



Hodgkin-Huxley-Katz Prize Lecture: Genetic and Pharmacological Control of Glutamate Receptor Channel through a Highly Conserved Gating Motif

Riley E. Perszyk¹, Scott J. Myers^{1,2}, Hongjie Yuan^{1,2}, Alasdair J. Gibb³, Hiro Furukawa⁴, Alexander I. Sobolevsky⁵, Stephen F. Traynelis^{1,2}

¹ Department of Pharmacology and Chemical Biology, Emory University School of Medicine, Atlanta, GA 30322, USA

² Center for Functional Evaluation of Rare Variants (CFERV), Emory University School of Medicine, Atlanta, GA 30322, USA

³ Department of Neuroscience, Physiology & Pharmacology, University College London, London, UK

⁴ WM Keck Structural Biology Laboratory, Cold Spring Harbor Laboratory, Cold Spring Harbor, New York, NY 11724, USA

⁵ Department of Biochemistry and Molecular Biophysics, Columbia University, New York, NY 10032, USA

Corresponding Author:

Dr. Stephen F. Traynelis

Department of Pharmacology and Chemical Biology

Emory University School of Medicine

Rollins Research Center

1510 Clifton Road

Atlanta, GA 30322-3090, USA

Phone: 1-404-727-0357

E-mail: strayne@emory.edu

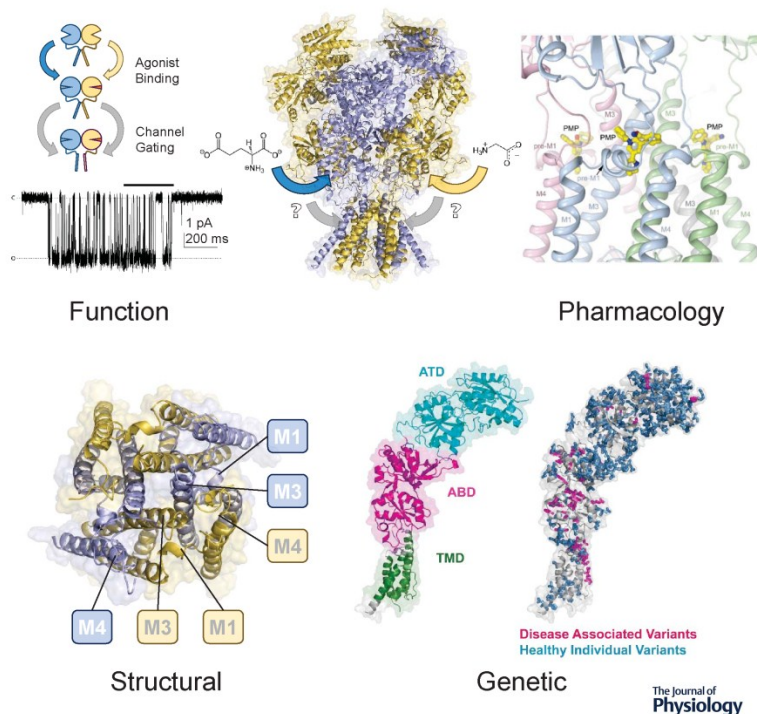
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Abstract

Glutamate receptors are essential ligand-gated ion channels in the central nervous system that mediate excitatory synaptic transmission in response to the release of glutamate from presynaptic terminals. The structural and biophysical basis underlying the function of these receptors has been studied for decades by a wide range of approaches. However recent structural, pharmacological, and genetic studies have provided new insight into the regions of this protein that are critical determinants of receptor function. Lack of variation in specific areas of the protein amino acid sequences in the human population has defined three regions in each receptor subunit that are under selective pressure, which has focused research efforts and driven new hypotheses. In addition, these three closely positioned elements reside near a cavity that is shown by multiple studies to be a likely site of action for allosteric modulators, one of which is currently in use as an FDA-approved anticonvulsant. These structural elements are capable of controlling gating of the pore, and appear to permit some modulators bound within the cavity to also alter permeation properties. This creates a new precedent whereby features of the channel pore can be modulated by exogenous drugs that bind outside the pore. The convergence of structural, genetic, biophysical, and pharmacological approaches is a powerful means to gain insight into the complex biological processes defined by neurotransmitter receptor function.

