

1 **Late Cretaceous bird from Madagascar reveals novel development of beaks**

2

3 Patrick M. O'Connor^{1,2,3,*}, Alan H. Turner⁴, Joseph R. Groenke¹, Ryan N. Felice⁵, Raymond R.
4 Rogers^{3,6}, David W. Krause^{3,4}, & Lydia J. Rahantarisoa⁷.

5

6 ¹Department of Biomedical Sciences, Heritage College of Osteopathic Medicine, Ohio
7 University, Athens, Ohio 45701, USA.

8 ²Ohio Center for Ecology and Evolutionary Studies, Ohio University, Athens, Ohio 45701 USA.

9 ³Department of Earth Sciences, Denver Museum of Nature & Science, Denver, Colorado 80205,
10 USA.

11 ⁴Department of Anatomical Sciences, Stony Brook University, Stony Brook, New York 11794,
12 USA.

13 ⁵Centre for Integrative Anatomy, Department of Cell and Developmental Biology, University
14 College London, London, WC1E 6AP, United Kingdom.

15 ⁶Geology Department, Macalester College, 1600 Grand Avenue, St. Paul, Minnesota 55105,
16 USA.

17 ⁷Département de Sciences de la Terre et de l'Environnement, Université d'Antananarivo,
18 Antananarivo (101), Madagascar.

19

20 Mesozoic birds display striking differences in size, flight adaptations, and feather
21 organization¹⁻⁴, but exhibit relatively conserved patterns of beak shape and development⁵⁻⁷.
22 Neornithine (= crown group) birds exhibit constraint on facial development⁸⁻⁹ as well, but
23 also comparatively diverse beak morphologies associated with a range of feeding and
24 behavioral ecologies. Here we report a new, crow-sized stem bird, *Falcatakely forsterae* gen.
25 et sp. nov., from the Late Cretaceous of Madagascar that possesses a remarkably long and
26 deep rostrum, a novel expression of beak morphology previously unknown among
27 Mesozoic birds and superficially similar to that of a variety of crown group birds (e.g.,
28 toucans). The rostrum of *Falcatakely* is composed of an expansive edentulous maxilla and a
29 small tooth-bearing premaxilla. Morphometric analyses of individual bony elements and
30 three-dimensional rostrum shape reveal the development of neornithine-like facial
31 anatomy despite the retention of maxilla-premaxilla organization similar to that of
32 nonavian theropods. The unique patterning and increased height of the rostrum in
33 *Falcatakely* reveals a degree of developmental lability and increased morphological
34 disparity unknown in early-branching avialans. Expression of this novel phenotype (and
35 presumed ecology) within a stem bird underscores that consolidation to the neornithine-
36 like premaxilla-dominated rostrum was not an evolutionary prerequisite for beak
37 enlargement.

38

39

40

41

42 Understanding of Mesozoic bird evolution continues to improve, driven predominantly by
43 discoveries from the Early Cretaceous of China^{1-3,6}. Although these specimens exhibit striking
44 variation in body size, soft-tissue anatomy, and inferred ecologies^{2-4,10,11}, disparity in Mesozoic
45 avialan cranial shape remains restricted to a relatively limited number of forms considered to be
46 either generalists or substrate-probing specialists^{5,6,12-15} only distantly related to crown birds. The
47 Late Cretaceous (~100–66 Myr ago) chapter of avialan evolution remains relatively incomplete
48 owing to a paucity of new fossil discoveries (although see recent works on birds such as
49 *Ichthyornis*¹⁶ and *Asteriornis*¹⁷). Thus, new fossils of Late Cretaceous birds are essential for
50 refining hypotheses relating to avialan morphological evolution and diversification.

51 The phylogenetic diversity of early branching (non-neornithine) Mesozoic birds is
52 dominated by enantiornithines, heralded as the first avialan diversification and characterized by a
53 range of body sizes and inferred habits^{2,14,18-21}. This radiation is notable for its apparent near-
54 global distribution throughout most of the Cretaceous. An exceptionally well-preserved partial
55 cranium of a previously unknown enantiornithine (University of Antananarivo [UA] 10015)
56 from the latest Cretaceous (Maastrichtian) of Madagascar falls within a critical temporal-spatial
57 gap (i.e., the entire Cretaceous of Afro-Madagascar, for which very few avialans are known).
58 The specimen expands our knowledge of realized cranial shape disparity, in terms of both
59 morphological details and proportions of elements, within the enantiornithine radiation and
60 Mesozoic birds as a whole.

61

62 **Systematic palaeontology**

63

Theropoda Marsh, 1881

64

Paraves Sereno, 1997

65 Avialae Gauthier, 1986
66 Ornithothoraces Chiappe, 1995
67 Enantiornithes Walker, 1981
68 *Falcatakely forsterae* gen. et sp. nov.

69
70 **Etymology.** “*Falcata*” (from Latin *falcatus*), meaning armed with a scythe, in reference to shape
71 of rostrum; “*kely*” (Malagasy), meaning small; “*forsterae*,” in recognition of Catherine A.
72 Forster’s contributions to work on Madagascan paravians.

73 **Holotype.** Partial cranium (University of Antananarivo [UA] 10015) consisting of rostrum,
74 palate, and periorbital regions (Fig. 1, Extended Data Figs. 1 & 2, Supplemental Videos 1–8).

75 **Locality and horizon.** Locality MAD05-42, Berivotra Study Area, Upper Cretaceous
76 (Maastrichtian; 72.1–66 Myr ago) Anembalemba Member, Maevarano Formation, Mahajanga
77 Basin, northwestern Madagascar²².

78 **Diagnosis.** Differs from other paravians based on the following combination of features
79 (*indicates autapomorphies): extended, high maxilla that forms dorsal contour of rostrum*;
80 dimpled texture on nasal and lacrimal, particularly on triangular caudodorsal process of latter*;
81 lacrimal with caudally expanded ventral process*; large, flat jugal process of postorbital*.
82 Further differs from most avialans by: long, straight quadratojugal process of jugal; antorbital
83 fenestra nearly as long as tall. Further differs from other enantiornithines by: premaxilla slots
84 into extended V-shaped sulcus of maxilla*; narrow rostrum (width at premaxilla-maxilla
85 junction estimated at ~15% maximum width at rostral margin of orbit); nasal with distinct fossa
86 near rostral end*.

87 **Remarks.** Avialae and Neornithes are used herein to delimit increasingly less inclusive
88 monophyletic assemblages of theropod dinosaurs. Avialae (= “birds”) refers to all theropods
89 closer to living birds than to dromaeosaurids and troodontids (i.e., a stem-based monophyletic
90 group containing *Passer domesticus* and all theropods closer to it than to *Dromaeosaurus* or
91 *Troodon*). Neornithes represents the crown group of birds and is the equivalent of Aves (sensu
92 ref. ²³); see Supplementary Information for additional phylogenetic definitions.

93

94 **Cranial Osteology**

95 UA 10015 pertains to an enantiornithine bird (estimated cranial length = 8.5 cm) with a
96 high, but extremely narrow, preorbital region (Fig. 1). The lightly built face consists of a long,
97 high edentulous maxilla and a short, tooth-bearing premaxilla, forming a rostrum unlike that of
98 any known bird. The external nares are rostrally positioned and widely separated from a large,
99 parallelogram-shaped antorbital fenestra. The premaxillae are fused rostrally and exhibit a short
100 frontal process as in some other enantiornithines^{5,24,25}. The maxillary process is short and slots
101 into a V-shaped concavity on the maxilla (Fig. 1b, c; Extended Data Figs. 1f, 2c). A single,
102 conical, unserrated tooth is preserved in the left premaxilla; the presence of additional
103 premaxillary teeth is uncertain due to incomplete preservation.

104 The maxilla (<1 mm thick) of *Falcatakely* is unique among avialans in being extremely
105 high and long, and forming at least 90% of the reconstructed pre-orbital rostrum height (Fig. 1c).
106 It is unfenestrated, a condition shared with Enantiornithes (Supplementary Information), and
107 lacks an antorbital fossa; it also preserves detailed vascular sulci over its surface indicating the
108 presence of an expansive keratinous rhamphotheca (beak) in life²⁶ (Fig. 1a, Supplemental 3D
109 PDF S1). The premaxillary process is well developed and forms part of the ventral border of the

110 external naris. An elongate, tapering caudoventral projection contributes to the jugal bar,
111 delimiting the ventral border of the orbit where it underlies the lacrimal boot.

112 The elongate nasals expand in width caudally and are unique in possessing dorsomedially
113 positioned fossae near the external nares (Extended Data Fig. 1b). The mid-portion of the nasals
114 are broad and vaulted, as in bohaiornithid enantiornithines^{5,27} and express surface dimpling on
115 the lateral margin near the articulation with the lacrimal (Extended Data Fig. 1b–d). μ CT-
116 mediated reconstruction of *Falcatakely* reveals a nearly complete right lacrimal (Fig. 1c,
117 Supplemental 3D PDF S2). The dorsal half of the element is T-shaped, as in avialans such as
118 *Archaeopteryx*, *Pengornis*, and *Parapengornis*^{2,27}. The rostradorsal process is considerably
119 longer than the caudodorsal process and is most similar to the condition in pengornithid
120 enantiornithines (e.g., *Parapengornis*)¹⁰. The caudodorsal process is unique among avialans in
121 morphology, being sub-triangular in shape, dimpled, and pneumatic (Extended Data Fig. 1d).
122 The ventral ramus is longer than either the caudodorsal or rostradorsal process, and is
123 extensively excavated, as in pengornithids and bohaiornithids^{2,19,27}. The ventral ramus terminates
124 as a caudally expanded boot that sits just dorsal to the overlapping portions of the jugal and
125 maxilla (Fig. 1c).

