

Considerations for the Treatment of Oesophageal Cancer with Radiotherapy During the COVID-19 Pandemic

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Summary:

Oesophageal Cancer Treatment During the COVID-19 Pandemic

Keywords:

Oesophageal cancer; oesophageal squamous cell carcinoma; oesophageal adenocarcinoma; COVID-19; treatment; hypofractionated.

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1 The coronavirus disease 2019 (COVID-19) pandemic is a public health emergency caused by widespread
2 infection with severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2).(1) For patients with cancer,
3 COVID-19 presents a significant challenge. Many are immunosuppressed, both as a direct result of the
4 malignant disease and as a consequence of anti-cancer treatment. As such, they may be more likely to contract
5 SARS-CoV-2.(2) Given that hospitals are thought to act as a reservoir from which this virus spreads, risk of
6 COVID-19 is further exacerbated by the requirement for patients with cancer to frequently attend hospital for
7 follow-up visits, imaging and intensive treatment.(2-4) In a small study in Wuhan, China, the suspected source
8 of the COVID-19 outbreak in China, patients with cancer appeared at higher risk of SARS-CoV-2 infection than
9 the wider community, and both recurrent hospital visits and hospital admission conferred greater risk still.(2) In
10 addition, a cancer diagnosis and recent anti-cancer treatment have additionally been linked to greater COVID-19
11 severity.(3-4)
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18 The impact of healthcare service pressures on the care of patients with cancer is also a concern. In the UK as in
19 other countries, a surge in critically unwell patients with COVID-19 is expected to significantly diminish bed
20 availability within high-dependency (HDU) and intensive care units (ICUs). Widespread disease transmissibility
21 will also impact on the availability of frontline clinical staff. Together, these service pressures and the shift in
22 the risk : benefit ratio caused by the widespread transmission of SARS-CoV-2 necessitates – at least in the short
23 to medium term - re-consideration of treatment pathways for patients with cancer. This is of particular
24 pertinence to oesophageal cancer (OC), which is typically treated using an intensive multi-modality approach
25 that involves thoracic radiotherapy, and for which significant delays in treatment are precluded by disease
26 biology and symptoms such as dysphagia.
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33 In light of this we convened an expert group of UK clinicians with expertise in OC. Consensus was sought for
34 evidence-based approaches to the management of OC that would maintain benefit, minimize risk to the patient,
35 accommodate for service pressures and limit hospital attendance. Guiding principles relevant to radiotherapy
36 provision are described here. As the pandemic progresses, guidance for acting on these will be updated at
37 www.uppergicancer.com
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42 **GENERAL PRINCIPLES**

43 Advice for stratifying and prioritizing surgery and systemic treatments has been published elsewhere, as has
44 practical advice for radiotherapy departments and practitioners.(5-7) Wherever possible, hospital attendances
45 should be reduced or avoided. This includes through the provision of telephone-based consultations and either
46 delaying treatment or modifying it to reduce the number of days on which patients must attend for radiotherapy
47 and to limit the chance of acute admission. Departments should also institute measures to limit the spread of
48 infection.
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54 There are to our knowledge no data at present to indicate whether thoracic radiotherapy increases the severity of
55 the COVID-19 disease course. A pragmatic approach is for patients diagnosed with COVID-19 or experiencing
56 symptoms consistent with it to avoid or delay thoracic radiotherapy, though this will need to be reviewed as
57 further data emerges.
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1 **RADICAL APPROACHES**

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3 Standard treatment approaches for potentially curable oesophageal cancer typically comprise neoadjuvant
4 chemotherapy or chemoradiotherapy (CRT) followed by either resection or definitive CRT (dCRT), with some
5 patients receiving post-operative chemotherapy or CRT dependent on resection margins and performance status.
6 Guidance for adapting this therapy is provided here based on treatment intention and summarized in **Box 1**.