The understand of ionotropic glutamate receptors is accelerated by using diverse experiments methods and data.





Riley E. Perszyk obtained a BS of Mechanical Engineering from Georgia Tech (Highest Honors) and PhD in 2018 from Emory University. He studies the molecular action of NMDA receptor allosteric modulators and their utility in neuronal tissue. He is currently developing engineering solutions to automate traditional electrophysiology experiments in a collaborative postdoctoral fellowship between Georgia Tech and Emory University.



Dr. Stephen Traynelis is a Professor of Pharmacology and Chemical Biology at Emory University. He received a BS from West Virginia University in Chemistry (1984, summa cum laude) and a Ph.D. in Pharmacology from the University of North Carolina (1988). He completed postdoctoral fellowships at University College London and the Salk Institute. Dr. Traynelis is an AAAS Fellow, former editor-in-chief of *Molecular Pharmacology*, recipient of a NIH Javits Award and R35 Research Program Award, director of the Center for Functional Evaluation of Rare Variants, and a co-founder of multiple startup companies.

Introduction

Glutamate receptors are ligand-gated ion channels that mediate excitatory synaptic transmission throughout the brain and spinal cord, as well as serving other roles in development (Traynelis *et al.*, 2010; Paoletti *et al.*, 2013). This receptor family can be subdivided on the basis of genetic, structural, and pharmacological properties into at least three subfamilies; α -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA), kainate, and N-methyl-D-aspartate (NMDA). Additional families exist in non-human animals and plants (Gangwar *et al.*, 2019; Alfieri *et al.*, 2020; Mayer, 2020). All human subfamilies serve unique and essential roles in neuronal circuit function. The members of this receptor family are multimeric protein complexes with modular architecture (Figure 1a), composed of four subunits, each comprising four domains connected to each other by linker segments that lack secondary structural constraints. The four subunits each contribute a series of transmembrane elements that assemble together to form a cation-selective pore, which resembles an inverted potassium channel (Hansen *et al.*, 2017). Each subunit also contains two extracellular domains that adopt the shape of a bi-lobed clamshell. One domain (agonist binding domain, ABD, in Figure 1a) binds the co-agonists glutamate (GluN2) and glycine or D-serine (GluN1) within NMDA receptors, whereas in AMPA and kainate receptors, glutamate is bound to the ABD in all four subunits. These bilobed ABDs dimerize in all glutamate receptors, at least in some of their gating states (Naur *et al.*, 2007; Sobolevsky *et al.*, 2009; Karakas & Furukawa, 2014; Meyerson *et al.*, 2016; Burada *et al.*, 2020). The other clamshell domain encoded by the residues comprising the amino terminus resides distal to the membrane, and forms an assembly and modulatory control element of the receptor, as well as an important site of drug action (Figure 1a) (Ayalon & Stern-Bach, 2001; Gielen *et al.*, 2009; Yuan *et al.*, 2009; Karakas *et al.*, 2011; Watson *et al.*, 2017; Esmenjaud *et al.*, 2019; Regan *et al.*, 2019). The relative positioning of these two bilobed domains within the complex varies across the receptor family, which drives unique structural features of subfamilies as well as unique functional attributes. The multiple domains within each subunit and the multimeric nature of the tetrameric assembly of subunits create numerous protein-protein interfaces that can harbor modulator binding sites, including the region between the ABD and transmembrane domain (Figure 1b).

