Conversely, among 838 elderly hypertensive individuals, the diastolic rate constant was not
258 significantly associated with incident CVD events.⁵⁶ A potential limitation of this study was
259 that the primary end point (fatal and nonfatal stroke and myocardial infraction) was only
260 observed in 43 patients, thus, limiting the power of the study and increasing the possibility of
261 a false negative result. In patients with end-stage renal disease, the diastolic rate constant was
262 positively associated with all-cause and CVD mortality, but this association was attenuated to
263 the null in multivariable models adjusted for heart rate, age, sex, comorbidities, type of
264 dialysis, dialysis vintage and carotid-to-femoral pulse-wave velocity.⁴⁸

265 In general, the diastolic rate constant seems to exhibit stronger associations with CVD risk in
266 studies conducted among healthy individuals and thus, may be a more sensitive marker of
267 CVD risk in these populations. This may partly explain previously observed the non-
268 significant findings among individuals with end stage renal disease and elderly individuals

269 with hypertension.^{48,56} Whereas significant associations were observed among, for the most
270 part, healthy community based cohorts.^{46,47} However, previous studies reporting associations
271 between the diastolic rate constant and CVD risk have also employed different methods to
272 calibrate non-invasively recorded BP waveforms (systolic and diastolic BP or mean and
273 diastolic BP calibration).^{48,56} Yet, the influence of the calibration method is not evident in
274 these previous studies and two of the studies discussed above present data for both calibration
275 methods with no difference to the principal results.^{46,48}

276 Finally, in a cross-sectional study, using invasive BP waveform data, a higher diastolic rate
277 constant was associated with lower estimated glomerular filtration rate.⁵⁹ Interestingly, the
278 authors showed that the inverse association between the diastolic rate constant and estimated
279 glomerular filtration rate was similar when derived from aortic or brachial artery BP
280 waveforms.⁵⁹ These observations are unsurprising given the physiological dependence of the
281 diastolic rate constant on systemic arterial compliance, and it would be valuable to determine
282 associations of the diastolic rate constant with other markers of target organ damage.

283 **Systolic rate constant**

284 *Physiological studies.* The systolic rate constant, like the diastolic rate constant, is derived
285 from the reservoir pressure curve and is therefore also intimately related to it. The physiology
286 underpinning the reservoir pressure is discussed in detail above. Pertaining to the systolic rate
287 constant, as blood volume is ejected from the heart during systole, the arterial reservoir
288 increases; the rate at which the reservoir pressure increases is quantified by the systolic rate
289 constant. As such, the systolic rate constant will show some inverse relationship with the
290 aortic characteristic impedance and systemic arterial compliance, which may account for
291 associations with CVD risk reported in some studies.^{46,47,56} Aortic stiffness increases with age
292 and forms part of the pathology of CVD, leading to higher pulse pressure and increased
293 transmission of pulsatile forces into the peripheral vasculature,³⁷ or adverse effects on left

294 ventricular structure and function. Furthermore, aortic characteristic impedance is also
295 influenced by aortic diameter. Aortic diameter has previously been implicated as a potential
296 mechanism in the progression of pulse pressure increases among individuals with
297 hypertension.^{60,61} Altogether, the systolic rate constant likely represents a marker comprising
298 information related to ventricular-arterial interaction, ventricular load, and large artery
299 stiffness, where a higher systolic rate constant might be expected to be associated with
300 greater CVD risk.

