

# SUBSTITUTED NAPHTHALENEDIIMIDE COMPOUNDS BIND SELECTIVELY TO TWO HUMAN QUADRUPLEX STRUCTURES WITH PARALLEL TOPOLOGY

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In memory of Professor Maurizio Botta, a superb scientist and wonderful friend.

## **ABSTRACT**

The interactions are reported of three representative naphthalenediimide derivatives with three quadruplex targets, from the promoter region of the telomerase (hTERT) gene, a human telomeric DNA quadruplex and a telomeric RNA quadruplex (TERRA). Thermal melting studies showed that these compounds strongly stabilize the quadruplexes, with weak stabilization of a duplex DNA. Binding studies by surface plasmon resonance and fluorescence spectroscopy found that the compounds bind to the quadruplexes with nanomolar equilibrium dissociation constants. Plausible topologies for the quadruplex complexes were deduced from CD spectra, which together with the surface plasmon resonance data indicate that the quadruplexes with parallel quadruplex folds are preferred by two compounds, which was confirmed by qualitative molecular modelling.

## INTRODUCTION

Quadruplex (G4) nucleic acids are higher-order four-stranded arrangements formed around guanine quartets.<sup>1,2</sup> Their occurrence in the human genome at telomere ends<sup>3</sup> and within promoter sequences<sup>4</sup> of cancer initiation and progression genes,<sup>1,5-7</sup> is currently the focus of efforts to develop G4 therapeutics.<sup>8-11</sup> One approach involves tandem telomeric DNA repeats of the sequence TTAGGG, which can be folded into G4s by appropriate G4-binding small molecules, which then inhibit telomerase enzyme complex activity.<sup>12,13</sup> Telomerase plays a major role in tumorigenesis with up-regulated expression in the majority of human cancers.<sup>14</sup> Promoter mutations of hTERT, the catalytic sub-unit gene of telomerase, occur in several human cancers.<sup>15</sup> The G4-forming sequences in this promoter<sup>16-19</sup> can be the target of G4-selective small molecules,<sup>20</sup> inhibiting hTERT expression. Both telomere and hTERT G4 targeting can produce cancer cell growth inhibition and anti-tumour activity.<sup>20,21</sup>

Bioinformatics<sup>22,23</sup> and sequencing-based<sup>6,24</sup> estimates of the number of putative G4 structures present in the human genome suggest widespread occurrence. An experimental study on active chromatin<sup>6</sup> suggesting ca 10,000 occurrences, is the most realistic to date. However there is no current methodology to reliably predict the folding of all G4s. A topology with parallel G4 strands is consistent with the presence of short loops in a G4.<sup>23,25</sup> Many such G4s encoded in cancer-related gene promoter sequences may form parallel-stranded G4s, as do the majority of RNA G4s, including those formed by human telomeric (TERRA) RNA sequences.<sup>26-28</sup> Human telomeric DNA G4 sequences can fold into a variety of topologies, with parallel,<sup>29</sup> anti-parallel and mixed strand orientations.<sup>30,31</sup> Crystallographic and/or NMR structural data are available for

some of these G4s, though fewer on G4 complexes with small molecules.<sup>32-35</sup> These show a consistent pattern of binding geometry, with the planar groups of a typical G4 ligand being stacked onto a terminal G-quartet of a G4 and the ligand side-chains (typically cationic-charged), residing in grooves or loops of the G4. Since much of the binding energy of a G4 ligand is driven by  $\pi$ - $\pi$  stacking to a terminal G-quartet, the accessibility to its planar surface is an important determinant of overall ligand binding. Accessibility is dependent on G4 topology,<sup>36</sup> with the human telomeric parallel G4<sup>29</sup> providing a greater solvent-accessible surface area than (3+1) mixed parallel-antiparallel arrangements.

A number of substituted naphthalenediimide (ND) derivatives have been reported, that can target G4s with high affinity and induce a range of biological effects in cells.<sup>37-43</sup> The present study focusses on a group of three bio-active ND compounds from successive generations of ND development (Figure 1).<sup>41-43</sup> All have cellular and *in vivo* anti-tumour activity ascribable to G4 targeting. Two, CM03 and SOP1812 have been shown to target multiple cancer-related G4s.<sup>41,42</sup> G4-specific antibody immunofluorescence staining has suggested that both CM03 and SOP1812 increase cellular G4 occurrence. We report here on the G4-binding properties of these compounds and implications for G4-ND drug design.

## RESULTS

Three G4-forming sequences were used:

hTERT promoter sequence 5'-AGG GGA GGG GCT GGG AGG GC-3' (G4-hTERT);

telomeric RNA 5'-GGG UUA GGG UUA GGG UUA GGG-3' (G4-TERRA);

telomeric DNA 5'-GGG TTA GGG TTA GGG TTA GGG-3' (G4-HTR).

Experimental details are in the Supplementary Information.

*Thermal stability studies.* UV melting<sup>44</sup> (Figure S1) was used to estimate the ability of the compounds to stabilize DNA G4s and a control duplex DNA (a TERT hairpin duplex containing the hTERT sequence plus the complementary strand and a terminal hairpin). Ligand:DNA ratios beyond 1:1 result in inconsistent melting curves (data not shown), possibly caused by aggregation - MM41 and G4-hTERT also gave inconsistent results at a 1:1 ratio. Since MM41 and SOP1812 have similar structures, the former's  $\Delta T_m$  (Table 1) value is likely to be closer to that of SOP1812. All compounds are selective for the G4 DNA sequences, with minimal changes in  $\Delta T_m$  with the hairpin duplex. All show a preference for stabilising G4-hTERT, with SOP1812 having the greatest  $\Delta T_m$ , of 18°C.

*Surface plasmon resonance analyses.* SPR methodology<sup>45</sup> provided quantitative binding data for the compounds with the G4s (Figures 2, 3). All have consistently low nM  $K_D$  values with G4-hTERT. For SOP1812 with G4-hTERT, the sensorgrams show slow dissociation (Figure 2A), compared with faster association/dissociation with G4-HTR (Figure 2B). Sensorgram results with CM03 are suggestive of rapid association/dissociation for G4-hTERT and G4-HTR sequences. MM41 also shows nanomolar binding affinity toward both G4 DNAs. The sensorgrams with MM41 (Figure S3) do not show a regular dose-response pattern, possibly as a result of nonspecific interactions and/or adsorption of the compound at high concentrations on the sensor chip surface, although the data was fitted to a steady-state plot giving reliable  $K_D$  values.

