

1 **Sorbitol/methanol mixed induction reduces process**
2 **impurities and improves centrifugal dewatering in *Pichia***
3 ***pastoris* culture**

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14 **Abstract**

15 This study investigates how sorbitol/methanol mixed induction affects
16 fermentation performance, dewatering characteristics of cells during harvesting
17 and the profile of host cell proteins (HCP) in the process fluid when producing
18 the target recombinant protein aprotinin. Compared to standard methanol
19 induction, sorbitol/methanol (1:1, C-mol/C-mol) mixed induction improved
20 cellular viability from $92.8 \pm 0.3\%$ to $97.7 \pm 0.1\%$ although resulted in a reduced
21 product yield from $1.65 \pm 0.03 \text{ g} \cdot \text{L}^{-1}$ to $1.12 \pm 0.07 \text{ g} \cdot \text{L}^{-1}$. On the other hand, average
22 oxygen consumption rate (OUR) dropped from $241.4 \pm 21.3 \text{ mmol} \cdot \text{L}^{-1} \cdot \text{h}^{-1}$ to 145.5
23 $\pm 6.7 \text{ mmol} \cdot \text{L}^{-1} \cdot \text{h}^{-1}$. Cell diameter decreased over time in the mixed induction,
24 resulting in a D_{50} value of $3.14 \mu\text{m}$ at harvest compared to $3.85 \mu\text{m}$ with methanol.
25 The reduction in cell size enhanced the maximum dewatering efficiency from
26 $78.1 \pm 3.9\%$ to $84.5 \pm 3.3\%$ as evaluated by using an established ultra scale-down
27 methodology that models pilot and industrial scale disc stack centrifugation.
28 Seventy host cell proteins (HCPs) were identified in clarified supernatant when
29 using sorbitol/methanol mixed induction regimen. The total number of HCPs
30 identified with standard methanol induction was nearly one hundred. The
31 downstream process advantage of the mixed induction lies in improved product
32 purity by reducing both cell mortality and level of released whole cell proteins.
33 This needs to be balanced and optimised against the observed reduction in
34 product yield during fermentation.

35 **Highlights**

36 Sorbitol/methanol induction increases cell viability and decreases oxygen
37 consumption

38

39 The mixed strategy halves the quantity of inflammable methanol needed at scale-
40 up

41

42 The mixed induction reduces number of host cell proteins co-released with the
43 product

44

45 The mixed induction improves the centrifugal dewatering of cell culture

46

47 **Keywords:** *P. pastoris* fermentation, sorbitol/methanol mixed induction,
48 dewatering, host cell proteins.

49

50 **1. Introduction**

51 *P. pastoris* is becoming a popular host for the production of heterologous proteins
52 as it has characteristics of both eukaryotic and prokaryotic organisms. As an
53 eukaryote, it contains protein processing machineries to perform protein secretion,

54 disulphide bond formation and glycosylation [1]. Fully monoclonal antibodies
55 have been reported to be expressed in *P. pastoris* with a titre over $1 \text{ g}\cdot\text{L}^{-1}$ [2].
56 Meanwhile, *P. pastoris* has the features of prokaryotes. Like *Escherichia coli*, it
57 exhibits fast growth in minimal medium with a maximum growth rate of 0.26 h^{-1}
58 [3]. Compared to mammalian cells, it has less rigorous nutrient requirements with
59 minimal susceptibility to shear stress and heterogeneity of environment [4].

60 However, despite these advantages, scale-up of *P. pastoris* cultivation faces
61 challenges in industry. As a methylotrophic yeast, it uses methanol as the inducer
62 of alcohol oxidase 1 promoter (*pAOX1*) [5]. Methanol usage is constrained by
63 high oxygen demand and need for heat removal in large scale bioreactors which
64 impose potential design restrictions [6]. It is reported that $0.8\text{-}1.1 \text{ mol}$ of O_2 was
65 consumed and 727 kJ heat was generated by *P. pastoris* to metabolize one mole
66 of methanol [7]. Correspondingly, the bioreactor requires a OTR value over 230-
67 $290 \text{ mmol}\cdot\text{L}^{-1}\cdot\text{h}^{-1}$ when methanol is fed at the rate recommended by Invitrogen in
68 *Pichia* Fermentation Process Guidelines [8]. However, traditional fermentation
69 bioreactors only have average OTR of $150\text{-}200 \text{ mmol}\cdot\text{L}^{-1}\cdot\text{h}^{-1}$ [9]. Besides, using
70 methanol imposes challenge to strict health and safety regulations. Thus, reducing
71 methanol consumption is potentially advantageous to process scale-up.

