

1 Title: Tuberculosis outcomes and mortality risk factors in adult migrants at the Thailand-Myanmar
2 border

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14 Running head: TB mortality at the Thai-Myanmar border

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17

18 Table of tables

Table 1	Patient characteristics for 1344 TB cases diagnosed and 1005 TB cases started on treatment between January 2013 and April 2017
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Table 2	Case fatality, mortality rate and risk of death by month since TB diagnosis
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Table 3	Case fatality, mortality rate and risk of death by month since TB diagnosis, by HIV and ART status
---------	--

Table 4	Risk factors for death in 1339 TB cases
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Table 5	Clinical causes of death by month since TB diagnosis for 68 deaths occurring between January 2015 and April 2017
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Supplementary	Risk factors for death during the first month after TB diagnosis
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Table 1

Supplementary	Risk factors for death during the 2 nd to 6 th month after TB diagnosis
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Table 2

19

20 Table of figures

Figure 1	Map of the border region displaying the locations of the SMRU clinics
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Figure 2	Study population for cohort January 2013 to April 2017
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22 Key words: Mortality, cause of death, human immunodeficiency virus, co-infection

23 SUMMARY

24

25 **Background:** Migrants in high prevalence areas are at higher risk of tuberculosis (TB). We aimed to
26 identify the causes and risk factors for TB mortality at a migrant-focused TB programme on the
27 Thailand-Myanmar border.

28 **Methods:** Routinely collected data on TB cases, treatment outcomes and causes of death were
29 analysed for adult TB cases diagnosed between January 2013–April 2017. Mortality in the six months
30 post-diagnosis was calculated and risk factors were identified using multivariable Poisson regression.

31 **Results:** 1344 TB cases were diagnosed; 1005 started treatment and 128 died. Case fatality was
32 9.5% and the TB mortality rate was 2.4/100 pm. Case fatality was highest in TB/human
33 immunodeficiency virus (HIV) coinfecting cases not on antiretroviral therapy (ART) (90.3%), compared
34 to those on ART (14.3%) and those with negative or unknown HIV status (9.3%). Mortality risk factors
35 included older age, HIV and missing data. Deaths were mostly due to pulmonary TB in the first month,
36 while comorbidities or HIV-related infections predominated in later months.

37 **Conclusions:** Programme mortality was high among those with HIV, although mortality differed vastly
38 depending on receipt of ART. Strategies to extend TB/HIV screening among migrants and safeguard
39 the continuity of TB care should be prioritised to improve outcomes.

40 Tuberculosis (TB) control across international borders is a challenge. Diagnostic delays and treatment
41 interruption are more common in mobile populations and lead to poor outcomes.^{1,2} Thailand and
42 Myanmar, two neighbouring countries in Southeast Asia, are among the thirty high-burden TB
43 countries globally. Both have high triple burdens of TB, TB and human immunodeficiency virus (HIV)
44 coinfection, and multi-drug resistant TB (MDR-TB).³ HIV prevalence in both countries is high (1.1%
45 and 0.7% among adults respectively), with migrants in Thailand estimated to have up to four times the
46 HIV prevalence found among the general population, amplifying their risk of developing and dying
47 from TB.⁴ Decades of conflict and economic stagnation in Myanmar have resulted in increased
48 population mobility at the Thailand-Myanmar border, with migrants seeking better living conditions
49 and healthcare in Thailand. The cross-border population is marginalised, and lack access to TB/HIV
50 services and information.⁵ Mobile patients with TB living outside of refugee camps were excluded from
51 provincial and national statistics up until 2016, and there have been no previous studies reporting TB
52 outcomes or case fatality rates among non-encamped migrants. The invisibility of this rural-dwelling
53 population hampers efforts to understand the extent of their health challenges.^{5,6,7} In this study, we
54 sought to identify the demographic and clinical predictors of TB mortality in a cohort of adult migrants
55 from the time of TB diagnosis, and to determine the cause of death in a subgroup of patients.

56

57 **METHODS**

58 *Setting*

59 The displaced population size at the Thai-Myanmar border is estimated at between 200,000 and
60 500,000, including 127,000 people living in refugee camps.⁸ The study setting was two TB clinics run
61 by Shoklo Malaria Research Unit (SMRU), a non-governmental organisation and field research unit
62 linked to the Mahidol-Oxford Tropical Network that provides healthcare to Myanmar migrants. Patients
63 presented to the SMRU TB clinics located on either side of the border: Kou Ko clinic (Myanmar),
64 Wang Pha clinic (Thailand), or were referred after TB diagnosis from Mae Tao Clinic (Thailand), which
65 is run by a separate organisation (Figure 1). The SMRU TB clinics provide a comprehensive package
66 of care, with basic accommodation, psychosocial support and TB health education throughout the
67 course of treatment.