Recent structural data illuminate key features in channel gating

Structural advances in the last decade have provided dozens of crystallographic and cryo-EM structures for NMDA, AMPA, kainate and delta receptors (Greger *et al.*, 2017; Mayer, 2017; Zhu & Gouaux, 2017; Twomey & Sobolevsky, 2018; Chen & Gouaux, 2019; Greger & Mayer, 2019; MacLean *et al.*, 2019; Twomey *et al.*, 2019; Wang & Furukawa, 2019; Burada *et al.*, 2020), which also became templates for molecular modelling (Mollerud *et al.*, 2017; Paramo *et al.*, 2017). These data have ushered in a new era that has allowed close examination of the link between structure and function of these large, multimeric proteins. Evaluation of the structure-function relationship in light of structural data has led to the appreciation of elements critical for function that interact with the pore lining M3 helix (including the highly-conserved SYTANLAAF) and the agonist binding domain. While the resolution of the structural data for the extracellular domains has allowed detailed atomic characteristics to be elucidated (Karakas & Furukawa, 2014; Lee *et al.*, 2014; Tajima *et al.*, 2016; Twomey & Sobolevsky, 2018), the resolution for the linkers connecting the extracellular domains to each other and to transmembrane domains has been typically lower. For the majority of published structures, the lack of clear density for these linkers prevented modeling of amino acid side chains or entire sections of the polypeptide (Tajima *et al.*, 2016). However, pulling together information across a wide range of structural studies highlights a key element that is present in all glutamate receptors: a short two-turn helix (pre-M1) that lies parallel to the membrane and is in van der Waals contact with the pore-lining M3 helix from the same subunit (Figure 1b,d,e). Residues comprising this short helical element are conserved across species (Sobolevsky *et al.*, 2009; Karakas & Furukawa, 2014; Lee *et al.*, 2014). In addition, another short flexible linker connects the membrane-proximal lobe of the agonist binding domain to the M4 transmembrane helix, and multiple studies suggest both the pre-M4 linker and M4 transmembrane helix are important for function (Ren *et al.*, 2003; Yuan *et al.*, 2014; Amin *et al.*, 2017; Platzer *et al.*, 2017). The pre-M4 linker is closely positioned to the pre-M1 and M3 helices from the adjacent subunit (e.g. (Sobolevsky *et al.*, 2009; Talukder *et al.*, 2010)), and these three elements have been implicated in glutamate receptor gating and correspondingly proposed to comprise a gating

triad, shown in Figure 2a,b (Alsaloum *et al.*, 2016; Chen *et al.*, 2017; Yelshanskaya *et al.*, 2017; Gibb *et al.*, 2018; Amin *et al.*, 2020); see also (Sobolevsky *et al.*, 2009; Twomey & Sobolevsky, 2018).

Largely coincident with the availability of this structural data, multiple studies have provided a clear picture for gating in NMDA and AMPA receptors, which, given their structural similarities, operate by surprisingly distinct mechanisms (Banke & Traynelis, 2003; Popescu & Auerbach, 2003; Popescu *et al.*, 2004; Erreger *et al.*, 2005a; Erreger *et al.*, 2005b; Poon *et al.*, 2010; Kristensen *et al.*, 2011; Poon *et al.*, 2011; Gibb *et al.*, 2018). Single channel analysis and kinetic modelling indicate that following binding of the agonists, the receptors clearly undergo at least two additional rate-limiting conformational changes that precede explosive opening of the pore (Figure 2c), which yields step changes in current as the channel opens and closes (Iacobucci & Popescu, 2018). This is supported by structural data of AMPA receptors, one of such intermediate conformations is likely represented by the structures with partially closed agonist-bound LBDs and non-conducting closed channel pore (Durr *et al.*, 2014; Yelshanskaya *et al.*, 2014) and named a pre-active state in the framework of a simplistic gating model (Twomey & Sobolevsky, 2018). Evidence from NMDAR receptors where the ABDs have been cross-linked shut produces channels that still open and close with millisecond time scale closed times suggesting that pre-gating steps are being traversed independent of opening and closing of the agonist binding domain (Kussius & Popescu, 2010). Moreover, multiple studies that perturb the flexible linkers between the bilobed clamshell and transmembrane domains, in particular the linkers involved in the gating triad, reveal they can significantly impact channel function and the brief closed times that appear to represent pre-gating conformation changes (Talukder & Wollmuth, 2011; Ladislav *et al.*, 2018). Linker region cysteine mutants possess differing cross-linker modification rates, and the effects of these mutations in the absence and presence of agonist or antagonist implies activation-dependent movement (Beck *et al.*, 1999; Sobolevsky *et al.*, 2002; Talukder *et al.*, 2010; Salussolia *et al.*, 2011). Furthermore, smFRET experiments show that fluorescently labeled linkers (GluN2A Pre-M1) transition between multiple energy states in the apo- and agonist-bound states (Dolino *et al.*, 2017). All of these studies raise the possibility that rearrangement of linker elements could contribute to the two kinetically distinct pre-gating steps (Figure 2C).

