

Variation in positive surgical margin status following radical prostatectomy for pT2 prostate cancer

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45

46 MicroAbstract (56/60 words)

47 Positive surgical margin (PSM) following prostatectomy for pT2 prostate cancer vary
48 throughout the USA. We utilized the National Cancer Database and determined that
49 patient, hospital and cancer-specific factors contributed 2.3%, 3.9% and 15.6% of
50 PSM variation respectively. 84.8% of PSM variation are due to non-oncological
51 factors which represent addressable factors in efforts to improve patient outcome.

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66 Abstract (249/250 words)

67 Introduction

68 We evaluated patient, hospital, and cancer-specific factors associated with positive
69 surgical margin (PSM) variability following radical prostatectomy in pT2 prostate
70 cancer in the USA.

71 Material & Methods

72 A total of 45,426 men from 1,152 hospitals with pT2 prostate cancer and known
73 margin status following radical prostatectomy were identified using the National
74 Cancer Database (2010-2015). Patient, cancer, hospital factors and surgical
75 approach were extracted. A mixed effects logistic regression model was computed to
76 examine factors associated with PSM and partial-R² values to assess the relative
77 contributions of patient, cancer and hospital variables to PSM status.

78 Results

79 Median PSM rate of 8.5% (IQR: 5.2-13.0%). Robotic (OR: 0.90, 95% CI: 0.83-0.99)
80 and laparoscopic (OR: 0.74, 95% CI: 0.64-0.90) surgical approach, academic
81 institution (OR: 0.87, 95% CI: 0.76-1.00) and high hospital surgical volume (>297
82 cases [OR: 0.83, 95% CI: 0.70-0.99) were independently associated with a lower
83 PSM. Black men (OR: 1.13, 95% CI: 1.01-1.26) and adverse cancer specific features
84 (PSA 10-20, PSA >20, cT3 stage, Gleason 7, 8, 9-10; all p>0.01) were
85 independently associated with a higher PSM. Patient-specific, hospital-specific and
86 cancer-specific factors had a contribution of 2.3%, 3.9% and 15.6% to the variation
87 in PSM. Facility had a contribution of 23.7% to the variation in PSM.

88 Conclusion

89 Cancer-specific factors account for 15.2% of PSM variation with the remaining
90 84.8% of PSM variation due to patient, hospital and other factors not accounted
91 within the model. Non-cancer-specific factors represent addressable factors which
92 are important for policy makers in efforts to improve patient outcome.

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94 Keywords: localized; prostate cancer; practice patterns; radical prostatectomy;
95 surgical margin; variation

96 Introduction

97 Oncological principles of cancer surgery advocate complete excision of the cancer
98 with negative surgical margins. In localised prostate cancer, the management of
99 positive surgical margins (PSM) following radical prostatectomy remains
100 controversial. PSM has been associated with a two-fold increased risk of
101 biochemical recurrence although this has not been translated to cancer specific
102 survival with long term follow-up.^{1, 2}

103 PSM following radical prostatectomy for pT2 prostate cancer is considered a metric
104 for surgical quality.³ However, there is significant variation in PSM rates reported in
105 the literature.^{1, 4} Cancer specific risk factors for PSM following radical prostatectomy
106 are well established. There is a clear stage correlation with risk of PSM where pT3
107 cancers report a PSM of as high as 29-50% compared to the 4-23% in pT2 cancers.⁵
108 Inaccurate clinical staging based on pre-surgery magnetic resonance imaging (MRI)
109 leading to the decision for nerve-sparing can be associated with a risk for PSM.⁶
110 Surgical technique, surgeon's preference for a nerve-sparing approach and attempts
111 to preserve maximal urethral length are factors affecting PSM rates.^{7, 8} Anatomical
112 location of cancer within the prostate can be a risk factor, where apical cancers in
113 particular have a higher risk of PSM because the lack of a distinct capsule and high
114 anatomical variability of the apex.¹

115 What remains less clear is non-cancer specific risk factors. Reports have shown an
116 association between higher surgeon operating volume and lower PSM rates.⁷
117 However, PSM rates between open and robotic techniques are comparable.⁹ Cancer
118 specific factors and surgeon volume alone cannot account for the large variation in
119 PSM. Significant variation in the management of prostate cancer has been widely
120 reported. Variations in active surveillance and treatment outcomes for prostate
121 cancer have been demonstrated.^{10, 11} We hypothesised that other factors such as
122 patient demographics, socioeconomic, geographic and surgical approach may have
123 an association with PSM.

124 In this study, we sought to examine contemporary PSM rates in the United States
125 using the National Cancer Data Base (NCDB) registry.¹² We used a multivariable
126 mixed-effects model to estimate the association between PSM and patient
127 demographics, socioeconomic, geographical, hospital, cancer-specific and surgical

128 approach factors. We then derived the corresponding contribution of each factor to
129 variation in PSM.