126 The jugal is triradiate with a long, dorsoventrally restricted maxillary process, a distinct
127 postorbital process, and an extended, bar-like quadratojugal process (Extended Data Fig. 1e). In
128 contrast to most avialans^{21,28}, the quadratojugal process is long and directed straight caudally,
129 forming the ventral border of the infratemporal fenestra. The quadratojugal process is not
130 bifurcated as in most non-avialan theropods and some phylogenetically early-branching birds
131 like *Sapeornis*²⁹. The right postorbital (Extended Data Fig. 1e) is represented only by its ventral
132 process, which is flat and tapering, and quite unlike any known amongst avialans, or paravians

133 generally³⁰. At least 15 scleral ossicles are present, enough to estimate the external diameter of
134 the scleral ring at 16–18 mm (Fig. 1c).

135 The digitally reconstructed palate of UA 10015 (Extended Data Fig. 2) reveals a
136 substantial level of detail not typically observable in Mesozoic avialans. The palatine is
137 triradiate, with a long, thin rostral process that abuts the maxilla (Extended Data Fig. 2a). The
138 palatine does not contact the jugal and only modestly contacts the pterygoid, but shares an
139 elongate contact with the ectopterygoid. A dorsomedially directed choanal process sweeps
140 toward the midline to join its antimeres. UA 10015 preserves only the thin rostral processes of the
141 pterygoids; these are in close association with the palatines (Extended Data Fig. 2a). The
142 ectopterygoid, an element that is unknown in most Cretaceous avialans^{24,31}, is represented by a
143 robust body and a thin, elongate, uncinuate process that contacts the jugal bar (Extended Data Fig.
144 2a). The vomers are represented by two thin, dorsoventrally restricted laminar plates that extend
145 rostrally between the two maxillae (Extended Data Fig. 2a, c). Thin sheets of bone are present
146 just rostral to the pterygoids, potentially representing the expanded caudal end of the vomer,
147 reminiscent of the condition in *Gobipteryx*^{24,31}.

148

149 **Mosaic Evolution in the Avian Beak**

150 Our phylogenetic analyses recover *Falcatakely* nested within Enantiornithes (Fig. 2,
151 Extended Data Figs. 3, 4). The long, deep, and narrow rostrum of *Falcatakely*, dominated by an
152 expanded maxilla, stands in stark contrast to the premaxilla+maxilla-formed facial region of
153 other enantiornithines and more crownward non-neornithines. Even among rostrally elongated
154 ornithothoracine taxa such as *Longipteryx*, *Longirostravis*, and *Dingavis*, this morphology is

155 achieved through concomitant reduction in premaxillary and maxillary height as bones elongate
156 along the rostrocaudal axis^{5,6,12,14,15}.

157 Quantitative assessment of non-avialan and avialan (including Neornithes) facial shape
158 demonstrates the unique combination in *Falcatakely* of a derived cranial phenotype (i.e., a
159 neornithine-like expanded rostrum) formed by an underlying plesiomorphic paravian skeletal
160 framework. We used 2D geometric morphometrics (Fig. 3) to compare maxillary and
161 premaxillary shape in UA 10015 with that of a sample of fossil non-avialan theropods, as well as
162 the crown birds *Gallus gallus* (red junglefowl) and *Nothoprocta pentlandii* (Andean tinamou).
163 Principal component analysis (PCA) reveals that species group together based on the ratio of
164 maxillary to premaxillary size (PC axis 1) and the ratio of rostrocaudal length to dorsoventral
165 height of both elements (PC axis 2). Despite having maxillary and premaxillary proportions
166 similar to those of non-avialan theropods (e.g., paravians, oviraptorosaurs, ornithomimosaur),
167 *Falcatakely* exhibits an overall rostrum phenotype convergent upon a number of neornithine
168 groups.

169 The configuration of the individual skeletal elements in *Falcatakely* is more similar to the
170 non-avialans *Microraptor* and *Zanabazar* than to ornithuromorphs (including neornithines) due
171 to the expanded maxilla and relatively small premaxilla. Nonetheless, the three-dimensional
172 shape of the pre-orbital facial skeleton closely resembles that of some extant birds (Extended
173 Data Figs. 5, 6), as assessed using 3D geometric morphometrics to compare the shape of the
174 maxilla, premaxilla, and nasal within a sample of 349 extant birds (Supplementary
175 Information)³². PCA of rostrum shape reveals that *Falcatakely* occupies a position in whole-
176 rostrum morphospace that is quantitatively similar to those of a number of unrelated
177 neornithines, including members of Ramphastidae (toucans), Phaethonidae (tropicbirds),

178 Columbidae (pigeons and doves), and Tyrannidae (tyrant flycatchers) (see interactive 3D plot
179 associated with online materials).

180 The discovery of *Falcatakely* expands the realized cranial morphology among known
181 non-neornithine birds considerably. Analysis of its three-dimensional anatomy reveals a stem
182 bird occupying a previously unrealized position in rostrum morphospace and potentially
183 exploiting an ecology not again seen until the diversification of crown-group birds in the mid-
184 Cenozoic. A partial emancipation of the palate from the facial skeleton (i.e., loss of jugal contact
185 with the palatine) concurrent with heretofore unappreciated rostrum elaboration suggests that
186 these regions are functionally and developmentally integrated^{16,31,33}. Interestingly, a mosaic
187 pattern of palatal release is exhibited among stem avialans, at least insofar as the functional
188 demands of the rostrum/beak in *Falcatakely* appears to have required reinforcement of the
189 connections to the rear of the face. These connections are maintained through both the
190 ectopterygoid and with retention of the robust postorbital linkage, despite the loss of the mid-
191 face palatine connection to the jugal bar. Such an arrangement was likely necessary for
192 stabilizing the mid-portion of the cranium and the long, high, and extremely narrow rostrum.
193 Although incomplete, the presence of a robust postorbital further indicates a rigidly enforced
194 caudal region of the cranium⁷.

195 The maxilla-dominated facial skeleton of *Falcatakely* reveals two important insights for
196 the evolutionary history of birds. First, the ancestral developmental patterning of rostrum
197 construction in basal avialans has generated neornithine-like cranial phenotypes not recognized
198 in the fossil record until now. Second, the developmental reduction of the maxilla previously
199 inferred for Ornithothoraces^{7,9} was not a fixed trait, at least among enantiornithine birds. Thus,
200 consolidation to a premaxilla-dominated rostrum, a hallmark of all living birds, was not an

201 evolutionary prerequisite for rostrum, and thus, beak enlargement. More generally, this is
202 consistent with a growing appreciation for the flexibility of underlying developmental
203 mechanisms^{8,34,35} that may be responsible for generating convergent morphology among
204 distantly related forms. With *Falcatakely*, this appreciation can now be extended to the deep time
205 avialan record. The discovery of *Falcatakely* expands the ecomorphological potential realized by
206 enantiornithines and Mesozoic birds more generally^{3,18}. This new appreciation of avialan
207 anatomy underscores the potential for significant variability in trophic ecology during the first
208 great diversification of the group during the Cretaceous Period^{5,6,36,37}.

209

210 **Online Content**

211 Detailed methods, along with additional Extended Data display items, Source Data, Nature
212 Research reporting summary, details of authors contributions and competing interests statement,
213 etc. are available in the online version of the paper at <https://XXXX XXXX XXXX>; references
214 unique to these sections appear only in the online paper. Additional supporting information (e.g.,
215 interactive PDFs; matrices and executable files) are available on DRYAD at:
216 <https://doi.org/10.5061/dryad.mkkwh70wg>.

217

- 218 1. Xu, X. et al. An integrative approach to understanding bird origins. *Science* **346**, 1–10
219 (2014).
- 220 2. Zhou, Z., Clarke, J. & Zhang, F. Insight into diversity, body size and morphological
221 evolution from the largest Early Cretaceous enantiornithine bird. *J. Anat.* **212**, 565–577
222 (2008).

- 223 3. Brusatte, S. L., O'Connor, J. K. & Jarvis, E. D. The origin and diversification of birds. *Curr.*
224 *Biol.* **25**, R888–R898 (2015).
- 225 4. O'Connor, J. K. in *The Evolution of Feathers* (eds Foth, C. & Rauhut, O. W. M.) 147–172
226 (Springer, 2020).
- 227 5. O'Connor, J. K. & Chiappe, L. M. A revision of enantiornithine (Aves: Ornithothoraces)
228 skull morphology. *J. Syst. Palaeontol.* **9**, 135–157 (2011).
- 229 6. Huang, J. et al. A new ornithurine from the Early Cretaceous of China sheds light on the
230 evolution of early ecological and cranial diversity in birds. *PeerJ* **4**, e1765 (2016).
- 231 7. Bhullar, B.-A. S. et al. How to make a bird skull: major transitions in the evolution of the
232 avian cranium, paedomorphosis, and the beak as a surrogate hand. *Integr. Comp. Biol.* **56**,
233 389–403 (2016).
- 234 8. Young, N. M. et al. Embryonic bauplans and the developmental origins of facial diversity
235 and constraint. *Development* **141**, 1059–1063 (2014).
- 236 9. Mayr, G. Comparative morphology of the avian maxillary bone (os maxillare) based on an
237 examination of macerated juvenile skeletons. *Acta Zool.* **101**, 24–38 (2020).
- 238 10. Hu, H., O'Connor, J. K. & Zhou, Z. A new species of Pengornithidae (Aves: Enantiornithes)
239 from the Lower Cretaceous of China suggests a specialized scansorial habitat previously
240 unknown in early birds. *PLoS ONE* **10**, e0126791 (2015).
- 241 11. Bailleul, A. M. et al. An Early Cretaceous enantiornithine (Aves) preserving an unlaidd egg
242 and probable medullary bone. *Nature Comm.* **10**, 1275 (2019).
- 243 12. Hou, L., Chiappe, L. M., Zhang, F. & Chuong, C.-M. New Early Cretaceous fossil from
244 China documents a novel trophic specialization for Mesozoic birds. *Naturwissenschaften* **91**,
245 22–25 (2004).

