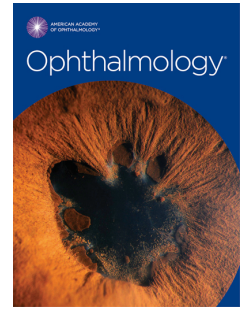


# Journal Pre-proof

Characteristics of Gln368Ter Myocilin variant and influence of polygenic risk on glaucoma penetrance in the UK Biobank

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1 **Characteristics of Gln368Ter Myocilin variant and influence of polygenic risk on glaucoma**  
2 **penetrance in the UK Biobank**

3  
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28  
29 Abbreviations:

30 POAG – primary open angle glaucoma; DDG – disc defined glaucoma; FP-fundus photo ; IOP-  
31 intraocular pressure; GCC – ganglion cell complex ; RNFL – retinal nerve fiber layer ; PRS-  
32 polygenic risk score; OCT – optical coherence tomography

38 **Abstract**

39 **Objective:** *MYOC* (myocilin) mutations account for 3-5% of primary open angle glaucoma  
40 (POAG). We aimed to understand the true population-wide penetrance and characteristics of  
41 glaucoma among individuals with the most common *MYOC* variant (p.Gln368Ter) and the  
42 impact of a POAG polygenic risk score (PRS) in this population.

43 **Design:** Cross-sectional population-based

44 **Methods:** Individuals with the p.Gln368Ter variant were identified among 77,959 UK Biobank  
45 participants with fundus photographs (FPs). A genome-wide POAG PRS was computed and two  
46 masked graders reviewed FPs for disc-defined glaucoma (DDG).

47 **Main Outcome Measures:** Penetrance of glaucoma

48 **Results:** 200 individuals carried the p.Gln368Ter heterozygous genotype, and 177 had gradable  
49 FPs. 132 had no evidence of glaucoma, 45 (25.4%) had probable/definite glaucoma in at least  
50 one eye and 19 (10.7%) had bilateral glaucoma. There were no differences in age, race/ethnicity,  
51 or gender among groups ( $p > 0.05$ ). Of those with DDG, 31% self-reported or had ICD 9/10 code  
52 for glaucoma, while 69% were undiagnosed. Subjects with DDG had higher medication-adjusted  
53 cornea-corrected intraocular pressure (IOPcc) ( $p < 0.001$ ) vs. those without glaucoma. This  
54 difference in IOPcc was larger in DDG with prior glaucoma diagnosis vs. those not diagnosed  
55 ( $p < 0.001$ ). Majority of p.Gln368Ter carriers had IOP in the normal range ( $\leq 21$  mmHg), though  
56 this proportion was lower in those with DDG ( $p < 0.02$ ) and those with prior glaucoma diagnosis  
57 ( $p < 0.03$ ). Prevalence of DDG increased with each decile of the POAG PRS. Subjects with DDG  
58 had significantly higher PRS compared to those without glaucoma ( $0.37 \pm 0.97$  vs  $0.01 \pm 0.90$ ,  
59  $p = 0.03$ ). Of those with DDG, individuals with prior diagnosis of glaucoma had higher PRS  
60 compared to undiagnosed individuals ( $1.31 \pm 0.64$  vs  $0.00 \pm 0.81$ ,  $p < 0.001$ ) and had 27.5 times  
61 (95%CI 2.5-306.6) adjusted odds of being in the top decile of PRS for POAG.

62 **Conclusion:** 1 in 4 individuals with *MYOC* p.Gln368Ter mutation had evidence of glaucoma, a  
63 substantially higher penetrance than previously estimated, with 69% of cases undetected. A large  
64 portion of p.Gln368Ter carriers have IOP in the normal range, despite similar age, including  
65 those with DDG. PRS increases disease penetrance and severity of disease, supporting the utility  
66 of PRS in optimizing risk stratification among *MYOC* p.Gln368Ter carriers.

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## 75 **Introduction**

76         Glaucoma, a progressive optic neuropathy characterized by retinal ganglion cell  
77 degeneration, is a leading cause of blindness worldwide.<sup>1,2</sup> Glaucoma affects 3.54% of the  
78 population older than 40 years worldwide, approximately 76 million people, and is projected to  
79 increase to 111.8 million by 2040 due to aging of the world's population.<sup>3</sup> Primary open angle  
80 glaucoma (POAG) accounts for 75% of glaucoma globally and over 50% of glaucoma-related  
81 blindness.<sup>4</sup> Due to relatively slow loss of vision, glaucoma often does not come to clinical  
82 attention until significant irreversible vision loss has occurred, with population-based studies  
83 suggesting that nearly 50% of glaucoma cases in the US<sup>5,6</sup> and 90% of cases in developing  
84 countries<sup>7</sup> are undiagnosed.

85         Glaucoma is a highly heritable disease, and POAG is one of the most heritable of all  
86 complex human diseases.<sup>8</sup> Reconstructed family data have estimated the heritability of glaucoma  
87 at 70%.<sup>8</sup> To date, 127 independent common risk variants for POAG have been identified in  
88 multi-ethnic populations.<sup>9,10</sup> Of the disease-causing mutations, the *MYOC* (myocilin)  
89 p.Gln368Ter variant is the most common rare mutation amongst populations of European  
90 ancestry and has been found in 2-7% of patients with clinically diagnosed POAG<sup>11,12</sup> and in 12-  
91 20% of patients with increased intraocular pressure (IOP).<sup>13</sup> While the underlying mechanism of  
92 this variant remains unclear, it appears that the aggregation of misfolded myocilin proteins leads  
93 to trabecular meshwork cell dysfunction and subsequent elevated IOP.<sup>14,15</sup> Though *MYOC*  
94 variants have been associated with greater severity of IOP elevation, the p.Gln368Ter variant  
95 was recently also associated with normal tension glaucoma (NTG).<sup>16</sup>

96         *MYOC* disease-causing alleles are inherited in an autosomal dominant manner, however  
97 the reported penetrance is variable and has been noted to be lower in population-based studies  
98 compared to family-based studies.<sup>12</sup> While ascertainment bias and aggregation of common  
99 environmental risk factors in family-based studies and under sampling in population-based  
100 studies likely play a role, accumulation of other common glaucoma-associated risk variants may  
101 modify penetrance. For common and complex diseases, such as POAG, a polygenic risk score  
102 (PRS) can be calculated using both known (genome-wide significant) common genetic variants  
103 and variants of individual small effects, and PRS can be used to identify individuals at high risk  
104 of disease.<sup>17</sup> Candidate PRS have previously been calculated for POAG and shown to risk  
105 stratify cases, affect age of onset and likelihood of glaucoma progression and modify the  
106 penetrance of the *MYOC* p.Gln368Ter variant.<sup>18</sup>

107         Recent availability of large-scale genomics data have made it possible to evaluate  
108 population-wide effects of the *MYOC* p.Gln368Ter variant. However, prior studies have relied  
109 on self-report for identification of cases,<sup>12</sup> which can be inaccurate and does not elucidate  
110 clinical disease features. The purpose of our study is to use the available data from the UK  
111 Biobank (UKBB), a large population-based study, to understand the true population-wide

112 mutation penetrance using imaging and IOP data from individuals with *MYOC* p.Gln368Ter  
113 variant, to describe the clinical characteristics of glaucoma among these individuals, as well as to  
114 understand the impact of underlying polygenic risk in this population.

115

## 116 **Methods**

### 117 *Cohort description:*

118 The UKBB is a prospective community-based cohort study of ~500,000 UK residents, aged 40-  
119 69 years (<http://www.ukbiobank.ac.uk/resources/>) who were registered with the National Health  
120 Service and includes detailed genotypic and phenotypic information on all participants. Health  
121 questionnaires were collected from all participants that included age at recruitment and self-  
122 reported race and gender. A subset of ~130,000 people had eye examinations including visual  
123 acuity, refraction, keratometry, Goldmann and cornea-corrected IOP (IOPcc, Ocular Response  
124 Analyzer; Reichert, Depew, NY). Over 84,000 people underwent retinal imaging with color  
125 fundus photographs (FP) and macular optical coherence tomography (OCT) using a Topcon 3D  
126 OCT 1000 Mk2 (Topcon, Inc, Japan). The National Research Ethics Service Committee  
127 NorthWest–Haydock approved the study, and it was conducted in accordance with the  
128 Declaration of Helsinki. All participants provided written informed consent.