The dissociation of CM03 from G4-hTERT is nanomolar (Table 2) although that to G4-HTR is weaker. The  $K_D$  is 82 nM, ie about 7-fold lower. The results for SOP1812

show greater dissociation from G4-hTERT ( $K_D = 4$  nM), also compared to G4-HTR ( $K_D = 28$  nM). The differences in  $RU_{\text{bound}}$  for these two compounds binding to G4-hTERT compared to G4-HTR, might indicate higher stoichiometry ( $> 1:1$  binding ratio) for interaction with G4-hTERT. The steady-state fit does not improve significantly with a 2:1 binding model, with the dissociation (i.e.,  $K_{D1}$  and  $K_{D2}$ ) within 5-fold range of the  $K_D$  values obtained by single-site fitting (data not shown). This result suggests there is an additional equivalent binding site available on G4-hTERT, but not on G4-HTR.

SPR showed weak duplex DNA interactions for SOP1812 and CM03 (Figure S2). The sensorgrams suggest that generic non-specific interactions take place between compounds and duplex, with rapid dissociation/association. A steady-state was not reached at saturation even at high compound concentrations ( $\leq 100$  nM). This could be due to electrostatic interactions between the positively-charged compounds with negative duplex DNA. Approximate  $K_D$  values are estimated to be  $> 100$  nM for both compounds.

Interactions with G4-TERRA RNA G4 were also studied by SPR (

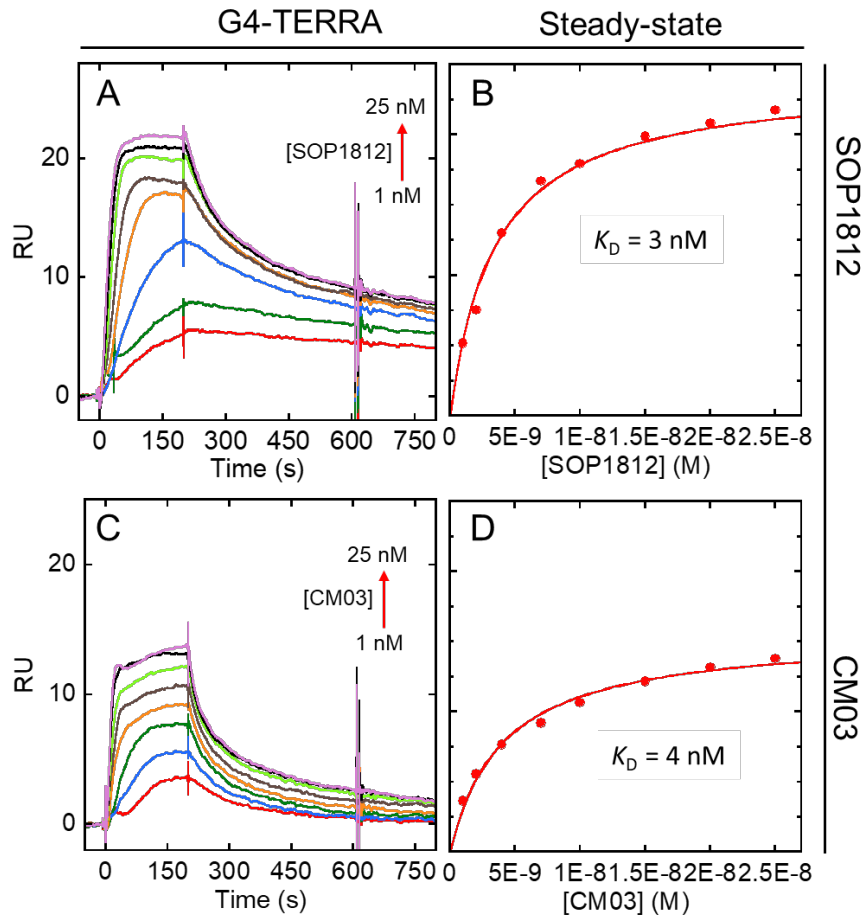


Figure ). Sensorgrams with CM03 and SOP1812 gave low nM  $K_D$  values. Steady-state analysis (Figures 3B, D) suggests that the observed  $RU_{\text{bound}}$  indicate that higher stoichiometries may exist. Analogous to G4-hTERT, steady-state fit with a 2:1 binding model resulted in two similar low nM  $K_D$  values for SOP1812 and CM03, indicating a possible secondary independent binding site on G4-TERRA. Since hTERT and TERRA adopt parallel quadruplex structures, it is possible that the additional binding sites in hTERT and TERRA are the consequence of two similar terminal quartets, as both compounds prefer to stack on the end terminal of G4 structures (*vide infra*).

*Fluorescence titration and anisotropy.* CM03 and SOP1812 have strong fluorescence emission signals ( $\lambda_{\text{ex}} = 525 \text{ nm}$  and  $\lambda_{\text{em}} = 580 \text{ nm}$  for SOP1812;  $\lambda_{\text{ex}} = 515 \text{ nm}$  and  $\lambda_{\text{em}} = 570 \text{ nm}$  for CM03) at nanomolar concentrations, making them suitable for determining binding affinities by fluorescence spectroscopy. The fluorescence titrations of CM03 and SOP1812 with the three G4s (

