Operando Bragg Coherent Diffraction Imaging of $LiNi_{0.8}Mn_{0.1}Co_{0.1}O_2$ Primary Particles within Commercially Printed NMC811 Electrode Sheets

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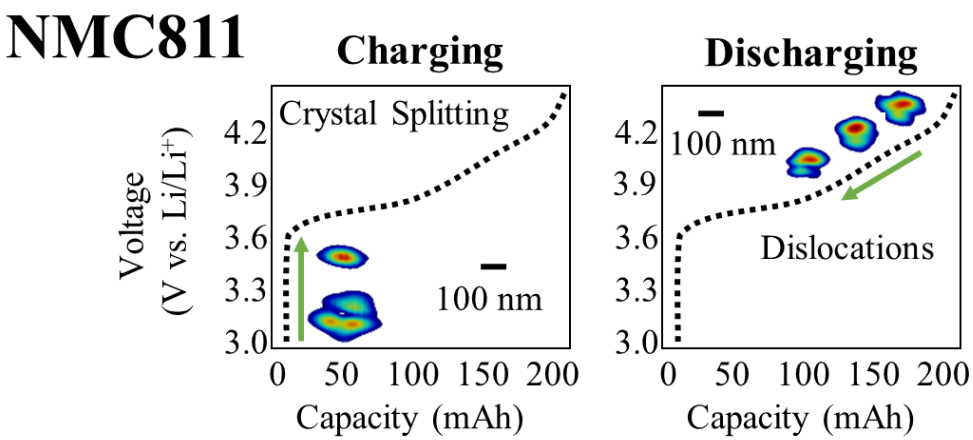
Table of Content (ToC) Figure



Abstract. Due to complex degradation mechanisms, disparities between the theoretical and practical capacities of lithium-ion battery cathode materials persist. Specifically, Ni-rich chemistries such as $LiNi_{0.8}Mn_{0.1}Co_{0.1}O_2$ (or NMC811) are one of the most promising choices for automotive applications, however they continue to suffer severe degradation during operation that is poorly understood thus challenging to mitigate. Here we use *operando* Bragg coherent diffraction imaging (BCDI) for 4D analysis of these mechanisms by inspecting the individual crystals within primary particles at various states of charge (SoC). Although some crystals were relatively homogeneous, we consistently observed non-uniform distributions of inter- and intra-crystal strain at all measured SoC. Pristine structures may already possess heterogeneities capable of triggering crystal splitting and subsequently particle cracking. During low-voltage charging (2.7 – 3.5 V) crystal splitting may still occur even during minimal bulk de-intercalation activity; and during discharging, rotational effects within parallel domains appear to be the precursor for the nucleation of screw dislocations at the crystal core. Ultimately, this discovery of the central role of crystal grain splitting in the charge/discharge dynamics may have ramifications across length scales that affect macroscopic performance loss during real-world battery operation.

Keywords.

Li-ion; x-ray; synchrotron; CDI; NMC811; o*perando*; electric vehicle.

One of the most promising families of cathode materials for automotive batteries are lithiated transition metal layered-oxides of the form $Li(Ni_xMn_yCo_{1-x-y})O_2$ (or NMC). They have favorable capacities (>200 mAh g^{-1}) [1,2] compared with other intercalation compounds [3] and, if Ni-rich, also offer enhanced rate capabilities and a reduced Co fraction, which has positive environmental, ethical and cost implications.[1] However, it has been well documented that decreased structural and thermal stability accompanies this increased Ni content.[4,5] NMC811, for example, has a theoretical capacity of 278 mAh g^{-1}, however the practical capacity is often significantly lower (~200 mAh g^{-1} at 4.3 V *vs.* Li/Li^+).[6] Higher practical capacities may be achieved by increasing the upper cut-off voltage during operation, *e.g.* from 4.3 to 4.5 V *vs.* Li/Li^+, but at a cost of accelerated degradation and instability resulting in capacity fade, poor cycle life, and safety issues.[7-9]

Battery electrodes are complex, composite materials with morphological characteristics spanning the nanometer to micrometer length scale. Commercial NMC811 materials consist of printed electrode sheets constituting many millions of secondary particles, each *ca.* 3 – 30 μm in diameter. The secondary particles are constructed as agglomerates of much smaller primary particles, on the scale of *ca.* 100 nm – 1 μm, while the primary particles are thought to contain very few domains or even be single crystals.[10] The recent development and proliferation of X-ray tomography tools has provided insight into the real microstructure of battery materials, revealing their hierarchical structure in 3D;[11,12] these can be extended into the fourth dimension to evaluate the changes in microstructure with time, and in response to a range of external stimuli.[13] At each discrete length scale there is a growing body of data, but the resolution limits of conventional (full-field) X-ray imaging techniques have limited our understanding at the nanoscale. Meanwhile, transmission electron microscopy (TEM) studies provide near atomic

resolution, but must employ *in Situ* cells which depart from real cell architectures and operating conditions, as well as the electrolytes and materials being susceptible to beam damage during imaging.[14] Moreover, the acquisition and reconstruction of 3D data can prove difficult in TEM, especially for *operando* battery studies.

Bragg coherent diffraction imaging (BCDI) is an X-ray imaging technique which allows the 3D strain within individual crystals to be mapped at the atomic scale.[15,16] The use of hard X-rays allows sufficient penetration inside a working battery environment to examine the components under *operando* conditions. The 3D structure of the object in real space is reconstructed from the measured coherent diffraction pattern using iterative phase retrieval algorithms.[17,18] Coherent X-ray beams are required to produce the interference fringes from the crystal grains, which are available at state-of-the-art synchrotron X-ray sources such as the Diamond Light Source used in this work. The reconstructed object consists of the Bragg electron density, which shows the crystal morphology, and the phase, which corresponds to the projection of the lattice distortions within the crystal onto the direction of the measured Bragg peak.[15] The Bragg Density is the amplitude of the image measured at a particular Bragg peak. Its value informs us how strongly that part of the sample contributes to the Bragg peak. The value can drop if the sample region becomes disordered or if it changes orientation to no-longer satisfy the Bragg condition. BCDI has a spatial resolution of 10 – 20 nm, however, the strain sensitivity is at the picometer level, in the sense that a phase shift of 2π corresponds to a displacement equivalent to one lattice spacing of the (h k l) plane for a chosen Bragg peak.