129

### 130 *Identification of glaucoma:*

131 Individuals with glaucoma were identified if they self-reported glaucoma on eye  
132 problems/disorders (UKBB data field 6148) or noncancer illness (UKBB data field 20002) or  
133 had an International Classification of Diseases, Ninth or Tenth Revision (ICD9/10) diagnosis  
134 code for POAG, other glaucoma or glaucoma, unspecified (H40.1, H40.8, H40.9). Where  
135 available, age at first glaucoma diagnosis was obtained from UKBB data fields 4689 and 20009.  
136 Information on treatment with IOP lowering medication and prior laser/surgery was obtained  
137 from data fields 20003, 5326 and 5327, respectively. As some participants were already on IOP-  
138 lowering medications, and data from pre-treatment was unavailable, we imputed pre-treatment  
139 IOP by dividing measured IOP by 0.7, according to the mean IOP reduction achieved by  
140 medications.<sup>2</sup>

141

142 Two masked graders (NZ and ML) further reviewed color fundus photographs (FPs) from  
143 identified p.Gln368Ter carriers for *disc defined glaucoma* (DDG). Fundus photographs were  
144 graded for no glaucoma, probable glaucoma and definite glaucoma and vertical cup-to-disc ratio  
145 (vCDR). Probable glaucoma was defined by presence of at least two of the following criteria:  
146 vCDR  $\geq 0.7$  but  $< 0.85$ , rim width  $\leq 0.1$  disc diameter, generalized or localized rim thinning,  
147 visible RNFL defects or splinter hemorrhage. Definite glaucoma was defined as vCDR  $\geq 0.85$  or  
148 visible RNFL defects corresponding with thinning area of rim or notches.<sup>23</sup> Graders were 80.6%

149 in agreement,  $\kappa=0.66$  ( $p<0.001$ ). The 80 disagreements underwent direct arbitration and were re-  
150 graded. **Figure 1** shows an example of an eye with DDG versus one judged to be normal by both  
151 graders.

152

153 *Genotype quality control, p.Gln368Ter carrier identification and polygenic risk score*  
154 *calculation:*

155 The array genotype curation process is described in detail by Bycroft et al.<sup>19</sup> In addition, we  
156 applied various quality control steps with PLINK 1.9 on directly genotyped variants of 82,035  
157 UKBB samples with ocular imaging, using best practice approaches refined in GTEx  
158 consortium.<sup>20</sup> The pipeline iteratively examines variants (single nucleotide polymorphisms  
159 [SNPs] and indels [insertions and deletions]) and sample genotype efficiency, allele frequencies,  
160 gender discrepancies and tests of Hardy-Weinberg equilibrium. Additionally, we examined  
161 sample duplicates, cryptic relatedness, and contamination. Participants with unresolved  
162 differences between genotype-inferred and reported sex were excluded (N=449). Samples with  
163 genotyping call rate <97% were removed (229). Additionally, 3,381 individuals with high  
164 cryptic relatedness ( $>0.1875$  Pi-hat) and 298 individuals with outlying heterozygosity (4 standard  
165 deviations from the mean heterozygosity rate) after accounting for inferred ancestry were also  
166 removed. We applied Principal Component Analysis (PCA) to linkage disequilibrium (LD)-  
167 pruned ( $r^2<0.1$  in 200kb windows) genetic markers with minor allele frequency (MAF)>1% and  
168 the k-nearest neighbors algorithm to predict the ancestral background of participants using  
169 ancestral labels from the 1000 Genomes Project Phase 3 reference panel. We found good  
170 correlation between self-reported and inferred ancestry; for samples with mismatched ancestry,  
171 we used the inferred ancestry for quality control (QC) and downstream analyses. Only  
172 participants with inferred European ancestry were used in our study, leading to a total of 77,959  
173 participants post QC with both genotype and image data. For variant QC, we removed variants  
174 with call rate < 97%, MAF < 0.01 and Hardy-Weinberg equilibrium test  $p < 1e-5$ .

175

176 Prior studies have demonstrated that *MYOC* c.1102C>T (p.Gln368Ter) can be imputed with high  
177 accuracy from genotyping arrays.<sup>12</sup> Similarly, here we identified 200 p.Gln368Ter carriers using  
178 the imputation posterior probability for each of the 3 genotypes (GG, AG and AA).

179

180 We constructed and tested a POAG PRS for UKBB participants using genome-wide associate  
181 study (GWAS) summary statistics from the Caucasian subset of the large cross-ancestry meta-  
182 analysis,<sup>9</sup> after exclusion of the UKBB cohort (summary statistics available at  
183 <https://segrelab.meei.harvard.edu/data/>). PRS was computed using *LDpred2*<sup>21</sup> which is  
184 implemented in R package *bigsnpr*.<sup>22</sup> The posterior mean effect sizes from GWAS summary  
185 statistics were estimated using a point-normal mixture prior for the variant effects and were

186 adjusted for linkage disequilibrium. The hyperparameters in the model included SNP heritability  
187 ( $h^2$ ) and the fraction of casual variants ( $p$ ). Hyperparameters to construct the final PRS were  
188 chosen based on the best prediction performance measured by the area under the curve (AUC).  
189 PRS was calculated using two definitions of glaucoma cases: 1) ICD9/10 diagnosis code (747  
190 cases, 75624 controls, 1,588 missing) and 2) combination of ICD 9/10 diagnosis code and  
191 glaucoma self-report as defined above (2001 cases and 75624 controls). Due to better  
192 performance of the model using ICD 9/10 diagnosis codes only (supplemental Figure 1), this  
193 PRS was used for all further analysis. Calculated PRS were normalized to a mean of zero and  
194 standard deviation of 1.

195

196 *Optical coherence tomography (OCT):*

197 Spectral domain OCT scans of the macula were obtained using Topcon 3D OCT 1000 Mk2  
198 (Topcon, Inc, Japan). Three dimensional macular volume scans were obtained (512 horizontal A-  
199 scans/B-scan; 128 B-scans in a 6x6-mm raster pattern).<sup>24</sup> All OCT images were stored in .fda  
200 image files without prior analysis of macular thickness. We used the Topcon Advanced  
201 Boundary Segmentation (TABS) algorithm to automatically segment all scans, which uses dual-  
202 scale gradient information to allow for automated segmentation of the inner and outer retinal  
203 boundaries and retinal sublayers.<sup>25</sup> The boundaries segmented include the internal limiting  
204 membrane (ILM), nerve fiber layer (NFL), ganglion cell layer (GCL), inner plexiform layer  
205 (IPL), inner nuclear layer (INL), external limiting member (ELM), photoreceptor inner  
206 segment/outer segment (IS/OS) junction layer, retinal pigment epithelium (RPE), Bruch's  
207 membrane (BM) and Chorio-retinal interface (CSI). The software provides an image quality  
208 score which was used as quality control measure with scores less than 40 excluded (n=70). Scans  
209 with outlying values for the ganglion cell complex (defined as the distance between ILM and  
210 GCL) were manually reviewed and excluded if clear segmentation errors were noted (n=2).

211

212 The thickness of each retinal sub-layer was determined by calculating the difference between  
213 boundaries of interest and averaging this across all scans. For example, NFL thickness was  
214 calculated as the difference between ILM and NFL boundary lines. We determined the location  
215 of the fovea by calculating the minimum thickness of the 3 inner-most segments across all B  
216 scans and identifying the location where this thickness value approached zero. All B scan  
217 numbers obtained prior to this location were used to calculate average thickness in the superior  
218 quadrants while the numbers after were used to calculate inferior quadrant thickness values.

219

220 *Statistical analyses:*

221 Statistical analyses were performed using STATA software version 15.0 and RStudio v. 4.0.3.  
222 Means and standard deviations were calculated for demographic and ocular characteristics and

223 compared across groups using two tailed Student t-test, and chi-square or Fisher's exact tests for  
224 continuous and categorical variables, respectively. Logistic regression models adjusted for age at  
225 recruitment and sex were used to estimate odds of falling in the top decile of PRS risk for  
226 POAG. Values were considered statistically significant if the P value was less than 0.05.

227

## 228 **Results**

229 Among the 73,563 UKBB participants with complete imaging and genotype data, we identified  
230 200 (0.027%) heterozygous carriers of the p.Gln368Ter allele and no homozygous carriers. 177  
231 of the heterozygous carriers had gradable FPs in one or both eyes (160 right eyes and 158 left  
232 eyes). Among those with gradable FPs, 132 (74.6%) had no evidence of glaucoma, while 45  
233 (25.4%) had probable or definite glaucoma (DDG) in at least one eye, and 19 (10.7%) had  
234 evidence of bilateral disease. Of the 45 individuals with DDG, 14 (31%) self-reported or had an  
235 ICD 9/10 code for glaucoma, whereas 31 (69%) were undiagnosed. Conversely, 6 of 132  
236 individuals who were judged to have healthy appearing optic nerves in both eyes self-reported or  
237 had ICD 9/10 for glaucoma. Of the 200 individuals with available IOP data, after correction for  
238 IOP lowering medications, 27% and 21% had IOP greater than 21 in their right and left eye,  
239 respectively, while 25.4% had an average IOP over 21 in both eyes (defined as ocular  
240 hypertension, OHTN). There was no difference in age between p.Gln368Ter carriers with and  
241 without OHTN ( $58.0 \pm 1.2$  vs  $57.2 \pm 0.6$  respectively,  $p=0.56$ ).

