

1 **SABA Reliance Questionnaire (SRQ): identifying patient beliefs underpinning reliever over-reliance in**
2 **asthma**

3 **Running title:** SABA Reliance Questionnaire

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25 **Conflicts of interest**

26 Amy Chan: Director of AHYC Consultancy Ltd, providing freelance consultancy to UCL-business company
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29 Caroline Katzer: Employee of a UCL-Business company (Spoonful of Sugar Ltd) providing consultancy on
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31 providers and industry.

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46 Business company (Spoonful of Sugar Ltd) providing consultancy on supporting patients with medicines
47 and treatment-related behaviours to healthcare policy makers, providers and industry.

48

49 **Highlights**

50 **What is already known about the topic?**

- 51 - There is a call to move away from the use of short-acting beta₂ agonists (SABA) alone to manage
52 asthma.
- 53 - Many patients continue to be overly reliant on and overuse SABA.
- 54 - No current assessment exists to evaluate the patient-related risk of SABA over-reliance.

55 **What does this article add to our knowledge?**

- 56 - This paper presents a novel self-assessment tool – the SABA Reliance Questionnaire (SRQ) – to
57 assess perceptions of SABA that can drive over-reliance and overuse of SABA.
- 58 - The paper reports on the psychometric properties of the SRQ, providing evidence of validity and
59 internal reliability.

60 **How does this study impact current management guidelines?**

- 61 - Traditional assessments of asthma control and medication use do not shed any light on the
62 factors influencing asthma outcomes.
- 63 - The SRQ can measure the patient beliefs that drive medication use and asthma control, thus
64 informing interventions to reduce inappropriate medication use and improve control.

65

66 **Keywords:** asthma, reliever, short-acting beta₂ agonists, risk, questionnaire, measure, screening tool,

67 SRQ, reliance, control

68

69 **Abbreviations**

BMQ	Beliefs about Medicines Questionnaire
GINA	Global Initiative for Asthma
ICS	Inhaled corticosteroids
IPCRG	International Primary Care Respiratory Group
MARS	Medication Adherence Report Scale
mTurk	Amazon Mechanical Turk
NHS	National Health Service
SABA	Short-acting beta ₂ agonists
SD	Standard deviations
SRQ	SABA Reliance Questionnaire (SRQ)

70

71 **Abstract (250 words)**

72 **Background** Patient over-reliance on short-acting beta₂ agonists (SABA), with concomitant underuse of
73 inhaled corticosteroids (ICS), is associated with poor asthma control and increased risk of asthma
74 attacks.

75 **Objective** To develop and validate a brief questionnaire to elicit patients' perceptions of SABA (e.g.
76 belief that asthma is best managed by SABA alone) that could lead them to be overly reliant on SABA.

77 **Methods** The 5-item SABA Reliance Questionnaire (SRQ) was adapted from the well-validated Beliefs
78 about Medicines Questionnaire (BMQ) assessing patient perceptions of the importance of, and necessity
79 for, SABA in managing their asthma. The psychometric properties of the questionnaire were studied
80 using Amazon Mechanical Turk (mTurk), an online survey platform, in 446 people with self-reported
81 asthma. Internal reliability and criterion-related validity were assessed based on relationships between
82 SRQ scores and other variables, including self-reported adherence to ICS and perceived importance of
83 reliever inhalers.

84 **Results** Internal reliability was good with Cronbach's $\alpha = 0.74$. Criterion-related validity was
85 demonstrated by inverse correlation between SRQ scores and self-reported adherence to ICS ($r =$
86 -0.291 , $p < 0.0001$), and significant correlation between SRQ scores and perceived reliever importance (r
87 $= 0.216$, $p < 0.0001$), as well as by significant differences in SRQ scores between those with high vs. low
88 self-reported ICS adherence (adherence to ICS $t = 4.825$, $p < 0.0001$).

89 **Conclusions** The SRQ demonstrated acceptable internal reliability, and criterion validity, supporting its
90 potential utility as a pragmatic tool for identifying patients whose beliefs are indicative of over-reliance
91 on SABA for asthma.