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153 Material and methods

154 Data source

155 We queried the NCDB, which represents a nationwide oncology database that
156 contains details on cancer care and treatment outcomes across CoC hospital. Since
157 1989, all newly diagnosed cancers from 1,500 CoC-accredited programmes in the
158 United States and Puerto Rico have been captured by the NCDB. This accounts for
159 over 29 million cancer cases which represents over 50% of all newly diagnosed
160 prostate cancer cases in the United States.¹³

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162 Patient selection

163 All men diagnosed with prostate cancer between 2010-2015 treated with radical
164 prostatectomy for adenocarcinoma of the prostate (code C61.9 from International
165 Classification of Diseases for Oncology, 3rd edition)¹⁴ according to National
166 Comprehensive Cancer Network (NCCN) guidelines¹⁵ were included for analysis.
167 Patients with pT2N0M0 cancer were selected based on AJCC Cancer Staging
168 Manual (7th edition).¹⁶ Patients with unknown status of histological margin were
169 excluded.

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171 Variable of interest and covariates

172 PSM was defined as NCDB variable "RX_SUMM_SURGICAL_MARGINS". Baseline
173 patient specific variables include: patient age at diagnosis (categorised by quartiles:
174 40-57, 58-62, 63-67 or 68-75 years), prostate-specific antigen (PSA) at prostate
175 cancer diagnosis (continuous), Gleason score (≤ 6 , 7, 8, 9, 10), clinical T stage (cT1,
176 cT2, cT3, cT4), race (Black, White, other), Charlson Comorbidity Index [CCI] (0, 1,
177 ≥ 2) and year of diagnosis (2010, 2011, 2012, 2013, 2014, 2015). Socioeconomic
178 variables include: insurance status (private, Medicaid, Medicare, other government
179 [including TRICARE, Military, VA and Indian/ Public Health Service], uninsured),
180 median household income within the ZIP code ($\leq \$37,999$, $\$38,000-\$47,999$,
181 $\$48,000-\$62,999$, or $\geq \$63,000$) and median proportion of individuals within the ZIP
182 code without a high school diploma ($\leq 6.9\%$, 7%-12.9%, 13%-20.9%, or $\geq 21\%$).

183 Geographical and treating hospital variables include: urban/rural status
184 (metropolitan, urban county, rural county), great circle distance(<6.3, 6.3-13.9, 14-
185 35.6, >35.6 miles between a patient's residence based on the ZIP code centroid or
186 city and the street address of the facility), census geographical region (New England,
187 Middle Atlantic, South Atlantic, East North Central, East South Central, West North
188 Central, West South Central, Mountain, or Pacific), type of treating hospital
189 (community cancer program, comprehensive community cancer program, academic/
190 research programme, integrated network cancer programme) and hospital annual
191 surgical volume according to quartiles (<89 cases, 89-164 cases, 164-296 cases,
192 >297 cases). Surgical approach was defined as open, laparoscopic or robotic.

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194 Statistical analysis

195 For descriptive statistics, frequency and proportions were used to report categorical
196 variables and medians and interquartile ranges (IQR) were used to report continuous
197 variables. Chi-square and Wilcoxon tests were used to analyse bivariate differences
198 in categorical and continuous variables between patient groups respectively. To
199 account for clustering within treating hospitals we used a random effect model and
200 accounted for individual treating hospital.^{17, 18}

201 A mixed effects logistic regression model was performed to predict the odds of a
202 patient having a PSM following prostatectomy with treating hospital considered as a
203 random effect. Fixed covariables included patient-specific factors (age, CCI, race,
204 socioeconomic factors [education, income, insurance status], urban/ rural locality,
205 great circle distance), cancer-specific factors (PSA at diagnosis, Gleason score,
206 clinical T stage). Hospital-specific factors (surgical approach, treating hospital type,
207 geographical area, hospital surgical volume). Hospital were ranked from least-likely
208 to most-likely to have a patient with PSM following prostatectomy and plotted against
209 the probability of a PSM.

210 Using a mixed effects logistic regression model, partial-R² values were derived for
211 each variable to assess the contributions of patient, hospital and cancer-specific
212 variables to variation in PSM as previously described.^{11, 19} Partial R² represents the
213 contribution of the variable to the variability in the probability of having a PSM and

214 was computed by subtracting the pseudo-R² value of the model without the variable
215 of interest from the pseudo-R² of the complete model.

216 Data analyses were performed using Stata 15 (StataCorp, College Station, Texas).
217 Statistical significance was defined as a 2-sided significance with $p < 0.05$. A waiver
218 was obtained before commencement of the study by the Brigham and Women's
219 Hospital Institutional review board in accordance with institutional regulation when
220 using deidentified previously collected patient data.

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240 Results

241 Baseline characteristics

242 A total of 45,426 men from 1,152 CoC accredited hospital met the inclusion criteria.
243 The median age for the entire cohort was 61 years (IQR: 56-66 years). Table 1
244 reports the baseline patient demographics and comorbidities, cancer-specific,
245 socioeconomic, geographical and hospital specific variables. Black patients
246 ($p=0.001$), higher PSA ($p<0.001$), higher clinical T stage ($p<0.001$), higher Gleason
247 score ($p<0.001$), more recent year of diagnosis ($p=0.018$), open surgical approach
248 ($p<0.001$), lower income ($p=0.006$), higher education ($p<0.001$), nearer great circle
249 distance ($p<0.001$), treatment at non-academic hospital ($p<0.001$) and lower hospital
250 surgical volume ($p<0.001$) were associated with PSM on univariable analysis.

