

1 **SGLT2i as fourth-line therapy and risk of mortality, end-stage renal diseases and**
2 **cardiovascular diseases in patients with type 2 diabetes mellitus**

3

4 **Authors:** Carlos KH Wong, PhD^{1,2}, Eric HM Tang, BSc¹, Kenneth KC Man, PhD^{3,4}, Esther WY
5 Chan, PhD³, Ian CK Wong, PhD^{3,4}, Cindy LK Lam, MD¹

6 1 Department of Family Medicine and Primary Care, Li Ka Shing Faculty of Medicine, The
7 University of Hong Kong, Hong Kong SAR, China

8 **2 Department of Pharmacology and Pharmacy, Li Ka Shing Faculty of Medicine, The**
9 **University of Hong Kong, Hong Kong, China**

10 **3** Centre for Safe Medication Practice and Research, Department of Pharmacology and
11 Pharmacy, Li Ka Shing Faculty of Medicine, The University of Hong Kong, Hong Kong, China

12 **4** Research Department of Policy and Practice, University College London School of Pharmacy,
13 London, UK

14

15 **Corresponding Author:** Carlos KH Wong, Department of Family Medicine and Primary Care,
16 Li Ka Shing Faculty of Medicine, The University of Hong Kong, Hong Kong SAR, China.

17 Address: Rm 1-01, 1/F, Jockey Club Building for Interdisciplinary Research, 5 Sassoon Road,
18 Pokfulam, Hong Kong. Tel: (+852) 2831-5055 Fax: (+852) 2814-7475 Email: carlosho@hku.hk

19

20 **Running title:** SGLT2i as fourth-line therapy for T2DM

21 **Total word count:** 3,327 words

22

23 **Conflict of interest statement**

24 No financial relationships with any organisations that might have an interest in the submitted
25 work in the previous three years. No other relationships or activities that could appear to have
26 influenced the submitted work. KKCM reports personal fees from IQVIA Ltd, outside the
27 submitted work. EWYC reports grants from Bristol-Myers Squibb, grants from Pfizer, grants
28 from Janssen, grants from Takeda, outside the submitted work. ICKW reports grants from
29 Bristol-Myers Squibb, grants from Pfizer, grants from Janssen, outside the submitted work. No
30 other disclosures were reported.

31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52

Abstract

Aim

Current guideline recommends insulin as fourth-line glucose-lowering medications. However, treatment effects of sodium glucose co-transporter-2 inhibitors (SGLT2i) on the risk of complications are uncertain. This study examines risks of all-cause mortality, cardiovascular diseases (CVD) **and** end-stage renal diseases (ESRD) in type 2 diabetes mellitus (T2DM) patients on triple oral glucose-lowering medications initiating SGLT2i, insulin or other oral medications.

Methods

A population-based retrospective cohort of patients with T2DM between 2006-2017 was extracted from Hong Kong Hospital Authority database. Patients who were initiated a fourth-line therapy with SGLT2i, insulin or other oral medications were included. Hazard ratios (HRs) for all-cause mortality, CVD **and** ESRD were assessed using Cox proportional hazard models.

Results

Over a median follow-up period of 18.5 months with 63,122 person-years, SGLT2i and insulin group had the lowest and highest incidence rate of all-cause mortality, CVD and ESRD (1.06, 0.65 and 0.61 vs 4.25, 5.58 and 4.39/100 person-years), respectively. Initiating SGLT2i as fourth-line medication had more benefits on CVD, in particular coronary heart disease and stroke. Insulin users had higher risks of CVD (HR=8.04, 95%CI=3.06-21.12) than SGLT2i users. SGLT2i was associated with **insignificant** reduction in ESRD (HR=4.62, 95%CI=0.73-

53 29.09) and all-cause mortality (HR=3.06, 95%CI=0.75-12.45), **and HF (HR=2.99,**
54 **95%CI=0.37-24.42) among patients without established HF.**

55

56 **Conclusion**

57 Among T2DM patients initiating fourth-line therapy, SGLT2i users had significant benefits in
58 lowering risk of CVD, **and potential benefits in lowering risks of ESRD and all-cause**
59 **mortality.** SGLT2i was the preferred fourth-line glucose-lowering medication least likely to be
60 associated with complication risks.

61

62 **Word count (abstract): 246** words

63

64 **Keywords:** anti-diabetic drug; cardiovascular disease; SGLT2 inhibitor; type 2 diabetes;
65 diabetes complication; insulin therapy

66

Manuscript Text

67 Introduction

68 Sodium glucose co-transporter-2 inhibitor (SGLT2i) is a relatively new type of oral glucose-
69 lowering drug class, and has been recommended by the American Diabetes Association as one of
70 the options as second-line and third-line therapy for type 2 diabetes mellitus (T2DM) patients
71 with or without established atherosclerotic cardiovascular diseases (CVD), and those patients
72 with heart failure or chronic renal disease [1, 2]. For those without established CVD, SGLT2i is
73 recommended if there is a compelling need in minimising hypoglycaemia and weight
74 gain. SGLT2i works by inhibiting renal glucose reabsorption in the proximal tubule, thereby
75 increasing urinary glucose excretion which lowers plasma glucose level and improves glycaemic
76 control in patients with T2DM [3-5].

77

78 For those who have failed to achieve adequate glycaemic control after 3 months of triple therapy,
79 a fourth-line glucose-lowering therapy is recommended to be added. Currently, the American
80 Diabetes Association recommends incorporating insulin therapy as fourth-line medication [1].
81 Insulin is known as an effective, potent glucose-lowering drug with an overall established safety
82 record despite of an associated risk of hypoglycaemia. Insulin is therefore considered as part of a
83 combination therapy when hyperglycaemia is severe and poorly controlled with use of oral
84 agents alone [6].

85

86 A number of large-scale randomised controlled trials [3, 4, 7] reported statistically significant
87 reductions in CVD events in patients with T2DM with established CVD or at high risk treated

88 with SGLT2i, with evidence modestly stronger for Empagliflozin compared with Canagliflozin
89 [1]. Latest studies has shown long-term use of SGLT2i is cardio-protective by reducing
90 myocardial infarct size following ischaemia [8] and hospitalisation for heart failure (HF) [9, 10],
91 thus supports its use in T2DM patients with high risk of, or established CVD. Large
92 multinational observational studies also showed consistent evidence of risk reduction of death
93 and heart failure with SGLT2i compared with other oral glucose-lowering drugs across baseline
94 characteristics of patients [11-13]. Similarly, a systematic review showed robust benefits of risk
95 reduction of hospitalisation for HF and progression of renal disease, thereby lowering risk of
96 major adverse cardiovascular events in SGLT2i users with and without established CVD [14].
97 An up-to-date systematic review and meta-analysis [15] has also showed similar results. Patients
98 on SGLT2i had a significantly lower all-cause mortality, cardiovascular mortality, HF and
99 myocardial infarction events compared with control group [15]. The overall class effect of
100 SGLT2i were studied in recent article, which suggested that SGLT2i as a class have a positive
101 effect on reducing all-cause mortality, end-stage renal disease (ESRD) and less estimated
102 glomerular filtration rate (eGFR) decline [16, 17]. In addition, renal-protective effects of SGLT2i
103 were assessed in a systematic review and meta-analysis, which showed decreasing rate of eGFR
104 decline, albuminuria progression, improved adverse renal endpoints and reduced all-cause
105 mortality [18].

106

107 Given prominent cardio- and renal-protective benefits of using SGLT2i among T2DM patients
108 [1, 19], it is postulated that SGLT2i may play a potential role as fourth-line therapy. With a lack
109 of existing evidence to support this, it becomes vital to investigate associated all-cause mortality,
110 CVD and ESRD risks after initiating SGLT2i or insulin as fourth-line therapy among T2DM

111 patients with and without established CVD. Alongside, heterogeneity of patients is likely to be
112 present in previous analyses with pooling of patients on first-line, second-line or third-line
113 glucose-lowering drugs, **rendering it necessary to consider patients initializing fourth-line**
114 **therapy**. Issues with time-related and confounding biases have been dealt with less so in
115 literature, while such methodological limitations could potentially hinder the evidence of
116 investigation by over exaggerating the benefits observed within a glucose-lowering drug [20]. In
117 this respect, a large-sample population-based analysis has been conducted to critically assess the
118 effects of SGLT2i, insulin or other oral glucose-lowering drugs on risks of all-cause mortality,
119 ESRD **and** CVD events. The effect of SGLT2i as fourth-line therapy on weight loss, renal and
120 metabolic outcomes were also assessed.

121

122 **Methods**

123 *Data source description*

124 We assembled the population-based retrospective cohort from the Hong Kong Hospital
125 Authority administrative database in the Hong Kong adult population with diabetes from January
126 1, 2006 to December 31, 2017. The Hospital Authority database has been extensively used for
127 conducting high-quality large population-based studies [21, 22]. Documented DM diagnosis was
128 defined as the International Classification of Primary Care, Version 2 (ICPC-2) codes T89/T90
129 or International Statistical Classification of Diseases and Related Health Problems, 9th Revision,
130 Clinical Modification (ICD-9-CM) codes 250.x. The database contains comprehensive individual
131 patient-level information on prescription and dispensing of glucose-lowering drug, serial

132 readings of anthropometric and laboratory variables, presence of comorbidities as classified
133 based on ICD-9-CM or ICPC-2 diagnosis codes.

134

135 *Identification of study population*

136 We included patients with and without established CVD who were on triple oral glucose-
137 lowering drugs in the study period, and subsequently initiated with either 1) SGLT2i or 2)
138 insulin. A control group was formed if patients were dispensed oral glucose-lowering drugs other
139 than SGLT2i and insulin as fourth-line drug therapy in order to reflect the effectiveness of
140 SGLT2i and insulin. Patients who were under 18 years old, had type 1 diabetes or gestational
141 diabetes, occurred ESRD events before initiation of fourth-line medication, and received
142 **SGLT2i** and/or insulin before initiation of fourth-line medication were commenced, were
143 excluded. Baseline date of eligible patients was defined as the date of initiating fourth-line
144 medication. Patients were observed from baseline date until occurrence of study outcome, death
145 from any cause, and censored at last healthcare service utilisation date, whichever came first.
146 Analyses of CVD outcomes were based on patients without established CVD at baseline.
147 Analyses of other outcomes were based on patients with and without established CVD at
148 baseline.