72 Partially replacing methanol with sorbitol has been suggested to reduce
73 drawbacks of methanol usage and benefit *P. pastoris* cultivation [10]. Sorbitol
74 has a relatively low enthalpy of combustion and thus sorbitol/methanol mixed

75 induction could reduce oxygen consumption rate up to two-fold. Besides,
76 sorbitol/methanol mixed induction reduces formation of toxic formaldehyde and
77 enhances cellular viability [11]. Effect of sorbitol/methanol mixed induction on
78 product yield is strain dependent. Celik and co-workers reported that productivity
79 of recombinant human erythropoietin was enhanced 1.8 times by using sorbitol
80 as a one shot addition at the induction time whilst linearly feeding methanol [10].
81 Niu and co-workers found that product yield of β -galactosidase was comparable
82 when mole fraction of C_{methanol} was maintained in the range of 45% ~100% [12].
83 However, no report has addressed its impact on product recovery and purification.
84 In a previous study performed in our laboratory, an ultra scale-down model of
85 pilot and industrial scale centrifuges was established to predict dewatering levels
86 at scale [13]. It was shown that the dewatering levels were affected by the choice
87 of *P. pastoris* strains under pure methanol induction [14].
88 In the present work, fermentations using pure methanol and sorbitol/methanol
89 mixed inductions were compared. Impact of sorbitol/methanol mixed induction
90 on fermentation and early downstream processing, focusing on product recovery
91 and level of HCPs impurities that influence chromatography steps was
92 investigated.

93

94 **2. Materials and methods**

95 **2.1. Materials**

96 All chemicals were of analytical grade and purchased from Sigma-Aldrich (Poole,
97 UK) unless otherwise specified.

98 **2.2. Yeast strain and culture medium**

99 *P. pastoris* CLD804 strain expressing recombinant aprotinin was kindly provided
100 by Fujifilm Diosynth Biotechnologies (Billingham, UK). The product expression
101 was under the control of *pAOX1*. Buffered glycerol complex medium was used
102 for cell culture in shaking flask and basal salt medium (BSM) was used in
103 bioreactors. 0.75 g•ml⁻¹ sorbitol solution was prepared in Milli-Q water to obtain
104 a solution that has same volumetric carbon numbers as methanol.
105 Sorbitol/methanol (1:1, C-mol/C-mol) mixed solution was prepared by mixing
106 the same volumetric amount of pure methanol and sorbitol solution.

107 **2.3. Cultivation in bioreactor**

108 Multifors-2 benchtop bioreactor (Infors UK Ltd., Reigate, UK) which consists of
109 four one-litre glass vessels was used, and fermentation was performed following
110 the procedure recommended by Invitrogen in *Pichia* Fermentation Process
111 Guidelines Overview [8]. The temperature was set at 30°C and pH was
112 maintained at 5.0 by adding 15% (v/v) ammonia. The dissolved oxygen (DO) was
113 maintained at 30% throughout the fermentation by controlling the agitation and

114 air/oxygen mixture. The cultivation was started with cell optical density of 1.0.
115 *P. pastoris* cells were firstly cultured in basal salt medium supplemented with 40
116 g•L⁻¹ glycerol. Complete glycerol depletion was recorded by a DO spike, at which
117 time 50% (v/v) glycerol was fed in at the rate of 18 ml•L⁻¹•h⁻¹ until OD₆₀₀ of broth
118 reached 300 (~50 g DCW•L⁻¹). The production was then induced by feeding
119 methanol or sorbitol/methanol mixture at constant rate of 10.8 ml•L⁻¹•h⁻¹ (270
120 mmol carbon•L⁻¹•h⁻¹). Duplicate fermentations were conducted for both methanol
121 and sorbitol/methanol mixed induction.

122 **2.4. Analytical methods**

123 The dry cell weight (DCW) was used to determine cell density. 1ml of culture
124 sampled from the bioreactor was pipetted into 1.5 ml Eppendorf tube and
125 centrifuged at 4000 g for 10 min using Eppendorf 5415R (Eppendorf UK limited,
126 Stevenage, UK). After the supernatant was removed, the wet pellet was dried at
127 100°C for 24 hours and the remaining solid was weighted.

128 The cellular viability was determined by measuring proportion of cells that were
129 penetrated by propidium iodide. Cell broth was diluted to optical density of 0.05
130 at 600nm using 0.9% (v/v) NaCl before being stained. Florescence was measured
131 by Accuri™ C6 cytometer (BD Biosciences, Wokingham, UK).

132 The aprotinin concentration was quantified using the protocol recommended by
133 Sigma-Aldrich in Enzymatic Assay of Aprotinin [20]. The standard curve

134 between aprotinin concentration and inhibition rate was built using bovine
135 aprotinin.

136 Electrophoresis assay of the soluble proteins in supernatant was performed in
137 NuPage SDS Novex precast gel with 4–12% gradient (Invitrogen, Paisley, UK).
138 5 µl of supernatant was loaded in each well and electrophoresis was performed at
139 constant voltage of 200 V for 40 min. After being stained by Quick Coomassie
140 Stain (Generon, Slough, UK), the protein bands were visualized using Amersham
141 Imager 600 (GE Health Care, Amersham Place, UK).

142 Host cell proteins in the supernatant were identified using a method reported
143 before [21]. The soluble proteins were concentrated by an 20% SDS-PAGE gel
144 and then being chemically digested. The peptide mixture was analysed by
145 electrospray liquid chromatography-mass spectrometry (LC-MS/MS). Spectrum
146 was processed using Proteome Discoverer (Thermo Fisher Scientific Inc.) and
147 searched against Uniprot database using Mascot search algorithm (Matrix
148 Science, London, UK). Protein identification was conducted using Scaffold
149 (Proteome Software Inc., Portland, OR, USA). The identification was considered
150 acceptable if threshold could be established over 95% probability and the protein
151 contained at least one identifiable unique peptide.