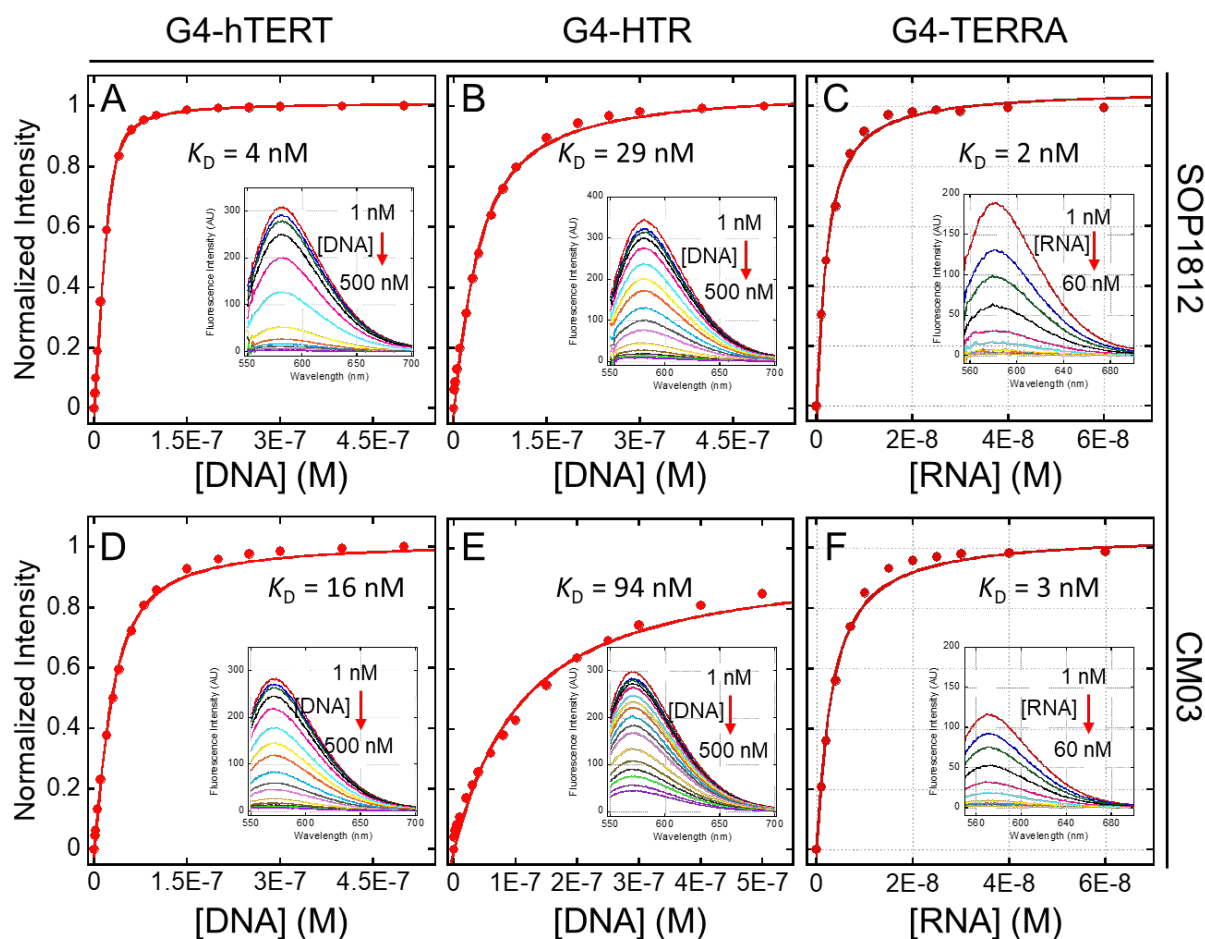


Figure ) show significant quenching of intensity on ligand binding, almost full fluorescence intensity is quenched in the titration.  $K_D$  values were obtained (Table 2) by fitting the change in the total intensity at the maxima  $\lambda_{\text{em}} = 570$  and  $580 \text{ nm}$  using equation 1 in Supplementary Information for a single binding site. Those for CM03 and SOP1812 are consistently in good agreement with  $K_D$  data from the SPR analyses.



MM41 has a strong fluorescence emission at the far end of visible light ( $\lambda_{\text{ex}} = 630$  nm and  $\lambda_{\text{em}} = 670$  nm). Unlike CM03 and SOP1812, the intrinsic fluorescence intensity of MM41 did not significantly quench upon G4 titration, making it suitable for a fluorescence anisotropy study: reasons for this are unclear. The interaction between MM41 and G4 can be observed since MM41 molecular size is small compared to the G4s (~600 Da versus ~ 6000 Da for the G4s). Figure 5 shows the binding curves of MM41 with G4-HTR, G4-TERT, and G4-TERRA, from fluorescence anisotropy measurements. MM41 shows strong binding with all three G4s, with nanomolar  $K_D$  values (Table 2), close to those from SPR, apart from that for G4-HTR, which differ by 5  $\sigma$ . This may be a real effect, possibly reflecting aggregation in these particular SPR experiments. Overall the SPR and fluorescent data for MM41 agree that it has less selectivity compared to CM03 and SOP1812.

*Circular Dichroism (CD) reveals ligand-bound G4 topologies.* The CD spectra for each native G4 (Figure 6) show unambiguously that G4-hTERT and G4-TERRA adopt a parallel topology in the conditions used, with peak maxima around 265 nm and minima around 245 nm.<sup>36</sup> The G4-HTR CD signal is consistent with a hybrid (3+1) form having three parallel and one antiparallel strands, with a maximum at 295 nm, a shoulder around 260 nm, and a negative peak at 240 nm.

The effects of titration of each compound on the CD spectra (Figure 6) do not show significant ICDs, up to a 4:1 ratio of compound:G4 (i.e., 20  $\mu\text{M}$  of compounds in 5  $\mu\text{M}$  G4), and at any wavelength. This suggests that all three bind to the two parallel G4s by stacking at G-quartet terminals, without inducing any G4 topology changes. Titration of the compounds to G4-HTR shows a decrease in G4 peak intensity at 265 nm while

other peaks at 295 nm and 240 remained unchanged. This suggests that the compounds might drive the (3+1) hybrid structure of G4-HTR to an anti-parallel structure at higher ligand concentrations, although no significant ICDs were apparent, consistent with end-quartet binding. In summary, all ligands bind to these G4s with minimal structural perturbation.

*Potential ligand-G4 structural arrangements.* Two crystal structures of MM41 are available, bound to a human telomeric G4 (PDB ids 3UYH, 4DA3).<sup>40</sup> The MM41 molecules (ND cores and substituents) in both structures are in closely-related positions, with  $\langle \text{rmsd} \rangle$  of 0.76 Å. 3UYH, with the G4-HTR, is at the higher resolution (1.95Å), and has (Figure 7A), the ND core end-stacked onto a terminal G-quartet and extensive overlap between core and guanine bases. MM41 substituents each reside in a G4 groove. These features were also observed in other co-crystal structures of NDs with human telomeric G4s,<sup>39,40</sup> demonstrating the consistency in binding mode of this family of ND compounds. This consensus binding pose suggests plausible structures for the complexes of all three compounds MM41, CM03 and SOP1812 bound to a parallel topology G4-hTERT (PDB id 2KZE).<sup>16</sup> G4-TERRA has a parallel topology, with UUA trinucleotide loops.<sup>27,28</sup> We have assumed that the overall structural features of the G4-TERRA complexes with the ND compounds would not vary significantly from those of G4-hTERT and have not been explored in detail. The G4-HTR sequences in these co-crystal structures adopt an all-parallel topology identical to that observed in the native crystal structure.