251

252 Multivariate logistic regression analysis

253 Independent factors associated with PSM were estimated using multivariable logistic
254 regression as shown in Table 2. The only patient factor associated with a PSM was
255 Black race (odds ratio [OR]: 1.13, 95% confidence interval [CI]: 1.01-1.26).
256 Socioeconomic factors, rural/ urban county, great circle distance and comorbidity
257 were not associated with PSM. Cancer-specific factors such as PSA of 10-20 ng/mL
258 (OR: 1.18, 95% CI: 1.05-1.32), PSA of >20 ng/mL (OR: 1.36, 95% CI: 1.16-1.59),
259 cT3-4 stage (OR: 1.25, 95% CI: 1.14-2.17), Gleason score 7 (OR: 1.61, 95% CI:
260 1.49-1.73), 8 (OR: 1.48, 95% CI: 1.28-1.69) and 9-10 (OR: 1.80, 95% CI: 1.46-2.23)
261 were independently associated with a higher odds of PSM. Hospital-specific factors
262 independently associated with lower odds of PSM were robotic (OR: 0.90, 95% CI:
263 0.83-0.99) and laparoscopic (OR: 0.74, 95% CI: 0.64-0.90) surgical approach,
264 academic institution (OR: 0.87, 95% CI: 0.76-1.00), higher hospital surgical volume
265 (>297 cases [OR: 0.83, 95% CI: 0.70-0.99) and East North Central USA (OR: 0.71,
266 95% CI: 0.52-0.96).

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270 Variation in positive surgical margins

271 A total of 4,522 patients (11.1%) had a PSM. The rate of PSM for cases performed
272 each year ranged from 9.1-11.0% between 2010- 2015. The median PSM rate was
273 8.5% (IQR: 5.2-13.0%) with a PSM range of 0-100%.

274 Figure 2 shows a caterpillar plot depicting the hospitals' adjusted risk of PSM ranked
275 from lowest to highest adjusted for patient demographics, cancer-specific,
276 socioeconomic, geographical and hospital specific variables. Partial-R²- values
277 computed from the mixed effects logistic regression model for the investigated
278 variables are shown in Table 3. The overall model accounted for 24.9% of PSM
279 variation. Patient-specific, hospital-specific and cancer-specific factors contributed
280 2.3%, 3.9% and 15.2% of the variation in PSM in pT2 patients, respectively, that was
281 unexplained by all of the other predictors. The individual facility, regardless of any
282 other characteristics, explained 23.7% of the variation in PSM unexplained by the
283 other predictors.

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297 Discussion

298 The key finding in this study is that there is significant variability in PSM rates
299 following radical prostatectomy for pT2 prostate cancer. While cancer-specific
300 variables such as Gleason score, clinical T stage and PSA at diagnosis are factors
301 that influence the risk of PSM, non-cancer specific variables such as patient, hospital
302 and surgical approach also account for variability in PSM even after adjustment in a
303 multivariate model. In this study, we report that 2.3% and 3.9% of variation within the
304 model are due to patient and hospital-specific factors while cancer-specific factors
305 contributed 15.2% of PSM variation. Moreover, individual facility contributed 23.7%
306 to the variability in the probability of having a PSM. While the sum of partial R^2
307 values can be above or below the total R^2 , their relative magnitude can be compared
308 to assess additional explanatory power. This population-based study also found that
309 the median PSM rate for pT2 prostate cancer in the United States is 8.5%. This
310 establishes a bench mark where poorer performing centres should strive to improve
311 and highlights modifiable factors which can be addressed to improve patient
312 outcome.

313 In an increasingly cost-conscious healthcare service, healthcare systems are shifting
314 towards a pay-for-performance model. The traditional fee-for-service model
315 reimburses healthcare providers based on quantity and complexity of services; in
316 fact, subsequent procedures or complications are chargeable by the healthcare
317 provider. Significant changes to bundled payments and pay-for-performance models
318 promote high quality care as these improves efficiency within the service. However,
319 often efforts to improve surgical outcome or medical service are multi-factorial and
320 significant improvements represent the aggregation of marginal gains to achieve
321 high quality outcome.

322 The median PSM rate in this study was 8.5% (IQR: 5.2-13.0%) which was lower
323 compared to the 10.7-16.6% reported in a systematic review of cases published
324 between 2002-2010.⁴ The adoption of robotic prostatectomy may have indirectly led
325 to the improvement in PSM rates by increased centralisation of care due to the high
326 cost associated with the procurement of robotic technology. Indeed, between 2002
327 and 2010, the number of surgeons performing radical prostatectomy fell from 10,000
328 surgeons to 8,200 and the proportion of high volume surgeons (performing >24
329 prostatectomies annually) increased from 10% to 45%.²⁰ It is widely reported that

330 there is a strong correlation between surgical volume and risk of PSM.⁷ We observed
331 this only in the top quartile of hospital surgical volume which may be explained by
332 increased centralisation of care. This in turn results in an overall increase in surgical
333 case load of remaining centres which makes differences in surgical proficiency less
334 apparent.

