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Contemporary Outcomes and Prognostic Factors of 23-Gauge Vitrectomy for Retained Lens Fragments after Phacoemulsification

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Abstract

Purpose: To provide data on VA outcomes and prognostic factors of micro-incision 23-gauge vitrectomy (MIVS) for retained lens fragments after complicated cataract surgery.

Design: Retrospective, interventional case series from 2012 to 2017.

Methods: Pre-cataract surgery and intra-operative (vitrectomy) parameters, post-vitrectomy complications, and best-corrected visual acuities (BCVA), were identified. Vitrectomy was performed as early as corneal clarity permitted. Univariate and multivariate logistic regression were used to characterize factors associated with achieving VA better than 20/40, or worse than 20/200 at 6 months.

Results: This study included 291 consecutive eyes (291 patients). LogMAR BCVA improved from 0.73 ± 0.70 before cataract surgery to 0.46 ± 0.63 ($p < 0.001$) after vitrectomy. The pre-vitrectomy VA was 1.43 ± 0.79 . At 6 months, 183 (62.9%) and 45 patients (15.5%) achieved BCVAs better than 20/40, and worse than 20/200, respectively. Most frequent complications were de novo ocular hypertension (29 eyes, 10%) and transient cystoid macular edema (CME) (25 eyes, 8.6%). Post-vitrectomy retinal detachment occurred in 9 eyes (3.1%). Final VA of 20/40 or better was independently associated only with better pre-cataract surgery VA, age < 75 years, absence of pre-existing diabetic (DME) or post-vitrectomy persistent CME ($p < 0.05$). Only poorer pre-cataract surgery VA, delaying vitrectomy to later than 2 weeks, and final aphakic status, were independently predictive of 20/200 or worse VA ($p < 0.05$).

Conclusion: Contemporary VA outcomes of 23-gauge vitrectomy for retained lens fragments are comparable with that of prior predominantly non-MIVS cohorts, but fall short of benchmarks for uncomplicated cataract surgery. IOL type or timing of placement do not impact final VA.

Contemporary Outcomes and Prognostic Factors of 23-Gauge Vitrectomy for Retained Lens Fragments after Phacoemulsification

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Introduction

Dislocated lens fragments into the vitreous cavity is an uncommon but well-recognized complication of phacoemulsification surgery, with an incidence rate ranging between 0.3% and 1.8%.¹⁻³ The risk factors for retained lens fragments include brunescence, mature and posterior polar cataracts, pseudoexfoliation, small pupils, shallow anterior chamber depths, and high myopia.⁴⁻⁶ Following cataract surgery, eyes with retained lens fragments have an increased risk of uveitis, glaucoma, corneal and cystoid macular edema, and retinal detachment.^{1,3,7,8} With the exception of mild self-resolving cases, pars plana vitrectomy (PPV) remains the sole effective strategy to definitively remove lens fragments, reduce intraocular inflammation and pressure, and improve visual acuity.⁹⁻¹²

Micro-incision vitrectomy surgery (MIVS) using a trocar-cannula system is frequently used by vitreoretinal surgeons.^{13,14} To date most of the major studies reporting outcomes of vitrectomy for dislocated lens fragments used 20-gauge PPV.^{7,9,15-30} Thus, the surgical outcomes of MIVS, as well as the specific determinants of these outcomes, remain largely uncertain. MIVS has a lower rate of sclerotomy-related complications compared with non-trochar systems,^{31,32} and it is therefore possible that this may result in improved outcomes in patients with retained lens fragments. Other industry improvements in phacoemulsification and anterior vitrectomy instrumentation may further impact final outcomes. Differences in management protocols for patients following complicated cataract surgery such as the optimal timings for vitrectomy after cataract surgery and for intraocular lens (IOL) implantation have also been intensively debated, however limitations in sample size and study design have failed to resolve these issues.^{3,27,29,33-35}

A comprehensive identification of prognostic determinants could help clinicians optimize outcomes. This study aims to provide a real-world contemporary perspective of the visual outcomes and complications following 23-gauge MIVS for retained lens fragments in a large cohort of patients, and to examine independent determinants of these outcomes.

Methods

Consecutive patients who had complicated cataract surgery with retained lens fragments at the central hospital and all satellite units of Moorfields Eye Hospital (MEH) NHS Foundation Trust, that subsequently underwent PPV under the Vitreoretinal Service from October 2012 to October 2017 were identified from the electronic medical record (EMR) system, i.e., OpenEyes (Across Health, Ghent, Belgium). To identify all cases of retained lens fragments which underwent PPV, we used the search terms: "retained lens" OR "dropped nucleus" OR "vitrectomy" AND "frangmatome" OR "lensectomy" to generate a comprehensive list of cases. Individual records were reviewed to determine eligibility for the current analysis. The inclusion criteria were eyes which required PPV for retained lens fragments after primary cataract surgery at MEH Trust, with a minimum of 6 months documented follow-up, and for which the primary cataract procedure was conducted also at MEH Trust. Eyes with spontaneous or traumatic crystalline lens dislocation, dislocated intraocular lens (IOL) implants, and eyes with less than 6 months of follow-up, were

excluded from the study. This study received Institutional Review Board approval and adhered to the tenets of the Declaration of Helsinki.

Pre-, intra-, and post-vitrectomy clinical information was collected from the medical and operative records, including patient age, gender and ethnicity, co-existing ocular conditions and prior procedures. Pre-cataract surgery, pre-vitrectomy and 6-month post-vitrectomy Snellen best-corrected visual acuity (BCVA) measurements were recorded.

The cause of retained lens fragments (posterior capsule rupture or zonular dehiscence), pre-vitrectomy intraocular pressure (IOP), interval between cataract surgery and PPV, use of 20-gauge phacofragmatome, intra-operative and post-operative PPV complications, timing of IOL placement and IOL type were also recorded. The timing of PPV after cataract surgery was analyzed according to 4 pre-determined periods: within 1 week, between 1 and 2 weeks, between 2 and 4 weeks, and after 4 weeks.