149

150 *Ethics approval and consent to participate*

151 All procedures performed in studies involving human participants were in accordance with the
152 ethical standards of the institutional and/or national research committee and with the 1964
153 Declaration of Helsinki and its later amendments or comparable ethical standards. Ethics

154 approval of this study was granted by Institutional Review Board of the University of Hong
155 Kong /Hospital Authority Hong Kong West Cluster (Ref No. UW 16-1018). Since this is neither
156 a clinical trial nor a prospective study, patients' informed consent was not required for this
157 retrospective cohort analysis utilising the de-identified and anonymised data from the Hospital
158 Authority.

159

160 *Outcome measures*

161 Primary study outcomes were all-cause mortality, composite CVD (coronary heart disease
162 including acute myocardial infarction **and** other ischaemic heart disease, congestive heart failure,
163 and stroke) **and** ESRD by treatment groups. Events of CVD were identified by diagnosis codes
164 of ICD-9-CM and ICPC-2, whereas ESRD events were identified by above diagnosis codes and
165 recorded eGFR<15ml/min/1.73m². All ICD-9-CM and ICPC-2 diagnosis codes for comorbidities
166 and event outcomes are listed in Supplementary Table S1. Secondary outcomes were changes in
167 body mass index (BMI), eGFR, and metabolic outcomes including glycated haemoglobin
168 (HbA1c), blood pressure, and low-density lipoprotein cholesterol (LDL-C) from baseline to one-
169 year measurement. eGFR was estimated by serum creatinine from blood test based on the
170 Modification of Diet in Renal Disease Study formula adjusted for Chinese population.

171

172 *Baseline covariates*

173 Patient covariates included age, sex, clinical characteristics, and history of CVD and **severe**
174 **hypoglycaemia** at baseline. Clinical characteristics included BMI, fasting glucose, HbA1c,
175 systolic and diastolic blood pressure (SBP and DBP), lipid profiles (total cholesterol [TC] to

176 high-density lipoprotein cholesterol [HDL-C] ratio, LDL-C and triglyceride), eGFR, Charlson
177 comorbidity index, duration of DM, duration of DM drug dispensed before initiating fourth-line
178 medication (i.e. dispensing time between first glucose-lowering drug and first fourth-line drug),
179 and ever use of anti-hypertensive drugs.

180

181 *Statistical analysis*

182 To address missing baseline data, multiple imputation by chained equations [23] was adopted.
183 Missing baseline data was imputed five times [24] by random chained equation using other
184 known baseline data [25]. Model parameters were estimated from multiple imputed data and then
185 used to obtain multiple imputation linear predictions by applying Rubin's combination rules
186 observation wise to the completed-data predictions [23].

187

188 To minimise the outcome bias due to discrepancy in baseline covariates, inverse probability of
189 treatment weights (IPTW) using propensity-score was applied to balance covariates across three
190 groups. Duration of patient on oral glucose-lowering drug was calculated to account for person-
191 time exposed to monotherapy, dual and triple oral drug therapy in each group. IPTW using the
192 propensity-scores was implemented using the Stata command marginal mean weighting through
193 stratification [26]. The lowest and highest 1% (corresponding to 1st and 99th percentiles)
194 propensity-score weights in each group were removed to trim extreme weights [27]. In the
195 context of IPTW, multiple imputation followed by pooling treatment effects estimates across
196 imputed datasets is the preferred approach [28]. After propensity-score weighting, the balance of

197 baseline covariates between groups was assessed using univariate linear, binary logistic or
198 multinomial logistic regression, as appropriate.

199

200 Patients were grouped into three treatment groups, 1) SGLT2i, 2) insulin or 3) other oral
201 glucose-lowering drugs. Baseline characteristics were presented by mean \pm standard error (SE)
202 for continuous variables, N (%) for categorical variables.

203

204 Incidence rates (IR) of each outcome event for each treatment group were estimated using the
205 total number of patients with event occurrence during follow-up period divided by person-years
206 at risk. Cox proportional hazards regression model was used to examine the association between
207 the fourth-line medications and incidence of events. Hazard ratio (HR) and its 95% confidence
208 interval (CI) were reported for each treatment group in the regression model. Cox test was used
209 to compare the equality of survival curves between the groups. Proportional hazards assumptions
210 were confirmed through Schoenfeld residuals test. Goodness-of-fit of Cox regression model were
211 assessed using Akaike information criterion and Bayesian information criterion.

212

213 Secondary outcomes were compared at baseline and 12-month by paired t-test within the same
214 group, and were compared within group at the same period by one-way analysis of variance test.
215 **To perform the paired comparison, patients with no missing clinical characteristics at both**
216 **baseline and 12-month follow-up are included.**

217

218 E-values were calculated as a sensitivity analysis to quantify the potential for unmeasured
219 confounding bias on observed treatment-outcome association [29, 30]. Competing risk for
220 mortality was accounted for the analysis of disease outcomes, by comparing the sub-hazard ratio
221 (SHR) by competing risk regression and the HRs estimated from primary analysis. As sensitivity
222 analyses, six scenarios were tested to check the robustness of the treatment effects: 1) selecting
223 basal insulin users (neutral protamine Hagedorn insulin and long-acting insulin) only within the
224 insulin group, 2) multiple imputation of missing baseline covariates with IPTW without
225 propensity-score trimming, 3) multiple imputation of missing baseline covariates without IPTW,
226 4) complete-case with IPTW and propensity-score trimming, 5) complete-case with IPTW
227 without trimming, and 6) complete-case analysis without IPTW.

228

229 All statistical analyses were performed using Stata version 13.0 (StataCorp LP, College Station,
230 Texas). All significance tests were two-tailed and P values <.05 were taken to indicate statistical
231 significance. Statistical analyses were conducted by two co-authors (CKHW and EHMT) and
232 cross-checked for quality assurance.

233

234 **Results**

235 The selection process of the cohort group is outlined in Figure 1. In total, 8,984 eligible patients
236 were included in current analysis. A majority of patients received insulin (75.6%) as their fourth-
237 line glucose-lowering medication, followed by other oral drugs (17.5%) and SGLT2i (7.0%).
238 Our cohort in SGLT2i group was distributed by three types of SGLT2i (Dapagliflozin: 57.3%;
239 Empagliflozin: 41.8%; and Canagliflozin: 0.9%), while 46.5% and **45.1%** of our cohort in other

240 oral glucose-lowering medication group received thiazolidinedione and dipeptidyl peptidase-4
241 inhibitor, respectively.

242

243 *Patient characteristics*

244 Table 1 illustrates baseline characteristics of patients according to their treatment groups after
245 weighting. All baseline covariates achieved a balance across the three treatment groups by
246 univariate test. 51.7% of patients were male and mean age was 64.5 years (SE: 0.5). **The**
247 **majority of patients received DPP4i as the third-line therapy (85.4%, 72.3% and 51.3% for**
248 **patients received SGLT2i, insulin and others oral anti-diabetics drugs as fourth-line**
249 **medications respectively). Less than half patients received alpha-glucosidase inhibitor**
250 **(22.8%) and thiazolidinedione (23.2%) as third-line medications for patients initiated**
251 **others oral anti-diabetics drugs as fourth-line medications.** The data completion rate of
252 baseline covariates is shown in Supplementary Table S2. Details of baseline characteristics in
253 each group before weighting are listed in Supplementary Table S3.

254

255 *Incidence rates of primary outcomes*

256 Supplementary Table S4 depicts the cumulative incidence and IR of CVD, ESRD and all-cause
257 mortality across the follow-up period for patients treated with SGLT2i, insulin and other oral
258 drugs as the fourth-line medication. Over a median follow-up period of 18.5 months, SGLT2i
259 and insulin users had the lowest and highest IR for CVD (IR: 0.65 vs 5.58, per 100 person-
260 years), ESRD (IR: 0.61 vs 4.39, per 100 person-years) and all-cause mortality (IR: 1.06 vs 4.25,
261 per 100 person-years), respectively.

262

263 Table 2 presents the HRs from multivariable Cox proportional regressions adjusted by baseline
264 covariates. The HRs of CVD (8.04, $p<0.001$; 3.39, $p=0.02$) including CHD (11.75, $p<0.001$;
265 4.82, $p=0.02$) of insulin and other drugs group were significantly greater than one, respectively,
266 indicated that SGLT2i had significantly lower risks of composite CVD and CHD than insulin
267 and other drug groups. **Notably, the HRs of HF (2.99, $p=0.31$; 0.97, $p=0.98$) of insulin and**
268 **other drugs group were insignificantly different from 1.** Reduced risk of stroke (HR=7.21,
269 $p=0.002$) was found in SGLT2i users when compared to insulin users. **Although the HRs of**
270 **ESRD (4.62, $p=0.10$) and HRs of all-cause mortality (3.06, $p=0.12$) of insulin were not**
271 **significantly greater than one, which may result from the small number of events in ESRD**
272 **and mortality, a strong tendency for better results among SGLT2i users was demonstrated.**

273

274 Figure 2 depicts the Kaplan Meier survival curves for all-cause mortality, CVD and ESRD,
275 events by treatment groups. Patients treated with SGLT2i were observed to have higher survival
276 rates than insulin users. The distribution of survival curves between groups of all outcomes were
277 significantly different.

278

279 *Paired comparison of clinical outcome at baseline and 12-month follow-up*

280 Figure 3 compares the paired clinical characteristics at baseline and 12-month follow up. Patients
281 treated with insulin had a significant increase in BMI with paired difference of 0.13 kg/m²
282 ($p=0.03$), while SGLT2i users had significant reduction in percentage of total weight loss
283 (4.73%, $p=0.02$). The fasting glucose level, HbA1c level and TC/HDL ratio of patients receiving

284 all types of fourth-line medication was reduced, the greatest level of reduction was present in the
285 SGLT2i group of a paired difference -2.04mmol/L ($p<0.001$), -1.19% ($p<0.001$) and -0.21
286 ($p<0.001$), respectively. SGLT2i group showed an insignificant increase in eGFR level
287 ($0.17\text{mL}/\text{min}/1.73\text{m}^2$, $p=0.86$), but a significant drop was shown for insulin users (-3.16,
288 $p<0.001$). Insulin significantly increased SBP (0.94mmHg , $p=0.04$), but decreased DBP (-
289 0.76mmHg , $p=0.002$) and triglyceride ($-0.09\text{mmol}/\text{L}$, $p<0.001$). SGLT2i group showed
290 effectiveness in lowering total weight loss, HbA1c, fasting glucose level, LDL-C and TC/HDL-C
291 ratio, as well as an insignificant increase in eGFR level after 12-month initiation. Though
292 effective in lowering the HbA1c, fasting glucose level and lipid profile, insulin showed a
293 significant increase in BMI and deterioration in renal function by eGFR level. Supplementary
294 Table S5 lists the results of the comparisons between baseline and 12-month follow-up.