Previous BCDI studies on battery materials by Ulvestad *et al.* showed the formation of dislocations in individual $LiNi_{0.5}Mn_{1.5}O_4$ (LNMO) [19] and Li-rich layered oxide (LRLO), $Li_{1.2}Ni_{0.13}Mn_{0.53}Co_{0.13}O_2$,[20] nanoparticles under *operando* conditions using a kapton-windowed

coin cell. These dislocations facilitated phase transformation in the LNMO and contributed to voltage fade in the LRLO material during charging. However, housing air-sensitive materials within assemblies that employ windows (rather than being entirely sealed like a commercial cylindrical or pouch cell) can be highly challenging;[21] for instance, NMC811 is particularly susceptible to reactions with moisture and CO_2 in the air, and thus the authors concluded that the kapton-windowed coin cells employed in pervious *operando* BCDI experiments would not be suitable for studying NMC chemistries with high Ni-content without inducing significant degradation in the materials.[22]

Although high-resolution secondary particle studies (on the scale of 5-30 μm) have produced significant advancements in our understanding of the complex stress distributions responsible for secondary particle deformation and damage,[23-27] investigations into the structures of NMC beyond the scale of secondary particles (*i.e.*, primary particles on the sub-micron scale) are very few, and often require *ex Situ* 2D methods.[28] There thus remains a fundamental lack of understanding of how the morphology and crystallographic strain of the primary particles, and individual crystals, within NMC change during cycling and how this affects the electrochemical performance of the material. Improved insight into the morphological changes at the primary particle level within commercial NMC materials is vital.

This work, recording the 3D structural changes in individual crystals by BCDI during *operando* charging and discharging cycles, extends our knowledge of NMC811 degradation at resolutions beyond secondary particles by mapping the structural dynamics of individual crystals. The mechanisms that result in crystal domain distortion, splitting and shrinkage may have performance ramifications up to the cell-level, and thus greatly improve our understanding of these complex materials. Moreover, the experimental procedure outlined here for conducting 4D BCDI

investigations into electrochemically operational commercial cathodes, is one that is not limited to NMC but may be extended to explore other promising next-generation materials.

Results

Pristine NMC811 crystals

To develop a comprehensive understanding of the particle dynamics within operating NMC811 several crystals have been examined in this work and have they have been numbered accordingly, *e.g.*, Crystal I, II, III, *etc.* Figure 1 displays the central diffraction patterns of Crystal I and II from the pristine NMC811 material that was examined *ex Situ* (further images of NMC811 powder, the cell housing and pristine reconstructions can be found in the Supporting Information: Figures S1 – S3). The relatively high degree of symmetry observed within these patterns signifies minimal strain (where displacement is near 0 Å or very small) within the crystals compared to the crystals reconstructed during the *operando* experiments (where displacement is notably greater than 0 Å *e.g.*, over ±2.3 Å); this will be discussed within the subsequent sections.

Figure 1 also shows the reconstructed Bragg density and displacement slices taken near the center of the crystals imaged with the (003) reflection. Although the diffraction patterns display high symmetry, both of the crystals show a single center split into two lobes in the Bragg density slice. Positive values in the displacement slice represent displacements from the ideal crystal lattice along the direction of the Q-vector, (003) in this case; conversely, negative values are along the direction opposite to that of the Q-vector. These reconstructions suggest non-uniform inter-crystal strain distributions. However, even at this early stage of cell life, there is also evidence of heterogeneous intra-crystal strain distribution. The crystals have both positive and negative displaced regions in the core and on the surface, showing that the crystals are under shear strain, which could possibly lead to the splitting behavior. It is well-reported that the lithiation and delithiation processes cause various, and often non-linear, expansion and contraction of the lattice

constants,[29] so the strain on the two crystals may be due to inhomogeneous de/lithiation of the crystals during the diagnostic/formation cycle.

NMC811 crystals during charging

It is well-documented that at low voltages, where the layered NMC structure is highly lithiated, minimal charge transfer occurs between the electrodes because Li^+ mobility is low, *i.e.*, negligible amounts of Li^+ ions leave the NMC structure and travel to the counter electrode (Li metal in this case), thus producing a differential charge profile with a gradient close to zero.[30-32] Consequently, up to a cell potential of *ca.* 3.6 V (*vs.* Li/Li^+), the average primary particle should undergo minimal change apart from an increase in the local electrochemical potential. However, this assumes both the inter- and intra-particle state of charge are homogeneous.

We measured the diffraction from Crystal III during a charging step in a horizontal diffraction geometry (see Methods for set-up and Figure S4 for the electrochemistry). If its state of charge were higher than the bulk material, *i.e.*, it had begun de-lithiating, the Bragg angle should shift between the 2.7 and 3.5 V acquisitions. Figure 2 displays the central diffraction patterns of Crystal III at 2.7 V and 3.5 V, respectively. During charging, the center of mass (COM) of the diffraction pattern moved upwards along the powder ring by 70 pixels, as listed in Table S1 (also see Table S2 and the accompanying explanation within the Supporting Information). This movement along the powder ring can be attributed to the rotation of the crystal around the Bragg condition by 0.0745°. However, there was no apparent shift in the Bragg angle of the diffraction pattern, signifying that the average d_{003} spacing of Crystal III did not change during charging to 3.5 V, as might have been expected. Figure 2 also shows the intensity profiles and their Gaussian fit of Crystal III upon charging, where the intensity increased by a factor of 2. Notably, there is a

0.0963° peak shift in the negative 2-theta direction, as listed in Table 1, and a corresponding small change in its position on the detector. This can be attributed to compound angular movements within the secondary particle agglomerate.

