

1 **Evolution of Holocene alluvial landscapes in the northeastern Songshan Region, Central**
2 **China: Chronology, models and socio-economic impact**

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24 **Abstract:** A recent suggestion of the existence of an enormous ancient waterbody in Central
25 China had been associated with the intensifying water management that led to the rise of early
26 states. However, the evolution of regional alluvial landscapes remains unclear. Through intensive
27 geoarchaeological surveys and careful field examination of typical sedimentary sequences, we
28 reconstructed Late Pleistocene-Holocene landscapes in the northeastern Songshan Region and
29 identified three distinctive models of landscape evolution. In the loess hills and tablelands areas,
30 rivers were characterized by the large-scale alluvial aggradation during the terminal Late
31 Pleistocene. Following a slight river incision during the Early Holocene, a pronounced alluvial
32 aggradation resumed throughout the Middle Holocene. This gave way to another episode of river
33 downcutting during the Late Holocene. The platform-type plains area witnessed a similar process
34 of landscape evolution. However, the amplitude of the Early Holocene river-incision was smaller,
35 whilst the large-scale Middle Holocene aggradation filled up the valleys, which also led to the
36 formation of buried terraces. The eastern plains area was marked by continuous alluvial-lacustrine
37 aggradation from the Late Pleistocene onwards. Many lakes, marshes and wetlands were formed
38 during the Middle Holocene river aggradation. These waterbodies were separated by the
39 high-elevation landforms, creating a mosaic-like aquatic landscape. The Late
40 Pleistocene-Holocene landscape supported mixed farming of rice and millets from the Neolithic to
41 Bronze Age. After 4000 BP, with another event of river incision, the wetlands shrank, and the
42 extensive area of drylands emerged in the east plains. This change coincided with the emergence
43 of large-scale urban centers in the region.

44

45 **Keywords:** Northeastern Songshan Region; Evolution of Holocene landscape; Alluvial incision
46 and aggradation; Prehistorical settlement distributions and agricultural strategies

48 **1. Introduction: Alluvial landscape and the emergence of Chinese civilization on the Central** 49 **Plains**

50 The Songshan Region of Central China is considered the heartland of the origins of Chinese
51 civilization. The well-known legend of the Great Yu's combating the floods vividly depicts the
52 close association between the emergence of the first state and the great floods on the Central
53 Plains as attested by both transmitted historical documents and palaeo-environmental evidence.
54 The historicity of the founding of Xia Dynasty (c. 4000 BP) by the Great Yu has been widely
55 debated and the emerging palaeo-environmental evidence of Holocene great floods seems to
56 support this Wittfogelian-type theory that it was through the organization of large-scale hydraulic
57 infrastructures that kingship and the first state rose on the Central Plains (Yang et al., 2000; Xia
58 and Yang, 2003; Xia et al., 2003; Huang et al., 2007; Li et al., 2009; Huang et al., 2010, 2011;
59 Zhang and Xia, 2011). However, the long-term evolution of aquatic landscapes and how this
60 shaped the developmental discourse of prehistorical societies in the Central Plains remain to be
61 demonstrated.

62 Located in the intermediate region between the Loess Plateau and the North China Plain, the
63 Songshan Region experienced different stages of Holocene environmental changes that were
64 characterized by river aggradation and incision along with surface erosion and formation of river
65 terraces. Multiple reasons were responsible for these episodes of environmental change, including
66 climate changes, tectonic activities and long-term human activities such as deforestation and
67 farming (Rosen et al., 2008; Zhuang and Kidder, 2014; Ren et al., 2019). Fluctuations of
68 hydrological conditions play a decisive role in regional ecological and landscape changes as
69 demonstrated in several recent studies. In her geoarchaeological investigation of the Yiluo river
70 valleys, Rosen (2008) proposed that the stable environment with developed soils and high-water
71 table during the Early Holocene (10,000-8000 BP) favored millet farming by the Peiligang
72 (ca.8000-7000 BP) people. By the end of the Middle Holocene (8000-4500 BP), frequent and
73 rapid hillslope erosion and river valley accretion radically transformed local landscapes. The
74 Yangshao (ca.7000-5500 BP) people started to grow rice on the valley bottoms. Around 2000 BP,
75 with the incision of valley bottoms and the disappearance of wetlands, the scale of rice cultivation
76 was significantly reduced (also see Rosen et al. 2017). Wang et al. (2015) suggested a similar
77 scenario of hydrological fluctuations from the Middle to Late Holocene in the Ying River valley.
78 During the Longshan period (c.5000-4000 BP), the middle Ying River experienced steady
79 sedimentation process as the relative height between the loess tablelands and the river channel
80 beds became stabilized. This favorable hydrological condition enabled rice cultivation and water
81 management through the maintenance of moats and other hydraulic infrastructures. Yu (2016)
82 suggested that there existed an enormous lake in the northeastern Songshan Region between
83 9000-4000 BP with its size reaching around 390 km² at its maximum. But Lu et al.'s recent survey
84 confirms that there was not such an enormous lake with continuously distributed waterbodies
85 around the Shiyuan area of the eastern Songshan region during the Holocene (Lu et al., 2019a).
86 Indeed, despite these recent studies, it remains unclear regarding the scale, chronology,
87 spatial-temporal variations and evolution of Holocene aquatic landscapes on the Central Plains.
88 This hinders our understanding of the relationship between prehistoric settlement distributions and

89 their aquatic environments and how such dynamics fundamentally defined agricultural strategies,
90 water management technologies, and social evolution which led to the emergence of the early
91 state.