301 ***Clinical studies.*** In healthy community-based cohorts and cohorts with disease, the systolic
302 rate constant is associated with CVD events and mortality.^{46–48,56} However, the direction of
303 associations between the systolic rate constant and adverse outcomes across these previous
304 studies are inconsistent. Firstly, in 3483 healthy and untreated hypertensive individuals across
305 two prospective cohorts, a higher systolic rate constant was associated with higher CVD
306 mortality and performed better than conventional pulse-wave analysis indices, including
307 augmentation index and augmentation pressure and conventional brachial pulse pressure
308 (adjusted hazards ratio = 1.18).⁴⁶ Similarly, among individuals free of overt CVD, results
309 from the Framingham Heart Study analysis have shown that a higher systolic time constant
310 (the inverse of the systolic rate constant) was associated with lower risk of CVD events
311 (adjusted hazards ratio = 0.92).⁴⁷ Among individuals with hypertension, a higher systolic time
312 constant (lower systolic rate constant) was associated with fewer future CVD events (adjusted
313 hazards ratio for primary end point = 0.33, eTable 1 and eFigure 1).⁵⁶ Conversely, in a patient
314 population with end-stage renal disease, the systolic rate constant derived from carotid, but
315 not radial artery BP waveforms, was inversely associated with all-cause mortality (adjusted
316 hazards ratio = 0.81) and CVD mortality (adjusted hazards ratio = 0.81).⁴⁸ It may be possible
317 that differences between healthy and patient populations contribute to these divergent
318 findings, but more work is needed to explain these differing associations. There are no studies

319 that have reported on cross-sectional associations between markers of target organ damage
320 and the systolic rate constant.

321 **Future directions: improving BP risk stratification**

322 Conventional pulse-wave analysis was developed with a view to derive more information
323 from the underlying arterial BP waveform than could be derived from standard systolic and
324 diastolic BP alone. This field has provided significant new information but has fallen short of
325 definitively explaining cardiovascular physiology or offering impetus to change clinical
326 practice. Evidence from studies using the reservoir-excess pressure model offers additional
327 information on CVD risk beyond conventional pulse-wave analysis indices across distinct
328 populations. However, the understanding of the reservoir-excess pressure model is still in its
329 relative infancy and more research is needed. Indeed, in traditional calculations of reservoir-
330 excess pressure parameters, the diastolic rate constant is the product of compliance and
331 resistance which are assumed to be constant. Whereas, the recent analysis from the
332 Framingham Heart study attempts to account for pressure-dependent non-linearities in
333 compliance and resistance in the calculation of the rate constants.⁴⁷ This new calculation
334 represents an adjustment to the traditional reservoir-excess pressure calculation but makes
335 some assumptions about the nature of the pressure dependence of the diastolic time constant
336 (τ) and the value of the asymptotic pressure. Interestingly, in a community-based sample,
337 model parameters derived with both the new modified calculation and the traditional
338 calculation were significantly associated with CVD events after adjusting for age and sex.
339 Yet, after additional adjustment for conventional CVD risk factors, only parameters derived
340 via the new modified calculation remained significant.⁴⁷ Ultimately, the usefulness of any
341 hemodynamic model is decided by its associations with clinical outcomes but also with
342 arterial physiological phenomena.

343 The evidence base outlining physiological and clinical insights provided by the reservoir-
344 excess pressure parameters continues to grow, but deficiencies remain. The systolic and
345 diastolic rate constants have shown some promise for the prediction of CVD events and
346 mortality, but data is still lacking. Results pertaining to the systolic rate constant in particular
347 are inconsistent in their direction of association with risk, and additional work on the
348 underlying cause of these discrepancies is warranted. Moreover, there is little to no data
349 assessing associations of the rate constants with markers of target organ damage, and these
350 studies are needed. Furthermore, the clinical value of each model parameter, when derived
351 from different arterial locations, has not been fully determined. A greater understanding of
352 the relationship of model parameters derived from different arterial locations with markers of
353 CVD risk would be beneficial for identifying the most clinically useful combination of
354 arterial location and model parameter.

355 The recording of non-invasive arterial BP waveforms by tonometry, though uncomplicated to
356 perform, is operator dependent, which remains a barrier to the broader uptake of arterial
357 waveform analysis for the assessment of CVD risk in clinical practice. Recently, new
358 technologies have afforded the opportunity to measure the arterial BP waveform non-
359 invasively using cuff-based BP devices.⁶² Incorporation of reservoir-excess pressure
360 parameters into conventional BP measurement methods should remove barriers to its clinical
361 use, but a refinement of the methods is needed.⁶² Nevertheless, even perfectly accurate
362 measures of cuff-based reservoir-excess pressure parameters are not helpful without also
363 showing clear and consistent associations with clinical outcomes independent of CVD risk
364 factors already available in clinical practice. In this review we have highlighted a number of
365 studies that have observed independent associations between reservoir-excess pressure
366 parameters and CVD risk. That said, there is a lack of consistency in the strength and
367 direction of these associations across studies, most of which have been observed in different