68

69 *Study design*

70 All TB cases were identified from SMRU's pre-existing TB database, where demographic information,
71 treatment details and clinical outcomes of all patients (all ages) were documented (n=1,697). This
72 retrospective cohort study included all adult (≥ 16 years old) TB cases diagnosed at SMRU either
73 clinically or bacteriologically between January 2013 and April 2017 (n=1,344) (Figure 2).

74 Pulmonary tuberculosis was defined as involvement of the lung parenchyma or the tracheobronchial
75 tree, including cases with evidence of extra-pulmonary spread. Extrapulmonary TB (EPTB) was
76 defined as localisation of disease in organs other than the lungs. TB cases were classified as
77 bacteriologically confirmed when either microscopy (Ziehl-Neelsen smear) or GeneXpert (rapid
78 molecular test) were positive, while clinically-diagnosed cases had typical symptomatology, chest X-
79 ray appearances or histopathological findings (EPTB) with negative or absent bacteriological tests. At

80 the SMRU TB clinics, samples that were smear and/or Xpert-positive were sent to the International
81 Organisation for Migration TB Laboratory in Mae Sot, Thailand, for confirmatory testing using
82 conventional culture and drug sensitivity testing (DST).⁹ HIV tests were done at the start of TB
83 treatment for all patients enrolled.

84 New TB cases had never received treatment for TB or had taken anti-TB drugs for less than
85 one month. Retreatment cases (including relapse cases) had received one month or more of anti-TB
86 drugs in the past.¹⁰ TB treatment was given in accordance with WHO treatment guidelines (2010).¹¹
87 New or relapse cases were treated with a Category I regimen (2HRZE/4HR), while other retreated
88 patients received Cat II (2SHRZE/HRZE/5HRE), and patients with drug intolerances, drug resistance,
89 or EPTB received longer courses of non-Category I regimens or specific MDR-TB regimens.
90 Treatment outcomes are defined in line with WHO criteria.¹⁰

91 As a second step, additional information was collected from medical records for cases
92 enrolled between January 2015 and April 2017 whose outcome was death (total n=84, total records
93 available n=68). The medical notes and death certificates of 68 patients (written in English) were
94 reviewed. Information on primary and contributing causes of death, test results and co-morbidities
95 was extracted. Deaths were classified into three broad categories: TB-related deaths, AIDS-related
96 deaths, and other medical causes, similar to previous studies.^{12,13,14} In cases where the primary cause
97 of death was unclear (n=8, 12.0%), the case was discussed with the team of TB/HIV doctors familiar
98 with local patterns of pathology to determine how to categorise the death.

99

100 *Analysis*

101 The timing and cause of death were the primary outcomes. Follow-up for each patient began on the
102 day of first smear test and ended six months later, or when death, loss-to-follow-up or transfer
103 preceded this. Date of first smear was used as a proxy for date of diagnosis. The rationale for
104 including pre-treatment cases was that many patients were critically unwell at presentation and died in
105 the intervening period between diagnosis and starting TB treatment. For EPTB patients, date of
106 initiation of TB treatment was used when this preceded the initial smear date as there were often
107 delays in obtaining a sample. Data were censored at six months to understand overall programme
108 mortality during the standard period of treatment, when most deaths occur. Statistical analysis was
109 performed using STATA/IC 14.2.

110 Kaplan Meier estimates for cumulative case fatality and mortality per 100 TB pm with 95% CI
111 were calculated for each consecutive month after TB diagnosis. This technique was used to provide
112 mortality estimates that take in to account attrition during the six-month follow-up period after
113 enrolment. Cumulative case fatality and mortality were then calculated after stratification by HIV/ART
114 status for two intervals (first and 2nd–6th month).

115 Incidence rate ratios (IRRs) and corresponding 95% CIs were used to quantify differences in
116 mortality between groups using Poisson regression. The multivariable models included age and
117 gender *a priori*, and a broad inclusion criterion of $p \leq 0.20$ in univariable analysis was used for selection
118 of other clinical or programme variables. Interaction terms were used to check for effect modification.

119 The variable for treatment regimen had significant collinearity with MDR-TB, and was excluded from
120 the multivariable models.

121 Ethical approval was obtained from the University College London (UCL) Ethics Committee,
122 United Kingdom (13003/001).