152 **2.5. Prediction of centrifugal dewatering**

153 Dewatering level of the cell cultures in disc stack centrifuge was predicted using
154 the method as reported before [13]. Cell cultures were harvested from the

155 bioreactor and diluted to a volumetric cell fraction of 30% (v/v) using Milli-Q
156 water. Afterwards, 2 ml and 10ml of the cultures were pipetted into 2.2 ml
157 Eppendorf tubes and 15 ml Falcon tubes, respectively. The 2.2 ml and 15 ml tubes
158 were centrifuged by Eppendorf 5810R (Eppendorf UK, Stevenage, UK) and
159 Beckman Coulter Avanti J-E Centrifuge (Beckman Coulter United Kingdom,
160 High Wycombe, UK), respectively, to predict the dewatering in CSA-1 or BTPX-
161 305 disc stack centrifuges, respectively. Dimension, speed and sigma (Σ) of the
162 centrifuges were shown in Table.2. After the supernatant was discarded
163 thoroughly, cell pellets in the tubes were weighted before and after being dried at
164 100°C for 24 hours.

165

166 **3. Theoretical considerations**

167 **3.1. Prediction of centrifugal dewatering**

168 Centrifugation speed, residence time and solid heights are critical factors in
169 dewatering of cell culture [15]. To develop a scale-down model, it is necessary to
170 maintain constant relative centrifugal force (RCF). Liquid flow rate determines
171 the residence time of solids in large scale centrifuges. At small batch scale, this
172 can be defined as the ratio of volume to centrifugation time. Solid height
173 determines the pressure applied to the solid which affects dewatering. Thus, a cell
174 concentration that would give same solid heights in both scales should be used in
175 the scale-down experimentation.

176 Here sigma (Σ) of centrifuges, which considers not only speed and time but
177 dimensions of centrifuges, was used. Sigma theory has been widely used to
178 predict the performance of large scale centrifuges using laboratory benchtop ones
179 [16]. By using Eq. 1, different flow rates at scale can be mimicked by running
180 benchtop centrifuges for different time periods.

$$\frac{Q}{C\Sigma} = \frac{V_{\text{Lab}}}{t_{\text{Lab}}C_{\text{Lab}}\Sigma_{\text{Lab}}} \quad (1)$$

181 where Q is the liquid flow rate in large scale centrifuge, Σ and Σ_{lab} are setting area
182 of large scale and laboratory scale centrifuge, V_{lab} is the volume of sample in
183 laboratory scale tube, t_{Lab} is the setting time of sample in laboratory centrifuge, C
184 and C_{Lab} are correlation factors for deviation of non-ideal liquid in large scale
185 centrifuge and laboratory centrifuge.

186 For a laboratory scale benchtop centrifuge, Σ_{lab} can be calculated by Eq. 2 [17].

$$\Sigma_{\text{Lab}} = \frac{V_{\text{Lab}}\omega^2(3 - 2x - 2y)}{6g\ln\left(\frac{2R_2}{R_2+R_1}\right)} \quad (2)$$

187 where ω is the angular velocity of centrifuge, R_2 and R_1 are outer and inner radius
188 of centrifuge rotor, x and y are fractional time of acceleration and deceleration of
189 centrifuge, g is the gravitational acceleration.

190 For a disc stack centrifuge, Σ_{Ds} can be calculated by Eq. 3 (Boychyn et al. 2004).

$$\Sigma_{Ds} = \frac{2\pi n\omega^2(R_2^3 - R_1^3)}{3g\tan\theta} \quad (3)$$

191 where n is the disc numbers, θ is the half disc angle.

192 **3.2. Calculation of dewatering**

193 Dewatering level as a function of flow rate is calculated by Eq. 4 [18, 19].

$$\%D = 100 - \frac{100(WCW - DCW/dw_r)}{WCW} \quad (4)$$

194 where WCW is the weight of wet cell cake and DCW is the weight of dry cells.

195 dw_r is the ratio of dry cell weight to wet cell weight after maximum removal of

196 water in extracellular space using filtration.

$$dw_r = \frac{DCW_f}{WCW_f} \quad (5)$$

197 where DCW_f is the weight of dry cells and WCW_f is the weight of wet cells after
198 filtration.

199

200 **4. Result and discussion**

201 **4.1. Cell growth and product expression**

202 Sorbitol/methanol (1:1, C-mol/C-mol) mixture was determined as a mixed

203 induction strategy based on a previous study [22]. It was shown that the mixed