Channel shut times could reflect the time needed for random movement of multiple side chains or the polypeptide backbone into a position (i.e. a state) that can decrease the energy for channel opening. These conformational changes may involve repositioning of pre-M1 or pre-M4 residues, including an aromatic residue that could perturb a network of aromatic interactions within the transmembrane domains (Chen *et al.*, 2017; Gibb *et al.*, 2018; McDaniel *et al.*, 2020). Based on channel closed times, two structurally distinct changes occur with different energies, which may reflect the cooperative yet unique gating triads, or perhaps larger structural elements such as a gating ring (Tajima *et al.*, 2016). Regardless of the precise nature of the intra-protein motion that underlies these two rate-limiting steps, it is clear that advances in our understanding will come from the convergence of structural data with functional and mechanistic data.

Genetic studies highlight critical residues involved in gating

Technological advances have yielded dramatic cost reduction for DNA sequencing, which has enabled an unprecedented increase in the amount of genetic data available for both patient populations and healthy individuals (Heinzen *et al.*, 2015). Evaluation of whole exome sequencing information has led to the proposal that regional variation in the number of *de novo* and inherited variants can provide insight to identify intolerant domains (Figure 3a) (Traynelis *et al.*, 2017). For example, for the GluN2A subunit within NMDA receptors, there are virtually no variants within the M3 helix, the pre-M1 helix, and portions of the pre-M4 /M4 region (see Figure 3b) (Swanger *et al.*, 2016; Ogden *et al.*, 2017; Strehlow *et al.*, 2019). That is, these regions are not only conserved across the animal kingdom, but appear to be necessary for human health. Consistent with this idea, there is a significant number of *de novo* missense variants within these regions in subunits from both the NMDA receptors (Figure 4a,b) and the AMPA receptors in patients with a wide range of neurological and neuropsychiatric indications (Firth *et al.*, 2009; Hamdan *et al.*, 2011; de Ligt *et al.*, 2012; Epi *et al.*, 2013; Freunschdt *et al.*, 2013; Lemke *et al.*, 2013; Lesca *et al.*, 2013; Redin *et al.*, 2014; Yuan *et al.*, 2014; Farwell *et al.*, 2015; Ohba *et al.*, 2015; Helbig *et al.*, 2016; Kobayashi *et al.*, 2016; Lemke *et al.*, 2016; Li *et al.*, 2016; Lucariello *et al.*, 2016; Swanger *et al.*, 2016; Chen *et al.*, 2017; Ogden *et al.*, 2017; Platzer *et al.*, 2017; Tan *et al.*, 2017; Amin *et al.*, 2018; Fedele

et al., 2018; Fernandez-Marmiesse *et al.*, 2018; Fry *et al.*, 2018; Vyklicky *et al.*, 2018; XiangWei *et al.*, 2018; Strehlow *et al.*, 2019). These two unbiased data sets (structural data and genetic data) provide perhaps the strongest rationale that the pre-M1, M3 and pre-M4/M4 regions play a critical and essential role in receptor function. Moreover, structural data reveal that they are perfectly positioned to cooperate with multiple elements in the receptor that control the transition from the closed to the open state, residing within 5 Angstroms of one another (Figure 4c) (Karakas & Furukawa, 2014; Lee *et al.*, 2014; Twomey & Sobolevsky, 2018). In AMPA receptors, cryo-EM data indicates two M3 helices reorient as the receptor transitions to the open state that results in different configurations of the pre-M1 and the M3 helix at their site of contact, emphasizing their close cooperative nature (Twomey *et al.*, 2017; Twomey & Sobolevsky, 2018).