242

243 **Table 1** outlines the demographic and ocular characteristics among individuals with and without  
244 DDG. There were no differences in age at baseline, age at diagnosis, race/ethnicity or gender  
245 among individuals with and without DDG ( $p>0.05$  for all). Subjects with DDG had slightly  
246 higher myopic refractive error on average compared to those without evidence of glaucoma ( $-1.3$   
247  $\pm 3.6$  D vs  $0.1 \pm 2.6$  D,  $p=0.005$ , right eye;  $-1.7 \pm 4.4$  D vs  $0.1 \pm 2.7$  D,  $p=0.001$ , left eye).

248

249 Subjects with DDG also had on average higher IOPcc in both right and left eyes ( $19.8 \pm 6.4$   
250 mmHg vs  $17.6 \pm 4.4$  mmHg,  $p=0.02$ , right eye;  $19.3 \pm 5.8$  mmHg vs  $17.3 \pm 4.4$  mmHg,  $p=0.01$ ,  
251 left eye). A higher proportion of subjects with DDG (24.4%) were on one or more IOP lowering  
252 medications, compared to those without evidence of glaucomatous optic neuropathy (4.6%) on  
253 imaging ( $p<0.001$ ). After correction for use of IOP lowering medication, the difference in IOP  
254 between those with and without DDG was larger ( $22.3 \pm 9.8$  mmHg vs  $18.0 \pm 5.6$  mmHg,  
255  $p<0.001$ , right eye;  $21.3 \pm 7.7$  mmHg vs  $17.7 \pm 5.3$  mmHg,  $p<0.001$ , left eye). After adjustment  
256 for IOP lowering medications, over half of p.Gln368Ter carriers had IOP in the normal range  
257 ( $\leq 21$  mmHg), though this proportion was significantly lower in those with DDG compared to  
258 those without glaucoma (53.3% vs 79.6%,  $p=0.001$ , right eye; 64.4% vs 81.8%,  $p=0.02$ , left eye).

259 **Figure 2** demonstrates the distribution of medication adjusted IOP in both groups. Individuals



260 with DDG on average had larger vCDR in both eyes and were more likely to undergo glaucoma  
261 surgery or laser based on self-report, though this latter measure was overall rare in this cohort  
262 (**Table 1**).

263  
264 Individuals with DDG but who were not diagnosed at the time of the study did not differ in age,  
265 gender, ethnicity or average refractive error from those with prior glaucoma diagnosis ( $p>0.2$  for  
266 all) (**Table 2**). Undiagnosed individuals on average had lower IOP both before ( $18.3 \pm 4.2$   
267 mmHg vs  $23.0 \pm 8.9$  mmHg,  $p=0.02$  right eye;  $18.4 \pm 5.3$  mmHg vs  $21.4 \pm 6.6$  mmHg,  $p=0.1$  left  
268 eye) and after ( $18.3 \pm 4.2$  vs  $30.8 \pm 12.9$ ,  $p<0.001$  right eye, and  $18.4 \pm 5.3$  vs  $28.0 \pm 8.6$ ,  
269  $p=0.001$  left eye) correction for IOP lowering medication, and they were more likely to have IOP  
270 in the normal range or less than 21 mmHg (64.5% vs 28.6%  $p=0.03$ , right eye; 74.2% vs 40.9%,  
271  $p=0.04$ , left eye). Additionally, individuals with prior diagnosis appeared to have more severe  
272 disease compared to those undiagnosed, as evidenced by greater vCDR ( $0.75 \pm 0.15$  vs  $0.67 \pm$   
273  $0.16$ ,  $p=0.1$ , right eye;  $0.79 \pm 0.10$  vs  $0.67 \pm 0.18$ ,  $p=0.04$ , left eye) (**Table 2**).

274  
275 Among p.Gln368Ter carriers, 204 eyes of 124 individuals had OCT scans with an image quality  
276 score greater than 40. The average thickness of the ganglion cell complex (GCC) in our cohort  
277 was  $101.1 \pm 8.7$   $\mu\text{m}$  and  $101.9 \pm 9.0$   $\mu\text{m}$  in the right and left eye, respectively. Eyes with DDG  
278 had on average lower overall GCC thickness ( $96.5 \pm 9.8$   $\mu\text{m}$  vs  $102.7 \pm 8.2$   $\mu\text{m}$ ,  $p<0.001$ ),  
279 inferior GCC thickness ( $96.0 \pm 10.9$   $\mu\text{m}$  vs  $101.4 \pm 10.6$   $\mu\text{m}$ ,  $p=0.01$ ) and superior GCC  
280 thickness ( $92.1 \pm 12.3$   $\mu\text{m}$  vs  $99.5 \pm 13.2$   $\mu\text{m}$ ,  $p=0.005$ ) compared to eyes judged to be healthy.  
281 **Figure 3** shows the GCC thickness distribution amongst eyes with DDG is shifted toward lower  
282 values compared to eyes without DDG. Similarly, eyes with DDG displayed lower average  
283 RNFL thickness ( $37.8 \pm 5.4$   $\mu\text{m}$  vs  $40.1 \pm 4.9$   $\mu\text{m}$ ,  $p=0.03$ ) and inferior RNFL thickness ( $38.0 \pm$   
284  $6.9$   $\mu\text{m}$  vs  $41.3 \pm 6.5$   $\mu\text{m}$ ,  $p=0.01$ ) compared to eyes without DDG (**Table 3**). In logistic  
285 regression models adjusting for age at recruitment and refractive error, each 10  $\mu\text{m}$  decrease in  
286 average GCC thickness predicted 2.1 (95% CI 1.3 to 3.5,  $p=0.003$ ) times higher odds of DDG,  
287 and each 10  $\mu\text{m}$  decrease in inferior RNFL thickness similarly predicted a 2.0 (95% CI 1.1 to 3.7,  
288  $p=0.03$ ) times higher odds of DDG.

289  
290 We computed a POAG PRS for each of the 747 glaucoma cases (defined using ICD 9/10  
291 diagnosis codes) and 75,624 controls with FPs in the UKBB using genome-wide variant  
292 associations from the largest to date POAG meta-analysis of European-descendent individuals<sup>9</sup>  
293 excluding the UKBB cohort (see Methods). In the full cohort, glaucoma cases had significantly  
294 higher PRS ( $0.51 \pm 1.0$ ) compared to controls ( $0.0 \pm 1.0$ , two-tailed Student's t-test,  $p<0.001$ ).

295 AUC for glaucoma case detection reached 0.65 for PRS alone and 0.75 with addition of age and  
296 sex (supplementary Figure 1).

297

298 Among, p.Gln368Ter carriers, individuals with DDG had significantly higher PRS for POAG  
299 compared to those without glaucoma ( $0.37 \pm 0.97$  vs  $0.01 \pm 0.90$ , two-tailed Student's t-test,  
300  $p=0.03$ ) (**Figure 4**). When stratified by OHTN, individuals with OHTN and evidence of DDG  
301 had slightly higher PRS compared to those without DDG ( $0.88 \pm 1.05$  vs  $0.52 \pm 0.97$ ,  $p=0.26$ ).  
302 Similarly, those with normal IOP who had evidence of DDG had slightly higher PRS compared  
303 to those without glaucoma ( $0.03 \pm 0.67$  vs  $-0.14 \pm 0.84$ ,  $p=0.36$ ), though neither reached  
304 statistical significance. The prevalence of DDG increased with each decile of PRS (**Figure 5**).  
305 Conversely, individuals with DDG were more likely to have a PRS in the top decile of POAG  
306 risk (16.7% vs 8.8% for top decile,  $p=0.16$ ) (**Figure 6**) and had 2.1 (95% CI 0.7 to 5.7) times  
307 higher age and gender-adjusted odds of being in the top decile of PRS for POAG, though this  
308 difference did not reach statistical significance.

309

310 Of those with DDG, individuals with prior diagnosis of glaucoma had higher PRS compared to  
311 undiagnosed individuals ( $1.31 \pm 0.64$  vs  $0.00 \pm 0.81$ ,  $p<0.001$ ) (**Figure 7**). Diagnosed individuals  
312 were more likely to have a PRS in the top decile of POAG risk (50.0% vs 3.3% for top decile,  
313  $p=0.001$ ) (**Figure 8**) and had 27.5 (95% CI 2.5 to 306.6) times higher age- and gender-adjusted  
314 odds of being in the top decile of PRS for POAG.

315

316 In random effects regression models accounting for clustering at the individual level between the  
317 right and left eyes, one-point increase in PRS predicted 1.9  $\mu\text{m}$  (95% CI 0.2 to 3.7  $\mu\text{m}$ ,  $p=0.03$ )  
318 decrease in average GCC thickness, 1.0  $\mu\text{m}$  (95% CI 0.1 to 1.9,  $p=0.047$ ) decrease in average  
319 RNFL and 1.4  $\mu\text{m}$  decrease in inferior RNFL thickness (95% CI 0.2 to 2.6,  $p=0.03$ ). Only the  
320 association between PRS and inferior RNFL thickness remained significant after adjustment for  
321 age at recruitment and refractive error ( $p=0.049$ ). The relationship between average GCC and  
322 inferior RNFL thickness and PRS was more pronounced among eyes with DDG compared to  
323 those without (**Figure 9**).