123

124 **RESULTS**

125 *Study population and programme outcomes*

126 Between January 2013 and April 2017, 1,344 diagnoses of tuberculosis in adults were made at
127 SMRU, and of these, 1,005 started treatment (Table 1). The median age was 38 years (IQR 30–50).
128 There were approximately equal proportions of Burmese (31.4%) and Karen (32.3%) ethnicity. A
129 further 3.4% were from other Myanmar minority ethnic groups, and 32.9% had undocumented
130 ethnicity.

131 Most patients had pulmonary TB (91.8%). A high proportion had TB/HIV co-infection (18.5%)
132 and 16.4% of the cohort were already on ART. Among those with HIV, 71.0% were new diagnoses.
133 The cohort consisted of 179 (17.8%) patients who did not receive the Category I treatment regimen.
134 This includes the 100 MDR-TB patients, and a further 79 who had mono or poly-drug resistant TB, or
135 allergies to first line drugs. The overall treatment success rate was 79.8% for all TB cases enrolled.
136 Treatment success rates were lowest among those with MDR-TB (73.3%) and TB/HIV co-infection
137 (70.2%).

138

139 *Case fatality and mortality*

140 A total of 831 cases completed six months of follow-up, and 128 died overall. Transfers out (211
141 cases, 15.7%) and losses to follow-up (121 cases pre-treatment and 48 cases following enrolment,
142 12.6%) accounted for a large proportion of remaining cases (Table 1).

143 There were 128 deaths, with an overall case fatality of 9.5% (Table 1). The mortality rate was
144 2.4 per 100 TB person-months (pm) (Table 2). The cumulative case fatality, which takes into account
145 attrition following enrolment, was 12.3% (Table 2). Mortality was highest in the first month after
146 diagnosis (5.5/100 pm), and declined over the subsequent months. When stratified by HIV and ART
147 status (Table 3), cumulative case fatality was lower among those with negative or unknown HIV status
148 (9.3%) compared to HIV-positive cases on ART (14.3%) and off ART (9.3%).

149

150 *Factors associated with early and late death*

151 Risk factors for death were analysed overall (Table 4) and separately for the first and 2nd–6th months
152 following TB diagnosis (Supplementary Tables). Death was more likely in those aged over 55 years
153 (IRR 3.29, CI 1.99–5.45), with undocumented ethnicity (IRR 2.87, CI 1.84–4.48) or undocumented TB
154 history (IRR 2.31, CI 1.07–4.98), and those who were HIV-positive (on ART (IRR 1.99, CI 1.23–3.23),
155 off ART (IRR 36.67, CI 19.99–67.28)) when analysed for the whole 6-month period.

156 When stratified by time since diagnosis, the risk factors differed. Early mortality was
157 associated with smear positive TB and MDR-TB, while retreatment TB cases had a higher risk of
158 death in the later months. Advanced age remained a strong independent predictor of late mortality.

159 HIV-positive patients off ART had a high risk of death both early (IRR 27.70, CI 12.45-61.62) and late
160 (IRR 55.36, CI 21.11-145.18) after diagnosis compared to HIV-negative patients, while being on ART
161 was associated with late death only (IRR 3.05, CI 1.66-5.59) compared to HIV negative patients.
162 There was no evidence of an association with calendar period in the univariable or multivariable
163 models.

164

165 *Clinical causes of death*

166 In the subgroup of patients where medical notes were reviewed (Table 5), pulmonary TB was the
167 dominant cause of early death. Other medical conditions that were non-AIDS and non-TB related
168 accounted for second highest number of deaths overall, mostly occurring during the 2nd–6th months.
169 Opportunistic fungal infections (pneumocystis pneumonia, penicilliosis, cryptococcosis) were leading
170 causes of late death among patients with HIV.

171

172 **DISCUSSION**

173 This retrospective cohort study reports outcomes from a TB programme at the Thailand-Myanmar
174 border from 2013–April 2017. Overall case fatality was high (9.5%), with over a quarter of early
175 deaths among patients who had not started TB treatment (33/128). The high pre-treatment losses to
176 follow-up (121 cases, 9.0%) is concerning and reflects the logistical difficulties of following patients up
177 in this setting. Patients are often uncontactable, with no telephone number or address, and are
178 therefore instructed to return to the clinic to collect their results in person within 2 or 3 days. This may
179 not be economically feasible for highly mobile migrants, who are often employed precariously in
180 seasonal jobs. Among those enrolled in treatment following TB diagnosis, the availability of TB
181 education and psychosocial support early on resulted in better retention.