92 The key to disentangle these issues lies in conducting well-designed geoarchaeological
93 surveys that take into account alluvial landforms, hydrological fluctuations, and ecosystem
94 changes within reliable chronological frameworks of different geographic units. Such data can be
95 then synthesized with archaeological data towards establishing a more holistic understanding of
96 the long-term dynamics between environmental fluctuations and cultural adaptations in the
97 Central Plains.

98 Here we present results of our multiple-season geoarchaeological surveys in the northeastern
99 Songshan Region of the Central Plains. The surveys were conducted following different steps,
100 including fieldwalking surveys along key alluvial landscape and other geomorphological units,
101 collecting environmental samples from representative sedimentary sequences, processing and
102 analyzing sediment samples, and spatial analysis. Through tracing the Late Pleistocene and
103 Holocene sediments, mainly of loess and reworked loess sediments, we confirmed that loess
104 deposition through wind-blown activities and alluvial aggradation and incision, through which
105 loess was eroded, transported and redeposited, were the two main geomorphological processes
106 which shaped diverse geomorphological landforms following different models in the studied
107 region. In particular, we identified a new type of Holocene geomorphological units, the so-called
108 platform-type plain, which prevailed on the loessic landscape on the Central Plains. These data
109 were synthesized with archaeological data for the reconstruction of models of Holocene landscape
110 evolution, characteristics of prehistoric settlement distributions and their geomorphological
111 contexts, and long-term dynamics between prehistoric cultures and Holocene alluvial landscapes.

112

113 **2. Physical environmental settings and archaeological history of the Songshan Region**

114 Northeastern Songshan Region includes the present Zhengzhou and Xingyang cities. This
115 region lies in the middle of the Central Plains with low mountains and hills (<800m above sea
116 level) standing to the north of the Songshan Mountain range (Fig1). Two tectonic lines
117 fundamentally define the basal geomorphological characteristics of the region. The south-north
118 Laoyachen Line is located in the middle of Zhengzhou city (Hou and Wu, 2000; Fig 2a). The areas
119 to its east experience relative tectonic subsidence and the geomorphological processes are
120 therefore dominated by alluvial aggradation, whilst the areas to its west witness relative tectonic
121 elevation with predominant upward accumulation of loess. Another west-east tectonic line named
122 the Xushui Line sits in the eastern Xingyang city between the loess tablelands and plains (Song
123 and Yuan, 2011; Fig 2a). The areas to its north and south have been elevated and subsided,
124 respectively. There is a loess hill named the Guangwu Mountain with average altitude of around
125 200 m in the northern edge of the region. Surrounded by these loess hills and tablelands, the
126 studied region displays a culvert-shaped terrain that has a flat central part and elevated northern
127 and southern parts.

128 The Yellow River runs through the northern edge of the studied region. The rest of the region
129 is also crisscrossed by several river systems, including the Sishui River and Ku River that flow
130 into the Yellow River, and the Suo, Xushui and Jialu rivers that flow into the Huai River (Fig 1b).
131 The region belongs to the continental temperate-monsoon climate, with an average annual
132 temperature of 14 °C and an average annual precipitation of 600 mm. Wheat and corn are the two

133 main crops at present, and rice is also grown in some areas.

134 Recent archaeological, geoarchaeological, and archaeobotanical studies in the region have
135 provided important evidence for the reconstruction of prehistoric settlement distributions (Lu et al.,
136 2013a, 2013b; Lu et al., 2019b) and subsistence strategies (Jia, 2011; ZMICRA, 2001; Sun, 2018;
137 Bestel et al., 2018). The well-established chronological sequence of Neolithic to Bronze-Age
138 cultures in the Songshan region is summarized in Table 1. Excluding the Lijiagou culture period as
139 not many sites of this period have been found in the region, settlement numbers witnessed steady
140 increase from the Peiligang culture period to the Xia-Shang period (c. 4000-3000 BP) (Table 1;
141 Fig 1b). In terms of the size difference between the contemporary settlements, there was only
142 minor difference between the Peiligang settlements. From the late Yangshao period onwards,
143 considerable difference began to emerge and by the Xia-Shang period, not only did mega-sized
144 sites (>300 hectares) appear, but a marked hierarchy of size and functions was established between
145 these settlements (Lu et al., 2019b).