295

296 *Sensitivity analyses*

297 The E-values of those significant **HRs (CVD, CHD and stroke)** were greater than all HR of the
298 measured confounders, implying that it was unlikely that an unmeasured or unknown confounder
299 would have greater effect on the outcomes than these known risk factors by having a HR
300 exceeding those E-values (Supplementary Table S6). When all-cause mortality was considered
301 as the competing event (Supplementary Table S7), the risk of CVD (SHR=6.30, $p<0.001$), CHD
302 (SHR=8.99, $p<0.001$) **and** stroke (SHR=4.69, $p=0.01$) were also significantly higher in insulin
303 users when compared to SGLT2i users. Similar results were observed when considering different
304 scenarios (Supplementary Table S8), except for the limited samples for HF, stroke and ESRD in
305 complete-case analysis.

306

307 **Discussion**

308 Mounting data from randomised controlled trial and post-hoc observational analysis supported
309 the use of SGLT2i as second-line or third-line glucose-lowering medications for T2DM patients
310 with and without established CVD [1, 2]. Despite a number of large-scale landmark randomised
311 controlled trials of SGLT2i had been conducted [3, 4, 7, 17], there was a lack of empirical
312 evidence based on direct head-to-head comparisons between SGLT2i and insulin, and across
313 fourth-line options. When initiating a fourth-line agent to achieve glycaemic goals, basal insulin
314 was a standard option for those patients without established CVD or chronic renal disease [1].
315 This population-based cohort study evaluated the clinical and healthcare services impact of
316 adding SGLT2i as fourth-line medications among T2DM patients on triple oral therapy. The
317 SGLT2i ranked the best in the incidence of all primary outcomes. The addition of SGLT2i as
318 fourth-line medication was found to reduce risk of CVD in patients without established CVD.
319 When compared to insulin as fourth-line medication, SGLT2i was found to have **significant**
320 beneficial effects on composite CVD, coronary heart disease **and** stroke events, **and potential**
321 **beneficial effects on ESRD and all-cause mortality** with a median follow-up of 10.5 months
322 after initiation. **While no significant reduce of risk of HF was found, possibly due to**
323 **insufficient samples, observed** cumulative incidence rate of heart failure among SGLT2i users
324 complemented that reported in observational studies [9-13].

325

326 Analysis of secondary outcomes elucidated improvements in BMI, fasting glucose and
327 glycaemic control one-year after the initiation of SGLT2i, and the amelioration of CVD outcome

328 over the study period. Our findings aligned with our postulations that using SGLT2i as fourth-
329 line medication could play an increasing cardio-protective role for T2DM patients. Using
330 SGLT2i as four-line medication had the potential of playing a renal-protective role for this
331 patient population who had T2DM but not a history of ESRD. Renal function reflected by eGFR
332 remained unchanged at 12-month after initiation of SGLT2i, whereas initiating insulin had
333 significant reduction in eGFR. Collectively, SGLT2i demonstrated its superiority in primary and
334 secondary outcomes as fourth-line medication when compared to insulin and other oral
335 medications.

336

337 Current study had important translational implications for risk reduction in mortality,
338 complications and hospital admissions through pharmacological approaches in T2DM patients.
339 The use of SGLT2i could be extended to a broader population of T2DM patients with CVD or at
340 high risk of developing CVD. Another implication was to reveal the importance of decision-
341 making for considering not only insulin but also SGLT2i in the fourth-line treatment algorithm
342 for T2DM patients on triple oral therapy with inadequate control. **Our** findings provided
343 evidence in clinical effectiveness to support SGLT2i as preferred fourth-line option over other
344 oral drugs.

345

346 Several limitations of this study should be recognised. Lifestyle risk factors and issues with drug
347 adherence were not captured in the database and could not be assessed; hence, it was not possible
348 to include these factors in the propensity-score weighting. However, the likelihood that
349 unmeasured confounders could affect the treatment-outcome relationship seemed unlikely, as

350 indicated by E-values in sensitivity analysis. In addition, time-varying factors, such as changes
351 in HbA1c, blood pressure and lipid profile, were not included in the propensity-score weighting
352 and subsequent multivariable analyses. These could potentially exert an influence on the risks of
353 all-cause mortality, CVD and ESRD events from developing, thereby reducing the validity of
354 results. Moreover, specific individual factors such as patient preferences and cost considerations
355 were not quantifiable in this study, thus only biomedical factors, such as age and comorbidities,
356 could be taken into account. Our study results provided an important indication of the relative
357 risks among fourth-line diabetes medications with respect to all-cause mortality and
358 complication events. Nevertheless, since the overall duration of this study was relatively short,
359 additional studies with longer follow-up duration are needed to develop a full picture of the
360 associated risks of fourth-line medication for patients with T2DM. While the effects of anti-
361 diabetic drugs on clinical parameters should be recognised in drug prescription, patient
362 preferences and social factors should also be acknowledged in shared decision-making.

363

364 **Conclusions**

365 For T2DM patients on oral glucose-lowering triple therapy with inadequate control, the use of
366 SGLT2i as fourth-line medication had more benefits on CVD events, in particular coronary heart
367 disease and stroke, when compared to insulin and other oral glucose-lowering drugs, **and had**
368 **potentially more benefits on ESRD and all-cause mortality when compared to insulin.**

369 Initiation of SGLT2i was associated with better BMI, fasting glucose, HbA1c and renal functions
370 after 12 months when compared to insulin. SGLT2i was the preferred fourth-line glucose-
371 lowering medication, as it was least likely to be associated with complication risks amongst three
372 options.

373

374 **Funding source**

375 This study was supported by the Health and Medical Research Fund Research Fellowship
376 Scheme, Food and Health Bureau, Hong Kong SAR (Ref No. #02160087). No funding
377 organisation had any role in the design and conduct of the study; collection, management,
378 analysis, and interpretation of the data; and preparation of the manuscript.

379

380 **Acknowledgements**

381 The authors wish to thank Dr Margaret Shi for literature review and searching at early stage of
382 manuscript preparation, and acknowledge the Central Panel on Administrative Assessment of
383 External Data Requests, Hong Kong Hospital Authority Head Office, for the provision of
384 Hospital Authority data.

385

386 **Research data**

387 Restrictions apply to the availability of data generated or analysed during this study because they
388 were used under license. The corresponding author will on request detail the restrictions and any
389 conditions under which access to some data may be provided.

390 **References**

- 391 [1] American Diabetes Association. 9. Pharmacologic Approaches to Glycemic Treatment:
392 Standards of Medical Care in Diabetes-2019. *Diabetes care* 2019;42(Suppl 1):S90-S102.
- 393 [2] Buse JB, Wexler DJ, Tsapas A, Rossing P, Mingrone G, Mathieu C, et al. 2019 update to:
394 Management of hyperglycaemia in type 2 diabetes, 2018. A consensus report by the
395 American Diabetes Association (ADA) and the European Association for the Study of
396 Diabetes (EASD). *Diabetologia* 2020;63(2):221-8.
- 397 [3] Zinman B, Wanner C, Lachin JM, Fitchett D, Bluhmki E, Hantel S, et al. Empagliflozin,
398 Cardiovascular Outcomes, and Mortality in Type 2 Diabetes. *N Engl J Med*
399 2015;373(22):2117-28.
- 400 [4] Wiviott SD, Raz I, Bonaca MP, Mosenzon O, Kato ET, Cahn A, et al. Dapagliflozin and
401 Cardiovascular Outcomes in Type 2 Diabetes. *N Engl J Med* 2019;380(4):347-57.
- 402 [5] Roder ME. Major adverse cardiovascular event reduction with GLP-1 and SGLT2 agents:
403 evidence and clinical potential. *Ther Adv Chronic Dis* 2018;9(1):33-50.
- 404 [6] Garber AJ, Abrahamson MJ, Barzilay JI, Blonde L, Bloomgarden ZT, Bush MA, et al.
405 Consensus Statement by the American Association of Clinical Endocrinologists and
406 American College of Endocrinology on the Comprehensive Type 2 Diabetes
407 Management Algorithm - 2018 Executive Summary. *Endocr Pract* 2018;24(1):91-120.
- 408 [7] Neal B, Perkovic V, Mahaffey KW, de Zeeuw D, Fulcher G, Erondou N, et al.
409 Canagliflozin and Cardiovascular and Renal Events in Type 2 Diabetes. *N Engl J Med*
410 2017;377(7):644-57.

- 411 [8] Lim VG, Bell RM, Arjun S, Kolatsi-Joannou M, Long DA, Yellon DM. SGLT2
412 Inhibitor, Canagliflozin, Attenuates Myocardial Infarction in the Diabetic and
413 Nondiabetic Heart. *JACC Basic Transl Sci* 2019;4(1):15-26.
- 414 [9] Patorno E, Goldfine AB, Schneeweiss S, Everett BM, Glynn RJ, Liu J, et al.
415 Cardiovascular outcomes associated with canagliflozin versus other non-gliflozin
416 antidiabetic drugs: population based cohort study. *BMJ* 2018;360:k119.
- 417 [10] Patorno E, Pawar A, Franklin JM, Najafzadeh M, Deruaz-Luyet A, Brodovicz KG, et al.
418 Empagliflozin and the Risk of Heart Failure Hospitalization in Routine Clinical Care.
419 *Circulation* 2019;139(25):2822-30.
- 420 [11] Cavender MA, Norhammar A, Birkeland KI, Jorgensen ME, Wilding JP, Khunti K, et al.
421 SGLT-2 Inhibitors and Cardiovascular Risk: An Analysis of CVD-REAL. *J Am Coll*
422 *Cardiol* 2018;71(22):2497-506.
- 423 [12] Pasternak B, Ueda P, Eliasson B, Svensson AM, Franzen S, Gudbjornsdottir S, et al. Use
424 of sodium glucose cotransporter 2 inhibitors and risk of major cardiovascular events and
425 heart failure: Scandinavian register based cohort study. *BMJ* 2019;366:l4772.
- 426 [13] Kosiborod M, Lam CSP, Kohsaka S, Kim DJ, Karasik A, Shaw J, et al. Cardiovascular
427 Events Associated With SGLT-2 Inhibitors Versus Other Glucose-Lowering Drugs: The
428 CVD-REAL 2 Study. *J Am Coll Cardiol* 2018;71(23):2628-39.
- 429 [14] Zelniker TA, Wiviott SD, Raz I, Im K, Goodrich EL, Bonaca MP, et al. SGLT2 inhibitors
430 for primary and secondary prevention of cardiovascular and renal outcomes in type 2
431 diabetes: a systematic review and meta-analysis of cardiovascular outcome trials. *Lancet*
432 2019;393(10166):31-9.