182 Overall, 79.8% completed treatment successfully. Although this falls short of the WHO target
183 of 85%, it compares favourably to outcomes reported by studies in similar settings in migrant and
184 refugee populations (74.3%, 77.5%).^{15,16} Our results suggest that this programme, with its residential
185 setting and availability of social support, is well adapted to the needs of mobile patients and supports
186 engagement with treatment.¹⁷

187 Early mortality was more likely in smear positive and MDR-TB patients, both of which imply
188 clinically severe TB. Deaths among patients presenting with advanced disease were likely
189 unavoidable. Our study lacks information about patient illness severity and duration of symptoms,
190 however the high number of pre-treatment deaths and physiological compromise observed by
191 clinicians at presentation suggest that in many cases there were very long delays before accessing
192 effective medical care. Earlier diagnosis through community engagement and better availability of
193 health information would reduce mortality. There was a high burden of TB/HIV co-infection among this
194 population and these patients had much poorer outcomes. Mortality rates were highest among those
195 not on ART. ART guidelines in Thailand have evolved in recent years, following strong evidence that
196 early initiation of ART reduces mortality and incidence of new OIs among TB/HIV coinfecting
197 patients.¹⁸ However, physicians may have still been reluctant to start ART in TB patients with
198 advanced HIV infection due to risk of immune reconstitution inflammatory syndrome (IRIS).¹⁹

199 The majority of patients in this cohort did not know their HIV status at presentation. Tackling
200 HIV infection through earlier detection and initiation of ART prior to developing TB would save lives.
201 There is no ART coverage for non-Thai migrants without health insurance, which makes it
202 unaffordable for most migrant labourers. Expansion of migrant-friendly health services are urgently
203 needed to support ART coverage among non-Thai populations living in Thailand, particularly those
204 with TB.²⁰

205 Consistent with studies conducted in Thailand and elsewhere, TB mortality was highest in the
206 first month, with pulmonary TB accounting for the majority of early deaths, while AIDS-related deaths
207 and deaths due to other comorbid conditions were more common in later months.^{12,14} There was a
208 surprisingly large number of deaths due to non-TB, non-HIV related causes, particularly congestive
209 cardiac failure and lung cancer. Since non-infectious cardio-respiratory conditions often mimic the
210 symptomatology of TB, and in the absence of onward referral options, the TB clinics inadvertently
211 become a site for end of life care in smear negative terminally ill patients.

212 There was no effect of ethnicity on outcome. This result differs from a study performed at the
213 Thai-Myanmar border during the time of armed conflict, where Burmese migrant patients were more
214 likely to die, become lost to follow-up, or fail treatment compared to encamped Karen refugees.¹⁵ A
215 study conducted in Khartoum, Sudan by Bohler et al (2005) similarly reported better outcomes in
216 encamped patients compared to those living outside the camps. Our study, performed six years after
217 the ceasefire reflects a more heterogenous population of non-encamped migrants, who face more
218 barriers to accessing services (low knowledge about services, travel costs and lost wages, concerns
219 regarding legal status and language barriers).^{17,21}

220 The main strength of this study is that it quantifies TB mortality from the time of TB diagnosis
221 rather than from start of treatment. Only one other study in a high TB incidence setting has quantified
222 pre-treatment deaths, and found that previously recorded programme case fatality rates consistently
223 underestimated true TB mortality.²² In our study there were, however, large initial losses to follow-up
224 and transfers out with unknown outcomes, which biases our results and likely leads to
225 underestimation of case fatality. Additionally, there were small numbers in some sub-categories and
226 results with wide CIs should be interpreted with caution. Follow-up on patients who were lost-to-follow
227 up was censored on this date, and so were assumed to be non-informative for the outcome of death.
228 The validity and reliability of causes of death relied on the quality of the primary data recorded by
229 certifying physicians, and budget constraints and geographical distance to other facilities restricted
230 access to confirmatory diagnostic tests, potentially resulting in misdiagnosis.

231 The situation along the Thai-Myanmar border is dynamic. The results of this study show that
232 a residential TB programme can achieve good outcomes in migrant populations, although reducing
233 pre-treatment mortality and losses to follow-up is a challenge. In this low resource setting, effective
234 and sustainable interventions are needed to boost community engagement so that potential TB cases
235 seek care earlier. This work adds to the body of literature demonstrating disproportionately high risk of
236 TB mortality among HIV-positive individuals, particularly those not on ART. Improving migrants'
237 access to HIV testing and ART and strengthening of cross-sectoral and cross-border collaboration is
238 key to improve outcomes.