- 246 13. O'Connor, J. K. et al. Phylogenetic support for a specialized clade of Cretaceous
247 enantiornithine birds with information from a new species. *J. Vertebr. Paleontol.* **29**, 188–
248 204 (2009).
- 249 14. O'Connor, J. K., Chiappe, L. M., Gao, C. & Zhao, B. Anatomy of the Early Cretaceous
250 enantiornithine bird *Rapaxavis pani*. *Acta Palaeontol. Pol.* **56**, 463–475 (2011).
- 251 15. O'Connor, J. K., Wang, M. & Hu, H. A new ornithuromorph (Aves) with an elongate
252 rostrum from the Jehol Biota, and the early evolution of rostralization in birds. *J. Syst.*
253 *Palaeontol.* **14**, 939–948 (2016).
- 254 16. Field, D. J. et al. Complete *Ichthyornis* skull illuminates mosaic assembly of the avian head.
255 *Nature* **557**, 96–100 (2018).
- 256 17. Field, D. J., Benito, J., Chen, A., Jagt, J. W. M. & Ksepka, D. T. Late Cretaceous
257 neornithine from Europe illuminates the origins of crown birds. *Nature* **579**, 397–401
258 (2020).
- 259 18. Chiappe, L. M. & Witmer, L. M. *Mesozoic Birds: Above the Heads of Dinosaurs* (Univ.
260 California Press, 2004).
- 261 19. Li, Z., Zhou, Z., Wang, M. & Clarke, J. A. A new specimen of large-bodied basal
262 enantiornithine *Bohaiornis* from the Early Cretaceous of China and the inference of feeding
263 ecology in Mesozoic birds. *J. Paleontol.* **88**, 99–108 (2014).
- 264 20. Wang, M., Hu, H. & Li, Z. A new small enantiornithine bird from the Jehol Biota, with
265 implications for early evolution of avian skull morphology. *J. Syst. Palaeontol.* **14**, 481–497
266 (2016).
- 267 21. Wang, M., O'Connor, J. K. & Zhou, Z. A new robust enantiornithine bird from the Lower
268 Cretaceous of China with scansorial adaptations. *J. Vertebr. Paleontol.* **34**, 657–671 (2014).

- 269 22. Rogers, R. R., Hartman, J. H. & Krause, D. W. Stratigraphic analysis of Upper Cretaceous
270 rocks in the Mahajanga Basin, northwestern Madagascar: implications for ancient and
271 modern faunas. *J. Geol.* **108**, 275–301 (2000).
- 272 23. Gauthier, J.A., & de Queiroz, K. in *New Perspectives on the Origin and Early Evolution of*
273 *Birds: Proceedings of the International Symposium in Honor of John H. Ostrom* (eds
274 Gauthier, J. & Gall, L. F.). 7–41 (Peabody Museum of Natural History, Yale University,
275 2001).
- 276 24. Chiappe, L. M., Norell, M. A. & Clark, J. M. A new skull of *Gobipteryx minuta* (Aves:
277 Enantiornithes) from the Cretaceous of the Gobi Desert. *Amer. Mus. Novitates* **3364**, 1–15
278 (2001).
- 279 25. Wang, M. & Zhou, Z. A new enantiornithine (Aves: Ornithothoraces) with completely fused
280 premaxillae from the Early Cretaceous of China. *J. Syst. Palaeontol.* **17**, 1299–1312 (2019).
- 281 26. Hieronymus, T. L. & Witmer, L. M. Homology and evolution of avian compound
282 rhamphothecae. *Auk* **127**, 590–604 (2010).
- 283 27. Wang, M., Zhou, Z.-H., O'Connor, J. K. & Zelenkov, N. V. A new diverse enantiornithine
284 family (Bohaiornithidae fam. nov.) from the Lower Cretaceous of China with information
285 from two new species. *Vert. Palasiat.* **52**, 31–76 (2014).
- 286 28. Wang, M. & Hu, H. A comparative morphological study of the jugal and quadratojugal in
287 early birds and their dinosaurian relatives. *Anat. Rec.* **300**, 62–75 (2017).
- 288 29. Wang, Y. et al. A previously undescribed specimen reveals new information on the dentition
289 of *Sapeornis chaoyangensis*. *Cret. Res.* **74**, 1–10 (2017).

- 290 30. Hu, H., O'Connor, J. K., Wang, M., Wroe, S. & McDonald, P. G. New anatomical
291 information on the bohaiornithid *Longusunguis* and the presence of a plesiomorphic diapsid
292 skull in Enantiornithes. *J. Syst. Palaeontol.* **18**, 1481–1495 (2020).
- 293 31. Hu, H. et al. Evolution of the vomer and its implications for cranial kinesis in Paraves. *Proc.*
294 *Natl. Acad. Sci. USA* **116**, 19571–19578 (2019).
- 295 32. Felice, R. N. & Goswami, A. Developmental origins of mosaic evolution in the avian
296 cranium. *Proc. Natl. Acad. Sci. USA* **115**, 555–560 (2018).
- 297 33. Bhullar, B.-A. S. et al. A molecular mechanism for the origin of a key evolutionary
298 innovation, the bird beak and palate, revealed by an integrative approach to major
299 transitions in vertebrate history. *Evolution* **69**, 1665–1677 (2015).
- 300 34. Mallarino, R. et al. Closely related bird species demonstrate flexibility between beak
301 morphology and underlying developmental programs. *Proc. Natl. Acad. Sci. USA* **109**,
302 16222–16227 (2012).
- 303 35. Tokita, M., Yano, W., James, H. F. & Abzhanov, A. Cranial shape evolution in adaptive
304 radiations of birds: comparative morphometrics of Darwin's finches and Hawaiian
305 honeycreepers. *Phil. Trans. R. Soc. B* **372**, 20150481 (2016).
- 306 36. Bell, A. & Chiappe, L. M. Statistical approaches for inferring ecology in Mesozoic birds. *J.*
307 *Syst. Palaeontol.* **9**, 119–133 (2011).
- 308 37. O'Connor, J. K. The trophic habits of early birds. *Palaeogeog. Palaeoclim. Palaeoecol.* **513**,
309 178–195 (2019).

310

311 **MAIN FIGURE LEGENDS:**

312 **Fig. 1 | Cranium of the Cretaceous enantiornithine bird *Falcatakely forsterae* (UA 10015,**
313 **holotype). a**, Photograph of specimen, with right lateral view of pre-orbital region (right side of
314 image) and ventral view of palatal region (left side of image); **b**, Digital polygon reconstruction
315 from μ CT scan of specimen in **a**; **c**, Digital polygon reconstruction of specimen with most
316 elements in **b** placed in near-life position in right lateral view; **d**, Line drawing reconstruction
317 (not to scale) illustrating preserved (in white) elements of cranium. Left and right sides indicated
318 as (l) and (r), respectively. AOF, antorbital fenestra; ect, ectopterygoid; EN, external nares; ITF,
319 infratemporal fenestra; jpmx, jugal process of maxilla; ju, jugal; lc, lacrimal; mpmx, midline
320 premaxilla; mx, maxilla; na, nasal; pal, palatine; pmx, premaxilla; po, postorbital; pter,
321 pterygoid; qj, quadratojugal; sr, scleral ring; to, tooth. [Planned for column width (89 mm);
322 colour]

323
324 **Fig. 2 | Mosaic evolution of the avialan facial skeleton as depicted among selected early-**
325 **branching forms.** Phylogenetic analysis places *Falcatakely forsterae* among enantiornithine
326 birds. Illustration for *Xinghaiornis*(*) placed near its approximate position in the phylogeny and
327 based on ref. ¹⁵. Illustrations not to scale. Colour coding: premaxilla, red; maxilla, green; nasal,
328 yellow; lacrimal, lavender; dentary, blue. Images for *Archaeopteryx*, *Ichthyornis*, *Hesperornis*,
329 and *Gallus* modified from ref. ¹⁶ (see Supplementary Information for additional details for
330 included taxa and phylogenetic analyses). [Planned for 1 ½ column width (136 mm); colour]

331
332 **Fig. 3 | Geometric morphometric analyses of facial shape in *Falcatakely forsterae* among**
333 **paravians.** Plot of first two principal components of 2D landmark analysis of maxillary (blue

334 line segments) and premaxillary (red line segments) morphology of select theropod taxa. Unique
335 configuration of maxilla and premaxilla in *Falcatakely* is more similar to that of non-avialans in
336 a two-dimensional analysis focused on fossil taxa, although overall three-dimensional rostrum
337 phenotype occupies morphospace converged upon by subsequent radiations of neornithine birds
338 ([hyperlink to HTML file](#)). See Supplementary Information for analytical protocols. [Planned for
339 column width (89 mm); colour]

340

341 EXTENDED DATA FIGURES

342 **Extended Data Fig. 1 | Rostrum of the Cretaceous enantiornithine bird *Falcatakely***