Although the Bragg angle of the reconstructed crystal does not change between 2.7 and 3.5 V, the crystal volume reduces substantially. Figure 2 also displays the BCDI reconstructed images of Crystal III at 2.7 V and 3.5 V. The Bragg density slice at 2.7 V shows that the crystal is split into two centers or domains, with a low-density gap in between, and the bottom domain starting to form another center. The characteristic phase pattern that can be observed from the contour view and displacement slice at 2.7 V is due to the presence of a mixture of screw and edge dislocations on the top domain of Crystal III.[33] Locally around the dislocation (within 100 nm) a gradient in the displacement slice can be observed with peaks of *ca.* ±2.38 Å. As mentioned, the crystal morphology changed significantly after charging to 3.5 V; the reconstructed images show that the crystal is smaller, with the Bragg density slice showing one center. There are two possible reasons for the change in crystal size: the part of the crystal (the domain) that is no longer visible either underwent excessive de-lithiation (relative to the bulk) or reoriented and no longer satisfies the Bragg condition for this set-up. An inhomogeneous distribution of Li^+ within the layered NMC structure could cause a significant shift in the lattice parameter, thus inducing a change in the reflected Bragg angle (See Supporting Information for a more detailed reasoning). This would, however, have required a substantial disparity between the crystal's local state-of-charge (SoC), and the SoC of the bulk material. The other possibility is that a reorientation (without resizing) of the part of the crystal that broke away now reflects at a different point on the Debye-Scherrer ring, thus a change in the reflected azimuthal position.

In conclusion, Crystal III was structurally dynamic during a cell potential window (2.7 – 3.5 V) that is widely reported to contain minimal charge transfer.[2,30,31] Furthermore, there was no observable shift in the Bragg angle at 3.7 V, confirming that the crystal volume that remained after charging had undergone minimal de-lithiation. There was, however, a region of the crystal that was lost from the observable Bragg orientation, and therefore not reconstructed, that is thought to have split from the volume observed at 2.7 V due to a dislocation. Hence, we have observed crystal splitting during a part of the charge profile associated with negligible de-intercalation of Li^+ from the layered NMC structure at the bulk scale.

NMC811 crystals during discharging

Figure 3 displays the central diffraction patterns from Crystal IV during discharging from 4.1 V, to 4.0 V, and finally to 3.9 V (see Figure S4 for electrochemistry). Crystal V which was situated in the near vicinity of Crystal IV, was also examined and can be found in the Supporting Information. The intensity of the diffraction patterns decreased as the crystals were discharged, *i.e.*, as the layered NMC structure was lithiated. Reductions in the (003) reflection can be an indication of cation mixing when compared as a ratio to other peaks such as the (104) peak, however, they may also simply signify a reduction in the degree of ordering or increased displacement within the crystal, *e.g.*, intra-particle heterogeneities. Due to limitations of BCDI at I-13, only the (003) reflection could be examined, consequently, assessing the peak ratio was impossible. Nonetheless, as with the previous Crystals I – III, the Bragg density and displacement could be mapped.

As was seen in the Crystal III central diffraction patterns, the COM of the Crystal IV diffraction patterns also moved up around the powder ring during discharge showing the rotation

of the crystals around the Bragg condition. However, unlike Crystal III, the whole intensity profile of Crystal IV shifted to a more positive 2-theta angle by around 9 – 10 pixels, indicating a very small shift in the d_{003} spacing. Therefore, unlike Crystal III, which is thought not to have undergone de-intercalation during the charging from 2.7 – 3.5 V, the data suggest that Crystal IV *has* undergone Li^+ intercalation during discharge from 4.1 – 3.9 V. This is corroborated by the well-reported differential capacity profiles for bulk NMC811 during charge/discharge cycling.[30-32] Next, we explore the structural dynamics during this discharge process that may be responsible for the loss in Bragg reflection intensity.

Figure 4 shows the reconstructed images of Crystal IV. The Bragg density slice shows a clear boundary dividing the crystal, suggesting it is split into two parallel domains. During discharge, there is one domain that becomes split in two near the center of the crystal, while the two domains at the left edge of the crystal become joined. Further values on Crystal IV can be found in the Supporting Information (Table S3). The Bragg density slice near the center of the crystal indicates a domain on the order of 200-300 nm in diameter, whereas the Bragg density slice taken from near the dislocation displays two domains each *ca.* 150 nm in diameter. The displacement field shows positive and negative displaced regions at 4.1 V. When the crystal was discharged from 4.1 to 4.0 V, the positive displacement in the crystal increased while the negative counterpart decreased. This displacement pattern and the Bragg density slices signify that the two domains of the crystal are rotating slightly with respect to each other due to the discharging action, *i.e.*, the Li^+ intercalation mechanisms are triggering structural dynamics in the crystal domains. When further discharged to 3.9 V, a screw dislocation is formed in the crystal core between the two domains. The rotation effect appears to be a precursor of the nucleation of a dislocation, akin to the classical shear mechanism of dislocation formation.[34]

Conversely, there were no significant changes observed for Crystal V upon discharge (Figure S5 and S6, and Table S4 in the Supporting Information) which further corroborates what was seen within the pristine and charging crystals; both intra- and inter-particle heterogeneities exist in this commercial NMC811 electrode, *i.e.*, there are differences in the crystal Bragg densities and displacements both across individual particles and between neighboring particles. It may be that Crystal V appeared inactive because it had become electrically disconnected from the rest of the secondary particle.