204 induction strategy effectively induced production and also reduced protease

205 release. In this study, feeding regimen of methanol or mixture was set at a
206 constant rate of $270 \text{ mmol carbon} \cdot \text{L}^{-1} \cdot \text{h}^{-1}$ as recommended by Invitrogen [8].
207 Representative cultivation profiles of methanol and mixed induction were shown
208 in Fig.2 and the key attributes of fermentations were summarized in Table.1. Dry
209 cell weight was around $50 \text{ g} \cdot \text{L}^{-1}$ prior to induction and reached $132.2 \text{ g} \cdot \text{L}^{-1}$ and
210 $149.1 \text{ g} \cdot \text{L}^{-1}$ after 96 hours of methanol and mixed induction, respectively. With
211 mixed induction, the biomass was higher because sorbitol metabolism generated
212 more ATP and thus more carbon could be used for biomass synthesis [23].
213 Compared to methanol induction, the mixed induction reduced average oxygen
214 consumption rates (OUR) by 39% from $241.4 \pm 21.3 \text{ mmol} \cdot \text{L}^{-1} \cdot \text{h}^{-1}$ to 145.5 ± 6.7
215 $\text{mmol} \cdot \text{L}^{-1} \cdot \text{h}^{-1}$. Cell viability in the mixed induction was higher (97% versus 93%),
216 which is in agreement with a previous report [11]. Lower product titre and
217 specific productivity were observed after 96 hours of mixed induction. At the
218 harvest time, volumetric yields reached $1.65 \text{ g} \cdot \text{L}^{-1}$ and $1.12 \text{ g} \cdot \text{L}^{-1}$, respectively.
219 One explanation is that reducing methanol concentration decreases *pAOXI*
220 induction and results in a lower productivity. Another possibility is that the
221 impact of sorbitol/methanol dual carbon induction on productivity is cell line
222 specific and cannot be established a priori [12, 24-26]. Only a few protein bands
223 were visualized on the SDS-PAGE gel in both methanol and mixed induction
224 (Fig.3), which indicated that most cells stayed intact even after loss of viability.

225 4.2. Cell culture characteristics and dewatering efficiencies

226 Particle size distributions of the cultures from methanol or mixed induction are
227 shown in Fig.4. The cell size distribution did not change during fermentation
228 when pure methanol was used as the inducer, whereas diameter of the cells
229 induced by sorbitol/methanol mixture shifted to smaller values during the
230 induction. D_{50} of the cells from mixed induction decreased from $3.85 \pm 0.3 \mu\text{m}$ to
231 $3.14 \pm 0.2 \mu\text{m}$ after 72 hours of induction. It was reported that *P. pastoris* grown
232 on methanol has larger diameter than that on glucose [27], but the comparison of
233 cell culture on methanol and sorbitol has not been reported.

234 Diameter of yeast cells has been found to affect dewatering efficiency in
235 centrifuges [14]. Larger particles are more difficult to be packed in centrifuge and
236 more liquid accumulates in interstitial space [28]. Here dewatering efficiencies
237 of the methanol and mixture induced cell cultures were evaluated using a scale-
238 down model of CSA-1 centrifuge and BTPX305 centrifuge [19] . Compared to
239 the cell culture from methanol induction, the culture from mixed induction had
240 higher dewatering efficiencies in both type of centrifuge (Fig.5). In the range of
241 predicted flow rates, the average dewatering levels improved from $77.3 \pm 4.6\%$ to
242 $83.0 \pm 3.8\%$ ($p < 0.01$) in CSA centrifuge and from $78.5 \pm 3.6\%$ to $83.1 \pm 1.9\%$
243 ($p < 0.01$) in BTPX305 centrifuge. This leads to a prediction of a loss of 41.3 ± 5.3
244 g product from a 1000 L culture induced by methanol, whereas a loss of 17.1 ± 2.1
245 g if mixed induction is used. This indicates that changing induction method is an

246 effective way to minimize product loss in centrifugal separation. It becomes a
247 valuable process optimization tool specially when high value products are
248 manufactured.

249 **4.3. Identification of host cell proteins**

250 The culture medium after 96 hours of induction was analysed for protein type
251 using HPLC-MS/MS. Overall, a total number of 72 proteins was identified from
252 the mixture induced culture, and the number increased to 96 in the culture with
253 methanol induction. Compared to the mixed induction, more identified proteins
254 localized in cytoplasm and nucleus in the culture from methanol induction (Fig.
255 6). This indicates that a higher proportion of cell broken although it was not
256 obvious by employing SDS gel assay (Fig.3). More types of proteases were
257 identified in the sample from methanol induction (3 versus 1), which indicates
258 that using methanol induction is likely to cause more proteolytic degradation
259 when products are sensitive to proteases.

260 In order to show the potential impact of induction on chromatographic steps,
261 distributions of molecular weight (MW) and isoelectric point (PI) of these
262 proteins were compared (Fig.7). In the MW range of 0~24 kDa and PI range of
263 8.0~14.0, the number of HCPs was much smaller in mixed induction. This
264 indicates that using mixed induction can simplify the purification of some
265 products such as aprotinin (MW/PI, 6.5 kDa, 10.5), Interferon gamma (MW/PI,

266 18.0 kDa/8.72), Interferon beta (MW/PI, 22.0 kDa/ 9.69) and Keratinocyte
267 growth factor (MW/PI, 22.5 kDa/9.29).

268

269 **5. Conclusion**

270 In this article, sorbitol/methanol mixed induction was shown to affect both
271 upstream and downstream of *P. pastoris* culture processing. It was found to
272 benefit fermentation by reducing oxygen consumption rate and enhancing cell
273 viability. An ultra scale-down approach enabled the prediction of dewatering
274 levels in the pilot and industrial scale centrifuges. The mixed induction enhanced
275 dewatering and decreased product loss by influencing cell diameter during
276 induction. The mixed induction also benefited the process by improving the
277 product purity and reducing protease release. In summary, sorbitol/methanol
278 mixed induction is an efficient approach to reduce oxygen consumption,
279 minimize product loss by improving dewatering and enhance product quality.