343 *forsterae* (UA 10015, holotype). **a**, Line drawing reconstruction (not to scale) illustrating
344 preserved (in white) elements of cranium; **b**, Digital polygon surface reconstruction (from μ CT
345 scans) of right nasal in rostradorsal view (caudal to top) highlighting midline depression and
346 dimpled surface texture; **c**, Digital polygon surface reconstruction of right nasal in dorsal view
347 illustrating dimpled architecture on frontal and rostral portions, which extends laterally onto
348 lacrimal; **d**, Digital polygon surface reconstruction of right facial elements in right lateral view to
349 illustrate shape and inter-element relationships of nasal, maxilla, and lacrimal (note surface
350 texture of right maxilla with neurovascular sulci broadly expressed over lateral surface, deep to
351 inferred keratinous covering (i.e., beak)); **e**, Digital polygon surface reconstruction of lower
352 lateral face to highlight arrangement of maxilla, lacrimal, jugal, and postorbital (all elements
353 from right side); **f**, Digital polygon surface reconstruction of left maxilla and premaxilla
354 articulation (rostral to left). AOF, antorbital fenestra; cdp, caudodorsal process of lacrimal; cp,
355 choanal process of palatine; ect, ectopterygoid; EN, external nares; ITF, infratemporal fenestra;
356 fpn, frontal process of nasal; inb, internarial bar; jpmx, jugal process of maxilla; ju, jugal; lbo,

357 lacrimal boot; lc, lacrimal; ld, lacrimal dimpling; le, lacrimal excavation; lf, lacrimal foramen;
358 mpmx, midline premaxilla; mx, maxilla; mxpj, maxillary process of jugal; na, nasal; nd, nasal
359 dimpling; nf, nasal fossa; nvs, neurovascular sulci; pal, palatine; pmpm, premaxillary process of
360 maxilla; pmx, premaxilla; po, postorbital; qj, quadratojugal; rdp, rostrorodorsal process of lacrimal;
361 rpn, rostral process of nasal; tm, tomial margin; to, tooth; vr, ventral ramus of lacrimal. [Planned
362 for page width; greyscale]

363

364 **Extended Data Fig. 2 | Palatal and lateral facial regions of the Cretaceous enantiornithine**

365 **bird *Falcatakely forsterae* (UA 10015, holotype). a**, Digital polygon surface reconstruction

366 (from μ CT scans) of palate and lateral face in ventral view; **b**, Reconstructed outline drawing of

367 *Falcatakely* in palatal view (shaded regions not preserved); **c**, Digital polygon surface

368 reconstruction of internal aspect of left facial skeleton (premaxilla, maxilla, nasal) and palate in

369 right lateral view. Left and right sides indicated as (l) and (r), respectively. Dashed line in **c**

370 represents approximate contour of caudal margin (i.e., ventral ramus of lacrimal) of antorbital

371 fenestra. Scale bar in image serves for both 2a and 2c; reconstruction in 2b not to same scale.

372 AOF, antorbital fenestra; bs, basisphenoid rostrum; cp, choanal process of (right) palatine; ect,

373 ectopterygoid; EN, external nares; jpmx, jugal process of maxilla; mpmx, midline premaxilla;

374 mx, maxilla; na, nasal; pal, palatine; pmx, premaxilla; pter, pterygoid; to, tooth; up, uncinat

375 process of ectopterygoid; vm, vomers. [Planned for 2/3 page width; colour]

376

377 **Extended Data Fig. 3 | Majority rule tree of *Falcatakely* among coelurosaurians from**

378 **Bayesian analysis of the Theropod Working Group matrix (TWiG). Clades outside of**

379 Avialae are collapsed for brevity. Posterior probabilities are placed above nodes. [Planned for
380 page width; colour]

381

382 **Extended Data Fig. 4 | Majority rule tree of *Falcatakely* among avialans from Bayesian**
383 **analysis of modified Wang and Zhou (2019) matrix.** Posterior probabilities are placed above
384 nodes. [Planned for page width; colour]

385

386 **Extended Data Figure 5 | Geometric morphometric analysis of rostrum shape in**
387 ***Falcatakely forsterae* among avians.** Plot of first two principal components of 3D landmark
388 analysis of total rostrum shape of *Falcatakely* and extant avian taxa. Whereas unique
389 configuration of maxilla and premaxilla in *Falcatakely* is more similar to those of non-avialan
390 paravians (Fig. 3), overall three-dimensional rostrum phenotype occupies morphospace
391 converged upon by subsequent radiations of neornithine birds ([hyperlink to HTML file](#)). See
392 Supplementary Information for analytical protocols. [Planned for column width (89 mm); colour]

393

394 **Extended Data Figure 6 | Landmarking procedure for 3D geometric morphometric**
395 **analysis in dorsal (a) and lateral (b) views.** Red points represent anatomical (Type I)
396 landmarks, yellow points are sliding semi-landmarks. [Planned for 2/3 page width; colour]

397

398 **Supplemental Data File: Interactive morphospace plot of 3D rostrum shape.** Plot of first two
399 principal components of 3D landmark analysis of rostrum of *Falcatakely forsterae* and extant

400 avian taxa. Hovering over data points reveals species name and order. Supraordinal groups can
401 be toggled on/off by clicking on legend.

402

403 **METHODS**

404 **Temporal and Stratigraphic context.** UA 10015 was recovered in 2010 at locality MAD05-42
405 in the Berivotra Study Area of the Mahajanga Basin Project. The bone-bearing horizon lies
406 within Facies 2 of the Anembalemba Member in the Upper Cretaceous (Maastrichtian)
407 Maevarano Formation²² (Supplementary Information). Many specimens, including UA 10015,
408 recovered from the Anembalemba Member were entombed as part of rapid debris flows, often
409 resulting in spectacular preservation with only minimal displacement and taphonomic distortion
410 during burial^{38,39}.

411 **Phylogenetic methods.** Given the extremely derived condition in *Falcatakely* and the notable
412 amount of homoplasy among non-avian paravians and basal avialans, we employed a two-
413 tiered dataset approach in an effort to best constrain the phylogenetic affinities of *Falcatakely*
414 (Supplementary Information). First, we utilized the densely sampled, coelurosaur-wide Theropod
415 Working Group matrix (TWiG)^{40,41} to broadly assess and confirm the position of *Falcatakely*
416 among paravians (Extended Data Fig. 3, Supplementary Information). Next, we employed a
417 modified version of a well-established Mesozoic avialan-focused matrix (WEA²⁵), along with
418 modifications from ref. ¹⁶, to further examine the relationship of *Falcatakely* among avialans
419 (Fig. 2, Extended Data Fig. 4). Bayesian inference (BI) trees were estimated for each dataset
420 using MrBayes v3.2⁴². The standard model (Markov k -state variable model [Mkv]⁴³) was
421 specified with gamma-distributed rate variation (see ref. ⁴⁴). A subset of characters was set as
422 ordered, following the prior usage of the included datasets. During the analysis, Markov Chain

423 Monte Carlo (MCMC) convergence was assessed using the average standard deviation of split
424 frequencies and examining the trace files in Tracer⁴⁵. Convergence to stationarity was assumed
425 for split frequencies below 0.01 and effective sample size (ESS) values >200. All analyses were
426 performed with two runs of four chains each, run for 10 million generations, sampling
427 parameters every 1000 generations. The first 25% of samples were discarded as burn-in. Results
428 are summarized using a majority rule consensus (MRC) tree⁴⁶. MRC trees for both datasets
429 depict *Falcatakely* as a member of Enantiornithes. The TWiG dataset set recovers it as the sister
430 taxon to *Pengornis*, whereas the WEA matrix finds it in a large polytomy with other
431 enantiornithines (Extended Data Figs. 3, 4). Given the denser avialan sampling in the WEA
432 dataset, the phylogenetic results from this matrix are used here as the primary results. Clade
433 support was assessed using the estimated posterior probabilities from the BI trees. Morphological
434 character support was established for MRC trees using the *map* and *apo* commands in TNT^{47–49}.
435 Additional details of phylogenetic results and clade support are presented in the Supplementary
436 Information.

437 To further interrogate the robustness of our inferred trees, three sensitivity analyses were
438 run examining the influence of cranial vs. postcranial data and the potential impact of cranial
439 data-only taxa on tree inference. These analyses reveal no significant topological alterations
440 relative to the standard analysis detailed above, lending support to the primary results placing
441 *Falcatakely* among enantiornithine birds. Moreover, additional explicit hypothesis testing via
442 Bayes Factors comparisons was conducted with *Falcatakely* constrained to stemward positions
443 (e.g., with *Falcatakely* excluded from Pygostylia), which resulted in suboptimal solutions.
444 Details for these analyses and the specifics of the results are provided in the Supplementary

445 Information, with executable files for the sensitivity and alternative hypothesis testing available
446 on DRYAD at: <https://doi.org/10.5061/dryad.mkkwh70wg>.

447

448 **References specific to online-only materials**

- 449 38. Rogers, R. R. Fine-grained debris flows and extraordinary vertebrate burials in the Late
450 Cretaceous of Madagascar. *Geology* **33**, 297–300 (2005).
- 451 39. Rogers, R. R., Krause, D. W., Curry Rogers, K., Rasoamiamanana, A. H. & Rahantarisoa,
452 L. Paleoenvironment and paleoecology of *Majungasaurus crenatissimus* (Theropoda:
453 Abelisauridae) from the Late Cretaceous of Madagascar. *J. Vertebr. Paleontol.* **27**, suppl. to
454 Issue 2, 21–31 (2007).
- 455 40. Brusatte, S. L., Lloyd, G. T., Wang, S. C. & Norell, M. A. Gradual assembly of avian body
456 plan culminated in rapid rates of evolution across dinosaur-bird transition. *Curr. Biol.* **24**,
457 2386–2392 (2014).
- 458 41. Turner, A. H., Makovicky, P. J. & Norell, M. A. A review of dromaeosaurid systematics
459 and paravian phylogeny. *Bull. Amer. Mus. Nat. Hist.* **371**, 1–206 (2012).
- 460 42. Ronquist, F. et al. MrBayes 3.2: efficient Bayesian phylogenetic inference and model choice
461 across a large model space. *Syst. Biol.* **61**, 539–542 (2012).
- 462 43. Lewis, P. O. A likelihood approach to estimating phylogeny from discrete morphological
463 character data. *Syst. Biol.* **50**, 913–925 (2001).
- 464 44. Clarke, J. A. & Middleton, K. M. Mosaicism, modules, and the evolution of birds: results
465 from a Bayesian approach to the study of morphological evolution using discrete character
466 data. *Syst. Biol.* **57**, 185–201 (2008).