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10 **Definitive treatment**

11 Elective surgery performed with the expectation of cure is categorized as surgical priority level 2 by the
12 National Health Service (NHS). There is concern that the expected increase in HDU/ITU bed occupancy, and
13 the risk of post-operative SARS-CoV-2 infection, will severely limit or preclude surgical intervention. It is
14 important that consideration is given to the prospects of surgical treatment. For patients who have commenced
15 or completed neoadjuvant therapy, surgical intervention should be expedited where possible. If there is
16 uncertainty related to surgical capacity, we would suggest that dCRT with no neoadjuvant or induction
17 component is the most appropriate option to provide an upfront definitive treatment approach whilst limiting
18 infection risk. In the absence of robust head-to-head data, dCRT and neoadjuvant treatment followed by surgery
19 are typically viewed as delivering equivalent outcomes for OSCC.(8) The evidence for equivalent outcomes
20 from dCRT is less robust for OAC but good outcomes were seen for this group in SCOPE1.(9,10)

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29 Despite the theoretical advantages of hypofractionated regimens during the COVID-19 pandemic, there is to our
30 knowledge no robust evidence to advocate for a hypofractionated dCRT approach. Careful patient selection for
31 dCRT using standard 50Gy/25# fractionation with concurrent chemotherapy is therefore imperative and patients
32 should be counselled regarding the risk : benefit ratio of treatment. Patients at higher risk include those with
33 comorbidities and who are more likely to require acute admission, such as those with high-grade dysphagia
34 when commencing treatment.(11) Risks may also be mitigated through the use of weekly carboplatin-paclitaxel
35 in place of 3-weekly cisplatin-fluoropyrimidine-based chemotherapy, given the more favourable toxicity profile.
36 In a recently presented phase III trial and in a multi-centre retrospective analysis from the UK, weekly
37 carboplatin-paclitaxel based dCRT has demonstrated 2- year and 3- year OS of 50% and 40%
38 respectively.(12,13) The regimen was well tolerated and resulted in 10% grade 3 or above haematological
39 toxicity, compared with 28% for cisplatin-fluopyrimidine based treatment in SCOPE1.(9,12,13) We also suggest
40 considering lowering the threshold for prophylactic enteral nutrition where there is capacity to place enteral
41 feeding tubes, as this would potentially minimize need for unplanned hospitalization.(14) Follow-up of patients
42 managed with dCRT should, if service pressures allow, include endoscopy and cross-sectional imaging at eight
43 weeks post-treatment, with a low threshold for surgery if indicated.(15) It is hoped that HDU and ITU access
44 maybe somewhat better in the timeframe for 5-6 months where such surgery might be considered.

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55 In patients for whom the risks of dCRT are considered too great, or in instances where there is limited
56 chemotherapy provision, consider definitive hypofractionated radiotherapy (dRT) for locally advanced disease.
57 Tumours of up to 5cm in length may be treated with 50Gy/16#, and tumours of up to 10cm in length with 50-
58 55Gy/20#. In a recent single-centre retrospective series, this regimen resulted in reasonable median OS of 26
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1 months that compared with 29 months for a dCRT cohort from the same centre that had fewer comorbidities but
2 more advanced disease.(16) Time-to-stent insertion was also similar and grade 3 or above toxicity with dRT was
3 favourable at 16.4%.
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5 **Neo-adjuvant treatment**

6 Where neoadjuvant CRT (nCRT) with a view to surgery is still considered a viable option, we suggest use of
7 hypofractionated CRT consisting of 40Gy/15# with weekly carboplatin and paclitaxel; as modified from the
8 Walsh regimen.(17) There is evidence to suggest that the benefits conferred by neoadjuvant CRT for
9 pathological complete response (pCR) and overall survival (OS) are seen at doses of 39.6Gy, but it is less
10 certain that higher doses deliver additional benefit.(18) Beyond the pandemic peak when surgical capacity
11 begins to be restored but where services remain stretched, nCRT may again represent an appropriate treatment
12 option. Neoadjuvant chemotherapy (NaCT) may also be considered with prophylactic growth factor support,
13 though in both instances (NaCT or nCRT) MDTs need to consider whether such patients are likely to proceed to
14 surgical resection within a reasonable timeframe.
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22 **Adjuvant**