Post-vitrectomy IOP elevations as complications arising from PPV were defined as: (1) de novo ocular hypertension (i.e., IOP >25 mmHg, at 2 or more visits) in eyes without pre-existing glaucoma, and (2) in eyes with pre-existing glaucoma, escalation of glaucoma therapy (i.e., increase in topical medical therapy for more than 2 months, or need for new filtration surgery, or revision of existing filtration surgery). Transient cystoid macular edema (CME) (i.e., central subfield thickness of more than 300 μ m) was defined as intraretinal fluid that had resolved by 3 months post-vitrectomy. Eyes with fluid persisting for more than 3 months were considered to have persistent CME.

All eyes received topical steroids and topical IOP medications following the initial presentation to the vitreoretinal emergency clinic after complicated cataract surgery as per institutional protocol. Sodium chloride 5% eye drops were administered at the ophthalmologist's discretion to improve corneal clarity. Eyes were reviewed by a vitreoretinal surgeon either on the same day or up to 2 days after the cataract procedure. In accordance with institutional practice, the main determinant of PPV timing following assessment at this clinic was the vitreoretinal surgeon's assessment of corneal clarity to safely perform a PPV. If corneal clarity was deemed insufficient, the patient was reviewed every 2 days until the cornea was ascertained to be sufficiently clear for vitrectomy. At that point, providing the IOP was controlled the patient was listed for surgery at the next available list and seen again on the day of surgery only. If corneal clarity had not been achieved by 2 weeks, reviews were conducted every 5 to 7 days, until PPV. If PPV had not been performed by 1 month, the frequency of further reviews was at the discretion of the ophthalmologist. The only other factor which determined the timing of PPV when corneal clarity was suboptimal was uncontrolled IOP >35 mmHg despite maximal topical medical therapy.

All vitrectomies were conducted using a 3-port, transconjunctival, 23-gauge PPV system from Alcon (CONSTELLATION Vision System, Alcon Laboratories Inc, Fort Worth, Texas, USA). A posterior vitreous detachment was induced at the start of surgery when required and a full vitrectomy was completed prior to addressing retained nuclear fragments. Vitrectomy was also performed in the anterior chamber

to remove residual vitreous and soft lens matter if so required. The surgeon then determined if fragments could be removed with the 23G cutter. If the phaco-fragmentome was required, the conjunctiva was dissected and a new 20G sclerotomy formed. This was used until all nuclear fragments were removed and then sutured. Any further manipulation was then performed via the 23G ports. Perfluorocarbon liquid was not used to displace or retrieve nuclear fragments. The decision to implant an IOL in the primary cataract surgery or during PPV, or at a deferred sitting, as well as IOL type, was based on the discretion of the treating ophthalmologists. A 360-scleral depressed search was conducted and any peripheral pathology was treated. Twenty-three gauge sclerotomies were sutured as required. Post-vitreotomy, eyes were started on topical dexamethasone and chloramphenicol. If CME was present, topical non-steroidal anti-inflammatory (NSAID) agents were added, with escalation to oral acetazolamide and then sub-Tenons triamcinolone for recalcitrant cases. Eyes deemed to have poor visual prognosis based on clinical assessment and eyes of patients who elected not to have further surgery were left aphakic.

The primary outcome measures were the proportion of eyes achieving BCVA of 20/40 or better, and 20/200 or worse. Secondary outcomes were the nature and incidence of post-operative complications.

Snellen VA measurements were converted to logarithm of the minimum angle of resolution (logMAR) VA for the purpose of statistical analyses. Continuous variables were expressed using the mean and standard deviation, while categorical parameters were described in numbers and percentages. Univariate logistic regression analyses were performed to look for associations between each variable and the primary outcomes. A multivariate logistic regression was conducted using parameters identified as significant in the univariate results.

Statistical analysis was performed with SPSS statistical analysis package version 14.0 (SPSS Inc, Chicago, Illinois, USA), and a $p < 0.05$ was considered statistically significant.

Results

We identified 374 consecutive patients who underwent PPV for retained lens fragments. We excluded 12 eyes from 12 patients with traumatic lens dislocation, 26 patients with lens dislocation from inherited diseases, e.g. Marfans or Stickler syndrome, 22 patients who were less than 18 years of age, and 23 patients with less than 6 months follow-up. A total of 291 eyes of 291 patients were included in the study. The total number of cataract surgeries conducted at all sites of MEH NHS Foundation Trust was 93,132 over the study period, giving an incidence of retained lens fragments of 0.31%.

Of the 291 patients, 161 (55.3%) were male, 139 (47.8%) were Caucasian, and the mean age was 73.8 ± 10.6 years. Pre-existing ocular co-morbidities, clinical characteristics and prior ocular procedures are described in **Table 1**. Before cataract surgery, the BCVA was 20/40 or better in 115 (39.5%) patients, between 20/40 and 20/200 in 102 (35.1%) patients, and 20/200 or worse in 74 (25.4%) patients (**Figure**). The mean pre-cataract surgery logMAR BCVA was 0.73 ± 0.70 (approximately 20/100). Eyes with exudative macular disease, i.e., diabetic macular edema (DME)

or wet age-related macular degeneration (AMD), had received prior intravitreal therapy until disease quiescence for at least 3 months prior to cataract surgery.

Retained lens fragments occurred due to posterior capsule rupture in 264 eyes (90.7%) and zonular dehiscence in the remaining 27 eyes (9.3%). There were 5 (1.7%) eyes with pre-existing significant corneal disease and 30 (10.3%) eyes with pre-existing macular conditions. There were 55 eyes (18.9%) which had at least one type of retinal pathology (i.e., diabetic retinopathy, retinal vein occlusion, or prior retinal detachment), and 32 eyes (11%) with glaucoma. There were 15 (5.2%) previously-vitreotomized eyes.

The mean pre-vitreotomy VA was 1.43 ± 0.79 (approximately 20/538), and mean pre-vitreotomy IOP was 18.8 ± 7.6 mmHg. The mean time from cataract surgery to PPV was 8.3 ± 21.5 days. The decision for PPV was based on a clear cornea in 288 eyes (99%), and only in 3 eyes (1%) was PPV conducted for uncontrolled IOP >35 mmHg despite maximal medical therapy and suboptimal corneal clarity.