146 The Peiligang society had begun millet and rice cultivation (Zhang et al., 2012; Wang et
147 al., 2017a; Bestel et al., 2018), but agriculture did not account for the main source of food
148 production as hunting and gathering still dominated the subsistence strategies during the time.
149 From the Yangshao period onwards, agriculture became the primary food subsistence strategy,
150 with the gradual establishment of mixed farming of millets and rice that was continued through to
151 the Longshan and Xia-Shang periods (Lee et al., 2007; Rosen et al., 2017; Wang et al., 2017a).
152 This mixed agriculture was not only practiced at sites that were located on low-elevation locations
153 on the alluvial plains, but also on loess tablelands with relatively higher altitudes (Liao et al.,
154 2019). It is therefore critical to investigate palaeo-hydrological fluctuations around the sites and
155 understand the relationship between subsistence strategies and hydrological fluctuations within the
156 context of long-term evolution of Holocene alluvial landscapes.

157

158 **3. Fieldwork methods and laboratory analyses**

159 **3.1 Geoarchaeological fieldwork**

160 We carried out four seasons of geoarchaeological fieldwork in the studied region from 2016
161 to 2019. Before the fieldwork, we compiled and analyzed topographic maps, geologic maps and
162 remote sensing images of the region. Based on these data, we categorized geomorphological types
163 according to their altitudes and sedimentation histories, and plotted these geomorphological types
164 onto a map. During the field investigation, these different geomorphological landforms and their
165 spatial boundaries were ascertained; and the information was fed back into our modification of a
166 new geomorphological map (Fig 2a).

167 Across the loess regions, the well-studied Pleistocene and early Holocene loess and
168 palaeosols have become diagnostic hallmarks for relative dating and stratigraphic correlation
169 because of their distinctive colour, sedimentation and distribution. For instance, the Holocene
170 palaeosol (normally called S0) is easy to recognize in the field due to its darker color than
171 common loess. The S0 (8000-3000 BP) overlays the Late Pleistocene Malan loess. Similarly, the
172 reworked loess and alluvial sediments, often with fine laminae and darker colour than the loess
173 and palaeosols, are directly identifiable in the field. We examined more than 200 exposed
174 stratigraphic sections in the field. The majority of them represent continuous loess sequences from
175 the late Pleistocene to Holocene. The rest of them represent alluvial sedimentation alternating with
176 events of loess deposition. We chose 35 of such typical alluvial-loess sequences, which are

177 discussed here (Fig 2a and Table 3). The depth, color, texture, composition, sediment sorting of
178 each layer in each stratigraphic section were recorded in detail. The approximate relative ages and
179 sedimentary environment at some typical stratigraphic sections were determined in the field.
180 Through these methods and fieldwork procedures, we identified many Late Holocene and Early
181 Holocene alluvial sequences. We also plotted the RTK-GPS coordinates of these stratigraphic
182 sections on the map and calculated the rough distributions of the alluvial sediments.

183 These representative stratigraphic sections were systematically sampled for OSL dating and
184 particle size distribution analysis. The particle size samples were collected at equal intervals (5cm)
185 from bottom to top of each stratigraphic section or according to their stratigraphic units.

186

187 3.2 OSL dating

188 The standard single-aliquot regenerative-dose procedure of OSL dating was performed to
189 measure the equivalent dose (*De*) at the Laboratory of Digital Environmental Archaeology,
190 Institute of Geographical Sciences, Henan Academy of Sciences of China. In the analytical
191 process, quartz particles of the main component (4-11 μ m) were extracted, after removing the
192 organic matters with 10% H₂O₂ and dissolving the carbonate minerals with 10% HCl. The
193 measuring device was the Lexgyresearch, made in Germany. The uranium, thorium and potassium
194 contents of the samples were measured by neutron-activation-analysis (NAA). When calculating
195 the ages, the *in-situ* water contents measured in the field were adopted, and the standard errors
196 were set at 5%. Additionally, every Aliquots Num made use of the practical aliquots in the
197 calculation (Zhang et al., 2009; Lai and Ou, 2013).

198

199 3.3 Particle size analysis

200 The Particle size analysis was also performed at the same laboratory using the Malvern
201 Particle Size Analyzer 2000 made in UK. Following the standard procedure, the percentage
202 content of clay, silt, sand and median grain size were determined on the machine. Then the data
203 was calculated using the Folk-Ward method and plotted on Grapher to draw the graphs.

204

205 4. Results

206 4.1. Characterizing geomorphological landforms and landscape diversity

207 In the results, we first present our broad-brush reconstruction of Holocene geomorphological
208 processes and diversity of landscape in different areas of the studied region, supported by our
209 results of OSL dating and some published ¹⁴C dates (Tables 2 and 3). This will be followed by a
210 more detailed definition of temporal and spatial variations of Late Pleistocene-Holocene alluvial
211 landscapes in the following session.