The invariant nature in the general population of these three motifs suggest that the side chains within these regions are essential to allow the channel to remain closed under resting physiological conditions while also capable of responding to the energy provided by the binding of glutamate to open the channel with the appropriate level of activation. Almost any departure, even minor, from the side chains of conserved amino acids in these regions leads to either an increase or decrease in channel function, in some cases dramatically. Variants in the M3 region overwhelmingly produce a gain-of-function that results in a greater receptor response (Kohda *et al.*, 2000; Kashiwagi *et al.*, 2002; Yuan *et al.*, 2005; Sobolevsky *et al.*, 2007; Chang & Kuo, 2008; XiangWei *et al.*, 2019; Amador *et al.*, 2020). Some variants show a dramatic increase in open probability and reductions in sensitivity to endogenous allosteric modulators (Yuan *et al.*, 2014; Chen *et al.*, 2017; Fedele *et al.*, 2018; Li *et al.*, 2019), such that the channel pore is open almost all the time while the agonist is bound. Other gain-of-function variants can reduce voltage-dependent block of NMDA receptors (Endele *et al.*, 2010; Fedele *et al.*, 2018; Li *et al.*, 2019; Marwick *et al.*, 2019a; Marwick *et al.*, 2019b), and prolong the deactivation time course of both NMDA and AMPA receptors, which keeps the channel open for longer and allows for increased cation influx and likely contributes to clinical features displayed by patients (Yuan *et al.*, 2014; Swanger *et al.*, 2016; Chen *et al.*, 2017; Ogden *et al.*, 2017; Amin *et al.*, 2018). Surprisingly, different substitutions of specific residues yield a gain of function for every mutation tested (Yuan *et al.*, 2014; Ogden *et al.*, 2017), suggesting that these residues are necessary to maintain

channel closure under resting conditions and a precise level of activation following agonist domain closure. There are additional consequences of altering the wild type sequence in that many variants in this region also perturb eventual surface expression, suggesting protein synthesis quality control mechanisms may detect aberrant function or assembly of channels (Swanger *et al.*, 2016; Addis *et al.*, 2017; Ogden *et al.*, 2017; Fernandez-Marmiesse *et al.*, 2018; Vyklicky *et al.*, 2018; Li *et al.*, 2019; XiangWei *et al.*, 2019).

Structural determinants of allosteric modulation overlap regions of genetic invariance

The past decade has been exceptionally productive in terms of the development of novel allosteric modulators of glutamate receptor function. Multiple classes of subunit-selective and non-selective positive and negative allosteric modulators have been described for NMDA receptors (Strong *et al.*, 2014; Hackos & Hanson, 2017; Burnell *et al.*, 2019). These include modulators that act at the heterodimer interface between the glutamate and glycine binding domains (Bettini *et al.*, 2010; Hackos *et al.*, 2016; Yi *et al.*, 2016), the interface between the ATD and the glutamate binding domain (Khatri *et al.*, 2014; Kaiser *et al.*, 2018), the heterodimer interface between the ATDs (Karakas *et al.*, 2011; Mony *et al.*, 2011; Karakas & Furukawa, 2014; Lee *et al.*, 2014; Stroebel *et al.*, 2016; Regan *et al.*, 2019), and the cavity that lies behind the pre-M1 region and adjacent to the M3 helix (Ogden & Traynelis, 2013; Wang *et al.*, 2017; Perszyk *et al.*, 2018; Perszyk *et al.*, 2020). In addition, many new modulators for AMPA receptors have been developed, with multiple examples acting at two of these 4 sites mentioned above. There are multiple examples of AMPA modulators that act at the dimer interface between agonist binding domains (Sun *et al.*, 2002; Jin *et al.*, 2005; Ahmed & Oswald, 2010; Krintel *et al.*, 2013) that can modulate both deactivation and desensitization. There is also a series of structurally diverse compounds that bind in contact with the AMPA receptor pre-M1 (Balannik *et al.*, 2005; Yelshanskaya *et al.*, 2016) (see Figure 1b,c).

Remarkably, the modulators that have structural determinants overlapping with the gating triad are highly diverse in their action, including both positive and negative allosteric modulation. Some of these modulators have additional actions on key channel properties. Interestingly, while the experimentally determined poses for AMPA receptor modulators

appear to occupy similar space, the modulator chemical scaffolds are largely distinct, raising intriguing questions about the mechanistic basis for their actions. Functional data support the competition of positive and negative NMDA receptor modulators at overlapping sites (Perszyk *et al.*, 2018), suggesting that future atomic level information about related, competing modulators could be instructive in terms of how to enhance or decrease receptor function.