Of the 291 eyes, 20-gauge phacofragmentation was performed in 176 eyes (63.9%). IOL implantation was performed during the initial cataract surgery in 102 eyes (35.1%), during PPV in 87 eyes (29.9%), and after PPV in 84 eyes (28.9%) (**Table 2**). There were 18 eyes (6.2%) that were left aphakic. Of the 273 eyes with IOL implants, 185 (67.8%) had posterior chamber IOLs (i.e., in-the-bag or sulcus placement), 71 eyes (26.0%) received anterior chamber implants, and 17 eyes (6.2%) had iris or scleral-fixated IOLs.

No eyes developed retinal detachment prior to or during their vitreoretinal surgery. During PPV, peripheral retinal pathology (tears or holes) was identified and treated with endolaser retinopexy in 5 eyes (1.7%).

Post-vitreotomy VA outcomes and complications of surgery are profiled in **Table 3**. At the 6-month visit, the mean post-vitreotomy logMAR BCVA was 0.46 ± 0.63 (approximately 20/56), with 183 eyes (62.9%) achieving better than 20/40, 63 (21.6%) with BCVA between 20/40 and 20/200, and 45 patients (15.5%) 20/200 or worse vision (**Figure**). There was a significant improvement in VA following vitrectomy compared with pre-cataract and pre-vitreotomy levels ($p < 0.001$ for both). The mean post-PPV IOP was 16.0 ± 4.9 mmHg. There were 8 patients (2.7%) who developed persistent corneal edema. De novo ocular hypertension requiring initiation of glaucoma therapy was required in 26 patients, and glaucoma therapy was escalated for 17 eyes (53.1) with pre-existing glaucoma (**Table 3**). Transient CME was present in 25 eyes (8.6%), and persistent CME in 8 eyes (2.7%). All CME cases showed no evidence of traction on OCT scanning. Of the 12 wet AMD eyes, all resumed regular intravitreal anti-VEGF therapy as required. Of the 16 DME eyes, only 4 eyes (25%) required additional anti-VEGF therapy after PPV for recurrence of macular edema. There were 9 (3.1%) and 4 (1.4%) eyes which developed post-vitreotomy retinal detachment and full-thickness macular holes, respectively. None of the macular holes occurred in the context of macular edema. Retinal detachment occurred at a mean of 2.2 ± 1 months (range, 1- 4 months) after vitrectomy. One eye (0.3%) developed endophthalmitis. There were no cases of hypotony.

Univariate analyses

Compared to eyes with pre-cataract VA of 20/40 or better, eyes with pre-cataract VA ranging between 20/40 to 20/200 (OR 0.13, 95% CI 0.06 – 0.26, $p<0.001$), and eyes with VA worse than or equal to 20/200 (OR 0.09, 95% CI 0.04 – 0.18, $p<0.001$), were less likely to achieve 6-month VA outcomes of better than or equal to 20/40 (**Table 4**). Better VA outcomes at the 20/40 threshold were also less likely in patients ≥ 75 years (OR 0.47, 95% CI 0.29 – 0.77, $p=0.003$), in eyes with zonular dehiscence relative to those with posterior capsule rupture (OR 0.44, 95% CI 0.20 – 0.97, $p=0.041$), presence of wet AMD (OR 0.18, 95% CI 0.05 – 0.69, $p=0.012$) or DME (OR 0.25, 95% CI 0.08 – 0.73, $p=0.012$), prior intravitreal anti-VEGF treatments (OR 0.09, 95% CI 0.02 – 0.40, $p=0.002$), and if the eye had pre-vitrectomy VA of CF or worse (OR 0.25, 95% CI 0.13 – 0.48, $p<0.001$) (**Table 4**).

At 6-months, a VA of 20/200 or worse was associated with pre-cataract surgery BCVA of worse than 20/40 but better than 20/200 (OR 12.93, 95% CI 2.93 – 57, $p=0.001$), pre-cataract surgery VA of 20/200 or worse (OR 27.12, 95% CI 6.17 – 119, $p<0.001$), significant corneal pathology (OR 8.71, 95% CI 1.41 – 53.73, $p=0.02$) (**Table 4** footnote), and pre-vitrectomy VA of CF or worse (OR 8.99, 95% CI 3.02 – 26.77, $p<0.001$) (**Table 4**).

Performing vitrectomy between 2 and 4 weeks (OR 3.91, 95% CI 1.21 – 12.61, $p=0.022$), or >4 weeks (OR 3.91, 95% CI 1.21 – 12.61, $p=0.022$) following phacoemulsification, was predictive of achieving BCVA worse than 20/200 at 6 months when compared to eyes which underwent vitrectomy within the first week after cataract surgery (**Table 5**). Vitrectomy performed 1 to 2 weeks after cataract surgery did not demonstrate worse VA outcomes compared to vitrectomy within 1 week at the 20/40 and 20/200 levels ($p>0.05$). IOL placement during vitrectomy appeared to have a poorer outcome (OR 0.48, 95% CI 0.26 – 0.90, $p=0.022$) than if placement was conducted during the primary phacoemulsification. Phacofragmatome use was not associated with achieving BCVA levels of 20/40 or better, or 20/200 or worse ($p=0.21$ and 0.276 , respectively). Leaving an eye aphakic was associated with poorer BCVA outcomes at both the 20/40 (OR 0.03, 95% CI 0.004 – 0.22, $p<0.001$) and 20/200 (OR 27.32, 95% CI 8.46 – 88.25, $p<0.001$) end-points, compared to pseudophakic eyes. There was no difference in BCVA outcomes when comparing sulcus or in-the-bag PCIOL with other secondary IOL implants (anterior chamber lens ($p=0.236$ for BCVA 20/40 or better and $p=0.086$ for BCVA 20/200 or worse), and iris clip lens or scleral-fixated lens ($p=0.161$ for BCVA 20/40 or better and $p=0.728$ for BCVA 20/200 or worse)).

Post-vitrectomy events associated with a decreased odds of achieving BCVA of 20/40 or better at 6 months were persistent cystoid macular edema (CME) (OR 0.19, 95% CI 0.04 – 0.95, $p=0.043$) and retinal detachment (OR 0.16, 95% CI 0.03 – 0.78, $p=0.024$) (**Table 6**). Eyes with retinal detachment were also more likely to have BCVA of 20/200 or worse (OR 12.46, 95% CI 2.99 – 52, $p=0.001$) at 6 months.