212 According to elevation, shape and sedimentation histories, we divided the region into six
213 different geomorphological units/areas, including mountains, loess hills, loess tablelands,
214 platform-type plains, alluvial plains and sand dunes. These units were distributed in two parts of
215 the region demarcated by the tectonic line of Laoyachen: uplands and lowlands. The uplands,
216 including mountains, loess hills, loess tablelands and platform-type plains, are located in the west,
217 whilst the lowlands, including alluvial plains and sand dunes, lie in the east (Fig 2a). Based on our
218 field survey results, we drew two schematic diagrams to show typical sedimentary sequences
219 along two cross-sections in the region, which helped our characterization of diverse
220 geomorphological processes and landforms below.

221 The western uplands are the extension of the Loess Plateau. The mountains, loess hills,
222 tablelands, platform-type plains and another loess hill (Guangwu Mountain) are situated
223 successively from south to north. In front of the scattered mountains, the loess hills are dominated
224 by deeply incised erosion gullies with the formation of terraces during river incision. Typical
225 sedimentary sequence on the valley bottoms consists of Middle to Late Pleistocene loess or
226 reworked alluvial silts from loess parent material at the bottom, which are overlain by thin layers
227 of Holocene loess. Sandy lenses are embedded in the sedimentary sequences on places with
228 relatively low elevations. Most sandy lenses which represented an accretion event were deposited
229 during the Late Pleistocene, judging from their underlying and overlying strata (Fig 2b and c).

230 Compared to the loess hills, the loess tablelands are of relatively lower altitudes, with much
231 broader flat surfaces. Even though the incision on loess tablelands is not as deep as that on loess
232 hills, at some places the incision reaches up to 20 m deep. Similar sedimentary sequences are
233 present, but with more embedded sandy and silt lenses of Late Pleistocene and Holocene ages (Fig
234 2b and c). But the distribution areas of these lenses are very small. The main sediments are
235 dominated by loess in the tablelands.

236 Intermediate between the loess tablelands and the Guangwu Mountain are the so-called
237 platform-type plains, 110-140 m above sea-level. This is a new geomorphological type that we
238 identified during our fieldwork. In terms of geomorphological genesis, platform-type plains
239 represent a new geomorphological category between the tablelands and alluvial plains. Although
240 the flat and broad surface of the platform-type plains is similar to that of alluvial plains,
241 platform-type plains are characterized by deep gullies surrounding them. . This new type of
242 geomorphological landforms is also different from typical tablelands or platforms which are
243 normally deeply and intensively incised by rivers, creating more dramatically fragmented
244 landscapes surrounding them. Because the platform-type plains are mostly situated in the broad
245 loess area, their sediments were also dominated by loess, with embedded alluvial lenses (Fig
246 2b).

247 The eastern lowlands are composed of the alluvial plains and sand dunes. The alluvial plains
248 in the north were the products of the alluvial activities of the Yellow, Jialu and other rivers. On the
249 edges of the alluvial plains, underlying the alluvial sediments were Pleistocene loess deposits.
250 Sand dunes are mainly distributed in the southern part of the lowlands. The top of the sand dunes
251 is around 3-5 m higher than the surrounding surface. These sand dunes were formed by localized
252 wind-blown activities which transported sands from the nearby Yellow River floodplains to the
253 sand dunes (also see Shi, 1983).

254 To sum up, loess deposition and alluvial activities were the two main geomorphological
255 processes that shaped the Pleistocene-Holocene landscapes in the studied region. First, the
256 distribution of Pleistocene-Holocene loess deposits occupied most of the western areas and
257 extended to the edge of the eastern plains. The loess deposition is fundamental to the formation of
258 hills, tablelands, and platform-type plains. Second, river aggradation and downcutting created
259 alluvial plains and erosion gullies and terraces across the eastern and western parts of the studied
260 region. Both Late Pleistocene and Middle Holocene alluvial and fluvial sediments were
261 predominant in the sedimentary sequences representative of tablelands and platform-type plains at
262 the Zhangwuzhai, Zhuzhuang, Sima, Dashigu, Xiaodiaogou (Fig 3a) and Shumuyuan, Mazhuang
263 (Fig 3b) locations, while Middle Holocene alluvial and fluvial sediments were present at the
264 Damiao, Zhaizhai, Zhilubei, Xuecun, Zhencun, Xifeng, Dashigu, Dianjuntai (Fig 3c), Xisulou (Fig

265 3d), Guanzhuang, Zhanmatun, and Xiaowan locations (Fig 2 and Table 3). It is noted that such
266 deposits in the western loess area were buried more shallowly than that in the plains area. The
267 widespread presence of the alluvial and fluvial sediments on top of loess deposits convincingly
268 demonstrates two major Holocene events of alluvial aggradation in the region, following loess
269 deposition.

