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## Development of an *in situ* injectable hydrogel containing hyaluronic acid for neural regeneration

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2 Development of an *in situ* injectable hydrogel containing Hyaluronic acid for neural regeneration

3  
4 Linh T. B. Nguyen<sup>†</sup>, Chia-Chen Hsu, Hua Ye\* and Zhanfeng Cui

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6  
7 *Institute of Biomedical Engineering, Department of Engineering Science, University of Oxford, OX3 7DQ,*  
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9 *UK.*

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36 *† Current address: Division of Biomaterials and Tissue Engineering, UCL Eastman Dental Institute, London,*  
37 *WC1X 8LD, UK.*

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39  
40 \*Corresponding author:  
41 Institute of Biomedical Engineering  
42 Department of Engineering Science  
43 Old Road Campus Research Building  
44 University of Oxford  
45 Headington, Oxford OX3 7DQ, UK  
46 Email: [hua.ye@eng.ox.ac.uk](mailto:hua.ye@eng.ox.ac.uk)  
47  
48 Phone: +44(0)1865 617689  
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**Abstract**

In this work, a novel enzymatically crosslinked injectable hydrogel comprising hyaluronic acid (HyA), dopamine (DA), and 3-(4-Hydroxyphenyl) propionic acid (HPA) conjugates was successfully developed. To the best of our knowledge, it is the first time that HPA is conjugated to a HyA-based backbone. *In situ* hydrogelation of HyA-DA-HPA occurred in the presence of hydrogen peroxide ( $H_2O_2$ ) as an oxidant and horseradish peroxidase (HRP) as a catalyst. Proton nuclear magnetic resonance and Fourier transform infrared spectroscopy were used to characterize the chemical reactions between HyA, DA, and HPA. Gel formation completed between 3 s to 5 min depending on the concentrations of polymer, HRP, and  $H_2O_2$ . Crosslinked HyA-DA-HPA gels acquired storage moduli ranging from  $\sim 100$  Pa to  $\sim 20000$  Pa (at  $f = 2000$  rad/s). Biocompatibility of the hydrogels was examined with human mesenchymal stem cells (hMSCs) and human induced pluripotent stem cell-derived neural stem cells. The hydrogels made of 2.0 w/v % HyA-DA-HPA hydrogels, 0.24 U/mL HRP and  $\leq 0.5$   $\mu\text{mol/mL}$   $H_2O_2$  were found biocompatible with hMSCs cultured on and encapsulated within the hydrogels. Since HyA serves as a backbone of the extracellular matrix in the central nervous system (CNS) and DA acquires the ability to restore dopaminergic neurons, use of this injectable HyA-DA-HPA hydrogel for stem cell transplantation is a potential treatment strategy for CNS repair and regeneration.

**Keywords:** Hyaluronic acid, dopamine, injectable hydrogel, neural regeneration.

## 1. Introduction

Hydrogels are one of the most promising polymeric biomaterials in tissue engineering for delivering cells, growth factors, peptides and drugs that aid tissue regeneration [1-4]. They facilitate efficient encapsulation and delivery of cells and/or soluble factors as they can be tailored to provide high oxygen permeability, supply nutrients and efficiently channel water-soluble metabolites through their hydrated matrix [4-6]. One of the greatest challenges for its application in neural tissue engineering is the effective entrapment and delivery of cells and growth factors to the target site. The soft nature of the tissue, its extremely limited regenerative capability, as well as the lack of nutrient and oxygen perfusion in the wound sites could hinder the delivery and growth of cells [7]. In this regard, *in situ* injectable hydrogels, which can be injected as a liquid and solidify *in situ* to form a substrate with ideal mechanical properties and the capability to support stem cell transplants, have been explored for neural repair [8]. Injectable hydrogels also allow a minimally invasive procedure, which may offer further benefits, including smaller incisions, reduced pain and scarring and short recovery times [9].

To date, a variety of natural and synthetic polymers, including alginate, collagen, and poly(lactic-co-glycolic acid) (PLGA), as well as decellularized extracellular matrix (ECM), have been utilized as supporting grafts to facilitate stem cell transplantation (reviewed in Chan et al., 2017, Tuladhar et al., 2018, and Nih et al., 2016) [8, 10, 11]. For example, Ford *et al.* achieved the generation of a functional and stable microvascular system by delivering co-cultures of neuronal progenitor cells and endothelial cells through a macro-porous polyethylene glycol (PEG)-based hydrogel system *in-vivo* [12]. A hydrogel made of self-assembling peptides has also been shown to support differentiation and maturation of human neural stem cells (NSCs) in 3D serum-free conditions *in vitro* and demonstrated its functional regenerative potential in rat spinal cord injuries [13].

Hyaluronic acid (HyA) is a linear polysaccharide serving as a major component in the central nervous system (CNS) ECM [14]. It binds to proteoglycans which further bind to tenascins, resulting in the

1 structural backbone of the CNS [15]. Although HyA can be extracted from animal tissues, xeno-free HyA  
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3 can be produced via bacterial fermentation [16]. In regard to its biocompatibility, its regulatory role in  
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5 inflammation and glial scar formation, as well as the advantageous chemical tunability, HyA has become  
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7 one of the most suitable materials for neural tissue engineering [17-19]. Previously, mechanically tunable  
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9 HyA scaffolds for delivery of growth factors and cells have been shown to mediate and support survival  
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11 and differentiation of neural cells both *in vitro* and *in vivo* [15, 20, 21]. To enhance cell-matrix interaction,  
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13 adhesive molecules (i.e. collagen and RGD peptide) and heparin could be additionally functionalized into  
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15 the HyA hydrogels to promote cell adhesion and sequestration of soluble factors [22].  
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20 Dopamine (DA) is one of the major neurotransmitters in the brain, playing a key role in brain aging and  
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22 neurodegenerative diseases, particularly, Parkinson's disease [23]. In PC12 neuronal cells, it was reported  
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24 that DA pre-treatment at a noncytotoxic concentration may serve as a potent inducer of cellular  
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26 glutathione and NAD(P)H:quinone oxidoreductase 1, exerting neuroprotective effects [24]. Previously, the  
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28 development of injectable hydrogel systems for local delivery of DA, DA-loaded microspheres, and DA-  
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30 secreting cells has been reported [22, 25-28]. A hydrogel system made of chitosan, gelatin, and DA was  
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32 shown to possess a sustained DA release profile without a burst release over the course of 500 h [26].  
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34 Implantation of a dextran dialdehyde crosslinked gelatin hydrogel loaded with DA could improve  
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36 functional recovery in a 6-Hydroxydopamine (6-OHDA)-rat hemiparkinsonian model, suggesting the  
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38 potential application of DA-embedded hydrogel for treating Parkinson's disease [27]. Although there are  
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40 a number of publications focusing on hydrogel systems for DA release, to the best of our knowledge, a  
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42 hydrogel incorporating DA into its polymer backbone has never been reported.  
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47 In this study, we fabricated a novel hydrogel using HyA, hydroxyphenylpropionic acid (HPA) and DA. While  
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49 HyA is a strong hydrophilic anionic polysaccharide containing a large number of hydroxyl and carboxyl  
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51 groups as hydrogen bond donors or acceptors, it can facilitate hydrogen bond formation and  
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53 hydrogelation [29]. Previously, gelatin-based hydrogels formed by conjugating HPA into its backbone was  
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55 found with higher adhesiveness compared to that of commercial fibrin glue [30]. It was suggested that a  
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1 higher phenolic content in the polymer may result in higher cohesive and adhesive strength [30, 31]. In  
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3 this study, we aim to design an injectable hydrogel system that is able to improve cellular incorporation  
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5 and tissue attachment, as well as neuroprotective effects by incorporating both HPA and DA into our  
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7 system, which has never been reported previously. We used an enzymatic chemical crosslinking process  
8  
9 that includes horseradish peroxidase (HRP) and hydrogen peroxide ( $H_2O_2$ ) to fabricate hydrogels of HyA,  
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11 DA, and HPA. This crosslinking approach has been used to form fast gelling, non-toxic and stable hydrogels  
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13 [32]. Our novel hydrogel was then tested for its biocompatibility. This hydrogel system based on HyA, the  
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15 structural backbone of the CNS, and incorporating DA, which triggers some neuroprotective effects in the  
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17 brain, can provide an alternative platform for delivering cells and growth factors to the CNS, particularly  
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19 the brain, facilitating neural repair and regeneration.  
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## 24 **2. Materials and Methods**

### 25 **2.1. Materials**

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27 Hyaluronic acid (HyA, Mw = 100 KDa), dopamine hydrochloride, 3-4-hydroxyphenylpropionic acid (HPA),  
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29 horseradish peroxidase (HRP type VI, 250-330 units/mg), hydrogen peroxide ( $H_2O_2$ ), N-  
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31 hydroxysuccinimide (NHS), 1-ethyl-3-(3-dimethylaminopropyl)-carbodiimide hydrochloride (EDC-HCl),  
32  
33 and 4-morpholineethanesulfonic acid (MES) are from Sigma-Aldrich (UK). Spectra/Por<sup>TM</sup> regenerated  
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35 cellulose molecular weight cut-off (MWCO, 8000 Da) dialysis membrane is from Spectrum Chemical Mfg.  
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37 Corp. (USA).  
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### 43 **2.2. Synthesis of HyA-DA, HyA-DA-HPA polymer, and HyA-DA-HPA hydrogel**

#### 44 **2.2.1. Synthesis of HyA-DA**

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46 HyA-DA was synthesized by carbodiimide coupling chemistry (Figure 1). Briefly, HyA (1g) was dissolved in  
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48 MES buffer (100 mL, 0.5M, pH 5.5) and EDC (1.152g, 3.0 mmol) and NHS (0.692g, 3.0 mmol) were added  
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50 to the HyA solution. To prepare a series of HyA-DA conjugates with catechol groups in various ratios, HyA  
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52 was reacted with different concentrations of dopamine: 0.191g (0.5 mmol), 0.574g (1.5 mmol), 1.148g  
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(3.0 mmol), and 1.914g (5.0 mmol), respectively. The reaction mixtures were stirred at room temperature for 4 h while maintaining pH 5.5. The solution was purified by dialysis for 3 d against acidified deionized water (pH 5.5) to inhibit oxidation of catechol groups and 1 d against neutral water for pH adjustment. The dialysis solution was refreshed every day.

### **2.2.2. Synthesis of HyA-DA-HPA polymer**

HPA (10 mmol) was mixed in distilled water:DMF (3:2). 13.9 mmol NHS with 1.91 M EDC solution was added and stirred for 5 h at room temperature, pH 4.7. The NHS, EDC solution was then added to the HyA-DA solution and stirred for 12 h at room temperature, pH 4.7. HyA-DA-HPA was dialyzed using the same process as HyA-DA and then freeze-dried for 2 d to obtain HyA-DA-HPA polymer.

### **2.2.3. Synthesis of HyA-DA-HPA gel**

HyA-DA-HPA polymer, HRP, and H<sub>2</sub>O<sub>2</sub> solutions in different concentrations were individually prepared by dissolution in phosphate buffered saline (PBS) at pH 7.4. Polymer concentrations of 0.5, 1.0, 1.5, 1.75 and 2.0 w/v %, HRP concentrations of 0.05, 0.08, 0.1, 1.12, 0.16 and 0.24 U/mL, and H<sub>2</sub>O<sub>2</sub> concentrations of 0.15, 0.25, 0.5, 0.75 and 1.0 μmol/mL were used. HyA-DA-HPA gels were obtained by peroxidase-catalyzed gelation at 37 °C.

## **2.3. Characterization of polymer**

### **2.3.1. Fourier transform infrared (FTIR) spectrometers**

FTIR can determine the structure of molecules by measuring the molecule's characteristic absorption of infrared radiation [33]. After finishing the dialysis step, the HyA-DA-HPA polymer solutions were freeze-dried to obtain the cotton-like solids and these materials were then used for FTIR analysis. The successful synthesis of HyA-HPA and HyA-HPA-DA was determined by analyzing the spectra obtained by FTIR spectra (Tensor 27, Bruker, USA) using the OPUS Data Collection Program. Highly sensitive liquid nitrogen cooled MCT (mercury-cadmium-telluride) detector was used.