Degradation of NMC811 crystals during operation

Figure 5 provides a visual summary of the insight gained in this work and attempts to map each mechanism's corresponding location on a generic charge/discharge curve. Firstly, the pristine material that had only undergone diagnostic/formation cycles exhibited high symmetry, compared with the majority of the crystals examined during electrochemical operation. However, their behaviors were found to be inhomogeneous; pristine Crystals I and II already exhibited signs of intra- and inter-crystal heterogeneities even before operational cycling. It is thought that such pre-existing heterogeneities could seed further dislocations and even splitting of the crystals upon further cycling. Secondly, Crystal III was seen to split during charging from 2.7 to 3.5 V, a region of the charging curve where it is well-reported that minimal charge transfer occurs at the bulk scale, *i.e.*, the differential capacity curve in this region has a gradient close to zero, thus the crystallographic changes due to electrochemical activity are negligible.[30-32] We infer that this crystal either split due to a substantial disparity between the local and bulk SoC, or reoriented and broke away from the initial crystal structure without de-lithiating; either way, this provides evidence that crystal-scale dynamics may still be present even before significant bulk charge transfer has begun. Thirdly, during discharge, rotational effects located at the crystal core may

nucleate dislocations that are exacerbated by the changes in the local lattice parameter due to the intercalation of Li^+ into the layered NMC structure, ultimately triggering strain and crystal splitting (Figure S7). The rotational nature of these dislocations may be due to the various orientations of the crystals within the secondary particle agglomerate. Neighboring but misaligned crystals are inherent in polycrystalline materials and the boundaries between such primary particles present opportunities for inter- and intra-crystal splitting; the heterogeneous lithiation of the electrode material could exacerbate these misalignments causing crystals to split or neighboring crystals to separate in order to reduce the local stress of the system, ultimately degrading the material and disrupting Li-conduction pathways.

Conclusion

This work employs time-resolved 4D BCDI to characterize the crystal structures of individual primary particles within NMC811 battery cathode material. Through electrochemical cycling that is representative of operation within commercial devices, we have presented information gathered from a pristine electrode and material undergoing charging and discharging processes. The results reported here provide evidence that intra- and inter-crystal heterogeneities can exist even before operational cycling. Moreover, during charging crystal-scale dynamics may be present before significant bulk charge transfer has begun; and during discharge, strain and crystal splitting can occur even during the first operational cycle. The *operando* BCDI methodology and insight presented here has extended our understanding of the crystal-scale dynamics within next-generation NMC811 battery cathode material. Such mechanisms are thought to be responsible for particle cracking and oxygen release, leading to the loss of performance at the cell level. Gaining

an improved understanding of the mechanisms in terms of how they initiate and propagate will ultimately aid in the intelligent development of future battery electrode materials.

Methods

Cell housing

Building upon previous work where we developed bespoke cell geometries for specific X-ray characterization methods [21], we developed a cell holder optimized for Bragg-geometry experiments. Unlike other experiments reported within the literature which have employed coin cells or windows, the moisture sensitivity of this material necessitated the development of an air-tight housing. Moreover, the development of a versatile housing that may be used for other methods has the added benefit of the potential for future correlative studies. For example, this cell design may be used for traditional full-field imaging or other advanced characterization methods. Furthermore, the amount of material within the diffracted beam path should be minimized in order to reduce attenuation and maximize the diffraction signal-to-noise ratio. Consequently we designed and built a separator-free sample environment for *operando* characterization, whereby two electrodes (NMC *vs.* Li metal in this work) can be sufficiently isolated opposite one another for electrochemical operation and characterization without the need for a separating material such as glass fiber (See Figure 6). This set-up allowed the authors to tailor the separation of the two electrodes so that the electrochemical performance could be optimized while also allowing both the incident and diffracted beams to undergo minimal unnecessary attenuation, thus maximizing the detector signal. The stability of the cell current during acquisition also confirms that insignificant amounts of free radicals were produced during open beam (See the Supporting Information).

The cell consisted of two cylindrical stainless-steel electrical terminals placed end-to-end, inside a polyether ether ketone (PEEK) housing and sealed with perfluoroalkoxy alkane (PFA) Swagelok unions and ferrules. The terminals are fabricated such that 1 mm stainless-steel dowels can be secured inside the PEEK housing (Figure 6a). This allows established sample preparation methods such as laser micro-machining [35] and focused-ion beam (FIB) milling [24] to be applied to the material of interest before assembly, further reducing unnecessary beam attenuation.

The material of interest in this work was commercially printed NMC811 cathode sheets (NEI Corporation, USA). Scanning electron microscope (SEM) images can be found within the Supporting Information (Figure S1). The cathode sheet consisted of 90% active material, 5% poly(vinylidene fluoride) binder and 5% carbon black coated onto an aluminum current collector. The primary particles for these materials have features of around 0.75 μm, with a variety of secondary particle sizes in order to optimize packing density: 3 – 30 μm (d_{50} 10 - 13 μm) with an areal loading of 2 mAh cm^{-2} ± 5%, and a purity above 98%. This material is supplied as sheets that are 12.7 cm x 25.4 cm in area, with a tape thickness of 58 – 60 μm and a 16 μm current collector thickness.

To conduct the BCDI experiment, a 1 mm disk was punched from the sheet and secured to the top of a 1 mm stainless-steel dowel using conductive epoxy (Chemtronics, Hoofddorp, Netherlands) and then machined using a laser micro-machining tool (Oxford Lasers, UK) to a diameter of 90 μm for assembly; a full schematic and assembly procedure can be found within the Supporting Information (Figure S2). The cell was filled with $LiPF_6$ in ethylene carbonate/ethyl methyl carbonate (EC:EMC, 3:7 v/v) with 2 wt.% vinylene carbonate as the electrolyte.