280

281 **Declare of Interests**

282 The authors have no conflict of interest to declare.

283

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290

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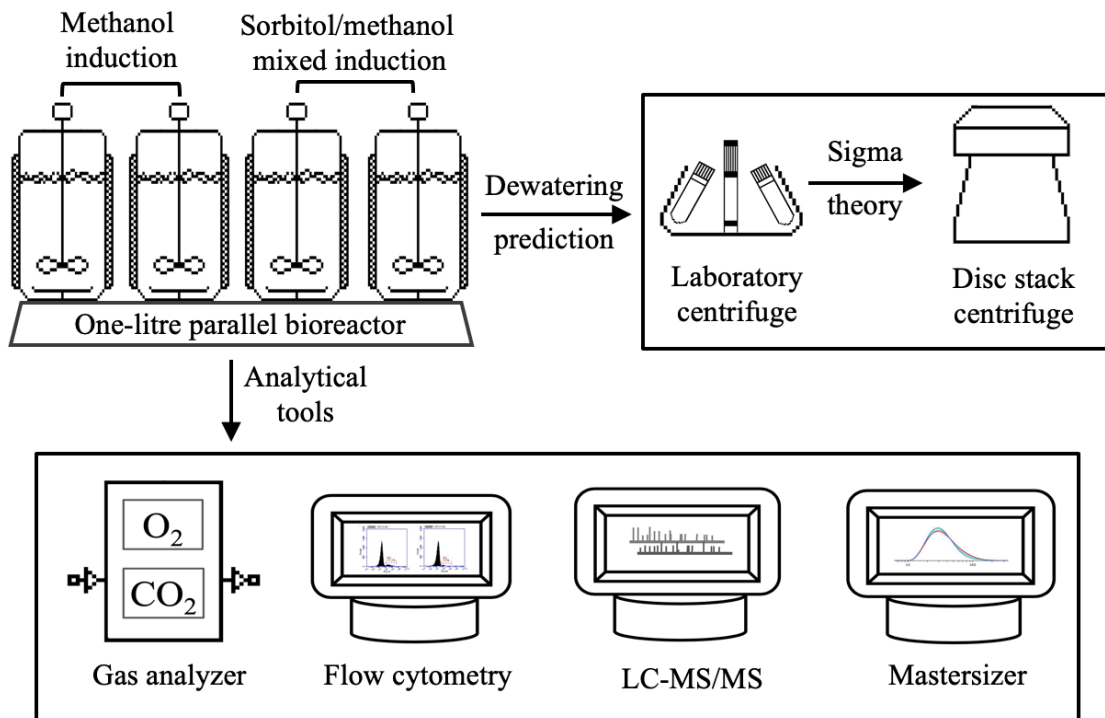
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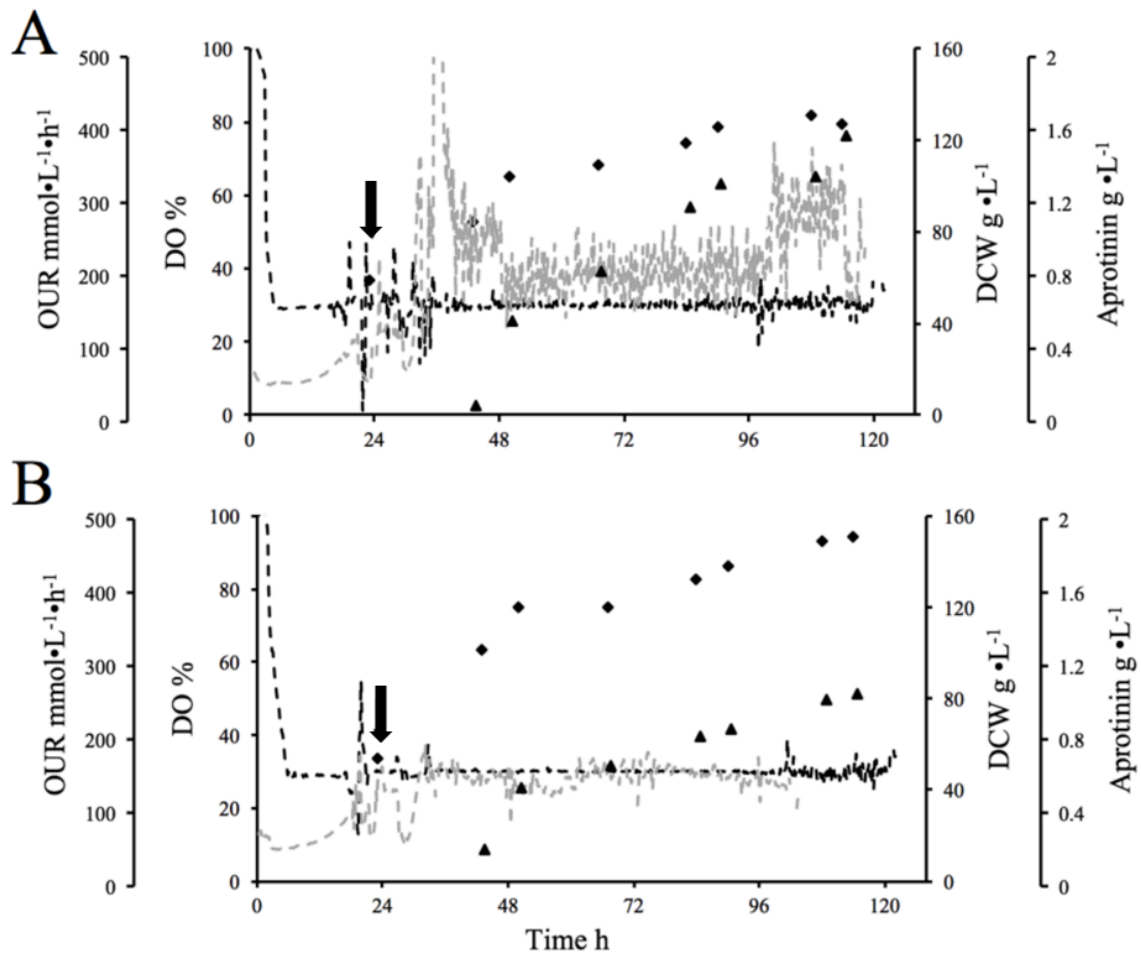
387