270

271 4.2 Defining the temporal-spatial characteristics of Pleistocene-Holocene alluvial cycles

272 Our characterization of Pleistocene-Holocene geomorphological processes just discussed
273 confirms the existence of a diverse range of waterbodies, including lakes, wetlands and rivers, in
274 the region. Even on top of the loess hills, there is evidence of Pleistocene-Holocene lakes (Fig 3 e).
275 Yu (2016) has provided some ^{14}C and OSL dates of several alluvial and lacustrine sequences in the
276 region. Our OSL dating of sediment samples collected from typical alluvial and lacustrine
277 sequences after careful geomorphologic examination of their sedimentary and stratigraphic
278 contexts in the field significantly supplements the existing data towards the establishment of a
279 reliable chronological framework for the Pleistocene-Holocene alluvial dynamics (Table 2).
280 Combined with Yu's data, these data and field observations (Table 3) enable us to reconstruct the
281 temporal-spatial framework of alluvial cycles of river aggradation and incision from the Late
282 Pleistocene to Late Holocene.

283 Stage one: Late Pleistocene and Early Holocene regional alluvial accretion

284 In the loess area, following the large-scale valley incision during MIS-3 (55-25 ka BP) which
285 led to the formation of erosion gullies of more than 10 m deep was the Late-Pleistocene alluvial
286 accretion. This process is best illustrated in the Shumuyuan profile located on a tableland near the
287 loess hills (Nos. 3 and 11 in Fig 2a). Situated on the second-order terrace of the Jialu River, the
288 relative height difference between the terrace surface and the present river water table is more than
289 20 m. The 6 m-deep profile can be divided into five layers stratigraphically (Fig 4; Table 4). The
290 alluvial sediments in the Layer 5 contain more medium-sized and coarse-sand-sized particles
291 compared to the underlying layer, which can be associated with a strong hydrodynamic
292 sedimentary condition. Layer 3 represents typical lacustrine facies that was dominated by fine
293 silt-sized particles. Although this size range is close to that of typical wind-blown loess in the
294 overlying and underlying layers of the same sequence, the grayish colour sediments and their
295 fine-laminae sedimentation structure clearly indicate a reducing depositional environment under
296 water (Fig 4a and 4c). Two OSL dates obtained from the bottoms of layers 5 and 3 firmly falls into
297 the timespan of the Terminal Late Pleistocene (10253 ± 644 BP, note this OSL date situates within
298 the boundary between the Pleistocene and Holocene) and Early Holocene (9356 ± 462 BP),
299 respectively (Table 2).

300 Two layers of typical lacustrine facies with fine laminae were also present in the 7.5 m-deep
301 stratigraphy of the Xisulou-Lizhai (XSL-LZ) profile in the platform-type plains (Nos. 10 and 23 in
302 Fig 2a). The particle sizes of these two darkish-coloured layers (layers 3 and 6) were dominated by
303 fine-sized particles (Fig 4 and Table 5), indicative of a sedimentation regime close to a still water
304 environment. The lower layer 6 is directly dated by OSL dating to 9452 ± 485 BP (Table 2). One of
305 the direct consequences of this Late-Pleistocene alluvial accretion was rapid filling up of the
306 eroded gullies and the rising ground water level (Fig 5b; Table 5). The existence of sizable
307 waterbodies during this stage is clearly evidenced by the thick alluvial sediment on the higher
308 geomorphological position.

309 Late-Pleistocene and Early Holocene alluvial sediments were also found in the eastern plains
310 area. There was a set of fluvial sediments 13.8-28.6 m below the ground surface at the Putian
311 location, which was OSL dated to between 11000-6000 BP (Table 3). A similar stratum is also
312 present at the Dahecun location. The age of the alluvial sediments 13.3 m below the ground
313 surface is 12000-9000 BP (Table 3). As discussed in section 4.1, the wide distribution of such
314 alluvial sediments indicated that the Late-Pleistocene and Early-Holocene alluvial accretion was a
315 prominent event taken place across the region.

316 **Stage two: Early Holocene river incision in the loess area**

317 Evidence of the Early Holocene alluvial incision is present in both the tablelands and
318 platform-type plains areas. At the XSL-LZ location of the platform-type plains area, the
319 Early-Holocene lacustrine layer was cut by a shallow channel (Fig 5b). The river incision around
320 the Shumuyuan location was at a much larger scale, resulting in the formation of 10 m deep
321 erosion gully. This phenomenon can be more commonly seen in the tablelands area, although the
322 depth of gullies formed at that time was shallower than that of modern valleys.

323 Unlike the loess area, the eastern plains area was marked by the continuous
324 alluvial-lacustrine aggradation at the same time. The ¹⁴C dates of alluvial sediments extend to the
325 Early-Middle Holocene at both the Putian (11,000-6000 BP) and Dahecun (12,000-9000) locations
326 (Table 3, but note that results of the ¹⁴C dating at Putian is not desirable due to the large timespan
327 it covers).

328 **Stage three: Middle Holocene regional alluvial aggradation**

329 There was a prolonged episode of alluvial aggradation in the loess area after the
330 Early-Holocene river incision. This process resulted in different geomorphological features in the
331 tableland and platform-type plains areas. At the XSL-LZ location of the platform-type plains area,
332 the gully formed in the Early Holocene was filled up during the Middle Holocene, overlain by
333 typical lacustrine sediments with fine laminae (Fig 5a). The age is OSL dated to 4709±216 BP
334 (Table 2). The Middle-Holocene alluvial sediments were absent in the high-elevation position of
335 tablelands.