Bragg Coherent Diffraction Imaging

The BCDI experiment was performed at the I13-1 Beamline at the Diamond Light Source, UK. The cell was mounted in the horizontal scattering geometry, and the incident X-ray beam was set to 11 keV and was focused using a Fresnel zone plate behind a 400 μm diameter aperture to a slightly-defocused size of 2 – 3 μm. The diffraction from the sample was measured using an Excalibur 3M detector (55 μm pixel size) positioned 2.9 m away from the sample which ensured oversampling of the diffraction patterns. The oversampling condition, that there are more than two detector pixels for each diffraction fringe in each direction, is necessary for the successful inversion of the diffraction pattern into real space. The sample and the detector were aligned to the NMC811 (003) Bragg peak; this was chosen because this reflection has the highest structure factor and thus is the most likely to deliver sufficient signal for reconstruction. Moreover, the (003) planes correspond to the inter-layer spacings of the TM oxide layers and are highly sensitive to variations in Li^+ concentration within the NMC structure. The detector is placed in-plane with the Bragg angle in the horizontal plane, so that the powder ring is vertical on the detector. The selected diffraction patterns from individual crystals were scanned in θ (vertical axis, the rocking curve angle) over a 1º range around the selected Bragg peak with a step size of 0.005º to measure the full 3D intensity distribution.

To examine the pristine material a sample was mounted *ex Situ* after undergoing two diagnostic/formation cycles that were performed at C/20 with lower and upper cut-off voltages of 3.0 V and 4.3 V respectively. Crystals I and II were reconstructed from this sample. During the *operando* measurements, the cell was secured to the beamline stage using an electrically insulating mount then charged and discharged to various voltage points at C/2. The cell voltage was held constant during the measurement of the selected diffraction patterns. To examine charging (delithiation) Crystal III was imaged at 2.7 and 3.5 V (*vs.* Li/Li^+) and to examine discharging

(lithiation) Crystals IV and V were imaged at 4.1, 4.0 and 3.9 V (again *vs.* Li/Li^+). In depth descriptions of the electrochemical histories of each respective crystal can be found within the Supporting Information along with the cell potential and current readings.

The diffraction patterns obtained were converted into real space images using phase retrieval algorithms which were performed in MATLAB R2018a using a version of the 'matlabPhasing' package [33]; iterative calculations were conducted using Shrinkwrap [36]; and a guided approach [18] was used together with the Error Reduction (ER), Hybrid Input Output (HIO) [17], Relaxed Averaged Alternating Reflections (RAAR), and Difference Map (DM) algorithms [37]. Once reconstructed, the 3D images were visualized using the software ParaView version 5.6 [38].

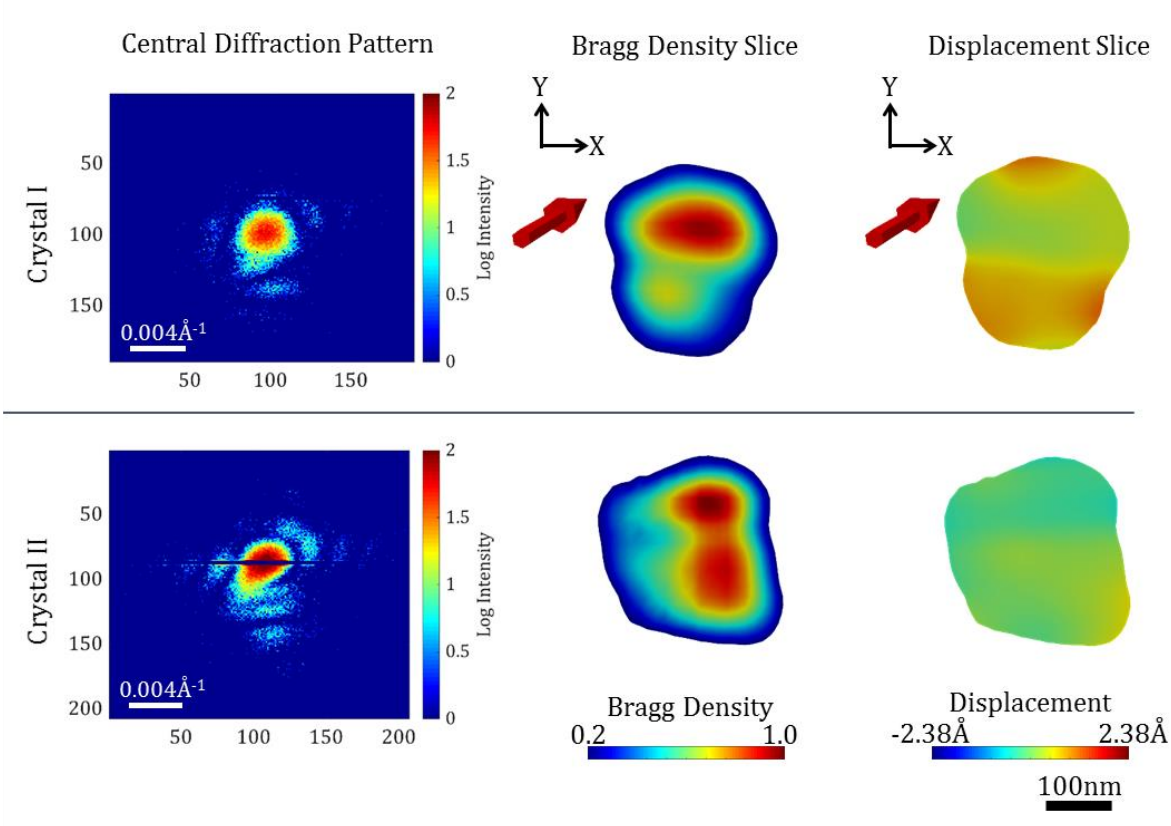


Figure 1. BCDI characterization of *ex Situ* pristine NMC811 for Crystals I and II, displaying the central diffraction pattern, Bragg density and displacement slices taken near the center of the crystals.