The plausible G4-hTERT complexes with the compounds (Figures 7B-D) retain the ND chromophore-G-quartet overlap seen in the MM41 crystal structures. The

positioning of MM41 and SOP1812, each with four substituents, on a terminal G-quartet of the G4-hTERT parallel structure, is severely restrained by the geometry of the loops, and the arrangements closely resemble that found experimentally for MM41 with G4-HTR (Figures 7 B, D). The side-chains reside at the mouths of the grooves, but none are sufficiently long to penetrate deeply into the grooves: The crystal structures show side-chains held in place by hydrogen bonds to water molecules populating the grooves. The arrangement for CM03 (Figure 7C), with three substituent arms, resembles these first three, albeit with the loss of potential contacts in a 4<sup>th</sup> groove. This design concept, of side-chains residing in grooves and in contact with loops, is analogous to that used in the design of the trisubstituted acridine compound BRACO19, as well as in the more recent design of several potent substituted macrocyclic G4 binding compounds.<sup>47-49</sup>

The solution CD data indicates that G4-HTR adopts a (3+1) hybrid mixed parallel-anti-parallel strand topology,<sup>31</sup> which is invariant on ligand binding, in the concentrations examined here. The NMR structure of a human telomeric G4-telomestatin analogue complex<sup>35</sup> (PDB id 2MB3), has been used to examine how ND compounds might interact with the (3+1) G4-HTR, with SOP1812 as the exemplar (Figure 7E). One side of the G-quartet binding site is bounded by a lateral TTA loop, which hinders the ND chromophore from fully stacking onto the quartet surface. Thus the ND-quartet overlap (Figure 7E) is less than that in the all-parallel structures (Figure 7A-D).

## CONCLUSIONS

We report here biophysical studies on three structurally-related ND compounds, each having cationic side-chains, which have been previously shown to possess potent

ability to inhibit proliferation in cancer cell lines and antitumour activity in animal models.<sup>41-43</sup> It is shown here that they also have high affinity for a small group of human G4 structures. Results from SPR are in consistently good agreement with those from fluorescence binding studies, so any effects due to aggregation or adsorption onto the SPR chip can be discounted, apart from some data with MM41. All three compounds have low nM  $K_D$  values with G4-hTERT and G4-TERRA. CM03 and SOP1812 bind 5-fold more weakly to G4-HTR comparing to G4-hTERT and G4-TERRA, in contrast to MM41. All bind quite weakly to a DNA duplex. A future goal will be to determine their cellular G4 affinities.

CD can define the overall conformation of a G4 and provides suggestive data on plausible binding modes for ligands upon complex formation using ICD signals. The absence of, or a weak ICD signal indicates that a compound induces little or no structural perturbation in the target G4, with end-stacking the most plausible binding mode, in accordance with crystallographic and NMR studies. A strong ICD signal indicates significant induced structural perturbation, such as that from groove changes or intercalation between G-quartets. The CD data shows that G4-hTERT and G4-TERRA adopt parallel topologies, in accord with NMR and crystallographic findings.<sup>16,27,28</sup> Data from this small panel of G4s suggests that G4 topology plays an important role in the strength of the interactions of these ND compounds. The preference for G4 parallel topology is supported by models of ND binding on to the 5' and 3' terminal G-quartets of G4-hTERT and G4-TERRA (Figure 7).

The concept, supported here by (limited) evidence from two human G4s, that high-affinity G4-binding small molecules may exhibit a preference for binding to multiple G4s

with parallel topology, is in agreement with recent cellular studies indicative of multiple targeting.<sup>37,42,50</sup> This may well find a role in the treatment of those complex human cancers characterized by the dysregulation of multiple G4-containing genes and pathways. Some small molecules can target individual driver genes via G4 formation,<sup>41,42-45</sup> although full transcriptome analyses have not been reported on these systems. Some G4 ligands can be selective<sup>51</sup> *in vitro* and maybe *in vivo*, as shown with hTERT,<sup>19</sup> c-KIT, KRAS, BCL2 and MYC G4s.<sup>52-56</sup> Since some of these genes are established drivers of particular cancers, targeting them may be useful in those instances, and perhaps also in early-stage disease prior to multiple gene dysregulation. All three compounds show anti-tumour activity *in vivo* against human cancer xenograft models. CM03 and SOP1812 show activity against the demanding KPC model for pancreatic cancer.<sup>42,43</sup> Pharmacological properties of these two compounds are surprisingly favorable<sup>43</sup>, given their relatively high molecular weight and burden of positive charge. This suggests that their combination of ND scaffold and particular side-chain substitution may confer sufficient efficacy for consideration in the future for clinical evaluation.

## **ASSOCIATED CONTENT**

### **Supporting Information**

Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acsmedchem.XXXXXX. It comprises: supplementary figures and extended descriptions of the methodology for the biophysical experiments.

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## Author contributions

W. D. W. and S. N. devised this project. C. M., S. A. O., S. O. and R. A. devised the synthetic routes and synthesized the compounds. W. D. W. designed the biophysical studies, which were carried out by T. V. and analyzed by W. D. W. and T. V. The manuscript was written by W. D. W., T. V. and S. N.

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## Notes

The authors declare no competing financial interest.

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**Table 1.** UV thermal melting changes ( $\Delta T_m$ ) for small compound-quadruplex complexes and a control duplex sequence.

	<b>G4-hTERT, <math>\Delta T_m</math> (°C) (<math>T_m = 67^\circ\text{C}</math>)</b>	<b>G4-HTR, <math>\Delta T_m</math> (°C) (<math>T_m = 62^\circ\text{C}</math>)</b>	<b>Duplex DNA, <math>\Delta T_m</math> (°C) (<math>T_m = 85^\circ\text{C}</math>)</b>
SOP1812	18	10	2
CM03	13	5	2
MM41	>16*	11	< 2

The thermal melting result are averaged of two independent trials and are reproducible within  $\pm 1$  °C.  $T_m$  values of these sequences without compounds are listed above.

\*Thermal melting changes of MM41with G4-hTERT resulted in a non-standard melting curve. We therefore predicted the  $\Delta T_m$  value based on the similarity in structures between MM41 and SOP1812.

**Table 2.** Dissociation equilibrium binding constant  $K_D$  for compounds CM03, MM41 and SOP1812 with quadruplex sequences.