335 We report that both robotic and laparoscopic prostatectomy had significantly lower
336 PSM compared to open prostatectomy even after adjusting for cancer specific
337 variables and hospital volume. However, there are variables that we could not
338 account for. It is likely individual surgeons performing limited number of
339 prostatectomies do not have access to the robotic platform and high-volume
340 surgeons are more likely to perform radical prostatectomies robotically. Proponents
341 for robotic prostatectomy might argue that a laparoscopic approach may allow better
342 visualisation during apical dissection however, it is likely that PSM is influenced by
343 individual surgical experience which we cannot account for in the NCDB.^{8, 21}

344 It is widely accepted that Black patients have worse cancer outcomes following
345 radical prostatectomy even after adjusting for Gleason score and disease stage.²²
346 However, the relationship between race and PSM is less certain. Previous
347 retrospective studies have failed to find an association between race and PSM after
348 controlling for cancer specific variables.^{23, 24} More recently, Jalloh and colleagues
349 studied 4,231 low risk prostate cancer patients treated with radical prostatectomy.²⁵
350 After adjusting for clinical variables, year of diagnosis and clinical site they report that
351 Black men were at a higher risk of having a PSM. This study did not adjust for
352 hospital surgical volume and had a relatively small cohort of Black men (n= 273) of
353 which, 22 men had a robotic approach. Our findings concur with what has been
354 reported and even after adjustment with a multivariable model, the association
355 between Black men and higher PSM was still apparent.²⁵ Reasons for this remain
356 unknown, although Black patients have an android shaped pelvis, which are taller
357 and narrower possibly making apical dissection, a common site for PSM, more
358 challenging. However, it is also likely that Black patients have access to poorer quality
359 care which may explain this disparity in surgical outcome.^{10, 26}

360 Limitations in our study should be taken into account when interpreting the results.
361 NCDB data collection is retrospective in nature. Data collected were from CoC

362 hospitals which are often larger tertiary hospitals and these findings may not be
363 generalised to smaller group practices. Nevertheless, NCDB captures over 50% of
364 patients diagnosed with prostate cancer providing good representation of the United
365 States. We also do not have details on tumour anatomical location following radical
366 prostatectomy to determine if the higher risk of PSM in Black men was due to higher
367 proportion of apical cancers. Additionally, we do not have details about the
368 preoperative decision to perform a nerve-spare approach which may increase the
369 likelihood of a PSM. The use of intraoperative frozen section when performing nerve-
370 sparing cannot be determine and this is an important consideration as this has been
371 shown to reduce PSM.²⁷ There is also a lack of data on the length of PSM which has
372 been shown to be an independent factor to biochemical recurrence.²⁸ Finally, while
373 hospital case volume is reported, we cannot determine individual surgeon case
374 volume.

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376 Conclusion

377 There is significant variation in PSM following radical prostatectomy for pT2 disease.
378 Patient-specific, hospital-specific and cancer-specific factors contributed 2.3%, 3.9%
379 and 15.2% to the variation in PSM in pT2 patients respectively. A total of 84.8% of
380 PSM variation due to patient, hospital and other factors not accounted for in the
381 model. Non cancer-specific factors represent potentially addressable factors which
382 are important for policy makers in their efforts to improve patient outcomes.

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393 **Clinical Practice Points (179/250 words):**

394 • Positive surgical margin (PSM) following radical prostatectomy for pT2
395 prostate cancer is considered a metric for surgical quality. However, there is
396 significant variation in PSM rates.

397 • In this study, we examined contemporary PSM rates in the United States
398 using the National Cancer Data Base (NCDB) registry and used a
399 multivariable mixed-effects model to estimate the association between PSM
400 and patient demographics, socioeconomic, geographical, hospital, cancer-
401 specific and surgical approach factors.

402 • We report that robotic and laparoscopic surgical approach, academic
403 institution and high hospital surgical volume were independently associated
404 with a lower PSM. Black men and adverse cancer specific features (PSA 10-
405 20, PSA >20, cT3 stage, Gleason 7, 8, 9-10; all $p > 0.01$) were independently
406 associated with a higher PSM.

407 • The overall mixed effects logistic regression model accounted for 24.9% of
408 PSM variation. Patient-specific, hospital-specific and cancer-specific factors
409 had a contribution of 2.3%, 3.9% and 15.6% to the variation in PSM. Facility
410 had a contribution of 23.7% to the variation in PSM.

411 • Non cancer-specific factors represent potentially addressable factors which
412 are important for policy makers in their efforts to improve patient outcome.

413

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451 Tables:

452 Table 1: Baseline patient demographics, socioeconomic, geographical, hospital and
453 cancer-specific factors stratified according to positive surgical margin (PSM) status.