336 The eastern plains area continued to be silted up in the Middle Holocene as evidenced by the
337 presence of alluvial sediments at the Putian and Dahecun locations (Table 3).

338 **Stage four: river incision in loess area after 4000 BP**

339 As mentioned above, the Middle Holocene witnessed the recommencement of alluvial
340 aggradation. At the XSL-LZ location of the platform-type plains area, the age of loess covering on
341 top of lacustrine facie is OSL dated to 3193±161 BP (Table 2). The lakes and wetlands were
342 drained by river downcutting process before the onset of loess accumulation. In the tablelands area,
343 the rivers cut the surface more deeply with lateral erosion. The present deep and wide valleys were
344 formed during this stage.

345 In the meantime, the eastern plains area continued to be silted up. The date of alluvial
346 sediment extends to as late as 2900 BP at the Putian location (Yu, 2016).

348 **4.3 Reconstructing models of the evolution of alluvial landscapes**

349 According to our preliminary reconstruction of chronological frameworks and
350 geomorphological processes discussed in sections 4.1 and 4.2, the Late Pleistocene and Holocene
351 alluvial landscapes in the Songshan Region followed several episodes of incision-aggradation
352 cycles. The scale and magnitude of these alluvial landscape cycles, however, vary from area to

353 area. Such temporal-spatial variations can be attributed to the formation of the three models of
354 alluvial landscape evolution summarized below, including the hill-tableland model, the
355 platform-type plain model, and the alluvial plain model (Fig 6), which are demonstrated in the
356 schematic illustrations in Figure 6 and summarized below.

357 During the Late Pleistocene the hills and tablelands in the region were already incised more
358 than 10 m in depth. This was followed by a large-scale alluvial accretion, during which the deeply
359 incised erosion gullies were filled up by alluvial deposits and reworked loess deposits. This
360 episode of valley accretion was continued through to the Early Holocene. This lengthy period of
361 valley accretion resulted in rising water table because of the significantly reduced height
362 difference between the valley bottom and river water level. From around 9000-8000 BP, another
363 episode of valley downcutting resumed. Even though the scale of this new episode of valley
364 incision was small, it effectively drained the lakes, wetlands, and swamps in the region. A process
365 similar to the Late Pleistocene and Early Holocene valley accretion was repeated during the
366 Middle Holocene, which lasted to until 4000 BP. The modern landscape in this area that is
367 characterized by extremely deep erosion gullies is largely a product of another episode of valley
368 incision after 4000 BP. Along with this deep incision of valley bottoms was an equally large-scale
369 river bank erosion. The valleys now became broad and deep. Although there was another cycle of
370 accretion-incision in the valleys taken place during the historical period, the scale was far smaller
371 and it was never able to fill up the valley to a substantial level (Fig 6a).

372 The evolution of alluvial landscapes on the platform-type plains area since the Late
373 Pleistocene followed a very similar path to that of the hills and tablelands areas, but the associated
374 sedimentation processes and timing of these geomorphological changes are quite different. The
375 Late Pleistocene witnessed a large-scale alluvial aggradation in the broad valleys. This event of
376 alluvial aggradation ceased during the Early Holocene, giving way to another cycle of
377 smaller-scale alluvial incision and large-scale alluvial aggradation. The former drained low-lying
378 areas, whilst the latter was divided into two stages that were caused by different
379 climate-environmental mechanisms. The early stage was dominated by loess accumulation; during
380 the later stage, with the return to a warm and humid climate, rivers began the aggradation again
381 with the formation of typical aquatic landscape that was dominated by lakes and wetlands.
382 Because the magnitude of the Middle Holocene alluvial aggradation exceeded the depth of Early
383 Holocene valley incision, the alluvium continued to accumulate after completely filling up the
384 valley. This alluvial landscape can be considered a typical buried terrace. The Late Holocene
385 (4000 BP) also witnessed a large-scale alluvial incision that cut the valley to up to 10 m deep, with
386 the disappearance of lakes, wetlands and swamps. The present landscape of the area was
387 fundamentally shaped by this Late-Holocene alluvial incision and smaller-scale
388 aggradation-incision cycle during the historical period (Fig 6b).

389 Significantly different from the two models discussed above, the alluvial plains area
390 (including the sand dunes area) experienced multiple episodes of alluvial aggradation of thick
391 alluvial-fluvial sediments since the Late Pleistocene (Fig 6c).