### 2.3.2. Nuclear magnetic resonance (NMR)

The structure of HyA, DA, HPA, HyA-DA, and HyA-DA-HPA were determined using proton NMR ( $^1\text{H}$  NMR)-400 MHz (AVIIIHD 400 nanobay – aka AVH 400, Bruker, USA). Deuterium oxide ( $\text{D}_2\text{O}$ ) was used as the solvent.

### 2.3.3. Scanning Electron Microscope (SEM)

Lyophilized samples were mounted on holders. The surface morphologies of the HyA-DA-HPA before and after gel formation were observed by SEM (Carl Zeiss Evo LS15 VP- SE, BSE, VPSE, EPSE detectors, Zeiss, Germany) at an accelerating voltage of 10 kV. Before the SEM investigation, the samples were coated with gold by SC7620 Mini Sputter (Quorum Technologies, UK).

### 2.3.4. Rheological measurement

Rheological measurements of the hydrogel formation were performed with a Diffusing Wave Spectroscopy system (LS instruments, CH). Hydrogel solutions with 200 nm diameter polystyrene tracer particles (10 % w/v, Sigma-Aldrich) were used to determine how the gel network interaction modulates the tracer particles' thermal motion. The measurements were taken at 37 °C using 2 mm glass cuvettes in thickness (LS Instruments, CH). Solutions of HRP (0.24 U/mL) and  $\text{H}_2\text{O}_2$  (0.25  $\mu\text{mol}/\text{mL}$ ) were added to an aqueous solution of HyA-DA-HPA (0.5%, 1.0%, 1.5%, 1.75% and 2% (w/v)), pre-warmed at 37 °C. The solution was vortexed and then immediately transferred into the cuvettes. The cuvettes were sealed with a lid and placed into the rheology system.

### 2.3.5. Gelation time

The HyA-DA-HPA hydrogels (400  $\mu\text{l}$ ) were prepared in 0.01 M PBS (pH 7.4) in 1 mL vials at room temperature. The polymer solution, the HRP, and  $\text{H}_2\text{O}_2$  solution were pre-heated at 37 °C before mixing and loading into the double syringe. Briefly, the polymer solution (200  $\mu\text{l}$ , 4.0 w/v %) was mixed with HRP solution (100  $\mu\text{l}$  of 0.96 U/mL of stock solution) and  $\text{H}_2\text{O}_2$  (100  $\mu\text{l}$  of 4.0  $\mu\text{mol}/\text{mL}$  of stock solution) by



gentle shaking. The time taken for gel formation (gelation time) was determined using a test tube inversion method [34-36]. The gelation time was recorded as the time when the gel mass stopped flowing after inversion. The gelation time was measured at different concentrations of HRP, H<sub>2</sub>O<sub>2</sub>, and polymer.

## 2.4. Cell culture

### 2.4.1. Human GFP-MSC cells

Green fluorescence protein (GFP) was cloned into human mesenchymal stem cells (MSC, kindly provided from the Department of Pediatrics and Adolescent Medicine, LKS Faculty of Medicine, The University of Hong Kong). Briefly, primary mesenchymal cells obtained from unfractionated bone marrow mononuclear cells of a healthy donor were cultured for 2 months. Cells were infected with a VSV-G (expressing the G glycoprotein of the vesicular stomatitis virus) pseudotyped retroviral vector that contained the hTERT and GFP genes, separated by an internal ribosome entry site (IRES), under the control of the murine stem cell virus (MSCV) long-terminal repeat (LTR). The GFP<sup>+</sup> and GFP<sup>-</sup> MSCs were then separated with a fluorescence-activated cell sorter (MoFlo, Cytomation, Fort Collins, CO, USA) [37]. MSC-GFP were cultured in Dulbecco's modified Eagle's medium (DMEM 1.0 mg/l of glucose, Gibco BRL, Gaithersburg, MD, USA) supplemented with 10% (v/v) fetal bovine serum (FBS, Gibco BRL) and 0.1% (v/v) penicillin-streptomycin (PS, Gibco BRL).

### 2.4.2. Human iPSC-derived neural stem cells

The human iPSC line, line 010S-1, generated at the Highfield Unit, Warneford Hospital, Oxford, was maintained on Matrigel (Corning, UK)-coated culture plates in feeder-free culture conditions with the use of chemically defined mTeSR™1 media (STEMCELL Technologies, UK) and Essential 8 media (Thermo Fisher Scientific, UK). Colonies of human iPSCs were passaged by dissociation with 0.5 mM EDTA (pH 8.0; Thermo Fisher Scientific) in sterile phosphate buffer solution (PBS) when they reached 80–90% confluence. Neural differentiation was based on published protocols with some modifications [38, 39]. Briefly, human iPSC cultures were used for neural conversion when they reached confluence. Neural Basal Medium were

1 prepared for neural differentiation by mixing 1:1 ratio of [Advanced DMEM/F-12 medium (Thermo Fisher  
2 Scientific), 1 v/v % N-2 supplement (Invitrogen, UK), 0.2 v/v % B27 Supplement (Invitrogen), 1 v/v %  
3 penicillin/streptomycin (Invitrogen), 1 v/v % GlutaMAX (Invitrogen)] and [Neurobasal Medium (Thermo  
4 Fisher Scientific), 2 v/v % B27 Supplement (Invitrogen), 1 v/v % MEM Non-Essential Amino Acids (Thermo  
5 Fisher Scientific), 1 v/v % penicillin/streptomycin (Invitrogen), 1 v/v % GlutaMAX (Invitrogen)]. The cells  
6 were differentiated into neuroectoderm by dual SMAD signaling inhibition [40], using neural induction  
7 medium [Neural Basal Medium supplemented with SB431542 (10  $\mu$ M; Calbiochem, UK) and InSolution™  
8 AMPK Inhibitor, Compound C (2  $\mu$ M; Calbiochem, UK)] for 7–10 d. After enzymatic dissociation, we then  
9 passaged and plated down the NSCs on laminin (Sigma-Aldrich)-coated plates in the Neural Basal Medium.  
10 After 3–5 d culture, human iPSC-derived NSCs proliferated and formed neural rosette structures. The cell  
11 culture media was then changed into F20 Medium [Neural Basal Medium supplemented with 20 ng/mL  
12 FGF2 (PeproTech)]. NSCs were subcultured every 5–7 d on laminin-coated plates for the first few passages  
13 and on Matrigel-coated plates for later passages.

### 2.4.3. Immunostaining and Fluorescence Microscopy

14 For characterization of human iPSC-derived NSCs, cells were fixed in 3.7 v/v % paraformaldehyde (Sigma-  
15 Aldrich) for 15 min, permeabilized with 0.2 v/v % Triton X-100 (Sigma-Aldrich) for 10 min, and blocked  
16 with 3 v/v % goat serum (Sigma-Aldrich) for 30 min. Cells were then incubated for 1 h with primary  
17 antibodies, Nestin (1:500; Millipore, UK), PAX6 (1:200; Sigma-Aldrich), and  $\beta$ III-tubulin (1:1000; Sigma-  
18 Aldrich), followed with incubation of Alexa Fluor secondary antibodies (ThermoFisher Scientific) and  
19 NucBlue Live ReadyProbes™ Reagent (Invitrogen) or Hoechst 33342 staining solution (ThermoFisher  
20 Scientific) for 30 min. Each step above was followed by 3 washes with PBS. Cell images were acquired with  
21 a Nikon Eclipse Ti-E inverted fluorescence microscope (Nikon Instruments, Inc., UK).

## 2.5. Cellular responses towards HyA-DA-HPA hydrogels

### 2.5.1. Cell viability of MSCs on the surface of the HyA-DA-HPA gel

1 The effect of varying H<sub>2</sub>O<sub>2</sub> concentration (0.15, 0.25, 0.5, 0.75 and 1.0 μmol/mL) on the viability of MSC  
2 was determined. The concentration of HRP and polymer was kept at 0.24 U/mL HRP and 2.0 w/v %  
3 polymer, respectively. Hydrogels were injected into 48-well plates and MSCs were then cultured on top of  
4 the prepared hydrogels and incubated for 1, 2 and 7 d. Cell viability was determined by CCK-8 assay (Sigma-  
5 Aldrich) with the absorption at 450 nm.  
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### 12 **2.5.2. Cellular responses of MSCs towards HyA-DA-HPA hydrogels**

13 Hydrogels (400 μl) made with 2% (w/v) HyA-DA-HPA final concentration, 0.5 μmol/mL H<sub>2</sub>O<sub>2</sub> and 0.24 U/mL  
14 HRP (prepared in cell culture medium) were mixed with MSCs and injected into a 48-well culture plate  
15 and incubated for 1, 2 and 7 d. GFP expressing MSCs encapsulated within the hydrogels were imaged  
16 using a cooled CCD camera (EXI blue; QImaging, UK) attached to a Nikon Eclipse Ti-E inverted fluorescence  
17 microscope.  
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### 27 **2.5.3. Cellular responses of human iPSC-derived NSCs towards HyA-DA-HPA hydrogels**

28 Hydrogels (400 μl) made with 2 % (w/v) HyA-DA-HPA final concentration, 0.5 μmol/mL H<sub>2</sub>O<sub>2</sub> and 0.24  
29 U/mL HRP were injected into a 48-well culture plate. Human iPSC-derived NSCs were seeded on top of  
30 the prepared hydrogels and control substrates (tissue culture polystyrene (TCP) plates) with a cell density  
31 of 100k cells/cm<sup>2</sup> in Neural Basal Medium supplemented with 10 μg/mL laminin and 10 ng/mL BDNF  
32 (PeproTech). Cell viability was evaluated using Calcein AM (Abcam), which stained viable cells with green  
33 fluorescence through the reaction of Calcein AM with intracellular esterase, and DRAQ5™ (Abcam), which  
34 is a cell permeable far-red fluorescent DNA dye staining cell nuclei. The viability was determined by the  
35 percentage of viable cells to total cell nuclei on day 1 and day 3.  
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## 48 **2.6. Statistical analysis**

49 The MSC experiments were conducted with 4 independent replicates and the human  
50 iPSC-derived NSC experiments were conducted with 3 independent experiments and 9 replicates  
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1 in total. Statistical analysis of the MSC experiments was performed with one-way analysis of  
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4 variance (ANOVA) with Tukey's honest significant difference post hoc test and the statistical  
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6 analysis of the human iPSC-derived NSC experiments was performed with two-sample t-tests. A  
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8 value of  $p < 0.05$  was considered statistically significant.  
9

### 11 3. Results and Discussion

#### 14 3.1. Formation of HyA-DA-HPA polymer

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17 In the functionalized HyA hydrogels, HPA is used to aid both the attachment of incorporated cells as well  
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19 as the attachment of the scaffold to the site so that the scaffold is less likely to become detached after  
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21 transplantation [30, 31]. DA, on the other hand, has been used to treat Parkinson's disease patients or to  
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23 reduce the death of dopaminergic neurons, such that this hydrogel may function to aid neural viability  
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25 [23, 24]. To combine these three compounds together, the synthesis of HyA-DA-HPA was achieved via a  
26  
27 two-step reaction (Figure 1). Firstly, HyA-DA was conjugated from HyA and DA through a general  
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29 carbodiimide/active ester-mediated coupling reaction with different ratios of catechol groups. After  
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31 purification of the HyA-DA conjugates by dialysis, instead of lyophilizing to obtain HyA-DA conjugates, HPA  
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33 was introduced to the purified HyA-DA conjugate solution for synthesizing the HyA-DA-HPA conjugate.  
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35 This reaction was carried out between amine groups of HyA and succinimide-activated HPA. Finally, a  
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37 freeze-drying step was carried out to obtain the lyophilized HyA-DA-HPA polymer.  
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#### 42 3.2. Characterization of HyA-DA-HPA polymer