23 Where performance status allows, patients with OC are typically considered for adjuvant chemotherapy or CRT.
24 Decisions relating to the provision of adjuvant therapy are likely to be nuanced and dependent both on
25 performance status, postoperative resection margins, disease stage and the likely additional benefit of such
26 intervention, especially if neo-adjuvant therapy has been given. If treatment is favoured, a delay of 12 weeks
27 should be considered to avoid starting treatment during the peak of COVID-19.
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33 **PALLIATIVE APPROACHES**

34 Indications for radiotherapy for OC in the non-curative setting include disease control, haemostasis and the
35 relief of dysphagia. Given the anticipated pressure on palliative care teams and a reduction in endoscopy
36 capacity for procedures such as endoluminal stenting, radiotherapy is likely to be an important option for
37 symptom relief.(19) The risks of standard fractionation schedules in this setting of 30Gy/10# or 40Gy/15# are
38 likely to outweigh any benefits during the COVID-19 pandemic, and add further pressure to radiotherapy
39 departments. As such, we suggest use of single 8Gy/1# or 20Gy/5# treatment schedules. There is little evidence
40 that dose escalation above 20Gy achieves additional symptomatic benefit.(20)
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47 **SUMMARY**

48 The COVID-19 pandemic represents an unprecedented challenge for healthcare services. The recommendations
49 here should serve to support clinicians in as far as possible mitigating the impacts of this crisis on patients with
50 oesophageal cancer and those who care for them.
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TABLES

RADICAL APPROACHES
Definitive treatment
<ul style="list-style-type: none"> • Expedite planned surgical resection prior to the expected surge in higher-level care bed occupancy. • Consider dCRT as the most appropriate curative option for both OSCC and OAC. • Patients who are at high risk for re-admission, such as those with high-grade dysphagia, may not be appropriate for dCRT. • Consider use of weekly carboplatin-paclitaxel in place of cisplatin-fluopyrimidine based chemotherapy to limit toxicity. • Where dCRT is unavailable or inappropriate, consider hypofractionated dRT of 50Gy/16# for tumours of up to 5cm in length or 55Gy/10# for tumours of up to 10cm in length. • Consider a low threshold for prophylactic enteral nutrition if there is capacity to place feeding tubes.
Neo-adjuvant treatment
<ul style="list-style-type: none"> • If neo-adjuvant treatment is considered appropriate, consider hypofractionated dCRT 40Gy/15# with weekly carboplatin-paclitaxel.
PALLIATIVE APPROACHES
<ul style="list-style-type: none"> • Use a single 8Gy/1# or 20Gy/5# for relief of dysphagia or disease control in the palliative setting.

Box 1: A summary of recommendations for the radiotherapy-based management of patients with oesophageal cancer during the coronavirus disease 2019 (COVID-19) pandemic. The impact of radiotherapy on disease severity in patients with a diagnosis of COVID-19 is unknown and it may be appropriate to avoid radiotherapy (RT) in such patients. CRT: chemoradiotherapy; dCRT: definitive CRT; OAC: oesophageal adenocarcinoma; OSCC: oesophageal squamous cell carcinoma; dRT: definitive RT

AUTHOR CONTRIBUTIONS

1. Guarantor of integrity of the entire study – TC, MH, SM, GR, TC
2. Study concepts and design – CJ, MH, SM, GR, TC
3. Literature research – CJ, MH, SM, GR, TC
4. Clinical studies – N/A
5. Experimental studies/data analysis – N/A
6. Statistical analysis – N/A
7. Manuscript preparation – CJ
8. Manuscript editing – CJ, MH, SM, GR, TC

