

1 **Vector-borne protozoal infections of the CNS: cerebral** 2 **malaria, sleeping sickness and Chagas disease**

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40 **Bullet points**

- 41 • There is limited data on the accuracy of rapid diagnostic and polymerase
42 chain reaction-based tests for *P. falciparum* malaria.
- 43 • PfEMP1, expressed in infected erythrocytes, underpins therapeutic and
44 preventative advances in *P. falciparum* malaria
- 45 • Chagas disease is a substantial risk factor for cardioembolic stroke, the
46 prediction of which is facilitated by simple, point-of-care diagnostic tools
- 47 • Tools for acute human Human African Trypanosomiasis and sleeping
48 sickness diagnosis are evolving with the advent of polymerase chain reaction-
49 based tests as well as field-adapted sleep studies.
- 50 • The recent introduction of oral therapy for HAT will improve care, quality of
51 life, and contribute to eradication.

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65 ABSTRACT

66 *Purpose of the review:* Malaria, Chagas Disease and Human African

67 Trypanosomiasis are vector-borne protozoan illnesses, frequently associated with

68 neurological manifestations. Intriguing but ignored, limited mainly to resource-limited,

69 tropical settings, these disorders are now coming to light because of globalisation

70 and improved diagnosis and treatment. Enhanced understanding of these illness has

71 prompted this review. *Recent findings:* Methods of diagnosis currently transition from

72 blood smear examinations to immunological assays and molecular methods. Tools

73 to assess neurological involvement, such as magnetic resonance imaging, are now

74 increasingly available in regions and countries with high infection loads. Sleep and

75 other electrophysiological technologies (EEG, actigraphy) are also promising

76 diagnostic tools but requiring field-validation. Access to treatments was formerly

77 limited, even as limitations of agents used in the treatment are increasingly

78 recognised. Newer agents are now being developed and trialled encouraged by

79 improved understanding of the disorders' molecular underpinnings. *Summary:*

80 Prompt diagnosis and treatment are crucial in ensuring cure from the infections.

81 Attention should also be due to the development of globally applicable treatment

82 guidelines, the burden of neurological sequelae and elimination of the zoonoses from

83 currently endemic regions.

84 **Key words:** *Plasmodium*, *Trypanosoma*, Neurological manifestations, treatment

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89 **Introduction**

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91 Many disease-causing organisms are transmitted from animal to humans or from
92 humans to other humans. The diseases are termed vector-borne diseases and the
93 transmitting agents, vectors. The infecting organisms transmitted include viruses,
94 bacteria, protozoans and helminths. Here, we review infections by protozoan
95 pathogens. Each of these pathogens can infest a variety of organs, and the CNS is
96 frequently involved. This review focusses on neurological manifestations of
97 protozoan infections (Table 1).

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100 Vector-borne protozoan diseases are prevalent in tropical and subtropical
101 environments of many low- and middle-income countries. The 2019 Global Burden of
102 Diseases (GBD) cycle estimated 231 million malaria infections and 643,000 (350,000
103 in children) deaths due to malaria worldwide¹. Over 90% of the cases and most
104 deaths were from sub-Saharan Africa (Fig. 1). Human African Trypanosomiasis is
105 prevalent in at least 37 African countries but mostly in the Democratic Republic of
106 the Congo, Angola, Central African Republic, Chad, Congo, Gabon, Cameroon,
107 Guinea, Malawi and South Sudan. The GBD estimated 2020 new cases in 2019. In
108 comparison, it estimated 173,000 new cases and 9490 deaths due to Chagas
109 disease. These cases were mostly from Brazil, Bolivia, Venezuela, Colombia and
110 Paraguay. Two other vector-borne parasitic disorders, Leishmaniasis and Babesiosis
111 may involve the human nervous system. Neurological manifestations are, however,
112 unusual and we will not discuss them further.