454 Table 2: Multilevel Hierarchical Mixed Effects Logistic Regression Model to
455 determine variables independently associated with a PSM in pT2 prostate cancer
456 patients treated with radical prostatectomy.

457 Table 3: Multilevel hierarchical logistic regression model to determine pseudo-R2-
458 values and significance of patient, hospital and cancer-specific variables.

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461 Figures:

462 Figure 1: Inclusion and exclusion criteria used to determine study cohort.

463 Figure 2: Variation in PSM frequency adjusted for patient demographics,
464 comorbidity, socioeconomic, geographical, hospital and cancer-specific factors.

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482 Table 1: Baseline patient demographics, socioeconomic, geographical, hospital and
 483 cancer-specific variables stratified according to positive surgical margin (PSM)
 484 status.

	All patients (n=45,426)	Positive surgical margin (p=4,522)	No positive surgical margin (n=40,904)	P value
Age at diagnosis, n (%)				
32-56 yr	12,167 (26.8)	1,223 (27.1)	10,994 (26.7)	0.325
57-61 yr	10,662 (23.4)	1,021 (22.6)	9,641 (23.6)	
62-66 yr	11,537 (25.4)	1,188 (26.3)	10,349 (25.3)	
67-90 yr	11,060 (24.4)	1,090 (24.1)	9,970 (24.4)	
Race, n (%)				0.001
White	38,729 (85.3)	3,800 (84.0)	34,929 (85.4)	
Black	4,699 (10.3)	543 (12.0)	4,156 (10.2)	
Other	1,392 (3.1)	119 (2.6)	1,273 (3.1)	
Unknown	606 (1.3)	60 (1.4)	546 (1.3)	
Charlson Comorbidity Index, n (%)				0.195
0	37,738 (83.1)	3,718 (82.2)	34,020 (83.2)	
1	6,643 (14.6)	702 (15.5)	5,941 (14.5)	
>1	1,045 (2.3)	102 (2.3)	943 (2.3)	
Prostate specific antigen at diagnosis ng/ mL, n (%)				<0.001
<10	33,009 (72.7)	3,309 (73.2)	29,700 (72.6)	
10-20	3,436 (7.6)	415 (9.2)	3,021 (7.39)	
>20	1,582 (3.5)	212 (4.7)	1,370 (3.4)	
Unknown	7,399 (16.3)	586 (13.0)	6,813 (16.6)	
Clinical T stage, n (%)				<0.001
cT1	1,120 (2.5)	88 (2.0)	1,032 (2.5)	
cT2	39,773 (87.6)	4,020 (88.9)	35,753 (87.4)	
cT3-4	668 (1.5)	91 (2.0)	577 (1.4)	
Unknown	3,865 (8.5)	323 (7.1)	3,542 (8.7)	
Gleason score, n (%)				<0.001
≤6	19,408 (43.7)	1,577 (34.9)	17,831 (43.6)	
7	15,675 (34.5)	1,913 (42.3)	13,762 (33.6)	
8	2,537 (5.6)	296 (6.6)	2,241 (5.5)	
9-10	851 (1.9)	118 (2.6)	733 (1.8)	
Unknown	6,995 (15.3)	618 (13.6)	6,337 (15.5)	
Year of diagnosis, n (%)				0.018
2010	9,844 (21.7)	981 (21.7)	8,863 (21.7)	
2011	9,675 (21.3)	958 (21.2)	8,717 (21.3)	
2012	7,312 (16.1)	711 (15.7)	6,601 (16.1)	
2013	6,837 (15.0)	621 (13.7)	6,216 (15.2)	
2014	5,966 (13.1)	617 (13.7)	5,349 (13.1)	
2015	5,792 (12.8)	634 (14.0)	5,158 (12.6)	
Surgical approach, n (%)				<0.001
Open	8,890 (19.6)	945 (20.9)	7,945 (19.4)	
Laparoscopic	1,882 (4.1)	159 (3.5)	1,723 (4.2)	
Robotic	33,347 (73.4)	3,240 (71.7)	30,107 (73.6)	
Unknown	1,307 (2.9)	178 (3.94)	1,129 (2.8)	
Insurance status, n (%)				0.199
Private	28,557 (62.9)	2,810 (62.1)	25,747 (62.9)	
Medicaid	827 (1.8)	91 (2.0)	736 (1.8)	
Medicare	14,088 (31.0)	1,407 (31.1)	12,681 (31.0)	
Other government	714 (1.6)	75 (1.7)	639 (1.6)	
Uninsured	582 (1.3)	75 (1.7)	507 (1.2)	
Unknown	658 (1.5)	64 (1.4)	594 (1.5)	
Median income quartiles within ZIP code, n (%)				0.006
≥\$63,000	17,849 (39.3)	1,691 (37.4)	16,158 (39.5)	
\$48,000-\$62,999	12,434 (27.4)	1,251 (27.7)	11,183 (27.3)	
\$38,000-\$47,999	9,305 (20.5)	956 (21.1)	8,349 (20.4)	
≤\$37,999	5,727 (12.6)	605 (13.4)	5,122 (12.5)	
Unknown	111 (0.2)	19 (0.4)	92 (0.2)	