324

## 325 **Discussion**

326

327 This is the first large-scale population-based study of imaging and clinical characteristics of  
328 glaucoma among individuals with the *MYOC* p.Gln368Ter variant. We demonstrate that nearly 1  
329 in 4 individuals with this mutation has evidence of glaucoma in at least one eye, 70% of whom  
330 were likely previously undiagnosed. Our data show that while IOP plays an important role in  
331 development and severity of glaucoma in this population, a large portion of patients have IOP in

332 the normal range, including those with structural signs of glaucomatous optic neuropathy.  
333 Importantly, background polygenic risk increases disease penetrance and severity in this  
334 population.

335  
336 Compared to previous estimates in population-based studies, we found a substantially higher  
337 penetrance of glaucoma among individuals with *MYOC* p.Gln368Ter, 25.4% in at least one eye  
338 and 10.7% with bilateral disease. Using the UKBB and relying on self-report, Han et al,<sup>12</sup> found  
339 a penetrance of 7.6% among p.Gln368Ter carriers, while Nag et al<sup>13</sup> found 9.6% POAG  
340 penetrance in the Rotterdam study, both substantially lower than our study. Our review of images  
341 demonstrated that the majority of glaucoma cases due to *MYOC* p.Gln368Ter in the UKBB were  
342 undiagnosed, and conversely, some individuals with healthy optic nerves reported having  
343 glaucoma. These data are in line with prior literature that demonstrates over 50% undiagnosed  
344 rates of glaucoma in developed countries.<sup>5,6,26,27</sup> In fact, if we rely solely on self-report, the  
345 penetrance of glaucoma in our population is only 11.3%, much closer to previously published  
346 rates. The Rotterdam study, however, had available visual field and optic disc photos for all  
347 participants. The lower penetrance of disease in that population may be partly due to variability  
348 in population structure and differences in the p.Gln368Ter minor allele frequency (MAF).<sup>13</sup> Our  
349 studies using disease definitions based on image and IOP data provide a more accurate measure  
350 of p.Gln368Ter penetrance and show that the penetrance is higher than in prior reports.

351  
352 It must be noted, however, that even with the higher penetrance of glaucoma among  
353 p.Gln368Ter carriers reported here, our estimated penetrance of glaucoma is much lower than  
354 that reported in family-based studies. Prior studies have reported glaucoma penetrance ranging  
355 from 56-96% in various populations and increasing with age.<sup>11,12,28,29</sup> However, it is likely that  
356 these studies over-estimate the true penetrance of glaucoma in this population, as pedigrees are  
357 often ascertained from probands with POAG or OHTN, which potentially selects for high  
358 penetrance branches. Additionally, aggregation of common environmental and polygenic risk  
359 factors among families may lead to inflation of these estimates. Alternatively, population-based  
360 studies can underestimate true penetrance due to bias toward recruitment of healthy volunteers.  
361 Prior work has shown that the p.Gln368Ter minor allele frequency (MAF) in the entire UKBB  
362 dataset is 0.13%, which is similar to the MAF of 0.128% among our UKBB subset with fundus  
363 imaging. Additionally, the MAF in the UKBB is similar to reported values from exome  
364 sequencing databases in non-Finnish European individuals, suggesting no major ascertainment  
365 bias toward healthy individuals in our dataset.<sup>12</sup>

366  
367 The idea that underlying accumulation of common genetic risk variants can influence risk and  
368 penetrance of disease has previously been shown with rare mutations for other diseases, such as

369 breast and ovarian cancer<sup>30,31</sup> and early-onset myocardial infarction<sup>32</sup> including the p.Gln368Ter  
370 variant.<sup>18</sup> We similarly show here that penetrance of glaucoma among p.Gln368Ter carriers  
371 varies with underlying polygenic risk and that accumulation of these common genetic variants  
372 affect severity of disease and likelihood of diagnosis. We found similar to others that prevalence  
373 of DDG increased with each decile of PRS, and individuals with DDG were more likely to be  
374 have a PRS in the higher deciles of POAG risk. We further show, for the first time, that higher  
375 PRS may increase the likelihood of clinical diagnosis, possibly due to higher disease severity.  
376 This is evidenced by higher IOP and greater CDR in those with prior diagnosis. Additionally,  
377 PRS was an independent predictor of GCC and RNFL thickness, suggesting more severe disease  
378 (thinner GCC and RNFL) in those with higher PRS. Alternatively, it is possible that higher PRS  
379 may be linked to stronger family history of glaucoma which may have resulted in earlier and  
380 more frequent monitoring of these individuals thus leading to greater likelihood of clinical  
381 diagnosis. While, the population-based design of the UKBB precludes this analysis, the link  
382 between family history and higher PRS has been previously demonstrated in an Australian  
383 population.<sup>18</sup> Further investigation is necessary to understand the link between family history and  
384 PRS.

385  
386 Classically, the p.Gln368Ter variant has been associated with high IOP. The penetrance of  
387 OHTN in our study is similar to that reported by Han *et al.*<sup>12</sup> (24.3%) and slightly higher than the  
388 12.5% and 19.4% rates in the TwinsUK and the Rotterdam Study, respectively, likely due to  
389 differences in MAF in these populations.<sup>13</sup> IOP clearly plays an important role in development of  
390 disease in those with this stop-gained variant and other *MYOC* mutations. Indeed, we found that  
391 individuals with DDG had on average higher IOPs than those without structural evidence of  
392 disease. Additionally, IOP appears to be an important factor in diagnosis, with individuals with  
393 known glaucoma having higher IOPs compared to those with structural disease and no prior  
394 diagnosis. Despite this, the majority of individuals with DDG, even those with prior clinical  
395 diagnosis, had IOPs in the normal range, even after adjusting for IOP lowering medications.  
396 Together, these findings suggest that this *MYOC* mutation may increase susceptibility to optic  
397 nerve disease even with IOPs in the normal range. Indeed, prior studies have also found NTG to  
398 occur in patients with the p.Gln368Ter variant, albeit at lower rates. These prior studies<sup>16,28</sup> may  
399 have been biased towards examination of pedigrees who had already come to clinical attention  
400 partly due to high IOP. Our results suggest that NTG may not be as uncommon as previously  
401 thought in the p.Gln368Ter carrier population. Importantly, development of glaucoma in both the  
402 setting of normal and high IOP, at least in part, appears to be influenced by an individual's  
403 underlying polygenic risk. We found a higher PRS among those with evidence of DDG at  
404 multiple IOP levels, compared to those without glaucoma, though our sample size is likely too  
405 small to reach statistical significance.

406

407 Finally, we also found that the inferior RNFL and inferior aspect of the optic nerve is most  
408 susceptible to damage in individuals with the MYOC stop mutation with structural evidence of  
409 glaucoma. While prior family studies have assessed the clinical features of individuals with  
410 p.Gln368Ter related glaucoma,<sup>11,16,33</sup> there is no prior work that has examined glaucoma imaging  
411 features in this population. Further investigation may help elucidate clinical phenotypes  
412 associated with this mutation.

413

414 Our study is subject to a number of limitations. The p.Gln368Ter genotypes are based on  
415 imputed and not directly genotyped calls, which may have led to some incorrect identification of  
416 carriers. However, prior evidence shows that the p.Gln368Ter variant can be imputed with high  
417 accuracy.<sup>34</sup> We identified glaucoma cases using only disc photographs, as functional and other  
418 structural data (optic nerve OCT) were unavailable. Though the difference was small, our DDG  
419 group had slightly higher myopic refractive error compared to those without glaucoma. It is  
420 possible that the myopic appearance of the optic nerve may have led to false positive  
421 classifications of DDG. Alternatively, as myopia is a possible risk factor for glaucoma<sup>35</sup> this may  
422 simply represent greater underlying disease risk. Our use of two independent masked graders  
423 with good agreement mitigated grading problems to some degree. Additionally, as some FPs  
424 were ungradable and poor quality, it is possible that we are under- or overestimating the  
425 prevalence of disease due to nonrandom missing data. Additionally, we show good association  
426 between average GCC thickness and DDG. Approximately 20% of subjects without evidence of  
427 DDG had OHTN in our study. The lack of longitudinal data does not allow for determination of  
428 exposure time or rate of progression of these eyes to glaucomatous optic neuropathy.