239 *Aknowledgements*

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244

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286 *Tuberc Lung Dis* 2012, 16(11): 1449-1454.

287 Table 1: Patient characteristics for 1344 TB cases diagnosed and 1005 TB cases started on treatment
 288 between January 2013 and April 2017

		All TB cases		TB cases started on treatment	
		n	%	n	%
Total		1344	100	1005	100
Gender*	Male	890	66.3	661	65.8
	Female	452	33.7	344	34.2
Age at diagnosis*	<35	501	37.3	374	37.2
	35-54	583	43.4	441	43.9
	>55	255	19.0	190	18.9
Ethnicity	Burmese	422	31.4	398	39.6
	Karen	434	32.3	415	41.3
	Other	46	3.4	44	4.4
	No data	442	32.9	148	14.7
TB site	Pulmonary	1234	91.8	921	91.6
	Extra-pulmonary†	110	8.2	84	8.4
Year of diagnosis	2013-2014	541	40.3	416	41.4
	2015-2017	803	59.7	589	58.6
Smear result	Positive	825	61.4	588	58.5
	Negative	462	34.4	374	37.2
	No data	57	4.2	43	4.3
GeneXpert assay	Positive	916	68.2	642	63.9
	Negative	256	19.0	217	21.6
	No data	172	12.8	146	14.5
Case type	New	888	66.1	863	85.9
	Retreatment	144	10.7	135	13.4
	Unknown	312	23.2	7	0.7
Drug susceptibility	Non-MDR-TB	1244	92.6	930	92.5
	MDR-TB	100	7.4	75	7.5
Treatment regimen	Category I	826	61.5	826	82.2
	Non-Category I	179	13.3	179	17.8
	Not started‡	339	25.2	-	-
HIV/ART status	Negative/unknown	1096	81.5	765	76.1
	Positive: ART	220	16.4	220	21.9
	Positive: no ART	28	2.1	20	2.0
Outcome	Cure/complete	802	59.7	802	79.8§
	Failed	13	1.0	13	1.3
	Lost to follow-up	169	12.6	48	4.8
	Not evaluated§§	232	17.3	47	4.7
	Died	128	9.5	95	9.5

289 * Gender: data missing for 2 cases. Age at diagnosis: data missing for 5 cases.

290 † Extra-pulmonary TB cases were at the following sites: 28 meningeal, 15 pleural, 26 lymph node, 18 abdomen, 9 spine, 2
 291 renal, 1 bone, 1 skin, 1 joint, 1 eye and 9 undocumented sites.

292 ‡ Treatment not started in 33 TB cases dying before starting treatment, 168 cases that were transferred to other care providers
 293 prior to initiating treatment, 17 cases that had no recorded treatment outcome and 121 cases that were lost to follow-up.

294 § Treatment success rates were 70.2% for TB/HIV co-infection and 73.3% for MDR-TB.

295 §§ Not evaluated: includes 211 (15.7%) TB cases that were transferred to other care providers either before or after starting
 296 treatment, and 21 (1.6%) that had no recorded treatment outcome.

297 TB = tuberculosis; MDR-TB = multi-drug resistant TB; HIV = human-immunodeficiency virus; ART = antiretroviral therapy; IRR
298 = incidence rate ratio; CI = confidence interval.

299 Table 2: Case fatality, mortality rate and risk of death by month since TB diagnosis

Time since diagnosis	Number at risk	Number of deaths	Cumulative case fatality (%)*	Person months	Rate per 100pm (monthly) (95% CI)	IRR (95% CI)	p value
1 st month	1344	56	5.0	1024	5.5 (4.2-7.1)	1.00	-
2 nd month	953	32	8.2	934	3.4 (2.4-4.8)	0.63 (0.41-0.97)	0.03
3 rd month	915	19	10.1	898	2.1 (1.3-3.3)	0.39 (0.23-0.65)	<0.001
4 th month	887	9	11.0	875	1.0 (0.5-2.0)	0.19 (0.09-0.38)	<0.001
5 th month	867	4	11.4	857	0.5 (0.2-1.2)	0.09 (0.03-0.24)	<0.001
6 th month	850	8	12.3	842	1.0 (0.5-1.9)	0.17 (0.08-0.36)	<0.001
Overall	1344	128	-	5430	2.4 (2.0-2.8)	-	-

300 * Kaplan Meier estimates used to take into account attrition and differing lengths of follow-up.