- 433 [15] Zheng SL, Roddick AJ, Aghar-Jaffar R, Shun-Shin MJ, Francis D, Oliver N, et al.
434 Association Between Use of Sodium-Glucose Cotransporter 2 Inhibitors, Glucagon-like
435 Peptide 1 Agonists, and Dipeptidyl Peptidase 4 Inhibitors With All-Cause Mortality in
436 Patients With Type 2 Diabetes: A Systematic Review and Meta-analysis. *JAMA*
437 2018;319(15):1580-91.
- 438 [16] Clegg LE, Heerspink HJL, Penland RC, Tang W, Boulton DW, Bachina S, et al.
439 Reduction of Cardiovascular Risk and Improved Estimated Glomerular Filtration Rate by
440 SGLT2 Inhibitors, Including Dapagliflozin, Is Consistent Across the Class: An Analysis
441 of the Placebo Arm of EXSCEL. *Diabetes care* 2019;42(2):318-26.
- 442 [17] Perkovic V, Jardine MJ, Neal B, Bompoint S, Heerspink HJL, Charytan DM, et al.
443 Canagliflozin and Renal Outcomes in Type 2 Diabetes and Nephropathy. *N Engl J Med*
444 2019;380(24):2295-306.
- 445 [18] Wang C, Zhou Y, Kong Z, Wang X, Lv W, Geng Z, et al. The renoprotective effects of
446 sodium-glucose cotransporter 2 inhibitors versus placebo in patients with type 2 diabetes
447 with or without prevalent kidney disease: A systematic review and meta-analysis.
448 *Diabetes, obesity & metabolism* 2019;21(4):1018-26.
- 449 [19] van Baar MJB, van Ruiten CC, Muskiet MHA, van Bloemendaal L, RG IJ, van Raalte
450 DH. SGLT2 Inhibitors in Combination Therapy: From Mechanisms to Clinical
451 Considerations in Type 2 Diabetes Management. *Diabetes care* 2018;41(8):1543-56.
- 452 [20] Suissa S, Azoulay L. Metformin and the risk of cancer: time-related biases in
453 observational studies. *Diabetes care* 2012;35(12):2665-73.

- 454 [21] Ke C, Lau E, Shah BR, Stukel TA, Ma RC, So WY, et al. Excess Burden of Mental
455 Illness and Hospitalization in Young-Onset Type 2 Diabetes: A Population-Based Cohort
456 Study. *Ann Intern Med* 2019;170(3):145-54.
- 457 [22] Wong CKH, Man KKC, Shi M, Chan EW, Ho CW, Tse ETY, et al. Intensification with
458 dipeptidyl peptidase-4 inhibitor, insulin, or thiazolidinediones and risks of all-cause
459 mortality, cardiovascular diseases, and severe hypoglycemia in patients on metformin-
460 sulfonylurea dual therapy: A retrospective cohort study. *PLoS Med*
461 2019;16(12):e1002999.
- 462 [23] Royston P, White IR. Multiple Imputation by Chained Equations (MICE):
463 Implementation in Stata. *J Stat Softw* 2011;45(4):1-20.
- 464 [24] Austin PC. An Introduction to Propensity Score Methods for Reducing the Effects of
465 Confounding in Observational Studies. *Multivariate Behav Res* 2011;46(3):399-424.
- 466 [25] Moons KG, Donders RA, Stijnen T, Harrell FE, Jr. Using the outcome for imputation of
467 missing predictor values was preferred. *J Clin Epidemiol* 2006;59(10):1092-101.
- 468 [26] Linden A. MMWS: Stata module to perform marginal mean weighting through
469 stratification. *Statistical Software Components, Boston College Department of*
470 *Economics* 2014(S457886).
- 471 [27] Brookhart MA, Wyss R, Layton JB, Sturmer T. Propensity score methods for
472 confounding control in nonexperimental research. *Circ Cardiovasc Qual Outcomes*
473 2013;6(5):604-11.
- 474 [28] Leyrat C, Seaman SR, White IR, Douglas I, Smeeth L, Kim J, et al. Propensity score
475 analysis with partially observed covariates: How should multiple imputation be used?
476 *Statistical methods in medical research* 2019;28(1):3-19.

- 477 [29] Haneuse S, VanderWeele TJ, Arterburn D. Using the E-Value to Assess the Potential
478 Effect of Unmeasured Confounding in Observational Studies. *JAMA* 2019;321(6):602-3.
- 479 [30] VanderWeele TJ, Ding P. Sensitivity Analysis in Observational Research: Introducing
480 the E-Value. *Ann Intern Med* 2017;167(4):268-74.
- 481 [31] Verma S, McMurray JJV. SGLT2 inhibitors and mechanisms of cardiovascular benefit: a
482 state-of-the-art review. *Diabetologia* 2018;61(10):2108-17.
- 483

484 **Figure legends**

485 Figure 1. **Flowchart of inclusion of** type 2 diabetes mellitus patients on third-line oral therapy
486 and received sodium glucose co-transporter-2 inhibitors (SGLT2i), insulin or other glucose-
487 lowering medications as fourth-line medications

488

489 Figure 2. Kaplan Meier survival curves for all-cause mortality, cardiovascular diseases (CVD),
490 **and** end-stage renal diseases (ESRD) for type 2 diabetes mellitus patients initiating sodium
491 glucose co-transporter-2 inhibitors (SGLT2i), insulin or other oral glucose-lowering drugs as
492 fourth-line medications

493

494 Figure 3. Paired comparison of clinical characteristics at baseline and 12-month follow-up for
495 type 2 diabetes mellitus patients initiating sodium glucose co-transporter-2 inhibitors (SGLT2i),
496 insulin or other oral glucose-lowering drugs as fourth-line medications

Figure 1. Flowchart of inclusion of type 2 diabetes mellitus patients on third-line oral therapy and received sodium glucose co-transporter-2 inhibitors (SGLT2i), insulin or other glucose-lowering medications as fourth-line medications

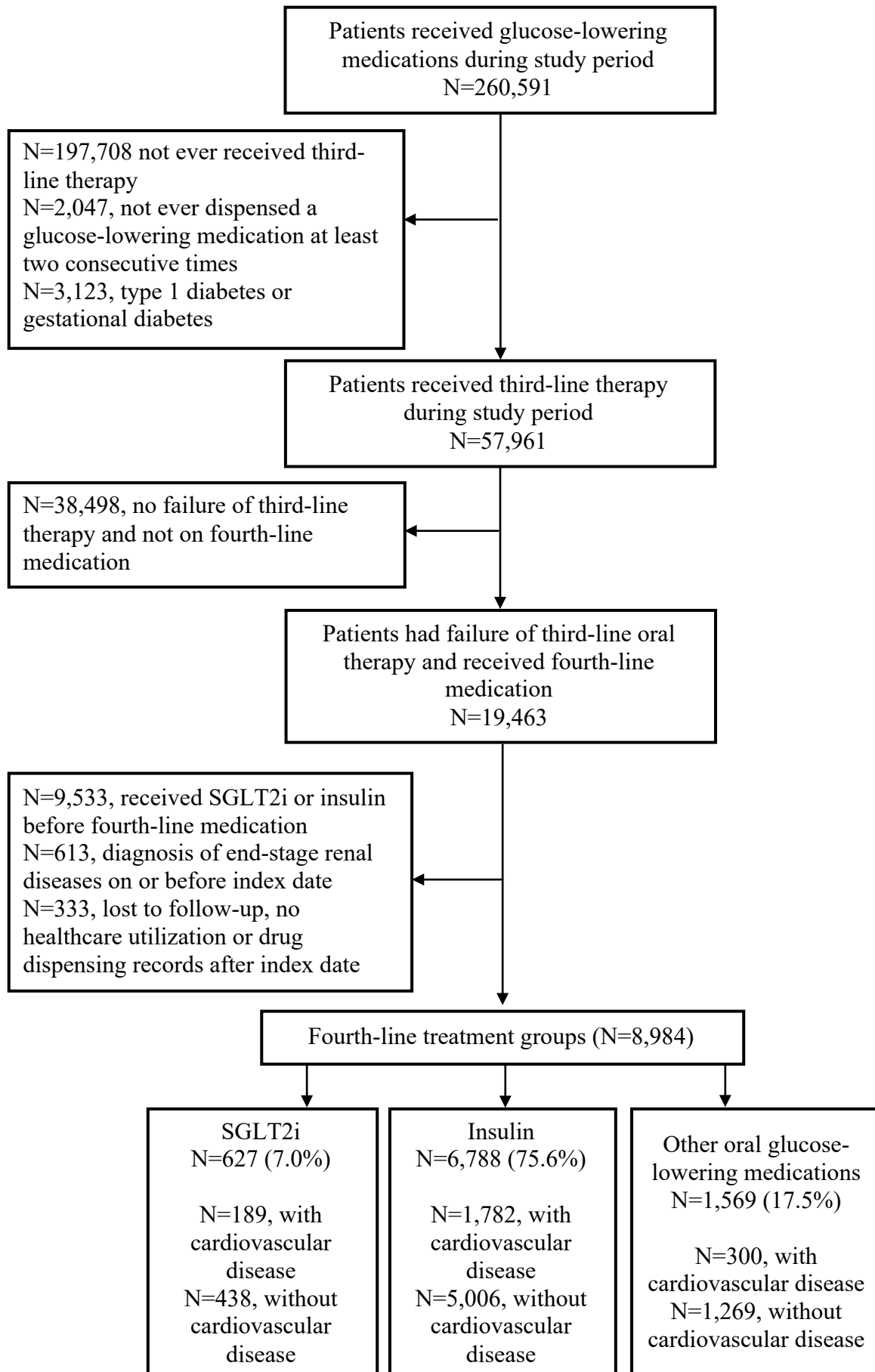


Figure 2. Kaplan Meier survival curves for all-cause mortality, cardiovascular diseases (CVD) and end-stage renal diseases (ESRD) for type 2 diabetes mellitus patients initiating sodium glucose co-transporter-2 inhibitors (SGLT2i), insulin or other oral glucose-lowering drugs as fourth-line medications