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44 Functional groups and chemical bonds of HyA-DA conjugates were identified by FTIR, shown as Figure S1.  
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46 A broadband centered at  $3300\text{ cm}^{-1}$  was assigned to the vibration of the hydroxyl groups of HyA. The  
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48 absorption of HyA-DA conjugates exhibited additional peaks consistent with the main vibration modes of  
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50 dopamine, such as the C-H vibration at  $2850\text{ cm}^{-1}$  and the C=C ring stretching at  $1520\text{ cm}^{-1}$ . The absorption  
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1 peaks located at 1720, 1630 and 1410  $\text{cm}^{-1}$  were attributed to C=O, amide bond, and C-O stretching  
2 vibrations, respectively [41].  
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6 It was found that the FTIR spectrum of HyA-DA-HPA showed peaks at 1750  $\text{cm}^{-1}$  (C=O stretching) and 1200  
7  $\text{cm}^{-1}$  (C-N stretching) (Figure 2). These results correspond to the expected structure of the chemical  
8 composition, suggesting that DA successfully conjugated to HyA via amide bond and the HyA-DA  
9 conjugated to HPA via amine groups of the HyA and succinimide ester active groups of the HPA. The  
10 chemical structure of HyA-DA conjugates with various concentrations of DA was characterized using  $^1\text{H}$   
11 NMR, as shown in Figure S2. The N-acetyl peak of HyA backbone appeared at around 2 ppm. Multiples  
12 from 2.8 to 3.7 ppm are associated with the disaccharide unit and anomeric protons composed of d-  
13 glucuronic acid and N-acetyl-d-glucosamine in the HyA while the position between 6.7 and 7.2 ppm  
14 corresponds to the catechol ring of dopamine. Moreover, the area under this peak increased with an  
15 increasing dopamine feed ratio [26].  
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29 Figure 3a shows the  $^1\text{H}$  NMR spectrum of HyA. The peak at  $\delta$  1.9 (number 1) ppm and the group peaks  
30 around  $\delta$  3.0-4.0 (number 2) ppm and  $\delta$  4.8 (number 3) ppm correspond to acetamidemethyl protons and  
31 protons from sugar ring and anomeric protons, respectively. The  $^1\text{H}$  NMR spectrum of dopamine is shown  
32 in Figure 3b. The chemical shift assignments are based on the literature values [42, 43]. The lower-field  
33 portion of the spectrum (right) contains 2 triplets (number 1, 2) corresponding to the two methylene  
34 groups on the ethylamine portion of the molecule. The high field region (left) shows the aromatic protons  
35 (numbers 3, 4 and 5). The typical  $^1\text{H}$  NMR spectra of HPA are shown in Figure 3c. Figure 3d shows the  $^1\text{H}$   
36 NMR spectrum of HyA-DA with the appearance of the peaks at  $\delta$  6.95-6.60 ppm (number 6, 7, 8)  
37 corresponding to the H in the aromatic rings of grafted catechol moieties. The peaks at 2.8-3.0 ppm  
38 represent H in the methylene of DA chains. In Figure 3e, the spectrum of HyA-DA-HPA includes the spectra  
39 of HyA, DA, and HPA, confirming the successful grafting of HyA, DA, and HPA.  
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### 54 3.3. Morphology of HyA-DA-HPA

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SEM images of a representative HyA-DA-HPA polymer and HyA-DA-HPA gel are shown in Figure 4a and b. A unique branched porous microstructure was found in the HyA-DA-HPA gel while the fibrous structure appeared in the HyA-DA-HPA polymer. Pores in the HyA-DA-HPA gel can serve as communication and transportation channels for cells and nutrients [7]. The estimated pore size based on the images ranges from 50 to 300  $\mu\text{m}$ . HyA-DA-HPA hydrogel formation is shown in Figure 4c. The HyA-DA-HPA hydrogel formation occurred via the HRP-mediated coupling of the introduced phenol moieties. Coupling of phenols could take place either via a carbon-carbon bond at the ortho positions or via a carbon-oxygen bond between the carbon atom at the ortho and the phenoxy oxygen positions [44]. This is similar to the crosslinking of hyaluronic acid-tyramine (HyA-Tyr) conjugates via the oxidative coupling of Tyr moieties using HRP and  $\text{H}_2\text{O}_2$ . HRP has been frequently used as a catalyst for the oxidative coupling of phenol derivatives under mild reaction conditions [32]. In this case, the oxidative coupling of phenol proceeded at the C-C and C-O positions between phenols [45, 46].

### 3.4. Rheological measurement

The mechanical properties of the hydrogel were characterized at 37°C using rheological measurement at various concentrations of HyA-DA-HPA: 0.5%, 1.0%, 1.5%, 1.75% and 2.0% (w/v) (Figure 5). Higher concentrations of HyA-DA-HPA had higher storage moduli ( $G'$ ), resulting in higher mechanical stability. Crosslinked HyA-DA-HPA gels exhibited storage moduli ranging from  $\sim 100$  Pa to  $\sim 20000$  Pa (at the frequency of 2000 rad/s) which are typical of a soft hydrogel and similar to native soft tissues [47]. Previously, with magnetic resonance elastography, the storage moduli ( $G'$ ) of the grey matter and white matter in the adult human brain are  $\sim 3.1$  kPa and  $\sim 2.7$  kPa, respectively [48]. Substrate elasticity also affects behaviors and fates of cells; for example, cell proliferation and differentiation of NSCs cultured in 3D alginate hydrogels varied with different elastic moduli, where the softest hydrogel with a modulus of  $\sim 180$  Pa increased cell proliferation and resulted in the greatest enhancement in neuronal differentiation [49]. Adult NSCs also exhibited a higher neuronal differentiation on soft gels ( $E = \sim 0.1$ -0.5 kPa) and an enhanced glial differentiation on stiffer gels ( $E = \sim 1$ -10 kPa) [50]. Our results showed that as the

1 concentration of the HyA-DA-HPA increased, the storage moduli increased due to the enhanced  
2 crosslinking. Hydrogels with storage moduli < 500 Pa were excluded from the selection as these soft gels  
3 were too difficult to handle and they degraded within a few days even in *in vitro* cell cultures. Thus, HyA-  
4 DA-HPA with a concentration higher than 1.5 w/v % was preferred to use for *in vitro* studies.  
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### 10 **3.5. Effect of HRP, H<sub>2</sub>O<sub>2</sub>, and polymer concentrations on gelation time**

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12 The gelation time was shown to be controllable by changing the concentration of HRP, H<sub>2</sub>O<sub>2</sub>, and polymer  
13 (Figure 6). The gelation time decreased from 186 to 11 s as the HRP concentration increased from 0.05 to  
14 0.24 U/mL at a constant H<sub>2</sub>O<sub>2</sub> concentration (1.0 μmol/mL) and polymer concentration (2.0 w/v %) (Figure  
15 6a). HRP allows the phenol substrate in the HyA-DA-HPA conjugate to couple each other via the carbon-  
16 carbon bond at the ortho position or via a carbon-oxygen bond between the carbon at the ortho position  
17 and the phenoxy oxygen in an aqueous solution [34, 51]. Thus, gelation time decreased as HRP  
18 concentration increased since the rate of creating phenoxy radicals increased in the coupling reaction. In  
19 contrast, as H<sub>2</sub>O<sub>2</sub> concentration increased from 0.15 to 1.0 μmol/mL, the gelation time slightly increased  
20 from 6.2 to 12.8 s (Figure 6b). In the case of 0.15 μmol/mL H<sub>2</sub>O<sub>2</sub>, a longer gelation time of the hydrogel  
21 occurred compared to 0.25 μmol/mL. This result was potentially due to the fact that a small number of  
22 phenoxy radicals were produced at a lower concentration of the catalyst, resulting in a delay of the  
23 crosslinking reaction. From 0.25 μmol/mL H<sub>2</sub>O<sub>2</sub> to 1.0 μmol/mL H<sub>2</sub>O<sub>2</sub>, the gelation time increased due to  
24 the generation of an inactivated form of HRP caused by excessive H<sub>2</sub>O<sub>2</sub>. Faster gelation time was observed  
25 for higher polymer concentrations as shown in Figure 6c. This can be explained by a higher concentration  
26 of reactive phenol groups available with a higher polymer concentration and it increased the overall  
27 crosslinking reaction rate, facilitating gel formation.  
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### 50 **3.6. Effect of H<sub>2</sub>O<sub>2</sub> concentration on *in vitro* cell viability of MSCs**

51 We selected MSCs as our cell model to test the biocompatibility of the hydrogels. The effect of H<sub>2</sub>O<sub>2</sub>  
52 concentration on cell viability of MSCs was evaluated for finding the highest acceptable concentration of  
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1 crosslinking reaction initiator. To achieve liable results from the CCK-8 cell viability assay, MSCs were  
2 cultured on top of the hydrogels for the cells to be fully immersed and in contact with the substrate of the  
3 CCK-8 assay. Different concentrations of H<sub>2</sub>O<sub>2</sub> ranging from 0.15 to 1.0 μmol/mL were tested as shown in  
4 Figure 7a. Cells cultured on top of the hydrogels retained their viability at 0.15, 0.25 and 0.5 μmol/mL of  
5 H<sub>2</sub>O<sub>2</sub>. As a known cytotoxic agent, H<sub>2</sub>O<sub>2</sub> at the higher concentrations of 0.75 and 1.0 μmol/mL was toxic to  
6 the cells on day 2 and day 7. Thus, the concentration of H<sub>2</sub>O<sub>2</sub> of 0.5 μmol/mL, the highest biocompatible  
7 concentration, was chosen as the most suitable parameter for the encapsulation of MSCs into the  
8 hydrogel. In terms of the cell morphology of the MSCs encapsulated in the HyA-DA-HPA hydrogels, cells  
9 exhibited mostly round morphology on day 1 and day 2 (Figure 7b1 and 7b2). However, on day 7 (Figure  
10 7b3), the cells performed spread morphology. It is possible that on day 1 and day 2, cells remained  
11 rounded within the hydrogel while they were embedded in a newly formed dense polymer network with  
12 low porosity. After days of culture, viable and functional MSCs degraded the matrix, attached and bound  
13 to the hydrogel scaffold, presenting a spread and elongated morphology. These results suggested that the  
14 cells could survive and that there was no cytotoxicity in the hydrogel matrix after 7 days. It also  
15 demonstrated our hydrogel platform as a promising material for MSC delivery since it can not only support  
16 the growth of cells encapsulated within but also display remodeling properties upon interaction with cells.  
17 This remodeling process can facilitate the integration of hydrogel with the ECM secreted by the  
18 encapsulated cells and further bridge with the natural ECM in the transplanted sites, resulting in improved  
19 tissue adhesion and host integration [52].