301 TB = tuberculosis; IRR = incidence rate ratio; CI = confidence interval; pm = person months.

302 Table 3: Case fatality, mortality rate and risk of death by month since TB diagnosis, by HIV and ART
 303 status
 304

HIV status	Time since diagnosis	Number at risk	Number of deaths	Cumulative case fatality (%)*	Person months	Rate per 100pm (monthly) (95% CI)	IRR (95% CI)	p value
Negative /unknown	1 st month	1096	39	4.3	790	4.9 (3.6-6.8)	1.0	-
	2 nd -6 th month	729	37	9.3	3440	1.1 (0.8-1.5)	0.22 (0.14-0.34)	<0.001
	Overall	1096	76	-	4230	1.8 (1.4-2.2)	-	-
Positive ART	1 st month	220	4	1.8	218	1.8 (0.7-4.9)	1.0	-
	2 nd -6 th month	214	27	14.3	950	2.8 (1.9-4.1)	1.55 (0.54-4.42)	0.42
	Overall	220	31	-	1168	2.7 (1.9-3.8)	-	-
Positive No ART	1 st month	28	13	51.5	16	80.3 (46.7-138.4)	1.0	-
	2 nd -6 th month	10	8	90.3	16	50.9 (25.5-101.8)	0.63 (0.26-1.53)	0.31
	Overall	28	21	-	32	65.9 (42.9-101.0)	-	-

305 * Kaplan Meier estimates used to take into account attrition and differing lengths of follow-up.

306 TB = tuberculosis; HIV = human-immunodeficiency virus; ART = antiretroviral therapy; IRR = incidence rate ratio; CI =
 307 confidence interval; pm = person months.
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Table 4: Risk factors for death in 1339 TB cases

	Category	Total (n)	Deaths (n)	Unadjusted IRR (95% CI)	p value	Adjusted IRR* (95% CI)	p value
Gender	Male	887	83	1.0	0.85	1.0	0.33
	Female	452	44	1.03 (0.72-1.49)		1.21 (0.83-1.76)	
Age category	16-34	501	36	1.0	<0.001	1.0	<0.001
	35-54	583	55	1.35 (0.89-2.06)		1.28 (0.82-1.98)	
	>55	255	36	2.06 (1.30-3.27)		3.29 (1.99-5.45)	
Ethnicity	Burmese	422	44	1.0	<0.001	1.0	<0.001
	Karen	434	32	0.66 (0.42-1.05)		0.91 (0.55-1.50)	
	Other	46	4	0.78 (0.28-2.18)		1.00 (0.36-2.82)	
	Unknown	437	47	2.80 (1.86-4.22)		2.87 (1.84-4.48)	
TB site	Pulmonary	1230	112	1.0	0.15	1.0	0.16
	Extra-pulmonary	109	15	1.52 (0.89-2.61)		1.60 (0.85-3.03)	
TB history	New	888	96	1.0	<0.001	1.0	0.14
	Retreat	143	22	1.50 (0.95-2.39)		1.10 (0.64-1.89)	
	No data	308	9	7.51 (3.91-14.40)		2.31 (1.07-4.98)	
Smear result	Negative	460	47	1.0	<0.001	1.0	0.54
	Positive	822	67	0.86 (0.60-1.25)		1.21 (0.80-1.86)	
	No data	57	13	2.79 (1.51-5.15)		1.34 (0.66-2.75)	
Year diagnosed	2013-2014	537	43	1.0	0.11	1.0	0.44
	2015-2017	802	84	1.34 (0.93-1.93)		1.16 (0.79-1.72)	
HIV status	Negative/unknown	1091	75	1.0	<0.01	1.0	<0.001
	Positive: ART	220	31	1.48 (0.97-2.24)		1.99 (1.23-3.23)	
	Positive: no ART	28	21	36.65 (22.60-59.41)		36.67 (19.99-67.28)	
MDR-TB	No	1242	113	1.0	0.16	1.0	0.31
	Yes	97	14	1.52 (0.87-2.65)		1.40 (0.70-2.79)	

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* All variables displayed in the table were included in the adjusted model.
 TB = tuberculosis; MDR-TB = multi-drug resistant TB; HIV = human-immunodeficiency virus; ART = antiretroviral therapy; IRR = incidence rate ratio; CI = confidence interval

314 Table 5: Clinical causes of death by month since TB diagnosis for 68 deaths occurring between
 315 January 2015 and April 2017

		1st month (n)	2 nd -6 th month (n)	Total (n, %)
Clinical cause of death (n, %)		36 (52.9)	32 (47.1)	68 (100)
TB-related	Pulmonary TB	22	8	35 (51.5)
	CNS TB	3	1	
	TB spine	1		
AIDS-related	Pneumocystis pneumonia	1	2	14 (20.6)
	Disseminated fungal infection*		3	
	Cryptococcal meningitis		2	
	Other†	3	3	
Other medical	Congestive cardiac failure	2	3	19 (27.9)
	Lung cancer	1	3	
	Hepatic disorder	2		
	Other cancer	1	1	
	Other‡		6	

316 * Disseminated fungal infection: penicilliosis (n=2) and one with unknown opportunistic fungal pathogen.