429

430 Our study suggests that the MYOC p.Gln368Ter variant has a higher penetrance for glaucoma  
431 than previously thought among the general European population, and while IOP plays an  
432 important role in disease, normal tension glaucoma is not uncommon in this group. Importantly,  
433 we demonstrate that background polygenic risk influences disease penetrance and severity in this  
434 population. Clinically, our results have important implications by demonstrating that glaucoma  
435 occurs across a range of IOPs in this at-risk population and support the utility of PRS in  
436 optimizing risk stratification among patients carrying the p.Gln368Ter variant. Clinicians should  
437 be aware that polygenic risk scores may increasingly play a role in identification of higher risk  
438 individuals, clinical decision-making and guiding earlier treatment.

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442

443 **Figure Legends**444 **Figure 1.** Fundus photo example445 **Figure 2.** Distribution of cornea corrected intraocular pressure adjusted for medication use  
446 among individuals with and without disc defined glaucoma. Density plots show the distribution  
447 of numerical variables. The y-axis is the probability density function for the kernel density  
448 estimation and measures the probability per unit on the x-axis.449 **Figure 3.** Ganglion cell complex thickness distribution amongst eyes with and without disc  
450 defined glaucoma.451 **Figure 4.** Distribution of polygenic risk score for primary open angle glaucoma among  
452 individuals with and without disc defined glaucoma.453 **Figure 5.** Prevalence of disc defined glaucoma by polygenic risk score decile454 **Figure 6.** Percentage of subjects with and without disc defined glaucoma in each decile of  
455 polygenic risk score456 **Figure 7.** Primary open angle glaucoma polygenic risk score distribution among individuals with  
457 disc defined glaucoma with and without prior diagnosis458 **Figure 8.** Percentage of subjects with disc defined glaucoma with and without prior diagnosis in  
459 each decile of primary open angle glaucoma polygenic risk score460 **Figure 9.** Relationship between primary open angle glaucoma polygenic risk score and A)  
461 ganglion cell complex thickness and B) inferior retinal nerve fiber layer thickness among eyes  
462 with and without disc defined glaucoma. LOWESS (locally weighted scatterplot smoothing) is a  
463 non-parametric locally weighted method for scatterplot smoothing which models the  
464 interrelationship in data.465  
466 **Supplemental Figure 1.** Area under the receiver operating curve for glaucoma case detection for  
467 polygenic risk score (PRS) with and without addition of age and sex. PRS was calculated using  
468 two definitions of glaucoma cases: 1) ICD9/10 diagnosis code – red lines and 2) combination of  
469 ICD 9/10 diagnosis code and glaucoma self-report - black lines.470  
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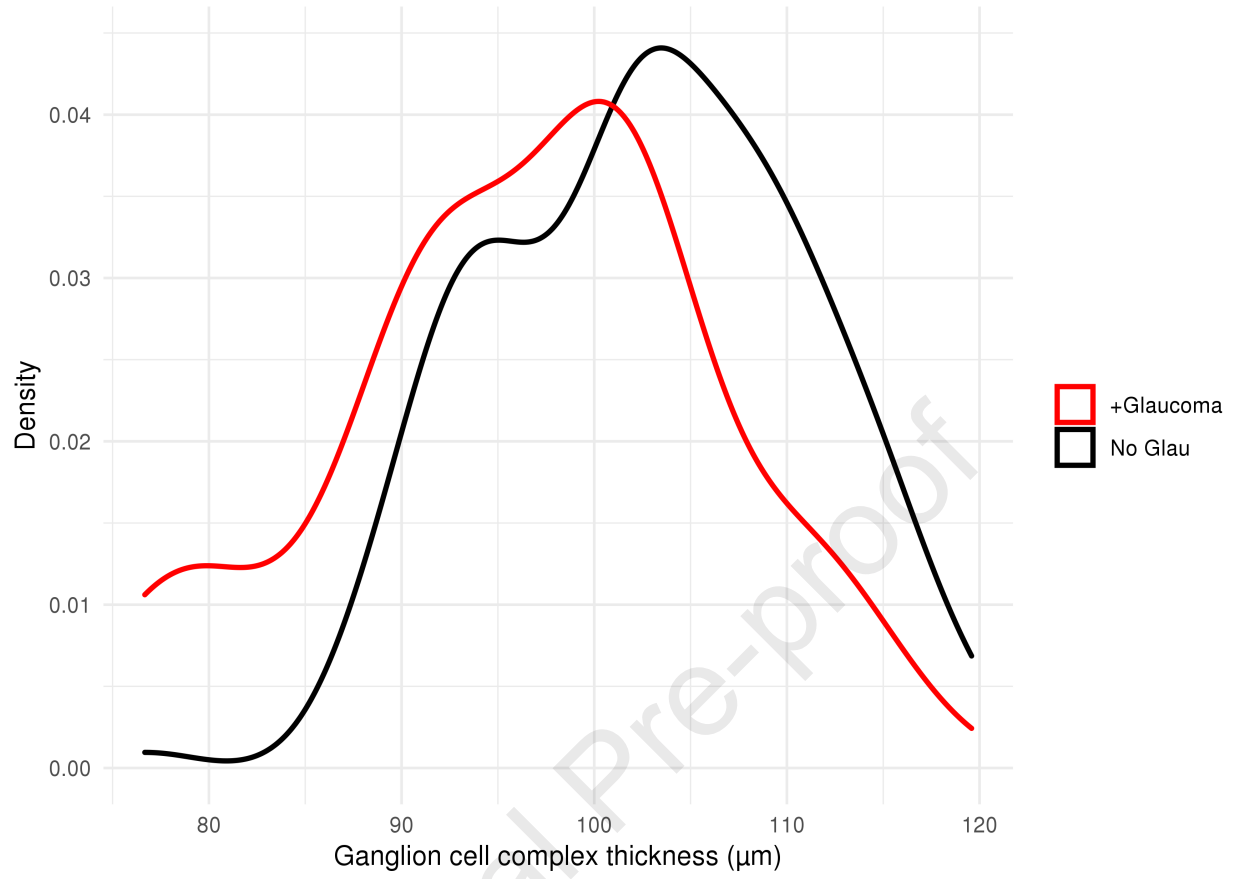
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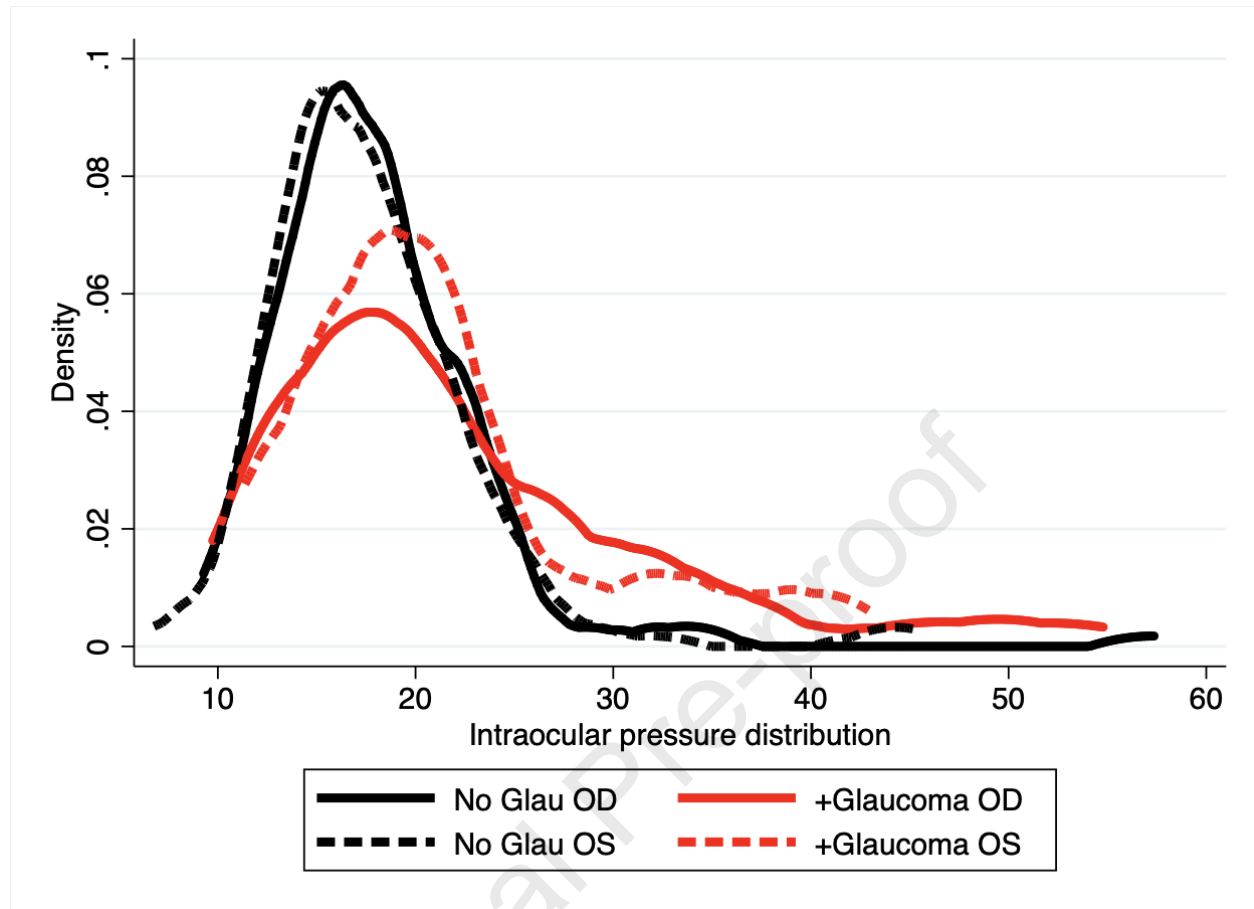