Multivariate analyses

Multivariate logistic regression (**Table 7**) showed that pre-cataract surgery VA between 20/40 and 20/200, as well as of 20/200 or worse, predicted final VA at the

20/40 and 20/200 thresholds (all $p < 0.05$). Age ≥ 75 years (OR 0.31, 95% CI 0.16 – 0.61, $p = 0.001$), pre-existing DME (OR 0.21, 95% CI 0.06 – 0.78, $p = 0.02$), aphakia (OR 0.02, 95% CI 0.002 – 0.19, $p < 0.001$), and persistent CME (OR 0.08, 95% CI 0.01 – 0.53, $p = 0.009$) were also independent predictors of VA less than 20/40 at 6 months. The following were independent predictive factors of final VA of 20/200 or worse: vitrectomy at 2 to 4 weeks (OR 4.89, 95% CI 1.12 – 21.25, $p = 0.034$), vitrectomy > 4 weeks (OR 4.22, 95% CI 1.04 – 17.21, $p = 0.044$), and aphakia at 6 months (OR 21.31, 95% CI 4.80 – 94.71, $p < 0.001$).

Discussion

Our study provides contemporary outcomes of 23-gauge MIVS for retained lens fragments after complicated cataract surgery, showing that 62.9% achieved BCVA better than 20/40, and 15.9% worse than 20/200. These outcomes do not represent an improvement over prior studies conducted in predominantly 20-gauge vitrectomy cohorts.^{7,9,15-18,27-29} These outcomes are also not as good as established benchmarks in uncomplicated cataract surgery.³⁶⁻³⁸ Although there was a low incidence of major sight-threatening complications such as retinal detachment (3.1%) and suprachoroidal hemorrhage (1.4%), more frequent and less severe complications included escalation of glaucoma therapy (14.7%), de novo ocular hypertension (10%), and persistent CME (8.4%). A comprehensive multivariate analysis in this study also showed that final visual outcome after PPV is not independently associated with IOL type, timing of IOL placement, or post-PPV complications such as IOP elevations, transient CME, or retinal detachment. Poorer visual outcomes were however associated with a delay in surgery of more than 2 weeks and the development of post-PPV persistent CME.

We compared the outcomes in our study with pooled estimates calculated from all prior major studies predominantly using 20-gauge PPV for retained lens fragments (each comprising more than 100 patients).^{7,9,15-18,27-29} Ten studies involving 2,148 eyes were identified and included in the analysis. Despite the lower incidence of retinal detachment and CME in MIVS compared with 20-gauge cohorts, the pooled VA outcome of these studies was comparable to that of the current analysis (**Supplemental Table**). It is unclear why this appears to be the case given that our study indicates that persistent CME is a determinant of final vision in MIVS (**Table 7**) and as such we would have hoped to see our lower rate of CME translate into better visual outcomes. We are unable to ascertain as to why that might be as we do not have access to the raw data of the comparison group, however, it is possible that this reflects a selection or reporting bias of good results. There are a number of factors known to increase the incidence of macular edema and it is possible that the lower CME rates seen with MIVS surgery reflect differences in clinical practice in the overall management of complicated cataract (e.g., improved phacoemulsification and anterior vitrectomy instrumentation) and in the use of 23G PPV. The sutureless technique and shorter surgical time with 23-gauge surgery may allow reduced intraocular inflammation. The lower retinal detachment rates in our cohort compared to that in the 20-gauge vitrectomy studies may reflect that MIVS is associated with less sclerotomy-related breaks. Although sclerostomy ports were enlarged to allow the use of the phacofragmatome this was only performed following full vitrectomy and clearance of anterior vitreous gel at the chosen sclerotomy. The

rate of retinal detachment seen in this study is indistinguishable from retinal detachment rates reported elsewhere following elective MIVS which supports that MIVS for removal of retained lens fragments has a different risk profile to that seen in 20-gauge PPV.³⁹

Although the definitions of glaucoma were not standardized across all studies, our rates of de novo ocular hypertension (10%) and escalation of glaucoma therapy (14.7%) appear much higher than in prior cohorts (**Supplemental Table**). Encouragingly, in the current study, IOP elevations per se did not necessarily indicate poorer VA outcomes. It is likely that transient IOP elevations are caused by trabeculitis and outflow obstruction from lens particles in the anterior chamber,⁴⁰ or steroid response.⁴¹ It is also plausible that despite anterior vitrectomy for prolapsed vitreous gel in the anterior chamber, residual vitreous persisted causing outflow obstruction. A delay in PPV surgery could also account for the IOP elevation as several authors noted higher rates of secondary glaucoma with later surgery than same-day surgery.^{18,42,43} Wilkinson and Green provided a clinicopathologic perspective by the observation of increased lens particle-induced inflammatory cells with delay in surgery, suggesting a potential benefit of earlier PPV.⁴⁴ Thus, a comprehensive and separate investigation of factors associated with de novo ocular hypertension and escalation of glaucoma therapy is currently being undertaken by our group.

Post-PPV macular holes have been previously reported.⁴⁵ The macular holes in our series were large, showed no cystoid changes at the margins of the hole, and in some cases had overhanging edges (**Supplemental Figure**). In all these cases, the phacofragmatome had been used. Development of macular hole following phacofragmatome has not been described previously. It is possible that this occurred at the time of PVD induction or as a result of direct trauma from transmitted ultrasonic energy from a non-occluded fragmatome port.

Persistent CME remains a key predictor of poorer visual outcomes in 23-gauge PPV for retained lens fragments despite medical management with topical steroids and NSAIDs. A major limitation of treatment escalation with intravitreal depot dexamethasone (i.e. Ozurdex) is the lack of a posterior capsule which might allow anterior migration of the implant. The treatment of recalcitrant CME is evolving with the potential use of immunomodulatory agents, including infliximab and interferon alpha,^{46,47} however the management remains challenging. This study suggests that further investigations into the prevention and management of post-PPV CME would be of benefit.