- 467 45. Rambaut A., Suchard, M. A., Xie, D. & Drummond, A. J. Tracer v1.6, Available from
468 <http://beast.bio.ed.ac.uk/Tracer> (2014).
- 469 46. O'Reilly, J. E. & Donoghue, P. C. J. The efficacy of consensus tree methods for
470 summarizing phylogenetic relationships from a posterior sample of trees estimated from
471 morphological data. *Syst. Biol.* **67**, 354–362 (2017).
- 472 47. Goloboff, P.A., Farris, J. & Nixon, K. TNT: a free program for phylogenetic analysis.
473 *Cladistics* **24**, 774–786 (2008).
- 474 48. Goloboff, P.A., Farris, J. S., & Nixon, K. C. TNT: Tree Analysis Using New Technology,
475 vers. 1.1 (Willi Hennig Society Edition). Program and documentation available at
476 <http://www.zmuc.dk/public/phylogeny.html> (2008).
- 477 49. Goloboff, P. A. & Catalano, S. A. TNT version 1.5, including a full implementation of
478 phylogenetic morphometrics. *Cladistics* **32**, 221–238 (2016).

479

480 **Acknowledgements** We thank the Université d'Antananarivo, the Mahajanga Basin Project field
481 teams, and the villagers of the Berivotra Study Area for support; the ministries of Mines, Higher
482 Education, and Culture of the Republic of Madagascar for permission to conduct field research;
483 the National Geographic Society (8597-09) and the US National Science Foundation (EAR–
484 0446488, EAR–1525915, EAR–1664432) for funding; and M. Witton for drafting line drawings
485 used in Fig. 1 and Extended Data Figs. 1 & 2. Collection of avian 3D morphometric data was
486 funded by European Research Council grant no. STG-2014-637171 (to A. Goswami). Full
487 acknowledgments are provided in the Supplementary Information.

488

489 **Author Contributions:** P.M.O., A.H.T., and J.R.G. designed the project; P.M.O., A.H.T.,

490 J.R.G., R.R.R., D.W.K. and L.J.R. conducted the fieldwork. J.R.G. performed mechanical
491 preparation of the specimen; J.R.G. and P.M.O. conducted μ CT digital preparation/interpretation
492 and rapid prototyping of UA 10015; R.R.R. and L.J.R. provided geological data and taphonomic
493 interpretation; P.M.O., A.H.T., J.R.G., and R.N.F. completed lab work on the fossil and digital
494 representation thereof and provided input on descriptions and comparisons; A.H.T. and P.M.O.
495 contributed to the character coding and phylogenetic analysis; R.N.F. completed the
496 morphometric analyses; P.M.O., A.H.T., and J.R.G. developed the manuscript, with
497 contributions and/or editing from all authors.

498

499 **Additional Information**

500

501 **Supplementary Information** is available in the online version of the paper at <http://XXXX>
502 XXXX.

503

504 **Author Information** Reprints and permissions information is available at
505 www.nature.com/reprints. The authors declare no competing financial interests. Readers are
506 welcome to comment on the online version of the paper. Publisher's note: Springer Nature
507 remains neutral with regard to jurisdictional claims in published maps and institutional
508 affiliations. Correspondence and requests for materials should be addressed to P.M.O.
509 (oconnorp@ohio.edu).

510

511 **Data Availability**

512 UA 10015 is cataloged into the collections at the Université d'Antananarivo. Details regarding
513 digital file development and derivatives of files (e.g., DICOM, PLY) used as part of the study are
514 included in the Supplementary Information and archived on the MorphoSource website
515 (https://www.morphosource.org/Detail/ProjectDetail/Show/project_id/7894). Phylogenetic
516 character information and parameters used in the analyses are provided in the Supplementary
517 Information. Executable files for phylogenetic analyses, interactive 3D morphospace plot, and
518 interactive 3D PDFs are hosted on DRYAD: <https://doi.org/10.5061/dryad.mkkwh70wg>. This
519 published work, including the novel genus (urn:lsid:zoobank.org:act:5BA26059-B428-4896-
520 BFEA-2475419C61FC) and species (urn:lsid:zoobank.org:act:69314771-F0D8-4C15-946C-
521 524164385FB7) along with the associated nomenclatural acts, have been registered in ZooBank:
522 urn:lsid:zoobank.org:pub:4595D69E-FE12-4DAD-B155-89F084254F73.