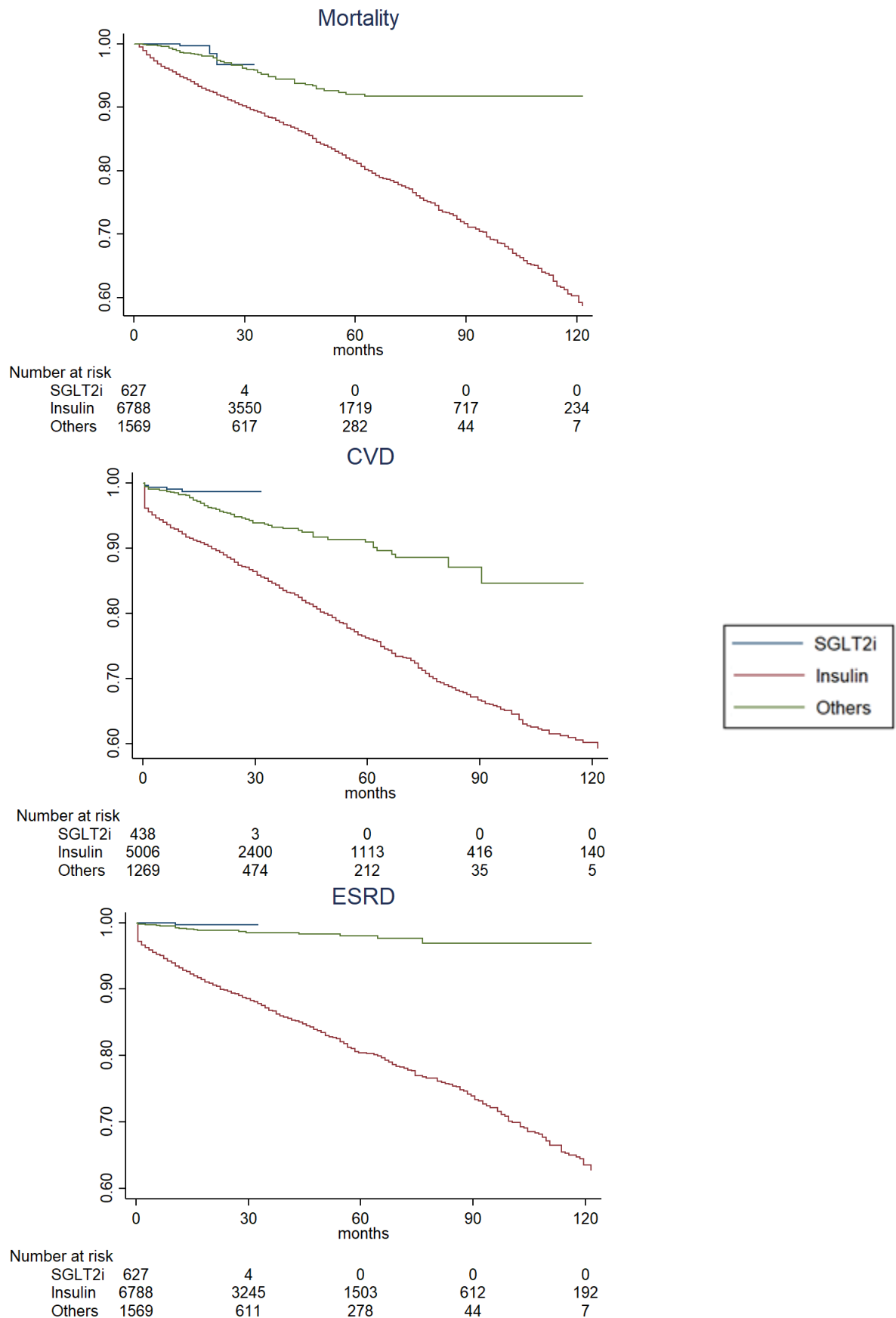
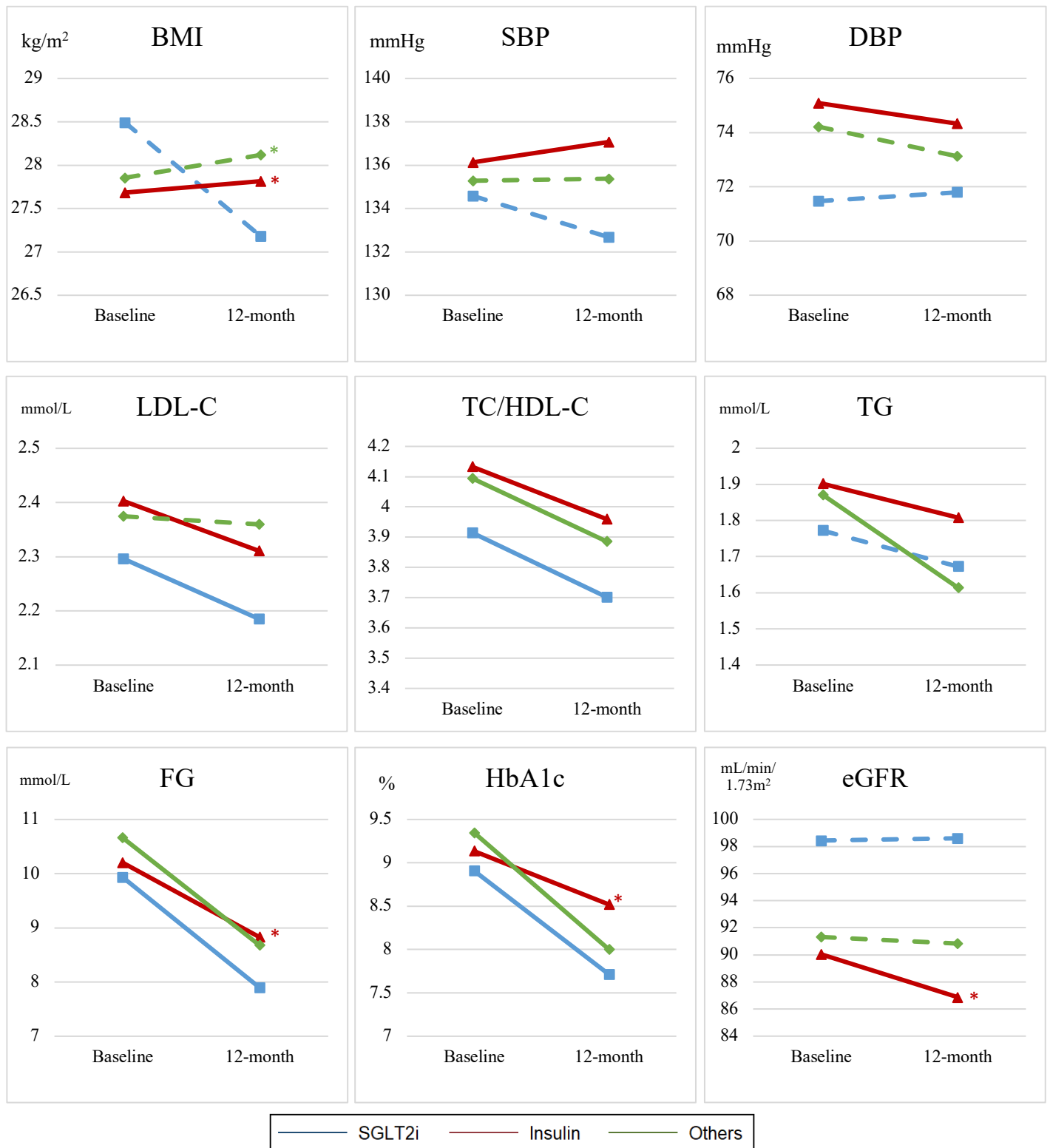


Figure 3. Paired comparison of clinical characteristics at baseline and 12-month follow-up for type 2 diabetes mellitus patients initiating sodium glucose co-transporter-2 inhibitors (SGLT2i), insulin or other oral glucose-lowering drugs as fourth-line medications



BMI = Body Mass Index; SBP = Systolic Blood Pressure; DBP = Diastolic Blood Pressure; LDL-C = Low-density Lipoprotein Cholesterol; TC = Total Cholesterol; HDL-C = High-density /lipoprotein Cholesterol; TG = Triglyceride; FG = Fasting Glucose; eGFR = estimated Glomerular Filtration Rate;

Solid line: Significant difference from baseline to 12-month within group.
 Dash line: Not significant difference from baseline to 12-month within group.

* Significant difference in paired difference from baseline to 12-month data between SGLT2i and insulin/other groups. Patients were included for both non-missing data at baseline and 12-month follow-up.