A final aphakic status was associated with VA outcomes of 20/200 or worse. This largely reflects a clinical or patient decision not to have secondary lens insertion when the prognosis was poor in the current study, for example geographic atrophy or macular scarring. Other complications observed in patients with visual acuity of less than 20/200 included macular pathology such as macular hole and retinal detachment involving the macula. Whilst each of these complications were not shown to be determinants of functional outcomes individually, this is likely to be due to the small numbers involved. Our results indicate that it is of clinical interest to reduce these complications to optimize visual results

Our study indicates that patients having surgery more than 2 weeks following complicated cataract surgery have worse visual outcomes compared with more timely surgery. This might reflect upregulation of adverse inflammatory mediators and pathways that occurs around this time,⁴⁸ potentially leading to worse outcomes. The optimal timing of PPV after complicated cataract surgery has been intensively debated and to date there is still no consensus.^{3,27,29,33,34} Vanner and associates conducted a meta-analysis and systematic review involving more than 50 cohorts, and observed a general trend for better visual outcomes and reduced complication rates (including retinal detachment and IOP elevation) when PPV was conducted earlier.³⁵ They concluded that vitrectomy performed within the first week was preferred. In a large cohort of 569 eyes, Modi and associates reviewed the timing of PPV (same day, within 1 week, and later than 1 week) and found no differences in visual outcomes and surgical complications between all 3 groups.¹⁵ In both these and other prior studies, the impact of delaying PPV to specifically more than 2 weeks after cataract surgery was not analyzed.²⁴

In this study, the timing of PPV was based on institutional practice allowing surgeon assessment of corneal clarity to be the primary arbiter for time to surgery. Corneal clarity per se was not a determinant of poor visual outcomes as long as surgery could be completed within 2 weeks. These results are based on a multivariate analysis, which seeks to remove the confounding effect of other markers of severity, on the association between time to vitrectomy and VA outcomes but we cannot exclude the possibility of selection bias that might reflect the influence of other comorbidities or surgeon factors. The results indicate that efforts to prevent and hasten reduction of corneal edema (e.g., topical sodium chloride 5%, intensive treatment of anterior uveitis), in order that PPV can be performed within 2 weeks, may be important to improve outcomes.

Our analysis suggests that neither the timing of IOL placement nor IOL type (sulcus or capsular-bag posterior chamber IOL, anterior chamber, iris- or scleral-fixated IOLs) impacted VA outcomes, contrasting with some prior studies.^{18,27} Ho and associates showed that PCIOL placement at the time of cataract surgery predicted better final vision,¹⁸ and Scott and associates demonstrated that eyes with an IOL placed had better final VAs.²⁷ These formed the basis of recommendations to place an IOL, if at all possible. However, the timing of IOL placement is probably merely a surrogate marker for the severity of complications. IOL placement during cataract surgery is only usually possible when the complications during cataract surgery are only in eyes with less severe complicated cataract surgery and if there is enough capsular support. If capsule rupture occurred later in the cataract procedure (i.e., during epinucleus or cortical removal), there may remain sufficient capsular support to place an IOL in the sulcus or bag. These eyes would likely also have less vitreous loss,⁴⁸ and conceivably a lower likelihood of adverse post-operative outcomes (e.g., corneal edema, elevated IOP, and uveitis).

The main limitation of this study is the retrospective study design and thus selection bias could have been introduced. Although decisions on PPV timing were based on ascertainment of corneal clarity, physician preferences based on vitreous loss, uveitis severity, and nucleus size may also be confounders for the association between PPV timing and VA outcome. The retrospective study design precluded

accurate collection of such data. Other potential surrogate markers that we could measure such as pre-vitreotomy IOP did not show any difference.

In conclusion, data from this large cohort of eyes suggests that 23-gauge MIVS currently achieves comparable VA outcomes as 20-gauge surgery in eyes with retained lens fragments. These eyes have poorer VA outcomes than eyes with uncomplicated cataract surgery. Similar VA outcomes were achieved among eyes in our study when PPV is performed within 2 weeks of cataract surgery. Significantly more eyes in this cohort had poor VA of worse than 20/200 if PPV was conducted more than 2 weeks after cataract surgery.

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Journal Pre-proof

Figure Captions

Figure. Pre-cataract surgery and 6-month post-virtectomy categorical visual acuity (VA) data. Pre-cataract surgery, 39.5%, 35.1%, and 25.4% of eyes had VA of 20/40 or better, between 20/40 and 20/200, and 20/200 or worse, respectively. At 6 months, 62.9% of the eyes achieved 20/40 or better VA, while only 21.6% and 15.5% of the eyes had VA between 20/40 and 20/200 and 20/200 or worse, respectively.

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Table 1. Baseline Demographic and Clinical Characteristics

| Variable | Total Cohort (n = 291) |
|---|------------------------|
| Demographic characteristics | |
| Age (years), Mean \pm SD | 73.8 \pm 10.6 |
| Male, N (%) | 161 (55.3) |
| Left eye, N (%) | 154 (52.9) |
| Ethnicity, N (%) | |
| Caucasian | 139 (47.8) |
| African | 38 (13.1) |
| Asian | 86 (29.6) |
| Other ethnicities | 28 (9.6) |
| Clinical Characteristics | |
| Pre-cataract surgery logMAR VA, Mean \pm SD | 0.73 \pm 0.70 |
| Indication for fragmatome lensectomy, N (%) | |
| Posterior capsule rupture | 264 (90.7) |
| Zonular dehiscence | 27 (9.3) |
| Pre-existing ocular comorbidities, N (%) | |
| Significant corneal pathology ^a | 5 (1.7) |
| Significant macular pathology ^b | 30 (10.3) |
| Any retinal pathology ^c | 55 (18.9) |
| Glaucoma | 32 (11.0) |
| Pre-vitrectomy IOP (mmHg), Mean \pm SD | 18.8 (7.6) |
| Pre-vitrectomy logMAR VA, Mean \pm SD | 1.43 \pm 0.79 |
| Time to surgery (days), Mean \pm SD | 8.3 \pm 21.5 |
| Prior treatment, N (%) | |
| Pre-existing intravitreal therapy course | 14 (4.8) |
| Prior vitrectomy ^d | 15 (5.2) |
| Glaucoma filtration surgery | 7 (2.4) |

IOP = intraocular pressure; logMAR = logarithm of the minimum angle of resolution; mmHg = millimetre mercury; SD = standard deviation; VA = visual acuity.