113

114 In countries with a high prevalence of vector-borne diseases, the emphasis should
115 be as much on prevention and eradication as on case detection and treatment.
116 Prevention encompasses a variety of vector control measures depending on the
117 transmission characteristics of the pathogens and vector properties but also mainly
118 behavioural changes in human hosts. Apart from the resource-limited settings,
119 vector-borne protozoan diseases are relevant on a global scale on account of
120 frequent travel and immigration.

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123 **Malaria**

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126 Malaria is a human parasitic infestation by mainly two Plasmodium species, viz *P.*

127 *vivax* and *P. falciparum*. From the clinical standpoint, malaria can be either

128 uncomplicated or severe and complicated; the distinction between the two is based

129 on organ involvement. Severe malaria is mostly caused by *P. falciparum* but rarely,

130 also by *P. vivax* and co-infection is characterised by severe anaemia, impending or

131 overt respiratory failure or coma. It occurs when the parasitised red blood cells are

132 sequestered within the microcirculation, leading to release of pro-inflammatory

133 cytokines, endothelial damage and microvascular occlusion. Cerebral malaria, the

134 most severe form, typically presents with hyperpyrexia, coma, seizures and status

135 epilepticus, mostly in children, < 5 years of age². It is fatal in 20% cases and when

136 not, may lead to long-term severe neurological and cognitive sequelae.

137

138 Two pathophysiological derangements, each linked and complementary to the other

139 form the basis of the manifestations of cerebral malaria^{3,4}: (i) erythrocyte

140 sequestration and (ii) inflammation. Sequestration is the cytoadherence of

141 *Plasmodium*-infected erythrocytes to the endothelium of the cerebral
142 microvasculature. The *Plasmodium* surface membrane protein, PfEMP1, expressed in
143 infected erythrocytes is a key molecule in the process. Various endothelial receptors,
144 including intracellular adhesion molecule-1, vascular cell adhesion molecule, and
145 differentiation molecule-36) are also implicated. Recent experiments point to the
146 endothelial glycocalyx pathogenic role, which in health forms of a protective cover
147 over the endothelial cells. Hence, it prevents the binding of parasitised erythrocytes
148 to the endothelium⁵⁻⁷. Sequestration leads to vascular occlusion, venous
149 hypertension, cerebral oedema and hypoxia. It also promotes leukocyte recruitment
150 and activation of the vascular endothelium, leading to the release of inflammatory
151 cytokines and chemokines. The latter incite local inflammation, breakdown of the
152 blood-brain barrier and vascular leakage. Treatments targeting these processes
153 offer potential avenues for lessening the pathophysiological derangements in the
154 brain in cerebral malaria.

155

156 The diagnosis of cerebral malaria can be challenging. Case definitions and criteria
157 have been proposed and widely followed². An autopsy study from Malawi found that
158 seven of 31 children with clinically-established cerebral malaria died from unrelated
159 causes⁸. The findings of malaria-specific retinopathy, which is highly sensitive and
160 specific for complicated but not uncomplicated malaria, help bedside diagnosis^{9,10}.
161 Findings include retinal whitening, retinal haemorrhages with central white spots.
162 These, however, might be restricted to the periphery of the retina and require pupil
163 dilatation and expertise for recognition. The pathophysiology of retinopathy is similar
164 to that of cerebral malaria.

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166 The laboratory diagnosis of cerebral malaria hinges on the parasite's demonstration
167 in Giemsa-stained thin and thick smears of blood. The method is labour-intensive
168 and requires trained technicians. In many parts of the world, the blood smear is
169 replaced by rapid diagnostic tests by immunochromatography^{11,12}. The rapid tests
170 are based on reactions to *Plasmodium* antigens or enzymes, e.g., the histidine-rich
171 protein-2 for *P. falciparum* and lactic dehydrogenase for other species¹³. Real-time
172 polymerase chain reaction-based diagnosis is now increasingly available. It has high
173 sensitivity and specificity in comparison to conventional microscopy for falciparum
174 malaria and somewhat improved diagnostic accuracy in *P. vivax* infections, mixed
175 infections and in case of low levels of parasitaemia¹⁴.