Supplementary Tables

Supplementary Table S1. Definition of the event outcome measures

Event	ICPC-2 codes	ICD-9-CM codes	Clinical parameters
DM	T89, T90	250	NA
CHD	K74-K76	410-414	NA
Heart Failure	K77	428	NA
Stroke	K89-K91	430-438	NA
ESRD	NA	585, 586	eGFR < 15ml/min/1.73m ²

ICPC-2 = the International Classification of Primary Care-2; ICD-9-CM = the International Classification of Diseases, Ninth Edition, Clinical Modification; DM = Diabetes Mellitus; CHD = Coronary Heart Disease; ESRD = end stage renal disease; eGFR = Estimated Glomerular Filtration Rate; NA = Not applicable

Supplementary Table S2. Data completion rate of baseline characteristics of patients initiating fourth-line glucose-lowering medications of SGLT2i, insulin, or other oral medications

Factor	Total (N = 8,984)	SGLT2i (N = 627)	Insulin (N = 6,788)	Others ^b (N = 1,569)
Socio-Demographics, n (%)				
Sex	8,984 (100%)	627 (100%)	6,788 (100%)	1,569 (100%)
Age	8,984 (100%)	627 (100%)	6,788 (100%)	1,569 (100%)
Clinical Characteristics, n (%)				
SBP	6,778 (75%)	426 (68%)	5,184 (76%)	1,168 (74%)
DBP	6,778 (75%)	426 (68%)	5,184 (76%)	1,168 (74%)
BMI	5,601 (62%)	345 (55%)	4,295 (63%)	961 (61%)
LDL-C	8,917 (99%)	627 (100%)	6,729 (99%)	1,561 (99%)
TC/HDL-C Ratio	8,930 (99%)	627 (100%)	6,741 (99%)	1,562 (100%)
Triglyceride	8,931 (99%)	627 (100%)	6,742 (99%)	1,562 (100%)
Fasting Glucose	8,896 (99%)	626 (100%)	6,710 (99%)	1,560 (99%)
Haemoglobin A1c	8,972 (100%)	627 (100%)	6,780 (100%)	1,565 (100%)
eGFR	8,973 (100%)	627 (100%)	6,780 (100%)	1,566 (100%)
Charlson's Index ^a	8,984 (100%)	627 (100%)	6,788 (100%)	1,569 (100%)
Duration of Diabetes	8,984 (100%)	627 (100%)	6,788 (100%)	1,569 (100%)
Duration of first anti-diabetic drugs to baseline	8,984 (100%)	627 (100%)	6,788 (100%)	1,569 (100%)
Use of anti-hypertensive drugs	8,984 (100%)	627 (100%)	6,788 (100%)	1,569 (100%)
Disease status, n (%)				
Established Cardiovascular Disease	8,984 (100%)	627 (100%)	6,788 (100%)	1,569 (100%)
Established Severe hypoglycaemia (1 year before baseline)	8,984 (100%)	627 (100%)	6,788 (100%)	1,569 (100%)

SGLT2i = Sodium Glucose Co-transporter-2 Inhibitors; SBP = Systolic Blood Pressure; DBP = Diastolic Blood Pressure; BMI = Body Mass Index; LDL-C = Low Density Lipoprotein - Cholesterol; TC = Total Cholesterol; HDL-C = High Density Lipoprotein - Cholesterol; eGFR = Estimated Glomerular Filtration Rate;

Notes:

^a The calculation of Charlson Index does not include Acquired Immune Deficiency Syndrome (AIDS).

^b "Others" includes metformin, sulfonylurea, alpha-glucosidase inhibitor, dipeptidyl peptidase-4 inhibitor, glucagon-like peptide-1 receptor agonists, thiazolidinedione and meglitinide.

Supplementary Table S3. Baseline characteristics of patients initiating fourth-line glucose-lowering medications of SGLT2i, insulin, or other oral medications without inverse probability of treatment weights

Factor	Total (N=8,984)	SGLT2i (N=627)	Insulin (N=6,788)	Others ^b (N=1,569)	P-value
Socio-Demographics					
Sex, %					<0.001*
Female	45.8%	38.0%	46.8%	44.6%	
Male	54.2%	62.0%	53.2%	55.4%	
Age, mean (SE), year	64.2 (0.1)	58.4 (0.5)	65.1 (0.1)	62.8 (0.3)	<0.001*
Clinical Characteristics, mean (SE)					
SBP, mmHg	137.2 (0.2)	135.9 (0.9)	137.8 (0.3)	135.0 (0.5)	<0.001*
DBP, mmHg	75.9 (0.1)	78.8 (0.5)	75.7 (0.2)	76.0 (0.3)	<0.001*
BMI, kg/m ²	27.7 (0.1)	29.0 (0.2)	27.5 (0.1)	28.1 (0.1)	<0.001*
LDL-C, mmol/L	2.4 (0.0)	2.2 (0.0)	2.4 (0.0)	2.3 (0.0)	<0.001*
TC/HDL-C Ratio	4.1 (0.0)	3.9 (0.0)	4.2 (0.0)	3.9 (0.0)	<0.001*
Triglyceride, mmol/L	1.9 (0.0)	1.9 (0.0)	1.9 (0.0)	1.7 (0.0)	<0.001*
Fasting Glucose, mmol/L	10.1 (0.0)	9.4 (0.1)	10.4 (0.0)	8.9 (0.1)	<0.001*
Haemoglobin A1c, %	9.1 (0.0)	8.6 (0.0)	9.3 (0.0)	8.2 (0.0)	<0.001*
eGFR, mL/min/1.73m ²	89.5 (0.4)	108.4 (1.2)	85.9 (0.5)	97.5 (0.8)	<0.001*
Charlson Comorbidity Index ^a , %					<0.001*
1-2	9.4%	16.6%	8.6%	10.0%	
3	18.8%	24.4%	17.8%	21.0%	
4	23.3%	23.8%	22.4%	27.0%	
5	21.2%	18.0%	20.8%	24.2%	
6 or above	27.3%	17.2%	30.5%	17.8%	

Duration of Diabetes, %					<0.001*
<5 years	23.8%	16.3%	26.1%	16.4%	
5 - ≤10 years	52.5%	38.4%	53.0%	56.0%	
>10 years	23.7%	45.3%	20.8%	27.6%	
Duration of first anti-diabetic drugs to baseline, year	7.2 (0.0)	8.3 (0.1)	7.0 (0.0)	7.6 (0.1)	<0.001*
Use of anti-hypertensive drugs, %	92.0%	90.6%	92.5%	90.6%	0.02*
Disease status, %					
Established Cardiovascular Disease	25.3%	30.1%	26.3%	19.1%	<0.001*
Established Severe hypoglycaemia (1 year before baseline)	4.4%	2.1%	5.1%	2.2%	<0.001*
Third-line therapy received, %					
Metformin	0.8%	1.1%	0.8%	1.0%	NA
Sulfonylurea	0.7%	3.0%	0.3%	1.2%	NA
Alpha-glucosidase inhibitor	16.0%	1.1%	16.3%	20.7%	NA
Dipeptidyl peptidase-4 inhibitor	68.1%	85.5%	70.3%	51.4%	NA
Glucagon-like peptide-1 receptor agonists	0.2%	0.8%	0.1%	0.2%	NA
Meglitinide	0.02%	0.0%	0.03%	0.0%	NA
Thiazolidinedione	14.3%	8.5%	12.2%	25.6%	NA

SGLT2i = Sodium Glucose Co-transporter-2 Inhibitors; SBP = Systolic Blood Pressure; DBP = Diastolic Blood Pressure; BMI = Body Mass Index; LDL-C = Low Density Lipoprotein - Cholesterol; TC = Total Cholesterol; HDL-C = High Density Lipoprotein - Cholesterol; eGFR = Estimated Glomerular Filtration Rate; SE = Standard Error; NA = Not applicable

Notes:

* Significant difference ($p < 0.05$) between groups by univariate linear regression or logistic regression, as appropriate

- ^a The calculation of Charlson comorbidity Index does not include Acquired Immune Deficiency Syndrome (AIDS).
- ^b "Others" includes metformin, sulfonylurea, alpha-glucosidase inhibitor, dipeptidyl peptidase-4 inhibitor, glucagon-like peptide-1 receptor agonists, thiazolidinedione and meglitinide.

Supplementary Table S4. The incidence of cardiovascular disease, end-stage renal disease and all-cause mortality of patients initiating fourth-line glucose-lowering medications of SGLT2i, insulin, or other oral medications

Event	Before weighting						After weighting			
	Cumulative Incidence		Incidence rate (case/100 person-years)		Person-Year	Median follow-up period (months)	Cumulative Incidence Rate	Incidence rate (case/100 person-years)		Median follow-up period (months)
	Case with event	Rate	Estimate	95% CI ^b				Estimate	95% CI ^b	
SGLT2i										
CVD	5	1.1%	1.132	(0.471,2.719)	442	11.5	0.7%	0.654	(0.254,1.683)	10.5
CHD	3	0.6%	0.618	(0.199,1.915)	486	11.5	0.3%	0.274	(0.082,0.922)	10.5
Heart Failure	1	0.2%	0.164	(0.023,1.167)	609	11.5	0.7%	0.628	(0.089,4.415)	11.5
Stroke	3	0.5%	0.508	(0.164,1.574)	591	11.5	0.4%	0.368	(0.114,1.191)	10.5
ESRD	1	0.2%	0.158	(0.022,1.121)	633	11.5	0.6%	0.606	(0.086,4.288)	10.5
All-cause mortality	3	0.5%	0.473	(0.153,1.467)	634	11.5	1.1%	1.057	(0.265,4.221)	10.5
Insulin										
CVD	924	18.5%	5.904	(5.535,6.297)	15,651	28.5	16.8%	5.578	(5.212,5.970)	26.5
CHD	613	10.7%	3.311	(3.059,3.584)	18,513	29.5	9.7%	3.134	(2.888,3.402)	27.5
Heart Failure	512	8.0%	2.425	(2.224,2.645)	21,111	30.5	7.2%	2.264	(2.071,2.475)	28.5
Stroke	473	7.8%	2.344	(2.142,2.565)	20,176	30.5	7.1%	2.225	(2.027,2.444)	29.5
ESRD	1018	15.0%	4.766	(4.482,5.068)	21,359	28.5	13.3%	4.392	(4.118,4.683)	26.5
All-cause mortality	1055	15.5%	4.521	(4.256,4.802)	23,335	31.5	14.0%	4.249	(3.995,4.519)	29.5

Others^a										
CVD	70	5.5%	2.183	(1.727,2.759)	3,207	20.5	6.2%	2.413	(1.782,3.268)	21.5
CHD	39	2.8%	1.098	(0.802,1.502)	3,554	21.5	3.4%	1.277	(0.856,1.905)	21.5
Heart Failure	19	1.2%	0.470	(0.300,0.737)	4,043	21.5	1.9%	0.717	(0.304,1.688)	21.5
Stroke	33	2.3%	0.880	(0.625,1.237)	3,751	21.5	2.5%	0.968	(0.634,1.479)	21.5
ESRD	21	1.3%	0.514	(0.335,0.788)	4,089	21.5	1.6%	0.625	(0.364,1.075)	21.5
All-cause mortality	59	3.8%	1.431	(1.109,1.847)	4,124	21.5	6.9%	2.603	(1.813,3.738)	22.5

SGLT2i = Sodium Glucose Co-transporter-2 Inhibitors; CVD = Cardiovascular Disease; CHD = Coronary Heart Disease; ESRD = End-stage Renal disease; CI = Confidence

Interval

Note:

^a "Others" includes metformin, sulfonylurea, alpha-glucosidase inhibitor, dipeptidyl peptidase-4 inhibitor, glucagon-like peptide-1 receptor agonists, thiazolidinedione and meglitinide.