392 393 **5. Discussion**

394 **5.1 The Holocene aquatic landscape: revisiting the enormous-lake hypothesis**

395 The existence and scale of the Xingze wetlands near Zhengzhou has long been debated (cf.
396 Wang et al. 2012). The enormous scale and long duration of the Holocene lake in the northeastern

397 Songshan Region suggested by Yu (2016) is striking, even compared to the suggested size of the
398 Xingze wetland. According to Yu, the size of this lake reached 390 km² during the Early Holocene
399 (Fig 1) and retained a remarkable size of 9800 ha even during the Late Holocene dry period. Our
400 survey results, however, do not support Yu's reconstruction of the Holocene aquatic landscapes.
401 Although many lakes, marshes and wetlands did exist in the studied region between 9000-4000 BP,
402 these waterbodies were separated by loess tablelands. The size of them was much smaller than
403 suggested by Yu (2016). For instance, we have found that, according to the distribution area of the
404 lacustrine sediments (Fig 7), the Xisulou wetland only measured around 200 ha. This is in stark
405 contrast to Yu's reconstruction in terms of the size of the wetlands or lakes (see Fig 7 for the
406 comparison of the different scales of them by Yu and us). Around 1000 m to the northwest of
407 Xisulou was the Dianjuntai location (No.24 in Fig 2a and Table 3) where lacustrine sediments
408 were also present. The late Yangshao (5500-5000 BP) Dahecun-style pottery sherds found here
409 indicate that the sedimentation age was also around Middle to Late Holocene. These two wetlands
410 were not connected but clearly separated by the high loess belt as confirmed in our field survey
411 (Fig 7).

412 Our field survey also found that the western loess area experienced several fluvial
413 deposition-erosional cycles since the terminal Late Pleistocene. A large-scale alluvial aggradation
414 took place in the Middle Holocene, resulting in the formation of lakes, marshes and wetlands. Our
415 field survey confirmed a close spatial correlation between these ancient waterbodies and present
416 river courses as shown in Figure 8. The alluvial-lacustrine sediments were often found located on
417 both sides of modern valleys or beside ancient river channels (Fig 8). These small-scale
418 waterbodies dried out during the following event of river incision in historical periods.

419 Contrary to the western loess area, the eastern plains area experienced continuous siltation.
420 Relatively larger-scale waterbodies such as at the Dahecun location (No.15 and 32 in the Fig 2a
421 and Table 3) and the Putian location (No.16 and 34 in the Fig 2a and Table 3) were formed. In the
422 low-lying area, some of these lakes converged into large water areas and lasted throughout the
423 Late Pleistocene and Early-Middle Holocene period. During 4000-3000 BP, these large lakes
424 shrank and gradually disappeared under a dry and cool climate (Chen et al., 2015).

425 To sum up, the western loess area has experienced several fluvial deposition-erosional cycles
426 since the terminal Late Pleistocene. In particular, a large-scale aggradational process took place in
427 the Middle Holocene. We therefore suggest that the Middle Holocene aquatic landscape in the
428 studied region was a mosaic one, different from what is suggested by Yu (2016) that large areas of
429 the region were covered by water. These isolated, mosaic wetlands, marshes and lakes and the rich
430 ecosystems surrounding them supported the development and growth of prehistoric societies in the
431 region.

432

433 **5.2 Relationship between Holocene alluvial landscapes, prehistoric settlement distributions,** 434 **and development of agricultural strategies**

435 As the regional cross-section (Fig 2c) and schematic reconstruction (Fig 9) show, prehistoric
436 settlements were mainly distributed on flat land surrounded by rivers and small lakes with rich
437 biomass (Wang et al, 2019) to sustain subsistence practices. On the temporal scale, the changing
438 distribution patterns of Neolithic and Bronze-Age settlements were closely related to evolution of
439 Holocene landscapes (Lu et al., 2014). Our mapping of prehistoric settlements shown in Figure 1
440 clearly demonstrates such a close relationship between settlement distributions and Holocene

441 landscape evolution. With the Laoyachen Tectonic Line as the boundary, the ancient settlements
442 were distributed differently between the western loess area and eastern plains area. A large number
443 of early settlements (Peiligang, Yangshao and Longshan periods) were distributed in the loess area.
444 By contrast, only very few early settlements were distributed in the edge of the plains area (Fig
445 1b), although the possibility that some settlements might be buried by later Holocene alluvium
446 cannot be ruled out entirely. After 4000 BP, the Xia-Shang people began to occupy the eastern
447 plains area. Settlements began to mushroom in the plains area. This development coincided with
448 the evolution of regional alluvial landscapes. The waterlogged environment in the eastern plains
449 area during the Early-Middle Holocene was uninhabitable. It was only after 4000 BP when
450 waterbodies began to shrink and land started to emerge under a drier and cooler climate which
451 made the area hospitable.

452 A similar process of settlement-landscape dynamics can be seen in the platform-type plains
453 of the western loess area. During the Early-Middle Holocene alluvial aggradation, only a few
454 Peiligang, Yangshao and Longshan settlements were situated on the platform-type plains. After
455 4000 BP, the lakes and swamps in the area began to drain along with the river incision process and
456 increasingly more Xia-Shang settlements were built on the platform-type plains.