176

177 Magnetic resonance imaging (MRI) characterises the cerebral derangements in
178 malaria but is sparingly accessible for clinical use in most malaria-endemic regions.
179 Very few reports of MRI findings in cerebral malaria are available¹⁵⁻¹⁷. These have
180 emphasised the presence of venous infarcts and cortical diffusion abnormalities in
181 severe illness¹⁵. Early in the illness, however, diffusion-weighted imaging with
182 apparent diffusion coefficient and perfusion maps point to the development of
183 oedema and venous congestion with patchy cytotoxic edema¹⁷. Most of these
184 changes reverse with treatment.

185

186 The World Health Organization (WHO) recently updated the guidelines for the
187 diagnosis and management of malaria. The mainstay of treatment is artemisinin-
188 based combination therapies. Cerebral malaria is best managed in intensive care,
189 emphasising the appropriate treatment of coma, prompt treatment of status
190 epilepticus and the prevention of neurological sequelae. The recent emergence of

191 artemisinin-resistance, particularly in Southeast Asia, is a challenge, and newer
192 agents are desirable^{18,19}. A spiroindolone, KAE609, which inhibits *Plasmodium*
193 plasma membrane protein, PfATP4 was effective and safe in a Phase 2 trial in
194 Thailand²⁰. It is now being trialled in a multi-country initiative. Seizures and status
195 epilepticus are managed according to existing guidelines. There is, however, no role
196 for prophylactic antiseizure medications²¹. Likewise, the routine use of mannitol or
197 dexamethasone provides no clinical benefit.

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202 **Chagas disease**

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204 The protozoan, *Trypanosoma cruzi* is transmitted to humans through the bite of
205 kissing bugs (*Triatoma*, *Panstrongylus*, *Rhodnius*) and causes Chagas disease²².
206 Infection initially comprises an acute stage with local (at the site of insect bite) and
207 systemic symptoms such a fever and malaise but is not uncommonly asymptomatic.
208 This acute phase is followed mostly a dormant stage, eventually leading in many
209 people to overt chronic disease. Major manifestations in the chronic stage are in the
210 cardiac and digestive systems. Chronic heart failure, arrhythmias and cardiac
211 conduction defects, and dysphagia symptoms resulting from oesophageal dilatation
212 and constipation from colonic involvement are most common. Chagasic
213 cardiomyopathy develops in nearly a third of those with the chronic stage of
214 infection. Neurological involvement might occur in up to a tenth of people and is
215 usually in the form of stroke or a mild sensory polyneuropathy.

216

217 The association between ischaemic stroke and Chagas disease is now well
218 established²³⁻²⁵. In endemic regions, Chagas disease is a significant risk factor for
219 ischaemic stroke, and the underlying mechanism is mainly cardioembolic. For
220 instance, in endemic parts of Colombia and the Brazilian state of Bahia, nearly a
221 quarter of all ischaemic strokes attending hospitals may be attributable to Chagas
222 disease^{26,27}. The strokes usually occur in the fifth or sixth decade, often in people
223 without significant vascular risk factors and multiple previous cerebral infarctions²⁵.
224 In the United States, Chagas disease is considered a risk factor in presumed
225 cardioembolic stroke among travellers and migrants from endemic countries.

226

227 A risk prediction tool for the occurrence of stroke has been developed in Brazil but
228 needs further validation²⁸. Four predictors in this score are age>48 years, ST-
229 segment changes on the ECG, and evidence of systolic heart failure with a left
230 ventricular apical aneurysm on the echocardiogram. Over a third of people with
231 Chagasic strokes also have atrial fibrillation²⁹. As the development of Chagasic
232 cardiomyopathy is the primary mechanism underlying stroke, identifying early or
233 impending cardiac involvement by the use of biomarkers has been investigated. One
234 such biomarker, plasma microRNA-208a is useful. Magnetic resonance imaging is a
235 valuable tool to assess myocardial function and fibrosis in particular³⁰.