Percentages of no high school degree, n (%)				0.001
≥21%	5,628 (12.4)	603 (13.2)	5,025 (12.3)	
13-20.9%	10,219 (22.5)	1,003 (22.2)	9,216 (22.5)	
7-12.9%	15,084 (33.2)	1,553 (34.3)	13,531 (33.1)	
≤6.9%	14,398 (31.7)	1,345 (29.7)	13,053 (31.9)	
Unknown	97 (0.2)	18 (0.4)	79 (0.2)	
Urban/ rural status of county, n (%)				0.593
Metropolitan	37,148 (81.8)	3,664 (81.0)	33,484 (81.9)	
Urban	6,267 (13.8)	649 (14.4)	5,618 (13.7)	
Rural	885 (2.0)	92 (2.0)	793 (1.9)	
Unknown	1,126 (2.5)	117 (2.6)	1,009 (2.5)	
Great circle distance, n (%)				<0.001
<6.3 miles	11,197 (24.7)	1,215 (27.0)	9,982 (24.5)	
6.3-13.9 miles	11,388 (25.1)	1,156 (25.7)	10,232 (25.1)	
14-35.6 miles	11,399 (25.2)	1,144 (25.4)	10,255 (25.1)	
>35.6 miles	11,338 (25.0)	986 (21.9)	10,352 (25.4)	
Treating hospital, n (%)				<0.001
Comprehensive community cancer programme	18,705 (41.2)	2,001 (44.3)	16,704 (40.9)	
Community cancer programme	2,474 (5.5)	307 (6.8)	2,167 (5.3)	
Integrated Network Cancer Programme	5,034 (11.1)	613 (13.6)	4,421 (10.8)	
Academic	19,148 (42.2)	1,594 (35.3)	17,554 (43.0)	
Hospital surgical volume, n (%)				<0.001
<89 cases	10,098 (22.2)	1,158 (25.6)	8,940 (21.9)	
89-163 cases	11,380 (25.1)	1,245 (27.5)	10,135 (24.8)	
164-296 cases	11,554 (25.4)	1,181 (26.1)	10,373 (25.4)	
>297 cases	12,394 (27.3)	938 (20.7)	11,456 (28.01)	
Census division of treatment facility, n (%)				<0.001
New England	2,058 (4.5)	202 (4.5)	1,856 (4.5)	
Middle Atlantic	7,226 (15.9)	697 (15.4)	6,529 (16.0)	
South Atlantic	9,282 (20.5)	917 (20.3)	8,365 (20.5)	
East North Central	7,865 (17.3)	769 (17.1)	7,096 (17.4)	
East South Central	3,895 (8.6)	305 (6.8)	3,590 (8.8)	
West North Central	4,530 (10.0)	462 (10.2)	4,068 (10.0)	
West South Central	3,434 (7.6)	358 (7.9)	3,076 (7.5)	
Mountain	2,060 (4.5)	272 (6.0)	1,788 (4.3)	
Pacific	5,011 (11.1)	533 (11.8)	4,478 (11.0)	

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499 Table 2: Multilevel Hierarchical Mixed Effects Logistic Regression Model to
 500 determine variables independently associated with a positive surgical margin in pT2
 501 prostate cancer patients treated with radical prostatectomy.

Variables	Odds ratio	95% CI	P value
Patient-specific			
Age at diagnosis			
32-56 yr			
57-61 yr	0.91	0.83-0.99	0.031
62-66 yr	0.96	0.88-1.05	0.389
67-90 yr	0.92	0.82-1.03	0.146
Year of diagnosis			
2010			
2011	0.98	0.89-1.08	0.620
2012	0.93	0.84-1.04	0.209
2013	0.84	0.75-0.94	0.003
2014	0.95	0.85-1.07	0.416
2015	0.98	0.87-1.09	0.685
Race			
White			
Black	1.13	1.01-1.26	0.024
Other	0.86	0.70-1.05	0.132
Unknown	0.96	0.72-1.28	0.787
Charlson Comorbidity Index			
0			
1	1.06	0.97-1.15	0.238
>1	0.95	0.77-1.18	0.672
Insurance status			
Private			
Medicaid	1.02	0.80-1.29	0.892
Medicare	0.98	0.89-1.08	0.716
Other government	0.97	0.75-1.24	0.800
Uninsured	1.24	0.95-1.63	0.109
Unknown	1.00	0.75-1.34	1.000
Median income quartiles within ZIP code			
≥\$63,000			
\$48,000-\$62,999	1.03	0.94-1.13	0.555
\$38,000-\$47,999	1.06	0.94-1.19	0.349
≤\$37,999	1.11	0.95-1.29	0.187
Unknown	0.72	0.09-5.82	0.756
Percentage of no high school degree			
≥21%			
13-20.9%	0.94	0.84-1.06	0.336
7-12.9%	1.02	0.89-1.16	0.802
≤6.9%	0.93	0.80-1.08	0.348
Unknown	1.09	0.08-14.29	0.948
Urban/ rural status of county			
Metropolitan			
Urban	1.03	0.92-1.16	0.577
Rural	1.01	0.79-1.29	0.931
Unknown	1.03	0.82-1.27	0.816
Great circle distance			
<6.3 miles			
6.3-13.9 miles	1.00	0.92-1.10	0.970
14-35.6 miles	1.01	0.93-1.12	0.740
>35.6 miles	0.98	0.88-1.10	0.781
Cancer specific			
Prostate specific antigen			
<10			
10-20	1.18	1.05-1.32	0.004
>20	1.36	1.16-1.59	<0.001
Unknown	0.80	0.72-0.89	<0.001
Clinical T stage			
cT1			
cT2	1.25	0.99-1.57	0.060