	G4-hTERT, $K_D$ (nM)		G4-HTR, $K_D$ (nM)		G4 TERRA, $K_D$ (nM)	
	SPR	Fluorescence	SPR	Fluorescence	SPR	Fluorescence
CM03	$12 \pm 2$	$16 \pm 2$	$82 \pm 6$	$94 \pm 5$	$4 \pm 1$	$3 \pm 1$
MM41	$2 \pm 1$	$4 \pm 1$	$5 \pm 1$	$10 \pm 2$	$3 \pm 1$	$2 \pm 1$
SOP1812	$4 \pm 1$	$4 \pm 1$	$28 \pm 2$	$29 \pm 2$	$3 \pm 1$	$2 \pm 1$

The steady-state binding result obtained as a function of free ligand concentration was fitted to a one-site binding model to obtain  $K_D$  values.

## Figure legends

**Figure 1.** Structures of the naphthalenediimide derivatives used in this study.

**Figure 2.** Representative sensorgrams and steady state equilibrium binding of (A-C) SOP1812: (D-F), CM03, binding to G4-hTERT and G4-HTR. The concentrations of each compound are shown, and the arrows show the direction of increased response in the sensorgrams with increased concentration. The steady state shows the binding plot at equilibrium. The steady-state response unit (RU) at lower concentration in A was predicted by kinetic estimation. Binding constants were obtained for a single-site binding model (Table 2).

**Figure 3.** Representative sensorgrams and steady state equilibrium binding of (A, B) SOP1812 and (C, D) CM03 to G4 RNA G4-TERRA. Plots are as in Figure 2. Binding constants were obtained for a single-site binding model (Table 2).

**Figure 4.** Intrinsic fluorescence titration of SOP1812 (A, B) and CM03 (C, D) to G4s. A, For G4-hTERT; B, E for G4-HTR; C, F for G4-TERRA. Insets shown the total change in fluorescence spectrum with maximum  $\lambda_{em} = 580$  nm (SOP1812) and  $\lambda_{em} = 570$  nm (CM03). Red arrows show the decrease in total fluorescence intensity upon titration with G4 concentrations to 500 nM, compounds at a constant concentration of 25 nM for G4 DNA titrations and 10 nM for G4 RNA titrations. Triplicate readings were averaged.

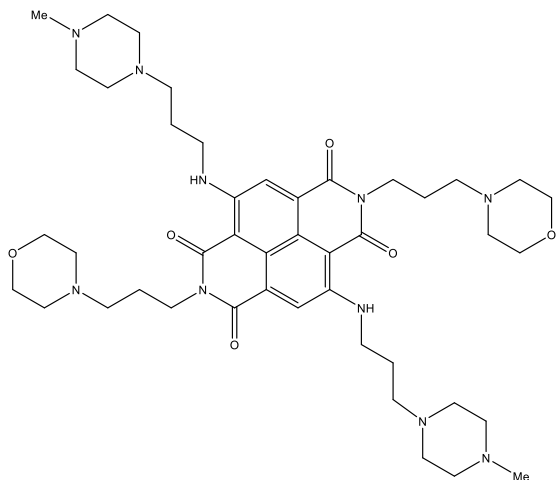
**Figure 5.** Fluorescence titration of MM41 to quadruplexes DNA. A, with G4-hTERT. B, with G4-HTR. C, with G4-TERRA. The change in anisotropy (r value) was calculated based on the change in MM41 polarized fluorescence intensity upon increasing G4 concentration. MM41 concentration was constant at 50 nM with the total change between final and initial volume < 10%.



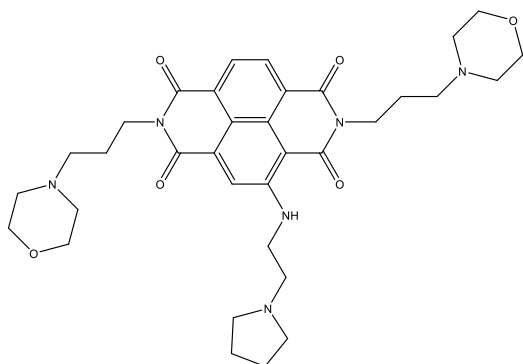
**Figure 6.** CD spectra of compounds titrated with G4s. Row A: G4-hTERT. Row B: G4-HTR, row C:G4-TERRA. CD spectra of native G4s are in the far-left column. Titrations with each compound are indicated. Each G4 concentration was constant at 5  $\mu$ M with increasing compound concentrations up to 20  $\mu$ . Duplicate readings were averaged.

**Figure 7.** Views of G4-ND complexes, projected onto a terminal G-quartet. NDs are dark red CPK solids. G4s are in cartoon form with guanines colored blue. A: MM41-G4-HTR crystal structure (PDB id 3UYH). B-D: Superposition of MM41, CM03 and SOP1812 onto the equivalent binding pose in the G4-hTERT structure (PDB id 2KZE). E: SOP1812 in the ligand binding site of the (3+1) human telomeric G4 telomestatin derivative complex (PDB id 2MB3).

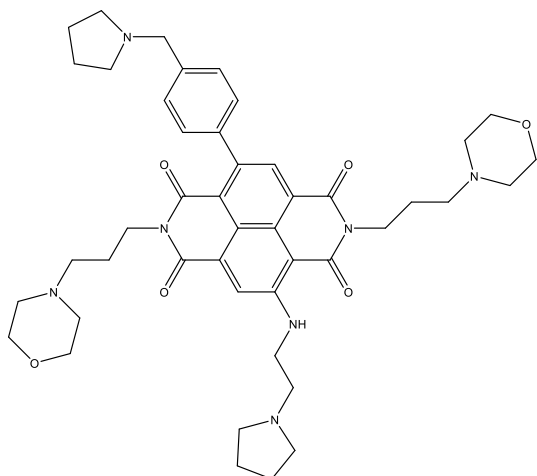
Figure 1.