While there has been exciting progress on the identification of multiple drug scaffolds that can selectively modulate glutamate receptors, there has been incomplete functional analysis of how these modulators operate, with little information available at the single channel level. A recent mechanistic study revealed surprising effects for a positive allosteric modulator of NMDA receptors (Perszyk *et al.*, 2020). EU1622-14 enhances the activity of all NMDA receptors, but to differential degrees in terms of effects on channel open probability and the glutamate deactivation time course. Remarkably, single channel studies revealed that this compound reduces single channel conductance, and ion substitution experiments as well as Ca^{2+} -imaging studies suggest that the modulator can alter the relative permeability of monovalent to divalent ions. The ability of a modulator to alter the permeation properties of the pore has not been described previously for NMDA receptors. The effects of EU1622-14 (Perszyk *et al.*, 2020) appear to arise from its actions within the gating triad that must in some way alter the configuration of the open pore or change the relative occupancy of allowed open states of the channel, each of which has different properties. These pharmacological effects can be replicated in part by various point mutations at the gating-triad (Kohda *et al.*, 2000; Li *et al.*, 2016; Ogden *et al.*, 2017; XiangWei *et al.*, 2019), in particular point mutations and deletions in the M3 helix can both decrease conductance and increase open probability (Ladislav *et al.*, 2018). Additionally, this pharmacological action is in agreement with a study that shows that activation of photo-switchable unnatural amino acids introduced to perturb the gating triad can constrain the pore to reduce channel conductance (Klippenstein *et al.*, 2017). Thus, for NMDA receptors, perturbation of the gating triad by pharmacological agents can not only impact gating, but the properties of the pore. For AMPA receptors, a shift in subunit-dependent gating occurs with increasing agonist occupancy of the four subunits, and the number of active subunits determines the relative conductance level. AMPA receptor modulators acting near the

gating triad reduce the activation of each subunit after binding, referred to as coupling efficiency (Kristensen *et al.*, 2011; Poon *et al.*, 2011), which reduces the proportion of high conductance levels, an observation demonstrated for the anti-epileptic drug perampanel (Yuan *et al.*, 2019) (Figure 1b,c). These properties are not universal for modulators that bind in close proximity to the gating triad, and it will be important to determine how they can be tuned through medicinal chemistry, perhaps for therapeutic gain.

Together, these structural, genetic, and pharmacological advances emphasize the power of considering different modalities to drive insight into protein function. We predict that the combination of structural information with genetic information will not only illuminate function across many protein families, but will also advance both the development of pharmacological probes and drugs. As mechanistic and structural data flesh out our understanding of how proteins respond to genetic and pharmacological perturbations, new insight will be gleaned on how proteins mediate their precise and complex tasks.

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Additional information

Competing interests

SFT is a PI on research grants from Biogen and Janssen to Emory University, is a paid consultant for Janssen, is a member of the SAB for Sage Therapeutics, GRIN2B Foundation, and CureGRIN Foundation, is co-founder of NeurOp Inc, and receives licensing fees and royalties for software. SFT is a co-inventor on Emory University-owned Intellectual Property that includes allosteric modulators of glutamate receptor function. HY is a PI on research grant from Sage Therapeutics to Emory University. HF is a PI on a research grant from Allergan. The opinions expressed in this paper are those of the author(s) and do not necessarily reflect the views of the funding agency.

Author contributions

REP, SJM, HY, AJG, HF, AIS, and SFT contributed to the conception or design of the work and drafting the work or revising it critically for important intellectual content.