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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Editorial

Considerations for the Treatment of Oesophageal Cancer with Radiotherapy During the COVID-19 Pandemic

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The coronavirus disease 2019 (COVID-19) pandemic is a public health emergency caused by widespread infection with severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) [1]. For patients with cancer, COVID-19 presents a significant challenge. Many are immunosuppressed, both as a direct result of the malignant disease and as a consequence of anti-cancer treatment. As such, they may be more likely to contract SARS-CoV-2 [2]. Given that hospitals are thought to act as a reservoir from which this virus spreads, the risk of COVID-19 is further exacerbated by the requirement for patients with cancer to frequently attend hospital for follow-up visits, imaging and intensive treatment [2–4]. In a small study in Wuhan, China, the suspected source of the COVID-19 outbreak in China, patients with cancer seemed to be at a higher risk of SARS-CoV-2 infection than the wider community, and both recurrent hospital visits and hospital admission conferred greater risk still [2]. In addition, a cancer diagnosis and recent anti-cancer treatment have additionally been linked to greater COVID-19 severity [3,4].

The impact of healthcare service pressures on the care of patients with cancer is also a concern. In the UK, as in other countries, a surge in critically unwell patients with COVID-19 is expected to significantly diminish bed availability within high-dependency (HDU) and intensive care units (ICUs). Widespread disease transmissibility will also impact on the availability of frontline clinical staff. Together, these service pressures and the shift in the risk:benefit ratio caused by the widespread transmission of SARS-CoV-2 necessitates – at least in the short to medium term – re-consideration of treatment pathways for patients with cancer. This is of particular pertinence to oesophageal cancer, which is typically treated using an intensive multimodality approach that involves thoracic radiotherapy, and for which significant delays in treatment are precluded by disease biology and symptoms such as dysphagia.

In light of this we convened an expert group of UK clinicians with expertise in oesophageal cancer. Consensus was sought for evidence-based approaches to the

1 management of oesophageal cancer that would maintain benefit, minimise risk to the patient,
2 accommodate for service pressures and limit hospital attendance. Guiding principles relevant
3 to radiotherapy provision are described here. As the pandemic progresses, guidance for acting
4 on these will be updated at www.uppergicancer.com
5

6 **General Principles (A head)**

7
8 Advice for stratifying and prioritising surgery and systemic treatments has been published
9 elsewhere, as has practical advice for radiotherapy departments and practitioners [5–7].
10 Wherever possible, hospital attendances should be reduced or avoided. This includes through
11 the provision of telephone-based consultations and either delaying treatment or modifying it
12 to reduce the number of days on which patients must attend for radiotherapy and to limit the
13 chance of acute admission. Departments should also institute measures to limit the spread of
14 infection. Departments should also institute measures to limit the spread of
15 infection.

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17 There are to our knowledge no data at present to indicate whether thoracic
18 radiotherapy increases the severity of the COVID-19 disease course. A pragmatic approach is
19 for patients diagnosed with COVID-19 or experiencing symptoms consistent with it to avoid
20 or delay thoracic radiotherapy, although this will need to be reviewed as further data emerge.
21

22 **Radical Approaches (A head)**

23
24 Standard treatment approaches for potentially curable oesophageal cancer typically comprise
25 neoadjuvant chemotherapy or chemoradiotherapy (CRT) followed by either resection or
26 definitive CRT (dCRT), with some patients receiving postoperative chemotherapy or CRT
27 dependent on resection margins and performance status. Guidance for adapting this therapy is
28 provided here based on treatment intention and is summarised in Table 1.
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32
33 **Table 1 here**