92

93

94 **Introduction**

95 Asthma is one of the most common long-term conditions worldwide, affecting over 339 million people
96 globally(1). Asthma has traditionally been managed pharmacologically using two strategies: 1)
97 bronchodilation providing symptom relief using short-acting beta₂ agonists (SABA), and 2) inhaled
98 corticosteroids (ICS) to reduce airway inflammation and prevent asthma attacks. Recently, asthma
99 management guidelines have taken a paradigm shift, whereby the importance of anti-inflammatory
100 treatment – in particular ICS – in the early stages of treatment has been reinforced, but crucially, the use
101 of SABA therapy alone is discouraged(2). The recent Lancet Commission on Asthma(3) and Global
102 Initiative for Asthma (GINA) report(4, 5) both advocate for a move away from the use of SABA alone for
103 asthma management. Although SABA provides short-term symptom relief, there is strong evidence that
104 SABA use alone does not protect against asthma attacks, and that regular or frequent use of SABA
105 increases the risk of asthma attacks(4) and mortality(6). The negative effects of SABA overuse can be
106 rapid – the odds of asthma-related admissions are increased by 1.45 in the three-month period
107 following SABA overuse – and overuse can increase asthma-related costs(7).

108 Despite the risks associated with inappropriate SABA use, SABA over-reliance remains common(8) and is
109 typically paralleled by underuse of ICS. Indeed, ICS adherence rates are typically only 25–35%, thus
110 reinforcing risks of SABA over-reliance(5, 9). This inappropriate SABA use may lead to delays in
111 necessary medical review, and increases the risk of subsequent hospitalisation and severe attacks of
112 asthma(6). However, reducing SABA over-reliance is challenging; it requires changes in the behaviour of
113 both clinicians (e.g. avoiding prescribing SABA monotherapy for asthma or supply of SABA monotherapy
114 over the counter in countries where SABA is available in pharmacies as non-prescription medicines) and
115 patients (e.g. avoiding over-reliance of SABA and engaging with anti-inflammatory treatments)(2-4). For
116 clinicians, this may represent a fundamental practice change from years of recommending SABA as the

117 mainstay of reliever treatment in milder forms of asthma, to recommending anti-inflammatory reliever
118 treatment comprising ICS and beta₂ agonists, either in a single combination or two separate inhalers.

119 Motivating and enabling patients to reduce inappropriate SABA use also has its own challenges. Simply
120 providing information and informing patients about the change in guidelines and asthma management is
121 unlikely to be sufficient to change behaviour(10). Many patients are 'attached' to their SABA, believing
122 this to be the best way to control their asthma(11, 12), and thus need to be convinced of their personal
123 need to change treatments. Moreover, they may be unaware that their way of using SABA (e.g. more
124 than three times a week) is now considered to be excessive(4). Discussions between healthcare
125 professionals and patients that are designed to support patients to adjust their asthma self-
126 management in accordance with guidelines are likely to be more effective if they take account of
127 underlying beliefs influencing how the patient uses their treatment and manages their asthma(10).

128 Patients' perceptions of asthma and treatment often differ from those of healthcare professionals(13).
129 For example, many patients see asthma as a short-term episodic, rather than long-term, condition, and
130 this perception reinforces an over-reliance on SABA (for rapid symptom relief) and underuse of ICS(14).

131 Qualitative studies of adults with asthma found that in patients with high SABA use, SABA overuse
132 'made sense' to them, as SABA gave them the quick symptom relief they desired. High SABA users
133 placed higher importance on rapid symptom relief than prevention(12, 15, 16).

134 Patients with such beliefs may be sceptical about switching from SABA to other asthma management
135 strategies, such as using ICS/formoterol combinations for both maintenance and reliever therapy(17),
136 even when such a switch is recommended by trusted clinicians acting on evidence-based guidelines.

137 When convincing patients to use less SABA and more anti-inflammatory treatment such as ICS, it may
138 first be necessary to identify and address potentially misplaced beliefs about the importance of
139 SABA(18, 19). However, the underlying beliefs influencing patient engagement with treatment are often
140 not revealed within time-limited consultations. Therefore, for busy clinicians, the first step towards

141 helping patients recognise the dangers of SABA overuse and change their behaviour accordingly is to
142 identify those whose perceptions of SABA place them at risk of SABA over-reliance, thus identifying
143 them for early review and intervention(20).

144 There are currently no validated methods available to systematically assess the beliefs that patients hold
145 about their SABA therapy. Existing measures available focus on either general beliefs about
146 treatment(21) or the illness(22), or on overall asthma inhaler use(23), rather than on beliefs about SABA
147 specifically. The SRQ was developed to fill this gap. This paper describes the development and validation
148 of this new questionnaire – the SRQ – which assesses and identifies patients’ key beliefs that drive SABA
149 over-reliance.