cT3-4	1.57	1.14-2.17	0.006
Unknown	1.11	0.86-1.42	0.438
Gleason score			
≤6			
7	1.61	1.49-1.73	<0.001
8	1.48	1.28-1.69	<0.001
9-10	1.80	1.46-2.23	<0.001
Unknown	1.19	1.06-1.35	0.003
Hospital level variables			
Surgical approach			
Open			
Robotic	0.90	0.83-0.99	0.029
Laparoscopic	0.74	0.62-0.90	0.002
Unknown	1.25	1.04-1.51	0.018
Treating hospital			
Comprehensive community cancer programme			
Community cancer programme	1.17	0.98-1.40	0.079
Integrated Network Cancer Programme	1.08	0.91-1.28	0.378
Academic	0.87	0.76-1.00	0.044
Hospital surgical volume			
<89 cases			
89-163 cases	1.01	0.90-1.13	0.897
164-296 cases	0.92	0.81-1.05	0.240
>297 cases	0.83	0.70-0.99	0.038
Census division of treatment facility			
New England			
Middle Atlantic	1.05	0.81-1.36	0.729
South Atlantic	0.93	0.72-1.20	0.576
East North Central	1.02	0.79-1.31	0.867
East South Central	0.71	0.52-0.96	0.025
West North Central	1.03	0.78-1.37	0.833
West South Central	1.04	0.78-1.39	0.789
Mountain	1.36	1.00-1.85	0.053
Pacific	1.10	0.85-1.44	0.463

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Table 3: Multilevel hierarchical logistic regression model to determine pseudo-R²- values and significance of patient, hospital and cancer-specific variables.

Variables	Partial R²
Overall model (including random effect)^a	0.24852
Single facility	0.23698
Patient-specific variables^a	0.02270
Age (quartiles)	0.00257
Race (White, Black, other, unknown)	0.00402
CCI (0, 1, >1)	0.00079
Insurance status (private, Medicaid, Medicare, other government, uninsured, unknown)	0.00144
Median income quartiles within ZIP code (quartiles)	0.00094
Quartiles of no high school degree (quartiles)	0.00246
Urban/ rural status of county (metropolitan, urban, rural, unknown)	0.00019
Great circle distance (quartiles)	0.00000
Year of diagnosis (2010, 2011, 2012, 2013, 2014, 2015)	0.00519
Hospital-specific variables^a	0.03875
Surgical approach (open, laparoscopic, robotic, unknown)	0.01146
Treating hospital (Academic, CCP, CCCP, INCP, unknown)	0.00507
Hospital surgical volume (quartiles)	0.00333
Census division of treatment facility	0.01173
Cancer-specific variables^a	0.15181
PSA at diagnosis (quartiles)	0.02102
Clinical T stage (cT1, cT2, cT3, cT4)	0.00452
Gleason score (≤6, 7, 8, 9, 10, unknown)	0.09474

^aRandom effect model

Figure 1: Inclusion and exclusion criteria used to determine study cohort.