34 *Definitive Treatment (B head)*

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37 Elective surgery carried out with the expectation of cure is categorised as surgical priority
38 level 2 by the National Health Service. There is concern that the expected increase in
39 HDU/ICU bed occupancy and the risk of postoperative SARS-CoV-2 infection will severely
40 limit or preclude surgical intervention. It is important that consideration is given to the
41 prospects of surgical treatment. For patients who have started or completed neoadjuvant
42 therapy, surgical intervention should be expedited where possible. If there is uncertainty
43 related to surgical capacity, we would suggest that dCRT with no neoadjuvant or induction
44 component is the most appropriate option to provide an upfront definitive treatment approach
45 while limiting infection risk. In the absence of robust head-to-head data, dCRT and
46 neoadjuvant treatment followed by surgery are typically viewed as delivering equivalent
47 outcomes for oesophageal squamous cell carcinoma [8]. The evidence for equivalent
48 outcomes from dCRT is less robust for oesophageal adenocarcinoma but good outcomes were
49 seen for this group in SCOPE1 [9,10].
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52 Despite the theoretical advantages of hypofractionated regimens during the COVID-
53 19 pandemic, there is to our knowledge no robust evidence to advocate for a hypofractionated
54 dCRT approach. Careful patient selection for dCRT using standard 50 Gy/25 fractions
55 fractionation with concurrent chemotherapy is therefore imperative and patients should be
56 counselled regarding the risk:benefit ratio of treatment. Patients at a higher risk include those
57 with comorbidities and who are more likely to require acute admission, such as those with
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1 high-grade dysphagia when starting treatment [11]. Risks may also be mitigated through the
2 use of weekly carboplatin–paclitaxel in place of 3-weekly cisplatin–fluoropyrimidine-based
3 chemotherapy, given the more favourable toxicity profile. In a recently presented phase III
4 trial and in a multicentre retrospective analysis from the UK, weekly carboplatin–paclitaxel-
5 based dCRT has shown 2- and 3-year overall survival of 50 and 40%, respectively [12,13].
6 The regimen was well tolerated and resulted in 10% grade 3 or above haematological
7 toxicity, compared with 28% for cisplatin–fluoropyrimidine-based treatment in SCOPE1
8 [9,12,13]. We also suggest considering lowering the threshold for prophylactic enteral
9 nutrition where there is capacity to place enteral feeding tubes, as this would potentially
10 minimise the need for unplanned hospitalisation [14]. Follow-up of patients managed with
11 dCRT should, if service pressures allow, include endoscopy and cross-sectional imaging at 8
12 weeks post-treatment, with a low threshold for surgery if indicated [15]. It is hoped that HDU
13 and ICU access may be somewhat better in the timeframe for 5–6 months, where such
14 surgery might be considered.
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16
17 In patients for whom the risks of dCRT are considered too great, or in instances where
18 there is limited chemotherapy provision, consider definitive hypofractionated radiotherapy
19 for locally advanced disease. Tumours of up to 5 cm in length may be treated with 50 Gy/16
20 fractions and tumours of up to 10 cm in length with 50–55 Gy/20 fractions. In a recent single-
21 centre retrospective series, this regimen resulted in reasonable median overall survival of 26
22 months that compared with 29 months for a dCRT cohort from the same centre that had fewer
23 comorbidities but more advanced disease [16]. Time-to-stent insertion was also similar and
24 grade 3 or above toxicity with definitive radiotherapy was favourable at 16.4%.
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27 *Neoadjuvant Treatment (B head)*

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30 Where neoadjuvant CRT (nCRT) with a view to surgery is still considered to be a viable
31 option, we suggest use of hypofractionated CRT consisting of 40 Gy/15 fractions with
32 weekly carboplatin and paclitaxel; as modified from the Walsh regimen [17]. There is
33 evidence to suggest that the benefits conferred by nCRT for pathological complete response
34 and overall survival are seen at doses of 39.6 Gy, but it is less certain that higher doses
35 deliver additional benefit [18]. Beyond the pandemic peak when surgical capacity begins to
36 be restored but where services remain stretched, nCRT may again represent an appropriate
37 treatment option. Neoadjuvant chemotherapy may also be considered with prophylactic
38 growth factor support, although in both instances (neoadjuvant chemotherapy or nCRT)
39 multidisciplinary teams need to consider whether such patients are likely to proceed to
40 surgical resection within a reasonable timeframe.
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45 *Adjuvant (B head)*