317 † Other 1st month: *M. Avian* complex, chronic diarrhoea, cytomegalovirus pancreatitis; other 2nd-6th month: HIV encephalopathy,
 318 *M. Avian* complex, atypical pneumonia.

319 ‡ Other: deaths due to sepsis (n=2), stroke, renal disorder, transverse myelitis and aspiration of vomitus.

320 TB = tuberculosis; HIV = human-immunodeficiency virus; AIDS = acquired immune deficiency syndrome; CNS = central
 321 nervous system.

322 Supplementary Table 1: Risk factors for death during the first month after TB diagnosis

	Category	Deaths (n)	Unadjusted IRR (95% CI)	p value	Adjusted IRR* (95% CI)	p value
Gender	Male	40	1.00	0.84	1.00	0.56
	Female	15	1.02 (0.71-1.47)		0.84 (0.46-1.53)	
Age category	16-34	20	1.00	0.01	1.00	0.04
	35-54	20	1.31 (0.86-2.0)		0.65 (0.34-1.24)	
	>55	15	1.97 (1.24-3.13)		1.76 (0.86-3.58)	
Ethnicity	Burmese	11	1.00	<0.01	1.00	<0.01
	Karen	13	0.70 (0.44-1.10)		1.48 (0.63-3.49)	
	Other	2	0.84 (0.30-2.34)		1.52 (0.33-6.98)	
	Unknown	29	2.30 (1.53-3.47)		4.51 (2.19-9.29)	
TB site	Pulmonary	49	1.00	0.16	1.00	0.44
	Extra-pulmonary	6	1.5 (0.87-2.57)		1.65 (0.47-5.72)	
TB history	New	43	1.00	0.08	1.00	0.07
	Retreat	4	1.43 (0.90-2.28)		0.37 (0.12-1.15)	
	No data	8	1.96 (1.02-3.75)		1.65 (0.73-3.72)	
Smear result	Negative	11	1.00	<0.01	1.00	<0.01
	Positive	38	0.87 (0.60-1.26)		2.82 (1.36-5.85)	
	No data	6	2.49 (1.35-4.60)		2.27 (0.62-8.28)	
Year diagnosed	2013-2014	12	1.00	0.13	1.00	0.04
	2015-2017	43	1.32 (0.91-1.90)		1.96 (0.99-3.83)	
HIV status	Negative/unknown	38	1.00	<0.01	1.00	<0.01
	Positive: ART	4	1.48 (0.97-2.25)		0.71 (0.24-2.11)	
	Positive: no ART	13	13.49 (8.32-21.87)		27.70 (12.45-61.62)	
MDR-TB	No	48	1.00	0.16	1.00	0.05
	Yes	7	1.52 (0.87-2.64)		2.59 (1.05-6.38)	

323 * All variables displayed in the table were included in the adjusted model.

324 TB = tuberculosis; MDR-TB = multi-drug resistant TB; HIV = human-immunodeficiency virus; ART = antiretroviral therapy; IRR
325 = incidence rate ratio; CI = confidence interval.