^a 1 eye with keratoconus and Fuch's dystrophy, 1 eye had keratoconus treated with penetrating keratoplasty, 1 eye had corneal scar from previous microbial keratitis, 1 eye had corneal blood staining, 1 eye had birth forceps injury requiring penetrating keratoplasty which subsequently failed.

^b 12 eyes had wet age-related macular degeneration, 16 with diabetic macular edema, and 2 had prior active myopic choroidal neovascularization.

^c 41 eyes had diabetic retinopathy, 12 had previous retinal detachment, and 2 had retinal vein occlusions.

^d 11 eyes for retinal detachment and 4 eyes for diabetic vitreous hemorrhage.

Table 2. Vitrectomy Operative Characteristics

| Variable | Total Cohort (n = 291) |
|------------------------------------|------------------------|
| Phacoemulsification used, N (%) | 186 (63.9) |
| Timing of IOL implantation, N (%) | |
| During primary phacoemulsification | 102 (35.1) |
| During vitrectomy/lensectomy | 87 (29.9) |
| After vitrectomy/lensectomy | 84 (28.9) |
| Left aphakic | 18 (6.2) |
| IOL type, N (%) ^a | |
| Sulcus PCIOL | 182 (66.7) |
| Capsular bag PCIOL | 3 (1.1) |
| ACIOL | 71 (26.0) |
| Iris-fixated IOL | 7 (2.6) |
| Scleral-fixated IOL | 10 (3.7) |

ACIOL = anterior chamber intraocular lens; IOL = intraocular lens; PCIOL = posterior chamber intraocular lens.

^a Aphakic eyes not included in percentage calculations.

Table 3. Post-vitrectomy Visual Outcomes and Complications at 6 Months

| Variable | Total Cohort (n = 291) |
|--|------------------------|
| LogMAR VA, Mean \pm SD | 0.46 \pm 0.63 |
| Change in logMAR VA from pre-cataract surgery, Mean \pm SD | -0.27 \pm 0.75 |
| IOP (mmHg), Mean \pm SD | 16.0 \pm 4.9 |
| Post-vitrectomy complications, N (%) | |
| Persistent corneal edema | 8 (2.7) |
| De novo ocular hypertension | 26 (10) ^a |
| Escalation of glaucoma therapy | 17 (53.1) ^b |
| Persistent CME | 8 (2.7) |
| Transient CME | 25 (8.6) |
| Retinal detachment | 9 (3.1) |
| Macular hole | 4 (1.4) |
| Endophthalmitis | 1 (0.3) |
| Suprachoroidal hemorrhage | 4 (1.4) |

CME = cystoid macular edema; IOP = intraocular pressure; logMAR = logarithm of the minimum angle of resolution; mmHg = millimetre mercury; SD = standard deviation; VA = visual acuity.

^a There were 259 eyes with no pre-existing glaucoma.

^b There were 32 eyes with pre-existing glaucoma.

Table 4. Univariate Analysis of Pre-cataract Surgery Factors and Pre-vitrectomy Characteristics as Predictors of Visual Outcomes

| Variable | N | VA 20/40 or better (%) | OR (95% CI) | p-value | VA 20/200 or worse (%) | OR (95% CI) | p-value |
|--|-----|------------------------|--------------------|---------|------------------------|---------------------|------------------|
| Pre-cataract surgery VA | | | | | | | |
| 20/40 or better | 115 | 102 (89) | ref | | 2 (2) | ref | |
| Worse than 20/40 but better than 20/200 | 102 | 51 (50) | 0.13 (0.06 – 0.26) | <0.001 | 19 (19) | 12.93 (2.93 – 57) | 0.001 |
| 20/200 or worse | 74 | 30 (41) | 0.09 (0.04 – 0.18) | <0.001 | 24 (32) | 27.12 (6.17 – 119) | <0.001 |
| Age | | | | | | | |
| Less than 75 | 133 | 96 (72) | ref | | 17 (13) | ref | |
| More than or equal 75 | 158 | 87 (55) | 0.47 (0.29 – 0.77) | 0.003 | 28 (18) | 1.47 (0.77 – 2.82) | 0.247 |
| Ethnicity | | | | | | | |
| White | 139 | 85 (61) | ref | | 23 (16) | ref | |
| Black | 38 | 24 (63) | 1.09 (0.52 – 2.29) | 0.822 | 7 (18) | 1.14 (0.45 – 2.90) | 0.785 |
| Asian | 86 | 57 (66) | 1.25 (0.71 – 2.19) | 0.439 | 13 (15) | 0.90 (0.43 – 1.88) | 0.776 |
| Other | 28 | 17 (61) | 0.98 (0.43 – 2.26) | 0.966 | 2 (7) | 0.39 (0.09 – 1.75) | 0.388 |
| Sex | | | | | | | |
| Female | 130 | 75 (58) | ref | | 23 (18) | ref | |
| Male | 161 | 108 (67) | 1.49 (0.93 – 2.41) | 0.100 | 22 (14) | 0.74 (0.39 – 1.39) | 0.346 |
| Indication for vitrectomy/lensectomy | | | | | | | |
| Posterior capsule rupture | 264 | 171 (65) | ref | | 40 (15) | ref | |
| Zonular dehiscence | 27 | 12 (44) | 0.44 (0.20 – 0.97) | 0.041 | 5 (19) | 1.27 (0.46 – 3.56) | 0.646 |
| Significant corneal pathology | | | | | | | |
| No | 286 | 182 (64) | ref | | 42 (15) | ref | |
| Yes | 5 | 1 (20) | 0.14 (0.02 – 1.30) | 0.084 | 3 (60) | 8.71 (1.41 – 53.73) | 0.020 |
| Wet age-related macular degeneration | | | | | | | |
| No | 279 | 180 (65) | ref | | 42 (15) | ref | |
| Yes | 12 | 3 (25) | 0.18 (0.05 – 0.69) | 0.012 | 3 (25) | 1.88 (0.49 – 7.24) | 0.358 |
| Diabetic macular edema | | | | | | | |
| No | 275 | 178 (65) | ref | | 42 (15) | ref | |
| Yes | 16 | 5 (31) | 0.25 (0.08 – 0.73) | 0.012 | 3 (19) | 1.28 (0.35 – 4.69) | 0.709 |
| Myopic macular degeneration | | | | | | | |
| No | 289 | 182 (63) | ref | | 45 (16) | | |
| Yes | 2 | 1 (50) | 0.59 (0.04 – 9.50) | 0.708 | 0 (0) | n/a ^a | n/a ^a |
| Retinal detachment before cataract surgery | | | | | | | |
| No | 278 | 177 (64) | ref | | 41 (15) | ref | |
| Yes | 13 | 6 (46) | 0.49 (0.16 – 1.50) | 0.210 | 4 (31) | 2.57 (0.76 – 8.73) | 0.131 |
| Diabetic retinopathy | | | | | | | |
| No | 249 | 162 (65) | ref | | 37 (15) | ref | |
| Yes | 42 | 21 (50) | 0.54 (0.28 – 1.04) | 0.064 | 8 (19) | 1.35 (0.58 – 3.14) | 0.489 |
| Retinal vein occlusion | | | | | | | |
| No | 289 | 182 (63) | ref | | 44 (15) | ref | |
| Yes | 2 | 1 (50) | 0.59 (0.04 – 9.50) | 0.708 | 1 (50) | 5.57 (0.34 – 91) | 0.228 |
| Prior intravitreal therapy | | | | | | | |
| No | 277 | 181 (65) | ref | | 43 (16) | ref | |
| Yes | 14 | 2 (14) | 0.09 (0.02 – 0.40) | 0.002 | 2 (14) | 0.91 (0.20 – 4.20) | 0.901 |
| Pre-vitrectomy characteristics | | | | | | | |
| Pre-vitrectomy VA | | | | | | | |
| Better than 20/200 (logMAR VA < 1) | 77 | 60 (78) | ref | | 4 (5) | ref | |
| Worse than 20/200 but better than CF (1 ≤ logMAR VA < 2) | 115 | 78 (68) | 0.60 (0.31 – 1.16) | 0.129 | 9 (8) | 1.55 (0.46 – 5.22) | 0.480 |
| CF or worse (logMAR VA ≥ 2) | 97 | 45 (46) | 0.25 (0.13 – 0.48) | <0.001 | 32 (33) | 8.99 (3.02 – 26.77) | <0.001 |
| Pre-vitrectomy IOP | | | | | | | |
| ≤ 25 mmHg | 231 | 144 (62) | ref | | 33 (14) | ref | |
| > 25 mmHg | 60 | 39 (65) | 1.12 (0.62 – 2.03) | 0.704 | 12 (20) | 1.50 (0.72 – 3.12) | 0.278 |