236

237 Atrophy of the brain may occur in chronic Chagas disease³¹. Presumably, this is
238 secondary to cardiovascular disease. The possibility of primary brain involvement by
239 the parasite, however, cannot be excluded. Whether Chagas disease is an
240 independent risk factor for vascular dementia has not been adequately assessed.

241 Ostensibly, it may be because multiple and micro- embolisations are frequent in
242 Chagasic individuals.

243

244 The mainstay of primary and secondary prevention of stroke associated with Chagas
245 disease is anticoagulation. Conventional agents are widely used, and there is
246 anecdotal evidence for the use of newer oral anticoagulants^{32,33}. However, no Class I
247 or Class II studies exist to support the administration of the newer agents.

248

249 Benefits of administering antiparasitic agents are clear in people with acute and early
250 stages of the disease with high cure rates. Treatment of pregnant women prevents
251 congenital infection in offspring. The benefits of antiparasitic treatment in people with
252 established cardiomyopathy are less certain. A meta-analysis found only marginal
253 benefits of treating individuals with established cardiomyopathy³⁴. Two drugs are
254 used for antiparasitic treatment: benznidazole and nifurtimox. Both have issues as
255 they frequently lead to side-effects. These are mainly skin rashes and peripheral
256 neuropathies in the case of benznidazole and gastrointestinal side-effects with
257 nifurtimox. Benznidazole is preferred on account of lesser side-effects and ease of
258 availability in Latin America. The recommended duration of treatment varies but
259 should be at least 30-60 days. Curiously, the reactivation of Chaga's disease after
260 autologous bone marrow transplant has been reported³⁵.

261

262 **Human African Trypanosomiasis**

263

264 *The Trypanosoma* genus parasite causes Human African trypanosomiasis (HAT) or
265 sleeping sickness. It is transmitted to humans through bites of the tsetse fly
266 (*Glossina* genus), putting 70 million people in sub-Saharan Africa at risk of the
267 infection³⁶⁻³⁸. HAT is fatal if not treated and affects several thousand people every
268 year¹, many of whom lack access to diagnostic and treatment facilities³⁹⁻⁴¹. Together
269 with generally weak national control programmes, this situation constitutes a limiting
270 factor in HAT elimination efforts⁴¹⁻⁴³.

271

272 HAT presents in two forms each caused by a specific species of the parasite. The
273 first is *T. brucei gambiense* which causes the more prevalent and chronic (lasting
274 several months to years) West and Central African form, constitutes 95-97% of all
275 cases. The second, *Trypanosoma brucei rhodesiense* which causes the acute (a few
276 weeks) East African form constitutes 3-5% of the cases⁴⁴⁻⁴⁶. *Trypanosoma brucei*
277 *rhodesiense*, although globally less prevalent, still carries epidemiological
278 importance. It is responsible for about two-thirds of HAT cases amongst returning
279 tourists who have visited countries in East Africa^{47,48}. Each clinical form of HAT
280 evolves in two main stages: the hemo-lymphatic, referred to as stage 1 and
281 meningoencephalitis, generally known as stage 2 disease, corresponding to the
282 condition phases resulting from the crossing of the blood-brain-barrier (BBB) by the
283 parasites to invade brain parenchyma. Cardiac involvement in the form of pericarditis
284 with or without myocarditis might also occur in *T. brucei rhodesiense* infection and
285 can be a severe condition⁴⁹.