326 Supplementary Table 2: Risk factors for death during the 2nd to 6th month after TB diagnosis

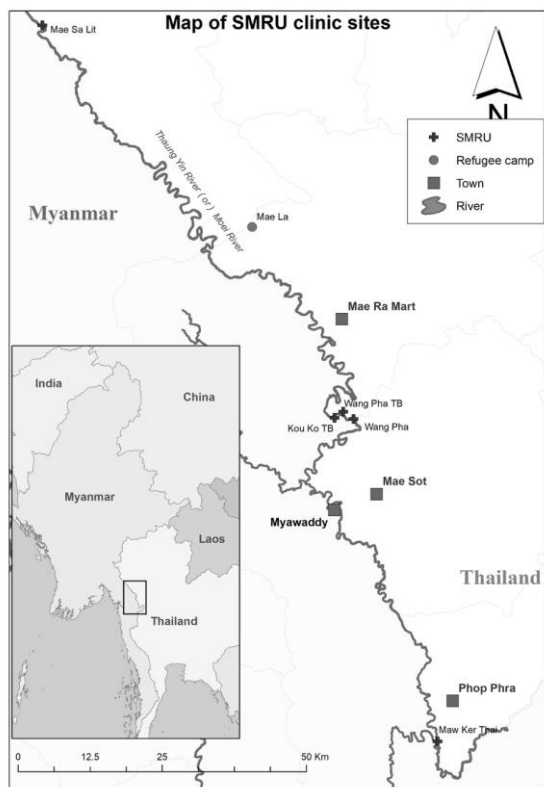
	Category	Deaths (n)	Unadjusted IRR (95% CI)	p value	Adjusted IRR* (95% CI)	p value
Gender	Male	43	1.00	0.23	1.00	0.10
	Female	29	1.34 (0.83-2.14)		1.51 (0.93-2.48)	
Age category	16-34	16	1.00	<0.01	1.00	<0.01
	35-54	35	1.95 (1.08-3.52)		2.23 (1.21-4.13)	
	>55	21	2.73 (1.42-5.22)		6.17 (2.97-12.83)	
Ethnicity	Burmese	33	1.00	<0.01	1.00	0.28
	Karen	19	0.52 (0.30-0.91)		0.65 (0.35-1.22)	
	Other	2	0.52 (0.12-2.15)		0.77 (0.18-3.28)	
	Unknown	18	1.48 (0.83-2.62)		1.29 (0.68-2.43)	
TB site	Pulmonary	63	1.00	0.19	1.00	0.78
	Extra-pulmonary	9	1.64 (0.82-3.30)		1.13 (0.51-2.52)	
TB history	New	53	1.00	0.01	1.00	0.09
	Retreat	18	2.25 (1.32-3.84)		1.96 (1.03-3.72)	
	No data	1	3.66 (0.51-26.50)		0.54 (0.06-4.90)	
Smear result	Negative	36	1.00	<0.01	1.00	0.37
	Positive	29	0.50 (0.31-0.81)		0.69 (0.40-1.20)	
	No data	7	2.06 (0.92-4.63)		1.15 (0.44-3.0)	
Year diagnosed	2013-2014	31	1.00	0.77	1.00	0.48
	2015-2017	41	0.93 (0.59-1.49)		0.84 (0.51-1.37)	
HIV status	Negative/unknown	37	1.00	<0.01	1.00	<0.01
	Positive: ART	27	2.64 (1.61-4.34)		3.05 (1.66-5.59)	
	Positive: no ART	8	47.34 (22.05-101.65)		55.36 (21.11-145.18)	
MDR-TB	No	65	1.00	0.48	1.00	0.86
	Yes	7	1.34 (0.61-2.92)		1.09 (0.40-2.96)	

327 * All variables displayed in the table were included in the adjusted model.

328 TB = tuberculosis; MDR-TB = multi-drug resistant TB; HIV = human-immunodeficiency virus; ART = antiretroviral therapy; IRR

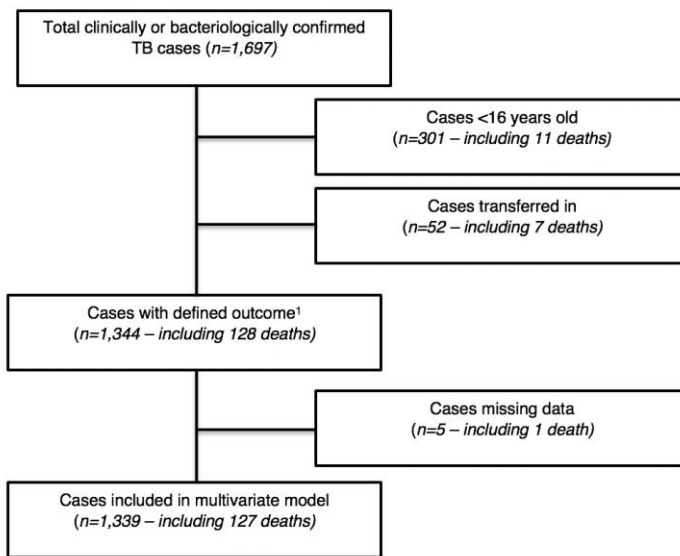
329 = incidence rate ratio; CI = confidence interval.

330 Figure 1: Map of the border region displaying the location of the Shoklo Malaria Research Unit clinics
331 (TB clinics: Kou Ko TB and Wang Pha TB)



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333 TB = Tuberculosis.

334 Figure 2: Study population for cohort January 2013 to April 2017



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336 * Includes seven patients continuing treatment for MDR-TB that had completed >6 months anti-tubercular treatment.

337 TB = tuberculosis.