### 3.7. Cellular responses of human iPSC-derived NSCs on HyA-DA-HPA hydrogels

20 Although MSCs have been shown to differentiate into neural lineages upon transplantation, their  
21 mechanisms could rely more on the trophic effects for neurogenesis, angiogenesis, and inflammatory and  
22 immune responses [53]. For example, transplantation of MSCs can increase expression levels of BDNF,  
23 insulin-like growth factor 1 (IGF-1), basic fibroblast growth factor (bFGF), vascular endothelial growth  
24 factor (VEGF), epidermal growth factor (EGF), and interleukin-10 (IL-10), etc. through direct secretion or  
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1 activation of endogenous host cells to mediate cell apoptosis, survival, angiogenesis, and anti-  
2 inflammation to improve functional recovery [54-58]. Human iPSC-derived NSCs, which can bypass certain  
3 ethical issues compared to embryonic stem cell-derived progeny and can potentially lower the risk of  
4 immune rejection, have been reported as an alternative to treat brain injuries [59]. Compared to MSCs'  
5 trophic effects, these cells facilitate neuroregeneration and repair via neuronal protection, reducing  
6 microglia activation, and most importantly, long-term integration of differentiated neurons and glial cells  
7 [60-63]. We selected HyA-DA-HPA hydrogels (2.0 w/v %) made with 0.24 U/mL HRP and 0.5  $\mu\text{mol/mL}$   $\text{H}_2\text{O}_2$   
8 to assess its biocompatibility with human iPSC-derived NSCs, which express typical markers of NSCs (e.g.  
9 Pax6 and Nestin) and acquire the capability to differentiate into  $\beta\text{III-Tubulin}$  positive neurons (Figure 8a  
10 and b). As the NSC culture media is serum free, we added 10  $\mu\text{g/mL}$  laminin and 10 ng/mL BDNF in the  
11 media to promote cell binding to the substrate and neuronal differentiation, respectively. On both day 1  
12 and day 3, human iPSC-derived NSCs on the hydrogels exhibited a round shape morphology while the cells  
13 on the TCP control were flattened and spread with typical neurite morphology (Figure 8c and d). The cells  
14 cultured on the 2D hydrogel substrate exhibited significantly lower cell viability,  $51.3 \pm 4.6 \%$  and  $33.3 \pm$   
15  $3.7 \%$  on day 1 and day 3, respectively, compared to the TCP control, which is  $79.2 \pm 1.8 \%$  and  $64.0 \pm 4.3$   
16  $\%$  on day 1 and day 3, respectively (Figure 8e and f). The discrepant cellular responses observed in the  
17 transformed MSC cell lines and human iPSC-derived NSCs might due to that iPSC-derived cultures are  
18 inherently more sensitive to their culture environment. Subtle effects of molecules released after gel  
19 degradation can affect the iPSC-derived NSCs while the MSCs remain unresponsive. We also observed that  
20 after incubation, the hydrogel acquired a large volume expansion, resulting in increased surface area.  
21 While in the MSC culture, the addition of 10% (v/v) FBS can sufficiently cover the internal structure of the  
22 expanded hydrogel, the provided laminin coating (10  $\mu\text{g/mL}$ ) in the iPSC-derived neural cultures, on the  
23 other hand, might no longer be able to supply adequate ECM protein after gel expansion and thus affect  
24 cell binding, attachment, viability, and growth. In the future, cell adhesion molecules can potentially be  
25 functionalized into our hydrogel polymer to improve cell attachment, proliferation, and growth. A higher  
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1 concentration of BDNF can be incorporated into the hydrogel to support cell survival in the early phase of  
2 cell delivery.  
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6 DA as a neurotransmitter known to control movement and emotions has been used for local delivery to  
7 treat Parkinson's disease [64]. Application of hydrogel for delivery of DA or its agonists with or without  
8 incorporation of cells has been reported [65-69]. In general, the controlled release of molecules  
9 modulated via physical interactions (e.g. electrostatic interactions and hydrophobic association) possess  
10 a relatively short-term release compared to chemical conjugation methods, where the polymer  
11 immobilizes the drug and it is only released when the network degrades [70]. In our study, we chemically  
12 conjugated DA into our polymer backbones. Although we have not yet examined the release of DA from  
13 the hydrogel, the covalent linkages between DA and the backbone polymer might lead to a slower release  
14 compared to physical incorporation methods. As most neurological diseases require long-term therapy,  
15 this hydrogel might facilitate the treatment of these diseases. It has been shown that chemical  
16 modifications of a drug molecule might affect drug activity or property if it modifies molecules' active sites  
17 or causes a conformation change [71]. It is worth understanding whether our hydrogel could release  
18 functional DA molecules after degradation and if there are any side products formed after material  
19 degradation. Future works will focus on the characterization of the released products, their release  
20 profile, and their effects on biocompatibility and cellular behaviors.  
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#### 40 **4. Conclusion**

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42 We have synthesized a novel hydrogel material based on HyA-DA-HPA. The gelation time of the HyA-DA-  
43 HPA hydrogel can be controlled from 3 s to 5 min by changing the concentration of HRP, H<sub>2</sub>O<sub>2</sub>, and polymer.  
44 For specific applications, the hydrogel can be rapidly formed within 3 s under physiological conditions  
45 using HRP and H<sub>2</sub>O<sub>2</sub> as catalysts for coupling. The effect of H<sub>2</sub>O<sub>2</sub> concentration on cell viability was  
46 evaluated using MSCs. The synthesized hydrogel acquires no cytotoxicity, except for the hydrogels formed  
47 with 0.75 and 1.0 μmol/mL of H<sub>2</sub>O<sub>2</sub> due to the cytotoxicity of the residual H<sub>2</sub>O<sub>2</sub>. We also examined the  
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1 biocompatibility of the hydrogel with human clinically relevant cell populations, human iPSC-derived  
2 NSCs. A decreased viability was observed and the results could be attributed to the release of the  
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4 degradation products and the lack of cell binding sites. Initial *in vitro* studies revealed the potential of the  
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6 encapsulation of MSCs inside the hydrogel. Future studies will include the detailed analysis of the effects  
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8 of HRP, H<sub>2</sub>O<sub>2</sub>, and polymer concentrations on the gel's stiffness and degradation time. Examination of the  
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10 formation of any side products and their effects on biocompatibility, cell behaviors, and long term cell  
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12 viability will be conducted to improve its biocompatibility with human clinically relevant cells.  
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## Figure captions

**Figure 1.** Schematic diagram showing the steps used to fabricate DA-HPA modified hyaluronic acid hydrogels for neural regeneration.

**Figure 2.** FTIR spectra of HyA, HPA, DA, and HyA-DA-HPA.

**Figure 3.**  $^1\text{H}$  NMR spectra of (a) HyA; (b) DA; (c) HPA; (d) HyA-DA and (e) HyA-DA-HPA.

**Figure 4.** (a) Morphology of HyA-DA-HPA polymer before forming the gel; (b) with the addition of  $\text{H}_2\text{O}_2$  and HRP, the gel was formed, then freeze dried and observed by SEM; (c) the HyA-DA-HPA hydrogel precursor was mixed with HRP and  $\text{H}_2\text{O}_2$  using a double syringe system, which catalyzed gelation to form a hydrogel *in situ*; (d) and (e) the gel was formed after 10 seconds of mixing.

**Figure 5.** Rheological measurements of HyA-DA-HPA at various concentrations of polymers at the presence of HRP (0.24 U/mL) and  $\text{H}_2\text{O}_2$  (1.0  $\mu\text{mol/mL}$ ).

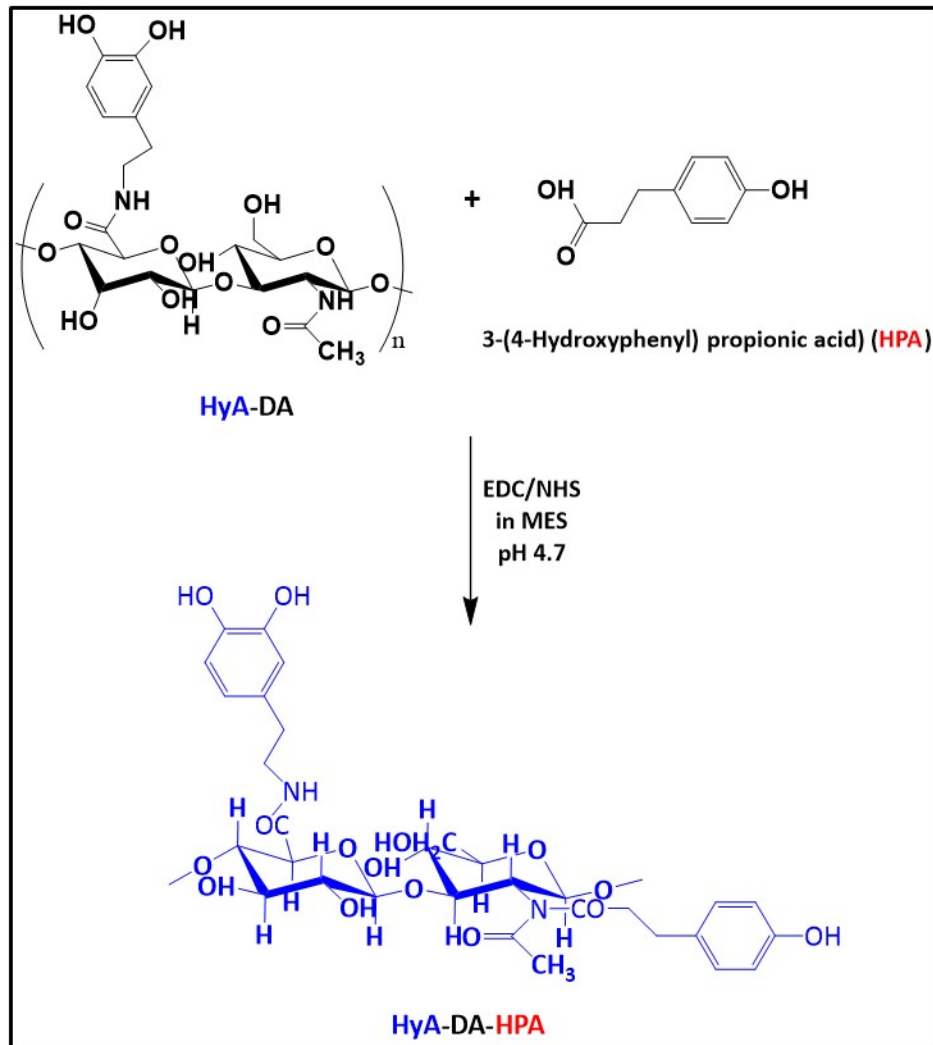
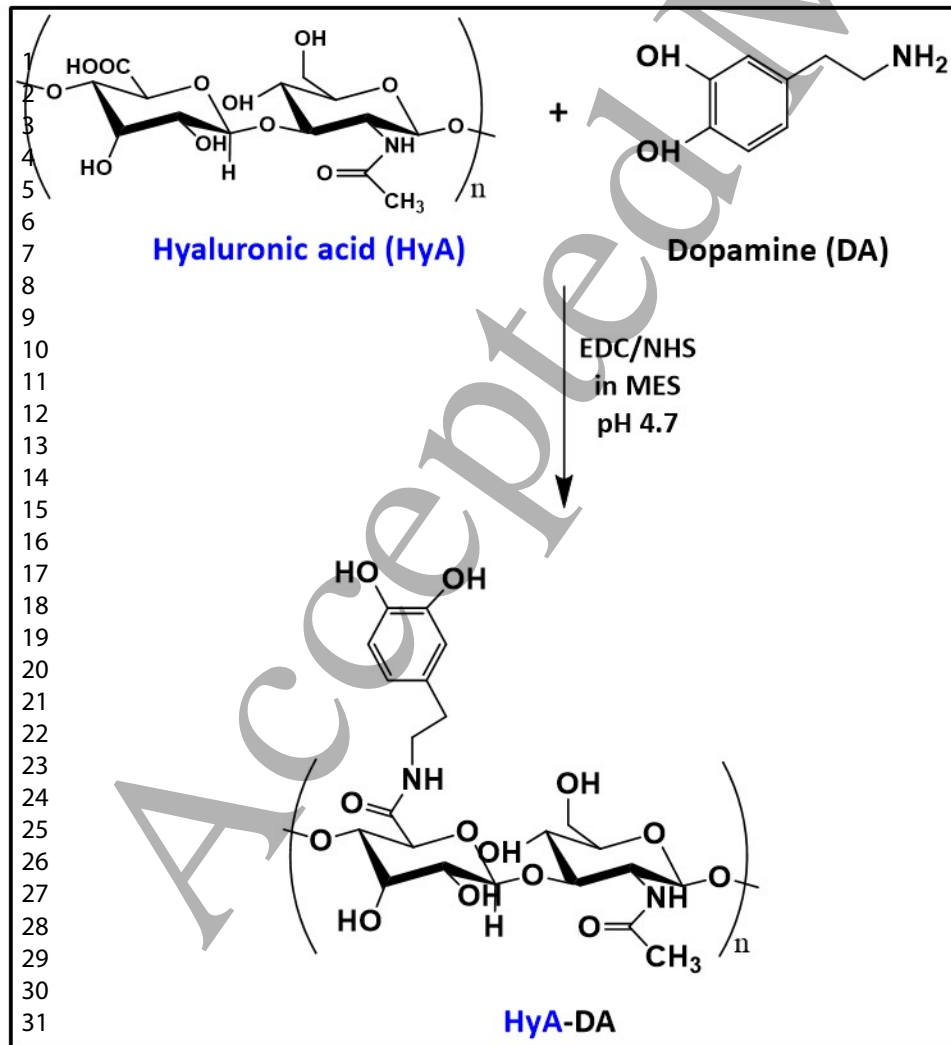
**Figure 6.** Gelation time of HyA-DA-HPA hydrogel at (a) different HRP concentrations (U/mL) with 1.0  $\mu\text{mol/mL}$   $\text{H}_2\text{O}_2$  and 2.0 w/v % HyA-DA-HPA; (b) different  $\text{H}_2\text{O}_2$  concentrations ( $\mu\text{mol/mL}$ ) with 0.24 U/mL HRP and 2.0 w/v % HyA-DA-HPA and (c) different HyA-DA-HPA polymer concentrations (w/v%) with 0.24 U/mL HRP and 1.0  $\mu\text{mol/mL}$   $\text{H}_2\text{O}_2$ .