150 **Methods**

151 *Item development of the SABA Reliance Questionnaire (SRQ)*

152 Statements assessing patients’ perceptions of SABA use were adapted from the 5-item Necessity scale of
153 the Beliefs about Medicines Questionnaire (BMQ)(21). The BMQ is a widely used, well-validated
154 questionnaire that measures patients’ beliefs about treatment(21), particularly their personal need or
155 concerns about treatment. The statements in the 5-item BMQ Necessity scale were adapted to generate
156 5 items that mapped onto the concept of personal need for SABA. The statements were chosen to
157 reflect the beliefs likely to be associated with SABA over-reliance, identified from previous research on
158 beliefs about SABA(12) and from consensus discussions with the International Primary Care Respiratory
159 Group (IPCRG). These statements were then reviewed by a multidisciplinary expert panel. The items
160 captured the key beliefs reported in the literature that are linked with SABA over-reliance(12, 16, 19).
161 Each of the statements describes a key concept relating to SABA over-reliance: symptom relief, anxiety,
162 reliever place in therapy, benefit vs. risk, and preference over controller therapy (for access to the actual
163 wording of the items, contact author Professor Rob Horne). The original 5-point Likert response options

164 (strongly disagree to strongly agree) were retained from the BMQ. This process resulted in the
165 development of the SRQ (see Online Repository Text A1).

166 A 5-item questionnaire was proposed with the potential to be used for two purposes: 1) as a *screening*
167 tool to identify patients who are a priority for intervention (e.g. discussions with clinician) to reduce
168 SABA over-reliance, and 2) to *identify* the key beliefs driving SABA over-reliance that could be targets for
169 modification within the intervention.

170 In accordance with the BMQ Necessity scale scoring, each of the 5 items of the SRQ was scored on a 5-
171 point Likert scale with 1 = strongly disagree and 5 = strongly agree. Total scores ranged from 5 to 25,
172 with higher scores indicating higher necessity beliefs for SABA (i.e. higher reliance on SABA).

173 ***Testing the reliability and validity of the SRQ***

174 *Participant population*

175 Participants were recruited using the Amazon Mechanical Turk (mTurk) platform, an online participant
176 recruitment portal where participants are invited to complete tasks requiring human involvement and
177 are reimbursed with small monetary rewards. This method of conducting studies on mTurk has been
178 increasingly used in research due to its cost-effectiveness, efficiency, reliability, and ability to rapidly
179 recruit a diverse sample of participants whilst generating findings that appear comparable with those
180 collected via more traditional recruitment means(24). In this study, participants self-selected
181 questionnaire completion by responding to the online survey link posted on the mTurk platform and
182 completed a set of screening questionnaires to confirm study inclusion eligibility. The online
183 questionnaires hosted on mTurk (see below for questionnaire descriptions) were open to participants
184 who self-reported a diagnosis of asthma and were at least 18 years old. In accordance with the General
185 Data Protection Regulations, no additional demographics data were collected to ensure a de-identified
186 dataset could be used. Participants were reimbursed US\$3 for completion of the survey. According to an

187 online review by the UK NHS Research Ethics Committee, no further ethical approval was deemed
188 necessary for this study(25).

189 *Item analysis*

190 Descriptive analyses of each SRQ item was conducted to describe the means, standard deviations, and
191 frequency distributions of participants' responses to each of the 5 items. This item analysis identified the
192 percentage of respondents who responded agree/strongly agree to each of the 5 scale items. Frequency
193 distributions for the whole 5-item scale were also calculated. This was based on the participants' mean
194 SRQ scores, calculated by adding the response score for each item, then dividing by the number of items
195 (5) to produce a mean overall score between 1 and 5.

196 *Reliability testing*

197 An internal reliability analysis assesses the consistency of results across items within a questionnaire and
198 is useful for determining the value that each respective scale item adds to the overall questionnaire. This
199 analysis produces Cronbach's α values for each scale item and for the whole questionnaire. Cronbach's α
200 values are the widely accepted measure of internal reliability (Cronbach's $\alpha > 0.7$ acceptable) and
201 indicate how closely related a set of scale items are as a group(26, 27). This enables researchers to
202 determine how necessary it is to include each specific item within the questionnaire.