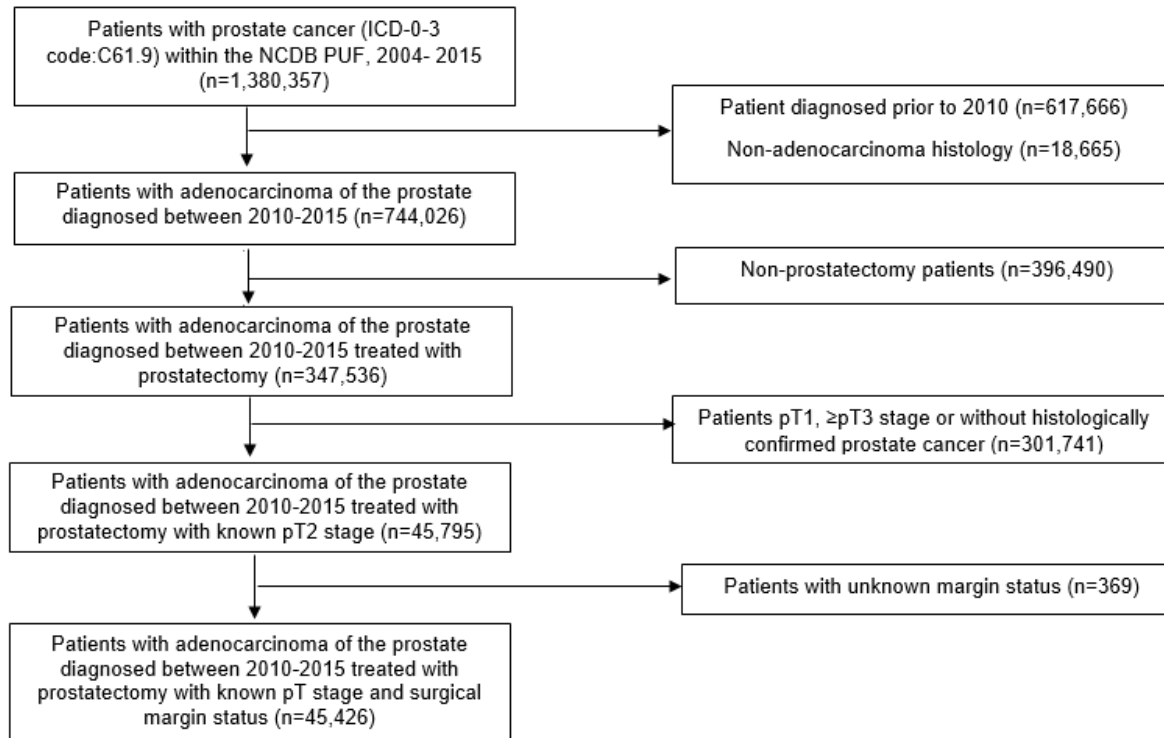
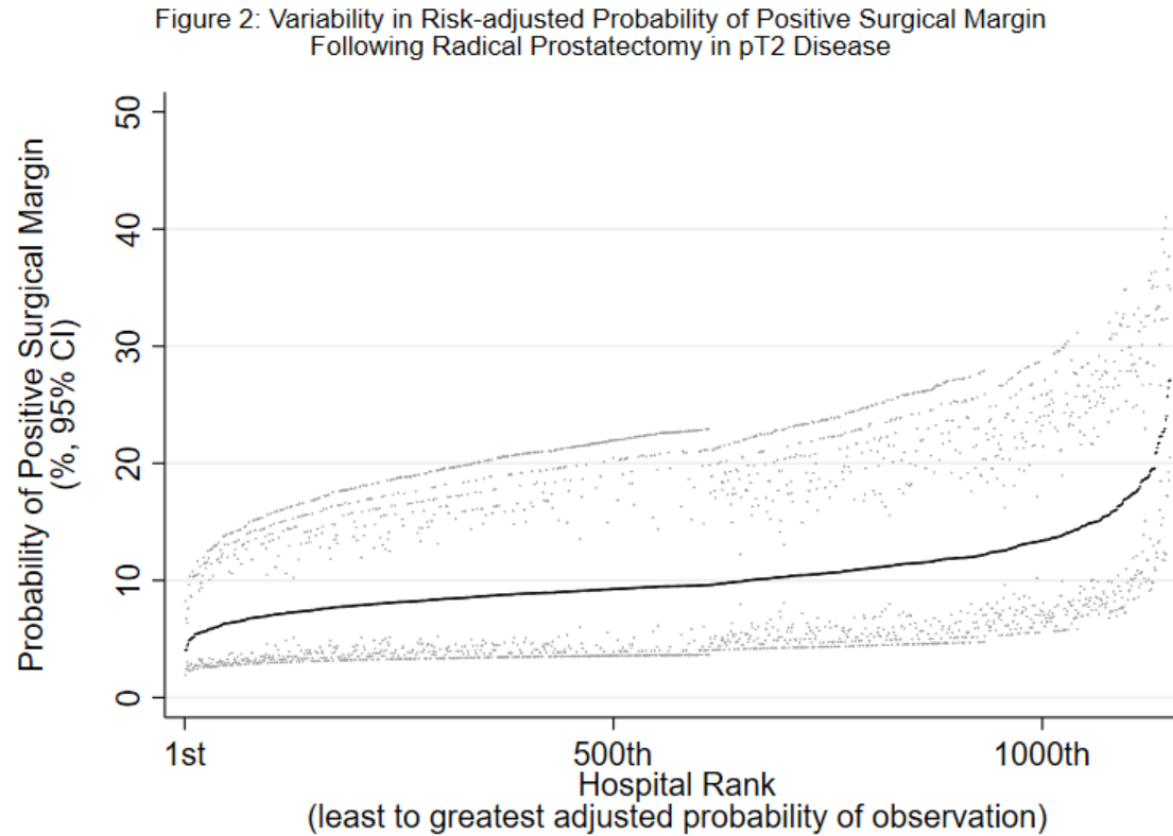


Figure 2: Variation in positive surgical margins frequency adjusted for patient demographics, comorbidity, socioeconomic, geographical, hospital and cancer-specific factors.



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