MM41



CM03



SOP1812

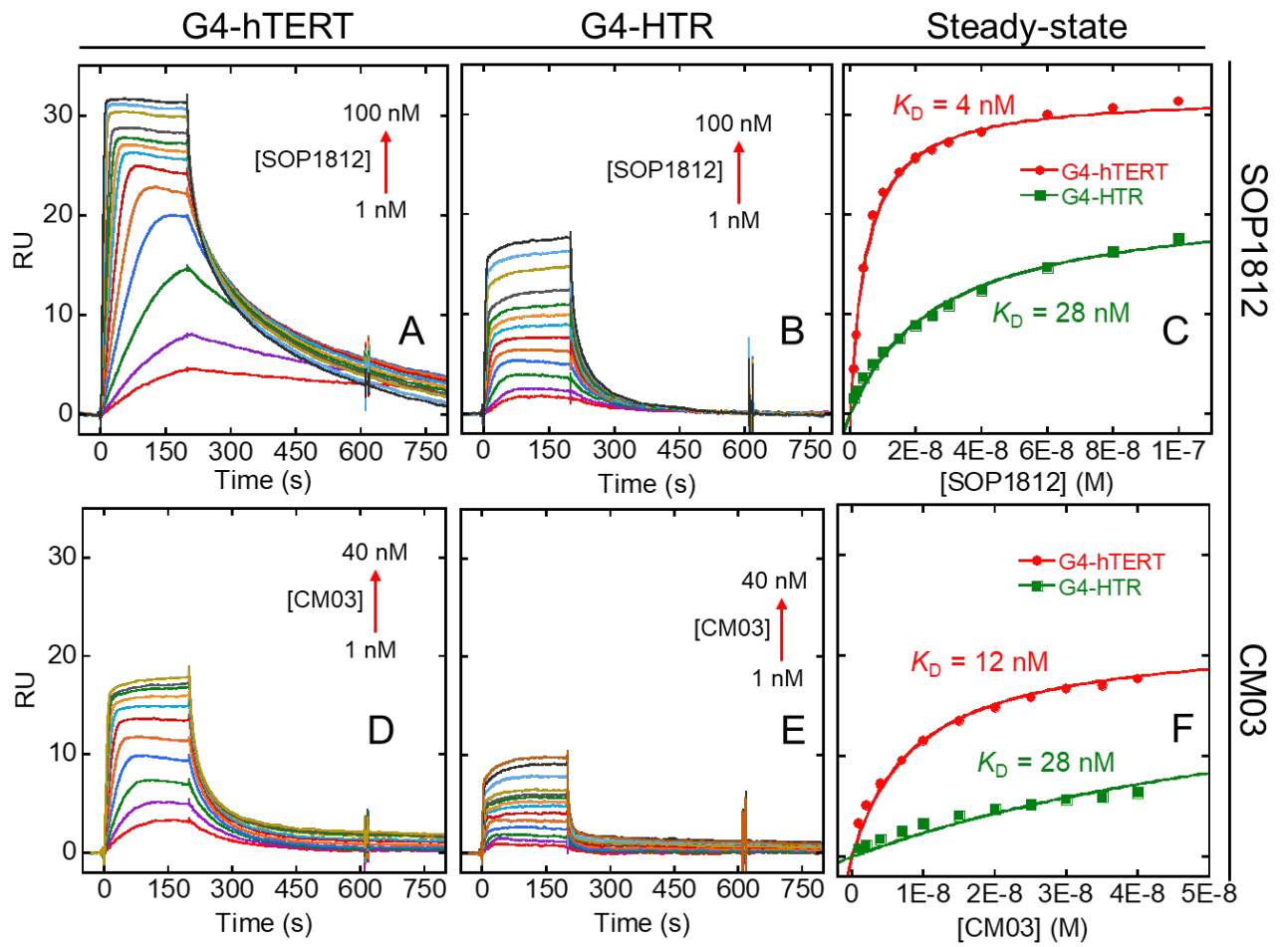


Figure 2.

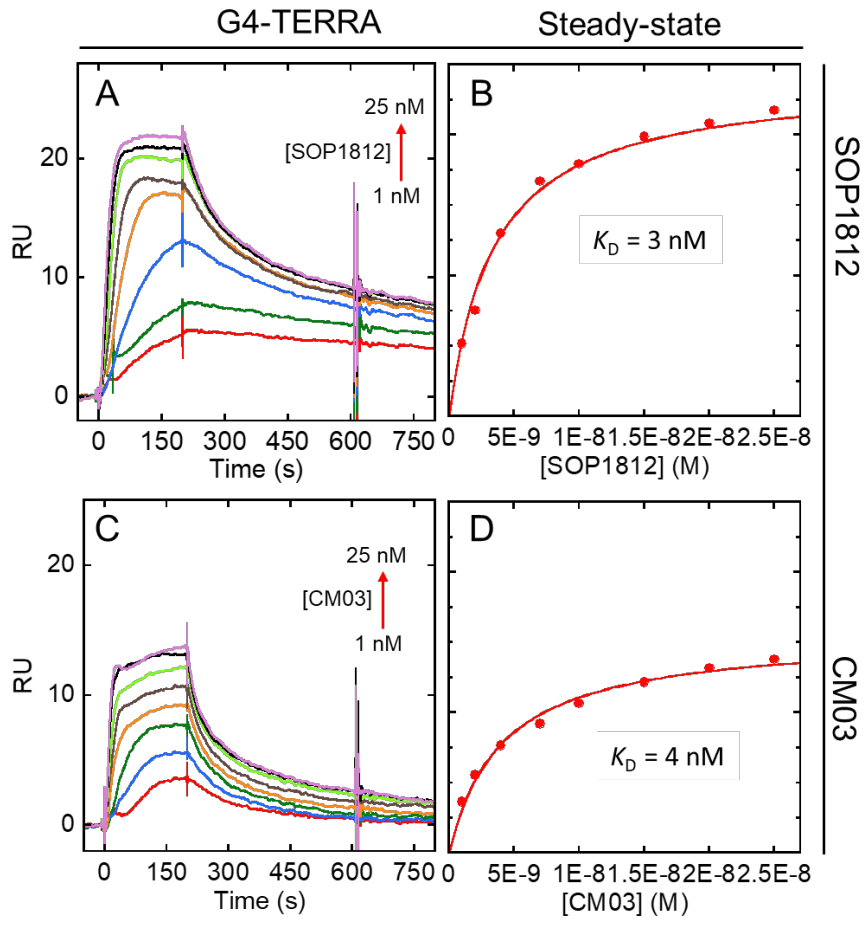


Figure 3.