**Figure 7.** (a) Effect of  $\text{H}_2\text{O}_2$  concentrations on *in vitro* cell viability of MSCs seeded on the surface of HyA-DA-HPA on day 1, 2 and 7 with 0.24 U/mL HRP and 2.0 w/v % HyA-DA-HPA (One-way ANOVA with post hoc Tukey's test was used. ns: no significant difference, \* $p < 0.01$ , \*\* $p < 0.001$ , \*\*\*  $p < 0.0001$ ) and (b) Fluorescent microscope images of MSCs encapsulated in the HyA-DA-HPA hydrogel (1.75 w/v%) with 0.16 U/mL HRP and 0.5  $\mu\text{mol/mL}$   $\text{H}_2\text{O}_2$  on (b1) day 1; (b2) day 2 and (b3) day 7 of incubation (scale bars, 200  $\mu\text{m}$ ).

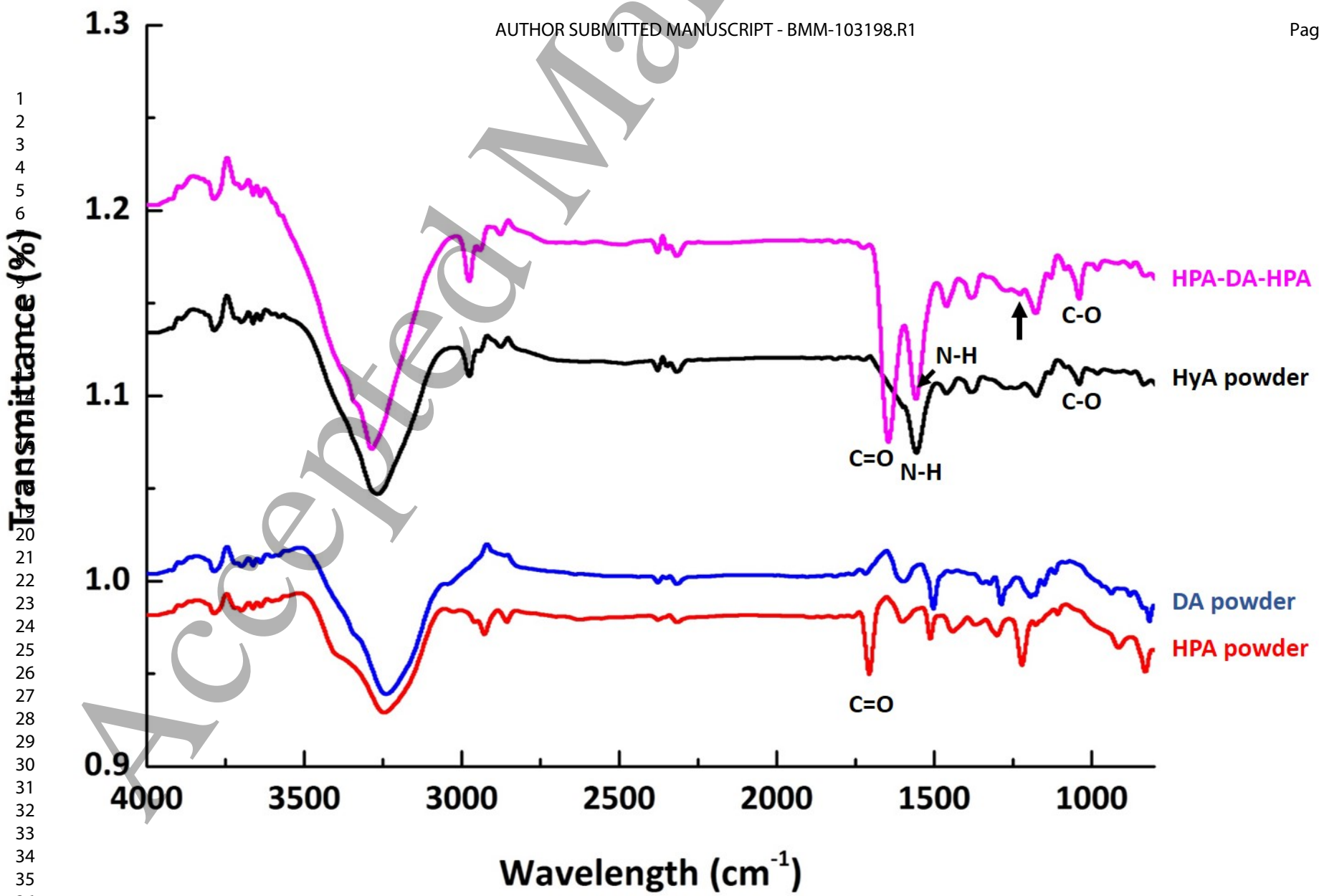
**Figure 8.** Cellular responses of human iPSC-derived NSCs on HyA-DA-HPA hydrogels synthesized with 0.5  $\mu\text{mol/mL}$   $\text{H}_2\text{O}_2$ . (a, b) Characterizations of human iPSC-derived NSCs, which express typical NSC markers, including Pax6 and Nestin, and can differentiate into  $\beta$ III-Tubulin positive neurons. Cell viability assay was examined on (c, e) day 1 and (d, f) day 3 (scale bars, 100  $\mu\text{m}$ ). (Two-sample t-test was used. The results represent means  $\pm$  s.e.m. \*\*\* represents  $p \leq 0.001$ .)