368 patient populations and with reservoir-excess pressure parameters derived from different
369 arterial locations. In this regard, more work is needed to understand the association between
370 reservoir-excess pressure parameters and clinical outcomes among healthy/community-based
371 populations. It also remains to be seen which of the reservoir-excess pressure parameters is
372 most clinically useful. Additionally, new cuffless biometric wearable devices are emerging
373 that measure BP and record the BP waveform from the underlying hemodynamics at various
374 arterial sites including, but not limited to, the wrist, ears and fingers. However, amplification
375 of systolic BP from central to peripheral arteries may hinder the accurate assessment of a
376 clinically important BP (i.e., central, or brachial BP). By taking advantage of the reservoir-
377 excess pressure model it may be possible to derive indices measured from peripheral artery
378 BP waveforms that are representative of central parameters but also are associated with CVD
379 risk when derived from the radial BP, without the need of direct knowledge the central BP.

380

Concluding comment

381 Reservoir-excess pressure parameters provide insights into arterial physiology and are
382 associated with CVD risk independent of conventional CVD risk factors. Utilization of model
383 parameters in routine clinical practice may be feasible but is still some way off. In the clinical
384 setting, the mathematical detail underpinning the model parameters is not necessary. This
385 review provides a high-level overview of the physiological and clinical value of the reservoir-
386 excess pressure parameters, helping close the gap between research and clinical translation.

387

Author contributions:

MA - Conception, data interpretation and manuscript preparation and revision.

MS - Data interpretation and critical manuscript revision.

AH - Data interpretation and critical manuscript revision.

DP - Data interpretation and critical manuscript revision.

JS - Conception, data interpretation and critical manuscript revision.

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Figure legends:

Figure 1. Conventional blood pressure (BP) assessment methods employ the oscillometric or manual auscultatory method to derive values for systolic and diastolic BP and provide little information on the underlying arterial BP waveform. Systolic BP is the peak of the arterial BP waveform and diastolic BP the nadir. Pulse pressure is the difference between systolic and diastolic BP.

Figure 2. Intra-arterial aortic blood pressure measured continuously over one cardiac cycle and overlaid with parameters derived via conventional pulse-wave analysis. dp/dt max is the point at which the rate of increase in pressure is highest. P1 is the anacrotic notch or first systolic inflection point and P2 is the systolic blood pressure. Augmentation pressure (AP) is the difference between P1 and P2 and is used, along with pulse pressure (PP), to calculate augmentation index (AIx), a common pulse-wave analysis index ($AIx = AP/PP$). Incisura, or the dicrotic notch, marks the end of systole and closure of the aortic valve. Tr is the time to P1 and systolic and diastolic duration is the length of time spent in cardiac contraction and relaxation, respectively.

Figure 3. Parameters derived from an ensemble averaged intra-arterial aortic blood pressure waveform via reservoir excess pressure analysis. Parameters may be measured as peak or integral (area under the curve) values of the reservoir and excess pressure curves. Shaded areas represent model parameters derived by the integration of the reservoir and excess pressure curve. The systolic and diastolic rate constants represent the rate of increase and decrease of the reservoir pressure, respectively. P_{∞} is the asymptote of the diastolic BP decay and is assumed to represent the BP at which flow through the microcirculation stops.