388 **Figures**



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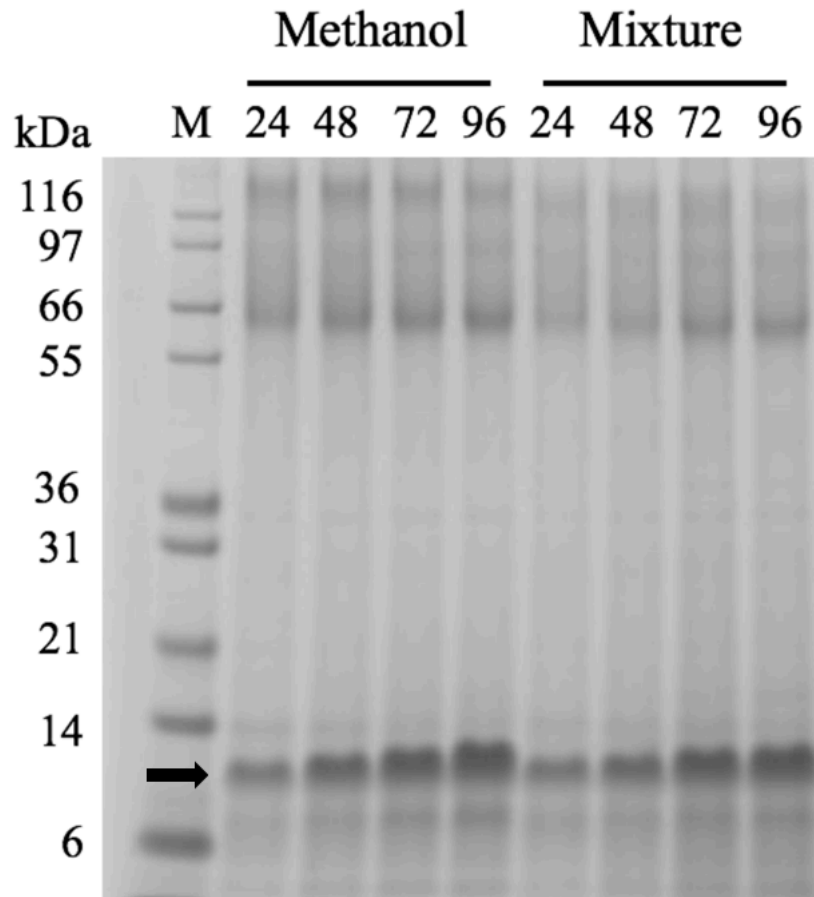
Fig. 1. Schematic diagram of the major experiments performed in this study.



393

394 Fig.2 Cultivation profile of *P. pastoris* induced by pure methanol (A) and
 395 sorbitol/methanol (1:1, C-mol/C-mol) mixture (B). ◆ dry cell weight (DCW) in
 396 $\text{g}\cdot\text{L}^{-1}$, ▲ aprotinin concentration in $\text{g}\cdot\text{L}^{-1}$, --- dissolved oxygen level in medium,
 397 --- oxygen uptake rate of cells in $\text{mmol}\cdot\text{L}^{-1}\cdot\text{h}^{-1}$, ↓ induction time.