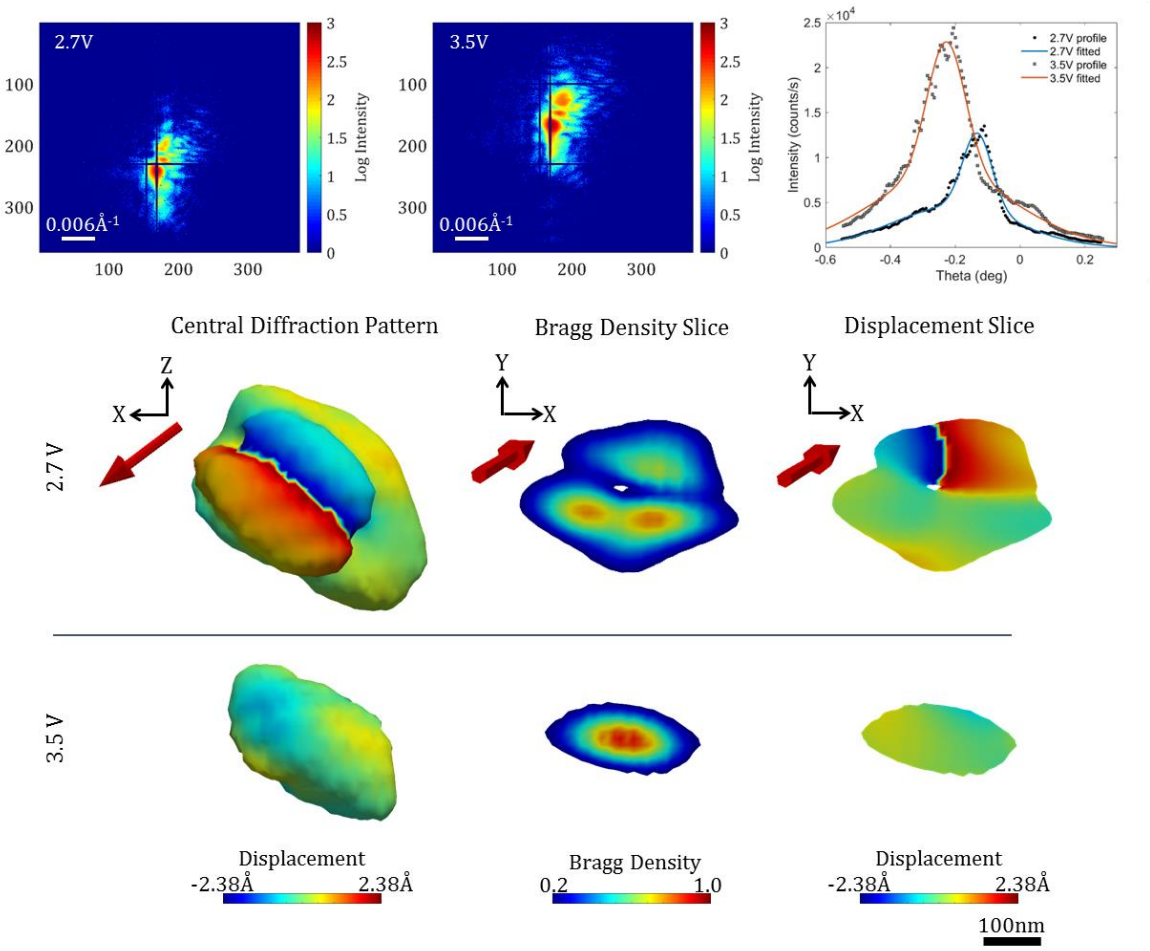


Figure 2. BCDI characterization of NMC811 for Crystal III during charging, displaying the central diffraction pattern for 2.7 and 3.5 V, with accompanying plots for the experimental (dots) and Gaussian-fitted (solid lines) data. The reconstructed images of Crystal III are also presented with the Bragg density and displacement slices taken near the center of the crystal.