523

524

525

526

527

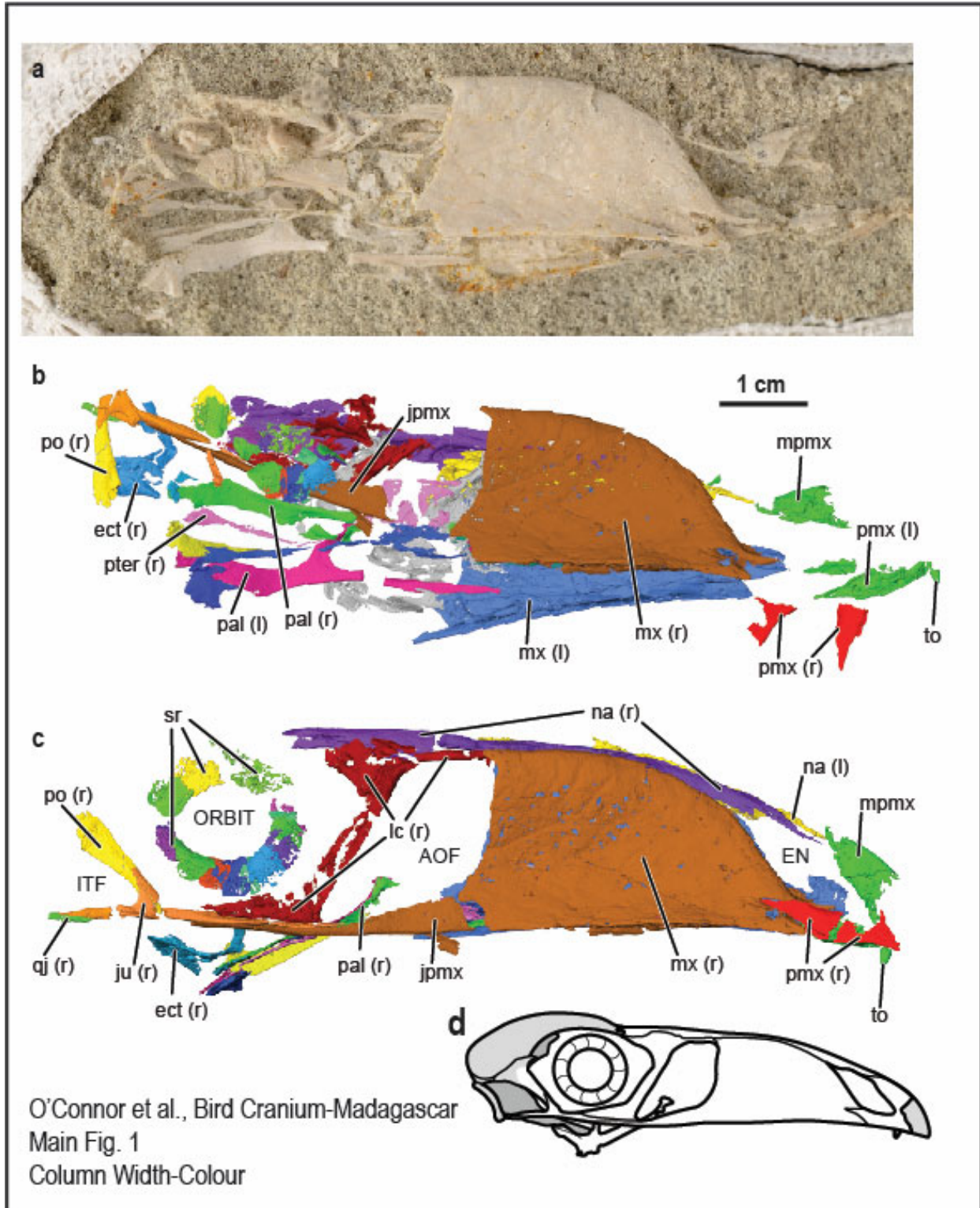
528

529

530

531

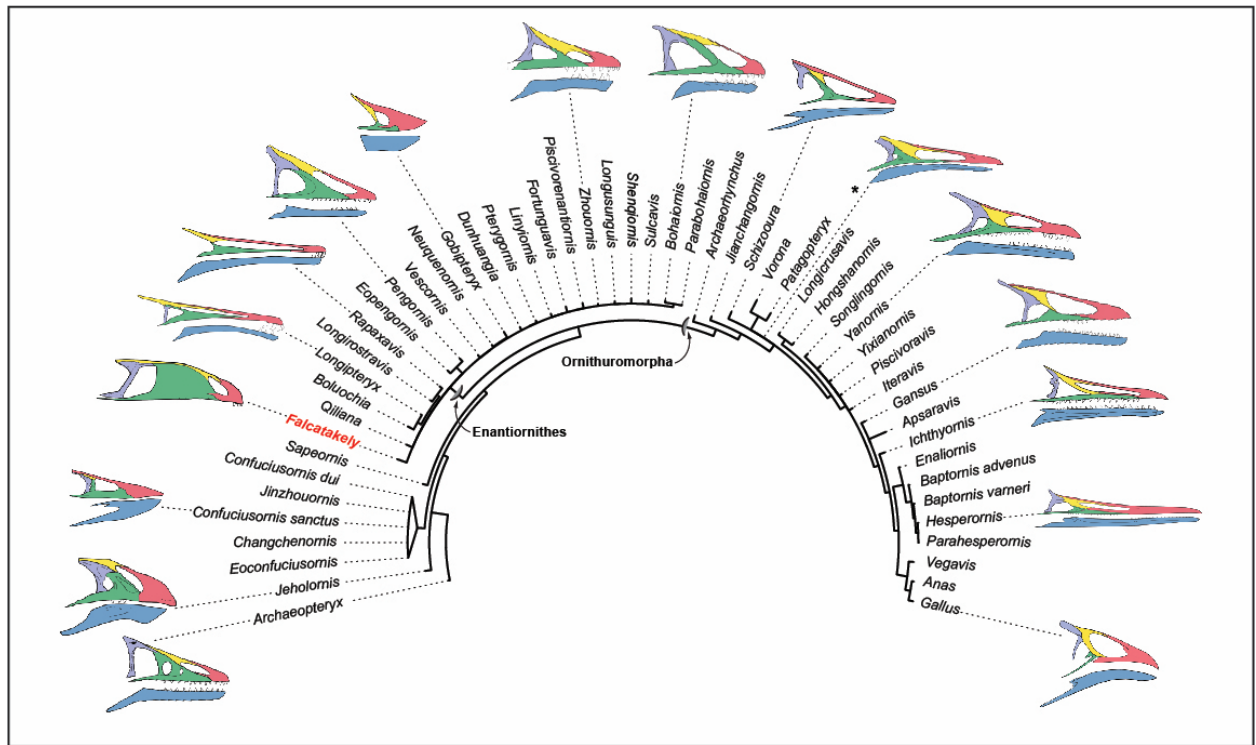
532



533

534

535



O'Connor et al., Bird Cranium-Madagascar
 Main Fig. 2
 1 1/2 Column Width-Colour

536

537

538

539

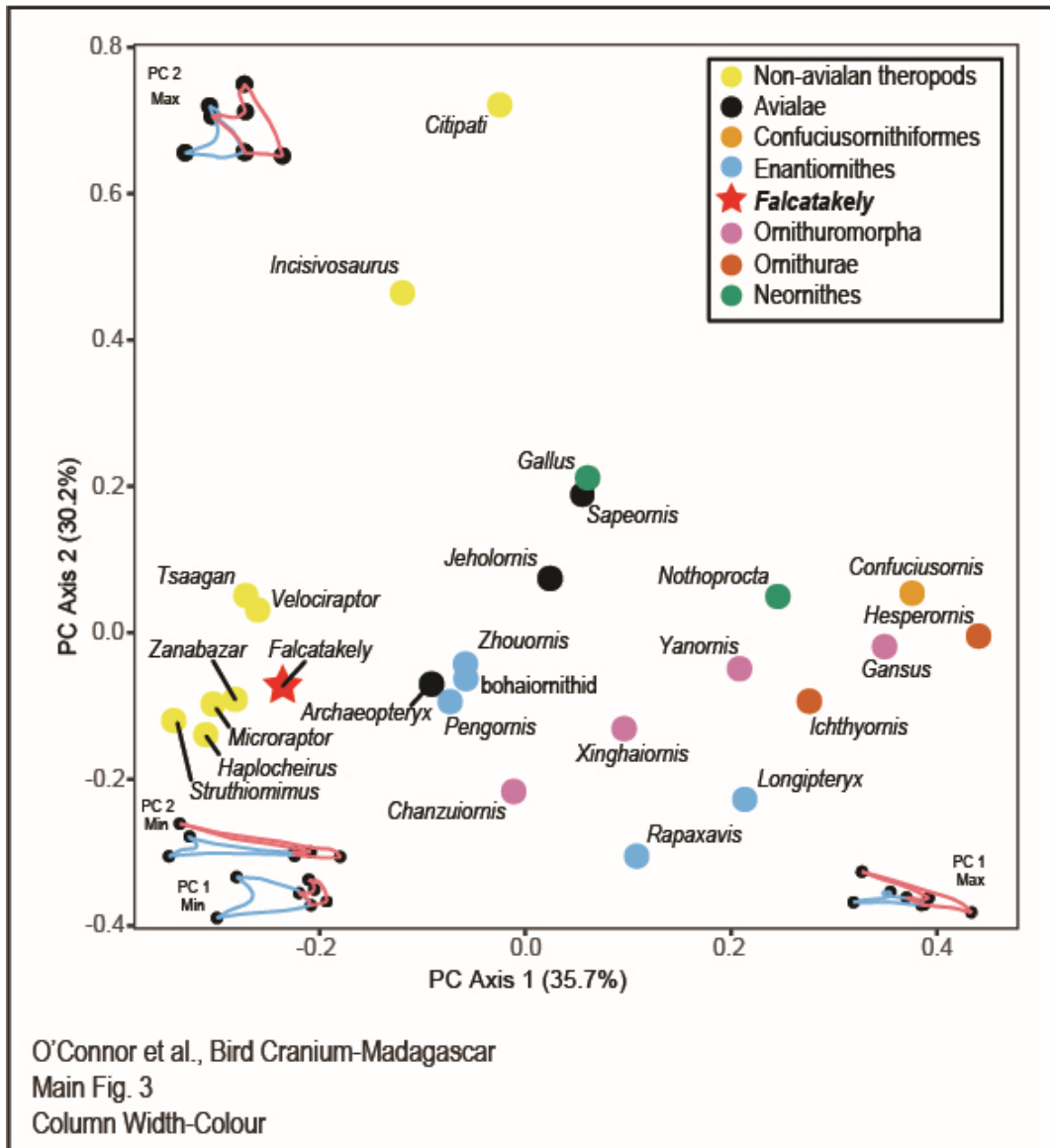
540

541

542

543

544



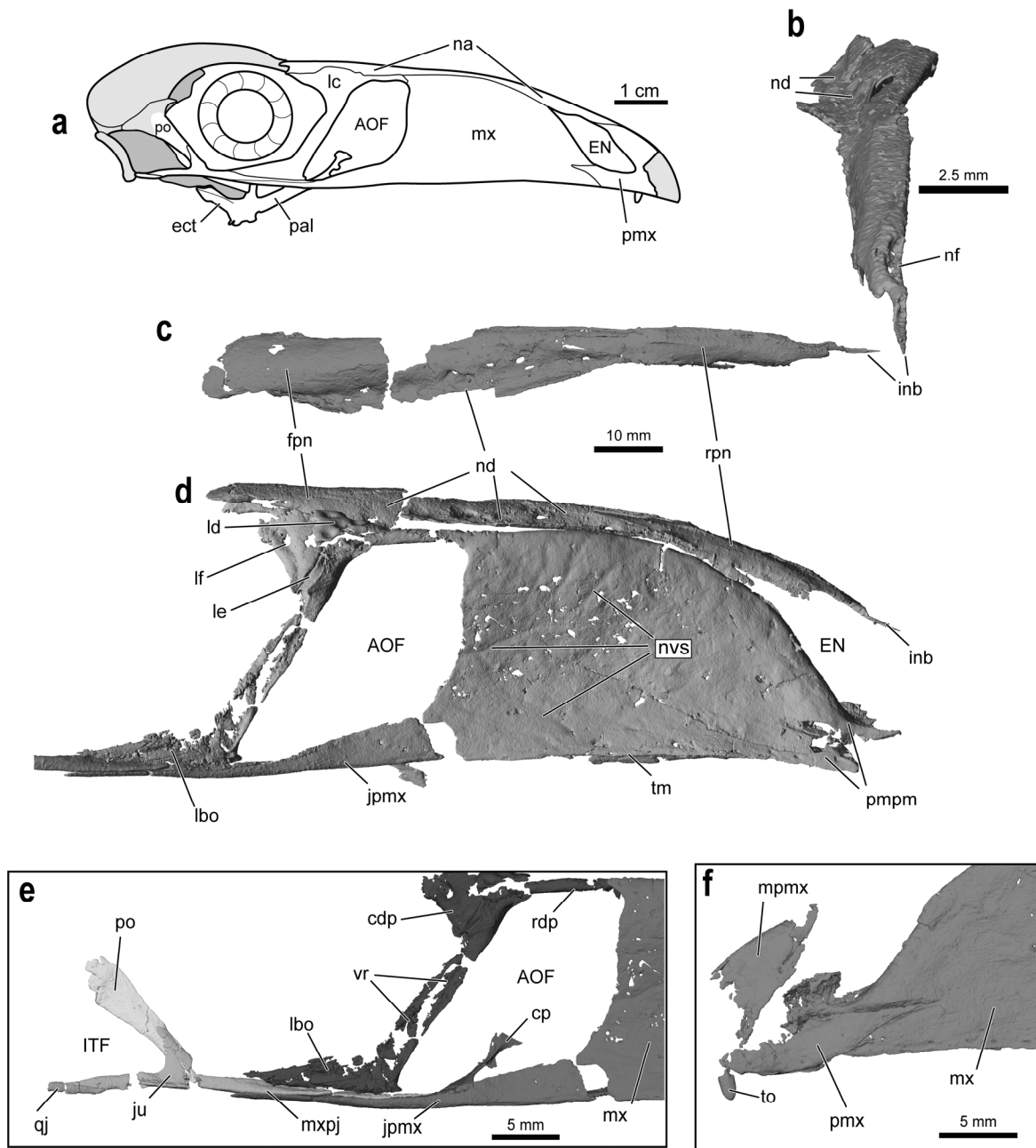
558

559

560

561

562