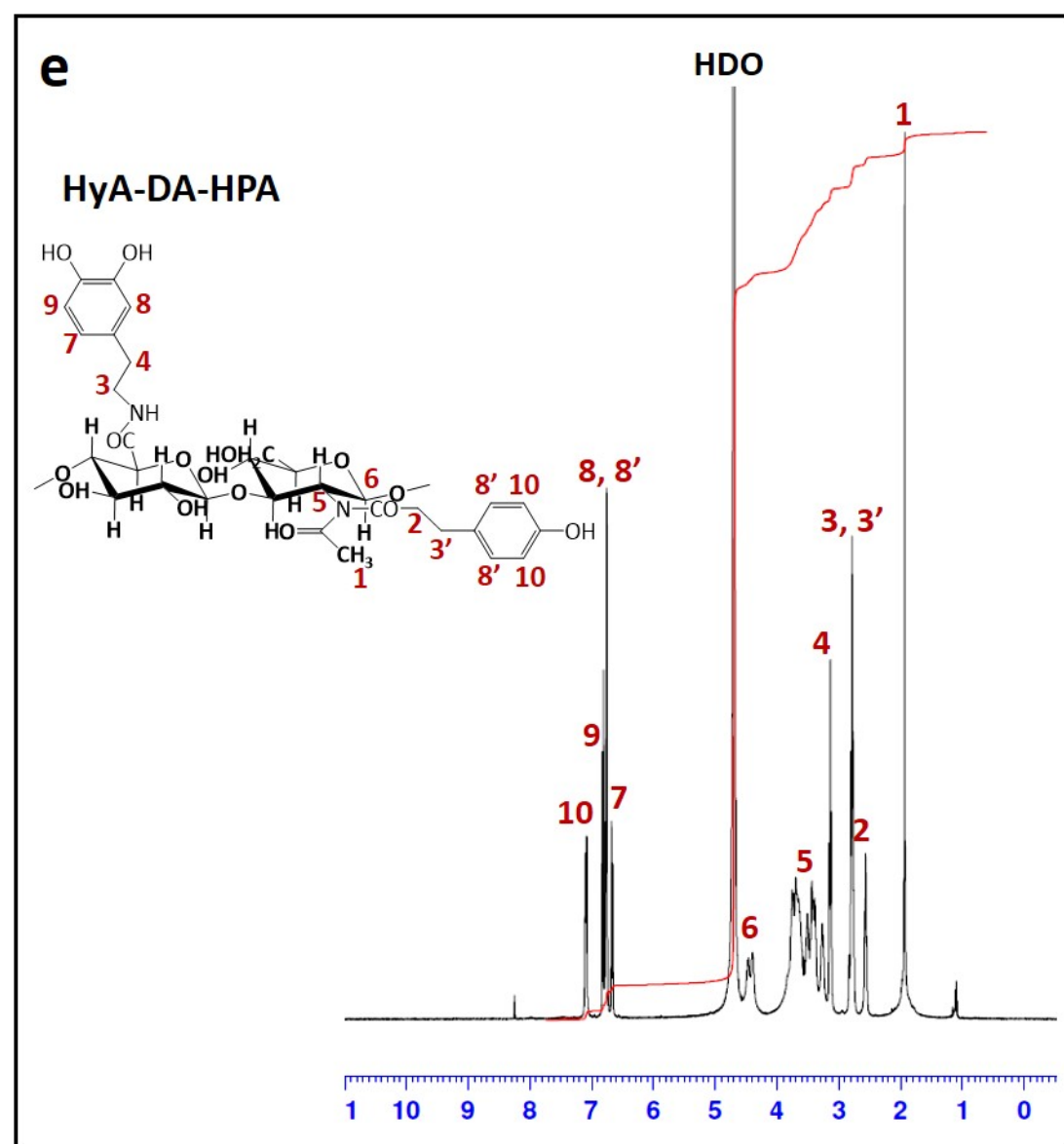
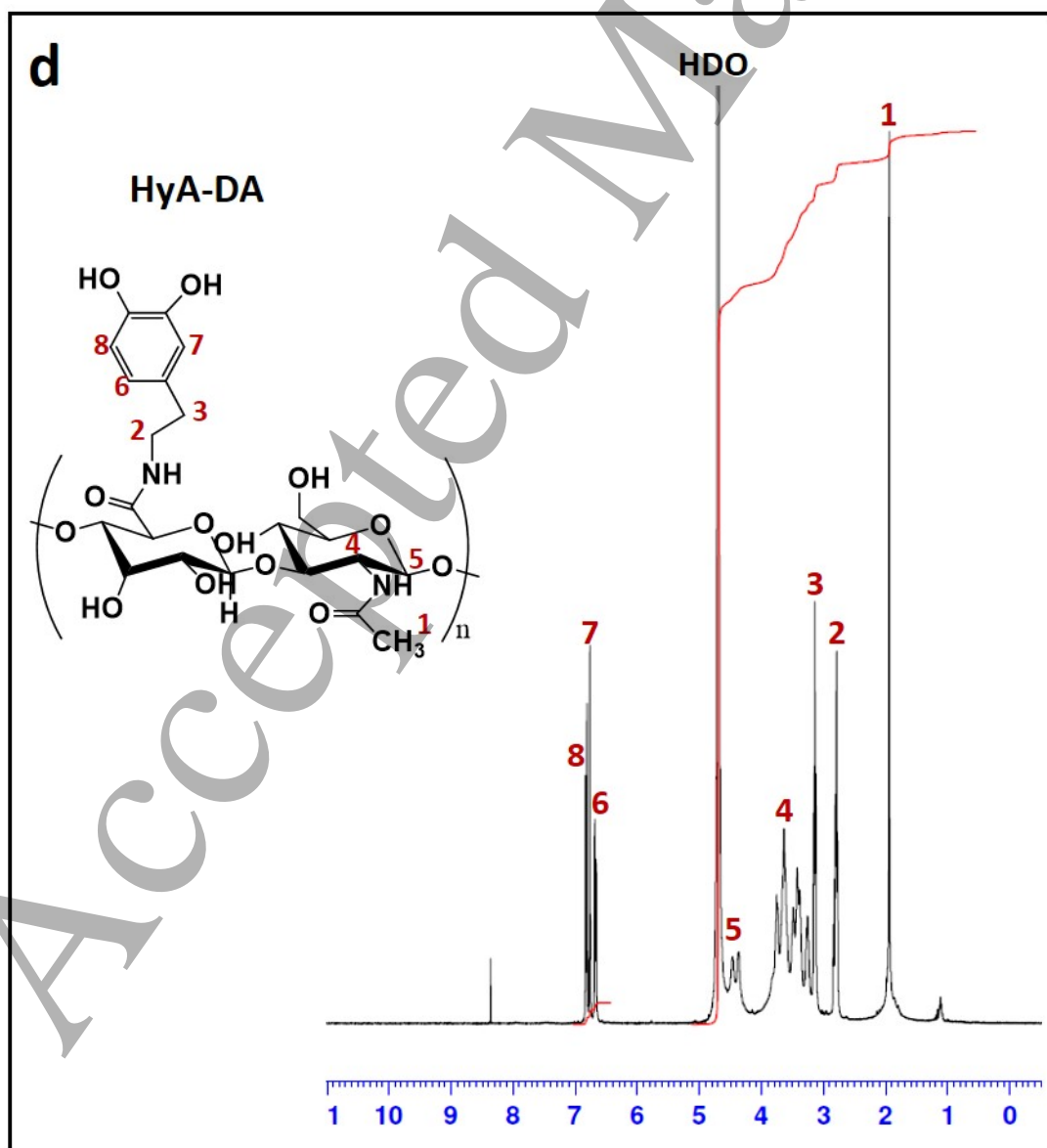
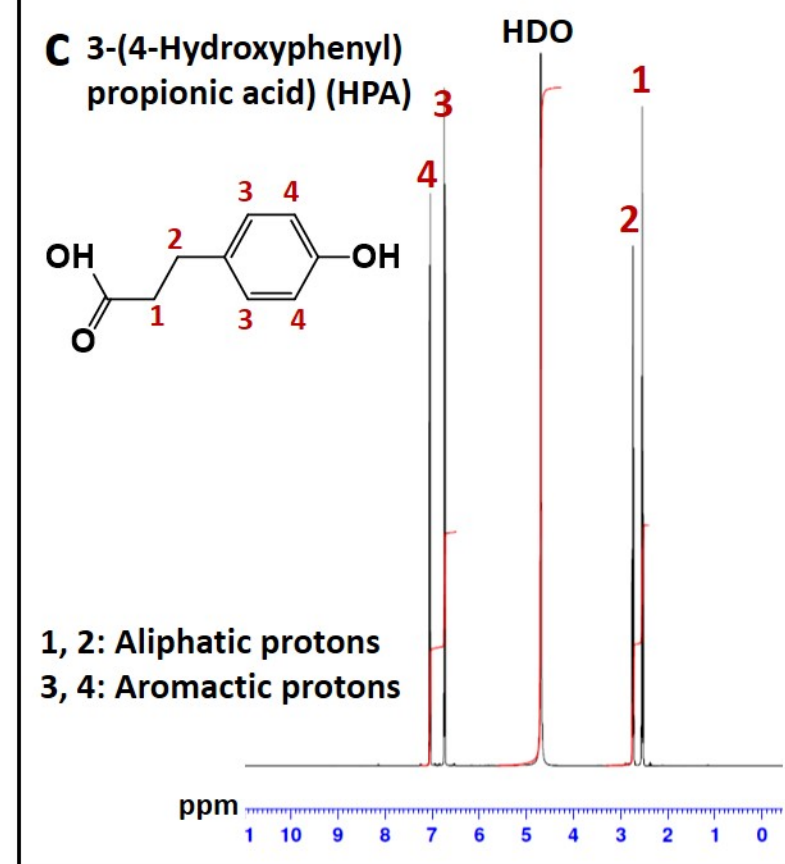
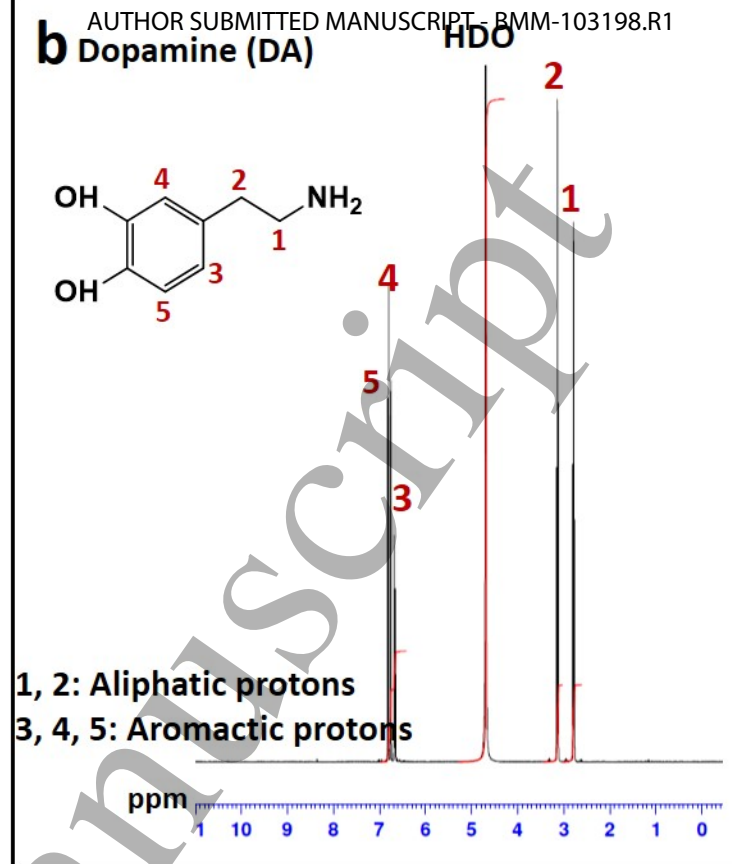
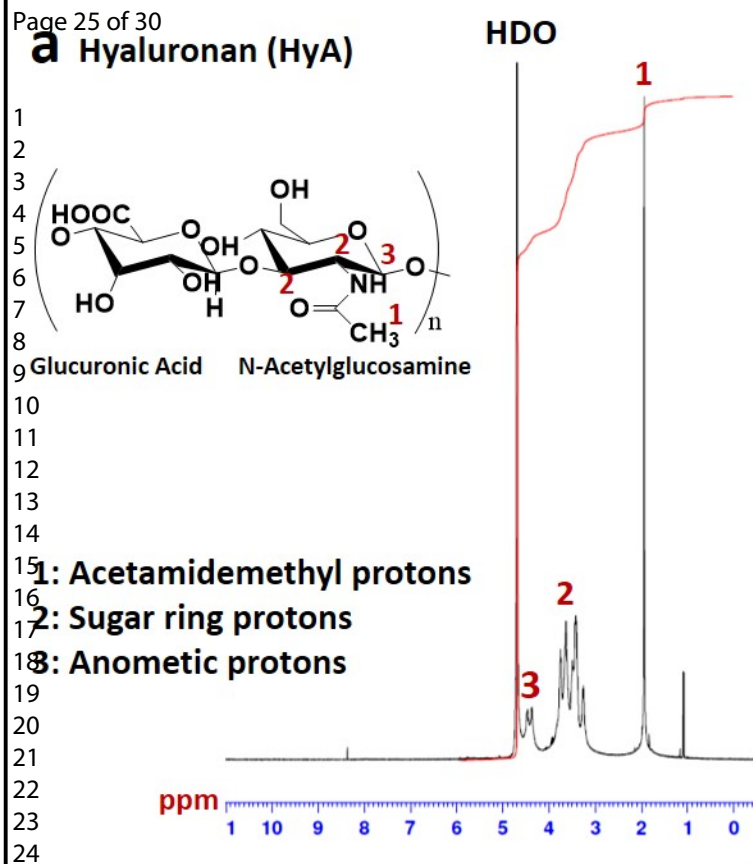
## Step 1

## Step 2

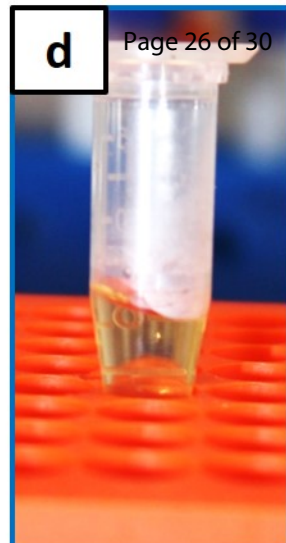
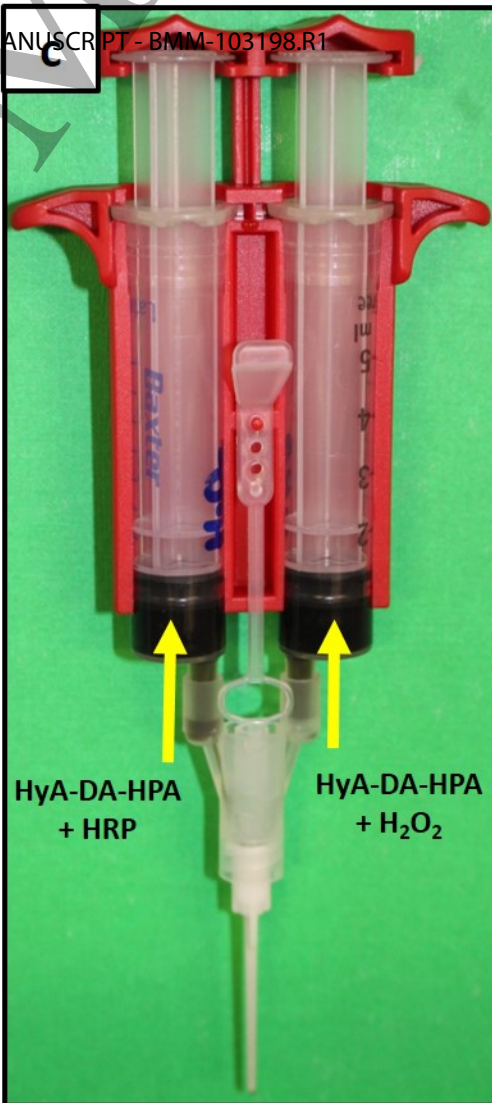
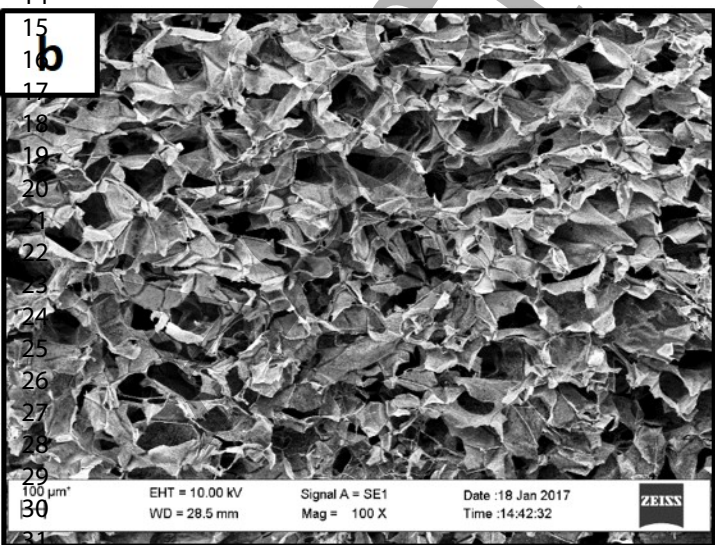
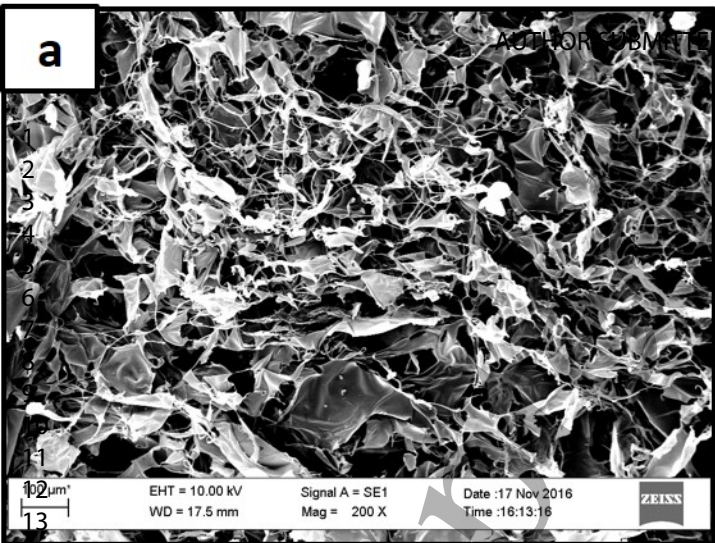