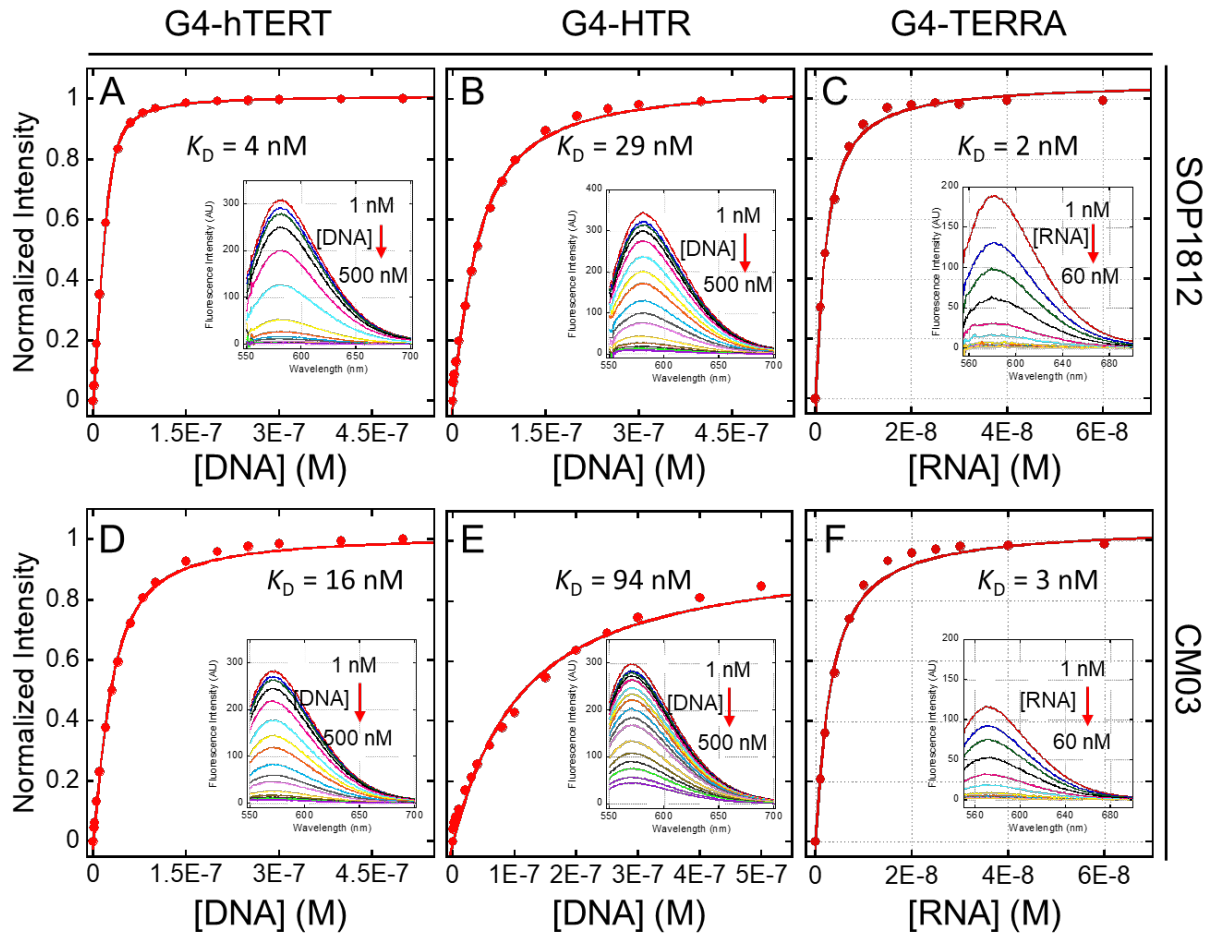


Figure 4.

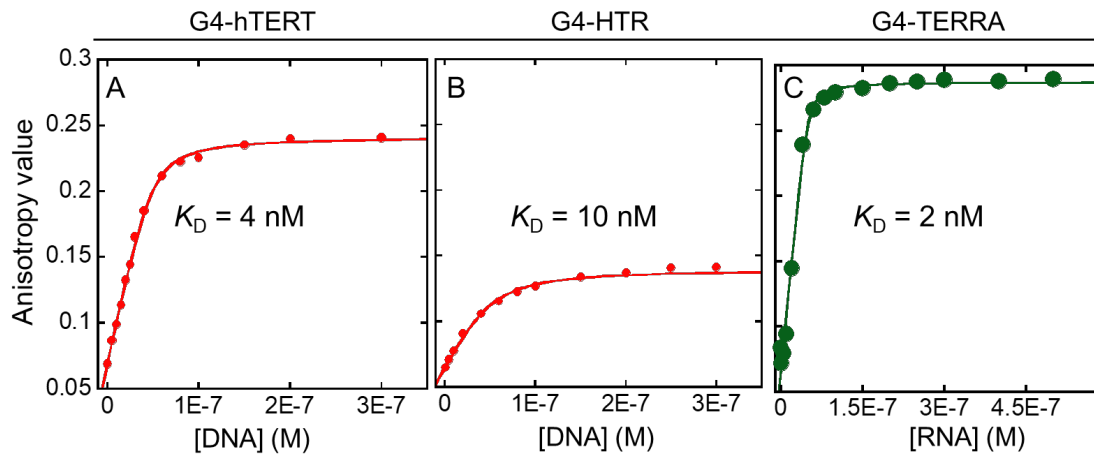


Figure 5.