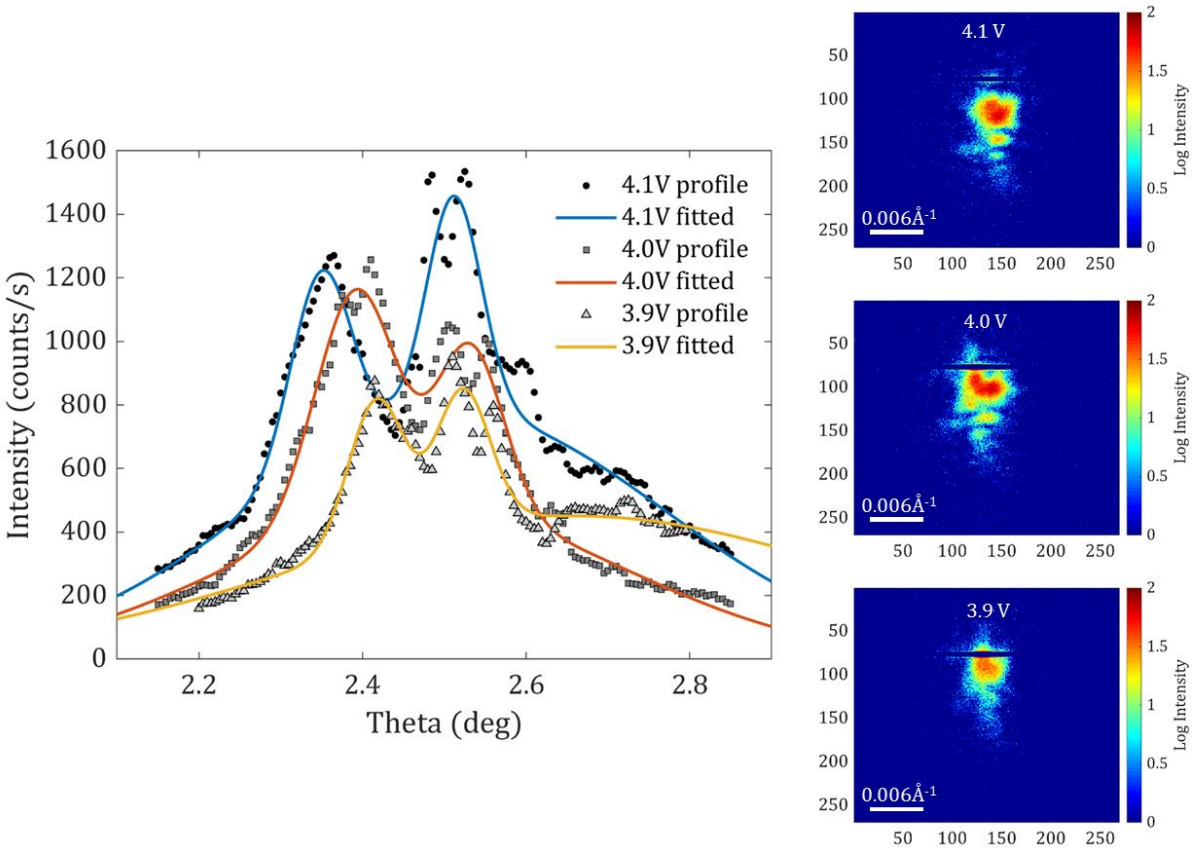


Figure 3. BCDI characterization of NMC811 for Crystal IV during discharging, displaying the central diffraction pattern for 4.1, 4.0 and 3.9 V, with accompanying plots for the experimental (dots) and Gaussian-fitted (solid lines) data.

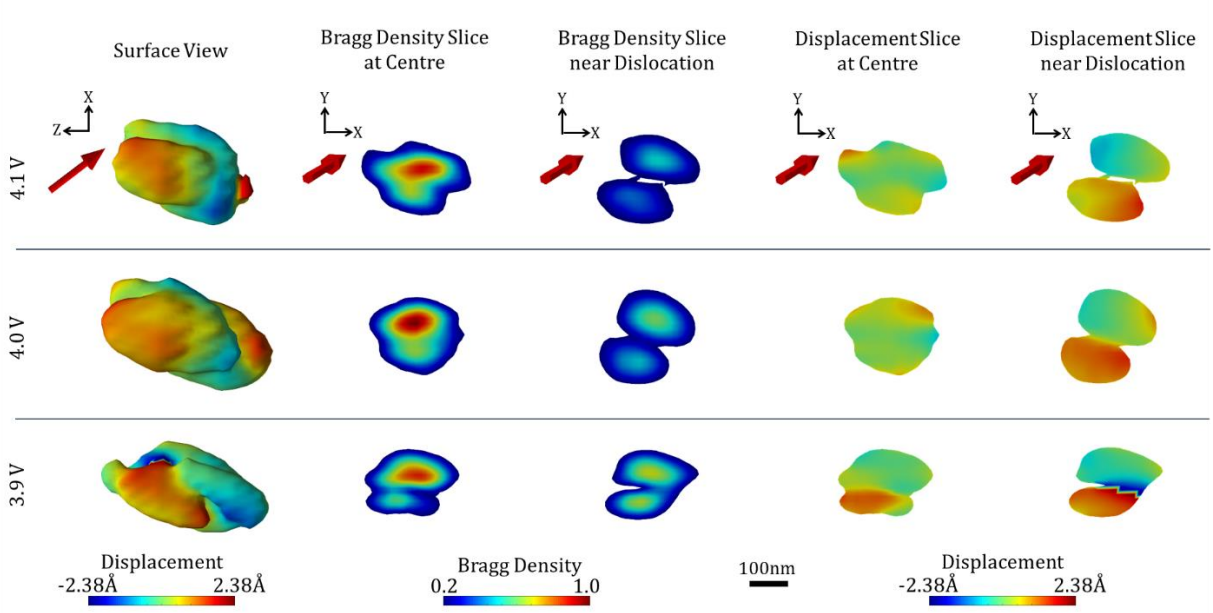


Figure 4. BCDI characterization of NMC811 for Crystal IV during discharging, displaying the reconstructed images of Crystal IV, presented with the Bragg density and displacement slices taken near the center of the crystal, and near a dislocation.