Figure 4. Relationship of reservoir pressure (dashed line) derived via the reservoir-excess pressure model and aortic volume measured via ultrasound in 9 individuals undergoing coronary artery bypass surgery (left). Aortic volume was scaled to reservoir pressure for presentation. Change in aortic volume over the cardiac cycle is well matched by reservoir pressure (right, $R^2 = 0.95$, max cross-correlation $r = 0.97$). From Schultz et al. ³⁴

Table 1. Studies of reservoir excess pressure parameters associated with cardiovascular risk.							
Study, year	N	Age	Male (%)	Method	Site	GTF used?	Findings
Reservoir pressure parameters and all cause and CV mortality and CV events							
Hametner ⁴⁵ , 2014	674	64±NA	57	Tonometry	Radial	Y	Pr amplitude [‡] predicts CV events & mortality (3.8y FU)
Davies ⁴¹ , 2014	2069	63±8	81	Tonometry	Radial	N	Pxs integral [‡] predicts CV events (3.5y FU)
Narayan ⁵⁶ , 2015	838	72±0.2	46	Tonometry	Carotid	N	Ks [‡] predicts CV events (4.4y FU)
Cheng ⁴⁶ , 2016	1272	52±13	54	Tonometry	Carotid	N	Pr peak [‡] & amplitude [‡] , Ks [‡] , & Kd [‡] predict CV mortality (19.8y FU)
	2211	53±12	46	Tonometry	Radial	Y	Ks [‡] & Kd [‡] predict CV mortality (10y FU)
Wang ⁵⁴ , 2017	168	64±15	66	Tonometry	Carotid	N	Pxs integral [†] predicts total mortality (9.9y FU)
Schneider ⁵⁵ , 2018	251	64±NA	71	Tonometry	Radial	NA	Pxs integral [*] predicts all-cause mortality & CV events (3.4y FU)
Fortier ⁴⁸ , 2019	260	70±NA	60	Tonometry	Carotid	N	Pxs integral [†] & Ks [†] predict all-cause & CV mortality. Pxs amplitude [†] & Pr integral [†] predicts all-cause mortality (2.6y FU)
Behnam ⁴⁷ , 2019	2539	63±11	42	Tonometry	Carotid	N	Pr amplitude [‡] , Ks [‡] & Kd [†] predict CVD events (15.1y FU)
Reservoir pressure parameters and CV risk markers							
Sharman ¹⁹ , 2009	16	62±10	82	Tonometry	Radial	N	Pr peak positively correlates with AIx
Davies ⁶³ , 2010	15	53±10	62	Catheter	Aorta	NA	Pr integral positively correlates with AIx
Piskorski ⁶⁴ , 2013	159	51±1	45	Tonometry	Radial	NA	Pxs & Pr integral positively correlates with AP
Climie ⁵² , 2014	37	52±8	51	Tonometry	Radial	N	Pxs integral [‡] negatively correlates MRI grey matter volume
Davies ⁴¹ , 2014	2069	63±8	81	Tonometry	Radial	N	Pxs integral [‡] positively correlates with cIMT. Pr [‡] & Pxs [‡] integral positively correlates with LVMI
Schultz ⁴² , 2015	359	61±9	49	Tonometry	Radial	N	Pr integral [‡] positively correlates with AP, AIx, & LVMI
Climie ⁵³ , 2017	33	57±9	55	Tonometry	Radial	N	Change in Pxs integral [*] negatively correlates with the change in eGFR
Armstrong ⁵⁹ , 2020	220	61±10	68	Catheter	Aorta & Brachial	N	Kd [‡] negatively correlates with eGFR
Data are mean±SD unless stated otherwise. GTF = Y identifies those studies where a generalised transfer function was used to synthesise an aortic pressure waveform from a peripheral artery waveform. Studies were identified through snowballing and PubMed and Google scholar searches. Only statistically significant associations from published studies are presented here, see supplementary material eTable 1 and eFigure 2 for non-significant findings. ‡ indicates findings were at least independent of age, sex, and blood pressure (systolic or mean arterial pressure), † indicates findings were at least age and sex independent, and * indicates findings were at least independent of age. AIx, augmentation index; AP, augmentation pressure; cIMT, carotid intima media thickness; CV, cardiovascular; eGFR, estimated glomerular filtration rate; FU, follow up; GTF, generalised transfer function; Kd, diastolic rate constant; Ks, systolic rate constant; LVMI, left ventricular mass index; MRI, magnetic resonance imaging; Pxs, excess pressure; Pr, reservoir pressure; y, years.							