^b The 95% CIs of incidence rate were constructed by Poisson distribution.

Supplementary Table S5. Paired comparisons of clinical outcome at baseline and 12-month follow-up

		Baseline	At 12-month	Paired difference
BMI, mean (SE), kg/m ²	SGLT2i	28.49 (0.95)	27.18 (0.93)	-1.31
	Insulin	27.68 (0.10)	27.82 (0.10)	0.13*
	Others ^a	27.86 (0.24)	28.12 (0.30)	0.26
	P-value	0.57	0.48	0.06
SBP, mean (SE), mmHg	SGLT2i	134.58 (3.77)	132.68 (5.26)	-1.90
	Insulin	136.13 (0.39)	137.07 (0.39)	0.94*
	Others ^a	135.28 (1.24)	135.38 (1.04)	0.10
	P-value	0.75	0.23	0.69
DBP, mean (SE), mmHg	SGLT2i	71.47 (1.70)	71.79 (2.64)	0.32
	Insulin	75.09 (0.22)	74.33 (0.23)	-0.76*
	Others ^a	74.22 (0.67)	73.13 (0.58)	-1.09
	P-value	0.05	0.10	0.82
LDL-C, mean (SE), mmol/L	SGLT2i	2.30 (0.06)	2.18 (0.05)	-0.11*
	Insulin	2.40 (0.01)	2.31 (0.01)	-0.09*
	Others ^a	2.37 (0.05)	2.36 (0.06)	-0.01
	P-value	0.21	0.04*	0.33
TC/HDL-C ratio, mean (SE)	SGLT2i	3.91 (0.10)	3.70 (0.09)	-0.21*
	Insulin	4.13 (0.02)	3.96 (0.02)	-0.17*
	Others ^a	4.09 (0.05)	3.89 (0.04)	-0.21*
	P-value	0.09	0.008*	0.60
Triglyceride, mean (SE), mmol/L	SGLT2i	1.77 (0.09)	1.67 (0.07)	-0.10
	Insulin	1.90 (0.02)	1.81 (0.02)	-0.09*
	Others ^a	1.87 (0.07)	1.61 (0.04)	-0.26*
	P-value	0.34	<0.001*	0.08

Fasting glucose, mean (SE), mmol/L	SGLT2i	9.93 (0.21)	7.89 (0.16)	-2.04*
	Insulin	10.20 (0.05)	8.83 (0.04)	-1.37*
	Others ^a	10.66 (0.34)	8.68 (0.29)	-1.98*
	P-value	0.17	<0.001*	0.002*
HbA1c, mean (SE), %	SGLT2i	8.91 (0.15)	7.71 (0.10)	-1.19*
	Insulin	9.13 (0.02)	8.52 (0.02)	-0.61*
	Others ^a	9.35 (0.18)	8.00 (0.13)	-1.34*
	P-value	0.16	<0.001*	<0.001*
eGFR, mean (SE), mL/min/1.73m ²	SGLT2i	98.44 (2.18)	98.60 (2.30)	0.17
	Insulin	90.04 (0.56)	86.88 (0.55)	-3.16*
	Others ^a	91.32 (1.87)	90.83 (1.93)	-0.48
	P-value	<0.001*	<0.001*	<0.001*

SGLT2i = Sodium Glucose Co-transporter-2 Inhibitors; BMI = Body Mass Index; SBP = Systolic Blood Pressure; DBP = Diastolic Blood Pressure; LDL-C = Low Density Lipoprotein - Cholesterol; TC = Total Cholesterol; HDL-C = High Density Lipoprotein - Cholesterol; HbA1c = Haemoglobin A1c; eGFR = Estimated Glomerular Filtration Rate; SE = Standard Error;

Notes:

* Significant difference at 0.05 level

^a "Others" includes metformin, sulfonylurea, alpha-glucosidase inhibitor, dipeptidyl peptidase-4 inhibitor, glucagon-like peptide-1 receptor agonists, thiazolidinedione and meglitinide.

Patients were included for both non-missing data at baseline and 12-month follow-up.

Supplementary Table S6. E-value of hazard ratio of multivariable Cox proportional regressions of patients initiating fourth-line glucose-lowering medications of insulin or other oral medications compared to that of SGLT2i on the disease outcomes adjusted for baseline characteristics

	CVD			CHD			Stroke		
	HR	E-value	E-value CI	HR	E-value	E-value CI	HR	E-value	E-value CI
Fourth-line medication (vs SGLT2i)									
Insulin	8.044	15.572	5.578	11.745	22.980	6.318	7.214	13.909	3.605
Others ^a	3.390	6.237	1.794	4.820	9.112	2.035	3.063	5.577	1.000
Male (vs. Female)	1.407			1.490			1.184		
Age	0.999			0.993			1.020		
SBP	1.012			1.005			1.004		
DBP	0.991			0.990			1.015		
BMI	1.031			1.003			1.008		
LDL-C	1.013			0.988			1.087		
TC/HDL-C Ratio	1.046			1.043			1.048		
Triglyceride	0.972			1.014			1.012		
Fasting glucose	1.005			1.007			1.014		
Haemoglobin A1c	0.971			0.960			0.988		
eGFR	0.992			0.992			0.998		
Charlson Comorbidity Index ^b	1.468			1.345			1.377		
Duration of Diabetes	0.901			0.885			0.941		
Use of anti-hypertensive drugs	2.204			2.271			1.757		

SGLT2i = Sodium Glucose Co-transporter-2 Inhibitors; CVD = Cardiovascular Disease; CHD = Coronary Heart Disease; ESRD = End-stage Renal disease; SBP = Systolic Blood Pressure; DBP = Diastolic Blood Pressure; BMI = Body Mass Index; LDL-C = Low Density Lipoprotein - Cholesterol; TC = Total Cholesterol; HDL-C = High Density Lipoprotein - Cholesterol; HbA1c = Hemoglobin A1c; eGFR = Estimated Glomerular Filtration Rate; HR = Hazard Ratio; CI = Confidence Interval;

Notes:

* Significant at 0.05 level by multivariable Cox proportional hazard regression

^a "Others" includes metformin, sulfonylurea, alpha-glucosidase inhibitor, dipeptidyl peptidase-4 inhibitor, glucagon-like peptide-1 receptor agonists, thiazolidinedione and meglitinide.

^b The calculation of Charlson Index does not include Acquired Immune Deficiency Syndrome (AIDS).

Supplementary Table S7. Competing risk regressions of all-cause mortality of patients initiating fourth-line glucose-lowering medications of insulin or other oral medications compared to that of SGLT2i on the disease outcomes adjusted for baseline characteristics

	Insulin			Others ^b		
	SHR ^a (vs SGLT2i)	95% CI	P-value	SHR ^a (vs SGLT2i)	95% CI	P-value
CVD	6.303	(2.421,16.405)	<0.001*	3.073	(1.137,8.306)	0.03*
CHD	8.989	(2.664,30.336)	<0.001*	3.817	(1.069,13.626)	0.04*
Heart Failure	2.091	(0.233,18.735)	0.51	0.349	(0.040,3.084)	0.34
Stroke	4.689	(1.386,15.866)	0.01*	2.366	(0.653,8.564)	0.19
ESRD	2.815	(0.385,20.580)	0.31	0.477	(0.066,3.458)	0.46

SGLT2i = Sodium Glucose Co-transporter-2 Inhibitors; CVD = Cardiovascular Disease; CHD = Coronary Heart Disease; ESRD = End-stage Renal disease; SHR = Subhazard Ratio; CI = Confidence Interval;

Notes:

* Significant at 0.05 level by competing risk regression.

^a All subhazard ratios are adjusted by sex, age, SBP, DBP, BMI, LDL-C, TC/HDL-C ratio, triglyceride, fasting glucose, haemoglobin A1c, eGFR, Charlson comorbidity Index, duration of diabetes and the usages of insulin, oral anti-diabetic drugs and anti-hypertensive drugs at baseline.

^b "Others" includes metformin, sulfonylurea, alpha-glucosidase inhibitor, dipeptidyl peptidase-4 inhibitor, glucagon-like peptide-1 receptor agonists, thiazolidinedione and meglitinide.

Supplementary Table S8. Scenarios sensitivity analyses of multivariable Cox proportional regressions of patients initiating fourth-line glucose-lowering medications of insulin or other oral medications compared to that of SGLT2i on the disease outcomes adjusted for baseline characteristics