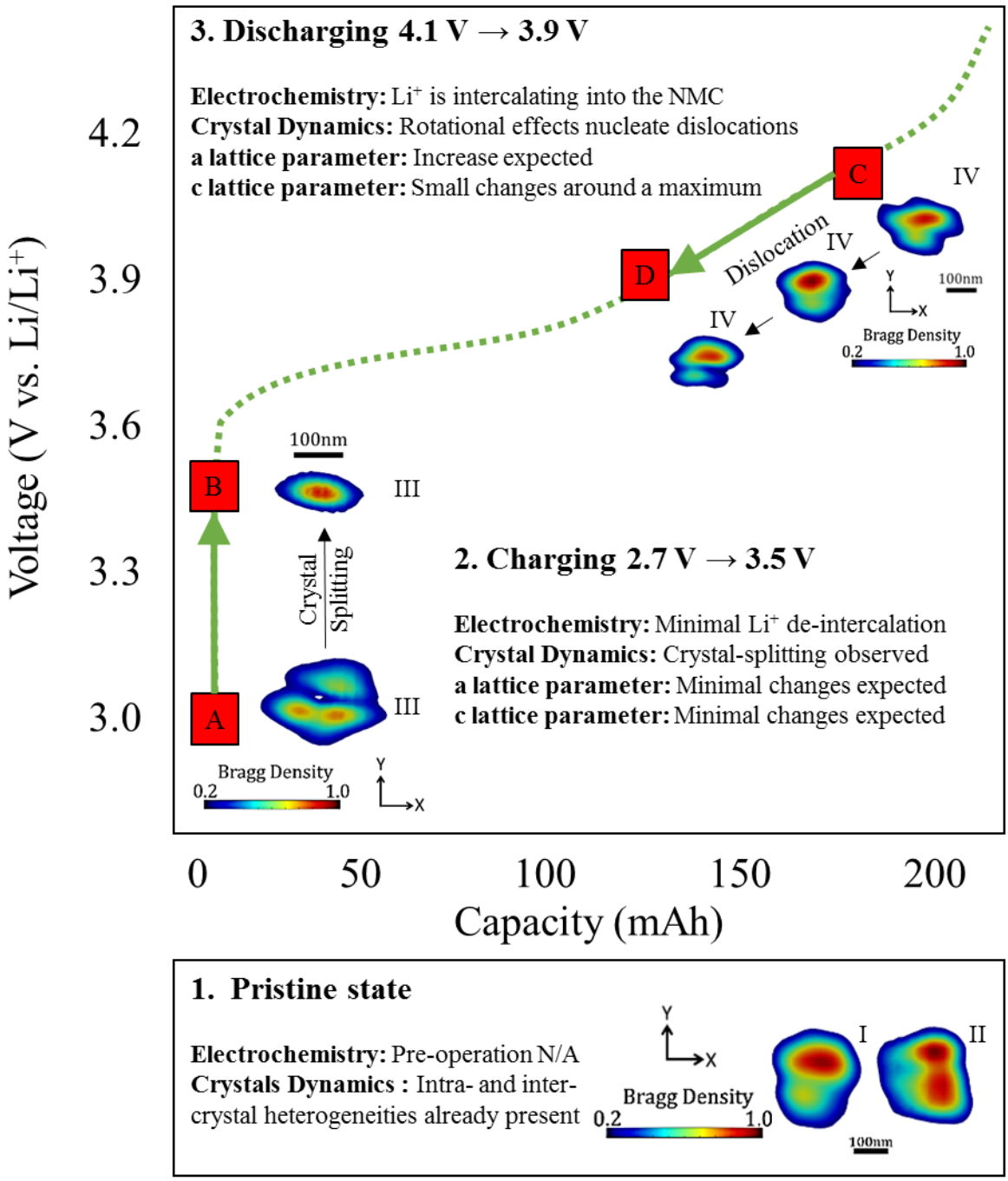


Figure 5. Example of a generic NMC811 charge/discharge curve with accompanying annotation of the three mechanisms observed here: 1. underlying heterogeneities in pristine material, 2 crystal splitting even when minimal bulk de-intercalation is present, and 3. Dislocation formation during the re-lithiation of the layered NMC811 structure.

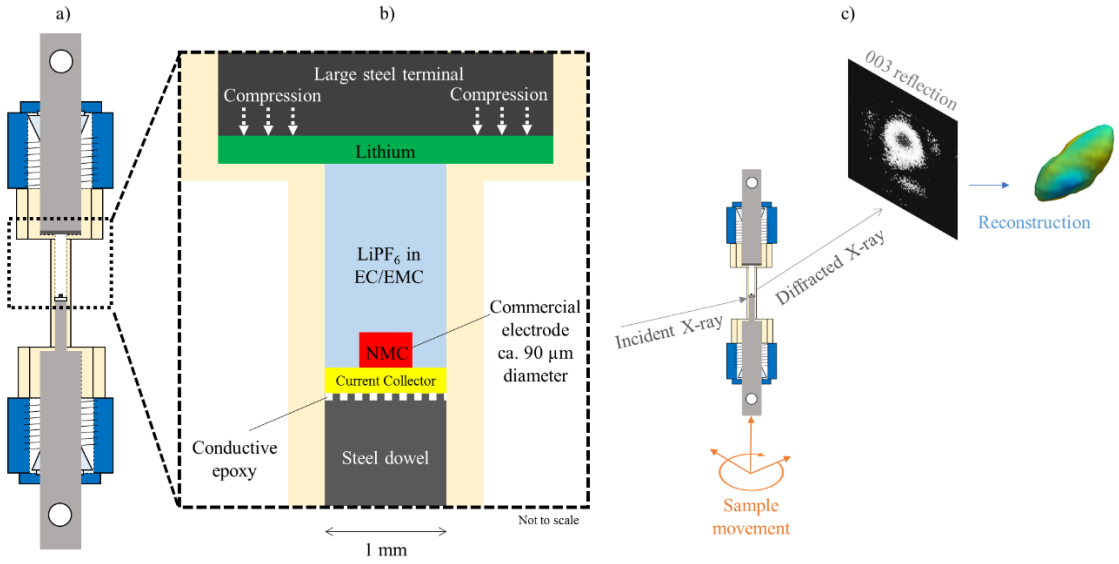


Figure 6. Experimental set-up for conducting 4D BCDI investigations into Li-ion battery cathode materials: a) bespoke cell with PEEK housing, steel terminals and PFA Swagelok seals, b) magnified schematic of the NMC electrode and lithium metal which are parted without the use of a separator but connected electrochemically *via* a $LiPF_6$ electrolyte, and c) the Diamond Light Source I13-1 beamline acquisition set-up with the incident and diffracted X-ray beam, (003) reflection observed on the detector and the reconstruction of an NMC crystal.

Table 1. A summary of the five crystals examined in this work, for the three types of studies: pristine, charging and discharging. An indication of the state of charge of the electrode at the time of imaging is given by the accompanying cell potential.

Investigation	**Crystal**	**Acquisition Type**	**State of charge during imaging**
1. Pristine	I	*Ex Situ* disconnected from the potentiostat	Discharged to 3.0 V at C/20 Disassembled and imaged
	II		
2. Charging	III	*Operando* during an electrochemical potential hold after *in Situ* cycling	Held at 2.7 V during imaging Charged at C/2 Held at 3.5 V during imaging
3. Discharging	IV		Held at 4.1 V during imaging Discharged at C/2 Held at 4.0 V during imaging
	V		Discharged at C/2 Held at 3.9 V during imaging

Author Contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript. Conceptualization: A.E., R.J., I.R., and P.S.; Methodology: A.E., T.H., A.L., S.D., R.J., I.R., and P.S.; Preparation: A.E., T.H., A.L., S.D.; Investigation: all authors; Analysis: A.E., J.D., T.H.; Writing: all authors; Supervision, Directing and Funding Acquisition: R.J., D.B., I.R., P.S.

Supporting Information for Publication

Additional discussions including Figures S1-S7 and Tables S1-S4 can be found within the Supporting Information document which is Available Online. This material is available free of charge via the Internet at http://pubs.acs.org. The document contains SEM images of the raw powders, full design schematics of the nano-cell, electrochemical cycling data and additional BCDI reconstructions.

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