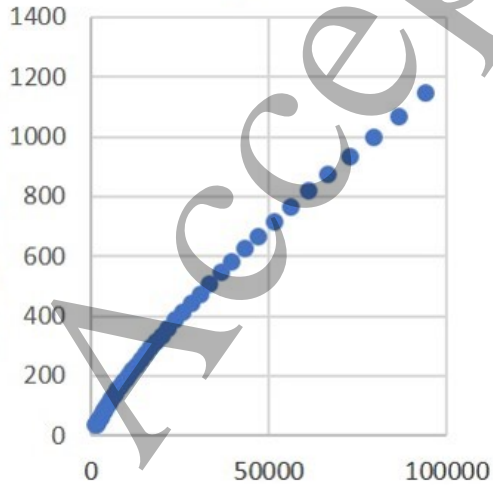




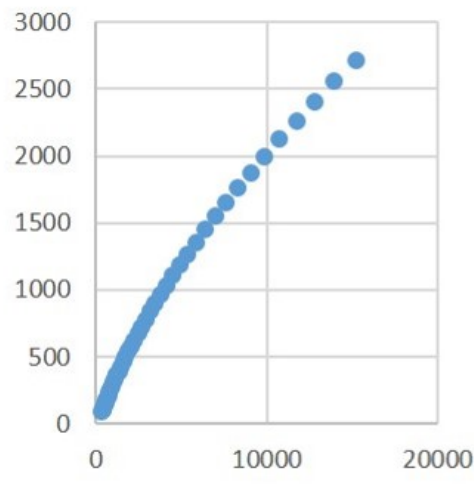




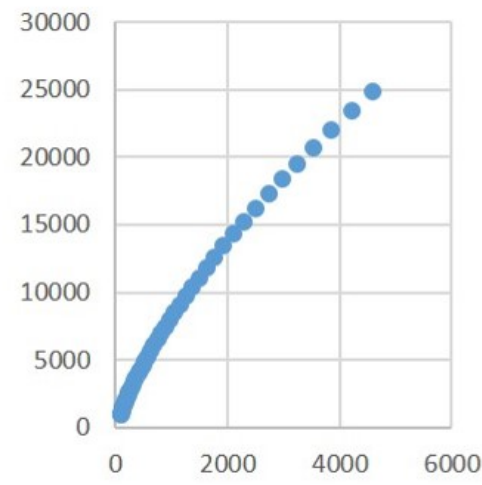




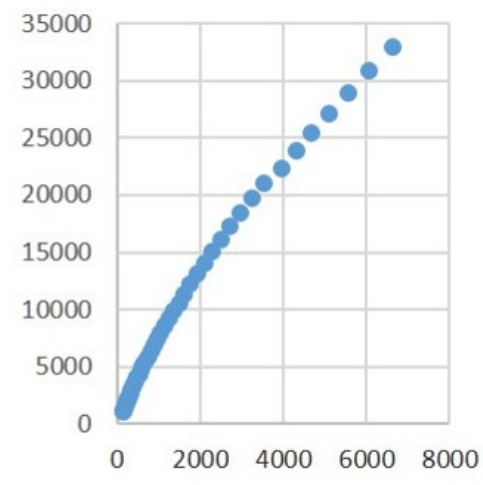
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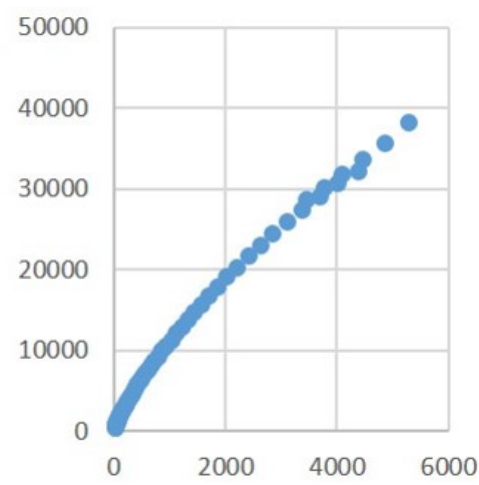
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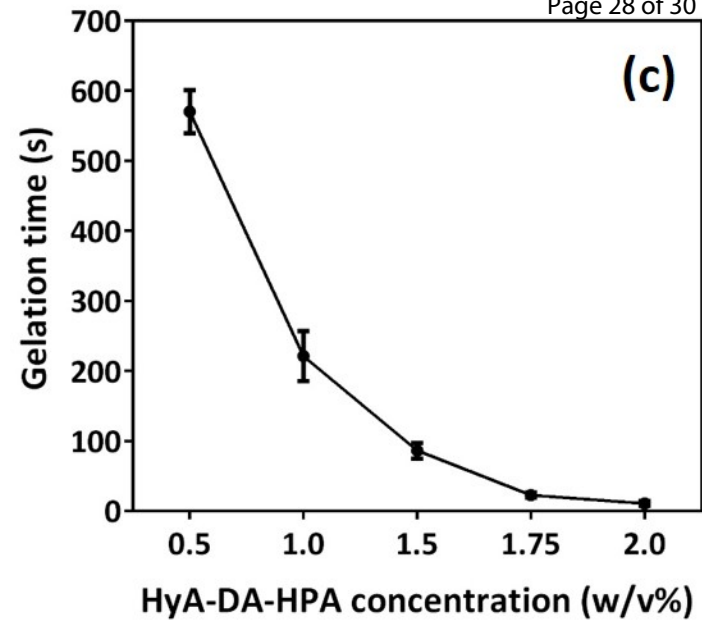
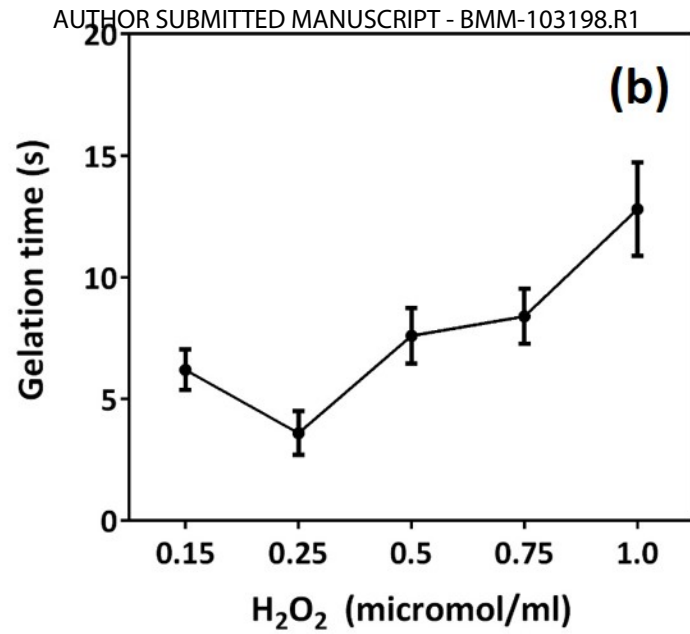
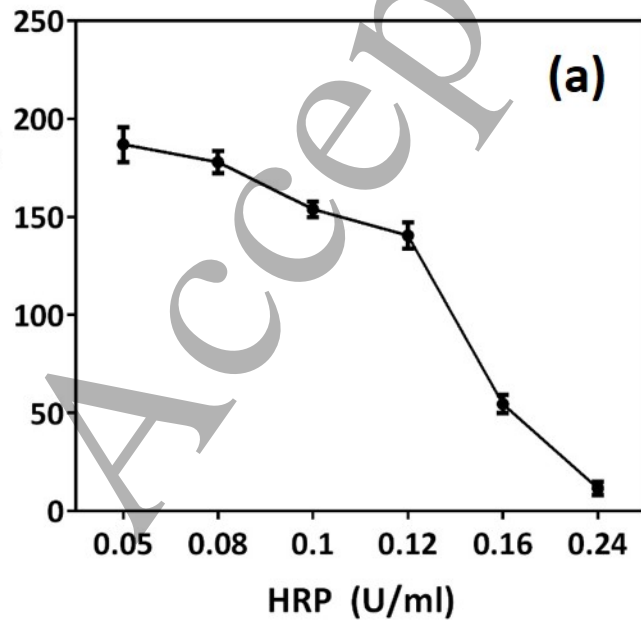
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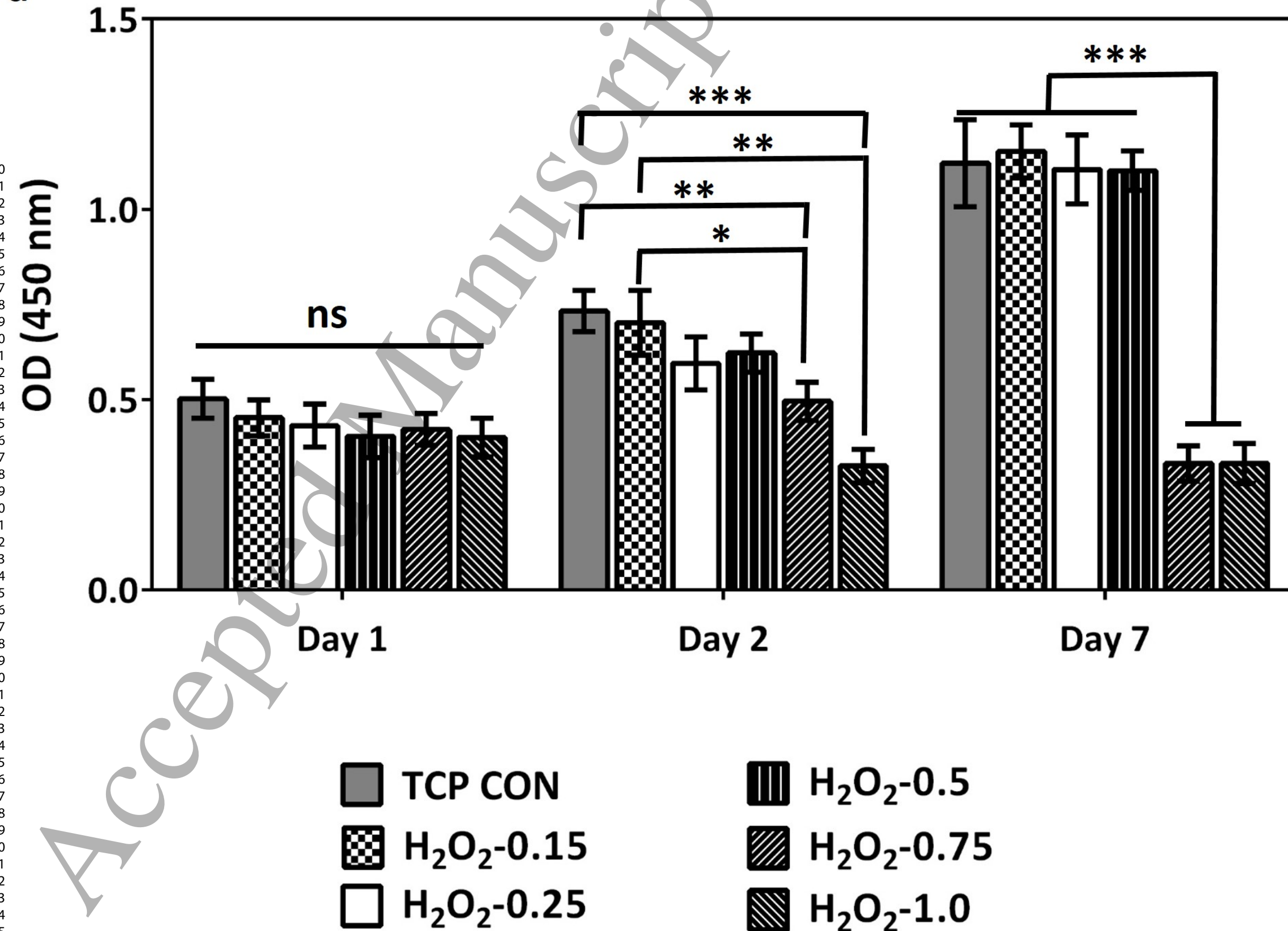