	(1)						(2)					
	Insulin			Others ^b			Insulin			Others ^b		
	HR ^a (vs SGLT2i)	95% CI	P-value	HR ^a (vs SGLT2i)	95% CI	P-value	HR ^a (vs SGLT2i)	95% CI	P-value	HR ^a (vs SGLT2i)	95% CI	P-value
CVD	6.967	(2.715,17.877)	<0.001*	3.235	(1.206,8.681)	0.02*	8.099	(3.083,21.272)	<0.001*	3.402	(1.248,9.275)	0.02*
CHD	9.135	(2.831,29.473)	<0.001*	3.800	(1.117,12.922)	0.03*	11.778	(3.439,40.342)	<0.001*	4.825	(1.350,17.248)	0.02*
Heart Failure	2.426	(0.282,20.876)	0.42	0.851	(0.099,7.314)	0.88	2.989	(0.366,24.447)	0.31	0.968	(0.119,7.913)	0.98
Stroke	6.073	(1.829,20.166)	0.003*	3.187	(0.882,11.524)	0.08	7.253	(2.104,24.999)	0.002*	3.067	(0.837,11.229)	0.09
ESRD	4.072	(0.666,24.897)	0.13	0.769	(0.112,5.285)	0.79	4.624	(0.735,29.096)	0.10	0.803	(0.122,5.288)	0.82
All-Cause Mortality	2.198	(0.541,8.933)	0.27	1.455	(0.342,6.183)	0.61	3.094	(0.760,12.594)	0.12	1.745	(0.411,7.408)	0.45
	(3)						(4)					
	Insulin			Others ^b			Insulin			Others ^b		
	HR ^a (vs SGLT2i)	95% CI	P-value	HR ^a (vs SGLT2i)	95% CI	P-value	HR ^a (vs SGLT2i)	95% CI	P-value	HR ^a (vs SGLT2i)	95% CI	P-value
CVD	3.304	(1.362,8.015)	0.008*	1.529	(0.615,3.805)	0.36	9.258	(1.839,46.592)	0.007*	4.082	(0.770,21.635)	0.10
CHD	3.779	(1.206,11.843)	0.02*	1.621	(0.499,5.270)	0.42	6.379	(1.318,30.880)	0.02*	3.112	(0.588,16.482)	0.18
Heart Failure	7.699	(1.075,55.151)	0.04*	2.101	(0.280,15.761)	0.47	NA	NA	NA	NA	NA	NA
Stroke	3.884	(1.237,12.200)	0.02*	1.862	(0.568,6.102)	0.30	NA	NA	NA	NA	NA	NA
ESRD	10.794	(1.513,77.006)	0.02*	2.067	(0.278,15.402)	0.48	NA	NA	NA	NA	NA	NA
All-Cause Mortality	5.087	(1.629,15.886)	0.005*	2.213	(0.692,7.083)	0.18	2.392	(0.547,10.454)	0.25	1.286	(0.277,5.969)	0.75
	(5)						(6)					
	Insulin			Others ^b			Insulin			Others ^b		
	HR ^a (vs SGLT2i)	95% CI	P-value	HR ^a (vs SGLT2i)	95% CI	P-value	HR ^a (vs SGLT2i)	95% CI	P-value	HR ^a (vs SGLT2i)	95% CI	P-value

CVD	9.297	(1.846,46.810)	0.007*	4.080	(0.770,21.624)	0.10	4.054	(1.002,16.403)	0.05	1.944	(0.464,8.145)	0.36
CHD	6.404	(1.322,31.030)	0.02*	3.110	(0.587,16.474)	0.18	2.678	(0.658,10.887)	0.17	1.424	(0.332,6.107)	0.63
Heart Failure	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Stroke	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
ESRD	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
All-Cause Mortality	2.415	(0.552,10.560)	0.24	1.293	(0.279,5.999)	0.74	4.854	(1.204,19.570)	0.03*	1.984	(0.471,8.350)	0.35

(1) = Basal insulin with multiple imputation, inverse probability of treatment weighting and propensity score trimming; (2) Multiple imputation and inverse probability of treatment weighting; (3) = Multiple imputation; (4) = Complete-case with inverse probability of treatment weighting and propensity score trimming; (5) = Complete-case with inverse probability of treatment weighting; (6) = Complete-case

SGLT2i = Sodium Glucose Co-transporter-2 Inhibitors; CVD = Cardiovascular Disease; CHD = Coronary Heart Disease; ESRD = End-stage Renal disease;
HR = Hazard Ratio; CI = Confidence Interval; NA = Not Applicable

Notes:

* Significant at 0.05 level by multivariable Cox proportional hazard regression

^a All hazard ratios are adjusted by sex, age, SBP, DBP, BMI, LDL-C, TC/HDL-C ratio, triglyceride, fasting glucose, haemoglobin A1c, eGFR, Charlson comorbidity Index, duration of diabetes and the usages of insulin, oral anti-diabetic drugs and anti-hypertensive drugs at baseline.

^b "Others" includes metformin, sulfonylurea, alpha-glucosidase inhibitor, dipeptidyl peptidase-4 inhibitor, glucagon-like peptide-1 receptor agonists, thiazolidinedione and meglitinide.

Tables

Table 1. Baseline characteristics of patients initiating fourth-line glucose-lowering medications of SGLT2i, insulin, or other oral medications

Factor	Total (N=8,984)	SGLT2i (N=627)	Insulin (N=6,788)	Others ^b (N=1,569)	P-value
Socio-Demographics					
Sex, %					0.40
Female	48.3%	50.9%	46.1%	47.9%	
Male	51.7%	49.1%	53.9%	52.1%	
Age, mean (SE), year	64.5 (0.5)	64.6 (1.5)	64.3 (0.2)	64.6 (0.5)	0.75
Clinical Characteristics, mean (SE)					
SBP, mmHg	136.6 (0.6)	136.2 (1.3)	137.1 (0.3)	136.6 (0.9)	0.69
DBP, mmHg	75.1 (0.4)	74.5 (1.0)	75.9 (0.2)	74.9 (0.5)	0.08
BMI, kg/m ²	27.6 (0.1)	27.5 (0.3)	27.7 (0.1)	27.6 (0.2)	0.69
LDL-C, mmol/L	2.3 (0.0)	2.3 (0.1)	2.4 (0.0)	2.4 (0.1)	0.16
TC/HDL-C Ratio	4.0 (0.0)	3.9 (0.1)	4.1 (0.0)	4.1 (0.0)	0.08
Triglyceride, mmol/L	1.9 (0.0)	1.8 (0.1)	1.9 (0.0)	1.9 (0.1)	0.79
Fasting Glucose, mmol/L	10.2 (0.1)	9.9 (0.3)	10.1 (0.0)	10.6 (0.3)	0.17
Haemoglobin A1c, %	9.2 (0.1)	9.1 (0.2)	9.1 (0.0)	9.3 (0.2)	0.44
eGFR, mL/min/1.73m ²	92.0 (1.1)	95.6 (3.0)	89.7 (0.5)	90.7 (1.6)	0.13
Charlson Comorbidity Index ^a , %					0.59
1-2	9.2%	9.3%	9.3%	9.0%	
3	17.9%	19.5%	18.6%	15.7%	
4	24.5%	24.3%	23.3%	25.9%	
5	18.5%	14.3%	21.2%	19.9%	
6 or above	29.9%	32.7%	27.6%	29.4%	

Running title: SGLT2i as fourth-line therapy for T2DM

Duration of Diabetes, %					0.07
<5 years	20.7%	17.5%	23.6%	20.7%	
5 - ≤10 years	55.1%	57.6%	52.6%	55.1%	
>10 years	24.3%	24.8%	23.8%	24.2%	
Duration of first anti-diabetic drugs to baseline, year	7.4 (0.1)	7.5 (0.2)	7.2 (0.0)	7.4 (0.1)	0.16
Use of anti-hypertensive drugs, %	91.9%	91.6%	92.0%	92.0%	0.97
Disease status, %					
Established Cardiovascular Disease	27.0%	30.5%	25.2%	25.5%	0.52
Established Severe hypoglycaemia (1 year before baseline)	3.8%	2.3%	4.4%	4.6%	0.29
Third-line therapy received, %					
Metformin	1.1%	1.8%	0.7%	0.9%	NA
Sulfonylurea	1.4%	2.2%	0.3%	1.6%	NA
Alpha-glucosidase inhibitor	13.3%	1.9%	14.7%	22.8%	NA
Dipeptidyl peptidase-4 inhibitor	69.3%	85.4%	72.3%	51.3%	NA
Glucagon-like peptide-1 receptor agonists	0.2%	0.3%	0.1%	0.2%	NA
Meglitinide	0.01%	0.0%	0.03%	0.0%	NA
Thiazolidinedione	14.6%	8.4%	11.9%	23.2%	NA

SGLT2i = Sodium Glucose Co-transporter-2 Inhibitors; SBP = Systolic Blood Pressure; DBP = Diastolic Blood Pressure; BMI = Body Mass Index; LDL-C = Low Density Lipoprotein - Cholesterol; TC = Total Cholesterol; HDL-C = High Density Lipoprotein - Cholesterol; eGFR = Estimated Glomerular Filtration Rate; SE = Standard Error; NA = Not Applicable

Notes:

* Significant difference ($p < 0.05$) between groups by univariate linear regression binary logistic or multinomial logistic regression, as appropriate.

^a The calculation of Charlson comorbidity Index does not include Acquired Immune Deficiency Syndrome (AIDS).

Running title: SGLT2i as fourth-line therapy for T2DM

^b "Others" includes metformin, sulfonylurea, alpha-glucosidase inhibitor, dipeptidyl peptidase-4 inhibitor, glucagon-like peptide-1 receptor agonists, thiazolidinedione and meglitinide.

Table 2. Multivariable Cox proportional regressions of patients initiating fourth-line glucose-lowering medications of insulin or other oral medications compared to that of SGLT2i on the disease outcomes adjusted for baseline characteristics

	Insulin (vs SGLT2i)			Others ^b (vs SGLT2i)		
	HR ^a	95% CI	P-value	HR ^a	95% CI	P-value
CVD	8.044	(3.064,21.121)	<0.001*	3.390	(1.244,9.241)	0.02*
CHD	11.745	(3.431,40.211)	<0.001*	4.820	(1.349,17.226)	0.02*
Heart Failure	2.986	(0.365,24.422)	0.31	0.968	(0.119,7.912)	0.98
Stroke	7.214	(2.093,24.867)	0.002*	3.063	(0.836,11.223)	0.09
ESRD	4.622	(0.735,29.090)	0.10	0.803	(0.122,5.288)	0.82
All-cause mortality	3.059	(0.751,12.453)	0.12	1.732	(0.408,7.354)	0.46

SGLT2i = Sodium Glucose Co-transporter-2 Inhibitors; CVD = Cardiovascular Disease; CHD = Coronary Heart Disease; ESRD = End-stage Renal disease; HR = Hazard Ratio; CI = Confidence Interval;

Notes:

* Significant at 0.05 level by multivariable Cox proportional hazard regression

^a All hazard ratios are adjusted by sex, age, SBP, DBP, BMI, LDL-C, TC/HDL-C ratio, triglyceride, fasting glucose, haemoglobin A1c, eGFR, Charlson comorbidity Index, duration of diabetes and the usages of insulin, oral anti-diabetic drugs and anti-hypertensive drugs at baseline.

^b "Others" includes metformin, sulfonylurea, alpha-glucosidase inhibitor, dipeptidyl peptidase-4 inhibitor, glucagon-like peptide-1 receptor agonists, thiazolidinedione and meglitinide.