46
47 Where performance status allows, patients with oesophageal cancer are typically considered
48 for adjuvant chemotherapy or CRT. Decisions relating to the provision of adjuvant therapy
49 are likely to be nuanced and dependent both on performance status, postoperative resection
50 margins, disease stage and the likely additional benefit of such intervention, especially if
51 neoadjuvant therapy has been given. If treatment is favoured, a delay of 12 weeks should be
52 considered to avoid starting treatment during the peak of COVID-19.
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56 **Palliative Approaches (A head)**

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58 Indications for radiotherapy for oesophageal cancer in the non-curative setting include
59 disease control, haemostasis and the relief of dysphagia. Given the anticipated pressure on
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1 palliative care teams and a reduction in endoscopy capacity for procedures such as
2 endoluminal stenting, radiotherapy will probably be an important option for symptom relief
3 [19]. The risks of standard fractionation schedules in this setting of 30 Gy/10 fractions or 40
4 Gy/15 fractions will probably outweigh any benefits during the COVID-19 pandemic, and
5 add further pressure to radiotherapy departments. As such, we suggest use of single 8 Gy/1
6 fraction or 20 Gy/5 fractions treatment schedules. There is little evidence that dose escalation
7 above 20 Gy achieves additional symptomatic benefit [20].
8

9 **Summary (A head)**

10 The COVID-19 pandemic represents an unprecedented challenge for healthcare services. The
11 recommendations here should serve to support clinicians in as far as possible mitigating the
12 impact of this crisis on patients with oesophageal cancer and those who care for them.
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16 **Conflicts of interest**

17 T. Crosby is an Advisory Board member for Bristol Myers-Squibb and Astra Zeneca, and has
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 40 2019;17:24–31.

41 **Table 1**

42 A summary of recommendations for the radiotherapy-based management of patients with
 43 oesophageal cancer during the coronavirus disease 2019 (COVID-19) pandemic. The impact
 44 of radiotherapy on disease severity in patients with a diagnosis of COVID-19 is unknown and
 45 it may be appropriate to avoid radiotherapy in such patients

Radical approaches
Definitive treatment

<ul style="list-style-type: none"> • Expedite planned surgical resection before the expected surge in higher-level care bed occupancy. • Consider dCRT as the most appropriate curative option for both OSCC and OAC. • Patients who are at high risk for readmission, such as those with high-grade dysphagia, may not be appropriate for dCRT. • Consider use of weekly carboplatin–paclitaxel in place of cisplatin–fluoropyrimidine-based chemotherapy to limit toxicity. • Where dCRT is unavailable or inappropriate, consider hypofractionated dRT of 50 Gy/16 fractions for tumours of up to 5 cm in length or 55 Gy/10 fractions for tumours of up to 10 cm in length. • Consider a low threshold for prophylactic enteral nutrition if there is capacity to place feeding tubes.
Neoadjuvant treatment
<ul style="list-style-type: none"> • If neoadjuvant treatment is considered appropriate, consider hypofractionated dCRT 40 Gy/15 fractions with weekly carboplatin–paclitaxel.
Palliative approaches
<ul style="list-style-type: none"> • Use a single 8 Gy/1 fraction or 20 Gy/5 fractions for relief of dysphagia or disease control in the palliative setting.

CRT, chemoradiotherapy; dCRT, definitive chemoradiotherapy; dRT, definitive radiotherapy; OAC, oesophageal adenocarcinoma; OSCC, oesophageal squamous cell carcinoma.

Author queries

In reference list, please provide 6 authors before et al and update publication details if possible

ITU has been changed to ICU for consistency

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