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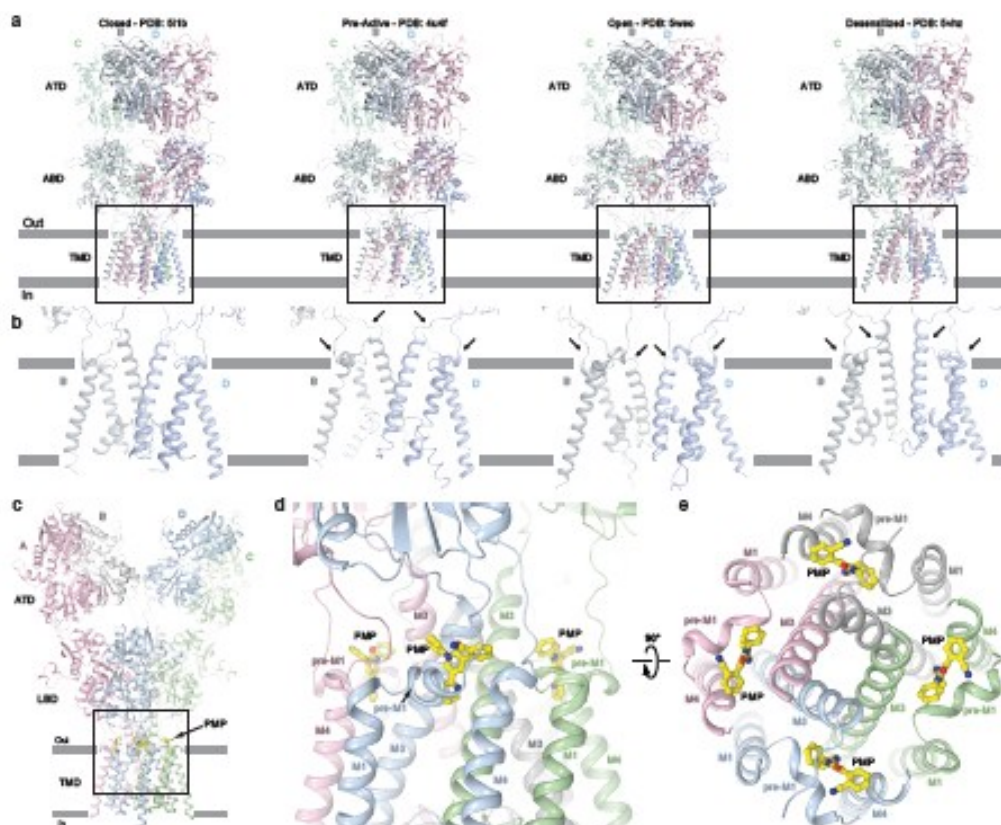


Figure 1. AMPA receptor gating conformations and binding of perampanel (PMP). a)

Structures of the GluA2 AMPA receptor in different conformations (PDB entries 5I1b, 4u4f, 5weo, 5vhz) are shown in ribbon representation and viewed parallel to the membrane, with four subunits colored differently and the layers of the amino-terminal domain (ATD), the agonist-binding domain (ABD), and the transmembrane domain (TMD) labeled. The rectangles indicate the region of the structure viewed more closely in **b**. **b)** A closer view of the TMD (receptor subunits B and D) for the structures in **a** to highlight the gating transitions indicated by the arrows. **c)** Crystal structure of GluA2 (PDB entry 5L1F), with perampanel shown in sticks (yellow). The rectangle indicates the region of the structure viewed more closely in **d** and **e**. **d)** An expanded view of the perampanel binding sites, at the outermost end of the transmembrane domain and adjacent to the linkers connecting the LBD and the TMD. **e)** A top-down view of perampanel binding sites in the pockets formed by pre-M1, M3, and pre-M4/M4.