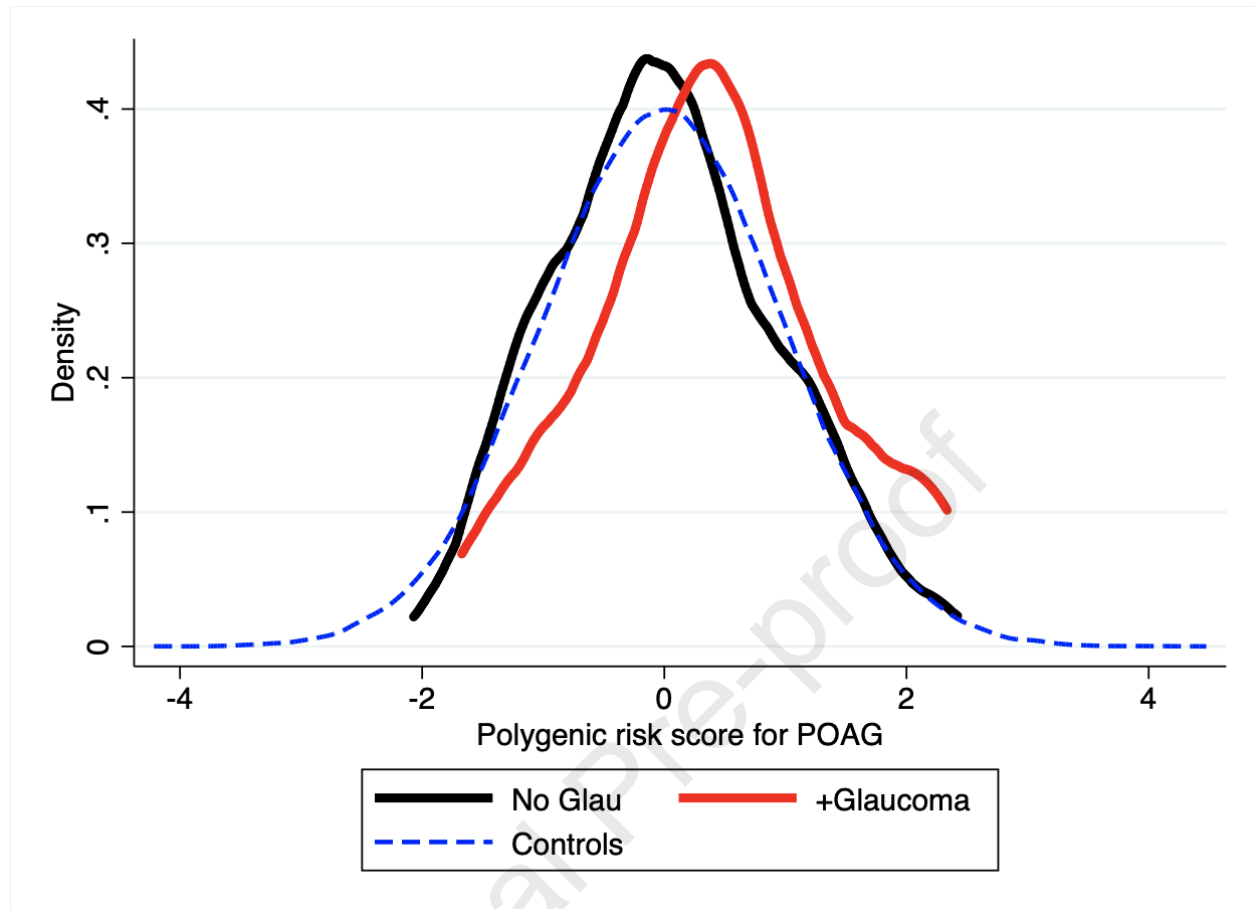
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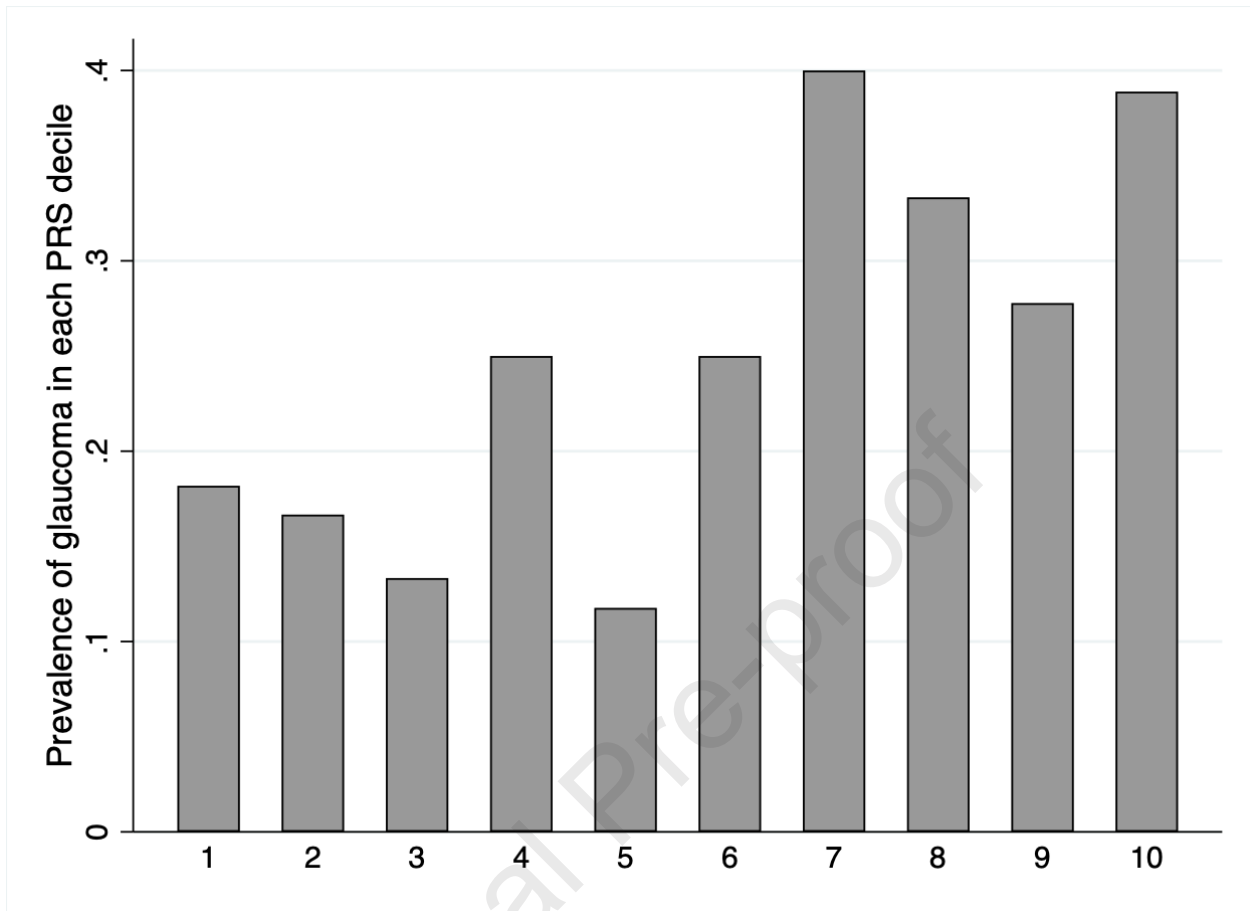
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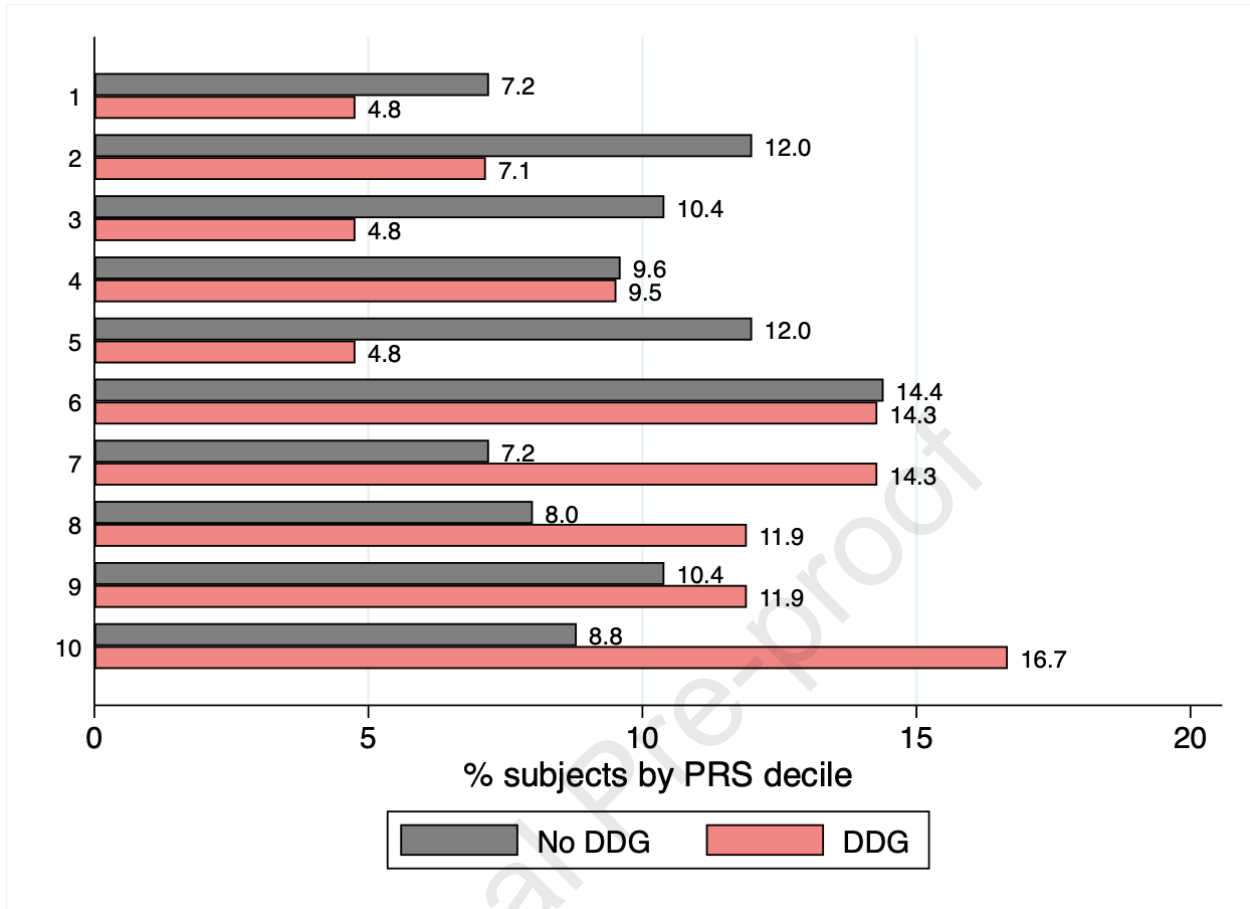