203 To assess the internal reliability of the questionnaire, Cronbach's α for the 5 items combined was
204 calculated to assess the SRQ's overall internal reliability. This was also calculated for the remaining 4
205 items with each item deleted one at a time to evaluate each item's contribution to the internal
206 consistency reliability of the SRQ .

207 *Validity testing*

208 Validity relates to evaluating whether the questionnaire measures what it intends to measure, i.e. how
209 beliefs about SABA importance influence asthma inhaler use. As there is no 'gold standard' measure of
210 SABA beliefs, validity for the SRQ was judged based on the relationship between the SRQ and other
211 relevant constructs (i.e. criterion validity). Criterion validity assesses the extent to which a measure is
212 related to an outcome. Specifically, Pearson's correlation coefficients were calculated to explore
213 whether there were significant relationships between the SRQ composite score (based on total
214 participants' responses to the 5 scale items), and the following: perceived reliever importance, and a
215 self-report measure of adherence to ICS therapy.

216 *Criterion validity*

217 Criterion validity of the SRQ was assessed in terms of the following hypotheses:

- 218 - Perceived reliever importance

219 As the SRQ was developed to assess patient necessity beliefs driving SABA over-reliance, it was
220 hypothesised that higher SRQ scores would be related to higher perceived reliever importance. To
221 assess patients' perceptions of the importance of their reliever inhaler, a visual analogue scale (VAS) was
222 used. Participants rated importance on a scale from 0 (not important at all) to 10 (very important), in
223 response to the question '*how important is your reliever (SABA) medication?*'.

224 Secondly, SRQ scores were compared between participants who rated their reliever as very important
225 (based on the VAS for perceived reliever importance scores of 8 and above) and those who rated their
226 reliever as low–moderate importance. A sensitivity analysis was conducted using VAS cut-offs of 9 and
227 above, instead of 8 and above, to see if this would impact findings.

- 228 - Self-reported adherence to ICS

229 SABA over-reliance is generally associated with poor adherence to ICS (underuse)(28). As such, it was
230 hypothesised that high SRQ scores would be associated with lower ICS adherence. The Medication
231 Adherence Report Scale for asthma (MARS, Online Repository Text A2)(29) was used to assess
232 medication-taking behaviours related to participants' use of ICS: this was adapted to produce a 9-item
233 MARS-ICS scale. Each of the 9 MARS-ICS items represent a medication-taking behaviour related to poor
234 adherence, e.g. '*I only use it when I need it*'. These items were rated on a scale from 1 (always) to 5
235 (never), with higher scores indicating better adherence.

236 To further demonstrate criterion validity, we conducted an independent-samples t-test was to
237 investigate whether there was a significant difference in SRQ composite scores between those with low
238 and those with high adherence scores on the MARS-ICS. The cut-off scores for low and high adherence
239 were determined by the sample responses to the MARS-ICS by calculating the maximum potential score
240 on the MARS-ICS (45), and identifying those participants scoring within the highest third (i.e. 31 and
241 above) and the lowest third (scoring 24 and below), respectively. Those in the top third were considered
242 to have high adherence; those in the lowest third had low adherence. To check whether using a
243 different definition of 'high' and 'low' adherence would impact on the analysis, a sensitivity analysis was
244 conducted using different MARS-ICS cut-off points; high and low adherence groups were defined as
245 those scoring in the top and bottom 30% of the sample (as opposed to top and bottom third).

246 **Results**

247 A total of 446 participants completed the Amazon mTurk survey. The final SRQ contained 5 items that
248 evaluated patients' beliefs about SABA.

249 ***Univariate analysis of scale items***

250 *Means and standard deviations*

251 *Table 1* reports on the means and standard deviations (SDs) of the participants' scores to each of the 5
252 scale items on the SRQ. Higher scores are indicative of a stronger personal need for SABA.

253 *Table 1 here*

254

255 *Frequency distributions*

256 *Figure 1* illustrates the percentage frequency distributions of participants' mean SRQ scores for the
257 whole 5-item scale. The mean for the sample population was 3.6 showing that participants' responses
258 trend slightly more towards agree/strongly agree, indicating a higher overall risk of SABA over-reliance
259 in this sample when considering the overall SRQ score.