286

287 HAT's pathophysiology has not been well understood until recently but seems
288 dependant on activity on either side of the BBB. It has now been suggested that
289 pericytes, embedded within the endothelial cell-derived basement membrane of the
290 blood-brain barrier, play an essential role in controlling endothelial transcytosis and
291 tight junction opening. Trypanosomes from the blood pass into the brain through the
292 outer parenchymal basement membrane depending on immune response molecules
293 induced by the infection⁵⁰. The exact molecular mechanisms of parasite
294 neuroinvasion are still to be understood. Contrast-enhanced MRI studies in animal
295 models, however, suggest a progressive deterioration of BBB function starting soon
296 after infection, which is mitigated by curative treatment. Neuroinvasion appears to
297 depend on the degree of neuroinflammation resulting from the delicate balance of
298 pro-inflammatory and anti-inflammatory mediators. Still, in animal models of HAT, it
299 has been shown that pro-inflammatory mediators such as tumour necrosis factor
300 (TNF)- α , interferon (IFN)- γ , and CXCL10 play an essential role in parasite CNS
301 invasion. Conversely, the administration of interleukin (IL)-10, an anti-inflammatory
302 molecule, has been shown to reduce the CNS parasite load and lessen the severity
303 of the neuroinflammatory response symptoms⁴⁰. The neuroinflammatory reaction
304 characterising stage 2 disease occurs in the choroid plexus, the circumventricular
305 organs and the parenchymal vasculature. It involves astrocyte activation,
306 inflammatory cell infiltration (macrophages, T cells, B cells, plasma cells, Mott cells).
307
308 Clinically, stage 1 disease features are generally non-specific and include headache,
309 intermittent fever, arthralgia, and lassitude rendering the distinction from malaria
310 rather difficult as both can be co-morbid. Later, individuals may develop
311 lymphadenopathy, hepatomegaly, splenomegaly, pericarditis, haemolytic anaemia,

312 pruritus, eye involvement and endocrine disorders as the process of systemic
313 inflammation progresses⁵¹. The clinical presentation in infected persons from non-
314 endemic regions is usually characterised by fever and gastrointestinal symptoms
315 (diarrhoea and jaundice) without lymphadenopathy⁵².

316

317 The clinical hallmark of stage 2 HAT is the appearance of characteristic sleep
318 disturbances, usually associated with other neurological and psychiatric
319 manifestations. The sleep disorder in HAT consists of daytime sleepiness and
320 nocturnal insomnia - the characterisation of the diurnal hypersomnolence and
321 nocturnal sleep fragmentation, which gives the disease its name," sleeping sickness"
322 is now well established. The transition from stage 1 to stage 2 disease is generally
323 insidious. Early-stage 2 symptoms may include irritability, lethargy, psychiatric, and
324 behavioural disturbances. Later, pyramidal and extrapyramidal syndromes,
325 headaches, speech disorders, cerebellar dysfunction, myelopathy, peripheral nerve
326 disease and eye involvement may be seen. Progression to impairment of
327 consciousness, incontinence, seizures, and eventually death occurs in most
328 untreated individuals⁴⁴.

329

330 Reports of asymptomatic carriers of HAT exist. Some evidence suggests that
331 parasite evades the host immune system by switching its variable surface
332 glycoprotein surface coat. It may further develop serum resistance-associated
333 protein (SRA) and *T. b. gambiense*-specific glycoprotein (TgsGP) production to fight
334 host defence molecules⁴⁵.

335

336 The diagnosis of HAT is confirmed by the finding of the parasite on a thin or thick
337 peripheral blood smear for *T. b. rhodesiense* disease (high blood parasite levels) and
338 less so for *T. b. gambiense*, which only intermittently exhibits high parasite levels⁴⁵.
339 The Card Agglutination Test for Trypanosomiasis (CATT) is generally used for
340 disease screening, especially in endemic areas, but it has the drawback of false
341 positives in regions of low endemicity. Polymerase Chain Reaction (PCR) techniques
342 have shown some field challenges while the Rapid Diagnostic Tests (RDTs) under
343 development may improve the serologic diagnosis. According to WHO criteria, the
344 diagnosis of stage 2 disease involves finding the parasite in cerebrospinal fluid or
345 more than 5 WBCs/ml or both. On a cautionary note, the first criterion is not
346 sensitive, and the second is arbitrary ^{42,46}.