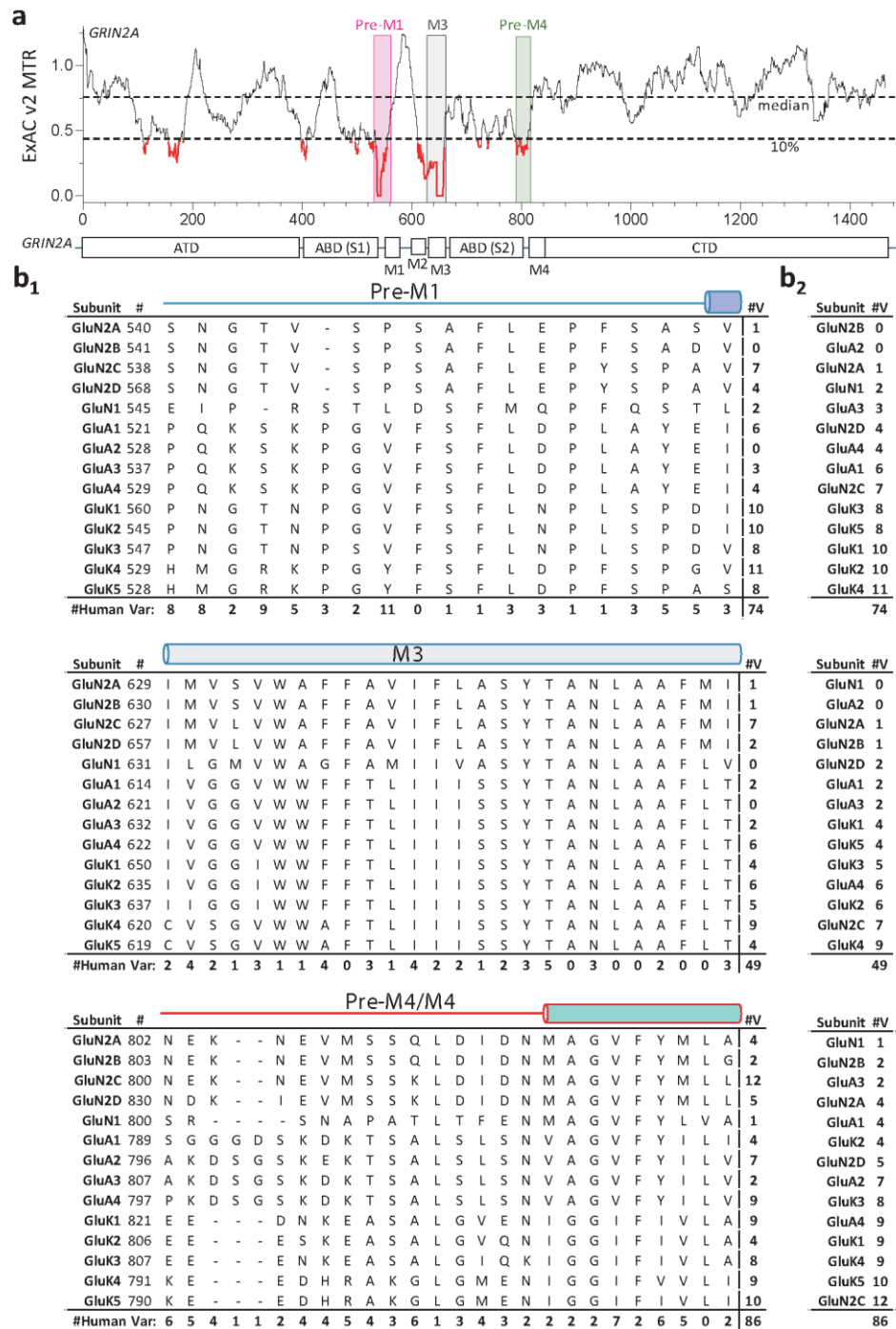


Figure 3. Intolerance of the gating triad. a) The Missense Tolerance Ratio (MTR) plot for *GRIN2A*, based on ExAC (the Exome Aggregation Consortium browser, version 2). The gating-triad regions (Pre-M1, M3, and Pre-M4/M4, highlighted in magenta, grey, and green, respectively) are some of the most intolerant portions of *GRIN2A*. **b)** Comparison of conservation from homologous proteins and scarcity of naturally-occurring variants in a human population-based genomic database. **b₁)** Sequence homology of the pre-M1, M3,

and pre-M4/M4 regions across all iGluR subunits. The final column (#V) is the cumulative number of human variants across the subdomain for each receptor subunit reported in ExAC (version 2, accessed 01/24/202), and bottom row (#Human Var) is the cumulative number of human variants in ExAC found at the aligned position, different from WT, across all iGluRs. **b₂**) The iGluR subunits are rearranged based on the cumulative number of ExAC variants in each subdomain sequence. Sequence alignment by Clustal Omega using translated open reading frames from these human reference sequences: GluN2A, NM_000833.4; GluN2B, NM_000834.4; GluN2C, NM_000835.4; GluN2D, NM_000836.2; GluN1, NM_007327.3; GluA1, NM_000827.3; GluA2, NM_000826.3; GluA3, NM_007325.4; GluA4, NM_000829.3; GluK1, NM_000830.4; GluK2, NM_021956.4; GluK3, NM_000831.3; GluK4, NM_014619.4; GluK5, NM_002088.4.