260 *Figure 1 here*

261

262 ***Item analysis of questionnaire items***

263 *Figure 2* illustrates the percentage of participants that responded either agree or strongly agree to each
264 of the 5 scale items of the SRQ. This figure shows that agreement was high (>50% of participants) for
265 each item. Overall, 92.6% of participants scored above the scale mid-point on the SRQ indicating strong
266 beliefs in their personal necessity for SABA. Item 1 (symptom relief) was the item that most participants
267 agreed or strongly agreed with (71.8%). In contrast, item 3 (reliever place in therapy) had lower
268 agree/strongly agree responses, but still over half of the sample agreed/strongly agreed with this.
269 Collectively, the participants' agreement with the statements of the SRQ showed that participants
270 overall held high necessity beliefs about their reliever medication, i.e. had high perceptions of personal
271 need for SABA treatment in managing asthma (*Figure 2*).

272

273 *Figure 2 here*

274

275 ***Internal reliability analysis***

276 Overall, the SRQ demonstrated good internal reliability (Cronbach's $\alpha = 0.74$), according to George and
277 Mallery's (2003) rule of thumb(27). *Table 2* shows that the internal reliability of the SRQ was not
278 improved by removing any of the included scale items. This indicates that each of the 5 items was a
279 valuable addition to the scale's overall internal reliability, i.e. no items were redundant.

280

281 *Table 2 here*

282

283 ***Criterion validity analysis***

284 In line with study hypotheses, SRQ scores were significantly positively correlated with the VAS item '*how*
285 *important is your reliever (SABA) medication?*' ($r = 0.216$, $p < 0.0001$). Those who scored highly on the
286 SRQ, indicating higher over-reliance on SABA, also perceived their SABA medication to be important (see
287 Figure 3a).

288 There was a significant difference in SRQ scores in patients who rated their reliever as very important on
289 the VAS (≥ 8) vs. low–moderately important (≤ 7) ($t = -5.006$, $p = 0.037$). Those rating their reliever as
290 very important had higher mean (SD) SRQ scores of 18.9 (3.9) ($N = 241$) compared with those rating
291 their reliever as low–moderately important, whose mean (SD) score was 17.2 (3.4) ($N = 205$). The
292 sensitivity analysis conducted with 'very important' (defined as importance scores on the VAS ≥ 9 [as

293 opposed to ≥ 8]), and 'low–moderately important' (defined as scores of ≤ 8 [as opposed to ≤ 7]) gave
294 similar significant results.

295 There was a significant negative correlation between SRQ and MARS-ICS (adherence) scores ($r = -0.291$,
296 $p < 0.0001$), indicating that patients with stronger beliefs in the personal necessity of SABA (high SRQ
297 scores) were significantly more likely to self-report low adherence to ICS (see Figure 3b).

298

299 *Figures 3a and b.*

300

301 The SRQ scores were different between patients reporting high vs. low adherence to ICS ($t = 4.825$,
302 $p < 0.0001$). As predicted, those with low ICS adherence had significantly stronger beliefs in their personal
303 need for SABA than those reporting high ICS adherence: mean (SD) SRQ scores for the low ICS
304 adherence group ($N = 156$) = 19.7 (2.9) vs. 17.6 (4.4) for those reporting high adherence to ICS ($N = 144$).
305 The mean difference in SRQ scores between high and low ICS adherence groups was 2.05 (95%
306 Confidence Interval (CI), 1.21–2.88). The sensitivity analysis using the top and bottom 30% of the MARS-
307 ICS scores as cut-offs to define high and low adherence groups showed similar results.

308 **Discussion**

309 This is the first paper to report on the development and validation of a screening tool to assess patient
310 perceptions of SABA reliever and risk of over-reliance. Inappropriate SABA use is associated with worse
311 asthma outcomes(2, 4, 6) and is a key intervention target in current asthma management, as highlighted
312 in the recent Lancet Commission on Asthma and GINA guidelines update(3, 4). However, tackling SABA
313 over-reliance is challenging(18), as this requires changes in patients' behaviours, which are influenced by
314 their beliefs about SABA(12, 16). An important first step towards tackling inappropriate SABA use is

315 identifying patients who are at risk of overusing SABA. This SRQ is the first tool to systematically and
316 pragmatically assess and identify beliefs that put patients at risk of SABA over-reliance. It captures the
317 key findings from current literature on SABA and insights from practice about the patient beliefs that
318 seem to drive SABA over-reliance(12, 16, 23, 30, 31). The resulting questionnaire is a summary of the
319 literature findings and is based on the structure of the BMQ – a well-validated and widely used measure
320 of treatment beliefs. This brief 5-item questionnaire can be used pragmatically to systematically assess
321 and identify patients’ beliefs associated with SABA use in practice.