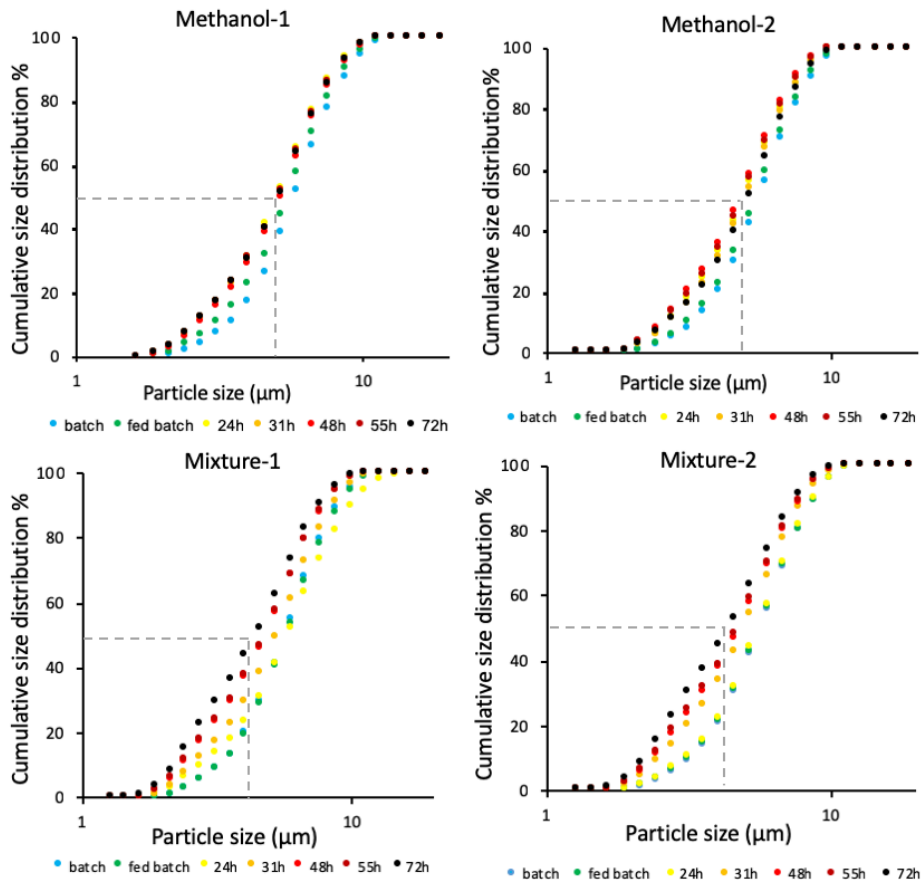
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400 Fig.3 SDS-PAGE analysis of soluble proteins in cell cultures with methanol and
 401 mixed induction. Supernatant obtained from 24, 48, 72 and 96 hours of induction
 402 was analysed and aprotinin was indicated by the arrow (→).

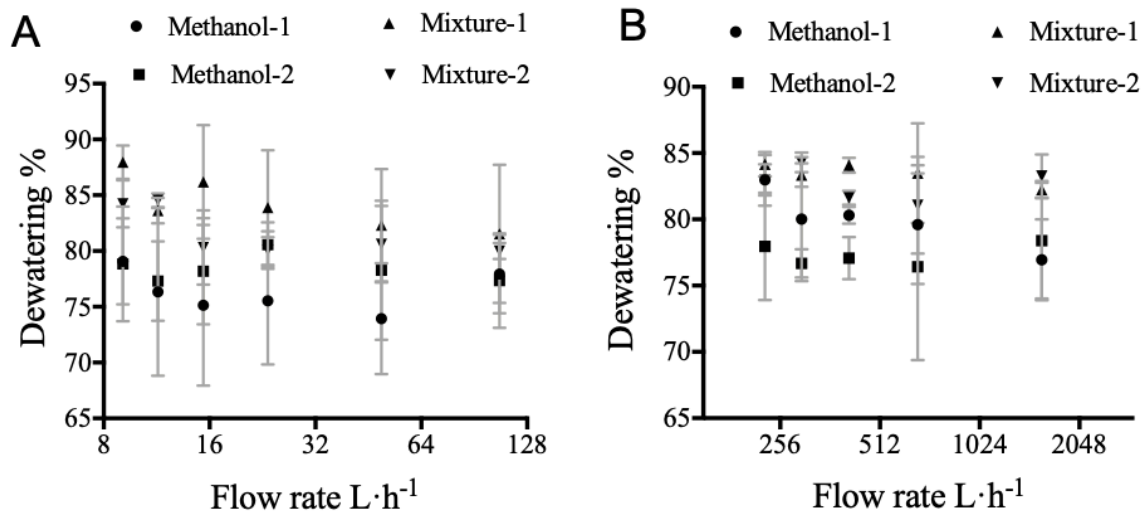
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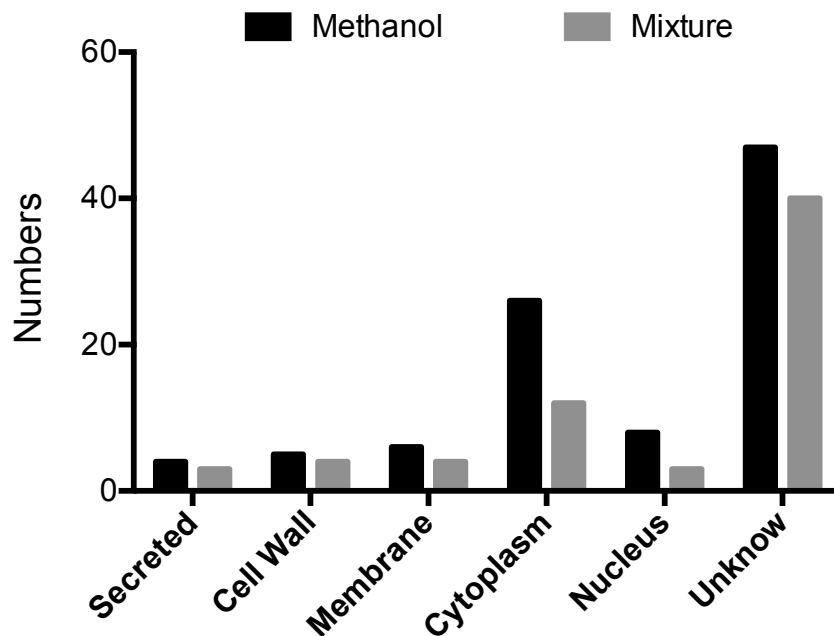
405 Fig.4 Cumulative cell size distribution at different time points of two repeat
 406 fermentations. D_{50} value of culture at harvest time was indicated by the dashed
 407 line (---). Samples were collected in batch phase, fed-batch phase and after 24,
 408 31, 48, 55 and 72 hours of induction.