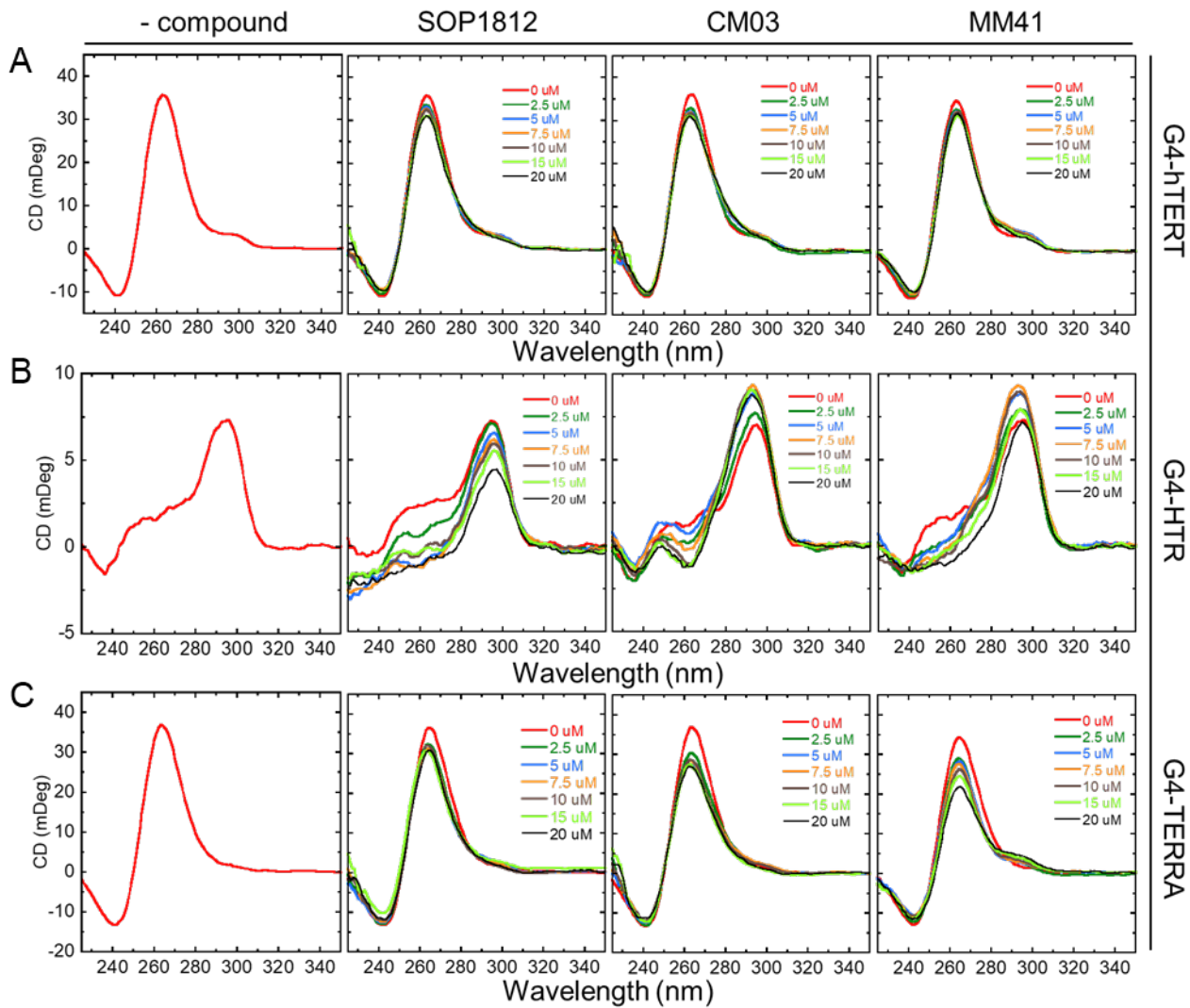
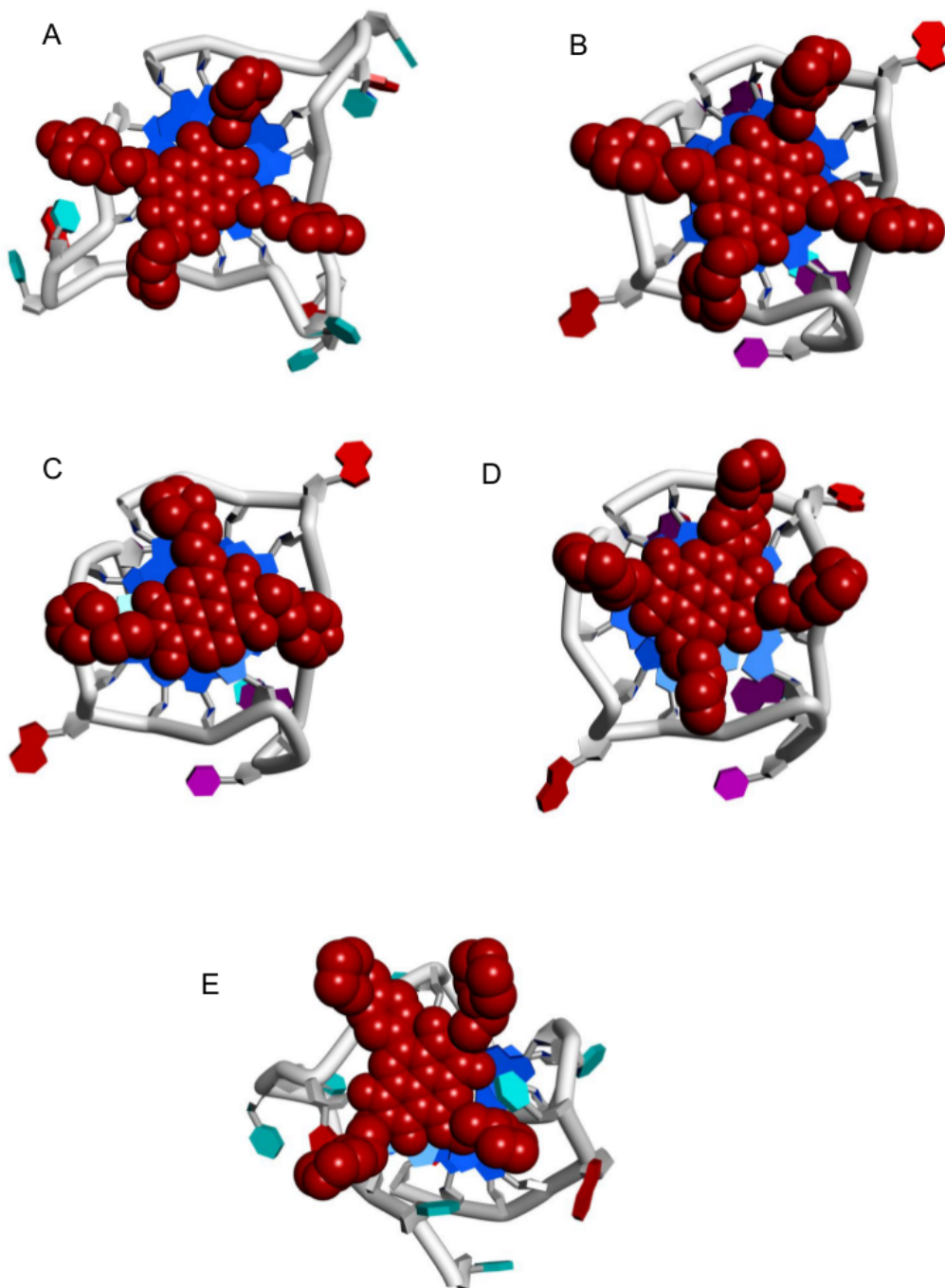


Figure 6.

Figure 7.





# Table of Contents Graphic