347

348 Whilst the search for more reliable biological markers is ongoing, novel diagnostic
349 modalities have evolved and are more adapted to field use and are non-invasive.
350 Polysomnography studies have led to the description of a characteristic sleep pattern
351 in HAT associated with sleep onset eye movement periods (SOREMPs). Still, again,
352 this technique is not practicable in field conditions^{42,46}. Actigraphy has recently been
353 suggested as a pragmatic non-invasive tool for diagnosis, staging, and disease
354 monitoring, especially with the development of an actigraphy sleep score^{53,54}. This
355 technique still needs validation in more extensive studies.

356

357 The treatment of HAT is summarised in Table 2. Untreated, HAT is fatal. There is an
358 excellent response to therapy during stage 1 disease. In stage 2 disease,
359 Melarsoprol is used but poses serious toxicity challenges. Post-treatment follow-up is
360 necessary for up to 24 months to rule out relapse. The WHO recently provided

361 interim guidelines based on evidence-based recommendations^{55,56}. A new oral drug,
362 fexinidazole has advantages of out-patient administration and utility in both disease
363 stages in HAT- *gambiense*⁵⁷. Clinical trials of Fexinidazole are planned for
364 *rhodesiense* HAT; however, a small qualitative study of expectations concerning the
365 new therapeutic approach suggests that serious consideration be given to
366 counselling and monitoring efforts⁵⁸. New therapies such as acoziborole, obtained
367 through non-profit Product Development Partnerships, have also shown promising
368 clinical trial results in the cure of both stages of the disease in a single oral dose⁵⁹.
369 Overall, this new approach could improve individual retention in care with better
370 chances of disease eradication.

371

372 **Conclusion**

373 Recent developments in understanding cerebral malaria, Chagas disease and
374 African human trypanosomiasis have opened new directions with prospects for
375 better therapies and preventative approaches. The *Plasmodium* surface membrane
376 protein, PfEMP1, expressed in infected erythrocytes is a good case in point. It is
377 driving the development of newer treatments and vaccines currently being trialled or
378 in transition. The emphasis of clinical research is on field testing of rapid diagnostic
379 and polymerase chain reaction-based tests and the development of diagnostic,
380 therapeutic protocols and risk prediction tools to enable early diagnosis, treatment
381 and certain cure.

382

383 **Acknowledgements:** We would like to thank Naveen Bhatia for assistance in
384 writing. JWS is based at UCLH/UCL Comprehensive Biomedical Research Centre,
385 which receives a proportion of funding from the UK Department of Health's NIHR
386 Biomedical Research Centers funding scheme. He receives support from the Dr.
387 Marvin Weil Epilepsy Research Fund, the Christelijke Vereniging voor de Verpleging
388 van Lijdersaan Epilepsie, Netherlands, and UK Epilepsy Society.

389

390 **Financial support and sponsorship:** This review had no funding or sponsorship.

391

392 **Conflict of Interest:** The three authors have no conflict of interest concerning this
393 review.

394

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555 LEGEND TO FIGURE

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557 Fig. 1. Global distribution of age standardised disability-adjusted life years

558 (DALYs)/100,000 population, both sexes combined due to malaria, Chagas disease

559 and human African trypanosomiasis*.

560 Foot note: Data adapted from Ref. 1; For malaria: High level > 768.2 DALYs/100,000

561 population, Medium = 77.3 – 768.2 DALYs/100,000 population and Low < 77.3

562 DALYs/100,000 population; For Chagas disease: High level > 45.9 DALYs/100,000

563 population, Medium = 12.5 – 45.9 DALYs/100,000 population and Low < 12.5

564 DALYs/100,000 population; For human African trypanosomiasis: High level > 29.9

565 DALYs/100,000 population, Medium = 3.5 – 29.8 DALYs/100,000 population and

566 Low < 3.5 DALYs/100,000 population.