457 In terms of the development of settlement hierarchy, Lu et al. (2013a) suggest that there was
458 not obvious size difference between the Peiligang period settlements. During the late Yangshao
459 period, the scale of settlements began to diverge. Some settlements became much larger than
460 others, with more complicated configurations of settlement structures (e.g., multi-circular moats).
461 By the Longshan period, a well-developed three-tier settlement hierarchy was established. The
462 growth of Longshan period settlements on the loess areas was profoundly restrained by the local
463 landforms. The largest settlements in the areas did not exceed 100 ha in size. With the expansion
464 of Xia-Shang period settlements to the eastern plains area after 4000 BP, a more complex
465 settlement structure emerged. This was characterized by the development of a four-tier settlement
466 hierarchy (Liu, 2005; Lu et al., 2019b) and the appearance of mega-scale site at the first-tier such
467 as the Zhengzhou Shang City (25 km²) as the capital of Early-Shang Dynasty (c. 1600 BC) on the
468 plains area. The region was characterized by the mixed agriculture including dry farming and rice
469 farming from the Neolithic to Bronze Age periods (Zhang et al, 2012; Wang et al, 2017a).
470 Carbonized millet and rice grains were found at many sites (Bestel et al. 2018; Wang et al, 2017b)
471 that were occupied for a lengthy period from the Peiligang, Yangshao, Longshan and Xia-Shang
472 periods. Some early settlements (from Peiligang to Longshan periods) were even situated on the
473 high-elevation geomorphological units such as the loess hills and tablelands (Liao et al, 2019).
474 The lengthy, multiple-episode alluvial aggradation created many small lakes, marshes and
475 wetlands, interlacing with the higher loess areas during the Middle Holocene. Such environments
476 supported the development of mixed farming practice that included planting rice on places close to
477 the waterbodies and dryland crops such as foxtail and broomcorn millets on relatively drier
478 grounds (Rosen et al., 2017). With the river incision and reduction of wetlands after 4000 BP, the
479 hydrological condition became unsuitable for rice farming. The loess areas began to be dominated
480 by dryland agriculture. Rice farming became rare even on low-lying areas of the platform-type
481 plains area during the Xia-Shang period (Qiu et al., 2018).

483 6. Conclusions

484 In the northeast Songshan Region, through intensive geoarchaeological survey, we

485 identified three distinctive models of the evolution of alluvial landscapes in three
486 geomorphological areas. In the higher loess hills and loess tablelands areas, the rivers were
487 characterized by large-scale alluvial aggradation during the terminal Late Pleistocene. Following a
488 slight alluvial incision during the Early Holocene, alluvial aggradation was predominant
489 throughout the Middle Holocene. After 4000 BP, a marked episode of river-downcutting started
490 again. The platform-type plains area experienced a similar process of Holocene landscape
491 evolution. The rivers incised slightly during the Early Holocene, but the Middle Holocene alluvial
492 aggradation was of a high magnitude. Many areas were mantled by the overflowing alluvial
493 deposits, forming the buried terrace. Dissimilar from the aforementioned loess area, the east plains
494 area was marked by continuous alluvial aggradation from the Late Pleistocene onwards, although
495 the scale of aggradation varied in different periods.

496 The prolonged Middle Holocene alluvial aggradation has resulted in the formation of many
497 lakes, marshes and wetlands. However, these waterbodies were not interlinked with each other
498 directly. Rather, the Middle Holocene aquatic landscape was characterized by mosaic waterbodies
499 separated by high-elevation tablelands. Such aquatic landscape and hydrological condition were
500 most typical in the platform-type plains area. The spatial distribution of the lacustrine deposits
501 indicated that these Holocene wetlands and lakes were often connected with the contemporary
502 river valleys. Therefore, their spatial variations were closely related to Holocene alluvial incision
503 and aggradation.

504 The Middle Holocene aquatic landscape laid the environmental foundation for the
505 development of mixed rice and millet agriculture in the region from the Peiligang to Longshan
506 periods. Similarly, the spatial and temporal changes of prehistoric and Bronze-Age settlement
507 patterns were profoundly constrained by the evolution of Holocene alluvial landscapes. The
508 Peiligang period settlements were mainly distributed on the loess hills and tablelands near the
509 mountain. In the Yangshao-Longshan periods, people started to exploit the flat and open
510 platform-type plains area with optimal hydrological condition for intensifying agricultural
511 production. During the Bronze Age period when the climate became drier and cooler, the size of
512 the waterbodies in the plains area was significantly reduced. This change coincided with the rapid
513 expansion of Bronze-Age settlements and thus would have impelled the Bronze-Age occupants to
514 reclaim the flatter and open lands on the plain.

515 Our systematic investigation of the evolution of Holocene alluvial landscapes clearly
516 demonstrates that even within a moderate-sized region, the models and spatial-temporal variations
517 of long-term geomorphological changes followed much more complicated and diverse discourses.
518 The dynamics between geomorphological changes, hydrological fluctuations and human activities
519 fundamentally shaped characteristics and paths of prehistoric and Bronze-Age social development
520 in the heartland of Central China. Disentangling such dynamics holds significance to further
521 understanding the rise of early Chinese civilization and its environmental foundation. Our case
522 also offers a meaningful comparison to similar studies in other civilization regions of the world.

523

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