CI = confidence interval; OR = odds ratio; VA = visual acuity.

^a Insufficient cases in one of the subcategories to conduct the statistical analysis.

Table 5. Univariate Analysis of Intra-Vitrectomy Factors as Predictors of Visual Outcomes

| Variable | N | VA 20/40 or better (%) | OR (95% CI) | p-value | VA 20/200 or worse (%) | OR (95% CI) | p-value |
|------------------------------------|-----|------------------------|---------------------|---------|------------------------|----------------------|---------|
| Time to surgery | | | | | | | |
| Less than 1 week | 201 | 128 (64) | ref | | 25 (12) | ref | |
| 1 week but less than 2 weeks | 62 | 41 (66) | 1.11 (0.61 – 2.03) | 0.725 | 10 (16) | 1.35 (0.61 – 3.00) | 0.456 |
| 2 weeks but less than 4 weeks | 14 | 7 (50) | 0.57 (0.19 – 1.69) | 0.311 | 5 (36) | 3.91 (1.21 – 12.61) | 0.022 |
| 4 weeks or more | 14 | 7 (50) | 0.57 (0.19 – 1.69) | 0.311 | 5 (36) | 3.91 (1.21 – 12.61) | 0.022 |
| Timing of IOL implantation | | | | | | | |
| During primary phacoemulsification | 102 | 77 (76) | ref | | 8 (8) | ref | |
| During vitrectomy | 87 | 52 (60) | 0.48 (0.26 – 0.90) | 0.022 | 12 (14) | 1.88 (0.73 – 4.84) | 0.190 |
| After vitrectomy | 84 | 53 (63) | 0.56 (0.30 – 1.05) | 0.068 | 11 (13) | 1.77 (0.68 – 4.63) | 0.244 |
| Phacofragmatome used | | | | | | | |
| No | 105 | 71 (68) | ref | | 13 (12) | ref | |
| Yes | 186 | 112 (60) | 0.73 (0.44 – 1.20) | 0.210 | 32 (17) | 1.47 (0.73 – 2.95) | 0.276 |
| IOL type | | | | | | | |
| Sulcus IOL or capsular IOL | 185 | 129 (70) | ref | | 17 (8) | ref | |
| ACIOL | 71 | 44 (62) | 0.71 (0.40 – 1.25) | 0.236 | 12 (17) | 2.01 (0.91 – 4.46) | 0.086 |
| Iris-fixated or scleral-fixated | 17 | 9 (53) | 0.49 (0.18 – 1.33) | 0.161 | 2 (12) | 1.32 (0.28 – 6.25) | 0.728 |
| Phakic status | | | | | | | |
| Pseudophakic | 273 | 182 (67) | ref | | 31 (11) | ref | |
| Aphakic | 18 | 1 (6) | 0.03 (0.004 – 0.22) | < 0.001 | 14 (78) | 27.32 (8.46 – 88.25) | < 0.001 |

ACIOL = anterior chamber intraocular lens; CI = confidence interval; IOL = intraocular lens; OR = odds ratio; VA = visual acuity.