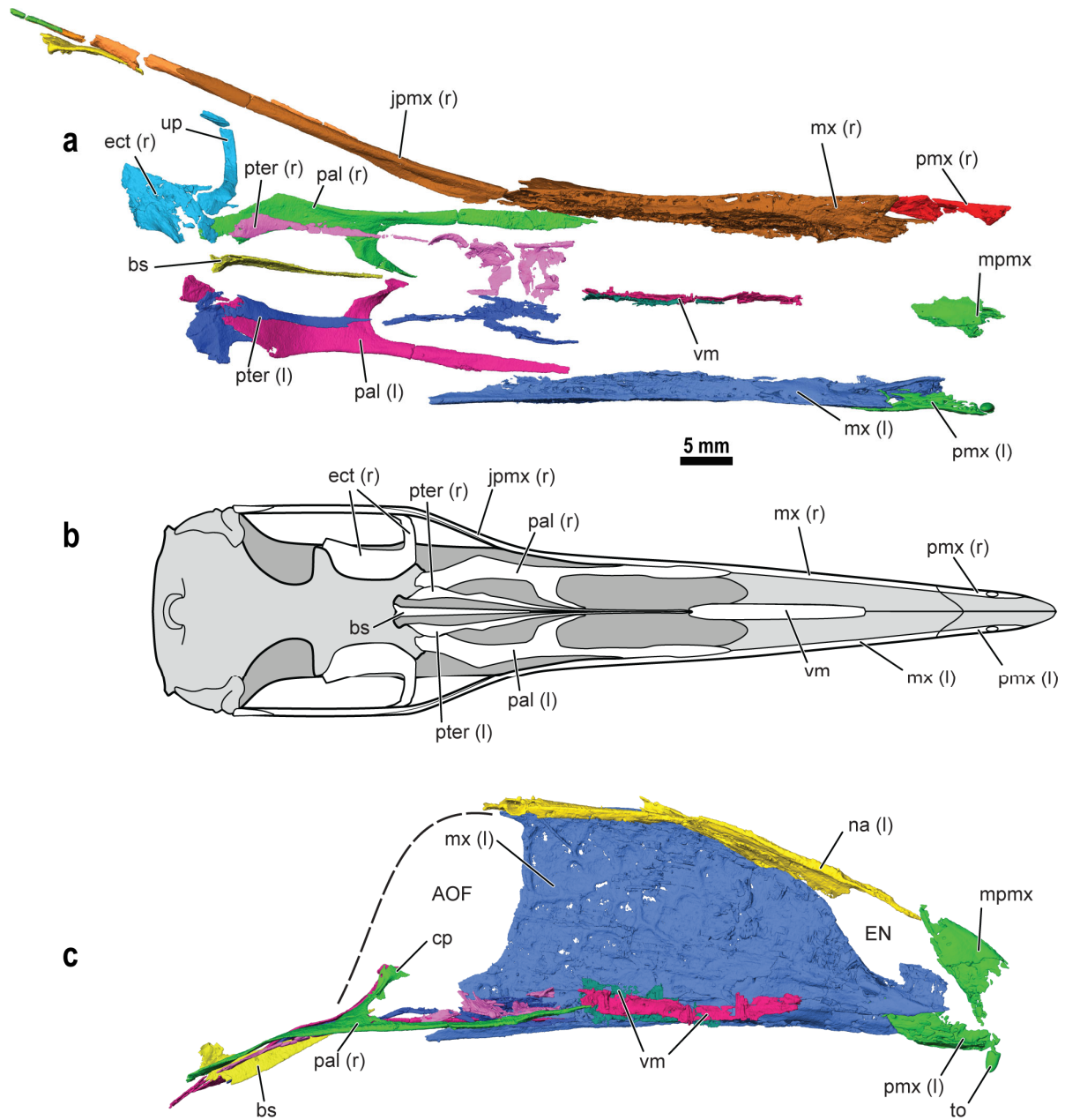
563

564 O'Connor et al. Extended Data Figure 1—Full-page width—greyscale.

565

566

567



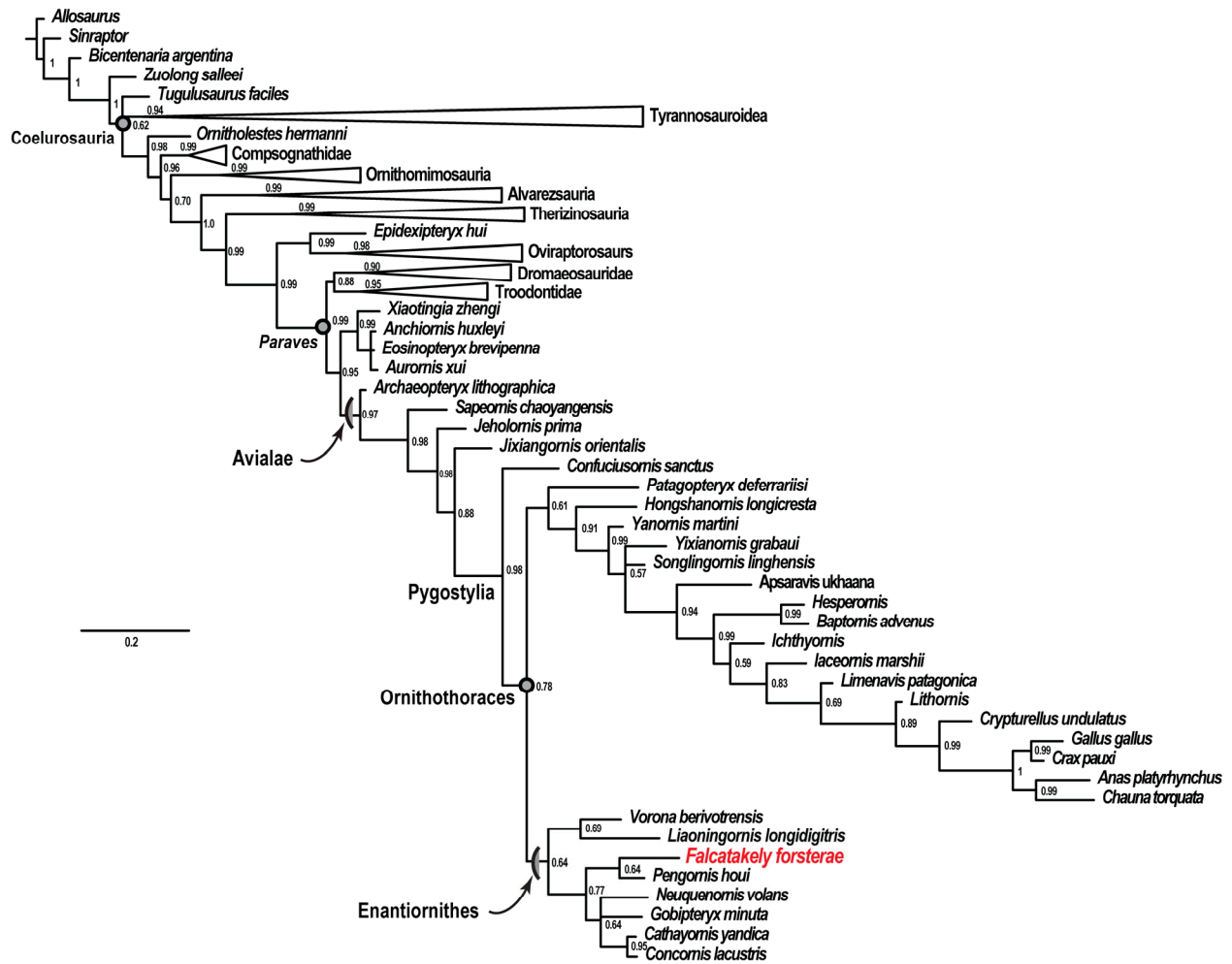
568

569 O'Connor et al. Extended Data Figure 2—Full-page width—Colour.

570

571

572



574

575 O'Connor et al. Extended Data Figure 3—Full-page width—Colour.

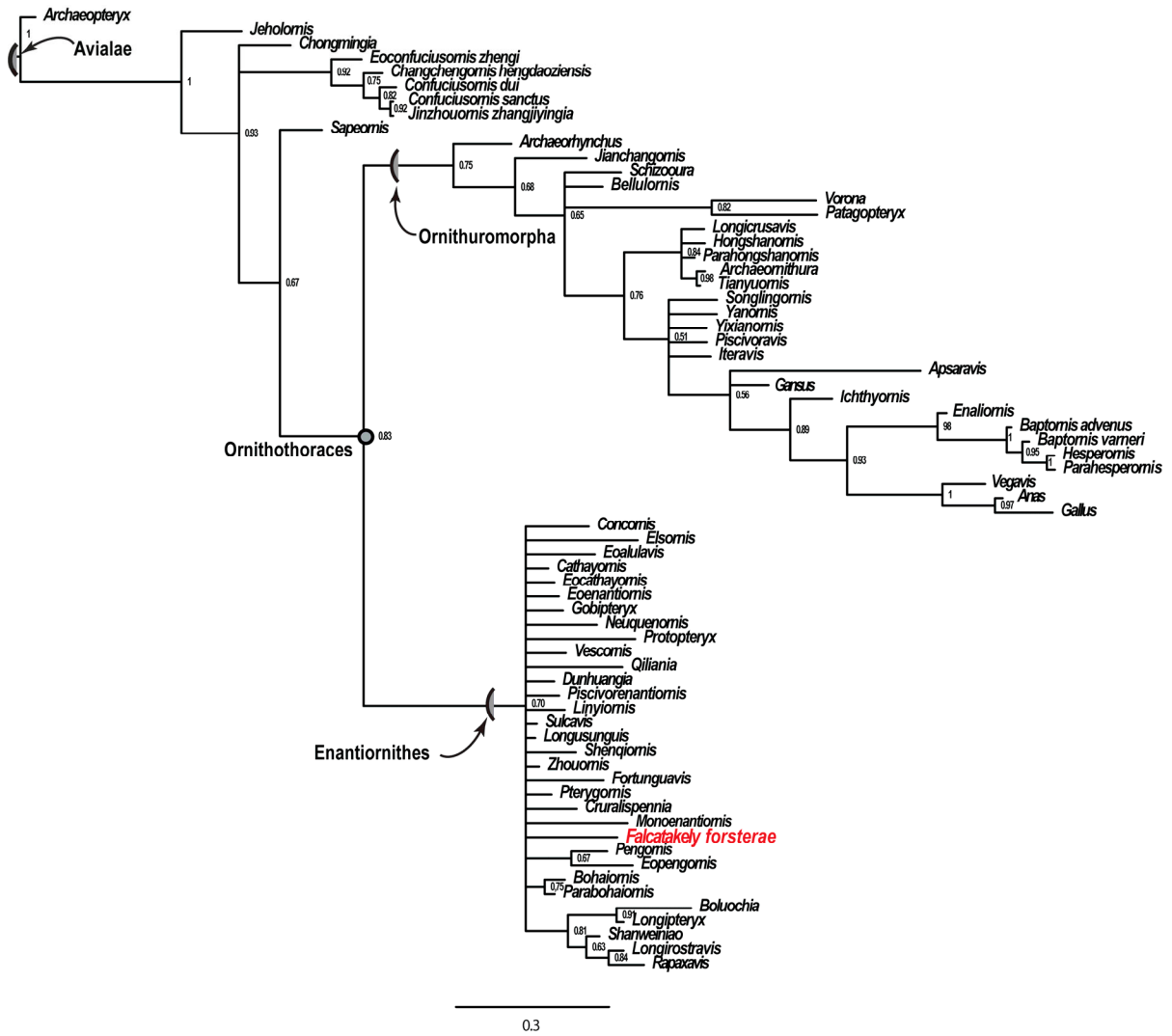
576

577