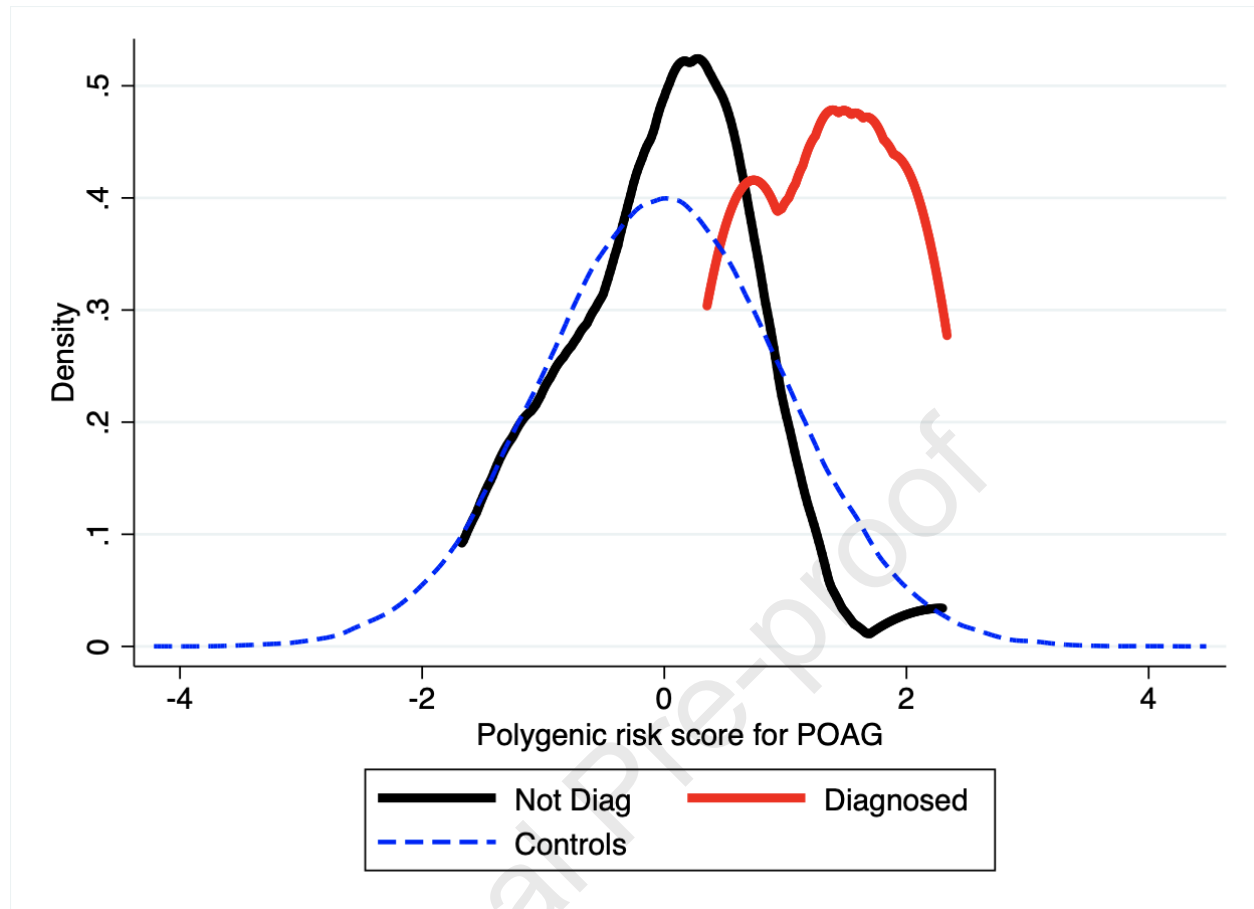




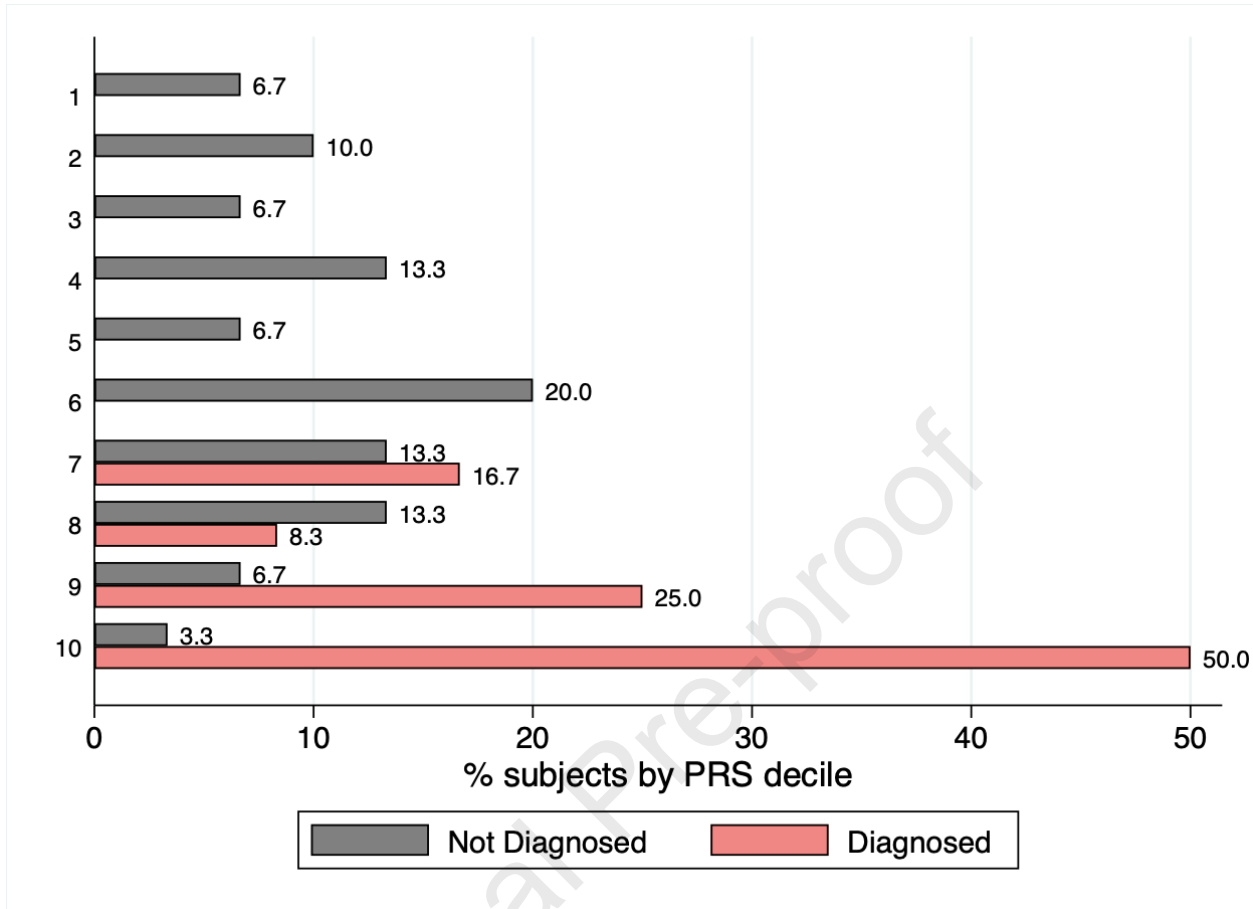


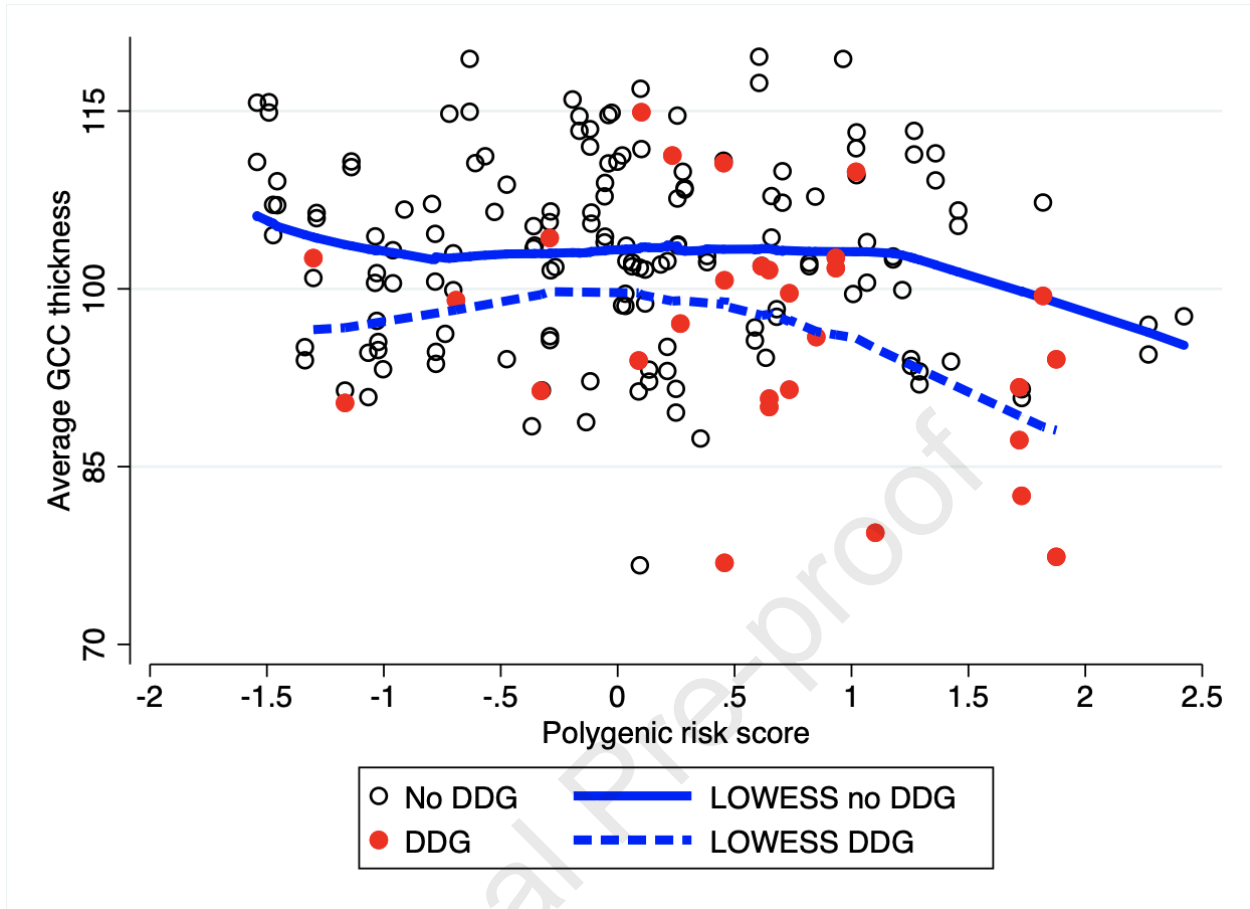


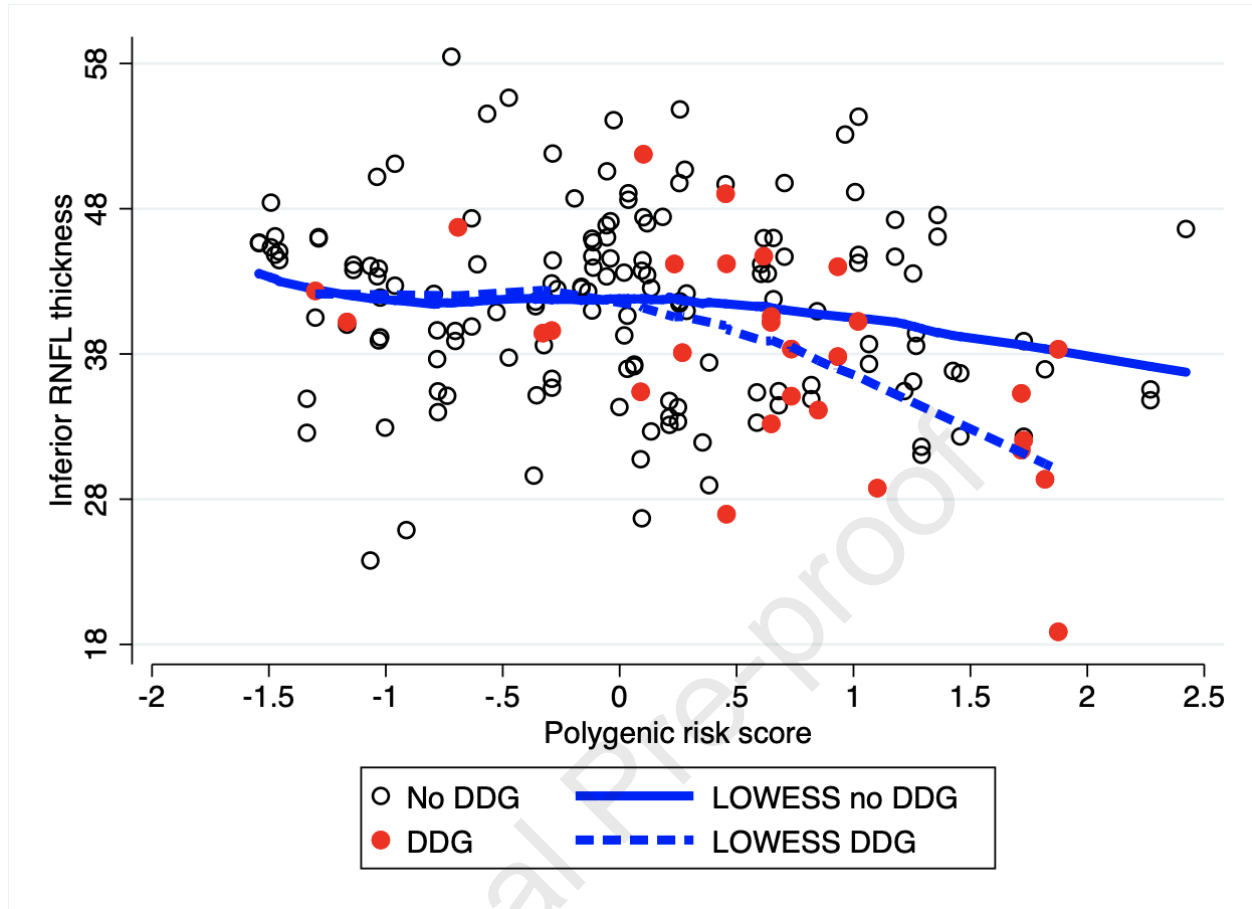












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**Precis:**

25% of individuals with p.Gln368Ter MYOC mutation have evidence of glaucoma on fundus imaging with 70% of cases undetected. A large portion of p.Gln368Ter carriers have IOP in the normal range. Background polygenic risk increases disease penetrance and severity.

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