322 Currently, evaluation of SABA use is limited to using prescribing and/or dispensing data, yet these data
323 are not always easily available and may not be accurate, particularly if patients use more than one
324 pharmacy, share SABA inhalers, or obtain SABA without a prescription, for example in countries where
325 SABA are available over the counter(16). Moreover, although SABA prescribing and dispensing data may
326 provide an indication of *how* patients are using SABA, they do not reveal *why* patients are using SABA in
327 the way they do. This study provides the first evidence to support the use of the SRQ as a potential tool
328 to identify key beliefs about SABA that may need to be addressed if patients are to be persuaded to
329 reduce their SABA over-reliance. The SRQ provides clinicians a brief, pragmatic way to systematically
330 assess the beliefs that underpin SABA over-reliance, thus facilitating clinician–patient discussions on
331 what could be targets for intervention in a way that is tailored to the patient. The SRQ can be used with
332 other tools, such as medication possession ratios or other information about patterns of SABA use, to
333 provide insights into the reasons behind SABA overuse, thus informing interventions to modify
334 inappropriate SABA use. For example, the IPCRG has developed a ‘SABA slide rule’ as a practical tool to
335 stimulate conversations about SABA use(32). The SRQ can be used with the slide rule by taking the
336 conversations initiated with the slide rule further, by exploring the reasons why the individual is over-
337 relying on their SABA.

338 This study found that the SRQ may be used to differentiate between those with high and low ICS
339 adherence. In patients with high SRQ scores, indicative of higher personal need for SABA and risk of
340 over-reliance, the risk of poorer ICS adherence may be higher. This is in line with the hypothesis that
341 patients who have a high reliance on SABA do so because of a high perceived personal need for rapid
342 symptom relief, and potentially fears of using their ICS due to adverse effects with steroids; in this case,
343 using SABA rather than ICS makes more sense, leading to SABA over-reliance and ICS underuse(2, 12, 16,
344 19). The potential use of the SRQ in practice is three-fold: 1) as a screening tool, to identify patients at
345 risk of SABA over-reliance based on overall SRQ scores; 2) as an assessment tool, to identify the key
346 beliefs unique to the individual that drive SABA over-reliance, based on responses to the individual SRQ
347 items; and 3) as a proxy measure of ICS adherence, which can be confirmed using validated measures of
348 adherence such as the MARS-ICS.

349 As the SRQ provides both quantitative (numerical measure of SABA over-reliance risk) and qualitative
350 information (about the beliefs driving over-reliance), it can potentially be used to monitor and measure
351 changes over time in patient beliefs. However, as this present study was cross-sectional, as is common
352 with questionnaire validation studies(33, 34), further research using the SRQ longitudinally is needed to
353 determine test-retest reliability, how SRQ changes over time, and the predictive validity of the SRQ in
354 relation to SABA use and outcomes.

355 Initial findings suggest good internal reliability and criterion validity , however, the correlations in the
356 criterion validity analyses were low. This is potentially due to the finding that most respondents scored
357 higher than the mid-point on the SRQ, and the self-reporting nature of the questionnaires used to
358 establish criterion validity. In the absence of a more specific measure of SABA over-reliance, our
359 measures of criterion validity were limited to two constructs that we hypothesised would be associated
360 with SABA over-reliance (i.e. adherence to ICS as measured by MARS-ICS and perceived importance of
361 SABA). Whilst there is a well-documented relationship between adherence to ICS and SABA use, the

362 relationship between these two measures are not consistently in the same direction in the literature.
363 Some patients may still have a high general reliance on SABA but maintain high ICS adherence; for these
364 patients any relationship between the two variables would act to counter the hypothesised relationship
365 in the opposite direction. Similarly, we used a visual analogue scale as a self-reported measure of
366 perceived SABA importance. Again, we did not expect a high correlation as the item is potentially
367 confounded by some patients who may rate their SABA as very important and rely on it too much, but
368 others may rate it as important but use it judiciously – these people within the sample would pull the
369 findings in the opposite direction of the hypothesised relationship. Additionally, as the MARS-ICS and
370 visual analogue scale relies on self-report, there is a risk of bias. This may have led to the small r values
371 observed. Whilst these r values would not usually be considered acceptable for proving criterion related
372 validity, as a preliminary test, we have accepted a smaller r value as an indicator of validity in the
373 absence of a more specific measure of SABA over-reliance. Further measures of criterion validity are
374 now warranted. . More detailed evaluations of the SRQ using objective estimates of actual SABA inhaler
375 use are needed to confirm these initial findings.