578

579

580



581

582 O'Connor et al. Extended Data Figure 4—Full-page width—Colour.

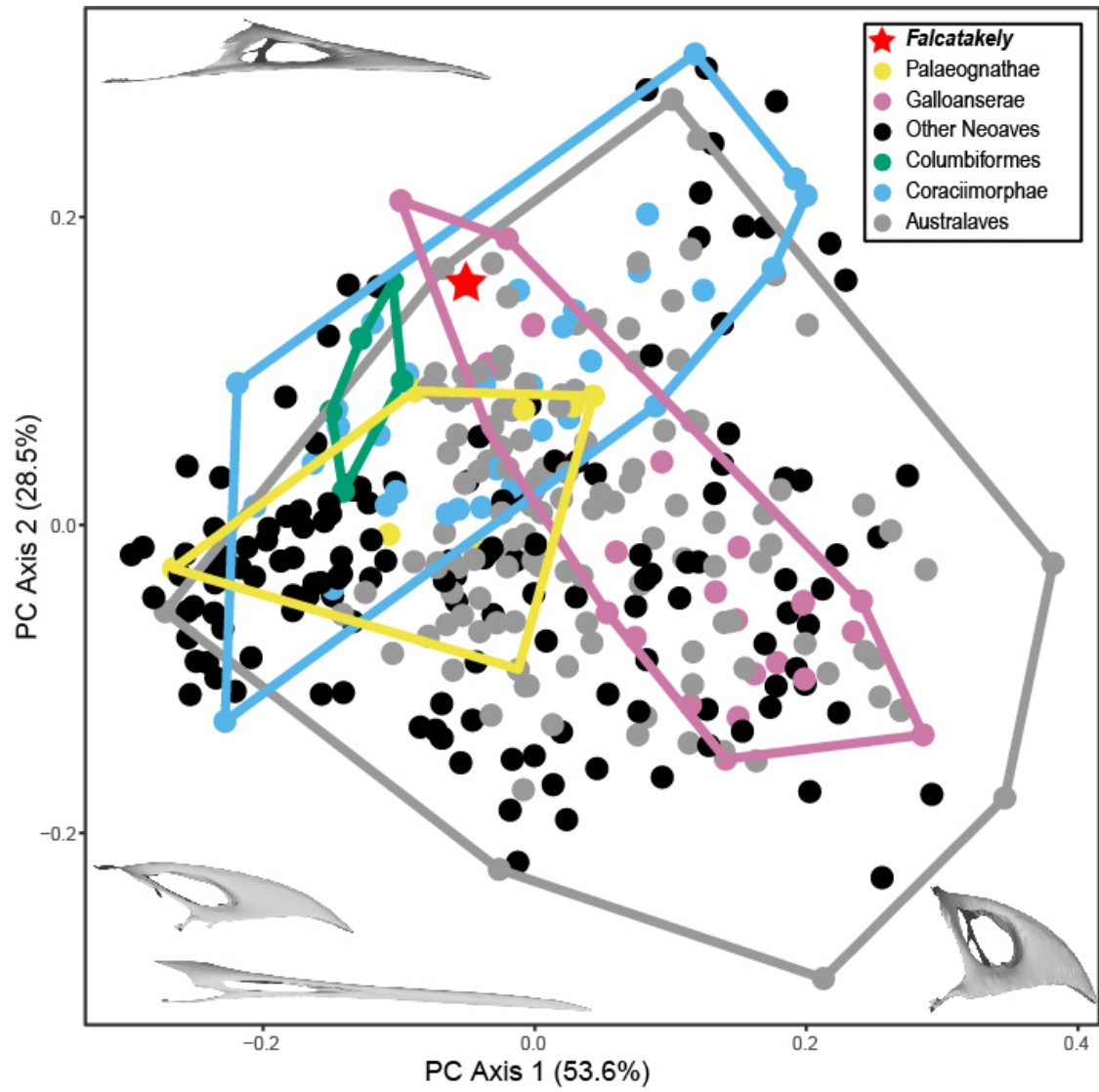
583

584

585

586

587



588

589 O'Connor et al. Extended Data Figure 5—1 ½ column width—Colour.

590

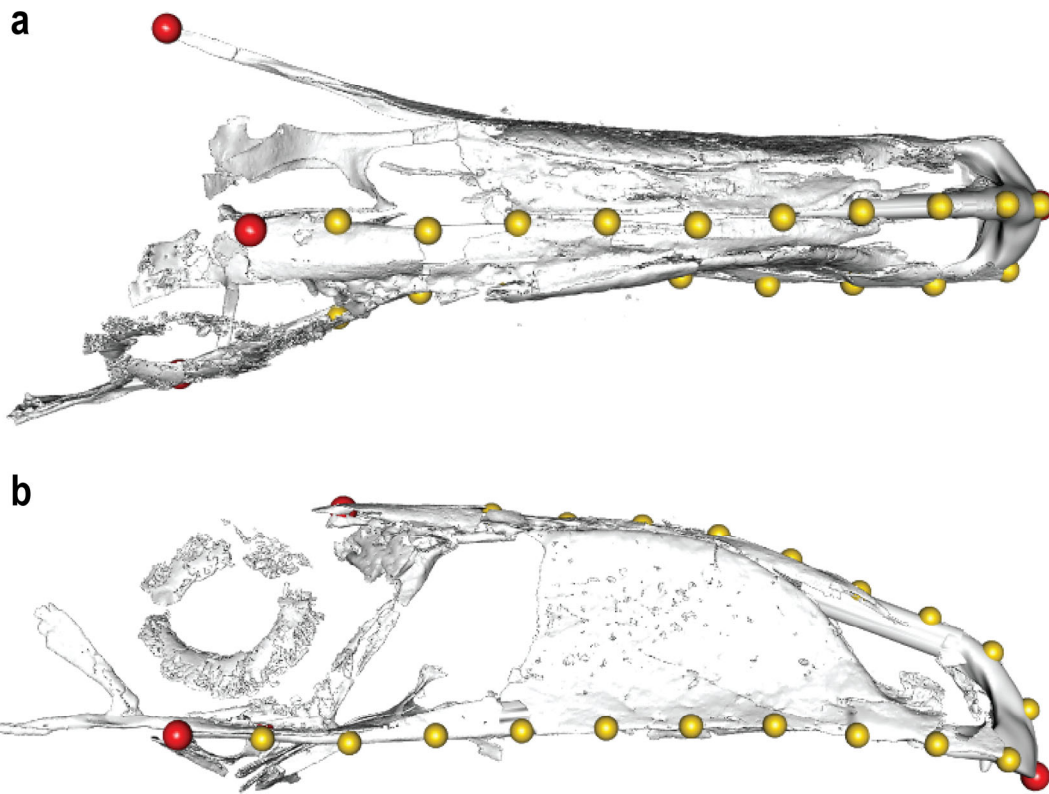
591

592

593

594

595



596

597 O'Connor et al. Extended Data Figure 6—column width—Colour.

598

599

600