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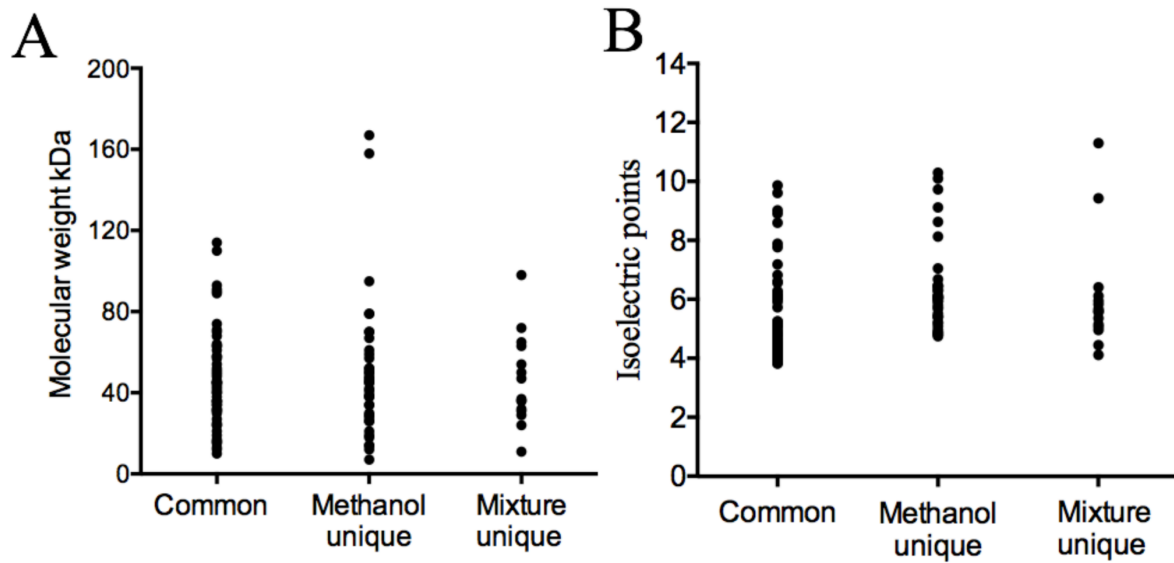
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411 Fig.5 Dewatering efficiency of cell cultures from two repeat fermentation
 412 induced by methanol or sorbitol/methanol mixture. Dewatering in two centrifuges
 413 CSA-1 (A) and BTPX-305 (B) was predicted by scale-down methodology. Data
 414 in the graph are presented as mean \pm SD (n = 3).



415

416 Fig.6 Localization of host proteins identified from cell culture induced by
 417 methanol and sorbitol/methanol (1:1, C-mol/C-mol) mixture.



418

419 Fig.7 Distribution of molecular weights (A) and isoelectric points (B) of HCPs
 420 from two induction samples.

421

422 **Tables**

	Methanol-1	Methanol-2	Mixture-1	Mixture-2
DCW $\text{g}\cdot\text{L}^{-1}$	132.8	132.3	152.4	145.7
Viability %	92.5	93.2	97.6	97.8
Aprotinin $\text{g}\cdot\text{L}^{-1}$	1.68	1.62	1.05	1.18
Biomass yield $\text{g DCW}\cdot\text{mol}^{-1}\text{ C}$	6.19	6.06	8.05	7.80
Specific productivity $\text{mg}\cdot\text{g}^{-1}\text{DCW}\cdot\text{h}^{-1}$	0.132	0.128	0.072	0.084
OUR $\text{mmol}\cdot\text{L}^{-1}\cdot\text{h}^{-1}$	256.4	226.3	150.2	140.7

423 Table.1 A summary of biomass, cellular viability, aprotinin concentration,
424 biomass yield, specific productivity and oxygen consumption rate (OUR) in
425 methanol and sorbitol/methanol mixed induction. DCW, viability and aprotinin
426 concentration were measured after 96h' induction. OUR was calculated by
427 averaging OUR values during induction.

428

Centrifuge	Dimensions	N (r·s ⁻¹)	C	Σ (m ²)
Eppendorf 5810R	R1 (0.075m) R2 (0.1m)	149	1.0	0.66~0.77
Beckman Coulter Avanti J-E	R1 (0.073m) R2 (0.102m)	92	1.0	1.12~1.82
CSA-1	R1 (0.026m) R2 (0.055m) n (45) θ (38.5°)	162	0.4	1444
BTPX-305	R1 (0.036m) R2 (0.085m) n (82) θ (40°)	125	0.4	7127

429 Table 2. Dimensions of the used laboratory and industrial scale centrifuges.

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431