Table 6. Univariate Analysis of Post-vitreotomy Complication as Predictors of Visual Outcomes

| Variable | N | VA 20/40 or better (%) | OR (95% CI) | p-value | VA 20/200 or worse (%) | OR (95% CI) | p-value |
|--|-----|------------------------|--------------------|------------------|------------------------|---------------------|------------------|
| Persistent cystoid macular edema | | | | | | | |
| No | 283 | 181 (64) | ref | | 44 (16) | ref | |
| Yes | 8 | 2 (25) | 0.19 (0.04 – 0.95) | 0.043 | 1 (13) | 0.78 (0.09 – 6.46) | 0.815 |
| Transient cystoid macular edema | | | | | | | |
| No | 266 | 168 (63) | ref | | 42 (16) | ref | |
| Yes | 25 | 15 (60) | 0.88 (0.38 – 2.02) | 0.755 | 3 (12) | 0.73 (0.21 – 2.54) | 0.616 |
| Retinal detachment | | | | | | | |
| No | 282 | 181 (64) | ref | | 39 (14) | ref | |
| Yes | 9 | 2 (22) | 0.16 (0.03 – 0.78) | 0.024 | 6 (67) | 12.46 (2.99 – 52) | 0.001 |
| Persistent corneal edema | | | | | | | |
| No | 283 | 183 (65) | ref | | 42 (15) | ref | |
| Yes | 8 | 0 (0) | n/a ^a | n/a ^a | 3 (38) | 3.44 (0.79 – 14.95) | 0.099 |
| De novo glaucoma in eyes with no pre-existing glaucoma ^b | | | | | | | |
| No | 233 | 149 (64) | ref | | 34 (15) | ref | |
| Yes | 26 | 14 (54) | 0.66 (0.29 – 1.49) | 0.314 | 6 (23) | 1.76 (0.66 – 4.69) | 0.261 |
| Escalation of glaucoma in eyes with pre-existing glaucoma ^c | | | | | | | |
| No | 18 | 12 (67) | ref | | 2 (11) | ref | |
| Yes | 14 | 8 (57) | 0.67 (0.16 – 2.82) | 0.582 | 3 (21) | 2.18 (0.31 – 15.29) | 0.432 |
| Endophthalmitis | | | | | | | |
| No | 290 | 183 (63) | ref | | 44 (15) | ref | |
| Yes | 1 | 0 (0) | n/a ^a | n/a ^a | 1 (100) | n/a ^a | n/a ^a |
| Iatrogenic macular hole | | | | | | | |
| No | 287 | 183 (64) | ref | | 43 (15) | ref | |
| Yes | 4 | 0 (0) | n/a ^a | n/a ^a | 2 (50) | 5.67 (0.78 – 41.37) | 0.087 |
| Suprachoroidal hemorrhage | | | | | | | |
| No | 287 | 183 (64) | ref | | 43 (15) | ref | |
| Yes | 4 | 0 (0) | n/a ^a | n/a ^a | 2 (50) | 5.67 (0.78 – 41.37) | 0.087 |

CI = confidence interval; IOL = intraocular lens; OR = odds ratio; VA = visual acuity.

^a Not enough cases in one of the subcategories to conduct statistical analysis.

^b There were 259 eyes with no pre-existing glaucoma.

^c There were 32 eyes with pre-existing glaucoma.

Table 7. Multivariate analysis of Predictive Factors of Visual Outcomes

| Variable | VA 20/40 or better ^a | | VA 20/200 or worse ^a | |
|--|---------------------------------|---------|---------------------------------|---------|
| | OR (95% CI) | p-value | OR (95% CI) | p-value |
| Pre-cataract surgery VA | | | | |
| 20/40 or better | ref | | ref | |
| Worse than 20/40 but better than 20/200 | 0.14 (0.06 – 0.32) | <0.001 | 7.83 (1.57 – 39.07) | 0.012 |
| 20/200 or worse | 0.12 (0.05 – 0.31) | <0.001 | 14.53 (2.89 – 73.11) | 0.001 |
| Age | | | | |
| Less than 75 | ref | | - | |
| More than or equal 75 | 0.31 (0.16 – 0.61) | 0.001 | - | - |
| Prior intravitreal therapy | | | | |
| No | ref | | - | |
| Yes | 0.23 (0.03 – 1.51) | 0.126 | - | - |
| Pre-existing significant corneal pathology | | | | |
| No | - | | ref | |
| Yes | - | - | 5.02 (0.38 – 66.17) | 0.220 |
| Pre-existing wet age-related macular degeneration | | | | |
| No | ref | | - | |
| Yes | 0.52 (0.08 – 3.16) | 0.476 | - | - |
| Pre-existing diabetic macular edema | | | | |
| No | ref | | - | |
| Yes | 0.21 (0.06 – 0.78) | 0.020 | - | - |
| Pre-vitrectomy VA categories | | | | |
| better than 20/200 (logMAR < 1) | ref | | ref | |
| worse than 20/200 but better than 20/2000 (1 ≤ logMAR < 2) | 0.76 (0.33 – 1.79) | 0.537 | 0.86 (0.22 – 3.35) | 0.826 |
| 20/2000 or worse (2 ≤ logMAR) | 0.46 (0.19 – 1.14) | 0.093 | 3.05 (0.85 – 11.01) | 0.088 |
| Indication for vitrectomy/lensectomy | | | | |
| Posterior capsule rupture | ref | | - | |
| Zonular dehiscence | 0.47 (0.18 – 1.25) | 0.131 | - | - |
| Time to surgery | | | | |
| Less than 1 week | - | | ref | |
| 1 week but less than 2 weeks | - | - | 1.75 (0.61 – 5.01) | 0.294 |
| 2 weeks but less than 4 weeks | - | - | 4.89 (1.12 – 21.25) | 0.034 |
| 4 weeks or more | - | - | 4.22 (1.04 – 17.21) | 0.044 |
| Timing of IOL implantation | | | | |
| During primary phacoemulsification | ref | | - | |
| During vitrectomy | 0.58 (0.26 – 1.33) | 0.201 | - | - |
| After vitrectomy | 0.61 (0.22 – 1.66) | 0.334 | - | - |
| Phakic status | | | | |
| Pseudophakic | ref | | ref | |
| Aphakic | 0.02 (0.002 – 0.19) | 0.001 | 21.31 (4.80 – 94.71) | <0.001 |
| Retinal detachment | | | | |
| No | - | | ref | |
| Yes | - | - | 6.49 (0.89 – 47.54) | 0.066 |
| Persistent cystoid macular edema | | | | |
| No | ref | | - | |
| Yes | 0.08 (0.01 – 0.53) | 0.009 | - | - |

ACIOL = anterior chamber intraocular lens; CI = confidence interval; IOL = intraocular lens; OR = odds ratio; VA = visual acuity.

^a Dashes “-” indicate that the variable was not significant in the univariate analysis for this outcome and was not included in the multivariate analysis model.