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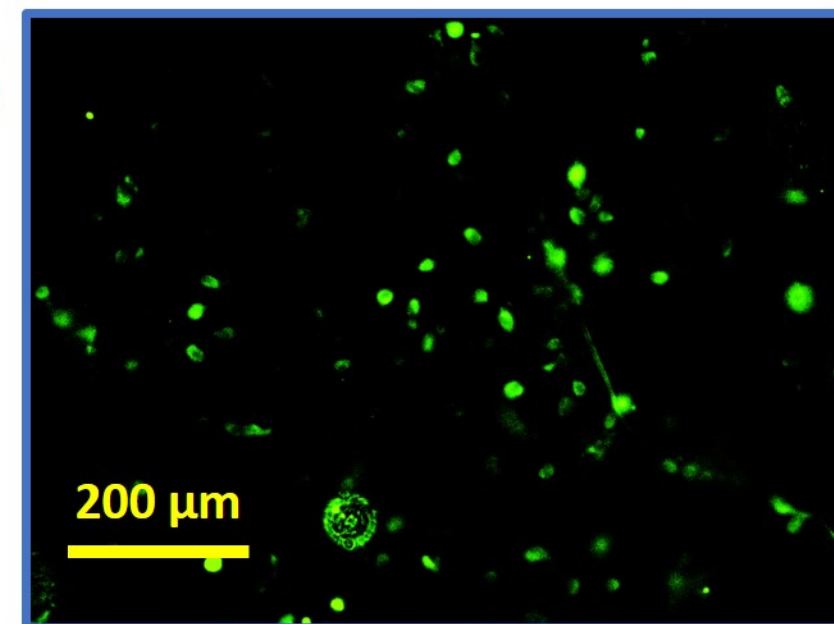
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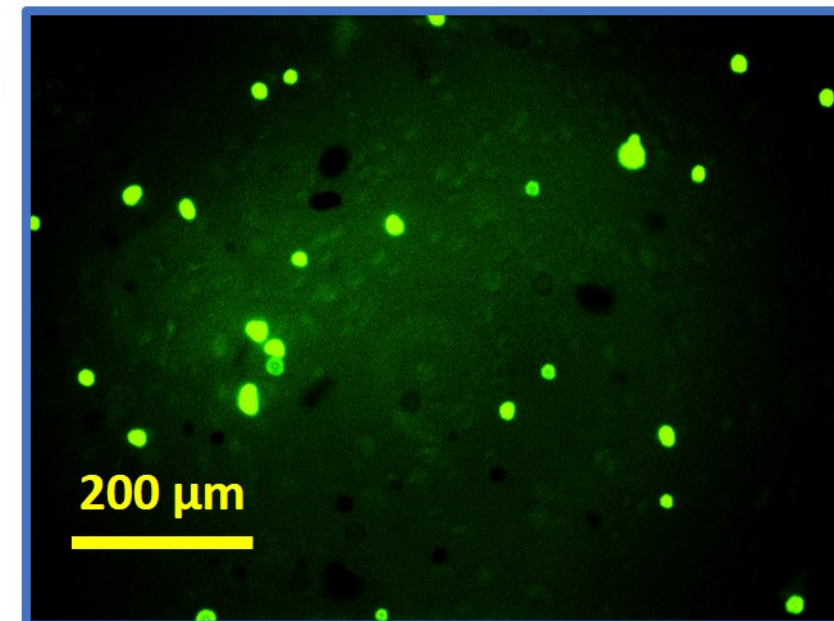
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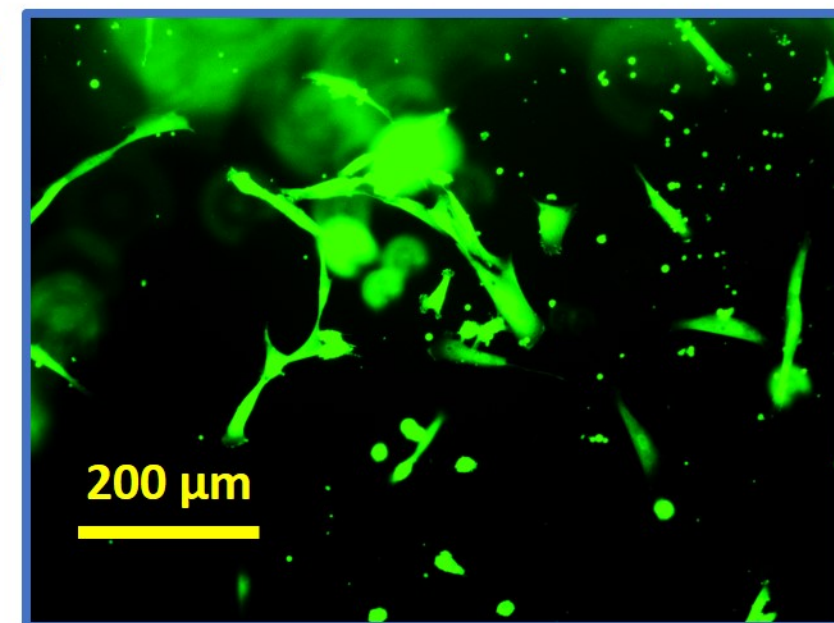
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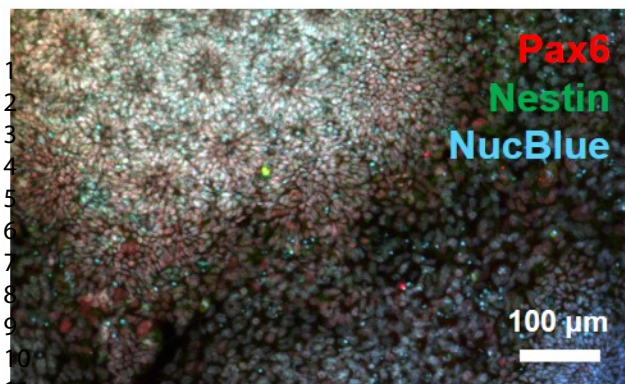
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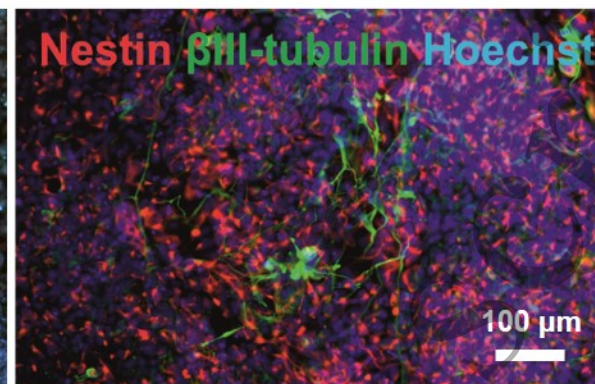
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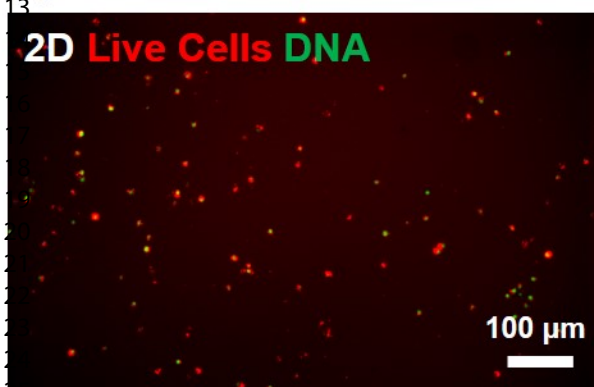
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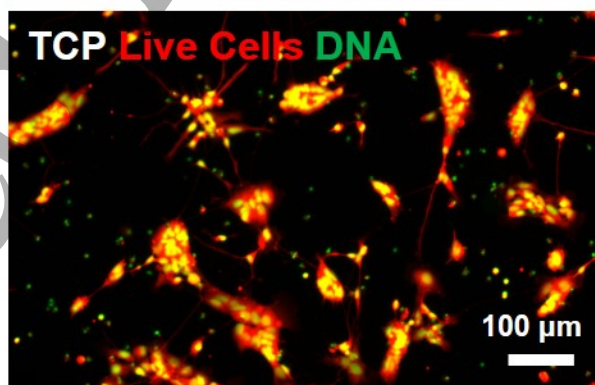
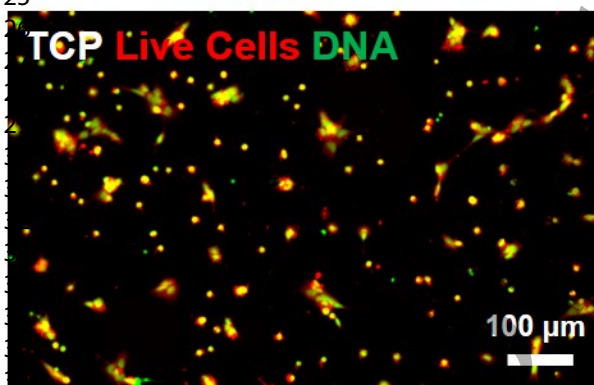
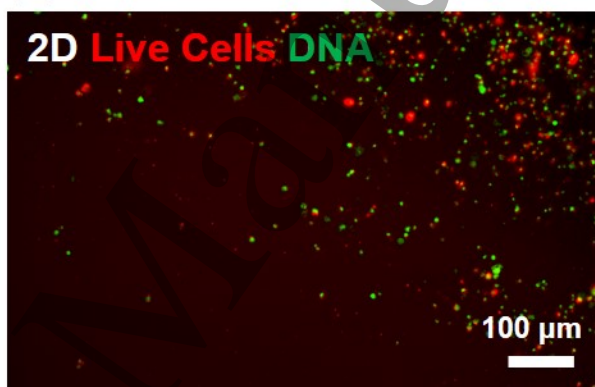
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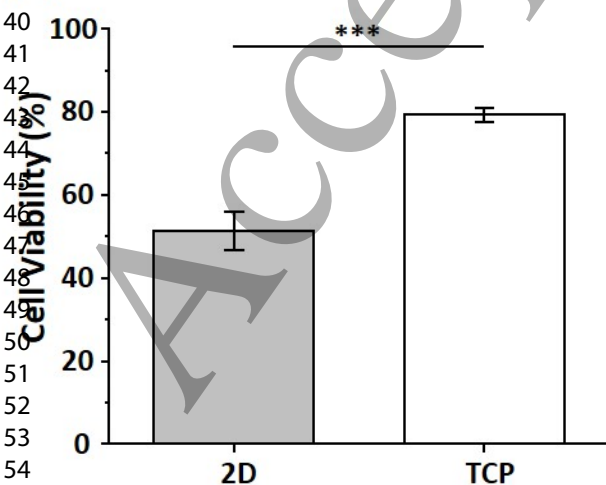
(c)



(d)



(e)



(f)