376 Further work is also needed to establish cut-off points with the SRQ to identify the threshold above
377 which the patient is identified as 'over-reliant'. However, these findings provide encouraging early
378 evidence that the SRQ does what it sets out to measure (as shown by its validity) and does so in an
379 internally consistent way (reliability). As with any survey, there is the limitation that the asthma
380 diagnosis could not be confirmed using objective measures as the diagnosis was self-reported.

381 Demographic information about the sample, such as asthma severity, treatment information, and
382 duration of diagnosis, was also not known. As treatment information was not included in the eligibility
383 screening, it is possible that some respondents may not have received controller treatment before.

384 There may also be differences in SABA over-reliance risk between patients on a fixed-dose, combination
385 controller inhalers and patients on ICS only. Further evaluation of the SRQ in clinical samples and

386 exploration of SRQ scores in patients on different treatment regimens are needed to confirm its validity
387 and reliability in other asthma populations. As the SRQ was developed in English and SABA over-reliance
388 is a global problem, the SRQ will need testing and adapting for use in other countries and cultural
389 settings to consider local variations, such as differences in availability of SABA, what SABA is referred to
390 as locally (e.g. reliever vs. rescue medication), and different cultural responses to risk.

391 Future research assessing these parameters are needed to provide more information on the reliability,
392 validity and potential applications of the SRQ. Nevertheless, our data from this online sample provides
393 preliminary evidence on the potential utility of this tool in assessing the beliefs that underpin SABA over-
394 reliance. The SRQ enables direct capture of the patient's voice, as it is patient self-reported, and allows
395 clinicians to address the beliefs self-identified through the SRQ beyond a simple numerical measure of
396 SABA over-reliance risk.

397 **Conclusions**

398 The SRQ is a novel measure that assesses patients' beliefs underpinning SABA over-reliance. The SRQ
399 demonstrated acceptable internal reliability, and criterion validity. This supports its potential usefulness
400 as a tool in asthma care that can help identify beliefs that may put patients at risk of SABA over-reliance,
401 and flag those individuals who would benefit from an asthma medication review and behaviour change
402 intervention to shift their beliefs about SABA. This questionnaire could be used as part of asthma
403 consultations, where healthcare professionals can screen patients for SABA over-reliance, and target
404 behaviour change interventions to those at highest risk, in a way that is individualised to the patient's
405 unique treatment beliefs about SABA. It represents an important first step towards addressing the
406 global issue of inappropriate SABA use.

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506 **Figure legends**

507 *Figure 1:* Percentage frequency distributions of participants' mean scores to the overall SABA Reliance
508 Questionnaire (SRQ) on a 5-point Likert scale (N = 446)

509 *Figure 2:* Item analysis of the 5 items of the SABA Reliance Questionnaire (SRQ)

510 *Figure 3a and b.* Scatterplots showing a. the association between perceived importance of SABA and
511 reliance on SABA, b. the association between adherence to ICS and reliance on SABA. Higher adherence
512 (MARS), higher SABA over-reliance (SRQ) and higher perceived importance of SABA (VAS) are indicated

Table 1: Means and standard deviations (SDs) of each of the SABA Reliance Questionnaire's 5 scale items

Scale item – SABA concept assessed*	Mean	SD
1. Using my reliever to treat symptoms is the best way to keep on top of my asthma	3.81	1.07
2. I don't worry about asthma when I have my reliever around	3.61	1.06
3. My reliever is the only asthma treatment I can really rely on	3.50	1.13
4. The benefits of using my reliever inhaler massively outweigh any risks	3.63	1.02
5. I prefer to rely on my reliever than my preventer inhaler	3.57	1.10

513 *SABA = short-acting beta₂-agonist*

514

515

Table 2: Cronbach's α of scale if scale item deleted

Scale item – SABA concept assessed*	α if item deleted
*NB: item wording is copyrighted to Professor Rob Horne – please contact Professor Horne for permission to reuse	
1. Using my reliever to treat symptoms is the best way to keep on top of my asthma	0.743
2. I don't worry about asthma when I have my reliever around	0.760
3. My reliever is the only asthma treatment I can really rely on	0.738
4. The benefits of using my reliever inhaler massively outweigh any risks	0.751
5. I prefer to rely on my reliever than my preventer inhaler	0.732

